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# Understanding negotiated anti-malware interruption effects on user decision quality in endpoint security

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#### ABSTRACT

Anti-malware software must be frequently updated in order to protect the system and the user from attack. Makers of this software must choose between interrupting the user to update immediately or allowing them to update later. In either case, assessing the content of the interruption may still require cognitive investment. However, by allowing the user to negotiate a delayed response to these interruptions, users can instead focus on their work. This paper experimentally examines the effect of immediate and negotiated interruptions on user decision time and decision accuracy in multiple stage tasks. For complex tasks, decision performance is higher when the user can negotiate the onset of and response to interruptions. The option to defer response also results in greater subjective perceptions of control, improved task resumption and reduced feelings of interruption and distraction on the part of the user, even within a short period of time. These findings have practical implications for endpoint security and where there is a need to mitigate the effects of user interruptions from computer-mediated communication in complex task situations.

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#### KEYWORDS

Anti-malware; endpoint security; interruptions; decision performance

## **1. Introduction**

In the next five minutes, some 2,400 new strains of malware will be discovered (McAfee Labs 2018a). This malware aims to discretely infect and undermine the operational integrity of a user's computing environment, compromising the user's tasks, communications and confidential information, sometimes using seemingly innocuous messages to compel the user to inadvertently infect their own computer. At the desktop endpoint, the user's first line of defense against this malware is antimalware software, also known as anti-virus or AV software, which must be kept up to date in order to effectively disrupt the myriad attack vectors of email, software and network vulnerabilities (Symantec Labs 2017). To this end, Sophos (2019, 13) argue,

in contemporary malware attacks, the problem is not limited to a small number of executable file types that must be observed, tracked, and have their behavior monitored. With a wide range of file types that include several 'plain text' scripts, chained in no particular order and without any predictability, the challenge becomes how to separate the normal operations of a computer from the anomalous behavior of a machine in the throes of a malware infection.

The rate of new malware infections requires developers of anti-malware software to frequently update their software

in order to keep abreast of new detection techniques (Gartner Research 2018). In many environments, it is the user's responsibility to keep such software up to date. Further, because most attack vectors are either not known or not reported (McAfee Labs 2018b), IT security departments must rely on good end user decision-making for effective defense. As a result, it is becoming increasingly clear that an understanding of the user's endpoint desktop security must involve an understanding of the user's approach to malware defense. However, desktop endpoint security updates can interrupt user activity, thereby disrupting the user's flow of work. To avoid disruption to their tasks, users may elect not to update their software, thereby diminishing the level of protection they receive, and putting their computing resources and personal data at risk. In the face of this user reluctance, anti-malware providers must choose how to interrupt the user to more effectively combat the threat of infection. On one hand, they can force the user to respond immediately to the update request, thereby ensuring the antimalware software is operating effectively. On the other hand, the software can allow the user to defer or 'negotiate' the update to a more convenient time. However, the practical effects of this choice are not yet known.

Managers turning to the research literature for insight into this problem may be disappointed. The vast

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majority of prior work into malware has examined user perceptions, damage resulting from infections, or organisational policies surrounding malware prevention. Very little work has examined the practical realities of how users defend themselves at the cognitive operational level (e.g. Doherty and Tajuddin 2018). Users are typically not waiting to upgrade - rather, they are engrossed in other tasks. Hence, any realistic approach to modelling the decision outcomes requires the user to be undertaking a primary task when responding to an antimalware update request. However, most prior empirical research into the effects of interruptions on users' task performance (Avrahami and Hudson 2004; Cutrell, Czerwinski, and Horvitz 2001; Czerwinski, Cutrell, and Horvitz 2000a) have focused only on the immediate nature of user interruptions, with less emphasis on interruptions that allow users to delay their response. Knowledge of malware defense must hence be balanced against an understanding of how users respond while they are completing their tasks.

In this paper, we model two modes of user interaction in the anti-malware context. In the first mode, users must respond immediately to an interruption. In the second mode, users can defer responding to the interruption. We examine these modes against three indicators of decision quality, being decision comprehension time, decision response time and decision accuracy. Our findings indicate that anti-malware providers should encourage users to defer difficult decisions regarding anti-malware upgrades. The results of our modelling show that requiring an immediate response itself encourages users to make bad decisions.

This research makes two contributions to knowledge. First, the rise in malware threats requires a greater understanding of the operational level of malware defense in order to mount an effective response to malware threats. Despite much work into malware, mostly at a technical level, very little work has focused on the cognitive response state in which the user interacts with their anti-malware software in its practical role as the user's endpoint defense. Prior research has shown that users underestimate infection risk (Menard, Gatlin, and Warkentin 2014; Teer, Kruck, and Kruck 2007) and to date no study has yet identified the cognitive implications of this perception at the endpoint security level. To fill this gap, our experimental setup emulates the user's day-to-day operating circumstances in the face of an anti-malware interruption. Second, we identify the effects of negotiated interruptions in mitigating the disruptive effects of interruptions across simple and complex tasks. Our contribution in studying the antimalware context is to identify the moderating effect of ongoing task complexity on interruptive effects, because users are typically engrossed in other tasks, and only encounter the anti-malware software interruption incidentally. We incorporate both multiple stages and multiple interruptions in each primary task with the inclusion of changes in task complexity. In this regard, the work addresses calls from Basoglu, Fuller, and Sweeney (2009) and Gupta, Sharda, and Greve (2011) to investigate the effects of interruptions across tasks with varying levels of cognitive burden on users.

This paper proceeds as follows. The next section provides background information, followed by an overview of prior work on interruptions. This leads to the study's research framework and hypotheses, followed by the research design and method. Analysis of the objective experiment and subjective questionnaire is then presented, followed by conclusions.

# 2. Endpoint security and anti-malware software

Operational security is an important issue for organisational and private user ICTs (Goode et al. 2015; Goode and Lacey 2011). Substantial prior research has highlighted the risks of malware threats to operational security. However, endpoint security from the perspective of the end user has received comparatively little attention in prior work (e.g. Doherty and Tajuddin 2018). To better understand current thinking regarding endpoint security in the end-user context, we searched for and reviewed all published journal articles that examined anti-malware and endpoint security at the user behaviour level. Table 1 presents the outcomes of this review.

The bulk of prior work has been either at a technical level or at the policy level. In the first group, research has been divided into two main streams: developing more effective anti-malware software by identifying the exploitation vectors of malware, and second in identifying new techniques for malware prosecution. These techniques have progressed from traditional techniques such as signature scanning (Liao and Wang 2006) and structural heuristics (Zenkin 2001) to more modern techniques such as data mining (Ye et al. 2017), machine learning, (Milosevic, Dehghantanha, and Choo 2017), and behavioural analysis (Faruki et al. 2014). The majority of such work has employed archival data, such as malware signatures (Sukwong, Kim, and Hoe 2011), or simulations (Abazari, Analoui, and Takabi 2016) to test empirical models.

Research in this stream has identified a number of concepts that are relevant to this research. A principal concept underpinning this work is that malware evolves quickly to exploit newly identified vulnerabilities in

Table 1. Prior studies on use behaviour with anti-malware and endpoint security.

Source	Theory base	Methods	Participants	Findings
Peterson (1992)		Conceptual		This paper provides an overview of virus functionality as it relates to the MS-DOS operating environment. Compromise methods include the startup process and command file structure. Technical countermeasures, security awareness programs and response teams are seen as vital solutions
Herold (1995)		Case Study	One company (Principal Finance Group)	This paper documents an anti-virus strategy at a large financial company. The strategy uses four components for endpoint security being fileserver protection, workstation protection, anti-virus 'swat' teams and
Bontchev (1996)		Conceptual		employee education and awareness This paper discusses the novel threat of macro viruses in Microsoft Word documents and the ways in which macro viruses can gain elevated privileges on a user's desktop
Highland (1997)		Conceptual		This paper proposes a set of organisational rules for controlling endpoint virus risk, including software controls, employee access controls, and storage controls
Post and Kagan (1998)		Survey	115 Information security professionals	Barriers to anti-virus implementation include lack of financial support and lack of top management commitment to endpoint security initiatives. Organisations typically wait until they are attacked before implementing countermeasures
Zenkin (2001)		Artefact		This paper proposes a method for detecting
Teer, Kruck, and Kruck (2007)		development Survey	86 university students	viruses using code fragments Approximately one third of respondents do not operate or do not update anti-virus software, and approximately half of respondents had encountered a virus in the previous year
Bubaš, Orehovački, and Konecki (2008)	Adapted Protection Motivation Theory	Survey	312 college students	Four factors of endpoint security were identified: user conscientiousness of their operating environment, engagement in risky behaviour, belief that malware threat was low, and lack of fear
Zhang and McDowell (2009)	Protection Motivation Theory	Survey	182 college students across three US universities	Response cost, response efficacy and fear are positively related to strong password use. Perceived vulnerability and severity were not significant
Gurung, Luo, and Liao (2009)	Protection Motivation Theory	Survey	232 university students	This paper examines factors that motivate consumers to adopt anti-spyware software when they are faced with security threats. The study found that perceived severity, self-efficacy, and response efficacy were positively associated with intention to adopt anti-spyware
Lee and Larsen (2009)	Protection Motivation Theory and Technology Acceptance Theory	Survey	239 Small to Medium-sized Enterprise (SME) executives in the United States	Threat and coping appraisal predict executive intention to adopt anti-malware software. Adoption intention was affected by threat appraisal and social influence for IS experts and coping appraisal and budget for non- experts
Anderson and Agarwal (2010)	Protection Motivation Theory	(1) Survey and (2) experiment	(1) 596 home computer users and (2) 101 experimental subjects	Conceptualises 'conscientious cybercitizens', a type of user that aims to secure their desktop. User intention is affected by cognitive, social and psychological components. Protection goal-framing and user self-view affect intention to protect using anti-malware software
Huang et al. (2010)	Communication theory and time orientation	(1) Survey; (2) Focus groups	(1) 83 undergraduate university students from China; (2) 20 undergraduate university students from China	Anti-virus notifications affect users in different ways. Users with low-context communication style use notification information better than high-context communication styles. Poly-chronic users perceive lower interruption than mono- chronic users

