# Significant Pattern Discovery in Gene Location and Phylogeny 

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This thesis is submitted in partial fulfilment of the
requirements for the degree of

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#### Abstract

This thesis documents the investigation into the acquisition of knowledge from biological data using computational methods for the discovery of significantly frequent patterns in gene location and phylogeny.

Beginning with an initial statistical analysis of distribution of gene locations in the flowering plant Arabidopsis thaliana, we discover unexplained elements of order. The second area of this research looks into frequent patterns in the single dimensional linear structure of the physical locations of genes on the genome of Saccharomyces cerevisiae. This is an area of epigenetics which has, hitherto, attracted little attention. The frequent patterns are patterns of structure represented in Datalog, suitable for analyses using the logic programming methodology Prolog. This is used to find patterns in gene location with respect to various gene attributes such as molecular function and the distance between genes. Here we find significant frequent patterns in neighbouring pairs of genes. We also discover very significant patterns in the molecular function of genes separated by distances of between 5,000 and 20,000 base pairs. However, in complete contrast to the latter result, we find that the distribution of genes of molecular function within a local region of $\pm 20,000$ base pairs is locationally independent.

In the second part of this research we look for significantly frequent patterns of phylogenetic subtrees in a broad database of phylogenetic trees. Here we investigate the use of two types of frequent phylogenetic structures. Firstly, phylogenetic pairs are used to determine relationships between organisms. Secondly, phylogenetic triple structures are used to represent subtrees. Frequent subtree mining is then used to establish phylogenetic relationships with a high confidence between a small set of organisms. This exercise was invaluable to enable these procedures to be extended in future to encompass much larger sets of organisms.

This research has revealed effective methods for the analysis of, and has discovered patterns of order in the locations of genes within genomes. Research into phylogenetic tree generation based on protein structure has discovered the requirements for an effective method to extract elements of phylogenetic information from a phylogenetic database and reconstruct a single consensus tree from that information. In this way it should be possible to produce a species tree of life with high degree of confidence and resolution.


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## Chapter 1

## Introduction

Central to our understanding of life is to understand how the cell and its DNA function to create living organisms. The immense complexity of the cell is on a scale beyond imagination. Consequently, the quantity of data produced from biological research is on a similar scale. This data alone does little to aid our understanding of life until we can extract the knowledge it contains.

This thesis investigates the acquisition of knowledge through the use of pattern mining in biological data. It is a new area in the larger field of bioinformatics, which is itself a relatively new field using computational methods to process and analyse biological data to produce new information.

Sequencing of genomes is now commonplace with improved sequencing methods resulting in new organism genome sequences being published frequently. A recently introduced method called pyro sequencing ${ }^{1}$ has greatly increased the speed of genome sequencing such that now entire genomes can be sequenced within a day. This produces huge quantities of data that needs computational methods for analysis and knowledge acquisition. These methods include sequence matching, gene/protein prediction and classification.

There is an acknowledged requirement for the acquisition of more knowledge about genes and proteins than is presently discovered through sequence alignment alone.

[^0]In this thesis several related knowledge discovery and acquisition methods are introduced:

1. A novel application of the Greenwood statistic and Monte Carlo methods to the genome of the flowering plant Arabidopsis thaliana reveals unexplained order in the location of genes classified by molecular function.
2. Frequent pattern mining methods combined with Monte Carlo methods and significance ranking are used to discover knowledge in the location of genes with respect to many different gene attributes in the fungus Saccharomyces cerevisiae.
3. The creation of a large phylogenetic database detailing the evolutionary histories of protein sequences from many organisms where the protein sequences are classified into groups of homologous protein sequences.
4. The use of frequent patterns of phylogenetic structure to extract data with high confidence from large phylogenetic databases.

These methods provide more information to aid the identification of unknown genes and proteins than the use of sequence alignment alone. Furthermore, elements from this research may provide information on cellular functions dependent on cooperating proteins by revealing which proteins cooperate from their gene location and phylogeny.

This thesis is organised as follows:

- Chapter 2 discusses the biological motivation for pattern mining in the physical locations of genes on the genome, followed by an introduction to proteins and protein structure. It then goes on to cover a general background to comparative genomics, logic programming, frequent pattern mining and then discusses phylogenetics.
- Chapter 3 introduces the model organisms Arabidopsis thaliana and Saccharomyces cerevisiae used in the research into gene distribution and location described in later chapters.
- Chapter 4 discusses the statistical and mathematical tools and methods used
in this research.
- Chapter 5 covers new work on the statistical analysis of the distribution of genes on the genome of Arabidopsis thaliana. A novel bioinformatics method was developed based on Monte Carlo methods and Greenwood's spacing statistic for the computational analysis of the distribution of individual functional classes of genes. This work has been published in BMC Bioinformatics (Riley et al. , 2007).
- Chapter 6 describes a significant frequent pattern mining system and its application to data mining in the genome of Saccharomyces cerevisiae. The system is called the SPD (Significant Pattern Discovery) system. Essentially, it is an extension of WARMR, which is a frequent pattern mining program, providing automated filtering and significance determination of discovered frequent patterns. WARMR is one of several tools incorporated within ACE, an ILP data mining package and it was applied to the physical locations of genes with respect to various gene attributes. Such attributes include molecular function, gene length, direction of transcription, location on strand and relative location between genes.
- Chapter 7 describes the creation of a knowledge base of phylogenetic trees for protein groups classified by SCOP, which is a protein domain database, and then discusses the research into frequent pattern mining of phylogenetic data. The data preparation for this analysis proved to be a challenge utilizing many bioinformatics techniques and methods including BLAST and ClustalW and some in depth statistical analysis. Essentially, this research involved selecting known protein sequences from Swiss-Prot, a well annotated protein database, for many organisms and classifying the protein sequences according to the SCOP superfamily classes using BLAST. The organisms from which the proteins from each class were obtained were organised into a phylogenetic tree database using ClustalW.
- Chapter 8 takes a more in depth look at the use of particular patterns of phylogenetic structure to deconstruct and reconstruct phylogenetic trees. Frequent pattern mining was used to find frequent phylogenetic triples in
these trees and those triples with a high statistical confidence were used to establish a new phylogenetic order for the constituent organisms.
- Chapter 9 is an in depth discussion on the results presented in this thesis and presents some future directions for research.
- Chapter 10 summarises the main conclusions and findings.


## Chapter 2

## Background

A general background to the research in this thesis is presented in this chapter. There are six main areas:

1. Genes, gene location and the classification of genes.
2. Proteins, protein structure and the classification of proteins according to structure.
3. Comparative genomics and proteomics, which discusses methods of gene and protein sequence alignment.
4. A computational methodology known as logic programming, which proved invaluable in the analysis of data.
5. Frequent pattern mining and the specific frequent pattern mining program WARMR.
6. Phylogenetics and phylogenetic trees, which can be used to trace the evolution of living organisms.

These areas are presented in six separate sections in this chapter. In addition to this, there are two further areas of background discussion: model organisms are introduced in Chapter 3; and the statistical tools and methods required in this research are outlined in Chapter 4. More specific background information appears
throughout this thesis.

### 2.1 Genes and Gene Location

In this section we discuss genes and the physical location of genes on the genome. Further, the factors that contribute to the randomizing of gene location and the factors that preserve order in gene location are presented. This lays down the background and motivation for the research discussed in Chapters 5 and 6.

### 2.1.1 The Genome

The genome is the name given to all of the genetic information within the cells of an organism and also refers to the DNA that carries that information (Alberts et al. , 2002). The genome may be a single chromosome or divided into several chromosomes, which are very long DNA molecules and associated proteins carrying all or part of the hereditary information of an organism. The genome, and consequently the chromosomes, consist of individual units of coding information called genes. A gene is a region of DNA that controls a discrete hereditary characteristic usually corresponding to a single protein or RNA. This definition includes the entire functional unit, encompassing coding DNA sequences, noncoding regulatory DNA sequences, and introns (Alberts et al., 2002). Genes function by controlling the synthesis of proteins that act as biological catalysts in cellular pathways ${ }^{1}$ and collectively, they can be regarded as the inherited determinant of the phenotype (Tamarin, 1999).

