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New duality results for evenly convex optimization problems

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ABSTRACT

We present new results on optimization problems where the involved functions are evenly convex. By means of a generalized conjugation scheme and the perturbation theory introduced by Rockafellar, we propose an alternative dual problem for a general optimization one defined on a separated locally convex topological space. Sufficient conditions for converse and total duality involving the even convexity of the perturbation function and c -subdifferentials are given. Formulae for the c -subdifferential and biconjugate of the objective function of a general optimization problem are provided, too. We also characterize the total duality by means of the saddle-point theory for a notion of Lagrangian adapted to the considered framework.

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1. Introduction

An important part of mathematical programming from both theoretical and computational points of view is the duality theory. Rockafellar (cf. [1]) developed the well-known perturbational approach (see also [2]), consisting in the use of a perturbation function as the keystone to obtain a dual problem for a general primal one by means of the Fenchel conjugation. Both problems always satisfy *weak duality* (the optimal value of the dual problem is less or equal to the optimal value of the primal one), as a direct consequence of the Fenchel–Young inequality, whereas conditions ensuring *strong duality* (no duality gap and dual problem solvable) can be found in many references in the literature. Another related interesting problem in conjugate duality theory is the notion of *converse duality*. It corresponds to the situation where there is no duality gap and the primal problem is solvable. This issue was investigated in the convex setting in [3] for Fenchel duality and later extended in [4].

In this paper we propose a new dual problem to a general primal one defined on locally convex spaces by means of a generalized conjugation scheme and study

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converse duality for this primal–dual pair. This pattern, which is inspired by a survey done by Martínez-Legaz where generalized convex duality theory is applied to quasiconvex programming, is called *c-conjugation scheme* and it was developed in [5]. In the same way like in the classical context convexity and lower semicontinuity of the perturbation function are required in most of the regularity conditions – see for instance [6] – the use of the *c-conjugation scheme* is associated with the *even convexity* of such a function. Evenly convex sets (functions) are a generalization of closed and convex sets (functions), see, for instance, [7]. Fenchel called in [8] a set *evenly convex*, *e-convex* in brief, if it is the intersection of an arbitrary family, possibly empty, of open halfspaces. Such sets have been employed to study the solvability of semi-infinite linear systems containing infinitely many strict inequalities in [9], whereas some important properties in terms of their sections and projections are given in [10]. Due to [7], an extended real-valued function defined on a locally convex space is said to be *e-convex* if its epigraph is *e-convex*. According to [5], the *c-conjugation scheme* is suitable for this class of functions in the sense that the double conjugate function equals the original one if it is proper and *e-convex*. This result can be seen as the *e-convex* counterpart of the celebrated Fenchel–Moreau theorem. Concerning the usage of *e-convexity* in finance mathematics and consumer theory, we refer to [11,12]. Some recent applications of the *c-conjugate scheme* in the direction of formulas for asymptotic functions and multi-marginal monotone sets can be found in [13,14], respectively.

The theory developed in [5] motivated in [15] the generalization of some well-known properties of the sum of the epigraphs of two Fenchel conjugate functions and the infimal convolution, and, as an application, conditions for strong Fenchel duality were derived. Later, in [16], the perturbation approach was used to build a dual problem by means of the *c-conjugate duality theory*, and the counterparts of some regularity conditions, i.e. conditions ensuring strong duality, from the Fenchel conjugate setting were obtained. Moreover, in [17] regularity conditions for strong duality between an *e-convex* problem and its Lagrange dual were established. In [18] we analysed the problem of stable strong duality, and deduced Fenchel and Lagrange type duality statements for unconstrained and constrained optimization problems, respectively. In [19] the Fenchel–Lagrange dual problem of a (primal) minimization problem, whose involved functions do not need to be *e-convex* a priori, was derived. Furthermore, some relations between the optimal values of the Fenchel, Lagrange and Fenchel–Lagrange dual problems were presented. Finally, in [20] we used the formulation of the Fenchel–Lagrange dual problem from [19] to derive a characterization of strong Fenchel–Lagrange duality.

The purpose of the paper is twofold. First, we analyse the fulfillment of converse duality for a primal–dual pair expressed via the perturbation function, where its even convexity will play a fundamental role. In order to avoid repetitive arguments, we have decided to derive the aforementioned converse duality

in the general form, leaving as an application the natural particularizations into the previously mentioned special cases. As a second target, we address the problem of *total duality* (no duality gap and both problems solvable) from this general perspective. Moreover and extending in this way results from the convex setting [21–23], we provide some formulae for the c -subdifferential and biconjugate of the objective function of a given general optimization problem. Motivated by [2], we highlight the analysis of saddle-point theory and its relation with total duality for e -convex problems through the study and application of Lagrangian functions.

The layout of this work is as follows. Section 2 contains preliminary results on e -convex sets and functions to make the paper self-contained. Section 3 is dedicated to sufficient conditions for strong converse duality and biconjugate formulae. Section 4 is devoted to new results on c -subdifferentials which allow to characterize total duality for a general primal–dual pair. Moreover, the ε - c -subdifferential of the objective function of the considered problem is expressed via the ε - c -subdifferential of the considered perturbation function. Last but not least in Section 5 we extend the saddle-point theory from the classical framework to e -convex problems, showing its close relation with total duality and we close the paper with a short section dedicated to some final remarks, conclusions and ideas for future work.

2. Preliminaries

Let X be a nontrivial separated locally convex space, lcs in brief, equipped with the $\sigma(X, X^*)$ topology induced by X^* , its continuous dual space endowed with the $\sigma(X^*, X)$ topology. The notation $\langle x, x^* \rangle$ stands for the value at $x \in X$ of the continuous linear functional $x^* \in X^*$. Let Y be another lcs. By \mathbb{R}_{++} we denote the set of positive real numbers. For a set $D \subseteq X$ we denote its convex hull and its closure by $\text{conv}D$ and $\text{cl}D$, respectively.

According to [24], a set $C \subseteq X$ is *evenly convex*, e -convex in short, if for every point $x_0 \notin C$, there exists $x^* \in X^*$ such that $\langle x - x_0, x^* \rangle < 0$, for all $x \in C$. Furthermore, for a set $C \subseteq X$, the *e -convex hull* of C , $e\text{-conv}C$, is the smallest e -convex set in X containing C . For a convex subset $C \subseteq X$, it always holds $C \subseteq e\text{-conv}C \subseteq \text{cl}C$. This operator is well defined because the class of e -convex sets is closed under arbitrary intersections. Since X is a separated lcs, $X^* \neq \{0\}$. As a consequence of the Hahn–Banach theorem, it also holds that X is e -convex and every closed or open convex set is e -convex as well.

For a function $f : X \rightarrow \bar{\mathbb{R}}$, we denote by $\text{dom}f = \{x \in X : f(x) < +\infty\}$ the *effective domain* of f and by $\text{epif} = \{(x, r) \in X \times \mathbb{R} : f(x) \leq r\}$ and $\text{gr}f = \{(x, r) \in X \times \mathbb{R} : f(x) = r\}$ its *epigraph* and its *graph*, respectively. We say that f is *proper* if epif does not contain vertical lines, i.e. $f(x) > -\infty$ for all $x \in X$, and $\text{dom}f \neq \emptyset$. By clf we denote the *lower semicontinuous hull* of f , which is the function whose epigraph equals $\text{cl}(\text{epif})$. A function f is *lower semicontinuous*, lsc

in brief, if for all $x \in X$, $f(x) = \text{clf}(x)$, and e -convex if epif is e -convex in $X \times \mathbb{R}$. Clearly, any lsc convex function is e -convex, but the converse does not hold in general as one can see in [19, Ex. 2.1].

The e -convex hull of a function $f : X \rightarrow \bar{\mathbb{R}}$, $e\text{-conv}f$, is defined as the largest e -convex minorant of f . Based on the generalized convex conjugation theory introduced by Moreau [25], a suitable conjugation scheme for e -convex functions is provided in [5]. Let us consider the space $W := X^* \times X^* \times \mathbb{R}$ with the coupling functions $c : X \times W \rightarrow \bar{\mathbb{R}}$ and $c' : W \times X \rightarrow \bar{\mathbb{R}}$ given by

$$c(x, (x^*, u^*, \alpha)) = c'((x^*, u^*, \alpha), x) := \begin{cases} \langle x, x^* \rangle & \text{if } \langle x, u^* \rangle < \alpha, \\ +\infty & \text{otherwise.} \end{cases} \quad (1)$$

Given two functions $f : X \rightarrow \bar{\mathbb{R}}$ and $g : W \rightarrow \bar{\mathbb{R}}$, the c -conjugate of f , $f^c : W \rightarrow \bar{\mathbb{R}}$, and the c' -conjugate of g , $g^{c'} : X \rightarrow \bar{\mathbb{R}}$, are defined

$$f^c(x^*, u^*, \alpha) := \sup_{x \in X} \{c(x, (x^*, u^*, \alpha)) - f(x)\},$$

$$g^{c'}(x) := \sup_{(x^*, u^*, \alpha) \in W} \{c'((x^*, u^*, \alpha), x) - g(x^*, u^*, \alpha)\},$$

with the conventions $(+\infty) + (-\infty) = (-\infty) + (+\infty) = (+\infty) - (+\infty) = (-\infty) - (-\infty) = -\infty$.