### Table 1. Continued.

Source	Theory base	Methods	Participants	Findings
Okane, Sezer, and McLaughlin (2011)		Conceptual		This paper reviews malware mechanisms for obscuring damaging functionality to avoid detection by anti-malware. These techniques including packing, polymorphism and metamorphism. Anti- malware must stay up to date to adequately defend
Sukwong, Kim, and Hoe (2011)		Archival data	1115 malicious software files, tested against six anti-virus software applications	Anti-malware detected 60% of malicious software at zero day, with most of the remaining taking 30 days to detect. Some 10% were never detected
Warkentin, Johnston, and Shropshire (2011)	Social learning theory	Survey	234 professionals working in healthcare	Security self-efficacy was positively affected by situational support, verbal persuasion and vicarious experience. Efficacy positively affected behavioural intention
Yoon, Hwang, and Kim (2012)	Protection Motivation Theory	Survey	202 college students	Perceived severity, response costs, response efficacy and response efficacy are positively related to information security and protection behaviour. Perceived vulnerability and perceived social influence were not predictors
Furnell and Clarke (2012)		Conceptual		This paper examines a dialog box from an instance of user authentication and anti- virus. The paper argues that users often lack the skill and knowledge to immediately understand the content of these messages
Al-Saleh, Espinoza, and Crandall (2013)		Software analysis	Symantec Anti-virus and Sophos	This paper examines the effect on operating system performance of two anti-virus software applications. The paper finds that anti-virus software makes significant demands on the operating system and time to complete processes
Yoon and Kim (2013)	Protection Motivation Theory	Survey	162 organisational employees in Korea	Moral obligation, organisational norms and attitude toward computer security behaviour significantly affect employee attitudes to desktop security. Perceived threat severity, response efficacy, and self- efficacy significantly affect employee attitude
Ramachandran et al. (2013)	Culture	Semi-structured interviews	40 business professionals	Perceptions of information security vary across professional cultures. Accountants reflected a strong security culture. IS professionals pursued productivity at the expense of security. Marketers saw limited involvement with security matters
Menard, Gatlin, and Warkentin (2014)	Protection Motivation Theory	Survey	152 college students	Threat severity, threat susceptibility, automacicity and concurrency are positively related to behavioural intention to protect computer resources
Williams et al. (2014)	Security Belief Model	Survey	237 business professionals in India	Perceived severity, perceived susceptibility and perceived benefits are positively related to intentions to perform preventative information security behaviours
Min et al. (2014)		Artefact development	Operational proof of concept attacks on Avira, AVG, McAfee, Microsoft and Symantec anti- virus applications	This paper proposes an attack against the vulnerability that can occur when a PC's anti-virus definition library is being updated. The vulnerability is exacerbated the more frequently the anti-virus tool is updated
Leukfeldt (2014)	Routine activity theory	Archival data	10,316 Dutch computer users	The currency of a user's anti-virus software has no relationship with the likelihood of email-borne malware (i.e. phishing) infection and hence user victimisation
Posey et al. (2014)	Protection Motivation Theory	Interviews	22 business employees and 11 information security employees	Professionals see hackers and Internet threats (worms, viruses, Trojan horses), as the most likely security threat. Professionals understand that organisational assets require protection, but maladaptive behaviours (i.e. not behaving in a secure

Source	Theory base	Methods	Participants	Findings
				manner) may be justified in response to perceived organisational injustice
Kim, Yan, and Zhang (2015)		Archival data and artefact development	Corpus of webpages: 1,230 fake anti-virus, 210 popular security, 17,530 unpopular security, 538 irrelevant webpages	Users have difficulty identifying fake anti- virus software available online. This paper presents the development of a software artefact (DART) that identifies fake anti- virus software web pages with 90.4% accuracy
Safa et al. (2015)	Protection Motivation Theory and Theory of Planned Behaviour	Survey	212 Information security experts and IT professionals in Malaysia	Users' security behaviour is positively affected by information security experience and involvement, information security awareness, threat appraisal, information security self-efficacy, information security organization policy, attitude towards information security, and subjective norms
Johnston, Warkentin, and Siponen (2015)	Protection Motivation Theory, Deterrence Theory and Fear Appraisal	Survey	559 government employees in Finland	Sanction comments are associated with stronger intentions to comply with security policies and security threat warnings
Posey, Roberts, and Lowry (2015)	Protection Motivation Theory	Online survey	380 insider employees from the United States	End user security education, training and awareness (SETA) improve security appraisals, which are also affected by response cost. Protection motivation theory does not necessarily apply in the user context as it does in the organisational context
Jansen et al. (2016)	Protection Motivation Theory	Survey	1622 Dutch entrepreneurs	Coping behaviours, perceived effectiveness, positive user attitude and self-efficacy explain adoption of anti-malware measures
Ortiz de Guinea (2016)		(1) Critical incident survey and (2) experiment	<ul><li>(1) 217 organisational employees</li><li>(2) 12 university students</li></ul>	Users display negative emotions as they cope with negative desktop IT events. Users may eventually disengage and accidentally learn incorrect responses
Tsai et al. (2016)	Protection Motivation Theory	Survey	998 Amazon Mechanical Turk users	This paper examines the security intentions (including use of anti-malware) of PC users Coping appraisal, habit strength, response efficacy and personal responsibility predicted online safety intentions
lfinedo (2016)	Deterrence theory and cost benefit analysis	Survey	176 professionals (42 Canadian professionals, 51 IS professionals, 83 non-IS managers)	Information security compliance was positively affected by top management support and sanction severity, but negatively affected by s cost benefit analysis. Detection probability was not significant
Visinescu et al. (2016)	Protection Motivation Theory	Survey	203 undergraduate students	Propensity to trust influenced users' perceived need for privacy and perception of the need to self-protect. Preventive measures, user self-efficacy, and acceptable cost of prevention positively affect users' need to develop a protection strategy.
Steinbart, Keith, and Babb (2016)	Cybernetic loop and Technology Threat Avoidance Theory	Experiment	568 undergraduate students in the United States	Even if users initially use security behaviours they may cease or reduce these behaviours if they become effortful. When login requirements are too strict, users develop work-around solutions that weaken security arrangements
Johnston et al. (2016)	Protection Motivation Theory and General Deterrence Theory	Survey	317 organisational members	Users with strong stability meta-traits possess conscientiousness, agreeableness, and emotional stability. Users with strong plasticity meta-traits exhibit dominant openness and extraversion. These factors interact in end-user security related settings.
McGill and Thompson (2017)	Protection Motivation Theory	Survey	629 users	This paper compares security intentions of computer and smartphone users. Use of anti-virus software was higher for PCs (85%) than for smartphones (44%)
White, Ekin, and Visinescu (2017)	Health Belief Model and Protection Motivation Theory	Survey (Qualtrics/ Online)	945 computer users	This paper examines the factors affecting protective behaviour and perceived security incidents among home computer users. Self-efficacy, Cue to action and Perceived barriers to implement security tools are

# Table 1. Continued.

Source	Theory base	Methods	Participants	Findings
				positively related to Protection behaviour intentions (such as using anti-malware software)
Burns et al. (2017)	Protection Motivation Theory and Psychological Capital Theory	Survey	377 employees in the United States	Psychological capital negatively affected threat severity, maladaptive rewards and response cost, but positively affected perceived security response efficacy. Protection motivation was positively affected by threat severity and response efficacy, but negatively affected by
Menard, Bott, and Crossler (2017)	Protection Motivation Theory and Self- Determination Theory	Online survey	785 computer users with password manager software installed	maladaptive rewards and response cost Perceived relatedness, competence and autonomy positively affected threat severity, self-efficacy and response efficacy Competence, response performance motivation and response efficacy positively affected behavioural intention to engage in security behaviour
Blythe and Coventry (2018)	Protection Motivation Theory	Survey	526 employees	Ease of responding was positively related and cost of responding was negatively related to anti-malware behaviour
Jansen and van Schaik (2018)	Protection Motivation Theory	Survey	1200 Dutch users of online banking services	Threat appraisal and coping appraisal, especially response and self-efficacy, predict precautionary behaviour in online banking
Dodel and Mesch (2018)		Survey (archival)	1850 Israeli internet users	Education, age, gender and quality of internet access are related to digital security skills which, along with frequency of online activities, predict anti-malware behaviours
Menard, Warkentin, and Lowry (2018)	Protection Motivation Theory	Factorial survey	500 Amazon Mechanical Turk users from China and the United States	This paper examines user intention not to protect information, including use of anti- spyware software. Although psychological ownership was significantly associated with response efficacy and self-efficacy, efficacy was not associated with behavioural intention not to protect information
Yoo, Sanders, and Cerveny (2018)	Psychological ownership theory and flow theory	Survey	327 Korean law enforcement professionals	A user's sense of flow is positively related to their level of psychological ownership and security education training and awareness (SETA) use. These in turn predict user intention to comply with security policy
Lévesque et al. (2018)		Longitudinal experimental study	50 household users over four months	Approximately a third of users were protected by an anti-virus application that blocked a malware attack, and 20% of users had become infected with a virus that was not detected by the anti-virus software (half of these users noticed no difference in operation of their software). Gender, age, area of expertise, and employment status were not related to malware attacks
Wachyudy and Sumiyana (2018)	Protection Motivation Theory and Coping Theory	Survey	580 e-banking users from Indonesia	This paper examines threat perceptions of e- banking users. The study finds that threat perception and computer anxiety are both positively associated with intention to take protective action
Baskerville, Rowe, and Wolff (2018)	Vulnerability Points Theory	Archival data	Responses from 9,721 French companies	The use of self-protective cybersecurity measures is associated with higher degrees of internal and external system integration
Hanus, Windsor, and Wu (2018)	Technology Threat Avoidance	Quasi experiment and Survey	74 municipal government employees in the United States	Security awareness is affected by self-efficacy, perceived severity, perceived susceptibility, perceived effectiveness, perceived cost and perceived responsibility
Martens, De Wolf, and De Marez (2019)	Protection Motivation Theory		1181 Belgian citizens	This paper examines end user propensities to secure their online activity. User perceptions of technical and social cybercrime differ. The paper finds that coping awareness (including awareness of anti-malware software) positively affects self-efficacy and response efficacy
	Protection Motivation Theory and Extended	Survey	308 business employees	This paper applies an EPPM model to explain anti-spyware adoption. The study finds that