### 2.1.2 Genome Morphology

Genes and genomes are subject to change by several different kinds of mutation:

[^1]- Single locus mutation.
- Tandem duplication of genes.
- Mobile genetic elements.
- Whole genome duplication.

With this variety of different mutations it is clear that in the absence of selective pressure on gene order, successive rearrangements will lead to the complete randomization of gene order (Durand \& Sankoff, 2003).

## Single locus mutation

A single locus mutation may occur during cell division where a single nucleotide in the daughter DNA strand is erroneously copied. DNA replication and DNA repair mechanisms are so efficacious that only 1 nucleotide pair in 1000 is randomly changed in every 200,000 years (Alberts et al. , 2002). Note that single locus mutation should not be confused with single nucleotide polymorphism (SNP). SNPs account for variation between individuals of the same species at certain nucleotide positions in the genome.

## Tandem duplication

A tandem duplication or tandem repeat is an adjacent identical chromosome segment. If the duplicate segment is an entire gene then this frees one copy of each gene sequence to drift and potentially to acquire a new function. Tandem duplication is thought to occur by a phenomenon known as unequal crossover (Smith, 1976).

## Mobile genetic elements

Mobile genetic elements are a type of DNA that can move around within the genome. They include:

- Transposons
- Organellar DNA
- Viral DNA

There are three types of transposon: retrotransposons, DNA transposons and insertion sequences. Retrotransposons (class I mobile genetic elements) move in the genome by being transcribed to RNA and then back to DNA by reverse transcriptase (SanMiguel \& Bennetzen, 1998). DNA transposons (class II mobile genetic elements) move from one position to another within the genome using a transposase in a 'cut and paste' fashion. Insertion sequences (Mahillon \& Chandler, 1998)(also known as an IS, an insertion sequence element, or an IS element) is a short DNA sequence that acts as a simple transposable element. Insertion sequences are small relative to other transposable elements ( 700 to 2500 bp in length) and only code for proteins that catalyse the enzymatic reaction in the transposition activity and for proteins that either stimulate or inhibit the transposition activity. They differ from transposons in that they do not carry accessory genes.

Organellar DNA is DNA within the cell that does not include the main genome or nuclear DNA. Unlike nuclear DNA, which is present as linear molecules, organellar DNA is present as circular DNA molecules of high copy number. Plasmids are also extra-nuclear strands of DNA. There are two types: non-integrating plasmids and episomes. Non-integrating plasmids replicate independently, whereas episomes integrate into the host chromosome and this will affect gene location.

Viral DNA or bacteriophage elements are passed into the cell by viruses. This DNA can also integrate into the host genome and thereby affect gene location.

## Whole genome duplication

Whole genome duplication and gene duplication can be considered a major force in evolution since when a redundant gene locus is created by duplication it can then freely acquire mutations and emerge as a new gene with an entirely new function (Ohno, 1970).

A considerable synteny in the locations of genes between Ashbya gossypii and Saccharomyces cerevisiae has been documented in a paper by Altmann-Johl (AltmannJohl \& Philippsen, 1996) and this initiated the Ashbya Gossypii Project under Peter Philippsen of the University of Basel, Switzerland.

It is believed that more than 100 million years ago $^{2}$ A. gossypii and S. cerevisiae diverged after an ancestor of $S$. cerevisiae experienced a whole genome duplication (WGD) event and consequently the genomes differ substantially in GC content ( $52 \%$ for A. gossypii and $38 \%$ for $S$. cerevisiae), but for $95 \%$ of the protein coding sequences of $A$. gossypii, there exist homologs in the $S$. cerevisiae genome (Dietrich et al. , 2004).

It is believed that the WGD of $S$. cerevisiae opened new possibilities for functional divergence not available to A. gossypii and the WGD event created approximately 5000 twin ORFs ${ }^{3}$ in $S$. cerevisiae of which 496 of these ancient twin ORFs can still be seen in the double synteny patterns produced by Dietrich et al. (2004).

The evidence presented for a WGD event is compelling and if this is the case, then we may find clusters of inter-dependent genes that have been entirely conserved in one chromosome and entirely deleted in the other.

### 2.1.3 Genome Order

In this section we look into the factors that preserve or possibly even create order in the location of genes on the genome. These are:

- Operons.
- Multi domain proteins.
- The structure of chromatin.

[^2]- Chromosomal interaction.
- Protein complexes.
- Housekeeping genes.


## Operons

Operon is a term that refers to a control mechanism, but generally, operons are understood to be a sequence of adjacent genes transcribed into a single messenger RNA, to encode proteins with related functions. They are characterized by having protein coding sequences very close to each other on the chromosome and in some cases the protein coding sequences overlap (Moreno-Hagelsieb \& ColladoVides, 2002). The advantage of this is that cooperating proteins can be expressed simultaneously and/or localized spatially in the cytoplasm.

Their existence has been known since the early 1960s after the work of Jacob and Monod on the lac operon in Escherichia coli (Jacob \& Monod, 1961). Since then operons have been found predominantly in prokaryotes and were thought to be exclusive to prokaryotes until recently where they have now been found in eukaryotes such as the nematode worm (Caenorhabditis elegans) (Blumenthal \& Gleason, 2003) (Blumenthal, 2004).

The reason for the tendency of genes to be tightly packed together in operons may be simply one of economy. Considering the spacial localization of genes, a more significant reason might be to protect the resulting mRNA from degradation by association with ribosomes (Moreno-Hagelsieb \& Collado-Vides, 2002). However, in a published summary of the work by Schneider et al., which was cited by Moreno-Hagelsieb, they claim that the existence of nearby ribosomes did not play any role in the degradation of lac operon mRNA, but they do acknowledge that mRNA degradation occurs (Schneider et al. , 1978).

The lac operon is involved in lactose metabolism in the bacteria E. coli. Lactose is a $\beta$ galactoside that can be used by $E$. coli for energy and as a source of carbon when broken down by $\beta$ galactosidase. However, two other enzymes are required
before $E$. coli can metabolize lactose correctly and they are $\beta$ galactoside permease and $\beta$ galactoside acetyltransferase. In wild type $E$. coli grown in the absence of lactose, there are very few molecules of $\beta$ galactosidase, however, when lactose is added to the growth medium, $\beta$ galactosidase, $\beta$ galactoside permease and $\beta$ galactoside acetyltransferase are produced in abundance within the bacterial cell (Elliot \& Elliot, 1997) (Tamarin, 1999) (Jacob \& Monod, 1961). This shows that the lac operon fulfils a temporal requirement of the cell, enabling the transcription of necessary enzymes only when they are required.

Moreno-Hagelsieb et al. (2002) declare a method to detect operons based on their inter-genic distances. Further, they predicted that inter-genic distances of operon genes are actually overlaps of 1 to 4 base pairs. This may not be such a good criteria for determining operons since there is no reason why non-operon genes should not overlap, particularly on the densely packed genomes of bacteria.

Operons can fulfil both a spatial requirement of cell activity where genes need to be localized to build, for example, a complex multi protein enzyme and a temporal requirement where genes are needed to be expressed simultaneously. Sequences on the chromosome that transcribe to operons will be tightly packed and so these sequences are most likely to be gene clusters (Moreno-Hagelsieb \& Collado-Vides, 2002).

Proteolysis may also play an important role in occurrence of operons.

## Proteolysis

The interior of a cell reflects the harsh survival environment you find in nature. Newly created proteins face a tough battle to reach their functional goal/purpose. They are prey to enzymes whose job it is to recycle unused proteins and fragments of polypeptides (long sequences of amino acids). This means that inter-dependent proteins do not have very much time to fulfil their function before being destroyed. This general 'housekeeping' work of a cell is called proteolysis. This is the degradation or recycling of proteins that are damaged, misfolded, unassembled or unused and also to regulate the concentrations of certain normal proteins promptly in
response to the state of the cell (Alberts et al. , 2002). Proteolysis may also provide an explanation for the existence of operons. The assembly of multi-protein structures such as ribosomes is likely to be more efficient if the individual proteins are translated locally and operons can facilitate this.