Functions of the form $x \in X \rightarrow c(x, (x^*, u^*, \alpha)) - \beta \in \bar{\mathbb{R}}$, with $(x^*, u^*, \alpha) \in W$ and $\beta \in \mathbb{R}$ are called c -elementary, and, in a similar way, functions of the form $(x^*, u^*, \alpha) \in W \rightarrow c(x, (x^*, u^*, \alpha)) - \beta \in \bar{\mathbb{R}}$ with $x \in X$ and $\beta \in \mathbb{R}$ are called c' -elementary. In [5] it is shown that the family of proper e -convex functions from X to $\bar{\mathbb{R}}$ along with the function identically equal to $+\infty$ is actually the family of pointwise suprema of sets of c -elementary functions. Similarly, a function $g : W \rightarrow \bar{\mathbb{R}}$ is e' -convex if it is the pointwise supremum of sets of c' -elementary functions, and the e' -convex hull of an extended real function g defined on W , denoted by $e'\text{-conv}g$, is the largest e' -convex minorant of it. Moreover, a set $D \subset W \times \mathbb{R}$ is e' -convex if there exists an e' -convex function g such that $\text{epig} = D$. The e' -convex hull of a set $D \subset W \times \mathbb{R}$, denoted by $e'\text{-conv}D$, is the smallest e' -convex set containing D .

The following counterpart of the Fenchel–Moreau theorem for e -convex and e' -convex functions was shown in [11, Prop. 6.1, Prop. 6.2, Cor. 6.1].

Theorem 2.1: Let $f : X \rightarrow \mathbb{R} \cup \{+\infty\}$ and $g : W \rightarrow \bar{\mathbb{R}}$. Then

- (i) f^c is e' -convex; $g^{c'}$ is e -convex.
- (ii) $e\text{-conv}f = f^{cc'}$ and $e'\text{-conv}g = g^{c'c}$.
- (iii) f is e -convex if and only if $f^{cc'} = f$; g is e' -convex if and only if $g^{c'c} = g$.
- (iv) $f^{cc'} \leq f$; $g^{c'c} \leq g$.

The following lemma and proposition were shown in the finitely dimensional case in [7,26], respectively, and can be generalized for infinitely dimensional spaces easily. Recall that the *recession cone* of a nonempty convex subset $A \subseteq X$ is defined by $\text{rec}A = \{u \in X : a + u \in A, \text{ for all } a \in A\}$.

Lemma 2.2: *Let $C \subseteq X$ be a nonempty e-convex set and $y \in X$ such that there exists $x_0 \in X$ verifying $x_0 + \lambda y \in C$, for all $\lambda \geq 0$. Then $y \in \text{rec}C$.*

Proposition 2.3: *Let $C \subseteq X \times \mathbb{R}$ be a nonempty e-convex set such that $(0, 1) \in \text{rec}C$. Then $h(x) = \inf\{a \in \mathbb{R} : (x, a) \in C\}$ is an e-convex function and $\text{epi}h = C \cup \text{gr}h$.*

For convenience in Section 3, we need to ensure the fact that certain e-convex sets in $X \times \mathbb{R}$ with $(0, 1) \in \text{rec}C$ must be epigraphs of e-convex functions. This requirement will be fulfilled via the following definition.

Definition 2.4: A non-empty e-convex set $C \subseteq X \times \mathbb{R}$ with $(0, 1) \in \text{rec}C$ is *functionally representable* if $\text{gr}h \subseteq C$, for $h(x) = \inf\{a \in \mathbb{R} : (x, a) \in C\}$.

Remark 2.1: A non-empty e-convex set $C \subseteq X \times \mathbb{R}$ with $(0, 1) \in \text{rec}C$ is functionally representable if $\text{epi}h = C$, where $h(x) = \inf\{a \in \mathbb{R} : (x, a) \in C\}$.

3. Converse duality and biconjugation

Let $\Phi : X \times Y \rightarrow \overline{\mathbb{R}}$ be a perturbation function for (GP) and $0 \in \text{Pr}_Y(\text{dom}\Phi)$. Its c -conjugate $\Phi^c : (X^* \times Y^*) \times (X^* \times Y^*) \times \mathbb{R} \rightarrow \overline{\mathbb{R}}$, is defined by

$$\begin{aligned} & \Phi^c((x^*, y^*), (u^*, v^*), \alpha) \\ &= \sup_{(x, y) \in X \times Y} \left\{ \bar{c}((x, y), ((x^*, y^*), (u^*, v^*), \alpha)) - \Phi(x, y) \right\}, \end{aligned}$$

where $\bar{c} : (X \times Y) \times (X^* \times Y^*) \times (X^* \times Y^*) \times \mathbb{R} \rightarrow \overline{\mathbb{R}}$ is

$$\bar{c}((x, y), ((x^*, y^*), (u^*, v^*), \alpha)) = \begin{cases} \langle x, x^* \rangle + \langle y, y^* \rangle & \text{if } \langle x, u^* \rangle + \langle y, v^* \rangle < \alpha, \\ +\infty & \text{otherwise.} \end{cases} \quad (2)$$

Also in this point we recall the associated coupling function to \bar{c} , which will be used in Section 3.2, $\bar{c}' : (X^* \times Y^*) \times (X^* \times Y^*) \times \mathbb{R} \times (X \times Y) \rightarrow \overline{\mathbb{R}}$,

$$\bar{c}'(((x^*, y^*), (u^*, v^*), \alpha), (x, y)) = \bar{c}((x, y), ((x^*, y^*), (u^*, v^*), \alpha)). \quad (3)$$

Proceeding along the lines of [15], we consider the following primal–dual pair of problems, which verify weak duality,

$$\begin{aligned} (GP) \quad & \inf \Phi(x, 0) \\ & \text{s.t. } x \in X, \end{aligned}$$

$$(GD_c) \quad \sup \{ -\Phi^c((0, y^*), (0, v^*), \alpha) \}$$

$$\text{s.t. } y^*, v^* \in Y^*, \alpha > 0.$$

This dual problem can also be expressed via the *infimum value function* $p : Y \rightarrow \overline{\mathbb{R}}$, defined by $p(y) := \inf_{x \in X} \Phi(x, y)$, in the following way:

$$(GD_c) \quad \sup \{ -p^c(y^*, v^*, \alpha) \}$$

$$\text{s.t. } y^*, v^* \in Y^*, \alpha > 0.$$

From (GD_c) one can derive the minimization (primal) problem

$$(\overline{GP}_c) \quad \inf \Phi^c((0, y^*), (0, v^*), \alpha)$$

$$\text{s.t. } y^*, v^* \in Y^*, \alpha > 0$$

and, under appropriate regularity conditions, the optimal value of (\overline{GP}_c) is equal to the optimal value of its dual, with the last problem being solvable as well. Let us calculate this dual problem, which we denote by (\overline{GD}) . If we consider the biconjugate function $\Phi^{cc'} : X \times Y \rightarrow \overline{\mathbb{R}}$, then, for all $(x, y) \in X \times Y$, $((x^*, y^*), (u^*, v^*)) \in X^* \times Y^*$ and $\alpha \in \mathbb{R}$,

$$\Phi^{cc'}(x, y) + \Phi^c((x^*, y^*), (u^*, v^*), \alpha) \geq c((x, y), ((x^*, y^*), (u^*, v^*), \alpha)).$$

Taking $y = 0$, $x^* = u^* = 0$ and $\alpha > 0$, $\Phi^{cc'}(x, 0) + \Phi^c((0, y^*), 0, v^*), \alpha) \geq 0$,

$$\inf_{\substack{y^*, v^* \in Y^*, \\ \alpha > 0}} \Phi^c((0, y^*), (0, v^*), \alpha) \geq \sup_{x \in X} \{ -\Phi^{cc'}(x, 0) \} \geq \sup_{x \in X} \{ -\Phi(x, 0) \}. \quad (4)$$

We consider the following dual problem for (\overline{GP}_c)

$$(\overline{GD}) \quad \sup \{ -\Phi(x, 0) \}$$

$$\text{s.t. } x \in X.$$

It follows that $v(\overline{GD}) \leq v(\overline{GP}_c)$ and, moreover, strong duality for $(\overline{GP}_c) - (\overline{GD})$ is equivalent to converse duality for $(GP) - (GD_c)$. In [16], via the e-convexity of the perturbation function, some regularity conditions for a general primal problem (GP) and its general dual (GD_c) were obtained. In particular, assuming the properness and e-convexity of Φ , the closedness-type one,

$$\text{Pr}_{W \times \mathbb{R}}(\text{epi} \Phi^c) \text{ is } e' \text{-convex}, \quad (C5)$$

was introduced to guarantee strong duality for the primal-dual pair $(GP) - (GD_c)$. Due to [16, Lem. 5.3] this condition can be expressed (under the mentioned hypotheses) as $\text{Pr}_{W \times \mathbb{R}}(\text{epi} \Phi^c) = \text{epi} \Phi(\cdot, 0)^c$.