Source	Theory base	Methods	Participants	Findings
Chenoweth, Gattiker, and Corral (2019)	Parallel Processing model (EPPM)			users are not motivated to adopt anti- spyware when they appraise the malware threat as low. User efficacy then explains whether the user denies the threat or adopts spyware
Chen and Li (2019)	Person-organisation fit theory	Survey	253 employees in China	This paper finds that perceived need-supply fit, demand-ability fit, and value fit motivate security commitment. Security commitment partially mediates demand- ability fit and need-supply fit and participation intention, and fully mediates value fit and participation intention. User apathy reduces motivation to engage in extra-role behaviour

software. Accordingly, anti-malware software also requires frequent updating in order to maintain a strong defense against this malware. At a technical level, this updating may involve alterations both to malware definition files and anti-malware detection routines more directly. As anti-malware itself is often a malware target, most anti-malware software self-protects (Baskerville, Rowe, and Wolff 2018) and alterations to anti-malware applications require elevated user privileges in order to be updated: these elevated privileges frequently require user intervention and permission to update. Figure 1 illustrates several stylized examples of antimalware pop-up modal dialog windows that require a user's response before they can resume their tasks. In this stream of work, keeping anti-malware definitions and functionality up to date is an accepted and expected part of endpoint defense.

Research in the second group has focused on two main areas. First, a substantial amount of work has examined the development of organisational security policies, especially with regard to policy effectiveness, completeness and structure (Bonny, Goode, and Lacey 2015). A key goal of this body of work lies in identifying effective techniques for compelling anti-malware use within organisational ICT resources and their users. The second body of work in this stream has focused on assessing the degree of policy compliance among end users, management, operational staff and, to a lesser extent, home and private users. Surveys of end users (Anderson and Agarwal 2010; Dodel and Mesch 2018; McGill and Thompson 2017) and employees (Blythe and Coventry 2018; Chenoweth, Gattiker, and Corral 2019; Williams et al. 2014) have been the dominant approach to empirical testing within this stream of research.

In contrast to evidence from the first body of work, an undercurrent of this research is that there is a gap between organisational expectations regarding endpoint security, and the degree to which users will adhere to endpoint defense policies. A core goal of these policies is to compel users to make good decisions regarding operational security in order to preserve operational assets: as a result, organisations strive to make their policies clearer (Herath and Rao 2009), more user-friendly (Höne and Eloff 2002; Safa, Von Solms, and Furnell 2016), for example by accommodating BYOD initiatives (Baillette, Barlette, and Leclercq-Vandelannoitte 2018), or more punitive by increasing penalties for non-compliance (Shropshire, Warkentin, and Johnston 2010). However, independently of the organisational context, private users also appear reluctant to adhere closely to endpoint security directives, such as those established by internet service providers (ISPs).

In both the organisational and private contexts, endpoint policies appear necessary because users may make operational decisions that benefit their immediate user outcomes, rather than those that relate to more distant possibilities such as a potential malware infection or a data breach (Goode et al. 2017). However very little work has offered an explanation for this reluctance at a cognitive decision level. There is hence an opportunity to study the effect of user decision making in the face of software interruptions while the user is involved in other tasks. A study into the effect of these interruptions must therefore take the user's other work processes into account when analysing these disruptive decision-making effects.

# 3. Interruptions

Interruptions are unpredictable stressors that require additional user effort and attention to address (Galluch, Grover, and Thatcher 2015). Interruptions are typically considered disruptive, hindering task performance and effectiveness, especially for interruptions that use the same sensory channels as the individual's working memory (Jett and George 2003; Nystrom et al. 2000). Interruptions test the user's cognitive abilities by forcing them to switch their attention from their primary tasks to another task (Bailey, Konstan, and Carlis 2001; Eyrolle and Cellier 2000; Hodgetts and Jones 2007).

Although extant literature has attributed differences in the effects of user interruptions on task performance to the type of interruptions and tasks that require varying levels of cognitive processing, few empirical studies have sought to provide an insight into the outcomes of such a research undertaking. To identify these effects, we identified prominent empirical studies of computermediated interruptions published in journals and leading conferences. Table 2 summarises the empirical studies on the effects of interruption in computer-mediated contexts.

Two types of interruptions emerge from prior literature, relating to the onset of the interruption and the amount of control available to the user over when and how to respond (Adamczyk and Bailey 2004; Hodgetts and Jones 2007; Robertson et al. 2004). On one hand, an *immediate* interruption is an event that demands user attention and expects them to suspend their tasks and interact with it at that time. Immediate interruptions burden people's cognitive limitations by drawing attention immediately (such as an urgent popup window that requires a quick response) (Altmann and Trafton 2004). On the other hand, a *negotiated* interruption gives a user control over when or whether to deal with the interruption, thus minimising disruptive effects (McFarlane and Latorella 2002).

Higher levels of concentration or cognitive effort involved in problem-solving tasks exacerbate the disruptive effects of interruptions (Eyrolle and Cellier 2000; Solingen, Berghout, and Latum 1998). Users will experience greater disruptive effects of interruptions to their task performance when they undertake complex tasks, due to their increased stress and inability to integrate a high number of information cues for accurate decisionmaking.

Interruptions can adversely affect tasks that require higher levels of concentration (Solingen, Berghout, and Latum 1998), are more difficult (Gillie and Broadbent 1989), or require greater involvement (Franke, Daniels, and McFarlane 2002).

When interruptions occur during simple tasks, stress increases and attention narrows, resulting in the exclusion of irrelevant information cues thus facilitating decision performance. However, as task complexity increases (Kelton, Pennington, and Tuttle 2010; Li et al. 2011), people's cognitive resources decrease and attention is narrowed, resulting in the exclusion of some information cues that may be needed for completing the task successfully. Adverse effects of these interruptions may occur at different stages (phases) in the task being undertaken.

### 3.1. Interruptions in the anti-malware context

Interruptions from anti-malware software are likely to arise while the user is engaged in other tasks. When anti-malware software issues an update dialog box appears that requires immediate decision-making (like those shown in Figure 1), the level of complexity in the interruption will be an important factor in determining how disruptive the interruption is. If the interruption allowed the user to delay their response to the interruption, the user might better manage their decision-making strategies to suit the current task, thereby minimising disruptive effects to their other work.

The anti-malware update process consists of two principal phases. The first stage is an announcement stage, which presents the user with a message calling them to action. This stage requires the user to understand and process the anti-malware announcement. The second stage is an action stage in which the user elects to obey or disobey the message. This stage requires the user to review their available options, given the content of the announcement, and to select their choice. Responding to an anti-malware dialog box is likely to involve *decision comprehension* (understanding and processing) and evaluation becomes *decision response* (option review and selection).

#### 4. Research model and hypotheses

Synthesising prior literature, the effects of user interruptions will be different when users attend to interruptions immediately or when users negotiate a delayed response to the interruptions. Complex tasks exacerbate the differential effects of both interruption types on users' decision performance. The research posits that immediate interruptions are more disruptive than negotiated interruptions on users' decision performance in complex tasks, but there are no differential effects between both types of interruptions on users' decision performance in simple tasks. Figure 2 shows the research model.

Three hypotheses arose from the theoretical perspectives and are empirically tested in this study. First, interruptions may affect the overall time taken by the user to solve the problem and commit to a course of action (Marsden, Pakath, and Wibowo 2002). During the decision comprehension stage, the interruption may extend the time taken by a participant to identify and understand a problem task (Beynon, Rasmequan, and Russ 2002). This leads to the first major hypothesis concerning task complexity.

