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Glutamate and GABA Receptor-Mediated Plasticity

in the Mesolimbic Dopamine

System by Alcohol

Ashley Cerise Nelson

A thesis submitted to the faculty of Brigham Young University in partial fulfillment of the requirements for the degree of

> Master of Science Neuroscience

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Department of Physiology and Developmental Biology

Brigham Young University

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# ABSTRACT

# Glutamate and GABA Receptor-Mediated Plasticity in the Mesolimbic Dopamine System by Alcohol

# Ashley Cerise Nelson Department of Physiology and Developmental Biology, BYU Master of Science Neuroscience

Alcoholism is a devastating chronic relapsing disorder with significant costs to individuals and society. The mesolimbic dopamine (DA) system plays an important role in regulating reward and addiction. GABA neurons located in the ventral tegmental area (VTA) regulate VTA DA neuron activity, and are a relevant target for alcohol in the brain. VTA GABA neurons exhibit marked hyperexcitability during withdrawal from ethanol. Past research has demonstrated that the motivational effects of opiates cause a change in VTA GABA(A) receptors in opiate-dependent animals, which switch from a GABA-induced hyperpolarization of GABA neurons to a GABA-induced depolarization.

The focus of this study was to characterize excitatory and inhibitory synaptic activity in VTA GABA neurons during withdrawal from acute and chronic alcohol, and to evaluate the function of the GABA(A) receptor in the pathway to dependence. Animals were either given injections of ethanol or saline, or were kept in ethanol vapor or air chambers for three weeks. We used standard whole-cell, perforated patch, and cell-attached mode electrophysiological techniques and pharmacology to obtain recordings of cellular activity.

Results for excitatory and inhibitory synaptic events were somewhat mixed and inconclusive. There is evidence for a shift in function of the GABA(A) receptor after exposure to ethanol. We found that after a single injection of ethanol (4.0 g/kg) or a chronic intermittent ethanol vapor exposure, VTA GABA neuron firing rate is less sensitive to muscimol's inhibitory effects. The neural substrates of addiction studied here are important steps in the road to alcohol dependence, and a better understanding of them may lead to novel therapies.

Keywords: alcohol dependence, glutamate, GABA, plasticity, ethanol

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#### INTRODUCTION

The Economic and Social Costs of Alcohol Abuse

Alcoholism is a chronic relapsing disorder that has enormous impact on society. Excessive alcohol use is the third leading lifestyle-related cause of the death in the United States. Approximately 80,000 deaths each year are attributable to excessive alcohol use (Bouchery, Harwood et al. 2011). The estimated cost of excessive drinking is approximately \$223.5 billion (Bouchery, Harwood et al. 2011). While there is a great societal and economic need to cure alcoholism, there is still little neuro-mechanistic understanding of this pervasive disease. For this project, I endeavored to better understand the neural basis of alcohol use and the pathological progression to alcohol dependence. By understanding the mechanism by which alcohol disrupts normal brain function, treatments and therapies can be developed in order to ameliorate alcohol's devastating effects.

#### The Mesolimbic Dopamine System

The mesolimbic dopamine (DA) system originates in the midbrain ventral tegmental area (VTA) where DA and γ-amino butyric acid (GABA) cell bodies are located. These neurons project to limbic structures such as the nucleus accumbens (NAc). Dopamine neurons in the VTA are inhibited by GABA inputs. Dopamine release in the mesolimbic DA system has been shown to exhibit a scalar index of reward (Wise 2008). While the release of DA in the NAc leads to pleasure, which positively reinforces drug use, it has been suggested that the changes in DA are strongly regulated by VTA GABA neurons (Nugent and Kauer 2008, Ting and van der Kooy 2012, Bocklisch, Pascoli et al. 2013). VTA GABA neurons provide an inhibitory input to DA neurons to regulate their activity level (Tepper, Paladini et al. 1998). GABA is a major regulator of DA neurotransmission and therefore plays a critical role in the rewarding properties of drugs

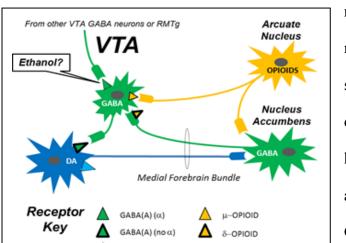


Figure 1: Theoretical Framework for Proposed Studies. Dopamine neurons in the VTA project to the NAc via the medial forebrain bundle (MFB). VTA GABA neurons also project to the NAc (Carr and Sesack 2000). Additionally, a subset of GABAergic NAc neurons sends a reciprocal projection back to the VTA (Tripathi, Prensa et al. 2010). This reciprocal loop between GABA neurons in the VTA and GABA neurons in the NAc is believed to provide inhibitory feedback to regulate DA neuron activity (Rahman and McBride 2000) via VTA GABA neurons (Xia, Driscoll et al. 2011). They are also inhibited by opioidergic input from the arcuate nucleus of the hypothalamus via mu-opioid receptors (µ-ORs) on GABA neurons and µ-ORs on accumbal GABAergic inputs. They may also be inhibited by local circuit GABA neurons and GABAergic input from the RMTg.

DA D2

receptors (NMDARs) in the VTA, the mechanisms underlying the withdrawal syndrome associated with alcohol dependence remain to be elucidated. We have evidence that repeated alcohol use is associated with neuroadaptations of VTA GABA subtype A receptor [GABA(A)R] complexes on VTA GABA neurons. We have demonstrated previously that VTA GABA neurons are sensitive to ethanol at behaviorally-relevant concentrations, gain tolerance to ethanol inhibition of their firing rate, and become hyperexcitable during withdrawal to chronic ethanol (Gallegos, Criado et al. 1999), which may explain why

DA levels are lowered during withdrawal from chronic ethanol (Diana, Pistis et al. 1993). Figure 1 illustrates the synaptic hodology of VTA GABA neurons, including the probable receptors involved in ethanol pharmacology.

of abuse such as ethanol. While current dogma states that the reinforcing properties of alcohol

are primarily mediated by its actions on glutamatergic (GLUergic) N-methyl-D-aspartate

Glutamatergic Synaptic Plasticity in Response to Drugs of Abuse

Experience-dependent changes often involve GLUergic synaptic plasticity (Berke and

Hyman 2000). It has been well-established that VTA DA neurons undergo NMDA GLUR-

mediated plasticity in response to drugs of abuse, including alcohol (Ungless, Whistler et al. 2001, Saal, Dong et al. 2003, van Huijstee and Mansvelder 2014). This evidence has supported theories regarding the involvement of DA in craving and relapse (Luscher 2013). GLUergic synaptic plasticity on VTA GABA neurons is far less understood. Ungless et al. (2001) showed that an acute *in vivo* exposure to cocaine did not alter the  $\alpha$ -amino-3-hydroxy-5-methyl-4isoxazolepropionic acid (AMPA)/NMDA ratio on VTA GABA neurons, only on DA neurons. Additionally, it was shown that VTA GABA neurons do not undergo NMDAR-mediated longterm potentiation (LTP) in response to a high frequency stimulus (Bonci and Malenka 1999, Nugent, Hwong et al. 2008). However, whether or not ethanol induces GLUergic synaptic plasticity in VTA GABA neurons has not been determined. Our lab has repeatedly shown that VTA GABA neurons are a relevant target for alcohol (Steffensen, Walton et al. 2009, Steffensen, Bradley et al. 2011), and we have preliminary evidence that GLUergic activity on VTA GABA neurons is increased during withdrawal from acute ethanol (see below). While the involvement of GLU plasticity in VTA DA neurons during drug withdrawal has received some attention, we have yet to elucidate the role of GLUergic synapses on VTA GABA neurons during alcohol withdrawal.

#### GABA(A) Receptor Functionality Switch

Considerable evidence suggests that activation of GABA(A)R complexes can produce depolarization in lieu of its more traditional hyperpolarizing response (Kaila, Voipio et al. 1993, Staley, Soldo et al. 1995, Rivera, Voipio et al. 1999, Hubner, Stein et al. 2001, Coull, Boudreau et al. 2003). The switching of GABA(A)R functionality occurs during development and under pathological conditions like epilepsy. One hypothesis to explain this shift involves the neuronal potassium-chloride co-transporter isoform 2 (KCC2), which removes intracellular chloride (Cl<sup>-</sup>)

and thereby maintains an inward-directed hyperpolarizing Cl<sup>-</sup> flow (Thompson, Deisz et al. 1988, Rivera, Li et al. 2002, Viitanen, Ruusuvuori et al. 2010). Consequently, blockade of KCC2 should result in a build-up of intracellular Cl<sup>-</sup> and a reduction in Cl<sup>-</sup> ion influx after long-term

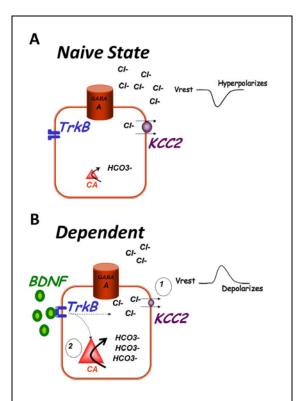


Figure 2: Proposed Model for Functional Switch of GABA(A)Rs on VTA GABA Neurons. (A) In animals that are not dependent on ethanol, activation of GABA(A)Rs on VTA GABA neurons results in an inhibitory conductance mediated by Cl<sup>-</sup> influx. (B) When animals are ethanol-dependent and in withdrawal, VTA GABA(A)Rs switch their signaling properties from inhibitory to excitatory. (1) BDNF may reduce the levels of KCC2, thereby increasing the intracellular Cl<sup>-</sup> concentration. GABA(A)R activation would then result in anions flooding out of the neuron. (2) BDNF infusions may elevate intracellular carbonic anhydrase (CA) levels, favoring HCO3<sup>-</sup> efflux during the GABA response. These possible changes would make the neuron's membrane potential more positive, or depolarized, relative to the resting membrane potential underlying the drug-dependent state.