In order to be able to provide a counterpart of (C5) for the pair $(\overline{GP}_c) - (\overline{GD})$, we need first a suitable perturbation function for the new primal problem that

plays the role of Φ . Let us consider $\Psi := \Phi^c$ and the perturbation variable space to be $X^* \times X^*$. Ψ is always e' -convex, an important feature which represents a difference from the standard context of strong duality on e -convex problems – see [16,18,20]. Recall that there the perturbation function is assumed to be e -convex in order to use the mentioned regularity condition. Naming $G := \Phi^c((0, \cdot), (0, \cdot), \cdot)$ the new objective function, and defining the space $W_Y := Y^* \times Y^* \times \mathbb{R}$, $G : W_Y \rightarrow \bar{\mathbb{R}}$, one rewrites (\overline{GP}_c) as

$$\begin{aligned} (\overline{GP}_c) \quad & \inf G(y^*, v^*, \alpha) \\ \text{s.t. } & y^*, v^* \in Y^*, \alpha > 0. \end{aligned}$$

Observe that if $\Psi((0, y^*), (0, v^*), \alpha) := G(y^*, v^*, \alpha)$, for all $(y^*, v^*, \alpha) \in W_Y$, this means that the function Ψ can be considered as a perturbation function for (\overline{GP}_c) and, consequently, a dual problem for it would be

$$\begin{aligned} (\overline{GD}_c) \quad & \sup\{-\Psi^{c'}(x, 0)\} \\ \text{s.t. } & x \in X. \end{aligned}$$

In the case Φ is e -convex, $\Psi^{c'} = \Phi$, and we obtain

$$\begin{aligned} (\overline{GD}_c) \quad & \sup\{-\Phi(x, 0)\} \\ \text{s.t. } & x \in X. \end{aligned}$$

Since the biconjugate function is important to develop converse duality, let us analyse further properties of the function $\Phi^{cc'}$.

Theorem 3.1: *It always holds $(\Phi(\cdot, 0))^{cc'} \geq \Phi^{cc'}(\cdot, 0)$. If Φ is proper and e -convex and (C5) is satisfied, one obtains $(\Phi(\cdot, 0))^{cc'} = \Phi^{cc'}(\cdot, 0)$.*

Proof: Let us take $(x^*, u^*, \alpha) \in W$. Then,

$$\begin{aligned} (\Phi(\cdot, 0))^c(x^*, u^*, \alpha) &= \sup_{x \in X} \{c(x, (x^*, u^*, \alpha)) - \Phi(x, 0)\} \\ &= \sup_{x \in X} \{\bar{c}((x, 0), ((x^*, 0), (u^*, 0), \alpha)) - \Phi(x, 0)\} \\ &\leq \sup_{x \in X, y \in Y} \{\bar{c}((x, y), ((x^*, 0), (u^*, 0), \alpha)) - \Phi(x, y)\} \\ &= \Phi^c((x^*, 0), (u^*, 0), \alpha) \\ &\leq \inf_{y^*, v^* \in Y^*} \Phi^c((x^*, y^*), (u^*, v^*), \alpha). \end{aligned} \tag{5}$$

Taking now the c' -conjugate of the first and last function in (5), we obtain,

$$(\Phi(\cdot, 0))^{cc'}(\cdot)$$

$$\begin{aligned}
&\geq \left(\inf_{y^*, v^* \in Y^*} \Phi^c((\cdot, y^*), (\cdot, v^*), \alpha) \right)^{c'} (\cdot) \\
&= \sup_{\substack{x^*, u^* \in X^*, \\ y^*, v^* \in Y^*, \alpha \in \mathbb{R}}} \{c(\cdot, (x^*, u^*), \alpha) - \Phi^c((x^*, y^*), (u^*, v^*), \alpha)\} \\
&= \sup_{\substack{x^*, u^* \in X^*, \\ y^*, v^* \in Y^*, \alpha \in \mathbb{R}}} \{\bar{c}'(((x^*, y^*), (u^*, v^*), \alpha), (\cdot, 0)) - \Phi^c((x^*, y^*), (u^*, v^*), \alpha)\} \\
&= \Phi^{cc'}(\cdot, 0). \tag{6}
\end{aligned}$$

When Φ is proper and e -convex and (C5) holds, one has by [16, Prop. 5.4] strong duality for the primal–dual pair $(GP) - (GD_c)$, i.e. for all $(x^*, u^*, \alpha) \in W$, $(\Phi(\cdot, 0))^c(x^*, u^*, \alpha) = \min_{y^*, v^* \in Y^*} \Phi^c((x^*, y^*), (u^*, v^*), \alpha)$. The chain in (6) is then a chain of equalities, and one obtains $(\Phi(\cdot, 0))^{cc'} = \Phi^{c'}(\cdot, 0)$. ■

Next we present the counterparts of Lemma 5.1, 5.2 and 5.3 from [16] that are necessary for proving the converse duality statement.

Lemma 3.2: *It holds*

$$\Psi((0, \cdot), (0, \cdot), \cdot)^{c'} \leq \inf_{x \in X} \Psi^{c'}(x, \cdot).$$

Proof: Fixing $y \in Y$, for all $x \in X$, $((x^*, u^*), (y^*, v^*)) \in X^* \times Y^*$ and $\alpha \in \mathbb{R}$, we have

$$c'(((x^*, u^*), (y^*, v^*), \alpha), (x, y)) - \Psi((x^*, u^*), (y^*, v^*), \alpha) \leq \Psi^{c'}(x, y).$$

Let $x^* = y^* = 0$. Then, for all $x \in X$, $(u^*, v^*, \alpha) \in Y^* \times Y^* \times \mathbb{R}$, $c'((u^*, v^*), \alpha, y) - \Psi((0, u^*), (0, v^*), \alpha) \leq \Psi^{c'}(x, y)$. Then, $\Psi((0, \cdot), (0, \cdot), \cdot)^{c'}(y) \leq \inf_{x \in X} \Psi^{c'}(x, y)$. ■

We continue with a Moreau–Rockafellar-type result involving the c' -conjugate of the function $\Psi((0, \cdot), (0, \cdot), \cdot)$ that is also of interest per se.

Lemma 3.3: $\Psi((0, \cdot), (0, \cdot), \cdot)^{c'}$ is the e -convex hull of $\inf_{x \in X} \Psi^{c'}(x, \cdot)$.

Proof: We have, for all $(y^*, v^*, \alpha) \in Y^* \times Y^* \times \mathbb{R}$,

$$\begin{aligned}
(\inf_{x \in X} \Psi^{c'}(x, \cdot))^c(y^*, v^*, \alpha) &= \sup_{y \in Y} \left\{ c(y, (y^*, v^*), \alpha) - \inf_{x \in X} \Psi^{c'}(x, y) \right\} \\
&= \sup_{(x, y) \in X \times Y} \left\{ c((x, y), ((0, y^*), (0, v^*), \alpha)) - \Psi^{c'}(x, y) \right\} \\
&= \Psi^{c'c}((0, y^*), (0, v^*), \alpha) = \Psi((0, y^*), (0, v^*), \alpha),
\end{aligned}$$

due to the e' -convexity of Ψ and Theorem 2.1. Taking into account that, applying again Theorem 2.1 it yields $e - \text{conv}(\inf_{x \in X} \Psi^{c'}(x, \cdot)) = (\inf_{x \in X} \Psi^{c'}(x, \cdot))^{cc'}$, we conclude that $e - \text{conv}(\inf_{x \in X} \Psi^{c'}(x, \cdot)) = \Psi((0, \cdot), (0, \cdot), \cdot)^{c'}$. ■

Lemma 3.4: *If the e -convex hull of $\text{Pr}_{Y \times \mathbb{R}}(\text{epi} \Psi^{c'})$ is functionally representable, then $\text{epi} \Psi((0, \cdot), (0, \cdot), \cdot)^{c'}$ is the e -convex hull of $\text{Pr}_{Y \times \mathbb{R}}(\text{epi} \Psi^{c'})$.*

