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# Differential Expression and Functional Characterization of Alpha3 Beta2 Neuronal Nicotinic Acetylcholine Receptors

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DIFFERENTIAL EXPRESSION AND FUNCTIONAL  
CHARACTERIZATION OF ALPHA3 BETA2  
NEURONAL NICOTINIC  
ACETYLCHOLINE  
RECEPTORS

by

John H. Mizukawa II

A thesis submitted to the faculty of

Brigham Young University

in partial fulfillment of the requirements for the degree of

Master of Science

Department of Physiology and Developmental Biology

Brigham Young University

August 2008

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BRIGHAM YOUNG UNIVERSITY

**GRADUATE COMMITTEE APPROVAL**

of a thesis submitted by

John H. Mizukawa II

This thesis has been read by each member of the following graduate committee and by majority vote has been found to be satisfactory.

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As chair of the candidate's graduate committee, I have read the thesis of John H. Mizukawa II in its final form and have found that (1) its format, citations, and bibliographical style are consistent and acceptable and fulfill university and department style requirements; (2) its illustrative materials including figures, tables, and charts are in place; and (3) the final manuscript is satisfactory to the graduate committee and is ready for submission to the university library.

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## ABSTRACT

# DIFFERENTIAL EXPRESSION AND FUNCTIONAL CHARACTERIZATION OF ALPHA3 BETA2 NEURONAL NICOTINIC ACETYLCHOLINE RECEPTORS

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Department of Physiology and Developmental Biology

Master of Science

Neuronal nicotinic acetylcholine receptors (nAChRs) are expressed in both the peripheral and central nervous systems, and are involved in pre-, post-, and non-synaptic control of neuronal activation. In the brain, these receptors play an important role in a variety of physiological processes such as cognition, development, learning, and memory formation. Malfunction of these receptors have been implicated in neurodegenerative diseases like Alzheimer's disease (AD), schizophrenia, and Parkinson's disease. To date, 17 different nAChR subunits, including  $\alpha 2$ - $\alpha 7$  and  $\beta 2$ - $\beta 4$ , have been cloned that can form homo- and/or hetero-pentameric ionotropic receptors. The unique combinations of subunit pentamers manifest in distinct functional receptors. Using single-cell real-time

quantitative RT-PCR, we identified the individual expression rates and co-expression rates of the different nAChR subunits in rat CA1 hippocampal interneurons in efforts to characterize functional receptors involved in learning and memory. The two-way combination of subunits with highest expression in hippocampal interneurons was  $\alpha 3\beta 2$ . Moreover, this combination was expressed in ratios near 1:3 or 3:1  $\alpha 3$  to  $\beta 2$  respectively. To investigate the functionality of  $\alpha 3\beta 2$  receptors in different stoichiometries, we injected human  $\alpha 3$  and rat  $\beta 2$  subunit mRNA in 1:3, 1:1, and 3:1 ratios into *Xenopus laevis* oocytes for expression. Two-electrode voltage clamp was then performed with the application of different concentrations of ACh to produce full dose-response curves and channel kinetics data. Distinct  $\alpha 3\beta 2$  functional channels were identified from the different expression ratios based on significant differences in channel kinetics (i.e.- peak current rise times, peak current decay times, steady state current in forced desensitization) Dose-response curves produced no significant difference in  $EC_{50}$  values in the different expression groups. However, there was a trend to greater agonist sensitivity with increased  $\alpha 3$  expression relative to  $\beta 2$ .  $\alpha 3\beta 2$  receptors were further characterized through forced desensitization of the receptors and generation of IV plots. The findings from this study elucidate the neuronal nAChR subunit combinations that form functional channels in hippocampal interneurons.

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## INTRODUCTION

Acetylcholine (ACh) receptors in the mammalian nervous system have been classified into the muscarinic (mAChR) and nicotinic (nAChR) subtypes based on the ability of the agonists muscarine and nicotine to mimic the effects of ACh as a neurotransmitter. Recently, investigation of nAChRs has sharply increased following preclinical and clinical studies indicating that neuronal nAChRs may have a substantial role in mediating cognition, reward and drug addiction, neuronal development, etc. (Bourin, Ripoll et al. 2003; Gotti and Clementi 2004; Jensen, Frolund et al. 2005; Dani JA 2007). Activation of nAChRs can modulate post synaptic fast excitatory neurotransmission, pre-synaptic release of various neurotransmitters (not exclusively ACh transmission), and nonsynaptic neuronal excitability ([Figure 1b](#)) (Gotti, Fornasari et al. 1997; Newhouse, Potter et al. 1997; Jones, Sudweeks et al. 1999; Dani JA 2007).

There are three major cholinergic systems in the brain that innervate practically all neural areas. One of these cholinergic systems originates in basal forebrain nuclei and project into the cortex and hippocampus, effectively influencing cognitive functions like learning, memory, attention, etc. Another major cholinergic subsystem originates from neurons in the pedunculopontine tegmentum and the laterodorsal pontine tegmentum, and innervates the thalamus, midbrain, caudal pons, and brain stem. The third cholinergic system arises from striatal interneurons providing innervation to the striatum and olfactory tubercle.(Bourin, Ripoll et al. 2003; Dani JA 2007). The effects of activation of these three pathways can be quite extensive on many distinct brain functions.

Nicotinic acetylcholine receptors (nAChRs) are members of a large, structurally homologous ligand-gated ion channel superfamily together with GABA<sub>A</sub>, glycine, and 5-

HT<sub>3</sub> serotonin receptors (Ortells 1995; Jensen, Frolund et al. 2005; Dani JA 2007).

Located in a variety of tissues, nAChRs play a functional role in the autonomic nervous system, neuromuscular junction, and brain in vertebrates (Lopez-Hernandez, Sanchez-Padilla et al. 2004; Pimlott and Wyper. 2004; Dani JA 2007). Like every member of the ligand-gated ion channel family, the nAChR is a transmembrane receptor protein consisting of five polypeptide subunits arranged around a “pseudo-axis of symmetry” (Bourin, Ripoll et al. 2003). Each subunit has a large extracellular N-terminal segment, four transmembrane domains, and a small extracellular C-terminal tail (Changeux, Bertrand et al. 1998). The second transmembrane region of each subunit lines the non-specific cation pore and is highly conserved between subunits (Jensen, Frolund et al. 2005). The same subunits also share high homology between species (over 80% conserved amino acid sequences between vertebrate species) (Changeux and Edelstein 2005). The ACh binding site is at the interface between two subunits (one alpha and one beta, with the exception of  $\alpha 7$  homomeric channels) in the N-terminal extracellular region (Figures [1a](#) and [2](#)). nAChRs also contain a rather large intracellular amino acid loop between the third and fourth transmembrane regions ([Figure 1a Right](#)). This loop is susceptible to modification by intracellular second messenger cascades.

To date, seventeen nAChR subunits have been cloned and identified ( $\alpha 1-10$ ,  $\beta 1-4$ ,  $\gamma$ ,  $\delta$ , and  $\epsilon$ ) (Curtis L. 2002; Jensen, Frolund et al. 2005; Gotti, Zoli et al. 2006; Dani JA 2007). The neuronal nAChR subunits include  $\alpha 2-10$  and  $\beta 2-4$ , of which the majority have been identified to be natively expressed in the central (CNS) and peripheral (PNS) nervous systems (the  $\alpha 8$  subunit has only been found in chickens; the  $\alpha 9$  and  $\alpha 10$  subunits show limited expression in the cochlea) (Jensen, Frolund et al. 2005; Dani JA

2007). The physiological, biophysical, and pharmacological properties of nAChRs in the brain are determined by the pentameric co-assembly of these different subunits.

Activation of different subtypes of nAChRs (due to unique pentameric assemblages) can differentially modulate, not only neurotransmitter release and synaptic plasticity, but also calcium-dependent cellular events that include activation and modulation of other ion channels, excitability, secretion, motility and migration, gene expression, and cell differentiation and survival (Sher, Chen et al. 2004). These effects can be caused by distinct properties of calcium conductance through different nAChRs. For example, it has been demonstrated that the expression of the  $\alpha 5$  subunit in  $\alpha 3\beta 2$  and  $\alpha 3\beta 4$  containing receptors causes an increase in  $\text{Ca}^{++}$  permeability (Yu and Role 1998).

Although many subunit combinations have been identified in the CNS, the most commonly investigated mammalian neuronal nAChRs contain the  $\alpha 7$  or  $\alpha 4\beta 2$  subunits which form homomeric or heteromeric complexes, respectively (Changeux, Bertrand et al. 1998). It is primarily asserted that the majority of brain nAChRs with a high affinity for agonist contain the  $\alpha 4$  and  $\beta 2$  subunits, whereas  $\alpha 3$  and  $\beta 4$  subunit-containing nAChRs are highly expressed in the peripheral nervous system (Jensen, Frolund et al. 2005). It is also commonly believed that the  $\alpha 4\beta 2$  and  $\alpha 3\beta 4$  receptor subtypes are natively expressed in a stoichiometric ratio of two  $\alpha$  subunits to three  $\beta$  subunits arranged in the specific sequence of  $\alpha\beta\alpha\beta\beta$  (Anand R 1991; Boorman, Groot-Kormelink et al. 2000; Jensen, Frolund et al. 2005). However, recent studies have shown that different stoichiometric expressions of the  $\alpha:\beta$  subunit ratio will result in distinct receptor properties (i.e., affinity for agonists/antagonists, current kinetics, etc.). They have also shown that a different stoichiometric expression of the  $\alpha 4\beta 2$  receptor subtype can be

forced to deviate from the  $2\alpha:3\beta$  expression ratio simply by changing the subunit transfection ratios (Zwart and Vijverberg 1998; Nelson, Kuryatov et al. 2003; Lopez-Hernandez, Sanchez-Padilla et al. 2004).

The involvement of brain nAChRs in a variety of cognitive and behavioral systems is sufficiently supported by nAChR knockout studies, which imply that nAChRs are involved with neurodegenerative disorders including Alzheimers disease (AD), schizophrenia, epilepsy, autism, and in aging ([Figure 3](#)) (Jones, Sudweeks et al. 1999; Court 2001; Woodruff-Pak DS 2002; Bourin, Ripoll et al. 2003; Dani JA 2007). Revealed by behavioral and electrophysiological studies, evidence implicates the nicotinic system is linked in AD and the  $\alpha 7$  receptor subtypes are essentially presynaptic, while the  $\alpha 4/\beta 2$  receptor subtypes are pre- and postsynaptic (Gotti, Fornasari et al. 1997). AD is characterized by accumulation of senile plaques, mainly composed of the beta-amyloid peptide ( $A\beta$ ). Even though the exact causes of AD are unknown, different pathogenesis hypotheses implicating nAChRs made up of  $\alpha 7$  subunits have been proposed, with the receptors exerting a direct or indirect action on the mechanism of  $A\beta$  toxicity. The application of  $A\beta$  has recently been shown to impede nAChR function in rat hippocampal neurons (Pettit, Shao et al. 2001).

Since hippocampal interneurons express various functional combinations of nAChR subtypes (Jones and Yakel 1997; Frazier, Rollins et al. 1998; Ji 2000; Sudweeks and Yakel 2000) drugs might be designed to interact selectively with a specific nAChR subtype and therefore provide different therapeutic opportunities. Thus, drug treatment may contribute to specific physiological and behavioral functions without causing adverse or undesired side effects due to interactions with other nAChR subtypes located

elsewhere in the nervous system (Levin 2002). In order to fully understand the biophysical and pharmacological properties of functional nAChRs in the brain, we need to identify the underlying subunit combinations expressed in different areas of the brain.

Co-expression of nAChR subunits into non-native cell lines is a technique that is commonly used to facilitate the characterization of nAChR function. Successful heterologous expression of nAChRs has been achieved using human embryonic kidney-(HEK-) 293 cells and *Xenopus laevis* oocytes. For example, several studies examining the function of heterologously expressed nAChRs in the *Xenopus* cell system reveal a diverse pharmacological profile in receptors containing monomeric combinations of  $\alpha 7$  (Khiroug, Harkness et al. 2002), and dimer combinations of  $\alpha 2$ ,  $\alpha 3$ ,  $\alpha 4$ , or  $\alpha 7$  in combination with  $\beta 2$  or  $\beta 4$  (Vibat 1995; Elliott, Ellis et al. 1996; Fenster 1997; Khiroug, Harkness et al. 2002). *Xenopus laevis* oocytes also showed no nicotinic response to acetylcholine application unless injected with exogenous nAChR subunit mRNA (Deneris, Boulter et al. 1989). For these studies we injected *Xenopus laevis* oocytes with nAChR subunit mRNA to study the functional properties of  $\alpha 3\beta 2$  neuronal nAChRs. The subunit mRNA ratios we used were based on those identified in native rat hippocampal interneurons using quantitative single-cell RT-PCR. Our results provide a characterization of how expression of these two subunits in different ratios can form distinct subtypes of functional ion channels.



## MATERIALS AND METHODS

### *Slice Preparation*

The PCR related protocol was followed as described in Burgon 2006. To obtain the interneurons, coronal brain slices (either 300 or 350  $\mu\text{m}$  thick) were made from 8 to 23 day-old Wistar rats using a Vibratome 1000-Plus (Pelco, Redding, CA). The slices were cut in ice-cold oxygenated (95%  $\text{O}_2$ , 5%  $\text{CO}_2$ ) artificial cerebro-spinal fluid (ACSF in mM: 124 NaCl, 2 KCl, 1 Na  $\text{H}_2\text{PO}_4$ , 26  $\text{NaHCO}_3$ , 11 Glucose, 2  $\text{CaCl}_2$ , 1  $\text{MgSO}_4$ ) and placed in room-temperature oxygenated ACSF for at least 30 minutes prior to placing in microscope recording chamber.