## Multi domain proteins

Multi domain proteins are similar to operons in that they are thought to have been separate genes originally that have fused into one gene that transcribes into a single mRNA, which, unlike operons, translates into a single protein that has multiple molecular functions (Apic et al., 2003). In the gene location analysis described in Chapters 5 and 6, multi domain proteins will appear as large single genes and so are unlikely to affect the distribution of the locations of genes. There is more information on protein domains in Section 2.2.1.

## The physical structure of the chromatin

The degree of coiling of the chromatin, which is the name given to the molecular and physical structure of chromosomes, varies during the life cycle of the cell bringing a varying number of genes into expression at different times. More genes are available for expression during the phases required for cell division. The chromatin is more tightly coiled when a cell has 'matured' and is performing a specific duty so evidently less genes are available for expression. Clearly the genes required for the cell's specific function must be available and will need to be located on regions of the chromatin that are 'open' for expression. This could be another factor affecting the distribution of genes. The role of chromatin in gene expresion and phenotype development is a relatively new area of research in the field of epigenetics and is discussed in more detail in Chapter 6.

## Chromosomal interaction

Recent work by Spilianakis et al. on human T helper $\left(T_{H}\right)$ cells (Spilianakis et al. , 2005) has revealed that the location of genes on the chromosomes may even be functionally critical through interaction with other chromosomes. They found that certain regions from different chromosomes appear to 'communicate' with each other by bringing related genes together in the nucleus of naive $T_{H}$ cells to coordinate their expression. Once the naive $T_{H}$ cell has differentiated to either a $T_{H} 1$ or a $T_{H} 2$ cell the chromosomal regions move apart and it is believed that this represses the genes required to differentiate the cells. This phenomenon will have a bearing on the distribution of genes especially if this is later found to be a common method to control gene expression.

## Protein complex

A protein complex is a group of two or more associated proteins. A complex formation often serves to activate or inhibit member proteins. There are presently 232 identified protein complexes in $S$. cerevisiae ${ }^{4}$ (Gavin et al., 2006a) (Gavin et al. , 2006b). Two examples of protein complexes are discussed below.

## MRE11 complex

The MRE11 complex is a trimeric protein complex that possesses endonuclease activity and it is involved in DNA repair and checkpoint signaling. In Saccharomyces the complex comprises three proteins: Mre11p, Rad50p, and Xrs2p. Complexes identified in other species generally contain proteins related to these Saccharomyces proteins ${ }^{5}$.

Also known as the MRX complex, the MRE11 complex in yeast performs a large range of functions in conjunction with many different sets of proteins. These range

[^3]from helping (Spo11 topoisomerase) to create double-strand breaks during meiosis, to the maintenance of telomeres, to various types of repair and processing of double-strand breaks in both meiosis and mitosis. These proteins also play a role in the checkpoint responses of cells to the presence of a chromosome break. Many other roles in mammalian cells have been inferred but the absence of viable mutants of Mre11p or Rad50p has prevented a direct assessment of their functions.

The MRE11 complex comprises three proteins; XRS2, MRE11 and RAD50.
XRS2 (Yeast gene YDR369C) Protein required for DNA repair; component of the Mre11 complex, which is involved in double strand breaks, meiotic recombination, telomere maintenance, and checkpoint signalling. Location; ch4 1215007-1217571 bp.

MRE11 (Yeast gene YMR224C) Subunit of a complex with Rad50p and Xrs2p (RMX complex) that functions in repair of DNA double-strand breaks and in telomere stability, exhibits nuclease activity that appears to be required for RMX function; widely conserved.

Location ch13 718574-720652 bp.
RAD50 (Yeast gene YNL250W) Subunit of MRX complex, with Mre11p and Xrs2p, involved in processing double-strand DNA breaks in vegetative cells, initiation of meiotic DSBs, telomere maintenance, and nonhomologous end joining.
Location; ch14 175411-179349 bp.
The three genes that code for the MRE11 proteins are widely dispersed throughout the genome ${ }^{6}$.

| Protein | Gene | Chr | Bp location | Description |
| :--- | :--- | :--- | :--- | :--- |
| GPA1 | YHR005C | 8 | 113 k | alpha subunit |
| STE4 | YOR212W | 15 | 743 k | beta subunit |
| STE18 | YJR086W | 10 | 586 k | gamma subunit |
| GPR1 | YDL035C | 9 | 392 k | GPCR |
| STE2 | YFL026W | 6 | 83 k | GPCR |
| STE3 | YKL178C | 11 | 114 k | GPCR |
| GPG1 | YGL121C | 7 | 281 k | gamma mimic |

Table 2.1: Locations of G-protein complex genes.

## G-protein complex

The locations of G-protein complex genes are shown in Table 2.1. From this Table it can be seen that, like the MRE11 complex above, the genes for the Gprotein complex are widely dispersed. The nature of this dispersion is worthy of consideration in the research of gene location.

## Housekeeping Genes

Housekeeping genes are genes that are constitutively expressed in most, if not all cells. These genes encode proteins that provide the basic, essential functions that all cells need to survive. It is generally assumed that housekeeping genes express at the same level in all cells and tissues, but there are actually some variances, especially during cell growth and organism development. It is estimated that there are about 300-500 house keeping genes in humans. Many hundreds of housekeeping genes have been identified. One of the most commonly known genes, GAPDH (glyceraldehyde-3-phosphate dehydrogenase), codes for an enzyme that is vital to the glycolytic pathway. Since housekeeping genes are essential, their location on the genome is likely to be significant.

[^4]
### 2.1.4 Gene Classification

The Gene Ontology Consortium (GO) (2000) is a consortium providing a vocabulary for the annotation of genes/proteins. It has three organizing principles: cellular component, biological process and molecular function. A gene product might be associated with or located in one or more cellular components; it is active in one or more biological processes, during which it performs one or more molecular functions.

Cellular component A cellular component is a component of a cell, which is part of some larger object such as an anatomical structure or a gene product group.

Biological process A biological process is series of events accomplished by one or more ordered assemblies of molecular functions. Broad examples are cellular physiological process or signal transduction. Examples of more specific terms are pyrimidine metabolic process or alpha-glucoside transport. Generally, unlike molecular function, a biological process must have multiple distinct steps.

Molecular function Molecular function describes activities that occur at the molecular level. Molecular functions generally correspond to activities that can be performed by individual gene products, but some activities are performed by assembled complexes of gene products. To avoid confusing a gene product name with its molecular function many GO molecular functions are appended with the word "activity".

Throughout this research on genes the focus is on molecular function. The GO version used is revision 1.11. The files and Datalog schema of the Gene Ontology annotations used throughout this research are given in Table 2.2.

Another valuable annotation of genes/proteins is by structure. This is discussed in Section 2.2.3.

| File | Format |
| :--- | :--- |
| m_class_level.pl | m_class_level(class, level) |
| clss_hier.pl | child(subclass, class) |

Table 2.2: Gene Ontology (GO) Data: The files and Datalog schema for the relational database.

### 2.2 Proteins and Protein Structure

### 2.2.1 Proteins

Proteins are the essential molecular components of cells. They are linear polymers of amino acids linked together by peptide bonds in a specific sequence (Alberts et al. , 2002). There are 20 different amino acids involved in protein structure, which are listed in Table 2.3. Amino acids are also frequently referred to as residues ${ }^{7}$ when incorporated into a protein sequence. Proteins are also referred to as polypeptides and polypeptide chains.

A textual representation of a protein sequence in FASTA format:
>Tc00.1047053503625.10 Trypanosoma_cruzi
MTSGDPAAFIQLQEQIVTVKQVFSSALAKELNLVEVQAPLLACCGDGTQDNLSGTEKAVQ VHVKGIPDSKFEVVHSLAKWKRQTLGDHKFPVGQGIYVHMKALRVEEELDTTHSVFVDQW DWELVMPPQERNLTFLKNTVQRLYAAIRQTEEAICSKYNLDRVLPANIQFLHAEHLLKMY PEMNMKERERAIVKKYGGAVFLIGIGGNLTSGEPHDLRAPDYDDWSSPVSAADITFPCGDP TMNSLASLPGLNGDILVYNPVLDDVLELSSMGIRVDAETLRRQLTLLSNEDRLGYVWHKR LLAGEFPQTIGGGIGQSRLLMLLLLKKKHIGEVQCSVWPKEMRQNYPLL

Proteins are generally composed of one or more, smaller, stable, independent functional or structural units, which are of particular interest in the identification and classification of proteins. These independent units are known as protein domains (Wetlaufer, 1973).