Proof: First, we see that $\text{Pr}_{Y \times \mathbb{R}}(\text{epi} \Psi^{c'}) \subset \text{epi} \Psi((0, \cdot), (0, \cdot), \cdot)^{c'}$. Take any point $(y, \beta) \in \text{Pr}_{Y \times \mathbb{R}}(\text{epi} \Psi^{c'})$. It means that there exists $x \in X$ such that $\Psi^{c'}(x, y) \leq \beta$, and, for all $(x^*, y^*), (u^*, v^*) \in X^* \times Y^*$ and $\alpha \in \mathbb{R}$, we have

$$c'((x^*, y^*), (u^*, v^*), \alpha), (x, y)) - \Psi((x^*, y^*), (u^*, v^*), \alpha) \leq \beta.$$

Take $x^* = u^* = 0$. Then, for all $(y^*, v^*, \alpha) \in Y^* \times Y^* \times \mathbb{R}$,

$$c'((y^*, v^*), \alpha), y) - \Psi((0, y^*), (0, v^*), \alpha) \leq \beta.$$

We have $\Psi((0, \cdot), (0, \cdot), \cdot)^{c'}(y) \leq \beta$ and $(y, \beta) \in \text{epi} \Psi((0, \cdot), (0, \cdot), \cdot)^{c'}$. Hence,

$$e - \text{conv} \text{Pr}_{Y \times \mathbb{R}}(\text{epi} \Psi^{c'}) \subset \text{epi} \Psi((0, \cdot), (0, \cdot), \cdot)^{c'}. \quad (7)$$

On the other hand, let us observe that $(y, \beta) \in \text{epi} \Psi((0, \cdot), (0, \cdot), \cdot)^{c'}$ if and only if

$$\sup_{(y^*, v^*, \alpha) \in Y^* \times Y^* \times \mathbb{R}} \{c'((y^*, v^*), \alpha), y) - \Psi((0, y^*), (0, v^*), \alpha)\} \leq \beta,$$

or, recalling the definition of the infimum value function p ,

$$\sup_{(y^*, v^*, \alpha) \in Y^* \times Y^* \times \mathbb{R}} \{c'((y^*, v^*), \alpha), y) - p^c(y^*, v^*, \alpha)\} \leq \beta,$$

which means that $p^{cc'}(y) \leq \beta$. Thus, by Theorem 2.1 it follows

$$\text{epi} \Psi((0, \cdot), (0, \cdot), \cdot)^{c'} = \text{epi}(e - \text{conv} p). \quad (8)$$

Now, let $C = e - \text{conv}(\text{Pr}_{Y \times \mathbb{R}}(\text{epi} \Psi^{c'}))$. Taking any point $(x, y, \Psi^{c'}(x, y)) \in \text{epi} \Psi^{c'}$, we have $(y, \Psi^{c'}(x, y)) \in C$ and, for all $\lambda \geq 0$, $(y, \Psi^{c'}(x, y)) + \lambda(0, 1) = (y, \Psi^{c'}(x, y) + \lambda) \in C$. According to Lemma 2.2, $(0, 1) \in \text{rec} C$, and since C is functionally representable by assumption, due to Remark 2.1 we obtain $C = \text{epi} h$ with $h(x) = \inf\{a \in \mathbb{R} : (x, a) \in C\}$. If we show that $h = e - \text{conv} p$, we have, using also (8), the chain

$$\begin{aligned} \text{epi} \Psi((0, \cdot), (0, \cdot), \cdot)^{c'} &= \text{epi}(e - \text{conv} p) = \text{epi} h = C \\ &= e - \text{conv}(\text{Pr}_{Y \times \mathbb{R}}(\text{epi} \Psi^{c'})). \end{aligned}$$

Take some $y \in \text{dom} h$ such that $h(y) > -\infty$. Combining (7) with (8), we deduce that $C \subseteq \text{epi}(e - \text{conv} p)$. Moreover, for all $a \in \mathbb{R}$ such that $(y, a) \in C$, we have $e - \text{conv} p(y) \leq a$, so $e - \text{conv} p(y) \leq h(y)$.

In the case $h(y) = -\infty$, there exists a sequence $\{a_r\} \subset \mathbb{R}$, $a_r < -1/r$, for all $r \in \mathbb{N}$, verifying $(y, a_r) \in C$, for all $r \in \mathbb{N}$, hence $(y, a_r) \in \text{epi}(e - \text{conv}p)$ and $e - \text{conv}p(y) = -\infty$.

Finally, we show that for all $y \in \text{dom}p$, $h(y) \leq p(y)$. Notice that from the e -convexity of h and the definition of the e -convex hull of a function, we deduce $h(y) \leq e - \text{conv}p(y)$.

Let $y \in \text{dom}p$, with $p(y) > -\infty$, denote $a = p(y)$. Then $\inf_{x \in X} \Phi(x, y) = a$, and there exists a sequence $\{x_r\} \subset X$ such that $\lim_{r \rightarrow +\infty} \Phi(x_r, y) = a$ and

$$(y, \Phi(x_r, y)) \in \text{Pr}_{Y \times \mathbb{R}}(\text{epi}\Phi) \subseteq \text{Pr}_{Y \times \mathbb{R}}(\text{epi}\Psi') \subseteq C,$$

for all $r \in \mathbb{N}$, then $h(y) \leq \Phi(x_r, y)$, for all $r \in \mathbb{N}$, and $h(y) \leq a$.

In the case $p(y) = -\infty$, there exists a sequence $\{x_r\} \subset X$ such that $\Phi(x_r, y) < -1/r$, for all $r \in \mathbb{N}$, and, since $(y, \Phi(x_r, y)) \in C$, for all $r \in \mathbb{N}$, we have $h(y) \leq \Phi(x_r, y)$, for all $r \in \mathbb{N}$, concluding $h(y) = -\infty$. \blacksquare

We give the following sufficient condition to converse duality, assuming that Φ is a proper and e -convex function,

$$\text{Pr}_{Y \times \mathbb{R}}(\text{epi}\Psi') \text{ is } e - \text{convex and functionally representable.} \quad (\overline{C5})$$

Remark 3.1: Although under e -convexity of the perturbation function Φ it holds $\Psi' = \Phi$, we kept in the formulation of $(\overline{C5})$ the function Ψ' , in order to have a similar formulation to $(C5)$.

Proposition 3.5: *If Φ is proper and e -convex, the regularity condition $(\overline{C5})$ guarantees the converse duality between (GP) and (GD) .*

Proof: According to Lemma 3.4, under $(\overline{C5})$ one has

$$\text{Pr}_{Y \times \mathbb{R}}(\text{epi}\Psi') = \text{epi}\Psi((0, \cdot), (0, \cdot), \cdot)' \quad (9)$$

On the other hand, it is clear that

$$\text{Pr}_{Y \times \mathbb{R}}(\text{epi}\Psi') \subset \text{epi} \inf_{x \in X} \Psi'(x, \cdot), \quad (10)$$

since, taking $(\bar{y}, \bar{\beta}) \in \text{Pr}_{Y \times \mathbb{R}}(\text{epi}\Psi')$, there exists $\bar{x} \in X$ such that $\Psi'(\bar{x}, \bar{y}) \leq \bar{\beta}$, then $\inf_{x \in X} \Psi'(x, \bar{y}) \leq \bar{\beta}$. Now, we show that

$$\inf_{x \in X} \Psi'(x, \cdot) = \Psi((0, \cdot), (0, \cdot), \cdot)' \quad (11)$$

Due to Lemma 3.2, it is enough to show that, for all $y \in Y$, one has

$$\inf_{x \in X} \Psi'(x, y) \leq \Psi((0, \cdot), (0, \cdot), \cdot)'(y). \quad (12)$$

Take any point $y \in Y$ and denote $a = \Psi((0, \cdot), (0, \cdot), \cdot)'(y) \in \mathbb{R}$. Then, according to (9) it yields that $(y, a) \in \text{Pr}_{Y \times \mathbb{R}}(\text{epi}\Psi')$. Hence, by (10), $(y, a) \in$

$\text{epi inf}_{x \in X} \Psi^{\prime}(x, \cdot)$ and (12) holds. Now, let $\gamma = \nu(\overline{GP}_c)$, and let us observe that if $\alpha \leq 0$, $(y^*, v^*, \alpha) \notin \text{dom}(\Psi(0, \cdot), (0, \cdot), \cdot)$, for any $(y^*, v^*) \in Y^* \times Y^*$. To show the latter statement, it suffices to take any point $x \in \text{dom} F$ and check that it holds $c((x, 0), (0, y^*), (0, v^*), \alpha) - \Phi(x, 0) = +\infty$. Then

$$\begin{aligned} -\gamma &= \sup_{(y^*, v^*) \in Y^* \times Y^*, \alpha > 0} \{ -\Psi((0, y^*), (0, v^*), \alpha) \} \\ &= \sup_{(y^*, v^*) \in Y^* \times Y^*, \alpha > 0} \{ c(0, (y^*, v^*), \alpha) - \Psi((0, y^*), (0, v^*), \alpha) \} \\ &= \Psi((0, \cdot), (0, \cdot), \cdot)^{\prime}(0) = \inf_{x \in X} \Psi^{\prime}(x, 0), \end{aligned}$$

where the last equality comes from (11). Since the perturbation function is e -convex, $\Psi^{\prime} = \Phi$, and we have $-\gamma = \inf_{x \in X} \Phi(x, 0) = -\sup_{x \in X} \{-\Phi(x, 0)\} = -\nu(\overline{GD}) = -\nu(\overline{GP}_c)$. Moreover and due to $(0, -\gamma) \in \text{epi} \Psi((0, \cdot), (0, \cdot), \cdot)^{\prime}$, equation (9) implies that there exists $\bar{x} \in X$ such that $\Phi(\bar{x}, 0) \leq -\gamma$ and $-\Phi(\bar{x}, 0) \geq \nu(\overline{GD})$, so (\overline{GD}) is solvable. ■

Next proposition states an alternative formulation for condition $(\overline{C5})$.