Individual hippocampal interneurons from the CA1 *stratum oriens* and *stratum radiatum* (Figure 4) were visually identified using an upright microscope with infrared light, and aspirated into a standard whole-cell patch-clamp pipette (Borosilicate capillaries, Harvard Apparatus, Kent, England) containing 5  $\mu\text{L}$  Intracellular Fluid (ICF in mM: 10  $\text{MgCl}_2$ , 0.1  $\text{CaCl}_2$ , 1 EGTA, 10 HEPES, 135 K-Gluconate, Na-2 ATP).

### *Electrophysiology of Wistar Rat CA1 Hippocampal Interneurons*

A whole-cell patch clamp of the interneuron was obtained in voltage-clamp mode prior to cytoplasm aspiration. Interneuron membrane potentials were held at -70 mV.

### *Primers and Probes*

Primers and probes were purchased from Invitrogen (Carlsbad CA). The primers and probes were designed using either Vector NTI version 7.0 (Invitrogen) or Primer Express version 2.0 (ABI Prism, Foster City CA) software.

### *RT Reaction*

A cDNA library representing each interneuron was made by running a reverse transcription reaction using BIORAD (Hercules CA) iScript cDNA Synthesis Kit with a final volume of 10  $\mu$ L.

### *Multiplex Reaction and Real-time Quantitative PCR*

A multiplex PCR reaction was run (15 cycles) for each aspirated interneuron using all neuronal nAChR primers as well as primers for 18s rRNA with a final volume of 75  $\mu$ l. The multiplex reaction was run using reagents by Invitrogen including Platinum® *Taq* DNA Polymerase and PCR nucleotides (10 mM). A second round of PCR was run (60 cycles) for each specific target (18s,  $\alpha$ 2- $\alpha$ 7, and  $\beta$ 2- $\beta$ 4) using an ABI 7000 Sequence Detection System (Applied Biosystems, Foster City, CA) utilizing BIORAD iTaq Supermix with ROX. Cycle threshold values for each target were compared to the reference gene 18s for analysis (more in *Real-Time Analysis*).

Standard curves (efficiency tests) for each cDNA target were developed by running 60-cycle real-time quantitative PCR assays on positive controls (rat whole-brain homogenate) for six known concentrations (100, 33.3, 10, 3.33, 1, 0.333 ng cDNA/ $\mu$ L). Upstream (primer +) and downstream (primer -) primer concentrations were adjusted to optimize amplification as reported previously. The efficiency of the amplification reaction is calculated using the slope of the log(concentration) vs. CT plot. The formula for PCR efficiency =  $10^{(-1/\text{slope})} - 1$ . Reaction efficiencies were run in triplicate and the amplification efficiencies were compared using ANOVA to determine if there were significant differences between any of the primer/probe sets (18s,  $\alpha$ 2- $\alpha$ 7, and  $\beta$ 2- $\beta$ 4).

### *Real-Time Analysis*

After running the real-time quantitative PCR on hippocampal interneurons, raw fluorescence (Delta Rn) values across 60 cycles were curve-fit using a Boltzmann Sigmoidal function with an output of either 2000 or 4000 data points in the new curve using Prism ver. 4.03 (GraphPad Software, San Diego CA). The second derivative graph for the curve-fit data was then determined, also using the GraphPad software. The cycle threshold (CT) value used for quantitative analysis was determined by finding the cycle number (along the x-axis) corresponding to the maximum Delta Rn value (along the y-axis), as described previously (Burgon 2006).

### *Primer Efficiencies Analysis*

Triplicate reactions of each cDNA target were averaged and a linear regression equation was calculated (SLOPE function, Microsoft Excel, Microsoft Office 2003, Redmond WA) of the CT values corresponding to the six known concentrations (100, 33.3, 10, 3.33, 1, 0.333 ng cDNA/ $\mu$ L) in the standard curve primer efficiency tests. The PCR efficiency was then determined by incorporating the slope of the linear equation using the formula described above (see *Multiplex Reaction and Real-Time Quantitative PCR*).

### *Analysis of mRNA Expression in Rat Hippocampal Interneurons*

For comparison between cDNA targets, fold expression values from the triplicate CT averages were calculated as reported previously, but compared to the CT value corresponding to the lowest level of cDNA detection (Livak and Schmittgen 2001). Significance between relative levels of mRNA expression was calculated by comparing

mean fold expression values using a Mann-Whitney test (calculated using InStat ver. 3.05, GraphPad software, San Diego CA).

#### *Plasmid DNA Preparation*

The human  $\alpha 3$  subunit and rat  $\beta 2$  genes were inserted into the pCMV6-XL4 (Origene Technologies, Rockville MD) and the pcDNA 3.1 (Invitrogen, Carlsbad CA) plasmids, respectively, using a digestion and ligation protocol from New England Biolabs (Ipswich MA) and Bionline (London UK), respectively. All plasmids were grown up using a transformation protocol by Yeastern Biotech (Taipei TW) and carried out in accordance with its guidelines. A plasmid isolation and purification protocol was followed using the HiSpeed<sup>®</sup> plasmid purification kit by QIAGEN Inc. (Valencia CA).

#### *Xenopus Oocyte Isolation*

Female *Xenopus laevis* frogs were provided by the National Institute of 30 minutes until notably unresponsive. They were then anesthetized by immersion in a solution containing 0.1% ethylmetaaminobenzoate (MS-222; Sigma) for 20 minutes. The frogs were sacrificed in accordance with guidelines approved by the NIEHS Animal Care and Use Committee by severing the spinal cord. Oocytes were dissected and defolliculated by treatment with collagenase B (Roche Diagnostics, Basel Switzerland, 2 mg/mL) and trypsin inhibitor (GIBCO, Invitrogen, Carlsbad CA, 1 mg/mL) in an calcium-free OR-2 solution [ $\text{Ca}^{2+}$ -free OR-2 in mM: 82.5 NaCl, 2 KCl, 1  $\text{MgCl}_2[6\text{H}_2\text{O}]$ , 5 HEPES (pH 7.5-7.6)] for 2 hours. The cells were then rinsed in a BSA-enriched  $\text{Ca}^{2+}$ -free OR-2 solution (*same as above* with 1mg/mL BSA). The oocytes were incubated at 18°C gently rotating at roughly 100 rpm in an OR-2 solution containing  $\text{Ca}^{2+}$  [OR-2 with

Ca<sup>2+</sup> in mM: 82.5 NaCl, 2.5 KCl, 1.0 Na<sub>2</sub>HPO<sub>4</sub>, 3.009 NaOH, 5 HEPES, 1.0 CaCl<sub>2</sub>, 1.0 MgCl<sub>2</sub>, 2.5 Pyruvic Acid, 0.05 mg/mL Gentamycin Sulfate (pH 7.5-7.6)].

#### *RNA Preparation and Expression in Oocytes*

Plasmid DNA containing genes for the human  $\alpha 3$  and rat  $\beta 2$  nAChR subunits were linearized by restriction digest using XhoI and NotI, respectively (New England BioLabs). mRNA was then transcribed and capped on the 5' end using the mMessage Machine kit (Ambion, ABI, Foster City CA) according to the protocol provided by the manufacturer. Each oocyte was injected with a total of 20 ng of mRNA (5 ng of  $\alpha 3$ : 15 ng  $\beta 2$  for the 1:3 expression, 10 ng of  $\alpha 3$ :10 ng  $\beta 2$  for the 1:1 expression, and 15 ng  $\alpha 3$ : 5 ng  $\beta 2$  for the 3:1 expression) in a total volume of 50 nL. Injection of 50 nL allowed for visual confirmation of a successful injection. Recordings were performed 2-5 days post-injection.

#### *Electrophysiological Recordings*

Current recordings were obtained by performing two-electrode voltage-clamp ([Figure 5](#)) on the mRNA injected oocytes with a Geneclamp 500 and pCLAMP 8 software (Axon Instruments, Molecular Devices, Sunnyvale CA). Traces were filtered at 2 kHz and sampled at 5 kHz with a holding potential of -60 mV. Electrodes containing 3 M KCl were formed from borosilicate glass capillaries (Harvard Apparatus, Kent, England) and had resistances of less than 1 M $\Omega$ . ACh solutions (1  $\mu$ M, 10  $\mu$ M, 100  $\mu$ M, 300  $\mu$ M, 1mM, 10 mM, 30 mM) were freshly prepared from a frozen stock solution or powder diluted in an ECF-like bath solution containing 96 mM NaCl, 2 mM KCl, 1.8 mM CaCl<sub>2</sub>, 1 mM MgCl<sub>2</sub>, and 5 mM HEPES (pH 7.4). Four different concentrations of

ACh were placed into four separate tubes feeding into the same synthetic quartz application pin (0.7 mm id) operated by a computer-controlled valve.

#### *Dose-Response Recordings*

Currents elicited from different concentrations of ACh determined that 10 mM ACh resulted in a maximal peak current. A recovery period of 2 minutes following a 1 second 30 mM ACh application was required for current to return to baseline with the flow rate of bath solution replacement approximately 3 mL/min. Therefore, ACh was applied every 2 minutes and allowed to completely wash away before the subsequent application. Because there were only four tubes available for application of different ACh concentrations, the current elicited from 3 different doses of acetylcholine were compared to the maximal response of a 1s 10mM application on that same cell. All currents from every dose of ACh were normalized to the peak current from the 10 mM ACh application ([Figure 7](#)).

#### *IV Plots and Forced Desensitization*

IV plots were obtained by measuring the maximum current elicited from a 1s 10 mM application of ACh at six holding potentials from -60 to +40 mV (-60 mV, -40 mV, -20 mV, 0 mV, 20 mV, and 40 mV) ([Figure 8](#)). ACh application occurred in 2 minute intervals as described above. Forced desensitization curves were produced by continuously applying 300  $\mu$ M ACh for 55 seconds ([Figure 10](#)).

#### *Data Analysis*

Peak currents, 10-90% rise times, 90-10% decay times, curve fitting, and Tau calculations were measured and analyzed using Clampfit (Axon Instruments) and Microsoft Excel (Microsoft Office 2003, Redmond WA). Tests of significance using a

two-tailed t-test were also performed in Microsoft Excel. Only P values less than 0.05 were considered significant. GraphPad 4.0 (GraphPad software, Prism) was used to graph the dose-response curves and calculate the EC<sub>50</sub>'s from each curve. IV plots were graphed and curve fitted using Microsoft Excel. Reversal Potentials for the receptors were calculated by solving the best curve fit equation for  $y=0$  ([Figure 9](#)).

## RESULTS

### *Neuronal nAChR Subunit mRNA expression in rat CA1 hippocampal interneurons*

Rat CA1 hippocampal interneurons from the *stratum radiatum* and *stratum oriens* were individually aspirated and analyzed for their level of expression of the  $\alpha 2$ - $\alpha 10$  and  $\beta 2$ - $\beta 4$  neuronal nAChR subunits compared to 18s rRNA expression. Of the 89 interneurons analyzed, 88.8% (n=79) expressed the mRNA of at least one nAChR subunit. In the 79 cells that expressed nAChR subunits, the two-way combination of nAChR subunits with the highest co-expression rate was found to be  $\alpha 3\beta 2$  (43.0%, n=34). By excluding two-way combinations of subunits that could not make functional channels (i.e. -  $\alpha$  subunits paired with  $\alpha$  subunits,  $\beta$  subunits paired with  $\beta$  subunits,  $\alpha 5$  with any other subunit, and  $\beta 3$  with any other subunit) the co-expression rates of the remaining subunits decreased as follows: 38%  $\alpha 7\beta 2$ , 33%  $\alpha 3\beta 4$ , 27%  $\alpha 4\beta 2$ , 24%  $\alpha 2\beta 2$ , 22%  $\alpha 7\beta 4$ , 20%  $\alpha 2\beta 4$ , 15%  $\alpha 4\beta 4$  ([Figure 6](#)).

The  $\alpha 3$  subunit was expressed in 59.5% (n=47) of the 79 nAChR expressing interneurons. Coincidentally, the individual  $\beta 2$  subunit expression rate was also 59.5% (n=47). The product of these individual expression rates gives us an expected co-expression rate of 35.4%, which is ~7.6% lower than the 43.0% co-expression we observed. Interestingly, of the 47 cells that expressed the  $\alpha 3$  subunit, 72.3% (n=34) also expressed the  $\beta 2$  subunit. Likewise, of the 47 cells that expressed the  $\beta 2$  subunit, 72.3% (n=34) also expressed the  $\alpha 3$  subunit. This as well suggests the propensity for  $\alpha 3$  and  $\beta 2$  subunits to co-express in CA1 hippocampal interneurons. Furthermore, while unique subunit combinations were found in 47.2% (n=42) of the hippocampal interneurons sampled, the most common single cell combinations were found to both contain  $\alpha 3$  and



$\beta 2$  subunits ( $\alpha 3\alpha 4\alpha 5\alpha 7\beta 2$  and  $\alpha 3\alpha 5\beta 2\beta 3\beta 4$  were expressed in 4 cells each). The relative fold expression of  $\alpha 3$  compared to  $\beta 2$  (and vice versa) in individual interneurons was calculated in order to hypothesize the stoichiometric expression ratio of the  $\alpha 3$  and  $\beta 2$  subunits. The  $\alpha 3$  subunit demonstrated a 2.5 fold expression over  $\beta 2$  in cells where the  $\alpha 3$  subunit was expressed more than the  $\beta 2$  subunit (n=15). In cells that expressed more  $\beta 2$  than  $\alpha 3$ , the  $\beta 2$  subunit showed a 3.3 fold expression over  $\alpha 3$  (n=19). These data convinced us that the  $\alpha 3\beta 2$  nAChR subunit combination should be investigated in a 1:3 or 3:1 expression ratio in order to best mimic our observations in native rat CA1 hippocampal interneurons.