## Protein domains

A protein domain is often found as an independent substructure within a protein sequence. It is considered to be a functional and/or evolutionary unit, which can exist independently of the rest of the host protein. Each domain can fold

[^5]| Amino Acid | Sym. | Mne | Codons |
| :--- | :--- | :--- | :--- |
| alanine | A | Ala | GCA GCC GCG GCU |
| cysteine | C | Cys | UGC UGU |
| aspartic acid | D | Asp | GAC GAU |
| glutamic acid | E | Glu | GAA GAG |
| phenylalanine | F | Phe | UUC UUU |
| glycine | G | Gly | GGA GGC GGG GGU |
| histidine | H | His | CAC CAU |
| isoleucine | I | Ile | AUA AUC AUU |
| lysine | K | Lys | AAA AAG |
| leucine | L | Leu | UUA UUG CUA CUC CUG CUU |
| methionine | M | Met | AUG |
| asparagine | N | Asn | AAC AAU |
| proline | P | Pro | CCA CCC CCG CCU |
| glutamine | Q | Gln | CAA CAG |
| arginine | R | Arg | AGA AGG CGA CGC CGG CGU |
| serine | S | Ser | AGC AGU UCA UCC UCG UCU |
| threonine | T | Thr | ACA ACC ACG ACU |
| valine | V | Val | GUA GUC GUG GUU |
| tryptophan | W | Trp | UGG |
| tyrosine | Y | Tyr | UAC UAU |
| STOP |  |  | UAA UGA UAG |

Table 2.3: A list of all amino acids (residues): the building blocks of proteins. The heading Sym. signifies a designatory letter used as a symbol in the text representation of each amino acid in protein sequences; Mne is a mnemonic for each amino acid and Codons lists all the sets of three nucleotides that translate to produce each amino acid.
autonomously into a stable, compact three-dimensional structure, which is known as the tertiary structure (see below). Any single domain may appear in a variety of evolutionarily related proteins.

Domains vary in length, but have limits on size (Savageau, 1986). The size of presently known structural domains varies from 36 residues in E-selectin to 692 residues in lipoxygenase-1 (Jones et al. , 1998). The average length of all domains is approximately 100 residues (Islam et al., 1995), with the majority of approximately $90 \%$, having less than 200 residues (Siddiqui \& Barton, 1995).

Most proteins contain several domains forming multidomain proteins, which are consequently multifunctional (Chothia, 1992). Each domain may fulfil its own function independently, or it may function in cooperation with other domains within the host protein. Domains are units of tertiary structure within proteins.

### 2.2.2 Protein Structure

## Primary structure

The primary structure refers to the string of amino acids or residues from which the protein is composed.

## Secondary structure

Secondary structure is a term used to refer to a regular local folding pattern in polymers. In proteins there are two main types of secondary structure:

- $\alpha$-helices: a linear sequence of amino acids that fold into a right-handed helix, which is stabilized by internal hydrogen bonding between the polypeptide backbone atoms.
- $\beta$-sheets: where different sections of the polypeptide chain run alongside each other, joined by hydrogen bonding between atoms of the polypeptide
backbone. Also known as a $\beta$-pleated sheet.
Combinations of secondary structure elements have been found to frequently occur in protein structure and are referred to as secondary structure motifs or simply motifs.


## Tertiary structure

The overall 3-dimensional structure of the protein is referred to as the tertiary structure. Multiple secondary structure motifs pack together to form compact, local, semi-independent units called domains (Richardson, 1981). Domains are the fundamental units of tertiary structure.

### 2.2.3 Protein Classification

## SCOP: Structural classification of proteins

SCOP is a database of protein domains (Murzin et al., 1995) (Lo Conte et al., 2002) (Andreeva et al., 2004). SCOP classification is on hierarchical levels that embody the evolutionary and structural relationships. Those levels, going from the most specific to the most general are: family, superfamily, common fold and class.

## Family

Proteins in families are classified based on two criteria that imply a common evolutionary origin. Those criteria are firstly, that all proteins have $>30 \%^{8}$ residue identity and secondly, a lower sequence identity of $>15 \%^{9}$ (nucleotide alignment), but with similar functions and structures.

[^6]
## Superfamily

The superfamily classification, as the name suggests, is a super class of the family classification. Superfamilies contain families whose proteins have low sequence identities, but whose structures and, in many cases, functional features suggest that a common evolutionary origin is probable.

## Common fold

The definition for the common fold classification is that proteins have the same major secondary structures in the same arrangement with the same topological connections.

## Class

There are presently seven classes, which are designated by the letters $a-g$. There are also four extra groups that are not true classes, which are designated by the letters $h-k$ :
a All alpha: proteins having a structure essentially formed from $\alpha$-helices.
b All beta: proteins having a structure essentially formed from $\beta$-sheets.
c Alpha and beta: proteins with largely interspersed $\alpha$-helices and $\beta$-strands.
d Alpha plus beta: proteins with largely segregated $\alpha$-helices and $\beta$-strands.
e Multi-domain: proteins with domains of different fold for which there are presently no known homologues.
f Membrane and cell surface proteins and peptides: does not include proteins in the immune system.
g Small proteins: usually dominated by ligand, heme, and/or disulfide bridges.
h Coiled coil proteins: not a true class.
i Low resolution protein structures: not a true class

| Class | Folds | Superfamilies | Families |
| :--- | :---: | :---: | :---: |
| All alpha proteins | 259 | 459 | 772 |
| All beta proteins | 165 | 331 | 679 |
| Alpha and beta proteins (a/b) | 141 | 232 | 736 |
| Alpha and beta proteins (a+b) | 334 | 488 | 897 |
| Multi-domain proteins | 53 | 53 | 74 |
| Membrane and cell surface proteins | 50 | 92 | 104 |
| Small proteins | 85 | 122 | 202 |
| Total | 1086 | 1777 | 3464 |

Table 2.4: SCOP: Structural Classification of Proteins (release 1.73, 26th September 2007).
j Peptides: peptides and fragments. Not a true class.
k Designed proteins: experimental structures of proteins with essentially nonnatural sequences. Not a true class.

Note that only the first seven classes are relevant in this research.
Protein sequences that have been classified according to SCOP will have an alphanumeric identifier in four parts separated by full stops. For example:

SCOP ID is a.1.2.3 where:
$\mathbf{a}=$ Class
1 = Fold
$2=$ SuperFamily
$3=$ Family

## Scop classification statistics

The classification statistics for the SCOP database used in this research, which was last updated on 27th September 2007, are given in Table 2.4. It is this version of SCOP that was used in the research described in Chapter 8.

## Superfamily library

In 2001 Gough et al. constructed a library of hidden Markov models that represent all proteins of known structure (Gough et al. , 2001). This library is called Superfamily. The sequences of the domains in proteins of known structure, that have identities less than $95 \%$, are used as seeds to build the models. The sequences used by Superfamily to generate the models are from the ASTRAL database (Brenner et al. , 2000). The ASTRAL database provides protein sequences categorised according to the SCOP domain definitions and are derived from the SEQRES entries in PDB files ${ }^{10}$. The SEQRES records in a PDB file contain the amino acid or nucleic acid sequence of residues in each chain of the macromolecule that was studied. The sequences used by Superfamily differ from the ASTRAL sequences in the following ways:

1. Superfamily sequence files have any sequence shorter than 30 residues removed rather than the limit of 20 in ASTRAL. Domains which are split across more than one chain had separate entries in ASTRAL, which had to be joined to make a single entry in Superfamily ${ }^{11}$.
2. A small number of documented ASTRAL errors, which are considered significant, were corrected manually by Superfamily.
3. Some errors in domain definitions in the SCOP classification were detected and corrected in the Superfamily sequence files ${ }^{12}$.
4. Sequences which are merely redundant shorter parts of other sequences are removed when filtering on sequence identity.