H1: Task complexity moderates the effects of immediate interruptions and negotiated interruptions on users' decision comprehension time

Source	Theory Base	Methods	System	Participants	Findings
Solingen, Berghout, and Latum (1998)	Task productivity	Ethnography (Goal / Question / Metrics)	E-mail client	An unspecified number of E-mail users among developers and project managers at two technology companies	Software developers were disrupted with the arrival of e- mails when they are in a state of focus or concentration and they take longer to reestablish their task context
Czerwinski, Cutrell, and Horvitz (2000a)	Relevancy, timing, task and temporal phase	Experiment	Instant messaging (MSN Messenger)	23 experienced Microsoft Office users between the ages of 26–56	Participants take longer to process irrelevant interruptions and it is more difficult to reestablish their task context following the interruption. Participants tended to delay switching to an IM until they had completed a subtask or an action, such as typing search terms
Czerwinski, Cutrell, and Horvitz (2000b)	Memory, timing, task type and task switching	Experiment	Instant messaging (MSN Messenger)	16 experienced Microsoft Office users between the ages of 20–57	The study examined immediate interruptions in simple search tasks. Participants were more susceptible to the disruptive effects of interruptions when evaluating and executing search tasks, where these tasks were less amenable to interruption than others
Cutrell, Czerwinski, and Horvitz (2001)	Information overload, divided attention and memory	Experiment	Instant messaging (MSN Messenger)	16 experienced Microsoft Office users between the ages of 20–57	The study focused on immediate Instant Messages. Participants were reliably slower overall after receiving an instant message, and the cost of the interruption was found to be higher when participants received instant messages earlier in their search tasks. The researchers suggested that the findings could be a result of participants having insufficient time to learn the task prior to receiving a message
Franke, Daniels, and McFarlane (2002)	Task context recovery, immediate and negotiated interruptions	Usability Test (Listen / Communicate / Show Paradigm)	'Galaxy', a researcher- developed dialogue system	An unspecified number of US Marines (field testing)	Participants required assistance from intelligent agents to resume their task context after being interrupted in dialogue. The study noted that future work could focus on task complexity differences
Dabbish and Kraut (2003)	Awareness and task productivity	Experiment	Instant messaging	72 participants assumed the roles of Helper and Asker (2-player communication)	Participants were disrupted by unanticipated instant messages and were distracted through increased awareness of the incoming message that added to their workload and deteriorated overall task performance
Jackson, Dawson, and Wilson (2003)	Task context recovery	Experiment	E-mail client (Microsoft Outlook)	15 employees from an office-supplies technology company	Participants were found to view new e-mails within 6 s upon awareness of the e-mail. The researchers found a significant task recovery delay for participants after they finish reading an e-mail
Speier, Vessey, and Valacich (2003)	Decision-making and Task complexity	Experiment	E-mail and instant messaging	136 undergraduate students	Participants were disrupted in their decision performance by interruptions in complex tasks, while their decision performance was facilitated by interruptions in simple tasks. Study focus was on immediate interruptions only
	Intrusion, responsiveness and	Usability Test	Instant messaging (Trillian Pro)	An unspecified number of IM users and their	Incoming messages are distracting to users while they

Table 2. Prior empirical studies on computer-mediated interruption

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# Table 2. Continued.

Source	Theory Base	Methods	System	Participants	Findings
Avrahami and Hudson (2004)	immediate interruptions			respective IM 'buddy' contacts	are performing tasks and there is a tradeoff between responding to the message and staying on task. The problem of IM disrupting work can be alleviated by increasing the salience of messages that deserve immediate attention, and helping users to decide whether or not to stay on task
Rennecker and Godwin (2005)	Interruptions, personal control, disorganisation	Descriptive model and cross-level approach	Not specific	Not applicable	Synchronous and asynchronous communication modes were contrasted to show how the use of communicative technologies can both facilitate and detract from work organization at the individual level depending upon one's role in the interaction
Bailey and Konstan (2006)	Interruption, annoyance and task completion	Experiment	Variety of HTML-based tasks	50 participants, comprising undergraduates and professionals	Interruption increases task completion time and annoyance. Participant affect and annoyance can be altered depending on timing of interruption
Moe (2006)	Online consumer behaviour and task interruption	Experiment	Informational Web site	83,136 non-registered users over a four-day period	The study showed that within- page delay had an effect on click through rates, with a recommendation to minimize any delaying in showing the pop-up
lqbal and Horvitz (2007a)	Interruption, attention, task switching and notifications	Field Study (DART Monitoring tool)	Email and Instant messaging	27 users over a two-week period	Users prefer to enable alerts to be aware of incoming information, but still control when to switch tasks. The user's ability to perceive the length of time taken when responding to alerts is less than the actual time, with importance and visibility of the suspended task application reducing recovery time
lqbal and Horvitz (2007b)	Interruption, disruption, recovery, conversation and cognitive models	Field Study (DART Monitoring tool)	Outlook and task specific applications	16 users over a two-week period	Conversations cause users to interrupt their current task to participate and embark on other activities. The time until resuming the task depends on duration of the task activity before the interruption, with visibility of the suspended application positively related to faster resumption times
Russell, Purvis, and Banks (2007)	Interruptions, differing tasks/situations and Action Regulation Theory	Interviews	E-mail client (Microsoft Outlook and Lotus Notes)	28 participants from three organisations	Qualitative content analysis found interviewees use a wide range of strategies for dealing with email in general, and adopt specific strategies when the task or situation changes
Bailey and Iqbal (2008)	Interruption effects on workload	Experiment	Proprietary email and document management tools	24 participants	Participants' pupil dilation was monitored. Interruptions have reduced disruptive effects if they coincide with periods of reduced mental exertion. Workload changed during task and subtask completion
Garrett and Danziger (2008)	Interruption, effects on work communication	Survey	Instant Messaging	912 respondents (272 IM users)	Results found IM use does not influence overall levels of work communication. Workers using IM in the workplace report being interrupted less frequently than non-users, and

Source	Theory Base	Methods	System	Participants	Findings
					more frequently engaging in computer-mediated communication, for both work- related and personal communication, than non-IM users
Basoglu, Fuller, and Sweeney (2009)	Interruption, cognitive state, effects on performance	Experiment	Not specific	257 undergraduate students	The frequency of interruptions has a significant negative indirect impact on decision accuracy through cognitive load for tasks accuracy. The interaction between the order of performing tasks of varying complexity and interruption frequency also influences cognitive load, eventually performance
Mano and Mesch (2010)	E-mail features, work performance and side-effects	Secondary analysis of interview data	E-mail client	354 respondents	E-mail communication in organisations carries important information for the completion of jobs, while personal e-mails neither contribute to work performance, nor are they detrimental. Workers check e- mails regularly and these interruptions are positive because they increase the acquisition of work-related information critical for getting the job done
Salvucci and Bogunovich (2010)	Interruptions, multitasking and mental workload	Experiment	E-mail and Instant messaging	20 users	Most of the time users switched to the interrupting task during periods of lower workload rather than during those of higher workload. When interruptions can be deferred, users have a strong tendency to monotask until primary-task mental workload has been minimised
Li et al. (2011)	Interruption frequency, perceived task complexity and user satisfaction	Experiment	Instant messaging (Yahoo! Messenger)	112 respondents	Poly-chronic individuals are more satisfied with the work process deploying interruptive IM technology than monochronic ones. Poly-chronicity moderates the effect of interruption dimensions on an individual's perceived task complexity and process satisfaction
Ou and Davison (2011)	IM use at work and group outcomes	Survey	Instant messaging	253 working professionals	IM use is a significant predictor of work interruption, but it can contribute to communication performance in the workplace, where the benefits overwhelm the negative effects associated with work interruption
Sykes (2011)	Interruptions, employee effectiveness and satisfaction	Observations	Email and Instant messaging	4 employees in the software development company	Participants were spending a considerable portion of their time serving interruptions. Companies should recognise the type and number of interruptions in the workplace and attempt to increase employee effectiveness and satisfaction as well as to reduce the cost of interruptions as methodologies identified in the study
	Task performance based on the degree	Experiment		30 undergraduate students	Participants who experienced an interruption by a task with

# Table 2. Continued.

Source	Theory Base	Methods	System	Participants	Findings
Eatchel, Kramer, and Drews (2012)	of contextual similarity of an interruption		A specialised computer programme developed for the experiment		contextually identical information to the primary task made fewer errors following the completion of the interruption compared to a task with contextually dissimilar information
Marulanda- Carter and Jackson (2012)	E-mail addiction and interruptions	Experiment and survey	E-mail client	7 employees for the experiment and 100 for the survey from a car rental company	E-mail interruptions have a negative time impact upon employees and show that both interrupt handling and recovery time exist. A method to capture addictive characteristics, both clinical and behavioral, in employees' e-mail communication behaviour was presented
Fonner and Roloff (2012)	Connectivity paradox	Online survey	face-to-face, videoconferencing, phone, instant messaging, and email	89 high-intensity teleworkers and 104 office-based employees	The authors propose a model linking the core features of the connectivity paradox to organisational identification, with results indicating that connectivity increases stress from interruptions and indirectly diminishes teleworkers' identification
Wang et al. (2012)	Threaded cognition theory	Experiment	A specialised computer programme developed for the experiment	32 university students	Communicating with a confederate led to a 50% drop in visual pattern-matching performance in the IM condition and a 30% drop in the voice condition. Visual fixations on pattern-matching were fewer and shorter during the communication task and a greater loss of fixations was found in the IM condition than the voice condition. The results suggest that distributing the work between the audio and visual channels reduces performance degradation
Mansi and Levy (2013)	Distraction conflict theory	Experiment	A specialised e-learning task developed for the experiment	60 knowledge workers at a single institution	This study found that the time to complete a task for simple- spatial and complex-spatial of tasks are significantly affected by instant messaging interruptions
Adler and Benbunan- Fich (2013)	Flow Theory and Self- regulation Theory	Experiment	A specialised Sudoku computer programme developed for the experiment	212 undergraduate US university students	This paper reports findings that negative feelings trigger more self-interruptions than positive feelings, and in general, more self-interruptions result in lower accuracy in all tasks. Furthermore, the results suggest that negative internal triggers of self-interruptions unleash a downward spiral that may degrade performance
Ou, Sia, and Hui (2013)	Social network theory	Social network analysis	Microsoft Sharepoint portal	51 Global Bank employees	Both Email and IM use at work individually impact communication process, interactivity and relationship network, which are the antecedents that impact work performance. The social networks analysis suggests a linkage between using IM at work and the high level of degree and high level of closeness