#### *10%-90% Rise Times*

Two-electrode voltage clamp was performed on *Xenopus laevis* oocytes injected with  $\alpha 3$  and  $\beta 2$  subunit mRNA in 1:3, 1:1, and 3:1 ratios in the presence of the agonist ACh. The time required for the current to rise from 10% to 90% of the maximum current elicited from a 1s application of 10 mM ACh was calculated in Clampfit version 9.2 (Molecular Devices, Sunnyvale CA) and potential statistical significance was analyzed in Microsoft Excel (Microsoft Office 2003, Redmond WA). Cells injected with  $\alpha 3$  and  $\beta 2$  mRNA in a 1:3 ratio demonstrated an average 10-90% rise time of 221.76 ms  $\pm$  47.79. The oocytes injected with  $\alpha 3$  and  $\beta 2$  mRNA in a 1:1 and 3:1 ratio produced faster average 10-90% rise times of 143.95 ms  $\pm$  30.27, and 98.14 ms  $\pm$  9.52, respectively. A two-tailed t-test performed for the two groups of physiological pertinence [the 1:3 (n=40) and 3:1 (n=43) expression groups] revealed a significant difference in 10-90% rise times (P =0.010) ([Tables 1a & b](#), [Figure 12](#)). ANOVA was also performed (InStat, GraphPad Software) on the three different expression groups. We found no significant difference of

10-90% rise times between the 1:3 and 1:1 expression groups, nor between the 3:1 and 1:1 expression groups. ANOVA did confirm the significant difference between the 1:3 and 3:1 expression groups. ANOVA failed to demonstrate any significant differences in comparisons involving the 1:1 expression group for any of the parameters tested.

Therefore, a two-tailed t-test was performed to indicate significant differences between the 1:3 and the 3:1 expression groups for all parameters tested, since these were the two groups of physiological relevance.

#### *Reversal Potentials*

IV plots were produced for each of the expression groups (1:3, 1:1, and 3:1  $\alpha 3\beta 2$ ) by measuring the peak current elicited from a 1s application of 10 mM ACh at each of six holding potentials (-60 mV, -40 mV, -20 mV, 0 mV, 20 mV, 40 mV). The IV plots for  $\alpha 3\beta 2$  nAChRs showed considerable inward current rectification (see Figure 8 for example of IV plot). Best fit curves ( $R^2 > 0.999$ ) for the IV plots were calculated in Microsoft Excel and the curve fit equations were solved ( $y=0$ ) to find the reversal potentials. The cells injected with  $\alpha 3\beta 2$  mRNA in a 1:3 ratio ( $n=3$ ) showed Nernst potentials averaging  $-24.65 \text{ mV} \pm 3.63$ , while the 1:1 expression group ( $n=4$ ) and the 3:1 expression group ( $n=5$ ) produced reversal potentials of  $-17.58 \text{ mV} \pm 1.34$  and  $-16.91 \text{ mV} \pm 1.99$ , respectively. A two-tailed t-test performed for the two groups of physiological pertinence (1:3 and 3:1 expression groups) showed no significant difference between their reversal potentials ( $P=0.0845$ ) ([Tables 2a & b](#), [Figure 13](#)).

#### *Steady State Current Compared to Peak Current with Extended Agonist Application (Forced Desensitization)*

During the forced desensitization of the  $\alpha 3\beta 2$  nAChRs due to a 55s application of 300  $\mu\text{M}$  ACh, we observed a steady state current above the resting baseline (see [Figure 9](#)

for example). Such a prolonged application and high concentration of ACh would typically evoke a classical agonist-bound desensitized state of the receptor (Giniatullin, Nistri et al. 2005; Gay and Yakel 2007), but we see a low, persistent, steady-state current during the end of the 55s application. The steady-state current at 55 seconds was measured from baseline, calculated into a percent of the peak current, and compared between the different expression groups (1:3, 1:1, 3:1). The average steady-state current during forced desensitization for cells injected with  $\alpha 3$  and  $\beta 2$  mRNA in a 1:3 ratio (n=2) was  $37.39\% \pm 0.02$  of the initial peak current. The 1:1 expression group (n=4) shared a similar high steady-state current at  $41.41\% \pm 0.09$  of the peak current. The 3:1 expression group (n=6) showed a much lower average steady-state current in forced desensitization at  $25.29\% \pm 0.03$ . A two-tailed t-test on the means of the steady-state currents in the 1:3 and 3:1 expression groups showed that they are significantly different (P=0.0347) ([Tables 3a & b](#), [Figure 14](#)).

#### *# of Exponents Used in Curve Fit*

The decay curve in forced desensitization showed a slightly irregular pattern, especially at  $\sim 7$ s after the peak (Figure 10). The rebound effect after the 55s application was similar to that seen in human  $\alpha 3\beta 2$  currents referenced by Jensen et al. (Jensen, Frolund et al. 2005). Analysis of the decay curve from the peak to the steady state current (excluding the rebound effect at 55s) in forced desensitization was performed in Clampfit to form a best fit curve. The lowest number of exponents used to fit the decay curve with the highest correlation ( $R^2$ ) was compared between the 1:3, 1:1, and 3:1  $\alpha 3\beta 2$  expression groups. The average number of exponents used to best fit the forced desensitization decay curve in the 1:3 expression group (n=2) was  $1.33 \pm 0.33$ . In the 3:1

expression group (n=6), the average number of exponents used to fit the forced desensitization curve was  $3.33 \pm 0.80$ . A two-tailed t-test showed no significant difference between the two groups (P=0.138). The 1:1 expression group (n=4) required an average of 2.5 exponents  $\pm 0.87$  ([Tables 4a & b](#), [Figure 15](#)).

#### *90%-10% Decay Time*

The time required for the peak current to decay from 90% to 10% (using the steady-state current as baseline) in forced desensitization from a 55s application of 300  $\mu\text{M}$  ACh was calculated in Clampfit. The 90-10% decay time in cells expressing the 1:3  $\alpha\beta 2$  ratio (n=2) averaged 20074.33 ms  $\pm 5026.72$ . The cells expressing  $\alpha\beta 2$  in a 1:1 ratio (n=4) produced an average forced desensitization 90-10% decay time of 16647.24 ms  $\pm 674.87$ . The 3:1  $\alpha\beta 2$  expression group (n=6) produced an average forced desensitization 90-10% decay time of 13014.86 ms  $\pm 717.14$ . This was significantly different from the average decay time in cells expressing the 1:3 ratio of  $\alpha\beta 2$  subunits, according to a two-tailed t-test (P=0.040) ([Tables 5a & b](#), [Figure 16](#)).

#### *Decay Constants in Forced Desensitization*

The decay constant ( $\tau$ ) was calculated in Clampfit for each component of the forced desensitization curves elicited from a 55s application of 300  $\mu\text{M}$  ACh. The largest  $\tau$  for each of the forced desensitization curves was averaged for each expression group for statistical analysis. The average decay  $\tau$ s for the 1:3, 1:1, and 3:1  $\alpha\beta 2$  expression groups were 10687.23 ms  $\pm 76.63$ , 11823.91 ms  $\pm 2525.49$ , and 11368.12 ms  $\pm 3317.60$ , respectively ([Table 6a](#)). A two-tailed t-test revealed that there was no significant difference between the average  $\tau$ s for the 1:3 and 3:1 expression groups (P=0.914) ([Table 6b](#), [Figure 17](#)).

### *Dose-Response Curves*

It has been previously demonstrated that differential stoichiometric expression of heteromeric  $\alpha\beta$  nAChRs can greatly affect receptor sensitivity to agonist (Zwart and Vijverberg 1998; Nelson, Kuryatov et al. 2003; Lopez-Hernandez, Sanchez-Padilla et al. 2004; Moroni, Zwart et al. 2006). Full dose-response curves were compiled at ACh concentrations of 1  $\mu$ M, 10  $\mu$ M, 100  $\mu$ M, 300  $\mu$ M, 1 mM, and 10 mM ([Figure 11a](#)). Current responses were also elicited from 1s applications of 30 mM ACh to verify that the maximally effective concentration was 10 mM ACh for each different expression group (1:3, 1:1, and 3:1). The average % of the peak current elicited from a 1s application of 30 mM ACh was 96.09% for the 1:3 expression group (n=9), 75.77% for the 1:1 expression group (n=9), and 97.97% for the 3:1 expression group (n=12) ([Figure 11b](#)). The 30 mM data were not included in the dose-response curves for the sake of maximally fitting the curve to the data points.

Confident that a 1s application of 10mM ACh produced the maximal response, all other responses to the different ACh concentrations could be normalized to the peak current from the application of 10 mM ACh on the same cell. The average of 3 replicates at each ACh concentration in the dose-response curve was taken to compile a list of 45 averages for the 1:3 dose-response curve, 40 averages for the 1:1 dose-response curve, and 45 averages for the 3:1 dose-response curve. The average % of peak current at each ACh concentration was then taken from the averages of the replicates to provide 6 points for the dose-response curves in each of the expression groups. Dose-response analysis and graphing was performed on Prism ver. 4.03 (GraphPad Software, San Diego CA).

The EC<sub>50</sub> of each dose-response curve was calculated in Prism. Cells injected with  $\alpha 3\beta 2$  mRNA in a 1:3 ratio showed the highest sensitivity to ACh with an EC<sub>50</sub> of 129.5  $\mu$ M ACh. The EC<sub>50</sub> of the 1:1  $\alpha 3\beta 2$  expression group increased 60.2  $\mu$ M to 189.7  $\mu$ M ACh. The 3:1 expression group showed the lowest sensitivity to ACh with an EC<sub>50</sub> of 245.5  $\mu$ M. While a t-test showed no significant difference between the EC<sub>50</sub> values of the 1:3 and 3:1 expression groups (P=0.4656), it is interesting to note that the pattern of receptor sensitivity decreases in almost even increments from 1:3 to 1:1 to 3:1. For a summary of all results, see the consolidated results table ([Table 7](#)).

## TABLES AND FIGURES

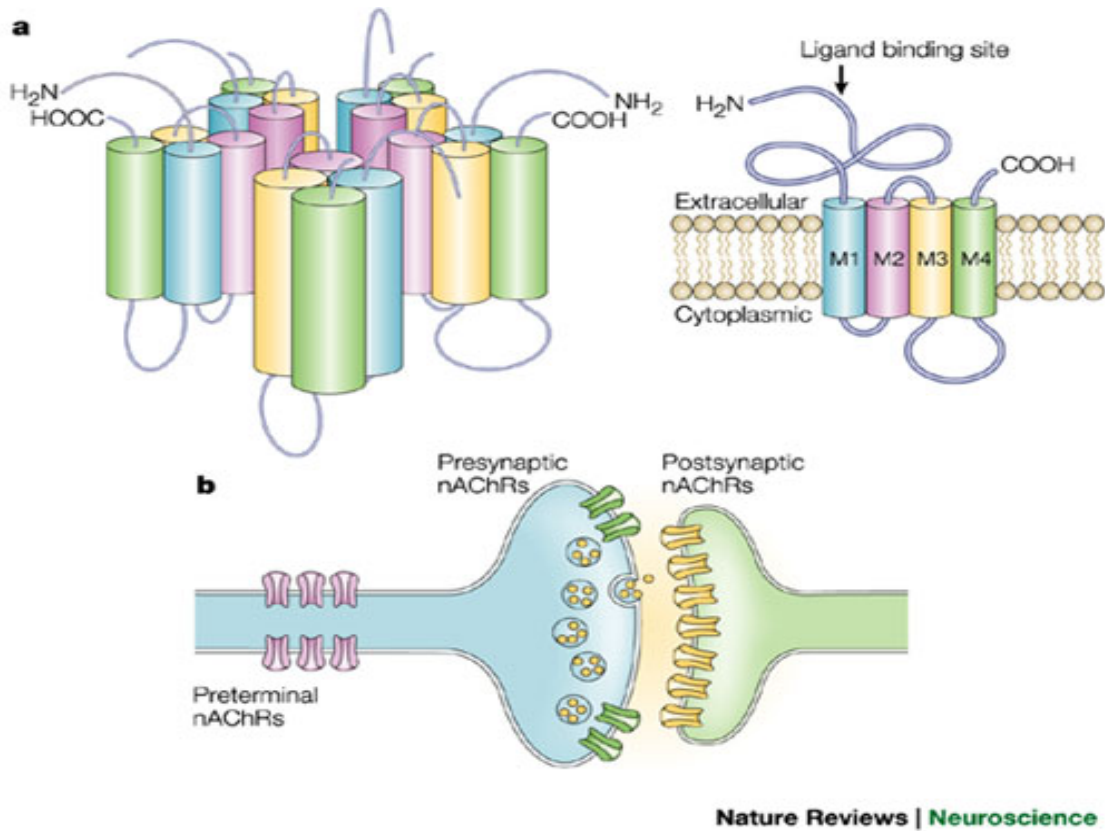
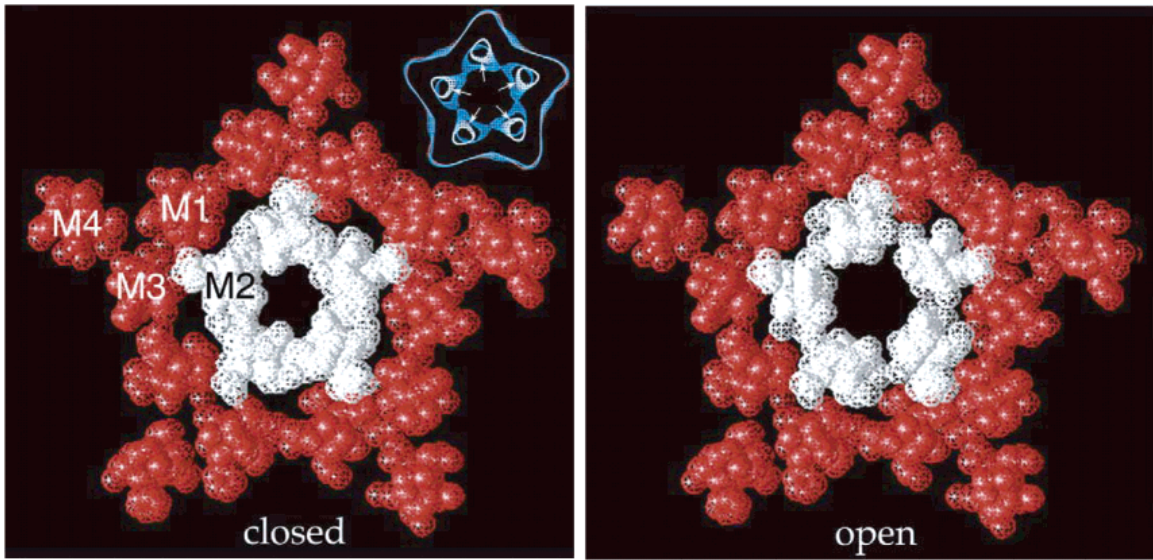