Proposition 3.6: *If Φ is proper and e -convex, and $e - \text{conv} \text{Pr}_{Y \times \mathbb{R}}(\text{epi} \Psi^{\prime})$ is functionally representable, then $\text{Pr}_{Y \times \mathbb{R}}(\text{epi} \Psi^{\prime})$ is e -convex if and only if*

$$\Psi((0, \cdot), (0, \cdot), \cdot)^{\prime} = \min_{x \in X} \Psi^{\prime}(x, \cdot).$$

Proof: According to Lemma 3.4 and taking also into consideration Lemma 3.2, $\text{Pr}_{Y \times \mathbb{R}}(\text{epi} \Psi^{\prime})$ is e -convex if and only if $\text{Pr}_{Y \times \mathbb{R}}(\text{epi} \Psi^{\prime}) = \text{epi} \Psi((0, \cdot), (0, \cdot), \cdot)^{\prime}$. In particular, this means that for all $y \in \text{dom} \Psi((0, \cdot), (0, \cdot), \cdot)^{\prime}$, there exists $\bar{x} \in X$ such that $\Psi^{\prime}(\bar{x}, y) = \Psi((0, \cdot), (0, \cdot), \cdot)^{\prime}(y)$. By Lemma 3.2, this is equivalent to $\Psi^{\prime}(\bar{x}, y) = \min_{x \in X} \Psi^{\prime}(x, y) = \Psi((0, \cdot), (0, \cdot), \cdot)^{\prime}(y)$. ■

The following corollary arises from Lemma 3.2 and Proposition 3.6.

Corollary 3.7: *It always holds*

$$\left(\inf_{x \in X} \Phi(x, \cdot) \right)^{cc'} \leq \inf_{x \in X} \Phi^{cc'}(x, \cdot).$$

Moreover, if Φ is proper and e -convex, and $(\overline{C5})$ holds, then

$$\left(\inf_{x \in X} \Phi(x, \cdot) \right)^{cc'} = \min_{x \in X} \Phi^{cc'}(x, \cdot).$$

Remark 3.2: Despite the similarity between converse duality and strong duality, see for instance [18], the property of being functionally representable makes a

difference between them. It is totally necessary since the e-convex envelope of a given epigraph is not, in general, an epigraph anymore.

Example 3.8: Let us consider the function f , defined on \mathbb{R} :

$$f(x) = \begin{cases} +\infty & \text{if } x < 0, \\ 1 & \text{if } x = 0, \\ x^2 & \text{if } x > 0. \end{cases}$$

It is clear that

$$\text{epi } f = \{(x, \alpha) \in \mathbb{R}^2 : x > 0, \alpha \geq x^2\} \cup (\{0\} \times [1, +\infty[)$$

and

$$e - \text{conv epi } f = \{(x, \alpha) \in \mathbb{R}^2 : x > 0, \alpha \geq x^2\} \cup (\{0\} \times]0, +\infty[),$$

which is not an epigraph.

4. C-subdifferentiability

4.1. New results and application to total duality

The subdifferentiability of a function at a point associated with the c -conjugation scheme was considered in [5] as a particular case of the notion of c -subdifferentiability introduced in [11, p. 246]. We also denote by ∂ the classical (convex) subdifferential.

Definition 4.1: Let $f : X \rightarrow \bar{\mathbb{R}}$ be a function. A vector $(x^*, u^*, \alpha) \in W$ is a c -subgradient of f at $x_0 \in X$ if $f(x_0) \in \mathbb{R}$, $\langle x_0, u^* \rangle < \alpha$ and, for all $x \in X$,

$$f(x) - f(x_0) \geq c(x, (x^*, u^*, \alpha)) - c(x_0, (x^*, u^*, \alpha)).$$

The set of all the c -subgradients of f at x_0 is denoted by $\partial_c f(x_0)$ and is called the c -subdifferential set of f at x_0 . In the case $f(x_0) \notin \mathbb{R}$, it is set $\partial_c f(x_0) = \emptyset$.

The notion of c' -subdifferentiability that we introduce comes also from [11, p. 246].

Definition 4.2: Let $g : W \rightarrow \bar{\mathbb{R}}$ be a function. Then, $x \in X$ is a c' -subgradient of g at $(x_0^*, u_0^*, \alpha_0) \in W$ if $g(x) \in \mathbb{R}$, $\langle x, u_0^* \rangle < \alpha_0$ and, for all $(x^*, u^*, \alpha) \in W$,

$$g(x^*, u^*, \alpha) - g(x_0^*, u_0^*, \alpha_0) \geq c'((x^*, u^*, \alpha), x) - c'((x_0^*, u_0^*, \alpha_0), x).$$

The set of all the c' -subgradients of g at (x_0^*, u_0^*, α_0) is denoted by $\partial_{c'} g(x_0^*, u_0^*, \alpha_0)$. In the case $g(x_0^*, u_0^*, \alpha_0) \notin \mathbb{R}$, it is set $\partial_{c'} g(x_0^*, u_0^*, \alpha_0) = \emptyset$.

We state now the counterparts of [2, Prop. 5.1 (Ch. I)] for c -subdifferentials and c' -subdifferentials, particularizing Proposition 6.3 in [11].

Lemma 4.3: *Let $f : X \rightarrow \bar{\mathbb{R}}$ be a function and $x_0 \in \text{dom}f$. Then $(x^*, u^*, \alpha) \in \partial_c f(x_0)$ if and only if $\langle x_0, u^* \rangle < \alpha$ and $f(x_0) + f^c(x^*, u^*, \alpha) = c(x_0, (x^*, u^*, \alpha))$.*

Lemma 4.4: *Let $g : W \rightarrow \bar{\mathbb{R}}$ be a function and $(x_0^*, u_0^*, \alpha_0) \in \text{dom}g$. Then $x \in \partial_{c'} g(x_0^*, u_0^*, \alpha_0)$ if and only if $\langle x, u_0^* \rangle < \alpha_0$ and*

$$g(x_0^*, u_0^*, \alpha_0) + g^{c'}(x) = c'((x_0^*, u_0^*, \alpha_0), x). \quad (13)$$

Next is the counterpart to [1, Cor. 23.5.1] via the c -conjugation scheme.

Proposition 4.5: *Let $f : X \rightarrow \bar{\mathbb{R}}$ and $x \in \text{dom}f$. If $(x^*, u^*, \alpha) \in \partial_c f(x)$ then $x \in \partial_{c'} f^c(x^*, u^*, \alpha)$ and the converse statement holds if f is e -convex.*

Proof: Let $(x^*, u^*, \alpha) \in \partial_c f(x)$. By Lemma 4.3, $\langle x, u^* \rangle < \alpha$ and,

$$f(x) + f^c(x^*, u^*, \alpha) = c(x_0, (x^*, u^*, \alpha)). \quad (14)$$

As $c(x, (x^*, u^*, \alpha)) = c'((x^*, u^*, \alpha), x)$ and $(f^c)^{c'} \leq f$, we get

$$(f^c)^{c'}(x) + f^c(x^*, u^*, \alpha) \leq c'((x^*, u^*, \alpha), x) \quad (15)$$

which means that $x \in \partial_{c'} f^c(x_0^*, v_0^*, \alpha_0)$ according to Lemma 4.4. Now, in the case f is e -convex, we have $(f^c)^{c'} = f$, hence (14) and (15) are equivalent. ■

Remark 4.1: As a consequence of [11, Prop.6.3], if f is e -convex, $(x^*, u^*, \alpha) \in \partial_c f(x)$ if and only if $x \in \partial_{c'} f^c(x^*, u^*, \alpha)$. In Proposition 4.5 the e -convexity of f is not necessary in one sense of the equivalence.