Source	Theory Base	Methods	System	Participants	Findings
Gupta, Li, and Sharda (2013)	Distraction conflict theory	Experiment	Supply chain tasks interrupted by Yahoo messenger	112 US university students	This paper reports that the effect of interruption on primary task completion time is dependent upon the hierarchical level of the message sender. Interruptions from a supervisor were found to reduce primary task completion time, whereas interruptions from a peer increased primary task completion time. On the other hand, interruptions from a supervisor aggravated the negative impact of interruptions on task quality
Chen and Karahanna (2014)	Cross-domain interruptions (personal and work)	Field study	Not specific	137 knowledge workers of a Fortune 1000 technology firm	This study identified asymmetric effects for Work-to-Nonwork and Nonwork-to-Work interruptions on work and personal life. The frequency of WTN interruptions is found to be positively associated with work-life conflict and negatively associated with fulfilment of personal life responsibilities, whereas the frequency of NTW interruptions significantly affects fulfilment of work responsibilities but not work-life conflict
Lee, Son, and Kim (2016)	Person-environment fit model of stress and Transactional theory of stress and coping	Survey (online and offline)	Social networking services	201 South Korean university students	Information, Communication, and System Feature overload were significant stressors that influence SNS fatigue. The characteristics of the SNS system significantly influenced the features of system overload, while information equivocality positively influenced information overload. However, information relevance was not a significant predictor of information overload and equivocality was not a significant predictor of
Levy, Rafaeli, and Ariel (2016)	Media richness theory	Online simulation experiment	A specialised computer programme developed for the experiment	120 undergraduate social sciences students	communication overload This paper finds a significant effect of the richness of the message on cognitive performance quality, and the main effect of medium. Furthermore, required compensation time was greater among the groups using mobile phones with tasks performed with a mobile phone requiring more time than with the Internet application, and the mobile phone with MMS group had the longest recovery time of all the test groups
Stich et al. (2017)	Effect of computer- mediated communication use on workplace stress	Qualtrics survey panel	Email, video conferencing, audio conferencing or phone calls and instant messaging	504 full-time US workers	the test groups Interruptions arising from computer mediated communications tools contribute to employee stress in the workplace, particularly for electronic mail. Qualitative evidence suggested a variety of computer-mediated tools

Table 2. Continued.

Source	Theory Base	Methods	System	Participants	Findings
					could have an effect if the employer desired their use
Stich, Tarafdar, and Cooper (2018)	Technostress	Conceptual			This paper reports an overview of current research and practice in technostress related challenges facing workplace communication. These manifest in the forms of technology overload, interruptions and work-home interferences. The authors surmise that organisations have to strike a balance between giving employees the technology they want and protecting them
Addas and Pinsonneault (2018)	Coordination theory used to develop multilevel theory for work interruptions	Conceptual			The paper examines literature to suggest that interruptions that target individuals can also affect other group members through various ripple effects and a cross-level direct effect. It also discusses how the usage of five communication technology capabilities during interruption episodes can moderate the impact of interruptions at the individual and group levels

Interruptions also may lead to reduced efficiency and increased error rates (Hodgetts and Jones 2003; McFarlane 2002). During the decision response stage where the user reviews available options and makes their final selection, we posit that an interruption will lead to increased decision response time (Hypothesis 2).

H2: Task complexity moderates the effects of immediate interruptions and negotiated interruptions on users' decision response time

We next posit that an interruption will reduce decision accuracy. This leads to our third hypothesis:

H3: Task complexity moderates the effects of immediate interruptions and negotiated interruptions on users' decision accuracy

#### 5. Research design and method

As in prior studies of interruption, we used a controlled laboratory experiment to test our hypotheses. As in prior studies of anti-malware, we then used a survey for posthoc testing. Details of participants, measures and procedure are provided below.

#### 5.1. Participants

A sample size of 40 participants (Cohen's d = 0.8,  $\alpha$  = 0.05, power level 1- $\beta$  = 0.8) was calculated to be sufficient and similar to previous experiments (e.g. McFarlane 1998).

To ease cognitive dissonance and provide a more pragmatic experience for participants (Kim, Barua, and Whinston 2002), each participant received a fixed \$10 cash payment as recompense for their time, of which they were aware before attending the experiment.

The 25 male and 15 female participants were between the ages of 18 and 37, with a mean age of 22 years. All participants were undergraduate students studying Information Systems, with skills ranging from intermediate to expert usage of computers and reasonable proficiency with computing tasks and general application software such as email.

Each participant was randomly assigned to one of four treatment order groups. Each of the four treatments was administered with a discrete combination of the two independent variables: interruption type (immediate and negotiated) and task complexity (simple and complex). Participants received all four treatments, and their decision performance was measured under the four treatment conditions. As shown in Table 3, a Kruskal–Wallis test revealed no significant differences in gender, age, level of proficiency and degree of involvement in computing tasks among participants across the treatment conditions.

#### 5.2. Materials and measures

The tasks were performed on a standard PC (LCD monitor, keyboard and mouse) to emulate a standard home or office operating environment. The tasks were run in a





Figure 1. Example interruption dialog boxes from anti-malware software.

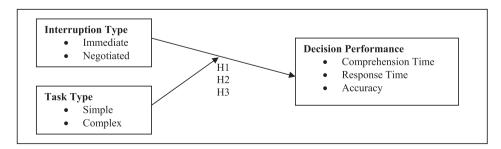


Figure 2. Research model.

		Т	reatment	order grou	р
Variables		1	2	3	4
Number of participants		10	10	10	10
Male / female proportion		8 / 2	5 / 5	6 / 4	6 / 4
Age of participants	Mean Std. Dev.	24.5 (5.6)	23.8 (4.0)	20.9 (3.8)	20.5 (2.2)
Degree of daily	Mean	4.2	4.7	4.3	4.5
computer usage	Std. Dev.	(1.0)	(0.5)	(0.9)	(1.0)
Level of proficiency	Mean	3.5	3.9	3.6	3.3
with computing tasks	Std. Dev.	(0.7)	(1.1)	(0.7)	(0.7)
Degree of e-mail	Mean	4.6	4.3	4.2	4.7
usage	Std. Dev.	(0.5)	(0.7)	(0.6)	(0.7)
Level of proficiency	Mean	4.4	3.9	4.4	4.0
with basic e-mail functions	Std. Dev.	(0.7)	(1.1)	(0.5)	(0.8)
Degree of instant	Mean	3.2	3.6	3.6	3.0
messaging usage	Std. Dev.	(2.0)	(1.3)	(1.2)	(1.6)
Level of proficiency	Mean	3.5	3.5	2.9	3.2
with instant messaging functions	Std. Dev.	(1.2)	(1.3)	(1.4)	(1.3)
Degree of being	Mean	3.0	2.8	3.7	3.2
negatively affected by interruptions	Std. Dev.	(1.2)	(0.8)	(0.8)	(1.2)
Degree of effort in	Mean	3.8	3.3	3.2	3.4
avoiding interruptions when working	Std. Dev.	(1.2)	(1.0)	(1.1)	(1.2)
Level of proficiency	Mean	2.8	2.9	2.7	3.2
with multi-tasking	Std. Dev.	(1.0)	(1.0)	(0.9)	(0.6)

**Table 3.** Means and standard deviations for participants' demographics across order groups.

single browser window in the centre of the screen. Each interruption was triggered as a pop-up window in the style of an anti-virus update notification. The script was used to control how far the participant could progress before fully completing the current set of tasks. A script captured participant responses to a database unobtrusively in the background.

For the exit survey, we adapted measures of decision quality from prior research, such as comprehension and response time (Bailey, Konstan, and Carlis 2001; Burmistrov and Leonova 2003; McFarlane 2002), and decision accuracy (Eyrolle and Cellier 2000). As shown in Table 4, questions required the respondent to rank the conditions from easiest to hardest at different stages of the decision.

#### 5.3. Design

A two factor, within-subjects Latin squares experimental design was selected because the dependent variables are measured repeatedly on the same participant under each of the different treatment conditions, thereby reducing error variance due to individual differences. This design also increases statistical power for a given number of subjects compared to a between-subjects design. Table

Table 4. Exit survey decision quality measures and questions.

Decision quality measure	Decision stage	Question
Preference (4 = most preferred, 1 = least preferred)	Comprehension	When you were at the phase of reading a question, could you rank the conditions, by how well you liked or preferred them?
	Responding	When you were at the phase of answering a question, could you rank the conditions, by how well you liked or preferred them?
Ease of Control (4 = easiest to control, 1 = hardest to control)	Comprehension	When you were at the phase of reading a question, could you rank the conditions, by how easily they allowed you to control your response?
	Responding	When you were at the phase of answering a question, could you rank the conditions, by how easily they allowed you to control your response?
Feeling of Interruption (4 = most interruptive, 1 = least interruptive)	Comprehension	When you were at the phase of reading a question, could you rank the conditions, by how interrupted you felt while responding to it?
	Responding	When you were at the phase of answering a question, could you rank the conditions, by how interrupted you felt while responding to it?
Feeling of Distraction (4 = most distracted, 1 = least distractive)	Comprehension	When you were at the phase of reading a question, could you rank the conditions, how deeply involved were you in reading when interrupted?
	Responding	When you were at the phase of answering a question, could you rank the conditions, how deeply involved were you in answering when interrupted?
Complexity of Task Resumption (4 = most complex, 1 = least complex)	Comprehension	When you were at the phase of reading a question, could you rank the conditions, by how complex the question was likely to be when you had to resume reading after being interrupted?
	Responding	When you were at the phase of answering a question, could you rank the conditions, by how complex the question was likely to be when you had to resume answering after being interrupted?

5 shows the diagram-balanced Latin squares ordering used as the counterbalanced grouping scheme in this experiment for simple and complex tasks and immediate and negotiated interruptions. This ordering was chosen

 Table 5. Counterbalanced grouping scheme used in the experiment.

	Treatment condition order							
	First	Second	Third	Fourth				
Group 1	SI	SN	CI	CN				
Group 2	SN	SI	CN	CI				
Group 3	CI	CN	SI	SN				
Group 4	CN	CI	SN	SI				

Note: SI = Simple Task, Immediate Interruption; SN = Simple Task, Negotiated Interruption; CI = Complex Task, Immediate Interruption; CN = Complex Task, Negotiated Interruption.

because it ensures that each condition follows every other condition exactly once, thereby controlling for possible carryover effects (Brooks 2012).