Figure 1a. Pentameric assembly of nAChR subunits (Left). General structure of an individual nAChR subunit.  
b. Pre-, post-, and non-synaptic location of nAChRs (illustration from Laviolette and van der Kooy 2004).



**Figure 2. Ion pore of the Torpedo nAChR. Transmembrane regions for one of the five subunits marked M1-M4. Opening of the ion channel: cross-sections of the closed and open ion channels in the middle of the membrane.(Jensen, Frolund et al. 2005)**



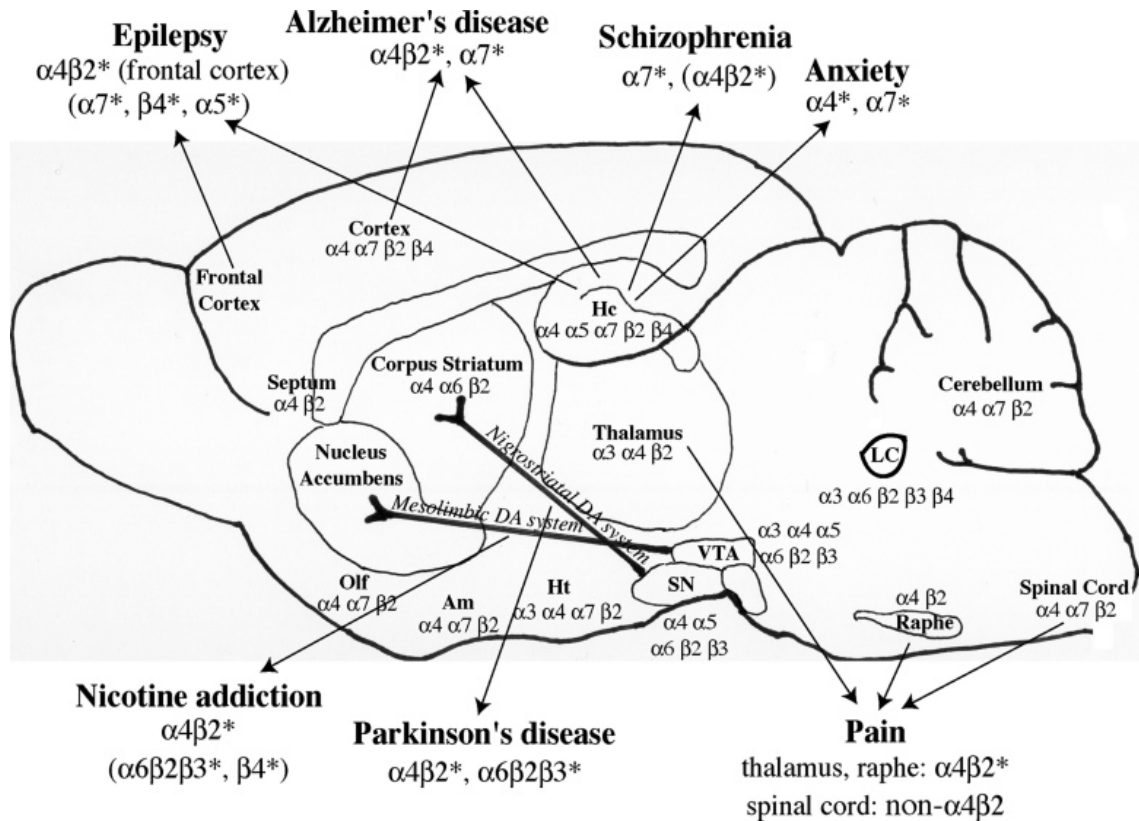
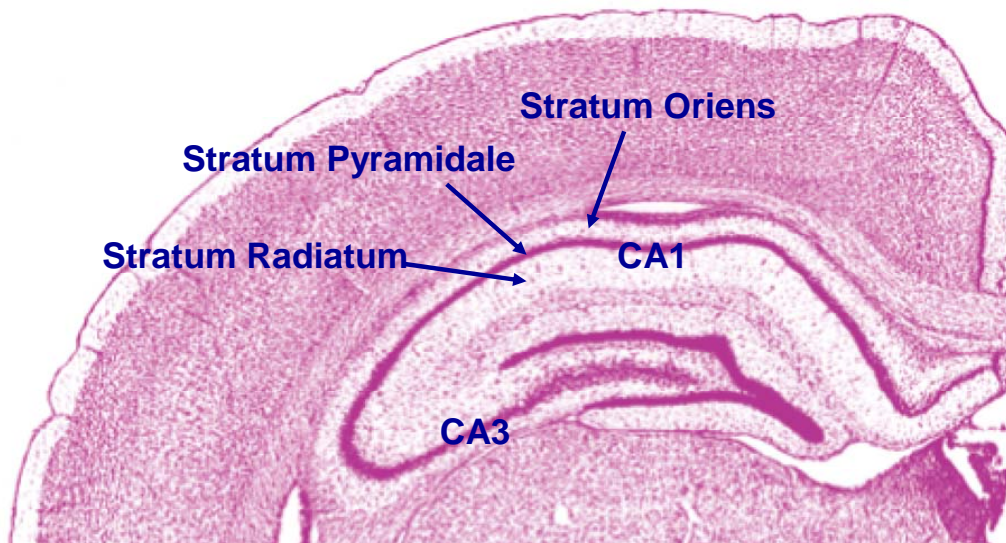


Figure 3. Distribution of nAChR subunits in the rodent brain. The nAChR subunits predominantly expressed in selected CNS regions are shown, and the nAChRs proposed as potential therapeutic targets in various disorders are indicated: Hc, hippocampus; Ht, hypothalamus; VTA, ventral tegmental area; SN, substantia nigra; Olf, olfactory region; Am, amygdala; LC, locus coeruleus. (Jensen, Frolund et al. 2005)

## Rat Hippocampus



**Figure 4. Rat hippocampal slice showing the rough anatomy of the hippocampus. Arrows indicate areas where interneurons were aspirated for PCR analysis (Photo from (Swanson 1998)).**

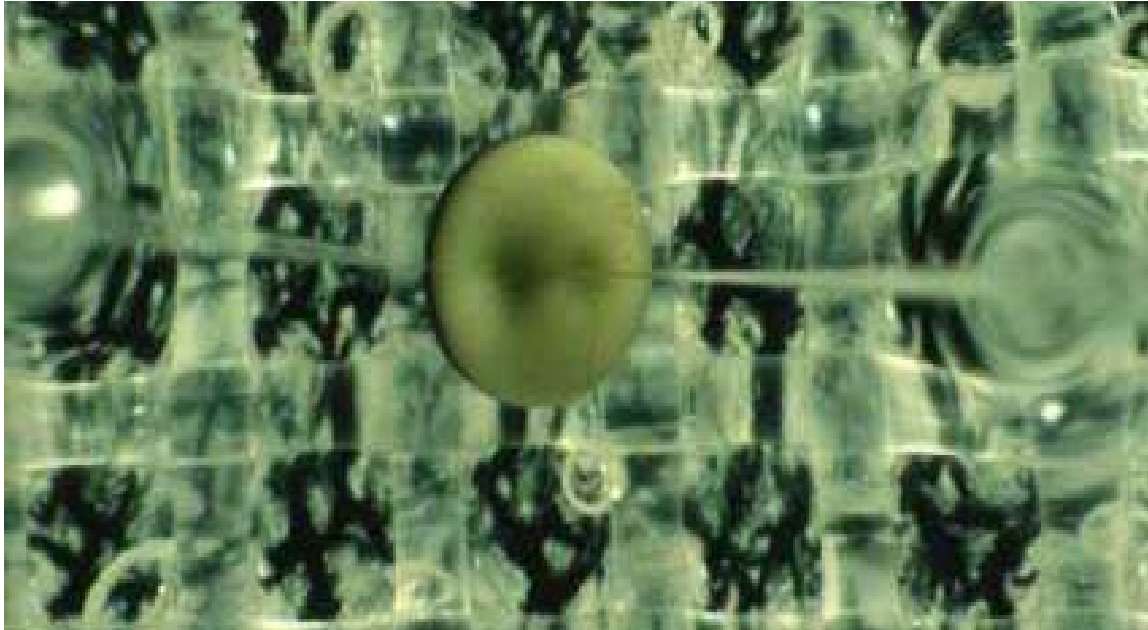
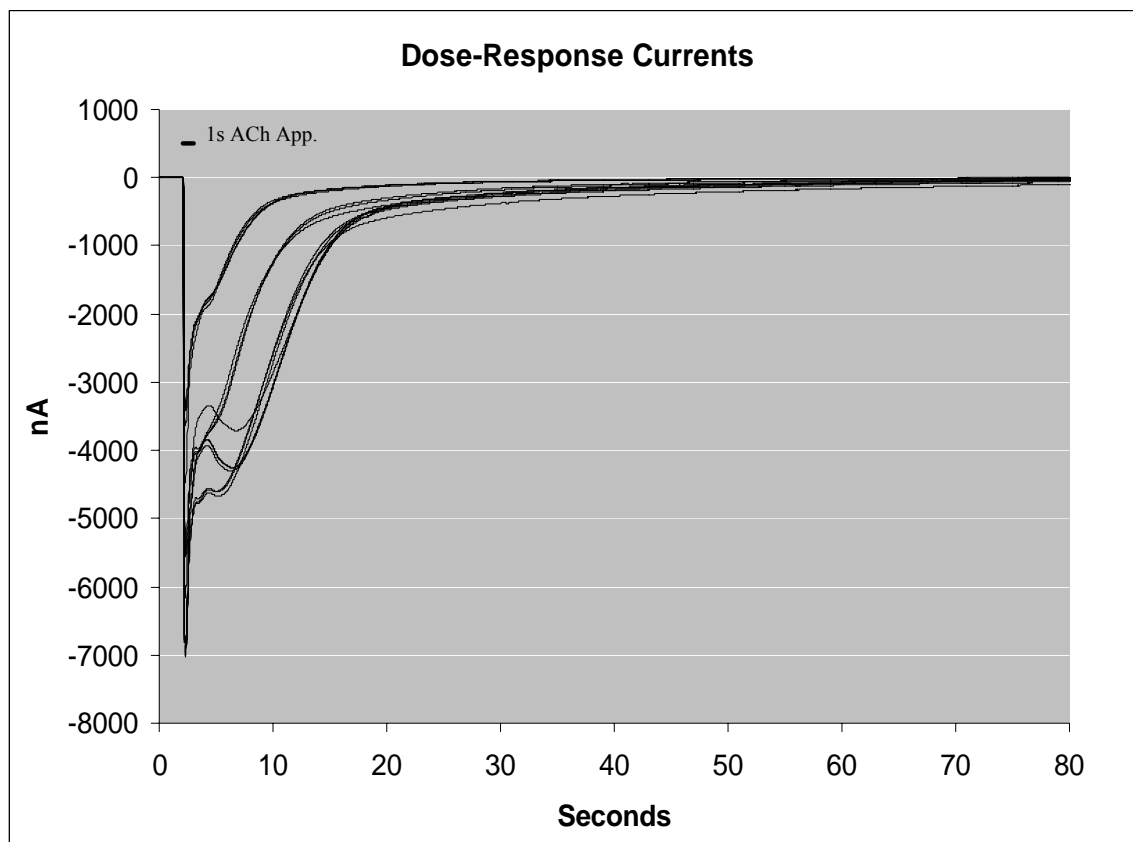


Figure 5. Example of a two-electrode voltage clamp setup for current recordings on *Xenopus* oocytes (Photo by: Mizukawa 2008).