We apply these results to *total duality* for $(GP) - (GD_c)$, that is

$$\min_{x \in X} \Phi(x, 0) = \max_{y^*, v^* \in X, \alpha > 0} \{-\Phi^c(((0, y^*), 0, v^*), \alpha)\},$$

i.e. the situation when both the primal and the dual have optimal solutions and their optimal values coincide. In the classical setting (see [2]), total duality for $(GP) - (GD)$ and finiteness of both optimal values amounts to the existence of a point $(\bar{x}, \bar{y}^*) \in X \times Y^*$ satisfying $(0, \bar{y}^*) \in \partial \Phi(\bar{x}, 0)$, or, equivalently, see [1, Th. 23.5], $\Phi(\bar{x}, 0) + \Phi^*(0, \bar{y}^*) = 0$, being, in that case, \bar{x} an optimal solution of (GP) and \bar{y}^* an optimal solution of (GD) .

Proposition 4.6: *Let $\Phi : X \times Y \rightarrow \bar{\mathbb{R}}$, $\bar{x} \in X$ and $(\bar{y}^*, \bar{v}^*, \bar{\alpha}) \in Y^* \times Y^* \times \mathbb{R}$. Then $((0, \bar{y}^*), (0, \bar{v}^*), \bar{\alpha}) \in \partial_c \Phi(\bar{x}, 0)$ if and only if \bar{x} is an optimal solution to (GP) , $(\bar{y}^*, \bar{v}^*, \bar{\alpha})$ a solution to (GD_c) and $v(GP) = v(GD_c) \in \mathbb{R}$.*

Proof: If $((0, \bar{u}^*), (0, \bar{v}^*), \bar{\alpha}) \in \partial_c \Phi(\bar{x}, 0)$, then an application of Lemma 4.3 implies $\Phi(\bar{x}, 0) + \Phi^c((0, \bar{y}^*), (0, \bar{v}^*), \bar{\alpha}) = 0$, with $\bar{\alpha} > 0$. Further

$$\nu(GP) \leq \Phi(\bar{x}, 0) = -\Phi^c((0, \bar{y}^*), (0, \bar{v}^*), \bar{\alpha}) \leq \nu(GD_c) \leq \nu(GP), \quad (16)$$

in such a way that $\nu(GP) = \nu(GD_c) \in \mathbb{R}$ and both problems are solvable. Assuming (16) true, we get $\Phi(\bar{x}, 0) + \Phi^c((0, \bar{y}^*), (0, \bar{v}^*), \bar{\alpha}) = 0$ and, as $(\bar{y}^*, \bar{v}^*, \bar{\alpha})$ is an optimal solution of (GD_c) , it holds $\bar{\alpha} > 0$. Hence, by Lemma 4.3, $((0, \bar{y}^*), (0, \bar{v}^*), \bar{\alpha}) \in \partial_c \Phi(\bar{x}, 0)$. ■

Remark 4.2: Notice that for Φ e-convex, a necessary and sufficient condition for total duality for $(GP) - (GD_c)$ is (by Propositions 4.5 and 4.6) the existence of $\bar{x} \in X$, $(\bar{y}^*, \bar{v}^*, \bar{\alpha}) \in Y^* \times Y^* \times \mathbb{R}$ satisfying $(\bar{x}, 0) \in \partial_c \Phi(\bar{y}^*, \bar{v}^*, \bar{\alpha})$.

4.2. ε -c-subdifferentiability

Next we extend the characterizations of ε -subdifferential formulae for convex functions from [22] to the current e-convex setting. First, recall the definition of the ε -c-subdifferential of a function $f : X \rightarrow \bar{\mathbb{R}}$ from [15, Def. 4].

Definition 4.7: A vector $(x^*, u^*, \alpha) \in W$ is an ε -c-subgradient of f at $\bar{x} \in X$ if $f(\bar{x}) \in \mathbb{R}$, $\langle \bar{x}, u^* \rangle < \alpha$ and, for all $x \in X$,

$$f(x) - f(\bar{x}) \geq c(x, (x^*, u^*, \alpha)) - c(\bar{x}, (x^*, u^*, \alpha)) - \varepsilon. \quad (17)$$

The set of all the ε -c-subgradients of f at \bar{x} is denoted by $\partial_{c,\varepsilon} f(\bar{x})$ and is called the ε -c-subdifferential set of f at \bar{x} . If $f(\bar{x}) \notin \mathbb{R}$, take $\partial_{c,\varepsilon} f(\bar{x}) = \emptyset$.

Note that (17) amounts to $f(x_0) + f^c(x^*, u^*, \alpha) \leq c(x_0, (x^*, u^*, \alpha)) + \varepsilon$.

In [22, Th. 3.1] the ε -subdifferential of the objective function of (GP) is characterized by means of the ε -subdifferential of the considered perturbation function via a lsc regularity condition. Its e-convex counterpart follows.

Theorem 4.8: Let Φ be e-convex. For all $\varepsilon \geq 0$ and all $x \in X$,

$$\partial_{c,\varepsilon} \Phi(\cdot, 0)(x) = \cap_{\eta > 0} \text{Pr}_{X^* \times X^* \times \mathbb{R}}(\partial_{c,\varepsilon+\eta} \Phi(x, 0))$$

holds if and only if the function $\inf_{y^*, v^* \in Y^*} \Phi^c((\cdot, y^*), (\cdot, v^*), \cdot)$ is e' -convex.

Proof: $\Phi(\cdot, 0)^c$ is the e' -convex hull of $\inf_{y^*, v^* \in Y^*} \Phi^c((\cdot, y^*), (\cdot, v^*), \cdot)$, according to [16, Lem. 5.2]. Consequently, the fact that $\inf_{y^*, v^* \in Y^*} \Phi^c((\cdot, y^*), (\cdot, v^*), \cdot)$ is e' -convex can be equivalently characterized by the inclusion $\text{epi}((\Phi(\cdot, 0))^c) \subseteq \text{epi}(\inf_{y^*, v^* \in Y^*} \Phi^c((\cdot, y^*), (\cdot, v^*), \cdot))$, too. Note also that one has

$$\partial_{c,\varepsilon} \Phi(\cdot, 0)(x) \supseteq \cap_{\eta > 0} \text{Pr}_{X^* \times X^* \times \mathbb{R}}(\partial_{c,\varepsilon+\eta} \Phi(x, 0))$$

even without taking Φ to be e-convex.

In first place, take an arbitrary pair $((x^*, u^*, \alpha), r) \in \text{epi}(\Phi(\cdot, 0)^c)$, i.e. $\Phi(\cdot, 0)^c(x^*, u^*, \alpha) \leq r$. Let $x \in \text{dom}(\Phi(\cdot, 0))$ and $\varepsilon = r + \Phi(x, 0) - c(x, (x^*, u^*, \alpha)) \geq 0$. Then $(\Phi(\cdot, 0)^c(x^*, u^*, \alpha) + \Phi(x, 0) \leq c(x, (x^*, u^*, \alpha)) + \varepsilon$, i.e. $(x^*, u^*, \alpha) \in \partial_{c, \varepsilon} \Phi(\cdot, 0)(x)$. Using the hypothesis, whenever $\eta > 0$ there exist $y_\eta^*, v_\eta^* \in Y^*$ for which $((x^*, y_\eta^*), (u^*, v_\eta^*), \alpha) \in \partial_{c, \varepsilon + \eta} \Phi(x, 0)$. Fixing $\eta > 0$, we get

$$\Phi(x, 0) + \Phi^c((x^*, y_\eta^*), (u^*, v_\eta^*), \alpha) \leq \bar{c}((x, 0), ((x^*, y_\eta^*), (u^*, v_\eta^*), \alpha)) + \varepsilon + \eta,$$

followed by

$$\begin{aligned} * \Phi(x, 0) + \inf_{y^*, v^* \in Y^*} \Phi^c((x^*, u^*), (y^*, v^*), \alpha) \\ \leq c(x, (x^*, u^*, \alpha)) + \varepsilon + \eta, \quad \forall \eta > 0. \end{aligned}$$

Letting η tend towards 0 and taking into consideration the value of ε , it follows

$$\Phi(x, 0) + \inf_{y^*, v^* \in Y^*} \Phi^c((x^*, u^*), (y^*, v^*), \alpha) \leq r + \Phi(x, 0),$$

i.e. $((x^*, u^*, \alpha), r) \in \text{epi}(\inf_{y^*, v^* \in Y^*} \Phi^c((\cdot, y^*), (\cdot, v^*), \cdot))$.