#### 5.3.1. Tasks

As in prior interruption research (e.g. Hodgetts and Jones 2007), we used process backtracking (Xia and Sudharshan 2002), and recursive reasoning (Anderson, Albert, and Fincham 2005) to authentically simulate user situations where cognitive load requirements are high, such as programming and business process analysis.

We presented each participant with a sequence of twelve question and answer-based tasks, comprising six simple and six complex tasks. Each task involved three discrete shapes and participants were given instructions to change the order of the shapes. After Bonner's (1994) definitions of task complexity, each simple task involved examining at least four information cues (two pairs) and required two transpositions during the comprehension process (Figure 3). Each complex task involved examining at least eight information cues (four pairs) and required four transpositions during the comprehension process (Figure 4). Both simple and complex tasks involved analysing six decision options during the decision response period (Figure 5).

Each task was presented to the participant on a new page. By default, each task had a duration limit of 2.5 min, with a maximum total completion time of 30 min for all tasks. Before commencement, each participant practiced two trial tasks to familiarise themselves with the cognitive requirements of the tasks. Task complexity was increased with the number of information cues about shape order (Jarvenpaa 1990) and number of consecutive transpositions required (Russell, Clark, and Stepney 2003). Participants were not able to write down or otherwise record the stages of their problem solving and so had to manage these information cues and transpositions mentally.

#### 5.3.2. Interruptions

Interruptions were intermittently introduced while participants worked on their primary task. Immediate interruptions were administered as a pop-up window in the form of a modal dialog box in the style of an antimalware notification, appearing without warning and positioned above the primary task. Negotiated

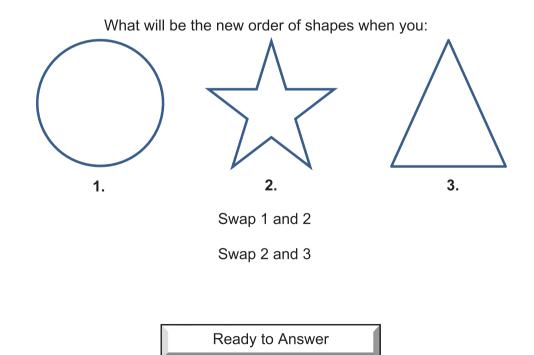


Figure 3 . Simple primary task during the decision comprehension process.

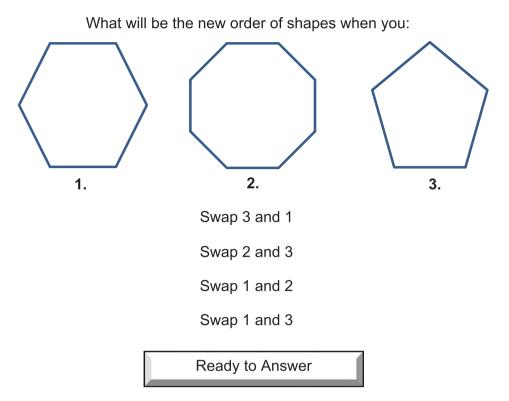


Figure 4. Complex primary task during the decision comprehension process.

Which of the following options is your answer?

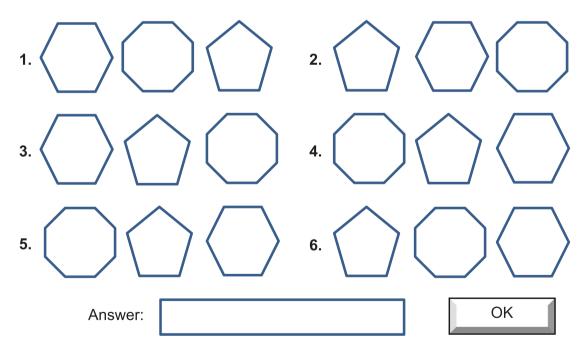


Figure 5 . Complex primary task during the decision response process.

interruptions were administered as a pop-up window positioned at the bottom right corner of the screen. Participants responded to a notification by clicking the hypertext link, which closed the notification window automatically, and displayed a new message window on top of the primary task that the participant was working on. These simulated messages reflected the realism of interruptions from modern anti-malware software.

Both interruption types involved arithmetic tasks similar to the mental-arithmetic problems in prior interruptions studies (Gillie and Broadbent 1989), that place large demands on working memory (Seitz and Schumann-Hengsteler 2000) and can elicit the disruptive effects of user interruptions on task performance. Therefore, calculators were not permitted but the practice tasks and a pilot study were used ensure the mental arithmetic requirements were achievable. The interruption task required participants to add some two-digit numbers, with the numbers coded as letters. To decode the problem, a random displacement value (between two and nine) was given within the message body, indicating which letter represented zero for that task (for example, with a displacement value of two, letter B = 0, C = 1, D =2, and so on). This random displacement value eliminated learning effects by ensuring that participants could not expect to dismiss each interruption with the same answers. The value range ensured a degree of comparative complexity. The alphabet was displayed in upper-case letters within the message body throughout the interruption task. Participants were required to enter the answer to the problem in digits, and were not expected to recode the answer into letters (Gillie and Broadbent 1989).

The pop-up dialog box featured a similar style to antimalware dialog boxes that the user might encounter in an operational environment, but without being too similar to any existing anti-malware application so as not to bias responses. Figure 6 shows an example of this interruption task.

Participants were compelled to respond to messages immediately without delay, but they were given control over their responses to notifications. Both windows were identical in visual appearance, to eliminate extraneous variables that might influence task performance due to visual differences. After an interruption task was completed, the message window closed automatically, and participants were returned to the primary task that they were working on.

#### 5.3.3. Integrating tasks and interruptions

Interruptions were arbitrarily timed to occur five seconds into the decision comprehension process for simple tasks and 10 s for complex tasks. During the decision response process, participants were engaged in the stages of processing and output, as they analyse the decision options presented to them. Here, interruptions were timed to occur one second into the decision response process for the primary tasks to potentially interrupt before a selection was made. As both comprehension and response processes for problem tasks were displayed as separate pages, these timings appropriately reflect the amount of cognitive processing required by participants at the input, processing and output stages of information processing, and ensured that participants had sufficient time to be involved in the problem tasks for the interruptions to affect them.

Figure 7 summarises the problem tasks, illustrating how participants received their task sequences: four treatment conditions in order, with eight of the tasks administered with the treatments while four problem tasks as base-case control.

# 5.4. Procedure

The experimental tasks and treatment conditions were pilot-tested and refined using 15 participants, to verify task comprehension and relevance. Most pilot participants managed to perform the tasks within the default



Figure 6. Interruption task by a pop-up message.

Group 1	1	SI <sub>1</sub> - SI <sub>2</sub> - SI <sub>3</sub> - SN <sub>1</sub> - SN <sub>2</sub> - SN <sub>3</sub> - CI <sub>1</sub> - CI <sub>2</sub> - CI <sub>3</sub> - CN <sub>1</sub> - CN <sub>2</sub> - CN <sub>3</sub>				
Group 2	2	SN1 - SN2	- SN	N3 - SI1 - SI2 - SI3 - CN1 - CN2 - CN3 - CI1 - CI2 - CI3		
Group 3	up 3 Cl <sub>1</sub> - Cl <sub>2</sub> - Cl <sub>3</sub> - CN <sub>1</sub> - CN <sub>2</sub> - CN <sub>3</sub> - Sl <sub>1</sub> - Sl <sub>2</sub> - Sl <sub>3</sub> - SN <sub>1</sub> - SN <sub>2</sub> - SN <sub>3</sub>					
Group 4	1	CN1 - CN2	- CN	N3 - CI1 - CI2 - CI3 - SN1 - SN2 - SN3 - SI1 - SI2 - SI3		
Condition	Con	tent	Pro	ocedure		
SI		ple Task / Immediate	1.	A primary task with no interruptions.		
	Inter	rruption	2.	A primary task that was interrupted with an immediate		
				interruption that occurred 5 seconds into the comprehension		
				process of the task.		
			3.	A primary task that was interrupted with an immediate		
				interruption that occurred 1 second into the response		
				process of the task.		
SN		ple Task / Negotiated	1.	A primary task with no interruptions.		
	Inter	rruption	2.	A primary task that was interrupted with a negotiated		
				interruption that occurred 5 seconds into the comprehension		
				process of the task.		
			3.	A primary task that was interrupted with a negotiated		
				interruption that occurred 1 second into the response		
				process of the task.		
CI		nplex Task / Immediate rruption	1.	A primary task with no interruptions.		
		naption	2.	A primary task that was interrupted with an immediate		
1				interruption that occurred 10 seconds into the		
				comprehension process of the task.		
			3.	A primary task that was interrupted with an immediate		
				interruption that occurred 1 second into the response		
	0.00	oplass Table / Nametictad		process of the task.		
CN		nplex Task / Negotiated rruption	1.	A primary task with no interruptions.		
			2.	A primary task that was interrupted with a negotiated		
				interruption that occurred 10 seconds into the		
				comprehension process of the task.		
			3.	A primary task that was interrupted with a negotiated		
				interruption that occurred 1 second into the response		
				process of the task.		

Figure 7. Task sequences, task treatment order and description of treatment conditions.

timings. Participants reported that the interruption task was too difficult, and the results of the pilot test showed that participants committed a relatively high mean error rate in the interruption task (*Mean* = 3.7, *s.d.* = 2.5). The level of difficulty of the interruption task had to be contrived so that it was complex enough for participants to feel disrupted when they attend to it, but not overly complex as to cause participants to despair of performing well (McFarlane 2002). The two problem task complexity levels were therefore deemed appropriate for manipulating task complexity and the instructions for participants were reworded for clarity.