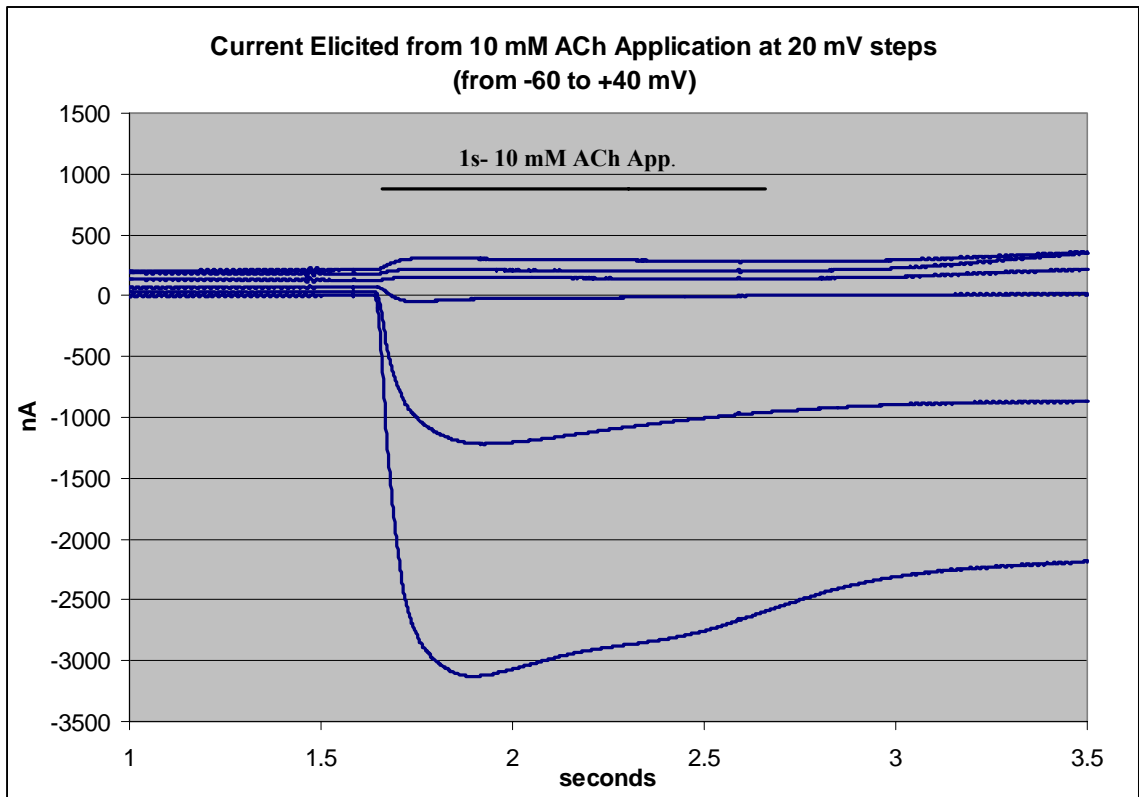
### Neuronal nAChR Subunit Co-Expression in Individual Interneurons

|            |   |
|------------|---|
| <b>43%</b> | <b>of Cells Co-expressed <math>\alpha 3</math> and <math>\beta 2</math></b> |
| <b>38%</b> | <b>of Cells Co-expressed <math>\alpha 7</math> and <math>\beta 2</math></b> |
| <b>33%</b> | <b>of Cells Co-expressed <math>\alpha 3</math> and <math>\beta 4</math></b> |
| <b>27%</b> | <b>of Cells Co-expressed <math>\alpha 4</math> and <math>\beta 2</math></b> |
| <b>24%</b> | <b>of Cells Co-expressed <math>\alpha 2</math> and <math>\beta 2</math></b> |
| <b>22%</b> | <b>of Cells Co-expressed <math>\alpha 7</math> and <math>\beta 4</math></b> |
| <b>20%</b> | <b>of Cells Co-expressed <math>\alpha 2</math> and <math>\beta 4</math></b> |
| <b>15%</b> | <b>of Cells Co-expressed <math>\alpha 4</math> and <math>\beta 4</math></b> |

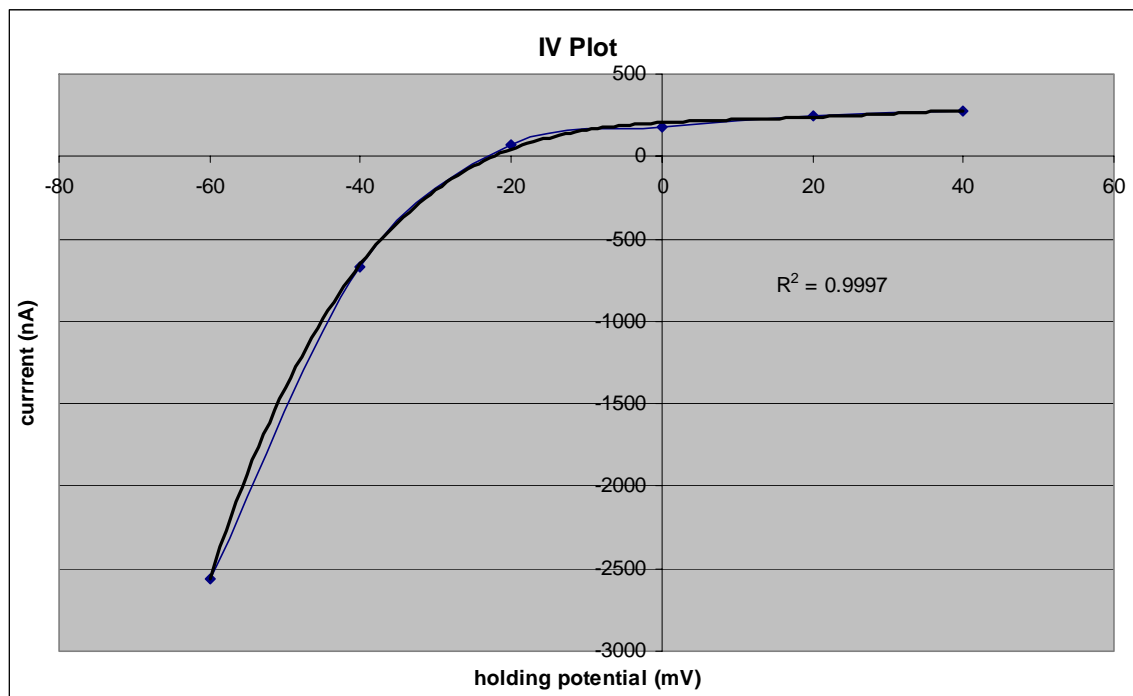
**Figure 6. The most common mRNA combinations of two neuronal nAChR subunits observed in individual hippocampal CA1 interneurons using single-cell quantitative RT-PCR (n=79).**



**Figure 7. Example of currents in the dose-response protocol. Currents seen above were elicited from 3 consecutive 1s applications of 100  $\mu$ M, 1 mM, 10 mM, and 30 mM ACh waiting 2 minutes between ACh applications.**



**Figure 8.** Example of currents in the IV protocol. Currents seen above were in response to a 1s application of 10 mM ACh at holding potentials of -60, -40, -20, 0, 20, 40 mV waiting 2 minutes between ACh applications.



3:1

Figure 9. IV plot showing rectification of a 3:1  $\alpha 3\beta 2$  nAChR in *Xenopus* oocytes with holding potentials of -60, -40, -20, 0, 20, 40 mV. Each current was elicited from a 1s application of 10 mM ACh.

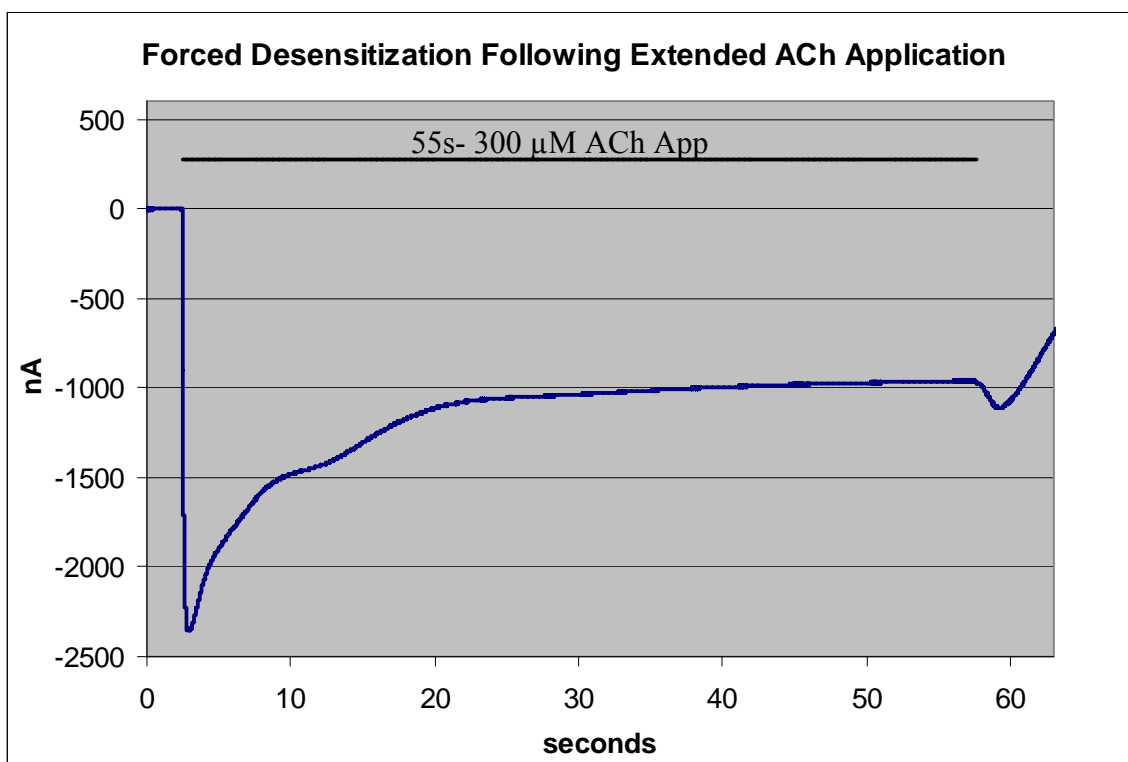
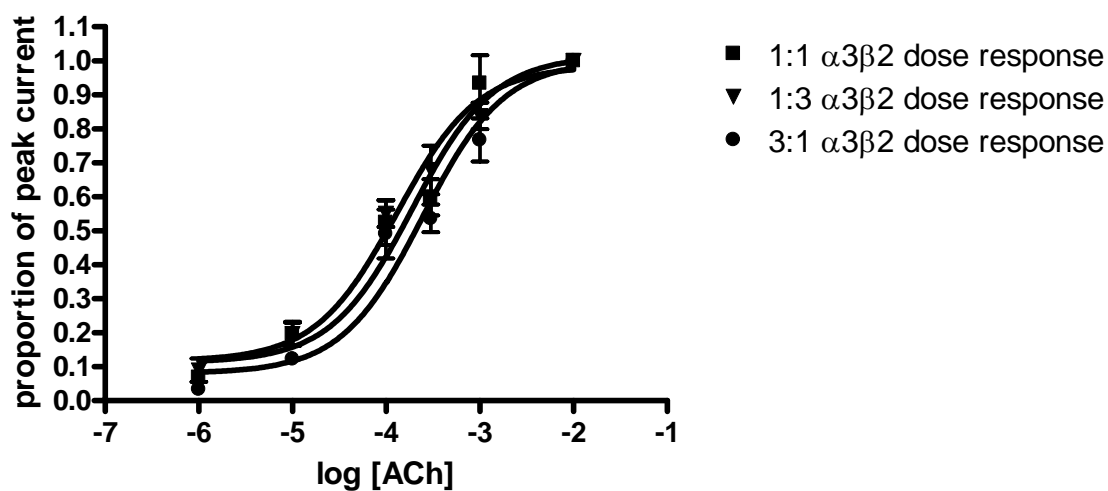


Figure 10. Example of current in forced desensitization. 300  $\mu\text{M}$  ACh was applied constantly over a 55s period to force the receptor into a desensitized state.



11a.



b.

|                        | 1:3       | 1:1       | 3:1       |
|------------------------|-----------|-----------|-----------|
| EC <sub>50</sub>       | 0.0001295 | 0.0001897 | 0.0002455 |
| R <sup>2</sup>         | 0.9154    | 0.9218    | 0.9206    |
| Total number of values | 45        | 40        | 45        |

Figure 11a. Dose-response curves for (from left to right) 1:3, 1:1, and 3:1  $\alpha 3\beta 2$  nAChRs. Six concentrations (1  $\mu$ M, 10  $\mu$ M, 100  $\mu$ M, 300  $\mu$ M, 1 mM, 10 mM) of ACh were normalized to the peak currents elicited from a 1s application of 10 mM ACh. Points for the dose-response curves were taken from the averages of the % of peak at each given dose for each respective stoichiometric expression ratio.