To show the converse statement, let $\varepsilon \geq 0$ and $x \in X$. If $\Phi(x, 0) = +\infty$ one has $\partial_{c, \varepsilon} \Phi(\cdot, 0)(x) = \partial_{c, \varepsilon + \eta} \Phi(x, 0) = \emptyset$ for all $\eta > 0$.

Assume further that $\Phi(x, 0) \in \mathbb{R}$. For $((x^*, u^*, \alpha), r) \in \partial_{c, \varepsilon} \Phi(\cdot, 0)(x)$, one has $\Phi(\cdot, 0)^c(x^*, u^*, \alpha) + \Phi(x, 0) \leq c(x, (x^*, u^*, \alpha)) + \varepsilon$. Since the hypothesis means that $\Phi(\cdot, 0)^c = \inf_{y^*, v^* \in Y^*} \Phi^c((\cdot, y^*), (\cdot, v^*), \cdot)$, one obtains

$$\Phi(x, 0) + \inf_{y^*, v^* \in Y^*} \Phi^c((x^*, y^*), (u^*, v^*), \alpha) \leq c(x, (x^*, u^*, \alpha)) + \varepsilon.$$

Moreover, fixing $\eta > 0$ there exist $y_\eta^*, v_\eta^* \in Y^*$ such that

$$\Phi(x, 0) + \Phi^c((x^*, y_\eta^*), (u^*, v_\eta^*), \alpha) \leq \bar{c}((x, 0), ((x^*, y_\eta^*), (u^*, v_\eta^*), \alpha)) + \varepsilon + \eta,$$

i.e. $((x^*, y_\eta^*), (u^*, v_\eta^*), \alpha) \in \partial_{c, \varepsilon + \eta} \Phi(x, 0)$, which yields the conclusion. ■

A simpler formula for the ε -subdifferential of the objective function of (GP) by means of the ε -subdifferential of the considered perturbation function can be found in [22, Th. 4.1], under a stronger regularity condition. Its e-convex counterpart follows, extending [15, Th. 11] for general optimization problems.

Theorem 4.9: *Let Φ be e-convex. For all $\varepsilon \geq 0$ and all $x \in X$,*

$$\partial_{c, \varepsilon} \Phi(\cdot, 0)(x) = \text{Pr}_W(\partial_{c, \varepsilon} \Phi(x, 0))$$

holds if and only if (C5) is fulfilled.

Proof: By [16, Lem. 5.3], the condition (C5) is equivalent to the inclusion $\text{epi}((\Phi(\cdot, 0))^c) \subseteq \text{Pr}_W \text{epi}(\Phi^c)$ (since the opposite one is always valid). Note also

that $\partial_{c,\varepsilon}\Phi(\cdot, 0)(x) \supseteq \text{Pr}_W(\partial_{c,\varepsilon}\Phi(x, 0))$ in general. To show the direct statement, take some $((x^*, u^*, \alpha), r) \in \text{epi}(\Phi(\cdot, 0)^c)$ and $x \in \text{dom}(\Phi(\cdot, 0))$, and let $\varepsilon = r + \Phi(x, 0) - c(x, (x^*, u^*, \alpha)) \geq 0$. Analogously to the proof of Theorem 4.8, one obtains $y_\varepsilon^*, v_\varepsilon^* \in Y^*$ for which $((x^*, y_\varepsilon^*), (u^*, v_\varepsilon^*), \alpha) \in \partial_{c,\varepsilon}\Phi(x, 0)$, i.e.

$$\Phi(x, 0) + \Phi^c((x^*, y_\varepsilon^*), (u^*, v_\varepsilon^*), \alpha) \leq c((x, 0), ((x^*, y_\varepsilon^*), (u^*, v_\varepsilon^*), \alpha)) + \varepsilon.$$

Employing the value of ε , one gets $((x^*, y_\varepsilon^*), (u^*, v_\varepsilon^*), \alpha, r) \in \text{epi}\Phi^c$, which yields (C5).

Now, let $\varepsilon \geq 0$ and $x \in X$. If $\Phi(x, 0) = +\infty$ one has $\partial_{c,\varepsilon}\Phi(\cdot, 0)(x) = \partial_{c,\varepsilon}\Phi(x, 0) = \emptyset$. Let further $\Phi(x, 0) \in \mathbb{R}$. For $((x^*, u^*, \alpha), r) \in \partial_{c,\varepsilon}\Phi(\cdot, 0)(x)$, one has $(\Phi(\cdot, 0))^c(x^*, u^*, \alpha) + \Phi(x, 0) \leq c(x, (x^*, u^*, \alpha)) + \varepsilon$. By [16, Prop. 5.4], (C5) implies $\Phi(\cdot, 0)^c = \min_{y^*, v^* \in Y^*} \Phi^c((\cdot, y^*), (\cdot, v^*), \cdot)$. Consequently for every $(x^*, u^*, \alpha) \in W$ there are some $y^*, v^* \in Y^*$ such that

$$\Phi^c((x^*, y^*), (u^*, v^*), \alpha) + \Phi(x, 0) \leq \bar{c}((x, 0), (x^*, y^*), (u^*, v^*), \alpha) + \varepsilon,$$

i.e. $((x^*, y^*), (u^*, v^*), \alpha) \in \partial_{c,\varepsilon}\Phi(x, 0)$, which yields the conclusion. ■

5. Saddle-point theory on e-convex problems

In the classical setting there exists a connection between saddle-point theory and total duality. This relation comes due to the fact that saddle-points can be characterized in terms of optimal solutions for the primal and the dual problem – see [2, Sect. 3.3]. In the following we extend the definition of Lagrangian function and saddle-point theory into the application of the c -conjugation scheme. The following definitions are the counterpart of Definitions 3.1 and 3.2 in [2], respectively. For more on Lagrangian functions in the classical (convex) case we refer the reader to [27, Sect. 3.3].

Definition 5.1: The function $L : X \times (Y^* \times Y^* \times \mathbb{R}_{++}) \rightarrow \bar{\mathbb{R}}$ defined by

$$L(x, (y^*, v^*, \alpha)) = \inf_{y \in Y_x} \{\Phi(x, y) - c(y, (y^*, v^*, \alpha))\}$$

where $Y_x = \text{dom}\Phi(x, \cdot)$, for each $x \in X$, is called *the c -Lagrangian* of the problem (GP) relative to Φ .

Remark 5.1: In [11, Sec.3], Martínez-Legaz introduced a Lagrangian function expressed in terms of a general coupling function and connected with duality theory. The Lagrangian function from Definition 5.1 can be seen as a particular case, with $u_0 = 0$.

In the classical setting, the Lagrangian function of (GP) relative to Φ , $L : X \times Y^* \rightarrow \bar{\mathbb{R}}$,

$$L(x, y^*) = \inf_{y \in Y} \{\Phi(x, y) - \langle y, y^* \rangle\},$$

satisfies that $L_x : Y^* \rightarrow \bar{\mathbb{R}}$, defined for all $x \in X$ by $L_x(y^*) = L(x, y^*)$, is a concave and upper semicontinuous function. Nevertheless, the function $L_{y^*} : X \rightarrow \bar{\mathbb{R}}$ given by $L_{y^*}(x) = L(x, y^*)$ for all $y^* \in Y^*$ is convex when Φ is convex – see [2, Sect. 3.3]. In our context, the function $L_x : Y^* \times Y^* \times \mathbb{R}_{++} \rightarrow \bar{\mathbb{R}}$, which is defined for all $x \in X$ by

$$L_x(y^*, v^*, \alpha) = L(x, (y^*, v^*, \alpha)),$$

verifies that $-L_x = \Phi(x, \cdot)^c$ and it is e' -convex. However, we cannot guarantee that for all $(y^*, v^*, \alpha) \in Y^* \times Y^* \times \mathbb{R}_{++}$, the function $L_{(y^*, v^*, \alpha)} : X \rightarrow \bar{\mathbb{R}}$ given by $L_{(y^*, v^*, \alpha)}(x) = L(x, (y^*, v^*, \alpha)) = -\Phi(x, \cdot)^c(y^*, v^*, \alpha)$ is convex when Φ is so.

Example 5.2: Let us consider $(P) \inf_{x \in \mathbb{R}} \{f(x) + g(Ax)\}$, where $f : \mathbb{R} \rightarrow \mathbb{R}$, $g : \mathbb{R} \rightarrow \bar{\mathbb{R}}$ and $A : \mathbb{R} \rightarrow \mathbb{R}$ are defined as

$$f(x) = x, g(y) = \delta_{]-\infty, 0]}(y), A(z) = z,$$

with the perturbation function $\Phi(x, y) = f(x) + g(Ax + y)$. Take the point $(y^*, v^*, \alpha) = (1, 1, 1) \in \mathbb{R}^3$ and let us calculate $L_{(1,1,1)} : \mathbb{R} \rightarrow \bar{\mathbb{R}}$.

$$L_{(1,1,1)}(x) = \inf_{y \leq -x} \{x - c(y, (1, 1, 1))\} = \begin{cases} \inf_{y \leq -x} \{x - y\} & \text{if } x > -1, \\ -\infty & \text{otherwise.} \end{cases}$$

Taking into account that, if $x > -1$ and $y \leq -x$ then $x - y \geq x - 1 > -2$, we conclude

$$L_{(1,1,1)}(x) = \begin{cases} -2 & \text{if } x > -1, \\ -\infty & \text{otherwise,} \end{cases}$$

which is not convex.