GABA(A)R activation (Fig. 2), allowing other ion flows [such as a bicarbonate (HCO<sub>3</sub><sup>-</sup>) efflux] to dominate (Kaila, Voipio et al. 1993, Staley, Soldo et al. 1995, Rivera, Voipio et al. 1999, Sun and Alkon 2001, Coull, Boudreau et al. 2003).

Dr. Steffensen's recent collaboration with Dr. van der Kooy's lab demonstrated that this switch in GABA(A)Rs on VTA GABA neurons is associated with opiate dependence (Vargas-Perez, Kee et al. 2009, Vargas-Perez, Bahi et al. 2014). The functional switch results from increased levels of brain-derived neurotrophic factor (BDNF), which activates the high-affinity tyrosine kinase B (TrkB) receptor (Vargas-Perez, Kee et al. 2009), which is expressed in VTA GABA neurons (Numan, Lane-Ladd et al. 1998). Ethanol withdrawal produces adaptations in VTA GABA neurons (Gallegos, Criado et al. 1999, Brodie 2002, Hopf, Martin et al. 2007) and GABA(A)R subunit composition in the VTA and the

hippocaumpus (Charlton, Sweetnam et al. 1997, Cagetti, Liang et al. 2003), and it is reasonable to assume that these changes are important for precipitating this switch in the neurobiological substrates mediating ethanol reinforcement. Functionally, the switch is caused by a change in the ion conductance properties of the GABA(A)Rs themselves (Staley, Soldo et al. 1995, Stein and Nicoll 2003, Laviolette, Gallegos et al. 2004). Figure 2 illustrates the key molecular substrates proposed to mediate the switch in functionality of the GABA(A)R on VTA GABA neurons. This model is based mostly on the work of van der Kooy's lab with opiates, but applied here to ethanol.

#### RATIONALE AND HYPOTHESES

As previously mentioned, VTA GABA neurons become markedly hyperexcitable during withdrawal from ethanol as measured in single-unit recordings using an *in vivo* system (Gallegos, Criado et al. 1999). Alterations in VTA GABA neurons will in turn alter DA activity and subsequent DA release in the NAc and ultimately lead to drug dependence. VTA GABA neurons are a relevant target for ethanol in the brain (Steffensen, Walton et al. 2009, Steffensen, Bradley et al. 2011). The neuroadaptations of VTA GABA neurons may underlie the behaviors seen in alcohol use disorders. By observing neuronal activity during withdrawal, we can point to mechanisms behind the hedonic drive that leads alcoholics to relapse. I explored the roles of GLU- and GABA-mediated changes in VTA GABA neurons at two levels of ethanol exposure and two different time points of withdrawal. Our original hypotheses are outlined here:

Hypothesis 1: Withdrawal from <u>Acute</u> Ethanol (Non-Dependent Condition) will Enhance GLU NMDA Receptor-Mediated, and Decrease GABA(A) Receptor-Mediated Synaptic Transmission, to VTA GABA Neurons.

Hypothesis 2: Withdrawal from <u>Chronic</u> Ethanol (Dependent Condition) will Affect GABA(A) Receptor-Mediated, but not NMDA Receptor-Mediated Synaptic Transmission, to VTA GABA Neurons.

Hypothesis 3: GABA(A) Receptors on VTA GABA Neurons will Switch Their Function with Alcohol Dependence Through a Change in the Chloride Gradient.

The work from this project dissects the contributions of GLU and GABA inputs on VTA

GABA neuron hyperexcitability and suggests a mechanism behind the altered function of the

GABA(A) receptor.

#### **METHODS**

#### Animal Subjects

Male C57BL6 (black) and glutamate-decarboxylase-67 (GAD-67)-green fluorescent protein knock-in CD-1 (white albino) mice (Tamamaki, Yanagawa et al. 2003) were bred and cared for in accordance with the National Institutes of Health (NIH) Guide for the Care and Use of Laboratory Animals. For each methodology employed, animals were treated in strict accordance with the Brigham Young University Animal Research Committee (IACUC) guidelines, which incorporated and often exceeded current NIH guidelines. The BYU IACUC reviewed and approved the procedures detailed herein. Once weaned at post-natal day 21, all mice were housed in maximum groups of four and given ad libitum access to solid food and water and placed on a reverse light/dark cycle with lights ON from 8 PM to 8 AM. Any mice used in injection experiments were anesthetized with isoflurane (5%), and injected intraperitoneally (IP) with a sterile needle. Animals returned to their home cages 30 minutes following the injection.

#### Chronic Intermittent Ethanol Exposure

Animals were exposed to chronic intermittent ethanol (CIE) in alcohol vapor chambers where blood alcohol levels (BALs) could be determined. They were exposed to 200 mg% BAL for sixteen hours (1000-0200 hours) beginning in their dark cycle (i.e., reverse cycle light). Three weeks of chronic intermittent exposure were required to produce alcohol dependence (Gallegos, Criado et al. 1999). As a check for dependence, we evaluated DA release in the NAc, which has been shown to be diminished in alcohol dependent animals. We also measured dependence though a behavioral model of alcohol drinking, described below.

# Drink-in-the-dark Behavioral Experiments

To observe a behavioral correlate of escalating alcohol dependence, C57BL6 mice were trained and evaluated on a drink-in-the-dark (DID) two-bottle choice alcohol drinking test. Animals were removed from home cages three hours into the dark cycle, and placed individually in cages with bedding and food removed. They were given two sipper tubes, with one containing tap water and the other containing tap water and ethanol (20% v/v). The mice were allowed to drink from the tubes for two hours in the dark, and were then returned to their home cages.

#### Preparation of Brain Slices

All brain slice preparations were performed in P18-60 day old C57BL/6 and GAD-GFP knock-in mice. Brains were extracted under isoflurane (5 %) anesthesia. Upon extraction, the brain was glued onto a cutting stage. The brain was then sectioned in ice-cold cutting solution (in mM: 194 Sucrose, 30 NaCl, 4.5 KCl, 1 MgCl<sub>2</sub>, 26 NaH<sub>2</sub>CO<sub>3</sub>, 1.2 NaH<sub>2</sub>PO<sub>4</sub>, 10 Glucose) and perfused with 95 % O<sub>2</sub> / 5 % CO<sub>2</sub>. Targeting the VTA, horizontal slices (210  $\mu$ m thick) were placed in an incubation chamber containing artificial cerebral spinal fluid (ACSF; in mM: 124 NaCl, 3 KCl, 1.25 NaH<sub>2</sub>PO<sub>4</sub>, 26 NaHCO<sub>3</sub>, 12 glucose, 1.5 MgSO<sub>4</sub>, 2 CaCl<sub>2</sub>) perfused with 95 % O<sub>2</sub> / 5 % CO<sub>2</sub> for at least 30 minutes. After 30 minutes, brain slices were placed in a recording tissue chamber with ACSF continuously flowing at physiological temperatures (35 °C).

# Characterization of Neuron Types

GABA neurons were studied in GAD-GFP knock-in mice and C57BL/6 mice. In GAD-GFP knock-in mice, VTA GABA neurons were identified by a characteristic glow under fluorescence illumination. In C57BL/6 mice, VTA GABA neurons were characterized using a GABA spike command waveform [spikes at 200 Hz for 500msec; (Steffensen, Taylor et al. 2008)] as GABA neurons follow the command waveform. Neurons that did not fluoresce and/or

exhibited a non-cation specific inward rectifying current (I<sub>h</sub>) with low input resistance, and did not follow the voltage command waveform in current clamp mode were assumed to be DA neurons (Johnson and North 1992, Allison, Ohran et al. 2006, Margolis, Lock et al. 2006, Allison, Wilcox et al. 2011, Steffensen, Bradley et al. 2011).