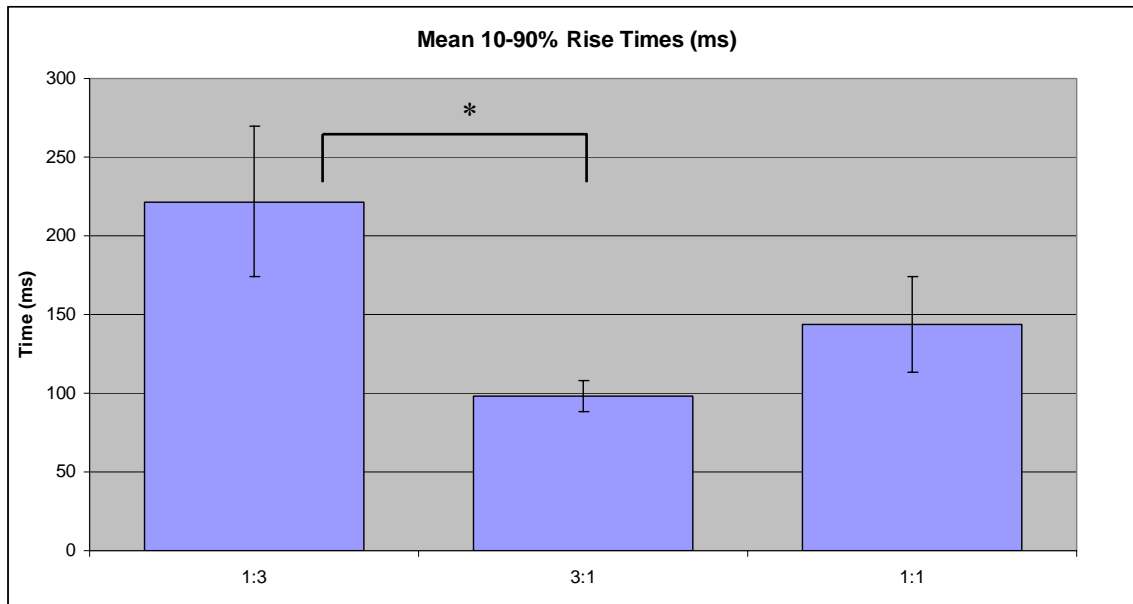
b. EC<sub>50</sub> values for each of the three dose-response curves. R<sup>2</sup> values for the curve fit of the dose-responses are also listed with the number of values used to calculate each curve.

| 10-90% Rise Times  |       |      |       |
|--------------------|-------|------|-------|
|                    | 1:3   | 3:1  | 1:1   |
| Mean (msec)        | 222   | 98   | 144   |
| Standard Error     | 48    | 10   | 30    |
| Median             | 144   | 87   | 91    |
| Standard Deviation | 302   | 62   | 189   |
| Sample Variance    | 91364 | 3900 | 35726 |
| Range              | 1312  | 324  | 1022  |
| Minimum            | 39    | 32   | 36    |
| Maximum            | 1351  | 357  | 1058  |
| Count              | 40    | 43   | 39    |

**Table 1a. Column statistics for the rise times from 10% to 90% of the peak current elicited from a 1s application of 10 mM ACh.**

| t-Test: Two-Sample Assuming Equal Variances |       |             |
|---|-------|-------------|
|   | 1:3   | 3:1         |
| Mean (msec)                                 | 222   | 98          |
| Variance                                    | 91364 | 3900        |
| Observations                                | 40    | 43          |
| Pooled Variance                             | 46013 |             |
| Hypothesized Mean Difference                | 0     |             |
| Df  | 81    |             |
| t Stat                                      | 3     |             |
| P(T<=t) one-tail                            | 0.005 |             |
| t Critical one-tail                         | 1.66  |             |
| P(T<=t) two-tail                            | 0.010 | significant |
| t Critical two-tail                         | 1.99  |             |

**Table 1b. Two-tailed t-test for difference in the means of the 10-90% rise times of the 1:3 and 3:1  $\alpha_3 \beta_2$  groups. (significance =  $P < 0.05$ ).**



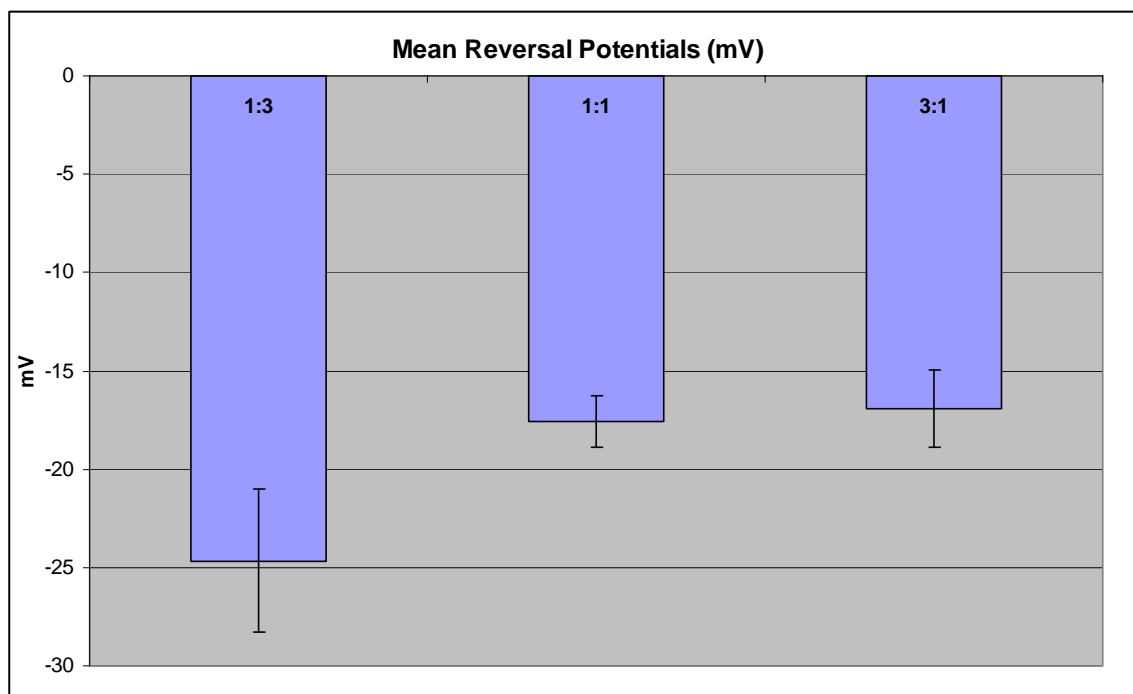
**Figure 12. Average of the 10%-90% rise times elicited from a 1s application of 10 mM ACh (\* indicates  $P < .01$  significance, two-tailed t-test).**

| Reversal Potentials |     |     |     |
|---------------------|-----|-----|-----|
|                     | 1:3 | 1:1 | 3:1 |
| Mean (mV)           | -25 | -18 | -17 |
| Standard Error      | 4   | 1   | 2   |
| Median              | -24 | -17 | -17 |
| Standard Deviation  | 6   | 3   | 4   |
| Sample Variance     | 40  | 7   | 20  |
| Range               | 12  | 6   | 12  |
| Minimum             | -32 | -21 | -22 |
| Maximum             | -19 | -16 | -11 |
| Sum                 | -74 | -70 | -85 |
| Count               | 3   | 4   | 5   |

**Table 2a. Column statistics for the reversal potentials from current elicited from a 1s application of 10 mM ACh at mV steps of -60, -40, -20, 0, 20, 40 mV.**

| t-Test: Two-Sample Assuming Equal Variances |      |          |
|---|------|----------|
|   | 1:3  | 3:1      |
| Mean (mV)                                   | -25  | -17      |
| Variance                                    | 40   | 20       |
| Observations                                | 3    | 5        |
| Pooled Variance                             | 26   |          |
| Hypothesized Mean Difference                | 0    |          |
| Df  | 6    |          |
| t Stat                                      | 2    |          |
| P(T<=t) one-tail                            | 0.04 |          |
| t Critical one-tail                         | 1.9  |          |
| P(T<=t) two-tail                            | 0.09 | not sig. |
| t Critical two-tail                         | 2.4  |          |

**Table 2b. Two-tailed t-test for difference in the means of the reversal potentials of the 1:3 and 3:1  $\alpha 3 \beta 2$  groups. (significance =  $P < 0.05$ ).**



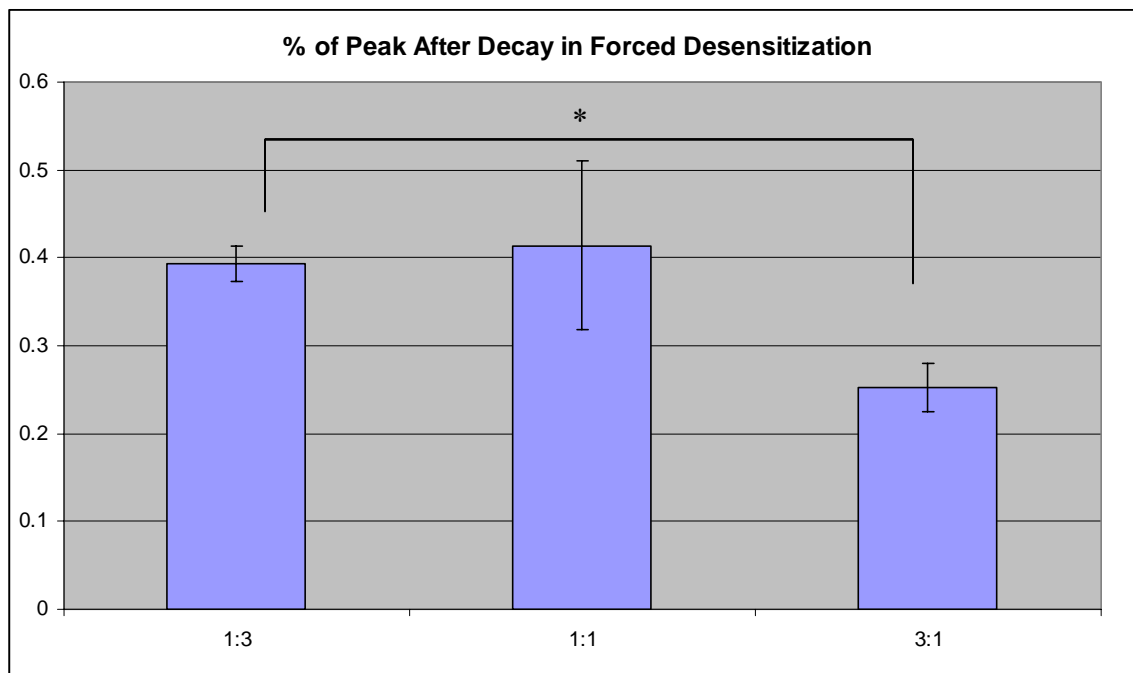
**Figure 13. Average reversal potentials for all groups; 1:3, 1:1, 3:1 (significance =  $P < 0.05$ ).**

| Steady State Current Compared to Peak Current with Extended Agonist Application (Forced Desensitization) |       |       |       |
|--|-------|-------|-------|
|  | 1:3   | 1:1   | 3:1   |
| Mean (proportion of peak)  | 0.394 | 0.414 | 0.253 |
| Standard Error   | 0.020 | 0.096 | 0.028 |
| Median   | 0.394 | 0.368 | 0.234 |
| Standard Deviation   | 0.029 | 0.192 | 0.068 |
| Sample Variance  | 0.001 | 0.037 | 0.005 |
| Range  | 0.041 | 0.441 | 0.161 |
| Minimum  | 0.373 | 0.240 | 0.177 |
| Maximum  | 0.414 | 0.681 | 0.338 |
| Sum  | 0.787 | 1.656 | 1.517 |
| Count  | 2     | 4     | 6     |

**Table 3a. Column statistics for the steady state current compared to the peak current from a 55s application of 10 mM ACh.**

| t-Test: Two-Sample Assuming Equal Variances |        |             |
|---|--------|-------------|
|   | 1:3    | 3:1         |
| Mean (proportion of peak)                   | 0.394  | 0.253       |
| Variance                                    | 0.0008 | 0.0047      |
| Observations                                | 2      | 6           |
| Pooled Variance                             | 0.004  |             |
| Hypothesized Mean Difference                | 0      |             |
| df  | 6      |             |
| t Stat                                      | 2.719  |             |
| P(T<=t) one-tail                            | 0.017  |             |
| t Critical one-tail                         | 1.943  |             |
| P(T<=t) two-tail                            | 0.035  | significant |
| t Critical two-tail                         | 2.447  |             |

**Table 3b. Two-tailed t-test for difference in the means of the steady state current compared to the peak current from a 55s application of 10 mM ACh.**



**Figure 14. Average steady state current compared to peak current in forced desensitization (\* indicates significance,  $P < 0.05$ ).**

| # of Exponents Used for Curve Fit |     |     |     |
|-----------------------------------|-----|-----|-----|
|                                   | 1:3 | 1:1 | 3:1 |
| Mean                              | 1.3 | 2.5 | 3.3 |
| Standard Error                    | 0.3 | 0.9 | 0.8 |
| Median                            | 1   | 2   | 3   |
| Mode                              | 1   | 2   | 2   |
| Standard Deviation                | 0.6 | 1.7 | 2.0 |
| Sample Variance                   | 0.3 | 3   | 3.9 |
| Range                             | 1   | 4   | 5   |
| Minimum                           | 1   | 1   | 1   |
| Maximum                           | 2   | 5   | 6   |
| Sum                               | 4   | 10  | 20  |
| Count                             | 2   | 4   | 6   |

**Table 4a. Column statistics for the # of exponents used to curve fit the forced desensitization decay.**

| t-Test: Two-Sample Assuming Equal Variances |      |          |
|---|------|----------|
|   | 1:3  | 3:1      |
| Mean  | 1.3  | 3.3      |
| Variance                                    | 0.3  | 3.9      |
| Observations                                | 3    | 6        |
| Pooled Variance                             | 2.9  |          |
| Hypothesized Mean Difference                | 0    |          |
| Df  | 7    |          |
| t Stat                                      | -1.7 |          |
| P(T<=t) one-tail                            | 0.07 |          |
| t Critical one-tail                         | 1.9  |          |
| P(T<=t) two-tail                            | 0.14 | not sig. |
| t Critical two-tail                         | 2.4  |          |