The methods Superfamily used identified many more superfamily classifications than SCOP, but there were problems with their classifications, which is explained later in Chapter 7.

[^7]The Superfamily model library is available from a public web server at http:// supfam.mrc-lmb.cam.ac.uk/SUPERFAMILY/.

### 2.3 Comparative Genomics and Proteomics

Many researchers are interested in conserved homologues and the clustering of genes within these homologues. In this case researchers have used sequence comparison methods (Sankoff \& Kruskal, 1983). This is not strictly cluster detection since the comparisons are made with clusters that have already been identified, usually by qualitative assessment or classical statistical methods.

### 2.3.1 Sequence Alignment

Essentially, there are two principal methodologies currently used for performing sequence alignment: methods based on the dynamic programming algorithm and methods based on probabilistic profiles. Although profile based methods are considered to be better for detecting remote homologies (Gough et al. , 2001), dynamic programming methods are by far the most popular. Present day pairwise methods such as ClustalW and BLAST are all based on optimizations and modifications of the dynamic programming algorithm.

### 2.3.2 The Dynamic Programming Algorithm

## Elementary operations

An elementary operation is the simplest algorithmic operation in sequence comparison that can be performed resulting in a point differentiation of one sequence. Those operations are:-

1. Substitutions or replacements.
2. Deletions and insertions, which are collectively known as indels.
3. Compressions and expansions.
4. Transpositions or swaps.

Note that the second and third items in the list above are so similar that differences are often overlooked. Dealing with these four operations is the central theme of sequence comparison (Sankoff \& Kruskal, 1983).

There are three main types of sequence comparison:-

1. Trace
2. Alignment or matching

## 3. Listing

A full description and an explanation of the implementation of all three types are given in a book by Sankoff and Kruskal (Sankoff \& Kruskal, 1983). Briefly, alignment is the method most suited for fast protein/DNA sequence alignment algorithms as it applies elementary operations in order. Trace is essentially the same, but without distinctions in order and is more suited to text error correction. Interestingly, listings are said to correspond directly to the natural mechanisms by which sequences are believed to change (Sankoff \& Kruskal, 1983).

As a practical mode of presentation, listings are awkward and have been little used. However, as a mode of analysis, listings have a theoretical importance, because it is possible to generalize them much more broadly than alignments and traces and because they correspond to the plausible underlying mechanisms in several major applications.

- Listings permit many successive changes compared to alignment, which allows only one.
- Listings can make distinctions based on the order in which the changes are made.
- Listings with more than one change in a position are not selected, in accordance with the parsimony principle ${ }^{13}$. This may scupper the detection of evolutionary changes (Sankoff \& Kruskal, 1983).
- Listing order of substitutions can be many and varied to reach the same final

[^8]outcome so care is required when using many substitutions.

## Levenshtein distance

Levenshtein distance is defined as the smallest number of elementary operations required to change the sequence under investigation to the sequence to which it is being compared. The two main definitions are:

1. Smallest number of substitutions and indels required to change A into B.
2. Smallest number of indels required to change A into B. No substitution permitted.

There are many more definitions for Levenshtein distance in sequence comparison.

The definition of Levenshtein distance is expressed more formally by taking two sequences:
Sequence a of the form

$$
\begin{equation*}
a_{1}, a_{2}, \cdots a_{m} \tag{2.1}
\end{equation*}
$$

Sequence bof the form

$$
\begin{equation*}
b_{1}, b_{2}, \cdots b_{n} \tag{2.2}
\end{equation*}
$$

whose terms belong to a given metric space:

$$
\begin{equation*}
\left\{-, a_{1}, a_{2}, \cdots, b_{1}, b_{2}, \cdots\right\} \tag{2.3}
\end{equation*}
$$

which includes the null (-) as one of its elements and whose distance function is denoted by $b$. Note that the given values of $d\left(a_{i}, b_{j}\right), d\left(a_{i},-\right)$, etc., are assigned mutation and deletions costs as described below. Let $\bar{a}$ denote the set of all sequences of the form $\bar{a}_{1}, \bar{a}_{2}, \cdots \bar{a}_{m+n}$ formed by inserting $n$ nulls into $\mathbf{a}$ and let $\mathbf{b}$ denote the set of all sequences of the form $\bar{b}_{1}, \bar{b}_{2}, \cdots \bar{b}_{m+n}$ formed by inserting $m$ nulls into $\mathbf{b}$. Then the evolutionary distance $d(a, b)$ is defined by

$$
\begin{equation*}
d(\mathbf{a}, \mathbf{b})=\min \sum_{i=1}^{n} d\left(\overline{a_{i}}, \bar{b}_{i}\right) \tag{2.4}
\end{equation*}
$$

where the minimum is taken over all pairs of sequences: $-\bar{a}_{1}, \bar{a}_{2}, \cdots \bar{a}_{m+n}$ and $\bar{b}_{1}, \bar{b}_{2}, \cdots \bar{b}_{m+n}$ in $\bar{a}$ and $\bar{b}$ respectively.

Any two sequences of that format that achieve the minimum in the above expression $d(\mathbf{a}, \mathbf{b})$ constitute a 'metric alignment' of $\mathbf{a}$ and $\mathbf{b}$.

## The Algorithm

The advantages of dynamic programming: -

- Separation of evaluation from algorithm.
- Use of a simple evaluation score to select homologies.
- Global optimality will find the best homology. Whereas many algorithms do not explicitly link to the evaluation score so do not guarantee to find the best.
- Stable parameters and soft limits.
- Versatility and consistency.

The basic procedure is as follows:

1. Let the elementary operations be just substitutions and indels.
2. Consider all listings from $a$ to $b$ based on elementary operations.
3. Let the length of each listing be the number of elementary operations it contains.
4. Then the distance is the minimum length of any listing.

Another version of this procedure is performed as above, but the elementary operations are reduced to indels only. Alternatively a weighting $w$ can be added so that indels score favourably over substitutions such that the score is given by:

$$
\begin{equation*}
\text { Indels }+w(\text { Substitutions }) \tag{2.5}
\end{equation*}
$$

Where $w>=2$. If $w>2$, then it is always shorter for a listing to use an insertion/deletion pair in place of a substitution. Also, if $w=2$ then it is as short to use an indel pair as it is to use a substitution.

### 2.3.3 ClustalW

ClustalW is a popular multiple sequence alignment package suitable for use with both DNA and protein sequences (Higgins \& Sharp, 1988) (Thompson et al. , 1994) (Chenna et al., 2003) (Larkin et al. , 2007). The basic information provided by multiple alignments of protein sequences is the identification of conserved sequence regions, which is useful in predicting the function and structure of proteins and in identifying new members of protein families. Clustal was originally written by Des Higgins, and later versions were developed by Julie Thompson, Toby Gibson and François Jeanmougin. Thompson and Jeanmogin maintain the recent versions. Recent features include the ability to detect and read different input formats (NBRF/PIR, Fasta, EMBL/Swissprot), align old alignments, produce phylogenetic trees after alignment (Neighbor Joining trees with a bootstrap option), write different alignment formats (Clustal, NBRF/PIR, GCG, PHYLIP) and the presence of a full command line interface.

The original idea is a 'quick and dirty' version of the Feng-Doolittle algorithm (Feng \& Doolittle, 1987) where the assumption is that two sequences with a minimum Levenshtein distance are most likely to have been obtained from organisms that have most recently diverged. Pairwise alignment provides the most reliable information and so any spaces should be preserved in an overall multiple alignment.

ClustalW not only performs multiple alignments, it can also produce true phylogenetic trees in one of three output formats; NJ, Phylip and Dist. ClustalW is made available on web servers by the Genebee web server at the Belozersky Institute in Moscow, and at the European Bioinformatics Institute.

### 2.3.4 BLAST: Basic Local Alignment Search Tool

Protein sequence and DNA sequence databases became overwhelming large in the early 1980s and at that time there was a recognised requirement for efficient search algorithms for the global comparison of sequences in large databases that are similar to a given sequence.