#### Whole-cell Recordings in Brain Tissue Slices

Electrodes were pulled from borosilicate glass capillary tubes and filled with a KCl pipette solution [in mM: 128 KCl, 20 NaCl, 0.3 CaCl<sub>2</sub>, 1.2 MgCl<sub>2</sub>, 10 HEPES, 1 EGTA, 2 Mg-ATP, 0.25 Na-GTP, and 4.5 QX-314 (pH 7.3)] for inhibitory post-synaptic current (IPSC) studies. A K-gluconate pipette solution [in mM: 123 K-gluconate, 0.2 EGTA, 10 HEPES, 8 NaCl, 2 Mg-ATP, 2 Na3-GTP, and 4.5 QX-314 (pH 7.3)] was used for excitatory post-synaptic current (EPSC) studies. QX-314 was included in pipettes to eliminate action potentials interfering with data collection in the cell being recorded. Pipettes having tip resistances of 2.5 – 5 M $\Omega$ , and series resistances typically ranging from 7 to 15 M $\Omega$  were used. Voltage clamp recordings were filtered at 2 kHz while current-drive spikes were filtered at 10 kHz with an Axon Instruments Multiclamp 700B amplifier and digitized at 5 to 20 kHz respectively using an Axon 1440A digitizer. Axon Instruments pClamp ver10, Mini Analysis (Synaptsoft: Decatur, GA), and Igor Pro (Wavemetrics: Oswego, OR) software packages were utilized for data collection and analysis. IPSCs were recorded in the presence of 50 µM (2R)-amino-5phosphonovaleric acid (APV) and 30 µM 6-cyano-7-nitroquinoxaline-2,3-dione (CNQX) or 3 mM kynurenic acid to block NMDA and AMPA mediated synaptic currents. EPSCs were recorded in the presence of 100 µM picrotoxin to block GABA and glycine mediated synaptic currents. Minis were recorded in the presence of 500 µM lidocaine to block action potentials. For measurement of AMPA/NMDA ratio and AMPA rectification, evoked EPSCs (eEPSCs) were

recorded at +40 mV to remove the magnesium block from the NMDA receptor. 50  $\mu$ M APV was given partway to block NMDA currents, and the NMDA component of the total current was calculated by subtraction. AMPA rectification was calculated by fitting a polynomial curve to the current-voltage plot with current values taken at holding voltages of -70, -40, -20, 0, 20, and 40 mV. The Goldstein rectification index (RI) was calculated according to RI = [I+19/(19 - aE<sub>rev</sub>)]/[I-79/(-79 - aE<sub>rev</sub>)] where aE<sub>rev</sub> is the apparent reversal potential in standard bath and I+19 and I-79 are the averaged currents at +19 and -79 mV, respectively (Goldstein, Lee et al. 1995). The Wang RI was calculated according to RI = (AMPA-EPSC+60mV)/ (AMPA-EPSC-60mV) where AMPA-EPSC is the peak current recorded at the respective holding potentials (Wang and Gao 2012).

Cell-attached, Voltage-clamp Recording of Spike Activity in Brain Slices

Cell-attached mode studies used electrodes pulled from borosilicate glass capillaries (2.5-6M $\Omega$ ) and then filled with a NaCl solution containing (in mM): 124 NaCl, 2 KCl, 1.25 NaH<sub>2</sub>PO<sub>4</sub>, 26 NaHCO<sub>3</sub>, 1.2 MgSO<sub>4</sub>, 2 CaCl<sub>2</sub> adjusted to pH 7.4 with KOH. Positive pressure was applied to the electrode when approaching the neuron. By applying suction to the electrode, a seal (10 M $\Omega$  – 1 G $\Omega$ ) was created between the cell membrane and the recording pipette. Spontaneous spike activity was then recorded in voltage-clamp mode with an Axon Instruments Multiclamp 700B amplifier, sampled at 10 kHz using an Axon 1440A digitizer, and collected and analyzed using pClamp10 software. Neurons were voltage-clamped at 0 mV throughout these experiments. A stable baseline recording of firing activity was obtained for 5-10 min before adding any substances.

#### **BDNF** Protein Determination

Tissue punches containing the VTA were excised from 1 mm thick brain slices and immediately placed on dry ice. Samples were stored at -20 °C until used in the protein assay. An

enzyme-linked immunosorbent assay (ELISA) kit from Biosensis was used to determine pro-BDNF and mature BDNF concentrations in the tissue.

# Perforated Patch Electrophysiology

To determine E<sub>Cl</sub>-, perforated patch electrophysiology recordings were performed on VTA GABA neurons. The slice containing the VTA was slowly perfused (~ 1ml/min) with ACSF containing pronase (0.167 mg/ml) for 30 minutes to 1 hour. This step appeared to help open the slice for easier mobility of the pipette in order to obtain perforated patches. The tips of the microelectrodes, with resistances between 4 and 8 M $\Omega$ , were prefilled with the pipette solution containing (in mM): 135 KCl, 0.5 CaCl<sub>2</sub>, 2 MgCl<sub>2</sub>, 10 HEPES, 5 Na-EGTA adjusted to pH 7.2 with KOH and backfilled with the same pipette solution containing 50 µg/ml of the poreforming antibiotic gramicidin. Gramicidin creates holes in the membrane of the patch that are permeable to monovalent cations (but not the anion chloride), thus providing electrical access to the cell while maintaining the integrity of the cytoplasm. The access resistance was used to monitor the progression of the perforation. In voltage-clamp mode, currents were elicited by exogenously applying the GABA(A)R agonist muscimol (300 µM; Sigma) with a gravity-fed solution delivery system via a glass pipette with a tip diameter of  $\sim 70 \ \mu m$ . Each cell was held at the following potentials as muscimol was applied: -100mV, -80mV, -60mV, -40mV, -20mV, 0mV

# Drug Preparation and Administration

Drugs used *in vitro* were made fresh in distilled water, added to ACSF, and superfused on brain slices: kynurenic acid (3 mM; Sigma-Aldrich), muscimol (10 nM – 10  $\mu$ M; Sigma-Aldrich), APV (50  $\mu$ M; Abcam), picrotoxin (100  $\mu$ M; Sigma-Aldrich), lidocaine (500  $\mu$ M; Sigma-Aldrich). Ethanol (5, 10, 30, 50 mM) is added to ACSF after baseline recordings. Drugs

used for injections were added to sterile 0.9% saline and injected IP: ethanol (16% ethanol v/v), MK-801 (1 mg/kg; Sigma-Aldrich).

# Statistical Analyses

All results are presented as raw mean values and percent control  $\pm$  standard error of the mean (SEM). Results between groups were compared using a two-tailed unpaired *t* test or ANOVA. Experiments relying on variance in time or current were analyzed using mixed models ANOVA with post hoc t-test at individual points. Statistical significance required  $\geq$  95 % level of confidence (P $\leq$ 0.05). Analysis software included Minianalysis (Synaptosoft), Clampfit (Molecular Devices), Microsoft Excel, and Igor Pro (Wavemetrics, Oswego, OR). Significance levels were indicated on graphs with asterisks \*,\*\*,\*\*\*, corresponding to significance levels p<0.05, 0.01 and 0.001, respectively. Figures were constructed with Igor Pro software.

#### RESULTS

Drink-in-the-Dark Behavioral Measure of Ethanol Dependence

To assess the alcohol dependence of the animals in the CIE vapor chambers, we evaluated alcohol drinking using a DID paradigm. C57BL6 mice underwent a 5 day two-bottle choice alcohol drinking test for 2 hours per day on alternating weeks with bottles containing tap water or tap water plus ethanol (20 % v/v). Week 0 was the baseline alcohol drinking week. DID was then measured on even weeks, and

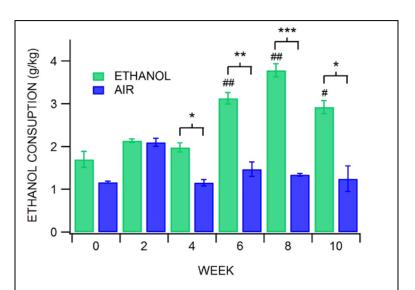


Figure 3: DID Measurement of Alcohol Dependence. Animals were tested for 5 days on a 2 hour DID test on even weeks (shown). On odd weeks animals were administered either CIE vapor or air. The ethanol group showed significantly more alcohol consumed after 2 weeks of CIE exposure, and more reliably after 3 weeks of CIE exposure. On week 9, animals were kept in withdrawal and no ethanol was administered. Week 10 shows that dependent animals retained increased drinking even after one week of withdrawal. Asterisks mark statistical significance between air and CIE vapor groups. Pound signs mark statistical significance between a given week and week 0.

animals received CIE vapor on odd weeks of 16 hours per day CIE vapor for 4 days and 3 days of withdrawal. Control animals also performed the 5 day DID test on even weeks, but received air on odd weeks. During week 9, mice were kept in withdrawal and did not receive ethanol administration. Figure 3 shows the progression of alcohol consumed by each group. Weeks 4, 6, 8, and 10, show statistical significance between ethanol and air groups, which were analyzed by one-way ANOVA [week 4:  $F_{(1,8)}$ =7.015 and p= 0.029; week 6:  $F_{(1,8)}$ =13.757 and p= 0.006; week 8:  $F_{(1,8)}$ =35.138 and p= 0.0004; week 10:  $F_{(1,8)}$ =8.128 and p= 0.021]. In addition, the ethanol group consumed significantly more ethanol than they did during the baseline drinking test (Week

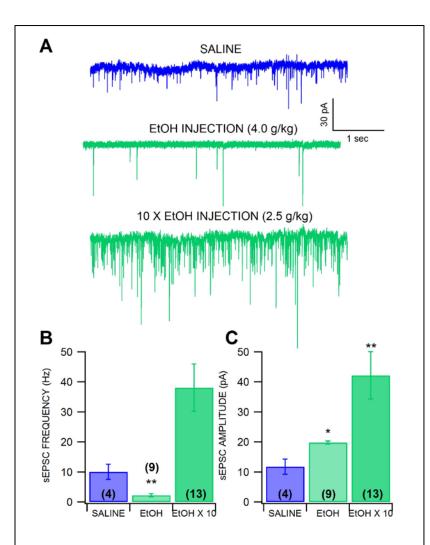


Figure 4: Spontaneous EPSCs in VTA GABA Neurons Following an Ethanol Exposure.