**Table 4b. Two-tailed t-test for difference in the means of the # of exponents used to curve fit the forced desensitization decay.**



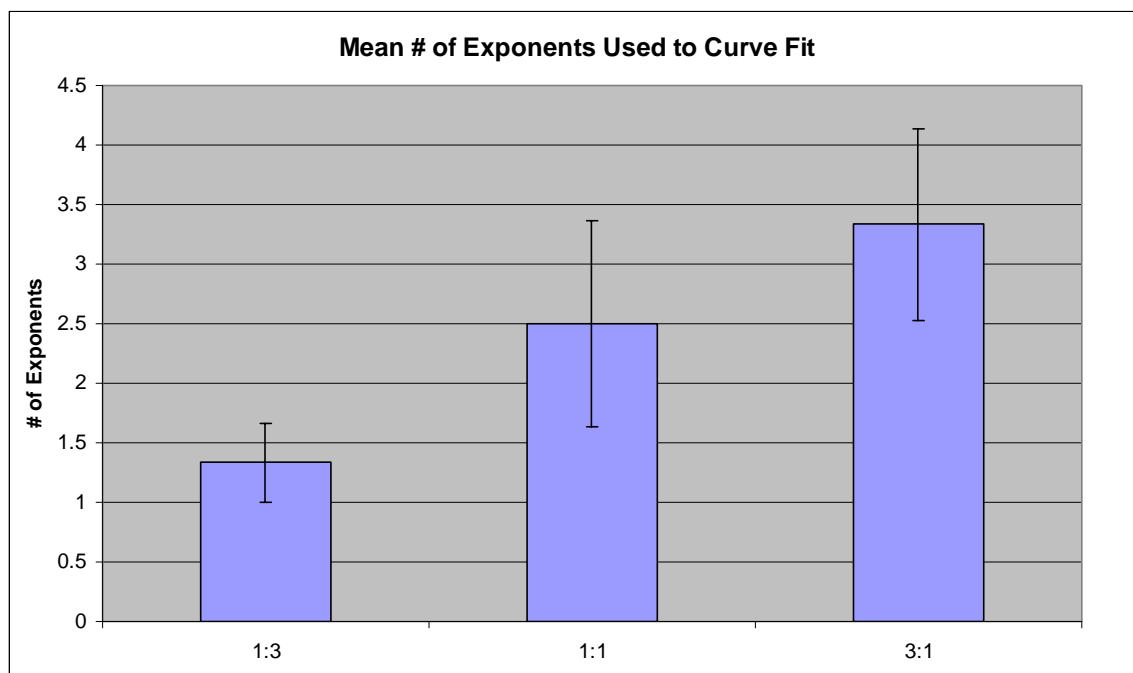


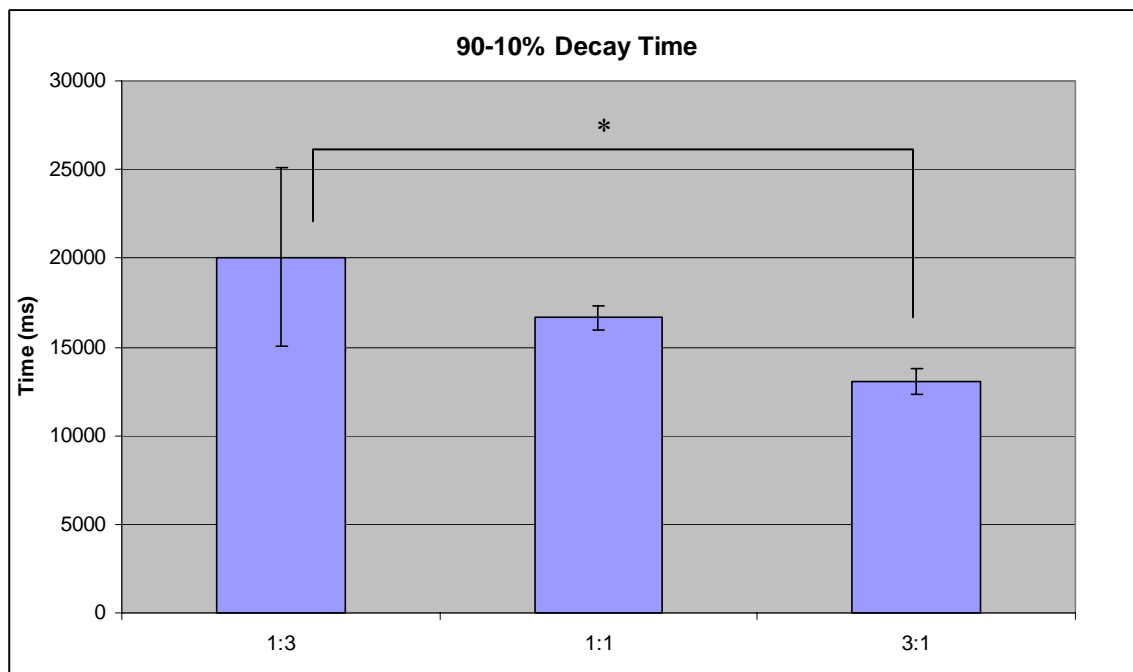
Figure 15. Average # of exponents used to fit the decay of the forced desensitization (significance =  $P < 0.05$ ).

| 90-10% Decay Time  |          |         |         |
|--------------------|----------|---------|---------|
|                    | 1:3      | 1:1     | 3:1     |
| Mean (msec)        | 20074    | 16647   | 13015   |
| Standard Error     | 5027     | 675     | 717     |
| Median             | 20074    | 17115   | 12696   |
| Standard Deviation | 7109     | 1350    | 1757    |
| Sample Variance    | 50536000 | 1821800 | 3086000 |
| Range              | 10053    | 2956    | 5176    |
| Minimum            | 15048    | 14701   | 11170   |
| Maximum            | 25101    | 17657   | 16347   |
| Sum                | 40149    | 66589   | 78089   |
| Count              | 2        | 4       | 6       |

**Table 5a. Column statistics for the decay times from 90% of the peak current to 10% of the steady state current during forced desensitization from 55s of 10 mM ACh.**

| t-Test: Two-Sample Assuming Equal Variances |          |             |
|---|----------|-------------|
|   | 1:3      | 3:1         |
| Mean (msec)                                 | 20074    | 13015       |
| Variance                                    | 50536000 | 3086000     |
| Observations                                | 2        | 6           |
| Pooled Variance                             | 10994000 |             |
| Hypothesized Mean Difference                | 0        |             |
| Df  | 6        |             |
| t Stat                                      | 2.6      |             |
| P(T<=t) one-tail                            | 0.02     |             |
| t Critical one-tail                         | 1.9      |             |
| P(T<=t) two-tail                            | 0.04     | significant |
| t Critical two-tail                         | 2.4      |             |

**Table 5b. Two-tailed t-test for difference in the means of decay times from 90% of the peak current to 10% of the steady state current during forced desensitization from 55s of 10 mM ACh (significance =  $P < 0.05$ ).**



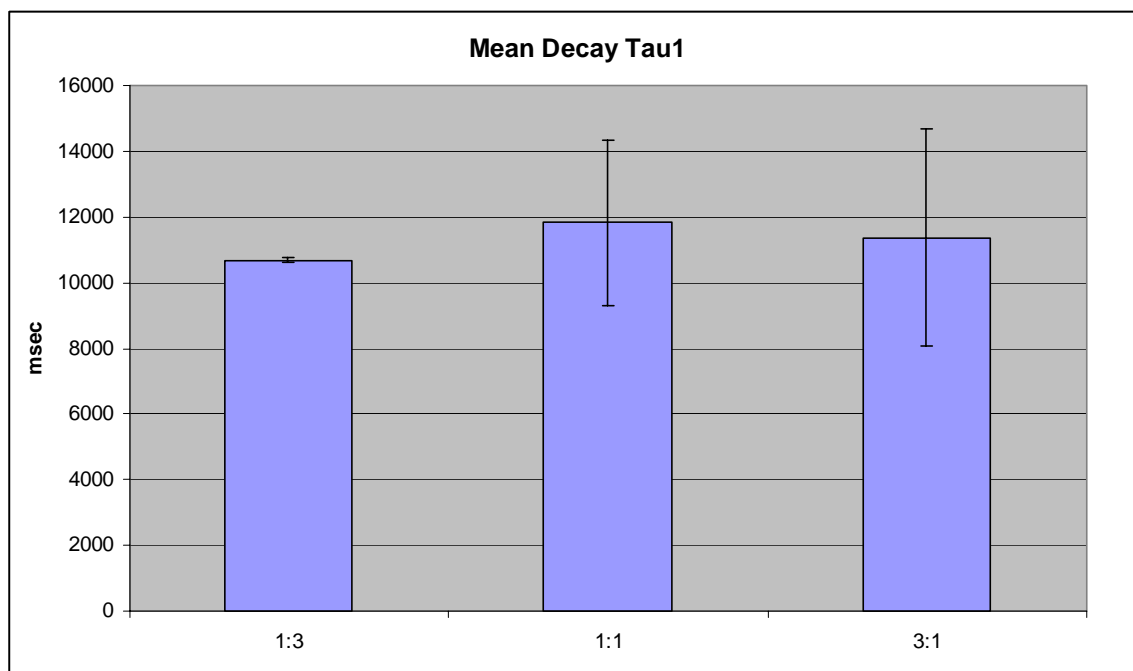
**Figure 16. Average decay times from 90% of the peak current to 10% of the steady state current during forced desensitization from 55s of 10 mM ACh (\* indicates significance,  $P < 0.05$ ).**

| Decay Tau1         |       |          |          |
|--------------------|-------|----------|----------|
|                    | 1:3   | 1:1      | 3:1      |
| Mean (msec)        | 10687 | 11824    | 11368    |
| Standard Error     | 77    | 2525     | 3318     |
| Median             | 10687 | 12106    | 9188     |
| Standard Deviation | 108   | 5050     | 8126     |
| Sample Variance    | 11744 | 25512438 | 66038900 |
| Range              | 153   | 9842     | 21807    |
| Minimum            | 10610 | 6620     | 5750     |
| Maximum            | 10760 | 16460    | 27550    |
| Sum                | 21370 | 47300    | 68210    |
| Count              | 2     | 4        | 6        |

**Table 6a. Column statistics for the decay tau from the forced desensitization decay curves.**

| t-Test: Two-Sample Assuming Equal Variances |          |          |
|---|----------|----------|
|   | 1:3      | 3:1      |
| Mean (msec)                                 | 10687    | 11368    |
| Variance                                    | 11744    | 66038900 |
| Observations                                | 2        | 6        |
| Pooled Variance                             | 55034374 |          |
| Hypothesized Mean Difference                | 0        |          |
| Df  | 6        |          |
| t Stat                                      | -0.1     |          |
| P(T<=t) one-tail                            | 0.5      |          |
| t Critical one-tail                         | 2        |          |
| P(T<=t) two-tail                            | 0.9      | not sig. |
| t Critical two-tail                         | 2        |          |

**Table 6b. Two-tailed t-test for difference in the mean decay tau values from the forced desensitization decay curves.**



**Figure 17. Average of the decay tau values from the forced desensitization decay curves (significance =  $P < 0.05$ ).**

|                             | 1:3     | 3:1     | 1:1     | <i>Significance<br/>(1:3, 3:1)</i> |
|-----------------------------|---------|---------|---------|------------------------------------|
| 10-90% Rise Time (msec)     | 222     | 98      | 144     | P=0.010, significant               |
| Reversal Potential (mV)     | -25     | -17     | -18     | P=0.09, not sig.                   |
| Steady State Current (%)    | 0.394   | 0.253   | 0.414   | P=0.035, significant               |
| # of Exponents in Decay Fit | 1.3     | 3.3     | 2.5     | P=0.14, not sig.                   |
| 90-10% Decay Time (msec)    | 20074   | 13015   | 16647   | P=0.04, significant                |
| Decay Tau (msec)            | 10687   | 11368   | 11824   | P=0.9, not sig.                    |
| EC50 [M]                    | 0.00013 | 0.00025 | 0.00019 | P=0.47, not sig.                   |

**Table 7. Consolidated table of results. Values reported as averages. P values for two-tailed t-tests between the 1:3 and 3:1 expression groups provided.**

## DISCUSSION

Neuronal nAChRs are expressed throughout the PNS and CNS, and are involved in a wide variety of physiological processes (Ortells 1995; Albuquerque, Pereira et al. 1996; Changeux, Bessis et al. 1996; Newhouse, Potter et al. 1997; Dani JA 2007). The  $\alpha 3$  subunit is widely expressed in the PNS, forming heteromeric receptors commonly with the  $\beta 4$  subunit (Paterson and Nordberg 2000; Jensen, Frolund et al. 2005). However, the  $\alpha 3$  subunit has not been found to be widely expressed in the CNS. The prevailing theory suggests that approximately 90% of neuronal nAChRs in the CNS are  $\alpha 4\beta 2$  receptors, while the vast majority of the remaining nAChRs expressed in the CNS are  $\alpha 7$  homomers (Jensen, Frolund et al. 2005). Therefore, the  $\alpha 3\beta 4$  and the  $\alpha 4\beta 2$  receptors have been characterized quite well, due to the overwhelming evidence of expression in the PNS and CNS respectively. However, the  $\alpha 3\beta 2$  receptor has not shown much expression in the body overall, so it is relatively uncharacterized by comparison.