Originally, global comparison methods, such as those by Fitch (Fitch, 1966), Dayhoff (Dayhoff, 1979), Needleman and Wunsch (Needleman \& Wunsch, 1970), Sellars (Sellars, 1974), Smith et al. (Smith et al., 1981) and Sankoff (Sankoff, 1972) aligned complete sequences. Although these methods were relatively accurate, they were computationally expensive, requiring computer time in the order of $N \times M$, where $N$ and $M$ and the lengths of the sequences compared. Wilbur and Lipman (Wilbur \& Lipman, 1983) presented an algorithm for global comparison of sequences based on matching $k$-tuples of sequence elements. Computational time required is still in the order of $N \times M$, but the lengths of $M$ and $N$ are now very much reduced. Local search methods using fragments of two sequences had already been proposed at that time, such as those by Korn et al. (Korn et al. , 1977), Sellars (Sellars, 2000), Smith and Waterman (Smith \& Waterman, 1981) and Goad and Kanehisa (Goad \& Kanehisa, 1982).

BLAST (Altschul et al. , 1990) (Altschul et al., 1997) is an extension of the $k$ tuple method of Wilbur and Lipman, using a heuristic that attempts to optimize a specific similarity measure. There are earlier heuristic methods by Pearson and Lipman (Pearson \& Lipman, 1988), and Altschul and Gish (Altschul \& Gish, 1996). BLAST is more suited to efficiently compare given sequences with large databases of sequences than ClustalW.

## Expectation Values (E-values)

The expectation value (E-value) is a statistical measure of the significance of a database sequence match. The probability of getting a match by chance depends on the size of the database. This means that the E-value given for a pairwise match will vary between different databases. For this reason the E-value given by

BLAST, as a measure of similarity between sequences, should also be combined with the bit score for a true representation of sequence similarity (Xiong, 2008). In general, however, the E -value is frequently used on its own where a low E-value score indicates a high similarity to the model whereas a higher score is a lower similarity.

Formally, the E-value is the theoretically expected number of false hits per sequence query. It is calculated from the reverse score using the following formula:

$$
\begin{equation*}
\text { E-value }=\frac{\text { library size }}{1+e^{-r e v e r s e s c o r e}} \tag{2.6}
\end{equation*}
$$

The library size is the size of the model library. The reverse score is the simple score of the forward sequence with the simple score of the reverse sequence subtracted from it. See the Sequence Alignment and Modeling System (SAM) website ${ }^{14}$ for further documentation on scoring.

Therefore, if the E-value is 1, it is likely that you would get one chance hit with this score to the query using the particular database that was searched.

The following general conclusions can be drawn from the E-values ${ }^{15}$ :

- If the E-value is less than $1 \times 10^{-50}$,the hit is very similar to the query sequence and is very likely to be evolutionarily related.
- If the E-value is between $1 \times 10^{-50}$ and $1 \times 10^{-2}$, the hit has some similarity to the query sequence and may be related. When E-values in this range are obtained these values can indicate that the sample sequence is in the same family as the hit or it may have closely related functional domains. If the top hits (those sequences with the lowest E-values) all seem to be related, this makes it more likely that the query is of the same family/type.
- If the E-value is between $1 \times 10^{-2}$ and 1 , the hit has a slight possibility of being related to the query. This may indicate a distant evolutionary

[^9]relationship.

- If the E-value is above 1 , the hit is not very closely related to any sequence in the database. This conclusion can also be made when no matches are found at all.

We found cases in example searches where non-zero E-values were exact matches. One example gave a top hit with an E-value of $1 \times 10^{-132}$ which turned out to be an exact match to the query sequence. However, it should be further noted that sometimes exact and closely matched hits will have E-values of 0 .

If the query sequence is short (less than 100 nucleotides or amino acids long), the top E-values may be larger than $1 \times 10^{-50}$ even if there is an exact match. It is necessary to check the \% identity of the top hits, not just the E-values. Hits with low E-values that only have similarity to short regions of the query sequence are more likely to indicate that the sequences have motif or functional domain similarities rather than that they represent related genes or proteins. This is very likely the case when all of the matches are from sequences whose names and descriptions do not seem to indicate that the hits are in any way related to each other. Hits with higher E-values, in the ranges of $1 \times 10^{-50}$ to $1 \times 10^{-5}$, may still indicate that the query and hits are related if the hit has at least a $35 \%$ identity with the query over at least $80 \%$ of its length. Another indication of this is if several hits have names and/or descriptions that indicate that they are related to each other.

### 2.3.5 Profile Based Alignment

Profile based methods are said to perform with greater selectivity than pairwise methods and profile based methods using hidden Markov models are the most effective (Gough et al., 2001). Recently hidden Markov models have been used for the detection of distant homologs to find multi domain proteins (Ekman et al. , 2005). One such proprietary system is a profile comparer called PRC 1.3.1 ${ }^{16}$, which is a stand-alone program for aligning and scoring two profile hidden Markov

[^10]models. This can be used to detect remote relationships between profiles more effectively than by doing simple profile-sequence comparisons.

## Markov Chains

Given a set of events $\left\{S_{0}, S_{1}, \ldots S_{m-1}\right\}$ and a system for which event $S_{i}$ follows event $S_{j}$ with known probabilities $p(i, j)$, the system can be represented by the $m * m$ matrix of $p(i, j)$ values. This is known as a Markov chain and it can be used to work out the probability of a system being in a particular state at a particular time. If the probability of $S_{i}$ at time $t$ is $P_{i}(t)$, then the probability of being in state $j$ at time $t+1$ is

$$
\begin{equation*}
P_{j}(t+1)=\sum P_{i}(t) p(i, j) \tag{2.7}
\end{equation*}
$$

(Arthurs, 1965) (Aleksander \& Morton, 1995).
If each amino acid is considered to be an event with a certain probability of occurrence (see Table 2.5) on the gene, it can be clearly realized how Markov chains can be used for effective sequence comparison of genes and the same technique can be applied to genes on the chromosome to detect homologous or paralogous sequences. However, it should be noted that the probability of the occurence of genes on the chromosome is vastly more difficult to calculate than that of amino acids.

| Amino Acid | S | Prob. |
| :--- | :--- | :--- |
| alanine | A | 0.0625 |
| cysteine | C | 0.03125 |
| aspartic acid | D | 0.03125 |
| glutamic acid | E | 0.03125 |
| phenylalanine | F | 0.03125 |
| glycine | G | 0.0625 |
| histidine | H | 0.03125 |
| isoleucine | I | 0.046875 |
| lysine | K | 0.03125 |
| leucine | L | 0.09375 |
| methionine | M | 0.015625 |
| asparagine | N | 0.03125 |
| proline | P | 0.0625 |
| glutamine | Q | 0.03125 |
| arginine | R | 0.09375 |
| serine | S | 0.09375 |
| threonine | T | 0.0625 |
| valine | V | 0.0625 |
| tryptophan | W | 0.015625 |
| tyrosine | Y | 0.03125 |
| STOP |  | 0.046875 |

Table 2.5: A list of all amino acids (residues) and probabilities. Where $\mathbf{S}$ signifies a designatory letter used in the text representation of protein sequences and Prob. is the probability of each of the amino acids occurring from a random selection of three nucleotides that constitute a codon.

### 2.4 Logic Programming

The basic idea of programming in logic was first presented by Robinson (Robinson, 1965). The key idea behind logic programming is that computation can be expressed as controlled deduction from declarative statements.

### 2.4.1 Prolog

Prolog is a programming language used extensively throughout this research. It is a declarative language in that it specifies what computation is to be done, unlike imperative languages, for example C++ or Java, that specify how a computation is to be done. Prolog was first devised by the Artificial Intelligence Group at Luminy, Marseille under Alain Colmerauer in 1972 and independently by Clocksin and Mellish in Edinburgh. It was the first practical embodiment of the concept of logic programming, which is due to Robert Kowalski (Ait-Kaci, 1991).

The motivation behind Prolog is summed up nicely in the following quote from Alain Colmerauer:
"...Prolog was a response to a challenge of creating an extremely high level language and a response which, paradoxically, was seen as inefficient in computer science terms...The challenge was, therefore, to be able to write programs very rapidly, leaving the machine to carry out the laborious execution..."