(A) Representative traces for each condition. Each trace represents 5 seconds of recording. Mice were injected once with saline or ethanol (4.0 g/kg) 24 hours before recording, or mice were injected with ethanol (2.5 g/kg) for 10 days and cells were recorded 24 hours after the last injection. (B) There was a significant difference in frequency of sEPSCs in VTA GABA neurons between animals that received a single injection of ethanol and saline-injected controls, but not between animals that received 10 ethanol injections and saline controls. sEPSCs in VTA GABA neurons in saline-injected mice had a frequency of 10.1 +/- 2.6 Hz. In ethanol-injected animals, sEPSCs had a frequency of 2.3 +/- 0.5 Hz. In 10 times ethanol-injected mice, sEPSCs had a frequency of 38.1 +/- 7.9 Hz. (C) There was a significant difference in amplitude of sEPSCs in VTA GABA neurons between animals that received a single injection of ethanol and saline-injected controls, and a significant difference between animals that received 10 ethanol injections and saline controls. sEPSCs in VTA GABA neurons in saline-injected mice had an amplitude of 11.8 +/- 1.3 pA. In ethanol-injected animals, sEPSCs had an amplitude of 19.8 +/- 2.2 pA. In 10 times ethanolinjected mice, sEPSCs had an amplitude of 42.2 +/- 4.7 pA.

0) on weeks 6, 8, and 10 [week 6:  $F_{(1,8)}=12.087$  and p= 0.008; week 8:  $F_{(1,8)}=18.893$ and p= 0.002; week 10:  $F_{(1,8)}=5.839$  and p= 0.042]. There was no significant difference in preference for ethanol between groups (data not shown).

Glutamatergic Spontaneous Events Following Acute and Chronic Ethanol

Spontaneous EPSCs (sEPSCs) were measured as a sign of GLU plasticity. All recordings were taken 24 hours following the last ethanol exposure (Fig. 4). One-way ANOVA was run between conditions. There was a significant difference in sEPSC frequency in VTA GABA neurons between mice that received a single injection of ethanol (4.0 g/kg) and salineinjected controls ( $F_{(1,11)}=18.546$ ; p=0.001). There was also a significant difference in amplitude of sEPSCs between mice that received a single injection of ethanol (4.0 g/kg) and salineinjected controls ( $F_{(1,11)}=5.521$ ; p=0.039), and a significant difference between mice that received 10 days of ethanol (2.5 g/kg) injections ( $F_{(1,15)}=12.325$ ; p=0.003).

Mini EPSCs (mEPSCs) were also measured as a sign of GLU plasticity (Fig. 5). All minis were recorded in the presence of 500 µM lidocaine to remove eventrelated potentials. All recordings were taken 24 hours following the last ethanol exposure. One-way ANOVA was run between conditions. There was no significant

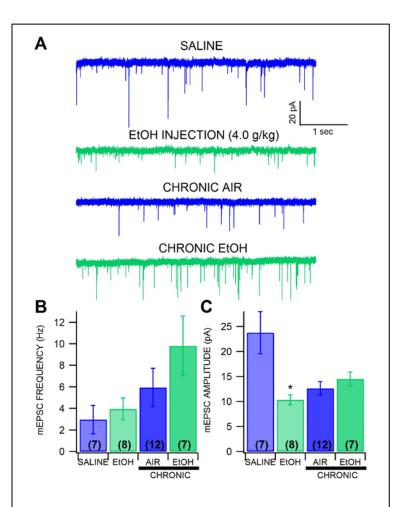


Figure 5: Mini EPSCs in VTA GABA Neurons Following an Ethanol Exposure.

(A) Representative traces for each condition. Each trace represents 5 seconds of recording. Mice were injected once with saline or ethanol (4.0 g/kg) 24 hours before recording, or mice were exposed to air or CIE vapor and cells were recorded 24 hours after the last exposure. (B) There was no significant difference in frequency of mEPSCs in VTA GABA neurons between ethanolinjected and saline-injected mice or air-exposed and ethanol vapor-exposed mice. mEPSCs in VTA GABA neurons in salineinjected mice had a frequency of 3.0 +/- 1.3 Hz. In ethanolinjected animals, mEPSCs had a frequency of 4.0 +/- 1.0 Hz. In air-exposed mice, mEPSCs had a frequency of 5.9 +/- 1.8 Hz. In CIE-exposed mice, mEPSCs had a frequency of 9.8 +/- 2.8 Hz. (C) There was a significant difference in amplitude of mEPSCs in VTA GABA neurons between animals that received a single injection of ethanol and saline-injected controls, and no significant difference between CIE vapor-exposed mice and air-exposed controls. mEPSCs in VTA GABA neurons in saline-injected mice had an amplitude of 23.7 +/- 4.2 pA. In ethanol-injected animals, mEPSCs had an amplitude of 10.3 +/- 1.0 pA. In air-exposed mice, sEPSCs had an amplitude of 12.6 +/- 1.3 pA. In CIE vaporexposed mice, sEPSCs had an amplitude of 14.5 +/- 1.4 pA.

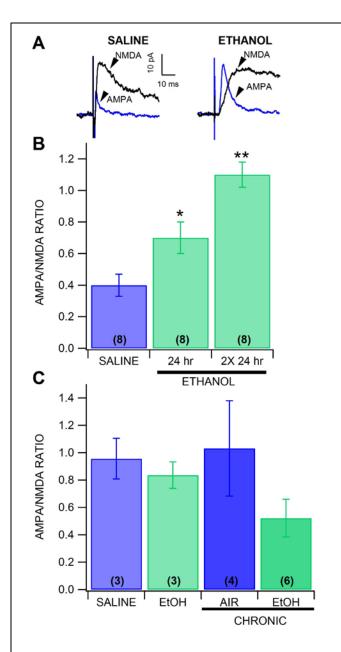
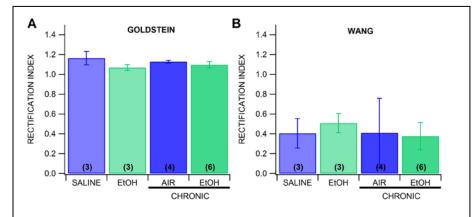


Figure 6: Enhancement of AMPA/NMDA Ratio in VTA GABA Neurons Following an Ethanol Exposure. (A) Representative superimposed traces are shown for AMPAR and NMDAR-mediated eEPSCs in mice that received a single injection of saline (left) or ethanol (right) 24 hr prior to recordings. (B) A single injection of 2.5 g/kg ethanol 24 hours prior to recordings significantly enhanced AMPA/NMDA ratio. Two injections separated by 24 hours showed even more enhancement in AMPA/NMDA ratio. (C) More recent data for AMPA/NMDA ratio in the acute condition and the chronic condition. Neurons were held at +40 mV to minimize spiking and remove the magnesium block from NMDARs. difference in mEPSC frequency in VTA GABA neurons between animals that received a single injection of ethanol (4.0 g/kg) and saline-injected controls or between CIE vapor-exposed mice and air-exposed controls. There was a significant difference in amplitude of mEPSCs between mice that received a single injection of ethanol (4.0 g/kg) and saline-injected controls ( $F_{(1,13)}=10.954$ ; p=0.006), but no significant difference between CIE vapor-exposed mice and air-exposed mice. We also have some data from cells that are recorded after a one hour presoak in ethanol, but results are mixed and unremarkable.

Despite statistical significance, I am hesitant about the validity of the data from these sEPSC and mEPSC experiments. Cells demonstrated lots of variability in EPSC rate and amplitude within the same recording and between cells. Further investigation is necessary to determine if differences are real.

#### AMPA/NMDA Ratio Following Acute and Chronic Ethanol

As an additional measure of GLUergic plasticity, we recorded AMPA/NMDA ratio on VTA GABA neurons. Although not published yet, the Steffensen lab had previously shown an increased AMPA/NMDA ratio recorded 24 hours after a single IP injection of ethanol (2.5 g/kg), which becomes even more pronounced after 2 days of ethanol injections (Fig. 6 A,B). However,



we have not been able to replicate this effect more recently (Fig. 6 C). In the latest analysis, there was no significant difference in AMPA/NMDA ratio between any of the groups. To further

Figure 7: Rectification Index for AMPA Current in VTA GABA Neurons Following an Ethanol Exposure.