The experiment was conducted in an isolated unallocated academic office to remove potential environmental distractions (McFarlane 2002). Participants attended one at a time, and each participant signed a consent form before commencing. Participants were briefed on what the research involved and what would be done with their data after the experiment. Participants completed an entrance questionnaire before commencement, documenting their personal demographics, educational background, proficiency and degree of involvement in computing tasks.

Participants were given written instructions containing pictorial examples of the primary and interruption tasks, as well as a description of the four treatment conditions. The treatment conditions were labelled with letters A to D so as not to imply numerical ranking (McFarlane 2002). Participants performed the primary tasks and interruption tasks with numeric key-presses and proceeded along each task with a mouse-click. On finishing, participants completed an exit questionnaire to record their perceptions on the treatment conditions.

#### 6. Analysis of data

A repeated measures, two-factor Analysis of Variance (ANOVA) was used to analyse the data using interruption type and primary task complexity as within-subjects factors. For significant interactions, a post hoc analysis of the main effects using a repeated measures one-factor ANOVA was used to assess the effects of interruption type on each level of primary task complexity. Effect sizes for ANOVA analyses are reported using partial  $\eta^2$  (Cohen 1973; Richardson 2011), which indicates the percentage of variance in the dependent variable that is attributable to the independent variable, and Cohen's *f* (Cohen 1988). Cohen's *f* values of 0.10, 0.25, and 0.50 (or greater) and Partial  $\eta^2$  values of 0.01, 0.06, and 0.14 (or greater) suggest small, medium, and large effect sizes, respectively (Richardson 2011).

Without interruptions, participants took an average of 18.01 sec (*s.d.* = 5.77 sec) to comprehend simple primary tasks, and 42.82 sec (*s.d.* = 12.84 sec) to comprehend complex primary tasks. Without interruptions, participants took an average of 6.81 sec (*s.d.* = 1.83 sec) for decision responses in simple primary tasks, and 6.21 sec (*s.d.* = 2.07 sec) in complex primary tasks. Without interruptions, participants had an average decision error rate of 0.15 in 40 for simple primary tasks and 0.7 in 40 for complex primary tasks. Table 6 presents the means and standard deviations of decision comprehension time, decision response time and decision accuracy across the treatments, with graphical representations shown in Figure 8.

Table 6 shows a difference of 0.4% between immediate and negotiated interruptions on participants' mean

**Table 6.** Means and standard deviations of comprehension time, response time and accuracy (n = 40).

			Interruption type				
		Imm	ediate	Neg	otiated		
		Mean	St. Dev.	Mean	St. Dev.		
Decision comprehension time (seconds)	Simple tasks	20.20	6.65	20.27	6.01		
	Complex tasks	55.72	16.78	46.77	14.36		
Decision response time (seconds)	Simple tasks	9.10	5.46	7.70	3.70		
	Complex tasks	8.57	3.69	6.37	2.23		
Decision accuracy (no. of errors)	Simple tasks	0.27	0.55	0.22	0.53		
	Complex tasks	0.70	0.82	0.40	0.70		

decision comprehension time for simple primary tasks, but a difference of 19.1% between the interruption effects on mean decision comprehension time for complex primary tasks. There was a difference of 18.2% between the interruption effects on mean decision response time for simple primary tasks, and a 34.5% difference between the interruption effects on mean decision response time for complex primary tasks. Mean decision response time was extended for immediate interruptions compared to negotiated interruptions for both simple and complex primary tasks. There was a difference of 22.2% between the interruption effects on mean decision accuracy for simple primary tasks, and a difference of 75% between the interruption effects on mean decision accuracy for complex primary tasks.

Table 7 shows the analysis of the interruption effects on decision comprehension time, decision response time and decision accuracy across primary task complexity. A significant interaction effect ( $F_{1,39} = 9.811$ , p =0.003, Partial  $\eta^2 = 0.20$ , f = 0.46) was found between primary task complexity and interruption type, which indicated that the effect of interruption type on decision comprehension time depended on the primary task complexity. For decision response time, no interaction effect  $(F_{1,39} = 0.519, p = 0.475, \text{Partial } \eta^2 = 0.01, f = 0.00)$  was found between primary task complexity and interruption type. H2 was therefore rejected. For decision accuracy, a significant interaction effect ( $F_{1,39} = 4.149$ , p = 0.048, Partial  $\eta^2 = 0.09$ , f = 0.28) was found between primary task complexity and interruption type, which indicated that the effect of interruption type on decision accuracy was affected by primary task complexity.

The significant interaction effect permits a post hoc analysis on the main effects of interruption type on decision comprehension time and decision accuracy for each level of primary task complexity (see Table 8). For decision comprehension time, there was no significant difference between the interruption effects and decision comprehension time in simple tasks ( $F_{1,39} = 0.004$ , p = 0.951, Partial  $\eta^2 = 0.01$ , f =0.00). The analysis revealed a significant increase in decision comprehension time ( $F_{1,39} = 11.594$ , p =0.002, Partial  $\eta^2 = 0.22$ , f = 0.50) as participants received immediate interruptions compared to negotiated interruptions in complex primary tasks. H1 was therefore accepted. For decision accuracy, there was no significant difference between the interruption effects in simple primary tasks ( $F_{1,39} = 0.494$ , p =0.486, Partial  $\eta^2 = 0.02$ , f = 0.00), but there was a significant decrease in decision accuracy ( $F_{1,39} = 6.882$ , p = 0.012, Partial  $\eta^2$  = 0.14, f = 0.37) when participants immediate interruptions compared received to

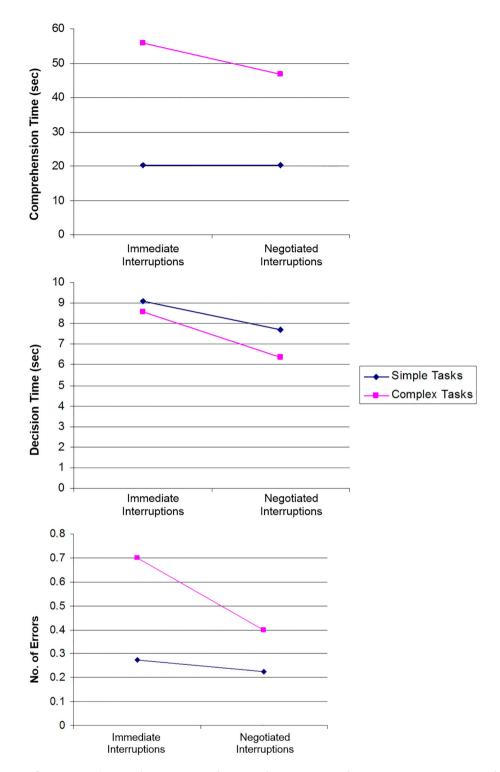


Figure 8. Variations of participants' mean decision comprehension, decision time and accuracy across experimental treatments.

negotiated interruptions in complex primary tasks. H3 was therefore accepted.

#### 7. Tests of subjective effects

The subjective measurements were derived from participants' rankings of the treatment conditions based on their preference, ease of control, feeling of interruption, distraction and complexity of primary task resumption in the exit questionnaire. A one-way ANOVA was used to analyse the rankings of the treatment conditions for each level of primary task complexity during the comprehension process and decision-making process, to determine whether participants' perceptions of the

<b>Table 7.</b> Repeated measures ANOVA results for comprehension time, response time and accuracy with interruptions across primary task
complexity.

Decision variable	Effect	Source of variation	Sum of squares	Mean of squares	F	<i>p</i> -val.	<i>F</i> Crit.	Partial $\eta^2$	Cohen's f
Comprehension	Main effect	Task complexity	38471.00	38471.00	178.01	0.00	4.08	0.82	2.07
time		Interruption type	787.65	787.65	9.24	0.04	4.08	0.19	0.44
	Interaction effect	Task complexity × interruption type	814.50	814.50	9.81	0.003	4.08	0.20	0.46
Response time	Main effect	Task complexity	34.22	34.22	2.53	0.119	4.08	0.06	0.19
		Interruption type	129.60	129.60	16.12	0.000	4.08	0.29	0.60
	Interaction effect	Task complexity × interruption type	6.40	6.40	0.51	0.475	4.08	0.01	0.00
Decision accuracy	Main effect	Task complexity	3.60	3.60	7.84	0.008	4.08	0.17	0.40
,		Interruption type	1.22	1.22	5.77	0.021	4.08	0.13	0.34
	Interaction effect	Task complexity × interruption type	0.62	0.62	4.14	0.048	4.08	0.09	0.28

Table 8. Post-hoc analysis of main effects for comprehension time and decision accuracy.

Decision variable	Source of variation	Sum of squares	Mean of squares	F	P-value	F Crit.	Partial $\eta^2$	Cohen's f
Comprehension time	Simple tasks $ imes$ interruption type	0.11	0.112	0.00	0.951	3.96	0.01	0.00
	Complex tasks $\times$ interruption type	1602.05	1602.5	11.59	0.002	3.96	0.22	0.50
Accuracy	Simple tasks × interruption type	0.05	0.05	0.49	0.486	3.96	0.02	0.00
	Complex tasks $\times$ interruption type	1.80	1.80	6.88	0.012	3.96	0.14	0.37

interruption effects were consistent with the experimental findings. Table 9 presents the results of this testing, showing the outcomes of the subjective decision variables for each decision component.

The analysis revealed significant differences in participants' perceptions of distraction and interruption, ease of control and complexity of task resumption after immediate interruptions compared to negotiated interruptions in both simple and complex primary tasks during both the decision comprehension and response stages. The analysis also revealed significant differences in participants' rankings of these constructs for immediate and negotiated interruptions in both simple and complex primary tasks during the decision response stage. These findings corroborate the experimental process and results. As shown in Table 10, participants reported that negotiated interruptions mitigate their feelings of distraction and interruption, allow for greater ease of control and alleviated the complexity of primary task resumption compared to immediate interruptions.