Alain Colmerauer 1986 (Giannesini et al., 1986).
Prolog is a programming language designed for representing and making use of knowledge about a particular domain. More precisely, the domain is a set of objects and the knowledge is formalized by a set of relationships that describe simultaneously the properties of these objects and their interactions. The set of rules describing these objects and relations constitutes a Prolog program.

Prolog's syntax is that of first-order predicate logic formulas written in clause form, which is a conjunctive normal form in which quantifiers are not explicitly
written. It is further restricted to Horn clauses only, which are clauses that have at most one positive literal. In the early 80s, David H. D. Warren designed an abstract machine for execution of Prolog. It became known as the Warren Abstract Machine (Warren, 1983) and has become the de facto standard for implementing Prolog compilers. There is a good, in depth book on this by Ait-Kaci (Ait-Kaci, 1991).

The procedural meaning of Prolog is based on the resolution principle for mechanical theorem proving called SLD (Selection, Linear, Definite)(Robinson, 1965), but with some short comings. Prolog does not truly perform what is called unification in logic, instead it uses what is known as matching. However, for efficiency, most Prolog systems implement matching in a way that is perfectly adequate in practice (Bratko, 2001). For this reason, Prolog can be seen as a first step towards (but not actually) the ultimate goal of programming in logic (Clocksin \& Mellish, 1984).

### 2.5 Frequent Pattern Mining

The purpose of data mining is to find valid, potentially useful and ultimately understandable patterns in data (Fayyad et al., 1996). A pattern in this sense is a discernible arrangement or sequence found in comparable objects or events. Patterns are indicative of order or trend; a reduction of entropy; a suggestion of purpose or the result of intelligent intervention or design.

Discovered patterns are evaluated in accordance with the user's interest in those patterns. This is accomplished through either a user-driven or subjective approach, which is often very inefficient, or a data-driven or objective approach. The subjective approach is complicated and difficult to automate. Of the objective approaches, pattern frequency is by far the most popular.

There is a clear motivation for selecting the most interesting rules/patterns. The main challenge is to discover novel, useful patterns, going beyond accuracy and comprehensibility. User-driven and data-driven approaches have complementary advantages and disadvantages. Using a hybrid approach is a possible sensible solution and one such system using this approach is described in Chapter 6.

The frequency in the occurrence of patterns can be used as a measure of significance with regard to the purpose or meaning of the pattern. Frequent and, more importantly, significant patterns will often reveal information and this is one of the principal paradigms for knowledge discovery in databases. This is also considered in the system described in Chapter 6.

The basic methodology behind frequent pattern mining is to iteratively generate the set of candidate patterns of length $(k+1)$ from the set of frequent patterns of length $k$ (for $k \geq 1$ ), and check their corresponding frequencies of occurrence in the database, pruning off infrequent branches of the search space.

The frequent pattern mining methodology has been applied to many areas in knowledge discovery in databases such as: association rules (Agrawal \& Srikant, 1994) (Klemettinen et al. , 1994) (discussed below), correlations (Brin et al., 1997), causality (Silverstein et al. , 1998), sequential patterns (Agrawal \& Srikant,
1995), episodes (Mannila et al. , 1997), multi dimensional patterns (Lent et al. , 1997) (Kamber et al. , 1997), max-patterns (Bayardo, 1998), partial periodicity (Han et al., 1999) and emerging patterns (Dong \& Li, 1999).

The main problem with pattern mining is the huge number of potential candidates and the consequent computational expense. This is discussed in more detail in the following section using association rule mining as an example.

### 2.5.1 Apriori: an Association Rule Mining Algorithm

Association rule mining programs discover associations in data based on the premise that items occurring together frequently are in some way associated. Association rule mining is a well established field and several surveys of common algorithms exist (Hipp \& Nakhaeizadeh, 2000) (Mueller, 1995). The Apriori Algorithm (see below) is a popular and relatively efficient association rule mining algorithm (Agrawal \& Srikant, 1994).

Many previous studies by researchers such as Agrawal and Srikant (Agrawal \& Srikant, 1994), Mannila (Mannila et al. , 1994), Lent (Lent et al., 1997), Srikant (Srikant et al., 1997), Ng ( Ng et al. , 1998) and Grahne (Grahne et al., 2000), have adopted an Apriori-like approach.

The Apriori algorithm achieves good performance gained by significantly reducing the size of candidate sets. However, a huge number of candidate sets are generated in situations with a large number of frequent patterns, long patterns, or quite low minimum support thresholds. This is computationally expensive. For example, if there are $10^{4}$ frequent 1-itemsets, the Apriori algorithm will need to generate more than $10^{7}$ length- 2 candidates and accumulate and test their frequency of occurrence. Moreover, to discover a frequent pattern of size 100 , such as $\{a 1, \cdots, a 100\}$, it must generate $2^{100}-2$ or about $10^{30}$ candidates in total. Generating that many patterns on a computer capable of billions of instructions per second will take billions of years. This is the inherent cost of candidate generation, no matter what implementation technique is applied.

## Terminology

The terms described use market basket analysis as an example, but also provided are examples from pattern mining in genetic information such as the research covered in Chapter 6.

Item A single datum such as an article in a basket or a single gene attribute.
Transaction All the articles in one basket or all attributes pertaining to a single gene (one dimensional array).

Transaction data set All data such as all articles in all baskets or all genes and all their respective attributes (two dimensional array).

Itemset A non-specific set of items or gene attributes.
$k$ itemset A non-specific set of $k$ items or $k$ gene attributes.
In any transactional data set $D$ (all data) the number of times that an itemset occurs is the count (frequency). ${ }^{17}$

Support (S) for itemset $X$ in $D$ is defined:-

$$
\begin{equation*}
\operatorname{support}(x)=\frac{\operatorname{freq}(X)}{|D|} \tag{2.8}
\end{equation*}
$$

For association rule $X \rightarrow Y$ :

$$
\begin{equation*}
\operatorname{support}(X \rightarrow Y)=\operatorname{support}(X Y)=\operatorname{support}(X \cup Y) \tag{2.9}
\end{equation*}
$$

The confidence (C) in any association rule is given by:-

$$
\begin{equation*}
\operatorname{confidence}(X \rightarrow Y)=\frac{\operatorname{support}(X \cup Y)}{\operatorname{support}(X)} \tag{2.10}
\end{equation*}
$$

[^11]Frequent itemsets are those whose support is greater than the minimum support (minS).

Interesting association rules are those whose support and confidence are greater than the minimum support and minimum confidence ( $\operatorname{minC}$ ).

The Apriori downward closure property implies that any subsets of a frequent itemset are also frequent itemsets. This is also popularly known as the Apriori heuristic (Agrawal \& Srikant, 1994): if any length $k$ pattern is not frequent in the database, its length $(k+1)$ super-pattern can never be frequent.

There are two major steps in association rule mining/pattern mining:-
1 Frequent itemset generation
2 Rule derivation

## Frequent itemset generation

The algorithm is as follows where $C$ represents candidate itemsets; $L$ represents frequent itemsets and $k$ is the number of items or attributes in each itemset:
$1 k=1$;
2 Find frequent itemset $L_{k}$ from $C_{k}$, the set of all candidate itemsets;
3 Form $C_{k+1}$ from $L_{k}$;
$4 k=k+1$;
5 Repeat 2-4 until $C_{k}$ is empty
Step 2 is the frequent itemset search stage. This is achieved by scanning $D$ and counting each itemset $C_{k}$. If the count is greater than $\min S$, then add that itemset to $L_{k}$.