Definition 5.3: A point $(\bar{x}, (\bar{y}^*, \bar{v}^*, \bar{\alpha})) \in X \times (Y^* \times Y^* \times \mathbb{R}_{++})$ is called a *saddle-point* of L if

$$L(\bar{x}, (y^*, v^*, \alpha)) \leq L(\bar{x}, (\bar{y}^*, \bar{v}^*, \bar{\alpha})) \leq L(x, (\bar{y}^*, \bar{v}^*, \bar{\alpha})),$$

holds for all $x \in X$ and $(y^*, v^*, \alpha) \in Y^* \times Y^* \times \mathbb{R}_{++}$.

The c -Lagrangian of the problem (GP) relative to Φ is related to both optimal values in the following way (see [11, Sec.3]).

Proposition 5.4: *One always has*

$$\nu(GD_c) = \sup_{\substack{y^*, v^* \in Y^* \\ \alpha > 0}} \inf_{x \in X} L(x, (y^*, v^*, \alpha)).$$

If $\Phi(x, \cdot)$ is e -convex at 0, for all $x \in X$, it also holds

$$\nu(GP) = \inf_{x \in X} \sup_{\substack{y^*, v^* \in Y^* \\ \alpha > 0}} L(x, (y^*, v^*, \alpha)).$$

Proposition 5.5: *Let us assume that $\Phi(x, \cdot)$ is e -convex at 0, for all $x \in X$. Then, $(\bar{x}, (\bar{y}^*, \bar{v}^*, \bar{\alpha})) \in X \times (Y^* \times Y^* \times \mathbb{R}_{++})$ is a saddle-point of L if and only if \bar{x} is a optimal solution of (GP) , $(\bar{y}^*, \bar{v}^*, \bar{\alpha})$ is a optimal solution of (GD_c) and $\nu(GP) = \nu(GD_c) \in \mathbb{R}$.*

Proof: Let $(\bar{x}, (\bar{y}^*, \bar{v}^*, \bar{\alpha})) \in X \times (Y^* \times Y^* \times \mathbb{R}_{++})$ be a saddle-point of L . From [11, Sec.3] it can be obtained

$$-\Phi^c((0, \bar{y}^*), (0, \bar{v}^*), \bar{\alpha}) = \inf_{x \in X} L(x, (y^*, v^*, \alpha)) \quad (18)$$

and

$$\Phi(x, 0) = \sup_{\substack{y^*, v^* \in Y^* \\ \alpha > 0}} L(x, (y^*, v^*, \alpha)) \quad (19)$$

Taking into account (18) and (19), we have

$$\begin{aligned} -\Phi^c((0, \bar{y}^*), (0, \bar{v}^*), \bar{\alpha}) &= \inf_{x \in X} L(x, (\bar{y}^*, \bar{v}^*, \bar{\alpha})) = L(\bar{x}, (\bar{y}^*, \bar{v}^*, \bar{\alpha})) \\ &= \sup_{y^*, v^* \in Y^*, \alpha > 0} L(\bar{x}, (y^*, v^*, \alpha)) = \Phi(\bar{x}, 0). \end{aligned}$$

Then, in particular $\Phi(\bar{x}, 0) + \Phi^c((0, \bar{y}^*), (0, \bar{v}^*), \bar{\alpha}) \leq 0$ and, applying Lemma 4.3, we have that $((0, \bar{y}^*), (0, \bar{v}^*), \bar{\alpha}) \in \partial_c \Phi(\bar{x}, 0)$, which finishes the proof in virtue of Proposition 4.6.

For the converse statement, since $\nu(GP) = \Phi(\bar{x}, 0)$, $\nu(GD_c) = -\Phi^c((0, \bar{y}^*), (0, \bar{v}^*), \bar{\alpha})$ and $\nu(GP) = \nu(GD_c) \in \mathbb{R}$ we obtain, using (18) and (19) that

$$L(\bar{x}, (y^*, v^*, \alpha)) \leq \Phi(\bar{x}, 0) = -\Phi^c((0, \bar{y}^*), (0, \bar{v}^*), \bar{\alpha}) \leq L(x, (\bar{y}^*, \bar{v}^*, \bar{\alpha})).$$

Hence, $\Phi(\bar{x}, 0) = L(\bar{x}, (\bar{y}^*, \bar{v}^*, \bar{\alpha}))$ and $(\bar{x}, (\bar{y}^*, \bar{v}^*, \bar{\alpha}))$ is a saddle-point of L . ■

Remark 5.2: In a more general framework, Penot and Rubinov in [28] related the Lagrangian and the perturbational approach (or parametrization approach, as it is named in that paper) to duality for optimization problems. In order to allow a more comprehensive comparison between their work and ours, we have adapted their notation to the one used in this work. Given a set Z , a Lagrangian for (GP) is

a function $L : X \times Z \rightarrow \bar{\mathbb{R}}$ which must verify that $F(\cdot) = \sup_{z \in Z} L(\cdot, z)$, in which case, the optimal value of (GP) satisfies

$$v(GP) = \inf_{x \in X} \sup_{z \in Z} L(x, z).$$

Note that no convexity or topological assumptions were imposed on the involved functions in this case. Defining a dual functional for (GP) , as $d_L(z) = \inf_{x \in X} L(x, z)$, for every $z \in Z$, a dual problem for (GP) is

$$(GD_L) \sup_{z \in Z} d_L(z),$$

and for this primal-dual pair of optimization problems there holds weak duality. According to [28, Prop. 1 (Sect. 3.3)], if $\Phi : X \times Y \rightarrow \bar{\mathbb{R}}$ is a perturbation function for (GP) , and, for all $x \in X$, $\Phi(x, \cdot)$ is H_c -convex at 0 (see [28, Sect. 2] for a definition), then

$$L(x, z) = \inf_{y \in Y} \{\Phi(x, y) - c(y, z)\} \quad (20)$$

is a Lagrangian for (GP) . Here $c : Y \times Z \rightarrow \bar{\mathbb{R}}$ is any coupling function. As it can be observed, the function L in Definition 5.1 is not the same as (20): if we take the infimum on Y , the function in Definition 5.1 is always $-\infty$, except perhaps for points $(\bar{x}, (0, 0, \bar{\alpha})) \in X \times (Y^* \times Y^* \times \mathbb{R}_{++})$, because of the special structure of the coupling functions we considered.

6. Final remarks, conclusions and future work

In this paper we present new results regarding evenly convex (e-convex) functions, in particular converse and total duality statements for e-convex problems that extend their counterparts from the (classical) convex case. Other results can be generalized to the current setting as well, for instance the ε -duality statements from [29], however the proofs work straightforwardly and present no difficulty so we opted not to include them here. On the other hand, some results known at the moment for proper, convex and lower semicontinuous functions, such as the maximal monotonicity of their subdifferentials or the fact that their proximal point operators are single valued, do not hold in general for e-convex functions – check for instance the function considered in [19, Ex. 2.1].

We extend in this article the notion of converse duality from the convex setting to e-convex optimization problems, providing a sufficient closedness-type regularity condition for it and an alternative formulation using the infimum value function. In order to prove the mentioned results we introduced the notion of functionally representable functions and we also gave a new Moreau–Rockafellar type result for e' -convex functions. We introduced the concept of the c' -subdifferential of a function, providing novel characterizations of

the elements of c -subdifferentials and c' -subdifferentials, respectively, and studying how total duality is connected with them. Formulae for the c -subdifferential and biconjugate of the objective function of a given general optimization problem are provided, too. On the other hand, we extend the definition of the classical Lagrangian towards the e -convex setting by means of the c -conjugation scheme and relate the corresponding saddle-points to total duality. The results for general optimization problems can be specialized for constrained and unconstrained optimization problems as well.

We have restricted ourselves to closedness type regularity conditions, but taking into consideration [18, Sect. 3] one can alternatively provide interiority type ones to the same end as well. Using the connection between the c - and c' -subdifferentials and the notion of c -conjugation, other paths can be followed along this direction. On the other hand, the investigations from [30] on subdifferentials of e -convex functions can be continued in the vein of this paper, too. Last but not least other properties of proper, convex and lower semicontinuous functions and formulae involving them could be extendable to the e -convex setting.

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