Table 9. One-way ANOVA results for participants' rankings for subjective effects.

Subjective variable	Source of variation	Sum of squares	Mean of squares	F	P-value	F Crit.	Partial $\eta^2$	Cohen's f
Preference								
Comprehension process	Simple tasks $\times$ interruption type	2.45	2.45	2.59	0.111	3.96	0.06	0.19
	Complex tasks × interruption type	12.01	12.01	13.58	<i>p</i> < 0.001	3.96	0.25	0.55
Response process	Simple tasks $\times$ interruption type	15.31	15.31	16.23	<i>p</i> < 0.001	3.96	0.29	0.60
	Complex tasks × interruption type	13.61	13.61	13.39	<i>p</i> < 0.001	3.96	0.25	0.54
Ease of control								
Comprehension process	Simple tasks $ imes$ interruption type	24.2	24.2	30.56	<i>p</i> < 0.001	3.96	0.43	0.84
	Complex tasks × interruption type	14.45	14.45	17.75	<i>p</i> < 0.001	3.96	0.31	0.63
Response process	Simple tasks $\times$ interruption type	17.11	17.11	20.99	<i>p</i> < 0.001	3.96	0.34	0.69
	Complex tasks × interruption type	30.01	30.01	39.89	<i>p</i> < 0.001	3.96	0.50	0.97
Feeling of interruption								
Comprehension process	Simple tasks $ imes$ interruption type	24.20	24.20	42.9	<i>p</i> < 0.001	3.96	0.52	1.01
	Complex tasks × interruption type	27.61	27.61	56.86	<i>p</i> < 0.001	3.96	0.59	1.16
Response process	Simple tasks $\times$ interruption type	39.20	39.20	68.25	<i>p</i> < 0.001	3.96	0.63	1.28
	Complex tasks × interruption type	22.05	22.05	31.88	<i>p</i> < 0.001	3.96	0.44	0.86
Feeling of distraction								
Comprehension process	Simple tasks $\times$ interruption type	13.61	13.61	29.84	<i>p</i> < 0.001	3.96	0.43	0.83
	Complex tasks × interruption type	24.20	24.20	83.52	<i>p</i> < 0.001	3.96	0.68	1.41
Response process	Simple tasks $\times$ interruption type	7.20	7.20	9.05	0.004	3.96	0.18	0.44
	Complex tasks × interruption type	9.80	9.80	12.05	<i>p</i> < 0.001	3.96	0.23	0.51
Complexity of task resum	ption							
Comprehension process	Simple tasks $\times$ interruption type	19.01	19.01	34.34	<i>p</i> < 0.001	3.96	0.46	0.90
	Complex tasks $\times$ interruption type	23.11	23.11	46.13	<i>p</i> < 0.001	3.96	0.54	1.04
Response process	Simple tasks $\times$ interruption type	23.11	23.11	54.01	<i>p</i> < 0.001	3.96	0.58	1.13
	Complex tasks $\times$ interruption type	30.01	30.01	88.42	, p < 0.001	3.96	0.69	1.46

Table 10. Mean	participant	rankings	of treatment	conditions.

		Sim	ple tasks	Compl	ex tasks
	Process stage	Immediate interruption	Negotiated interruption	Immediate interruption	Negotiated interruption
Preference (4 = most preferred, 1 = least preferred)	Comprehension	2.8	3.2	1.5	2.5
	Response	2.4	3.3	1.6	2.7
Ease of control $(4 = easiest to control, 1 = hardest$	Comprehension	2.5	3.6	1.5	2.4
to control)	Response	2.5	3.4	1.4	2.6
Feeling of interruption $(4 = most interruptive, 1 =$	Comprehension	2.4	1.2	3.7	2.6
least interruptive)	Response	2.8	1.2	3.6	2.5
Feeling of distraction $(4 = most distracted, 1 = least$	Comprehension	2.1	1.2	3.8	2.6
distractive)	Response	2.2	1.6	3.6	2.6
Complexity of task Resumption (4 = most complex,	Comprehension	2.3	1.3	3.6	2.6
1 = least complex)	Response	2.3	1.2	3.8	2.5

### 8. Conclusions

Anti-malware software must be kept up to date in order to be effective. Notifications of anti-malware software updates can disrupt a user's tasks and thought processes. We simulated a personal desktop processing environment to investigate whether immediate interruptions are more disruptive than negotiated interruptions to users' decision-making performance.

The results showed that both immediate and negotiated interruptions disrupt user's decision processes and outcomes. Immediate interruptions exhibited poorer decision performance than negotiated interruptions for decision comprehension time (H1 is supported) and decision accuracy (H3 is supported), but not in decision response time (H2 is not supported). Task complexity exacerbated these negative effects. The results also suggest that these disruptive effects are mitigated when users can negotiate when or whether to deal with the interruptions. Subjective effects in post-hoc analysis also indicate that negotiated interruptions were perceived to be less disruptive, affording both control over interruption effects and mitigation of task complexity. Importantly, because respondents had only 20 min to complete the exercise, it can be seen that these outcomes appear even within a short period of time. Table 11 summarises the experimental findings for each decision variable.

Practically, the results indicate that immediate interruptions disrupt and degrade users' decision efficiency and accuracy in complex decision processes. Users also found negotiated interruptions to be more desirable than immediate interruptions in their decision processes. Anti-malware application designers should incorporate features that enhance existing negotiation mechanisms. The findings suggest that if anti-malware software manufacturers want users to make good decisions regarding matters of endpoint security, they should allow users to negotiate anti-malware updates and notices. Although this finding may seem counter-intuitive, we argue that this poorer decision-making with regard to endpoint security might undermine the user's ability to make other decisions regarding the security of their desktop environment. Forcing a user to update without considering the implications of this update may undermine the user's ability to make other decisions regarding endpoint security.

More broadly, a key implication of this work is that forcing users to update their anti-malware software immediately in effect replicates the pressure techniques employed by malware authors (Symantec Labs 2017) to compel users to make bad endpoint security decisions. An extension of our findings is that while denying users the ability to negotiate their anti-malware update might result in more immediate operational security, the user's decision-making ability suffers. As malware

Table 11.	Summary	of	findings	from	the	experiment.

Hypothesis	Variable	Finding	Significance	Effect size interpretation
H1	Comprehension time	An interaction effect was found between primary task complexity and interruption type For simple tasks, there was no differential effect between immediate and negotiated types of interruptions on participants' comprehension time	Significant (p < 0.01)	Large Large
		For complex tasks, immediate interruptions increase participants' comprehension time compared to negotiated interruptions		Large
H2	Decision time	No interaction effect was found between task complexity and interruption type	Not significant	None
H3	Decision accuracy	An interaction effect was found between task complexity and interruption type	Significant	Medium
		For simple tasks, there was no differential effect between immediate and negotiated types of interruptions on participants' decision accuracy	(p < 0.05)	Medium
		For complex tasks, immediate interruptions decrease participants' decision accuracy compared to negotiated interruptions		Large

attacks are becoming more sophisticated, it is likely to be increasingly important to ensure that users feel sufficiently empowered to make good endpoint security decisions that is compatible with their primary task commitments. By allowing users to negotiate their anti-malware response, our evidence shows that users feel greater ease of control and lower feelings of distraction and interruption.

A theoretical implication of this research is that task complexity negatively moderates the differential effects between immediate and negotiated types of interruptions on decision efficiency and accuracy. The differential effects between both interruption types widen as cognitive task complexity increases, across decision stages. These findings point to the importance of task complexity as a factor in explaining the differential effects between immediate and negotiated types of user interruptions on users' decision performance. The findings suggest that interruption effects should not be studied independently of task complexity.

Another implication of this research is that the disruptive effects of immediate interruptions on users' efficiency and accuracy for complex decision processes can be mitigated by the use of negotiated interruptions. The findings show that it should be possible to alleviate the burden on users' cognitive limitations with negotiated interruptions, thereby mitigating reduced efficiency and accuracy of their decision performance that might result from these interruptions. This implication reinforces the notion that negotiated interruptions are more desirable for users in complex situations than immediate interruptions.

The study may be open to two limitations. Although the use of controlled experimentation permitted reliable inferences to be made about the findings, the increased control afforded by a laboratory experiment was weighed against limitations of realism and generalizability of problem tasks. Although the tasks were aimed at maximising the external validity by defining the way in which users are cognitively engaged (Bonner 1994), the generalizability is limited to where an individual systematically engages their cognitive ability in decision processes. In a realworld setting, tasks and decision processes may not be as well-defined as in the experiment, thereby requiring varying levels of cognitive processing by users. Second, we did not impose any skill requirements on participants beyond their technology ability (Plumlee 2002). Users with greater skepticism or risk aversion may approach updating their anti-malware software differently.

The findings suggest several avenues for future research. First, future research could examine the effects of the task resumption process that is experienced by users following an interruption in order to identify the

optimal time at which to remind users of their anti-malware updates. In particular, study of the resumption process would provide understanding of the precursors to the disruptive effects. It would also be valuable to examine how and why users would utilise the negotiation mechanism afforded by task-relevant interruptions and whether there are subsequent effects on their decision processes and outcomes. Second, it would be interesting to examine the potential effect of security interruption alerts on user anxiety and mental wellbeing, particularly in the context of ongoing workflow. Research in this space could also examine the degree to which users are avoiding flow disruption by acquiescing to endpoint security notifications. A third area for future research could involve understanding security interruptions across user modalities in different device contexts. If mobile devices afford the user a greater variety of task environments than personal computers, then it is also possible that users exhibit different security-related avoidance/conformance behaviours. We selected a modal window design to reflect a general endpoint security software dialogue box. However, changes to the appearance of these dialogue boxes as a result of operating system updates may have an effect on user propensity to comply with or defy the instruction.

#### **Disclosure statement**

No potential conflict of interest was reported by the author(s).

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