The rectification index (RI) is a measure of current rectification about the reversal potential. A single injection of 4.0 g/kg ethanol or saline 24 hours prior to recording was given in the acute condition. Animals in the chronic condition received 3 weeks of CIE vapor or air, and recordings were taken 24 hours after the last ethanol exposure. (A) The Goldstein RI did not change with ethanol exposure. (B) The Wang RI did not change with ethanol exposure. See text for formulas for RI.

investigate changes in AMPA receptor function, AMPA rectification was measured. As a reflection of AMPA rectification, we calculated a rectification index (RI) using two different methods, labeled as Goldstein RI and Wang RI for the authors of the papers (Goldstein, Lee et al. 1995, Wang and Gao 2012). Both measures of RI compare AMPA current at different voltages about the reversal potential. A Goldstein RI > 1.0 reflects an outward rectification, and a RI < 1.0 reflects an inward rectification. The Goldstein RI takes the reversal potential into account

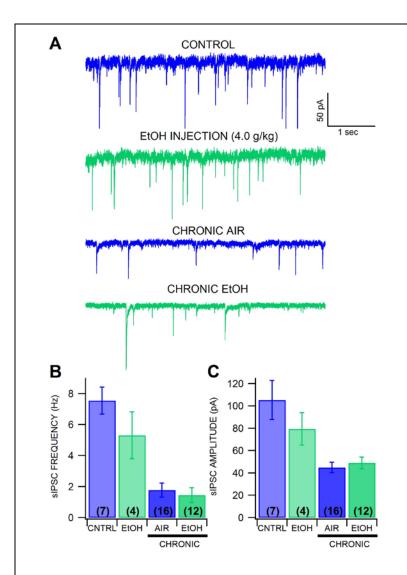


Figure 8: Spontaneous IPSCs in VTA GABA Neurons Following an Ethanol Exposure.

(A) Representative traces for each condition. Each trace represents 5 seconds of recording. Mice were injected once with ethanol (4.0 g/kg) 24 hours before recording, or mice were exposed to air or CIE vapor and cells were recorded 24 hours after the last exposure. (B) There was no significant difference in frequency of sIPSCs in VTA GABA neurons between conditions. sIPSCs in VTA GABA neurons in control mice had a frequency of 11.1 +/- 1.3 Hz. In ethanol-injected animals, sIPSCs had a frequency of 5.3 +/- 1.5 Hz. In air mice, sIPSCs had a frequency of 1.8 +/- 0.5 Hz. In CIE vapor mice, sIPSCs had a frequency of 17.3 +/- 0.5 Hz. (C) There was no significant difference in amplitude of sIPSCs in VTA GABA neurons between conditions. sIPSCs in VTA GABA neurons in control mice had an amplitude of 105.4 +/- 17.4 pA. In ethanol-injected animals, sIPSCs had an amplitude of 79.5 +/- 14.5 pA. In air mice, sIPSCs had an amplitude of 44.9 +/- 4.7 pA. In CIE vapor mice, sIPSCs had an amplitude of 49.0 +/- 5.2 pA.

mathematically, and the Wang RI is a direct comparison of current about the reversal potential. There was no significant difference between groups on either measurement of RI (Fig. 7). GABAergic Spontaneous Events Following Acute and Chronic Ethanol Spontaneous IPSCs

(sIPSCs) were measured as a

sign of GABA plasticity (Fig.

8). All recordings were taken 24

hours following the last ethanol

exposure. One-way ANOVA

was run between conditions.

There was no significant difference in sIPSC frequency in VTA GABA neurons between mice that received a single injection of ethanol (4.0 g/kg) and controls, or between airexposed and CIE vapor-exposed mice in the chronic condition. There was also no significant difference in amplitude of sIPSCs between mice that received a single injection of ethanol (4.0 g/kg) and controls, or between air-exposed and CIE vaporexposed mice.

Mini IPSCs (mIPSCs) were also measured as a sign of GABA plasticity (Fig. 9). All minis were recorded in the presence of 500 µM lidocaine to remove event-related potentials. All recordings were taken 24 hours following the last ethanol exposure. One-way ANOVA was run between conditions. There was no significant difference in mIPSC frequency in VTA GABA neurons between animals that received a single injection of ethanol (4.0 g/kg) and saline-

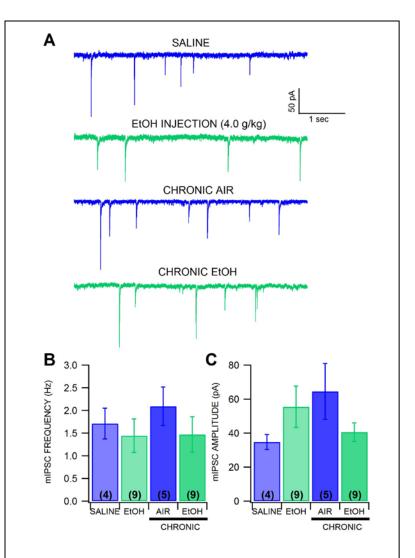


Figure 9: Mini IPSCs in VTA GABA Neurons Following an Ethanol Exposure.

(A) Representative traces for each condition. Each trace represents 5 seconds of recording. Mice were injected once with saline or ethanol (4.0 g/kg) 24 hours before recording, or mice were exposed to air or CIE vapor and cells were recorded 24 hours after the last exposure. (B) There was no significant difference in frequency of mIPSCs in VTA GABA neurons between conditions. mIPSCs in VTA GABA neurons in saline-injected mice had a frequency of 1.7 +/- 0.3 Hz. In ethanol-injected animals, mIPSCs had a frequency of 1.4 +/- 0.4 Hz. In air mice, mIPSCs had a frequency of 2.1 +/- 0.4 Hz. In CIE vapor mice, mIPSCs had a frequency of 1.5 +/- 0.4 Hz. (C) There was no significant difference in amplitude of mIPSCs in VTA GABA neurons between conditions. mIPSCs in VTA GABA neurons in saline-injected mice had an amplitude of 34.8 +/- 4.4 pA. In ethanolinjected animals, mIPSCs had an amplitude of 55.5 +/- 12.1 pA. In air mice, mIPSCs had an amplitude of 64.5 +/- 16.4 pA. In CIE vapor mice, mIPSCs had an amplitude of 40.6 +/- 5.4 pA.

injected controls or between CIE vapor-exposed mice and air-exposed controls. There was no significant difference in amplitude of mIPSCs between mice that received a single injection of ethanol (4.0 g/kg) and saline-injected controls, and no significant difference between CIE vapor-exposed mice and air-exposed mice. We also have some data from cells that are recorded after a one hour presoak in ethanol, but results were mixed and unremarkable.

Muscimol Inhibition of Firing Rate Following Acute Ethanol

GAD GFP mice were given a 4.0 g/kg IP injection of ethanol. While a dose of 2.5 g/kg ethanol did not consistently intoxicate GAD GFP mice, 4.0 g/kg did. Firing rate was recorded in VTA GABA neurons 24 hours after ethanol or saline administration. Figure 10 illustrates that firing rate in VTA GABA neurons was less sensitive to superfusion of the GABA(A) agonist muscimol (0.01, 0.1, 1.0, 10.0  $\mu$ M) in animals treated with a single injection of ethanol. Interestingly, when the NMDAR antagonist MK-801 (0.5 mg/kg) was given as an IP injection 15 minutes before ethanol, this effect was blocked (Fig. 10 C), and VTA GABA neuron firing rate

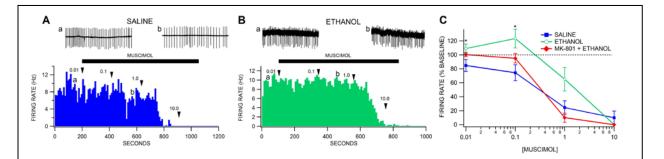


Figure 10: Acute Ethanol Injection Reduces Muscimol Inhibition.

(A) The ratemeter shows the firing rate of a VTA GABA neuron (traces in a,b recorded at times indicated on graph) recorded in a brain slice from a mouse injected with saline once, 24 hours before recording. This representative neuron had a baseline firing rate of approximately 9.3 Hz. Muscimol markedly inhibited the firing rate of this VTA GABA neuron. All insets are representative 5 sec traces of GABA neuron spike activity recorded before (inset a) and after (inset b) 100 nM muscimol. (B) The ratemeter shows the firing rate of a GABA neuron, which had a baseline firing rate of approximately 9.6 Hz, before and after application of  $0.01 - 10.0 \mu$ M muscimol in a mouse that was treated with 4.0 g/kg ethanol once, 24 hr before recording. This mouse was resistant to muscimol's inhibitory effects up to 1  $\mu$ M. (C) Muscimol significantly inhibited the firing rate of VTA GABA neurons in chronic saline-treated animals, which was significantly reduced in chronic ethanol-treated mice. The NMDAR antagonist MK-801 blocked ethanol's effect on GABA neuron firing rate muscimol sensitivity. Asterisks mark a significant difference between the ethanol group and the saline group.

remained sensitive to muscimol's inhibitory effects. A one-way ANOVA test was run on the normalized firing rate values for each dose of muscimol. The ethanol-injected group is significantly different from saline controls at 0.01  $\mu$ M muscimol (F<sub>(1,16)</sub>=5.214; p=0.036) and at 0.1  $\mu$ M muscimol (F<sub>(1,13)</sub>=7.549; p=0.017). The ethanol group is significantly different from the MK-801 and ethanol group at 1.0  $\mu$ M muscimol (F<sub>(1,7)</sub>=11.05; p=0.013).