From the PCR data, it was surprising to find that; 1) the rat CA1 hippocampal interneurons expressed  $\alpha 3$  at such high levels, and 2) the  $\alpha 3\beta 2$  co-expression rate in the hippocampus surpassed that of any other two-way subunit combination, including  $\alpha 4\beta 2$ . According to Welch 2008, the  $\beta 2$  subunit was expressed in 100% of the 106 whole hippocampus tissue samples taken from Wistar rats ranging from 10 to 90 days in age, while the  $\alpha 3$  and  $\alpha 4$  subunits were both expressed in over 90% of the same samples. The range of expression of these subunits in the hippocampus is similarly high. However, the relative expression levels of each subunit are quite different. The  $\alpha 4$  subunit, though widely expressed in the hippocampus, showed a relatively low level of expression compared to all other subunits save the  $\beta 3$  subunit. The  $\alpha 3$  subunit demonstrated a

relative fold expression generally three times higher than the  $\alpha 4$  subunit in the hippocampus over the development of Wistar rats into adolescence. The  $\beta 2$  subunit enjoyed the second highest level of expression of all subunits, just below the  $\alpha 5$  subunit (Welch 2008). Therefore, the  $\alpha 3$  and  $\beta 2$  subunits were shown to be both widely and highly expressed in the rat hippocampus throughout development. While the PCR data presented in this thesis are taken specifically from individual CA1 hippocampal interneurons and not the whole hippocampus, the data from the whole hippocampus (Welch 2008) seem to support our findings extremely well. Because  $\alpha 4\beta 2$  containing receptors have been shown to be widely expressed throughout the brain, there has been an assumption that this subtype is one of the most prevalent in the hippocampus as well. Our findings indicate that this assumption may be incorrect, with  $\alpha 3\beta 2$  containing receptors demonstrating to be more predominant in the hippocampus (Wada, Wada et al. 1989; Alkondon and Albuquerque 1993; Jones and Yakel 1997; McQuiston and Madison 1999; Jensen, Frolund et al. 2005).

The co-expression rate of the  $\alpha 3$  and  $\beta 2$  subunits in hippocampal interneurons was not only the highest of all two-way subunit combinations in the hippocampal interneurons, but it also proved to be 7.6% higher than expected (observed co-expression 43%, expected 35.4%), based on the individual expression rates of  $\alpha 3$  and  $\beta 2$  (59.5% for both  $\alpha 3$  and  $\beta 2$  (i.e.,  $0.595 \times 0.595 = 0.354$  or 35.4% for the expected co-expression rate). Moreover, 72% of interneurons that expressed  $\alpha 3$  also expressed  $\beta 2$  and vice versa. These data suggest a definite tendency for the  $\alpha 3$  and  $\beta 2$  subunits to be co-expressed in individual neurons. Due to the apparent prevalence of  $\alpha 3\beta 2$  receptors in hippocampal interneurons and their lack of prevalence elsewhere in the body, the  $\alpha 3\beta 2$  receptors are an



ideal target of interest for research involving hippocampal pathologies where neuronal nAChRs are implicated as being involved (i.e.- Alzheimer's disease AD, schizophrenia, etc.) (Court 2001; Woodruff-Pak DS 2002; Bourin, Ripoll et al. 2003).

Not only did our PCR data show the high rate of  $\alpha 3\beta 2$  co-expression in hippocampal interneurons, but the relative stoichiometric expression ratios of  $\alpha 3:\beta 2$  were measured as well. Of the 34 interneurons that co-expressed the  $\alpha 3$  and  $\beta 2$  subunits, 15 of them expressed  $\alpha 3$  more than  $\beta 2$  by an average of 2.5 fold. The remaining 19 cells that co-expressed the  $\alpha 3$  and  $\beta 2$  subunits expressed the  $\beta 2$  subunit more than  $\alpha 3$  by an average of 3.3 fold. These data suggest that the 1:3 and 3:1  $\alpha 3\beta 2$  expression groups might be more physiologically relevant to future hippocampal studies. It also shows a slight favoring of the receptors expressing more  $\beta 2$  than  $\alpha 3$ .

It is necessary to note that a plasmid containing the human  $\alpha 3$  gene was combined with a plasmid containing the rat  $\beta 2$  gene for expression in the oocytes used for voltage clamp recordings. It is evident that the human  $\alpha 3$  subunit readily associates with the rat  $\beta 2$  subunit to form fully functional heteropentamers in terms of cationic selectivity, current rectification, current conductance, agonist binding, and desensitization. Because of the high homology between subunits (NCBI BLASTN pairwise alignment = 88% identity for nucleotide sequences, BLASTP pairwise alignment = 95% identity for the two protein sequences), we expected that the disparity in species would not inhibit the formation of functional receptors. However, it would be interesting to see if rat  $\alpha 3\beta 2$  and/or human  $\alpha 3\beta 2$  receptors would produce the same kinetics, agonist affinity, and agonist sensitivity as the chimeric combination we produced in our experiments.

All three expression groups (1:3, 1:1, and 3:1  $\alpha 3\beta 2$ ) produced functional acetylcholine receptors on the oocyte membrane. The cells that were injected with 1:3  $\alpha 3\beta 2$  subunit mRNA expressed receptors with significantly different kinetics than the receptors expressed by the cells injected with  $\alpha 3\beta 2$  mRNA in the 3:1 ratio. The receptors formed by the 1:3  $\alpha 3\beta 2$  expression group demonstrated significantly higher values for average 10-90% rise time, average 90-10% decay time during forced desensitization, and average steady state current compared to peak current in forced desensitization than the 3:1  $\alpha 3\beta 2$  expression group ([Table 7](#)). The forced desensitization decay data is particularly interesting as it indicates a slower and lesser degree of desensitization in the 1:3  $\alpha 3\beta 2$  expression group than the 3:1 group. The significantly different kinetics in the receptors expressed by the 1:3 group and the 3:1 group indicate that the  $\alpha 3$  and  $\beta 2$  subunits in these stoichiometric ratios produce different receptor populations.

The different receptors did not vary significantly in their reversal potentials. This was expected because the pipette solution, bath solution, and temperature were kept relatively constant throughout all of the experiments. All three expression groups did display considerable inward negative current rectification ([Figure 9](#)). The receptors expressed by the 1:3  $\alpha 3\beta 2$  group also did not significantly differ from the receptors from the 3:1 group with respect to decay tau and # exponents used to curve fit the decay in forced desensitization. The similarity in decay tau suggests that the main component of the decay during forced desensitization in the two receptor types is brought about by a similar mechanism.

The # of exponents used to curve fit the decay in forced desensitization was not significantly different between the distinct expression groups. The number of exponents

used for the decay curve fit ranged from 1 to 6 exponents, while the average was 2.7. As the # of exponents used to fit the decay curve increases, so does the complexity of the curve. The multiple exponents used to fit the forced desensitization decay curves in our experiments can employ one of two explanations. Different populations of receptors can demonstrate different decay rates, or different populations of receptors can be expressed within the same expression group. For example, while the 1:3 expression group would favor expression of a higher number of  $\beta$  subunits than  $\alpha$  subunits, they could form pentamers with 1- $\alpha$  subunit and 4- $\beta$  subunits or 2- $\alpha$  subunits and 3- $\beta$  subunits. Different populations of receptors within the same expression group may also be explained through different specific subunit conformations. For example, though two receptors may both contain two  $\alpha_3$  subunits and three  $\beta_2$  subunits, one receptor may assemble to form a functional channel with a specific conformation of  $\alpha_3\alpha_3\beta_2\beta_2\beta_2$ , while a different receptor may assemble in a specific conformation of  $\alpha_3\beta_2\alpha_3\beta_2\beta_2$ .

The multiple exponents in the forced desensitization decay curve may also be explained through multiple receptor states. In general, nAChRs are found in an active, inactive, or desensitized state. However, contemporary models have proposed another “resting” state or multiple desensitized states (Miyazawa, Fujiyoshi et al. 2003; Giniatullin, Nistri et al. 2005; Gay and Yakel 2007). The receptors may be shifting between desensitization states throughout the 55s ACh application to cause the complex decay curve.

We established that the different expression groups produced distinct populations of nAChRs based on their significantly different channel kinetics. Many previous experiments have shown a shift in  $EC_{50}$  and agonist sensitivity with differential

stoichiometric subunit expression (Zwart and Vijverberg 1998; Nelson, Kuryatov et al. 2003; Lopez-Hernandez, Sanchez-Padilla et al. 2004). In our experiments, there was no significant difference between  $EC_{50}$  values of the different expression groups. However, we noticed a definite pattern of change in  $EC_{50}$  values for the different groups as the expression ratio of  $\alpha 3$  and  $\beta 2$  changed. More than 120 currents at six different ACh concentrations were normalized to peak currents and averaged to form the dose-response curve of each expression group. The  $EC_{50}$  values were almost evenly-spaced between expression groups, differing 60  $\mu M$  between the 1:3 and 1:1 expression groups, and 56  $\mu M$  between the 1:1 and 3:1 expression groups. The trend observed in our experiments showed a greater sensitivity to ACh as the expression of the  $\alpha 3$  subunit increased in comparison to the expression of the  $\beta 2$  subunit. This observation seems to contradict the pattern observed in other studies where an increase of  $\beta 2$  expression in relation to  $\alpha 4$  increases the receptor's sensitivity to ACh. This disparity may be due to specific differences in the different subunits.

Future studies for further characterization of  $\alpha 3$  and  $\beta 2$  subunit containing receptors could take many directions. Many different pharmacological studies can be performed. For example, Luetje and Patrick used  $\alpha 3\beta 2$  and  $\alpha 2\beta 2$  receptors to show the different relative sensitivities to application of ACh and nicotine (Luetje and Patrick 1991). They found that while application of ACh elicited larger currents in  $\alpha 3\beta 2$  receptors than  $\alpha 2\beta 2$  receptors, the opposite was true about nicotine. Equal application of nicotine actually induced larger currents in  $\alpha 2\beta 2$  receptors than  $\alpha 3\beta 2$  receptors. This could be further investigated to see if chronic exposure to nicotine increases or decreases the expression of  $\alpha 3\beta 2$  receptors. Nelson et al. found that long-term exposure to nicotine

up-regulates the expression of  $\alpha 4\beta 2$  receptors with the stoichiometric expression ratio of 2  $\alpha$  subunits to 3  $\beta$  subunits and decreased all other stoichiometric expression (Nelson, Kuryatov et al. 2003). Interestingly, they found that the 2:3  $\alpha 4\beta 2$  receptor had the highest sensitivity to ACh out of all the other stoichiometric formations.

Other nAChR agonists and antagonists may be used as well to compile a full dose-response/ $EC_{50}$ / $IC_{50}$  profile for the different pharmacological agents (i.e.- nicotine, cytosine, choline, epibatidine, 1,1-dimethyl-4-phenylpiperazinium, etc.) A useful application of an antagonist study could possibly be found in  $\alpha$ -conotoxin MII. This toxin, isolated from the cocktail of toxins in cone snails, has been shown to specifically block  $\alpha 3\beta 2$  and  $\alpha 6\beta 2$  receptors. Our PCR data showed absolutely no expression of the  $\alpha 6$  subunit in any of the CA1 hippocampal interneurons we analyzed. If  $\alpha 3\beta 2$  receptors truly are prevalent and functional in rat hippocampal interneurons, a blockade of agonist induced current would be observed with addition of  $\alpha$ -conotoxin MII in an *in vivo* voltage-clamp recording.

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Production and identification of synthetic lipid bilayer rafts consisting of DOPC, sphingomyelin, and cholesterol using atomic force microscopy.
- Graduate mentored research with Dr. Sterling Sudweeks PhD, 2005-2008, Brigham Young University, Provo, UT  
Characterization of alpha3 beta2 neuronal nicotinic acetylcholine receptors in rat CA1 hippocampal interneurons using patch clamp electrophysiology.
- Guest researcher with Dr. Jerrel Yakel PhD, March 2008, Laboratory of Neurobiology, NIEHS/NIH, RTP, NC.  
Characterization of alpha3 beta2 neuronal nicotinic acetylcholine receptors in *Xenopus laevis* oocytes using voltage-clamp electrophysiology.

### **Teaching Experience**

- Lecturer and lab teaching assistant for advanced physiology lab, BYU 2005-present.
- Two time presenter at Department of Physiology and Developmental Biology Seminar, BYU 2005 and 2006.

## **Posters/Presentations**

Sterling N. Sudweeks, John Mizukawa, and Kasey Welch. Characterization of alpha3beta2 neuronal nicotinic acetylcholine receptors. Presented at the Annual Meeting of the Society for Neuroscience. Program No. 574.3. November 6, 2007. 2007 Neuroscience Meeting Planner. San Diego, CA: Society for Neuroscience, 2007. Online.

Sudweeks, S.; Mizukawa, J.; Welch, K.; Gay, E.; Yakel, J. Neuronal nicotinic acetylcholine receptor diversity in rat hippocampal interneurons. Presented at the XIII International Symposium on Cholinergic Mechanisms, Foz do Iguaçu – Brazil, August 16-20, 2008.

Sudweeks, S.; Mizukawa, J.; Welch, K.; Gay, E.; Yakel, J. Characterization of neuronal nicotinic acetylcholine receptors in rat hippocampus. To be presented at the Annual Meeting of the Society for Neuroscience. Washington D.C. November 17, 2008.

## **References**

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- Dr. Scott Steffensen PhD, BYU Associate Professor of Psychology, 1050 SWKT Provo, UT 84602. (801) 422-9499. [scott\\_steffensen@byu.edu](mailto:scott_steffensen@byu.edu)