Muscimol Inhibition of Firing Rate Following Chronic Ethanol

After 14 days of twice daily injections of 3.0 g/kg ethanol, muscimol effects were evaluated on VTA GABA neuron firing rate in GAD GFP mice and compared to their salineinjected controls. Firing rate was measured 24 hours after the last administration of ethanol. Figure 11 illustrates that there was less sensitivity to muscimol (0.01, 0.1, 1.0, 10.0  $\mu$ M) in animals treated with ethanol versus saline-injected controls.

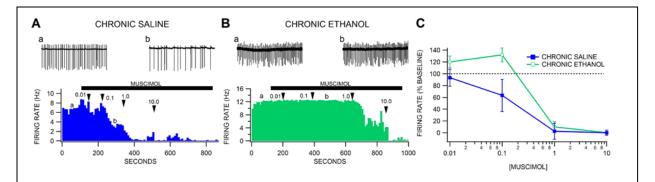
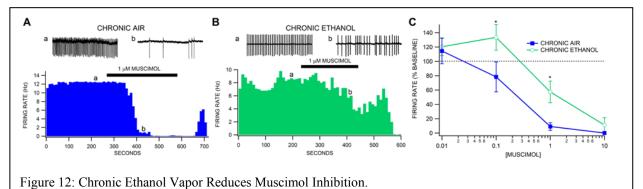


Figure 11: Chronic Ethanol Injection Reduces Muscimol Inhibition. (A) The ratemeter shows the firing rate of a VTA GABA neuron (traces in a,b recorded at times indicated on graph) recorded in a brain slice from a mouse injected with saline (Tripathi, Prensa et al.) twice daily for 14 days. This representative neuron had a baseline firing rate of approximately 7 Hz. Muscimol markedly inhibited the firing rate of this VTA GABA neuron. All insets are representative 5 sec traces of GABA neuron spike activity recorded before (inset a) and after (inset b) 100 nM muscimol. (B) The ratemeter shows the firing rate of a GABA neuron, which was approximately 12 Hz, before and after application of  $0.01 - 10.0 \ \mu M$  muscimol in a mouse that was treated with 3.0 g/kg ethanol twice daily for 14 days. This mouse was resistant to muscimol's inhibitory effects up to 100 nM. (C) Muscimol significantly inhibited the firing rate of VTA GABA neurons in chronic saline-treated animals, which was significantly reduced in chronic ethanol-treated mice.

Additionally, we measured VTA GABA neuron firing rate sensitivity to muscimol after 3

weeks of chronic intermittent ethanol in alcohol vapor chambers, compared to air controls.

Figure 12 illustrates that there was less sensitivity to muscimol (0.01, 0.1, 1.0, 10.0  $\mu$ M) in animals treated with ethanol vapor versus air-exposed controls, similar to what was seen with chronic injected animals and single injected animals. A one-way ANOVA test was run on each dose of muscimol between ethanol and air groups. There is statistical significance at 100 nM muscimol (F<sub>(1,26)</sub>=4.470; p=0.044) and 1.0  $\mu$ M muscimol (F<sub>(1,31)</sub>=7.096; p=0.012).



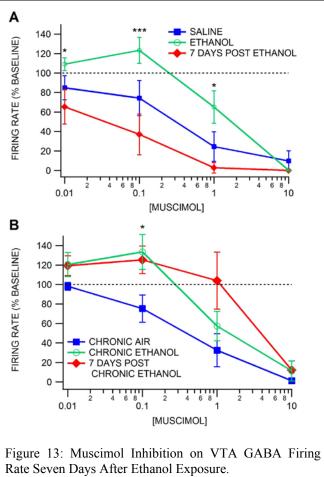
(A) The ratemeter shows the firing rate of a VTA GABA neuron (traces a, b recorded at times indicated on graph) recorded in a brain slice from a mouse exposed to air. This representative neuron had a baseline firing rate of approximately 8 Hz. 1 $\mu$ M Muscimol markedly inhibited the firing rate of this VTA GABA neuron. All insets are representative 5 sec traces of GABA neuron spike activity recorded before (inset a) and after (inset b) 1 $\mu$ M muscimol. (B) The ratemeter shows the firing rate of a GABA neuron, which was approximately 8 Hz, before and after application of 1 $\mu$ M muscimol in a mouse that was exposed to ethanol (200mg% BAL) for eight hours (1000-1800 hours) during their dark cycle for 14 days. This mouse was more resistant to muscimol's inhibitory effects up to 1  $\mu$ M than its air-exposed control. (C) 1 $\mu$ M muscimol significantly inhibited the firing rate of VTA GABA neurons in air-exposed animals, which was significantly reduced in chronic ethanol-exposed mice.

We also measured this phenomenon after 7 days of withdrawal from ethanol. Seven days after an administration of ethanol in the non-dependent condition, VTA GABA neurons were once again sensitive to muscimol's inhibitory effects (Fig. 13 A). The data from figure 10 is repeated in figure 13 as a reference. As measured in a one-way ANOVA, there was statistical significance between the ethanol-injected group measured 24 hours after administration and the ethanol-injected group measured 7 days after ethanol administration at 0.01  $\mu$ M muscimol (F<sub>(1,22)</sub>=4.694; p=0.041), 0.1  $\mu$ M muscimol (F<sub>(1,14)</sub>=19.839; p=0.0005), and 1.0  $\mu$ M muscimol (F<sub>(1,5)</sub>=9.687; p=0.026). There is no statistical significance between the 7 day post-ethanol group

and the saline-injected group. However, in the chronic condition, there was not a reversal after 7 days of withdrawal (Fig. 13 B). The data from the chronic ethanol group that was recorded after 24 hours of withdrawal (Fig. 12) is included as a reference. There is a statistically significiant difference between the chronic ethanol group after 7 days of withdrawal and the chronic air group after 7 days of withdrawal at 0.1  $\mu$ M muscimol (F<sub>(1,20)</sub>=4.725; p=0.042).

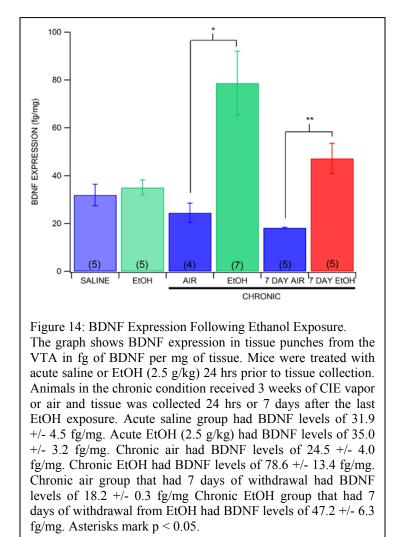
# BDNF Expression in the VTA

Although not originally proposed in my prospectus, we have investigated BDNF levels in the VTA using an ELISA. Figure 14 illustrates the different levels of



Rate Seven Days After Ethanol Exposure. (A) VTA GABA neuron firing rate reverses to be sensitive once again to muscimol inhibition 7 days after a single ethanol injection. Asterisks mark a significant difference between the 24 hr ethanol group and the 7 day ethanol group. (B) VTA GABA neuron firing rate remains sensitive to muscimol inhibition after 7 days of withdrawal. Asterisk marks a significant difference between 7 day chronic air and 7 day chronic ethanol.

BDNF expressed in a variety of ethanol exposure conditions. In the acute condition, ethanolinjected animals received 2.5 g/kg ethanol 24 hours prior to tissue collection and were compared to saline-injected controls. Animals in the chronic condition received 3 weeks of CIE vapor or air and tissue was taken 24 hours or 7 days after the last ethanol exposure as indicated. A one-way ANOVA test was run to compare groups. We found significantly elevated BDNF in the chronic ethanol group as compared to the chronic air group ( $F_{(1,9)}$ =8.674; p=0.016) and significantly



more BDNF in the chronic ethanol group withdrawn for 7 days as compared to the chronic air group removed from chambers for 7 days  $(F_{(1,9)}=21.015; p=0.002).$ 

Chloride Reversal Potential with Perforated Patch Clamp

We have been struggling to get results for this experiment, largely because of difficulties obtaining perforated patches in older animals. The animals are a minimum of seven weeks old after completing a chronic ethanol treatment. We tried dissociating neurons from slices, but

it is difficult and produces about 1-2 neurons per slice in the dish. None of the neurons we observed from dissociating neurons in older animals were healthy enough to withstand a patch, despite changes to the dissociation method. One group was able to measure the chloride reversal potential in older mice using perforated patch in the hippocampus of brain slices (MacKenzie and Maguire 2015). We are still optimistic that we may be able to obtain perforated patches in the VTA in brain slices, even though the VTA can be more difficult than the hippocampus. Currently, we are attempting to pretreat the slice with a one hour perfusion of pronase (1 mg/6 ml ACSF) to open up the tissue and improve visibility. I believe we had one successful

perforated patch, but unfortunately, we lost it before we were able to record any data. We will continue to attempt perforated patch in brain slices through the summer.

As discussed with the committee at our last joint meeting, we may branch out to alternate methods of testing our chloride gradient hypothesis, including intracellular chloride imaging techniques. I think electrophysiology is still the best tool to test our chloride gradient hypothesis, but other methods may be more feasible.

#### DISCUSSION

General Focus of the Study

The overall aim of this study was to investigate electrophysiological components of the mechanism behind alcohol's effect of the brain. The focus was on VTA GABA neuron hyperexcitability during withdrawal from ethanol (Gallegos, Criado et al. 1999). We describe plasticity in excitatory and inhibitory synapses on VTA GABA neurons, as well as an insensitivity of VTA GABA neuron firing rate to muscimol during withdrawal from ethanol. The results of this study describe alterations in VTA GABA neuron synaptic activity and firing rate that contribute to the mechanism of alcohol in the brain during the withdrawal state. Implications of this study include a molecular mechanism for alcohol's effect on VTA GABA neurons and a cellular correlate to the hedonic drive to seek alcohol during a period of abstinence.

One limitation of the study is the heterogeneity of VTA GABA neurons. The Steffensen lab and others have long held a belief that there are multiple populations of GABA neurons in the VTA that are impossible to distinguish using fluorescence identification in GAD GFP mice. The methods used in the present study do not allow for differentiation of the different GABA populations or the specific inputs seen to those GABA neurons (e.g. rostromedial tegmental nucleus, lateral habenula, reciprocal projections from the striatum, etc.). The VTA is proving to be a diverse area with a variety of functions and responses to novel signals, including reward (Creed, Ntamati et al. 2014, Lammel, Lim et al. 2014, Sanchez-Catalan, Kaufling et al. 2014). In addition to the heterogeneity of VTA GABA neurons, ethanol is known for its variety of binding capabilities in the brain. This study attempted an umbrella investigation of changes in excitatory and inhibitory synaptic events in VTA GABA neurons, but is not completely successful because of the difficultly in isolating effects of ethanol on VTA GABA neurons and their inputs.

Excitatory Plasticity to VTA GABA Neurons by Alcohol

Results from the excitatory synaptic events experiments in the present study are not clear enough to draw a firm conclusion, despite some statistical significance. Undoubtedly, GLU plasticity in the VTA is involved in the path to addiction, but the variability seen in VTA GABA neurons may be masking an alcohol effect in excitatory synapses on VTA GABA neurons. We are interested in increasing sample sizes, especially of sEPSCs in VTA GABA neurons in salineinjected controls, to make more definite conclusions. It may be possible to use a more advanced method, like optogenetics or chemogenetics, which isolates specific GLUergic inputs to VTA GABA neurons to study EPSCs.

The AMPA/NMDA ratio data is currently inconclusive because of the inability to replicate the previous data. Many drugs of abuse have been linked to increases in the AMPA/NMDA ratio in VTA DA neurons (Ungless, Whistler et al. 2001, Saal, Dong et al. 2003), but VTA GABA neurons are far less understood. We are confident that VTA GABA neurons are a relevant target for ethanol in the brain, and that NMDAR mediated plasticity is involved, but it is unclear if AMPA/NMDA ratio is affected by alcohol. AMPA/NMDA ratio is sensitive to many stimuli, so our data may be confounded by random variation in the animal's environment or by the nature of the treatment. We also showed no change in rectification of the current through AMPA receptors. Perhaps the subunit composition of AMPA receptors is not changing, and so channel conductance remains the same. It is possible that the AMPA/NMDA ratio is decreasing after chronic ethanol, as has been seen with chronic cocaine in GABA medium spiny neurons in the NAc shell (Mameli, Halbout et al. 2009, Wolf and Ferrario 2010). To further our efforts, we would like to collect more experiments and reanalyze our data with a different measure of the RI and look at the AMPA/NMDA ratio with the AMPA current measured at a holding potential of -70 mV over the NMDA current at +40 mV. Additional investigation of the

AMPA/NMDA ratio and AMPA rectification is necessary to make a conclusive statement about ethanol's effect on AMPA and NMDA plasticity in VTA GABA neurons.

#### Inhibitory Plasticity to VTA GABA Neurons by Alcohol

Results from the frequency and amplitude of inhibitory synaptic events are not clear enough to draw a firm conclusion. The present study does not show a statistically significant difference between groups. Perhaps IPSCs are not changing in frequency and amplitude, but only in function, as described below. There is a potential age difference in sIPSCs, as seen in the difference between control groups in the acute and chronic phases. Different stages of development show differences in sIPSC frequency and amplitude in various brain regions, including the prefrontal cortex and the substantia nigra (Chudomel, Herman et al. 2009, Cui, Wang et al. 2010). However, there is little evidence for sIPSCs in GABA neurons in mice older than 30 days, and little evidence about the plasticity over time of sIPSCs in the VTA. Increasing sample size may help solidify some age-related differences in sIPSCs in VTA GABA neurons. We also believe that more advanced techniques that isolate specific inhibitory inputs to VTA GABA neurons may help in investigating inhibitory synaptic events in alcohol dependence.

# Functional Shift of GABA(A) Receptor Due to Alcohol

Our hypothesis regarding the function of the GABA(A)R in alcohol dependence revolves around BDNF and intracellular anion concentrations. Results from this phase of my thesis are quite promising, and show some correlation with the work done by the Steffensen and van der Kooy labs on opiate dependence. VTA GABA neurons decrease in sensitivity to the inhibitory effects of the GABA(A)R agonist muscimol due to exposure to alcohol, both acutely and chronically. This measure has been used as evidence of a change in BDNF signaling and the chloride gradient in opiate dependence (Vargas-Perez, Bahi et al. 2014). These results are

complemented by the data showing an increase in BDNF levels in the VTA after a chronic exposure to ethanol vapor, especially since BDNF is even higher after a longer period of withdrawal. BDNF activation of the TrkB receptor leads to downregulation of the KCC2 transporter and an increase in the activity of carbonic anhydrase (CA). These downstream effects result in less Cl<sup>-</sup> being transported out of the cell and an increase in HCO<sub>3</sub><sup>-</sup> concentration, respectively. The increase in intracellular anions causes a shift in the Cl<sup>-</sup> reversal potential. Activating the GABA(A)R, which is a Cl<sup>-</sup> channel, during withdrawal would cause a depolarizing response due to efflux of Cl<sup>-</sup>, and may contribute to the hyperexcitability of VTA GABA neurons observed during withdrawal from alcohol (Gallegos, Criado et al. 1999). We also want to examine the data for differences within VTA GABA neurons sensitivity to muscimol as a possible differentiation between VTA GABA neuron populations. Future studies can investigate the source of BDNF elevation and the role of the TrkB receptor, along with KCC2 and CA activity, in ethanol dependence and VTA GABA neuron firing rate sensitivity to muscimol. We are particularly interested in pursuing DID or operant self-administration data after a local VTA knockdown of TrkB expression with lentiviral constructs containing interfereing ribonucleic acid (siRNA) to see if TrkB receptor activation is required for ethanolseeking behavior.

We were surprised to find that a single administration of ethanol caused a decrease in sensitivity of VTA GABA neuron firing rate to muscimol's inhibitory effects. As stated in our first hypothesis, we thought acute effects of ethanol in the VTA would be mediated through GLU plasticity, but here we see a contribution from the GABA(A)R. An important point of this study is that the decreased sensitivity of VTA GABA neuron firing rate to muscimol due to acute ethanol was blocked by concomitant administration of the NMDAR antagonist MK-801. This

finding proves that NMDA activation is required for acute ethanol's effect on VTA GABA neuron muscimol sensitivity. Because the path is GLU-dependent, at least at first, there must be some connection between NMDA activation and the altered function of GABA(A)Rs on VTA GABA neurons. Future studies could look at an interaction between GLU and GABA plasticity, or a link between acute NMDA activation and an increase in intracellular chloride concentration. If some NMDA-mediated signaling, perhaps through calcium-dependent pathways, resulted in altered expression or phosphorylation of KCC2 (Friedel, Kahle et al. 2015) and/or carbonic anhydrase, there could be a common end state 24 hours after an alcohol administration in both the non-dependent and ethanol-dependent conditions. Alternatively, blocking NMDARs may result in some upstream hindrance to learning the novel rewarding stimulus with an end result of decreased plasticity in the VTA due to ethanol. We propose that the mechnisms behind this data differ as described between the single injection of ethanol and the CIE vapor exposure, but we remain open that the two could be linked through a common mechanism. Some research has looked at a link between BDNF signaling and NMDA receptor activation with alcohol and amphetamine exposure (Kolb, Trettel et al. 2005, Fuller, Murray et al. 2015). There is a possibility that BDNF or NMDA could be triggering the other, and the downstream effects of such signaling produce the resistance to muscimol inhibition. Future experiments will look at blocking NMDA during the chronic exposure to ethanol.

Another interesting phenomenon in the muscimol firing rate experiments is the reversal of VTA GABA neuron firing rate sensitivity to muscimol seven days after a single ethanol administration and the persistence of the same effect after seven days of withdrawal from chronic ethanol. This finding is very important. Not only does this data suggest a difference between the drive states in non-dependent versus dependent conditions, but it also may signify a difference in

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the mechanism of acute versus chronic ethanol. VTA GABA neurons in the dependent state must have longer-lasting functional changes, which could be due to differences in protein expression, transcriptional factors, or regulation of ion concentrations. As described above, we propose the hypothesis for the dependent condition where increased extracellular BDNF signaling and decreased KCC2 expression result in a long-term increase of intracellular anions. We also suggest that a single administration of ethanol causes a change in phosphorylation of KCC2 through calcium-dependent signaling, thereby acutely increasing intracellular Cl<sup>-</sup> in a reversible manner. Future studies are needed to investigate these claims and determine the mechanism of alcohol in the brain that leads to its habit-forming properties.

In contrast to the theories outlined above regarding the Cl- gradient and the reversal potential of the GABA(A) receptor, the observed differences could be explained by other modifications to GABA(A) receptors. There could be a decrease in number of GABA(A) receptors due to alcohol exposure, decreasing VTA GABA neuron sensitivity to muscimol. There could also be a change in subunit composition of the GABA(A) receptor, changing its affinity for muscimol or its conductance of chloride ions. We are currently in the process of analyzing GABA(A) subunit composition in VTA GABA neurons after an exposure to chonic alcohol.

#### **Overall Conclusions**

Although some of our data was inconclusive, some results were robust, and we can make some comments on our original hypotheses. Our first hypothesis stated that GLU plasticity would dominate in the acute phase of alcohol exposure. Previous research has shown GLU involvement in the beginning of alcohol dependence (Saal, Dong et al. 2003, Ding, Engleman et al. 2012), including some data in the present study. However, the muscimol inhibition of firing

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rate data shows that the function of the GABA(A) receptor is changing after a single ethanol exposure, so we cannot rule out the parallel involvement of GABA plasticity in the short term.

Second, our next hypothesis stated that GABA plasticity would be the primary factor in an alcohol dependent condition. We cannot conclude that this statement is entirely correct, but we leave open the possibility that GABA plasticity is the main element over GLU plasticity, where both are involved to some degree.

Our final hypothesis stated that a shift in the Cl<sup>-</sup> reversal potential caused the change in muscimol sensitivity of VTA GABA neuron firing rate after exposure to ethanol. Our data support this hypothesis, but we need stronger evidence to make a final conclusion. We believe success in the perforated patch clamp experiments on the chloride reversal potential through the GABA(A) receptor will uphold or reject the present hypothesis.

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# CURRICULUM VITAE

## Ashley Cerise Nelson

Education

1/2015—8/2016MS in NeuroscienceBrigham Young University

- Thesis: "Glutamate and GABA Receptor-Mediated Plasticity in the Mesolimbic Dopamine System by Alcohol"
- 8/2011—12/2014 BS in Neuroscience, Chemistry minor Brigham Young University
  - GPA 3.95

Research Experience

1/2013—present Research Assistant

Brigham Young University

- Principal Investigator: Scott Steffensen, PhD (scott\_steffensen@byu.edu)
- Investigate the neural substrates involved in drug addiction
- Patch clamp electrophysiology in brain tissue slices
- Fast-scan cyclic voltammetry (FSCV) in brain tissue slices
- Supervise a team comprised of undergraduates, graduate students, and one postdoctoral fellow
- Manage and breed a mouse colony
- 5/2011—8/2011 Research Intern

Arizona State University

- Principal Investigator: Jeffrey Kleim, PhD (jakleim@asu.edu)
- Supervisor: Nagheme Thomas (nagheme.thomas@asu.edu)
- Investigate novel rehabilitation methods for stroke patients
- Behavioral experiments with rats
- Immunohistochemistry

Teaching Experience

1/2016—2/2016Neurobiology Teaching PracticumBrigham Young University

- Neuroscience 205, introductory course for Neuroscience majors
- Lecture on the fundamentals of neuroscience for one-third of the semester
- Administer on-line and in-class quizzes and one exam for the chapters taught
- Meet with students during office hours

1/2015—4/2015 Teaching Assistant

Brigham Young University

- Neuroscience 481, Advanced Neuroscience Laboratory
- Supervise student lab work, prepare lab reagents and supplies
- Hold review sessions
- Grade assignments and tests

4/2012—12/2013 Teaching Assistant

Brigham Young University

- MMBio 241, Molecular and Cellular Biology Laboratory
- Give lectures and supervise student lab work
- Prepare lab reagents and supplies
- Hold review sessions
- Grade assignments and tests

Grants, Scholarships, and Awards

3 Minute Thesis University Competition First Place (Presentation	
Competition; \$5000)	
3 Minute Thesis Life Sciences Finalist (Presentation Competition; \$500)	
BYU Graduate Research Travel Award (Poster presentation at	
Neuroscience Meeting)	
BYU Hinckley Research Travel Award (Poster presentation at	
Neuroscience Meeting)	
BYU Graduate Research Fellowship Award (Graduate funding; \$15,000)	
Magna Cum Laude Graduation Honors	
BYU ORCA Grant Recipient (Undergraduate research proposal; \$1500)	
BYU Marigold N. Saunders Scholarship	
Chiasm: BYU Undergraduate J. of Neuroscience Author Award	
BYU Abrelia Clarissa Hinckley Academic Scholarship	
BYU C.H. Nye Academic Scholarship	
BYU Academic Scholarship	
Dean's List	

Leadership Positions

4/2015—present	Executive Director/Head of Delegation	BYU Special Olympics Team
r Provense		

- Organize teams of athletes with mental or intellectual disabilities and BYU student volunteers
- Manage practices and competitions in several different sports, coach and encourage athletes
- Administrative duties and service training
- Program director from 1/2014-4/2015, Program Director of the Month 9/2014
- Volunteer since 3/2012
- 8/2013—4/2014 Activities/Brain Awareness Week Officer BYU Neuro Club
  - Plan and execute club activities (dinners with faculty, educational events)
  - Organize Brain Awareness Week at BYU
    - K-12 school outreach program in over 30 classrooms
    - Outreach events at BYU
    - Manage volunteers

### **Community Service**

3/2012—presentBYU Special Olympics450 hours1/2014—3/2014Brain Awareness Week 201460 hours3/2014—5/2014Courtyard at Jamestown Assisted Living Center35 hours9/2-12—12/2012Utah State Hospital15 hoursVarious opportunities with a minor role including Community Outreach Day at BYU 2015 and2012, Brain Awareness Week 2015, 2013, and 2012, Chemistry Week 2014, BYU Best Buddiesactivities 2012—present, and church activities2014

Professional Memberships

2014—present	Research Society on Alcoholism
2013—present	Society for Neuroscience

Publications

- Jang, E.Y., Yang, C.H., Hedges, D.M., Kim, S.P., Lee, J.Y., Ekins, T.G., Garcia, B.T., Kim, H.Y., Nelson, A.C., Kim, N.J., and Steffensen, S.C. The role of reactive oxygen species in methamphetamine self-administration and dopamine release in the nucleus accumbens. *Addiction Biology*. Accepted 5-13-2016.
- Steffensen, S.C., Shin, S.I., Nelson, A.C., Woodward, T.J., Park, H.J., Friend, L., Taylor, D.H., Gao, M., Gao, F., Olive, M.F., Edwards, J.G., Sudweeks, S., Buhlman, L., McIntosh, J.M., and Wu, J. α6 subunit-containing nicotinic receptors on GABA terminals mediate low-dose ethanol effects on ventral tegmental area GABA neurons and ethanol reward. (Under review)
- Nelson, A.C. To connectome or not to connectome. *Chiasm: BYU Undergrad. J. of Neurosci., 6* (2014) 13-15.
- Nelson, A.C. and Steffensen, S.C. Effects of chronic ethanol on GABA(A) receptors in the VTA. BYU ORCA Grant Proposal (2014).

**Conference Presentations** 

- Nelson, A., Woodward, T.J., Park, H., Shin, S.I., Pistorius, S.S., Bair, S.D., and Steffensen, S.C. NMDA and GABAA receptor-mediated plasticity in the mesolimbic dopamine system by acute and chronic ethanol. *Soc. Neurosci Absts* 40 (2015) 314.13
- Park, H.J., Nelson, A.C., Woodward, T.J., Shin, S.I., Pistorius, S.S., Bair, S.D., and Steffensen, S.C. Functional switch in GABA(A) receptors on VTA GABA neurons by acute and chronic ethanol. *Soc. Neurosci Absts* 40 (2015) 314.14
- Woodward, T.J., Hedges, D.M., Nelson, A.C., Park, H.J., Schilaty, N.D., and Steffensen, S.C. Neurosteroids modulate ethanol effects on dopamine release in the nucleus accumbens

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- Woodward, T.J., Shin, S.I., Mabey, J.K., Nelson, A.C., Schilaty, N.D., Taylor, D.H. Wu, J. McIntosh, M. and Steffensen, S.C. Ethanol inhibits GABA neurons in the ventral tegmental area and dopamine release in the nucleus accumbens via presynaptic α6 nicotinic receptors on GABA terminals. *Soc. Neurosci Absts* 39 (2014) 53.01
- Mabey, J.K., Shin, S.I., Nelson, A.C., Woodward, T.J., Ting-A-Kee, R., Vargas-Perez, H., van der Kooy, D. and Steffensen, S.C. Functional switch in GABA(A) receptors on VTA GABA neurons by chronic ethanol. *Alcoholism: Clin. Exp. Res.* (2014) 38(S1) 161A(642)
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