Step $\mathbf{3}$ is the candidate itemset generation stage and follows this procedure:-
For $k=1, C_{1}=$ all itemsets of length 1
For $k>1$, generate $C_{k}$ from $L_{k-1}$ as follows:-

## The join step

$1 C_{k}=k-2$ way join of $L_{k-1}$ with itself
2 If both $\left(a_{1}, \ldots, a_{k-2}, a_{k-1}\right)$ and $\left(a_{1}, \ldots, a_{k-2}, a_{k}\right)$ are in $L_{k-1}$, then add $\left(a_{1}, \ldots, a_{k-2}, a_{k-1}, a_{k}\right)$ to $C_{k}$

3 Items are always stored in the sorted order

## Prune step

1 Remove $\left(a_{1}, \ldots, a_{k-2}, a_{k-1}, a_{k}\right)$ if it contains a non frequent $(k-1)$ subset

## Rule derivation

So far the algorithm has discovered frequent itemsets, but frequent itemsets do not mean association rules. Association rules can be found from every frequent itemset as follows:

For every non-empty subset X of D :
1 Let $Y=D-X$
$2 X \rightarrow Y$ is an association rule if the confidence of $(X \rightarrow Y) \geq \min C$
where $X$ is an itemset $L_{k}$ and $Y$ is an itemset $L_{k+1}$.
The Apriori algorithm lends itself well to the market basket analysis example since the information about the items in the basket is all that is required: the basket itself has no importance. The gene attribute example shows that we may find patterns in the attributes of genes, but no information about the genes themselves is available. Market basket analysis is an example of a 2-dimensional database, which can be represented by a single table and the Apriori algorithm works well with this type of data. The gene data used in Chapter 6 is multi-dimensional and is represented by many tables. This type of data requires first order pattern mining techniques.

### 2.5.2 First Order Pattern Mining

Candidate patterns in Apriori are effectively patterns of propositional statements or rules, which do not contain variables, whereas first order statements are propositional statements that do contain variables. The motivation for extending Apriorilike association rule mining to first order association rule mining is that first order statements are much more expressive (Mitchell, 1997).

In this research frequent pattern mining was performed using Prolog and a Prolog based frequent pattern mining program named WARMR.

## WARMR

WARMR (Dehaspe et al. , 1998) is an ILP data mining program used to identify frequent patterns, where these patterns are represented as conjunctive queries in Datalog schema. It is a general purpose data mining algorithm suitable for discovering knowledge in structured data, where patterns reflect the one-to-many and many-to-many relationships of multiple tables. This is not possible with standard data mining programs (King et al. , 2001).

WARMR is an extension of the APRIORI algorithm (Agrawal et al. , 1993) (Agrawal et al. , 1996) using an efficient level-wise method to mine Association Rules in Multiple Relations (ARMRs) within huge datasets. The WARMR levelwise search algorithm (Mannila et al. , 1997) is based on a breadth first search of pattern space. This space is ordered by the generality of patterns. The levelwise method searches this space one level at a time starting with the most general. The method iterates between candidate generation and candidate evaluation phases:

Candidate generation uses the lattice structure for pruning non-frequent patterns from the next level.

Candidate evaluation computes the frequencies of the candidates with respect to the database.

Pruning is based on monotonicity of generality with respect to frequency. In other words, if a pattern is not frequent then none of its specializations is frequent. So while generating candidates for the next level, all the patterns that are specializations of infrequent patterns can be pruned.

The nature and structure of the candidate patterns are determined by the user through the language bias. WARMR has the advantage that background knowledge can be easily incorporated to refine searches and include previously discovered patterns to enable the discovery of increasing complex patterns.

WARMR was used in the research discussed in Chapter 6 for pattern mining in gene location. Pattern mining in the location of genes may reveal patterns in the molecular function which could assist in determining the function of unknown genes occurring in similar locational patterns.

### 2.6 Phylogeny

### 2.6.1 Introduction

Phylogeny is the study of evolutionary relationships among organisms. Traditionally the methods used to determine phylogeny are: morphology, anatomy, physiology and palaeontology. Nowadays molecular phylogeny is used exclusively in the study of evolutionary relationships.

The phylogeny of living organisms is best represented by a phylogenetic tree, which is a graphical representation of the evolutionary relationships between groups of organisms and is discussed later in Section 2.6.2.

Both taxonomy and phylogeny are referred to in the research described later in this thesis. Taxonomy is a systematic classification of living organisms, whereas phylogeny is a theoretical model of the sequence of evolutionary divergence of organisms from their common ancestors. However, the structures of a phylogenetic tree and a taxonomic tree are identical.

## Linnaean Taxonomy

Swedish born Carl Linnaeus (13/05/1707-10/1/1778) established the first taxonomy of organisms, which is a method of classifying living things. He also invented the naming convention known as Binomial nomenclature, which is still in use. Organism names are given by their species name (genus or generic), followed by a specific name (specific descriptor or specific epithet). For example the binomial name for the Bottlenose Dolphin is Tursiops truncatus.

## Molecular phylogeny

Molecular phylogeny specifically determines evolutionary relationships from nucleotide sequences and/or protein sequence data.

One of the first noteable applications of molecular phylogeny was performed by Carl Woese. He redrew the taxonomic tree of life in 1977 by introducing a new domain named archaea. By phylogenetic taxonomy of 16 S ribosomal RNA, a technique pioneered by Woese, he showed that archaea are neither bacteria nor eukaryotes (Woese \& Fox, 1977). Hitherto, archaea were considered to be bacteria, but although they are prokaryotes, they are as different from bacteria as they are different from eukaryota (Woese et al. , 1978). His three-domain system, based upon genetic relationships rather than obvious morphological similarities, divided life into 23 main divisions, all incorporated within three domains: bacteria, archaea, and eukaryota (Woese et al. , 1990).

### 2.6.2 Phylogenetic Trees

A phylogenetic tree is a graph of evolutionary relationships. It is composed of nodes and branches where only one branch connects any two adjacent nodes. Nodes represent the taxonomic units such as species, populations, individuals or genes. Branches define the ancestral relationships where their length may be proportional to the difference between nodes. The branching pattern is known as the topology. External nodes represent extant taxonomic units and are specifically referred to as operational taxonomic units (OTUs). Internal nodes represent ancestral units. A tree is additive if the distance between any two OTUs is equal to the sum of the lengths of all branches connecting them. A node is bifurcating if it has only two immediate descendant lineages, multifurcating if more than two. A clade is defined as a group of species that have a unique common ancestor, which is not shared by any other species.

There is a good background on the development of genetic distance measure and phylogenetic tree methods by Fitch (Fitch \& Margoliash, 1967), Nei (Nei, 1975) and Felsenstein (Felsenstein, 1988) (Felsenstein, 2004).

Phylogenetic tree construction used in this research was performed using ClustalW, which has two methods: Neighbor Joining (Saitou \& Nei, 1987), which repeatedly joins the "nearest neighbours" to build a tree; and UPGMA (Unweighted Pair

Group Method with Arithmetic Mean) (Sneath \& Sokal, 1973), which clusters close taxa, assuming the rate of evolution is the same across lineages. The Neighbor Joining method is more accurate, but UPGMA is faster.

### 2.6.3 Broad Sampling and Consensus Trees

The accuracy of molecular phylogeny is sequence specific. Gene sequences from essential genes are not likely to vary much from species to species. Genes that do vary may not necessarily vary at a rate proportional to the rate of evolution of the host species. Of course, these same two problems also apply to proteins. Mitochondrial DNA sequences have been used to generate phylogenies in the past because they are less stressed by natural selection and can more freely acquire mutations roughly in proportion with time. However, we are more interested in the specific phylogeny of phenotypes and mitochondrial DNA plays an insignificant part in the development of the phenotype.

Character evolution, which is an expression used to refer specifically to the evolution of the phenotype, can be determined with a higher resolution by using broad phylogenomic sampling (Dunn et al., 2008). This method uses a consensus of results from multiple phylogenies of organisms based on orthologous protein or gene sequences. A consensus tree represents the phylogeny of organisms determined by this method (Bryant, 2003). The procedure of broad phylogenomic sampling and corresponding consensus tree building is the central theme of Chapter 8.

### 2.6.4 Phylogenetic Databases

In general, web based phylogenetic databases can be used as a source of information on phylogenetic relationships through access to published phylogenetic studies and the corresponding data and trees that they contain. The information they contain is usually as follows:

- Information on the phylogeny of particular groups of interest.
- Datasets for studies of character evolution, including general patterns across many groups.
- Trees with representatives in particular geographical areas.
- Information on host and parasite phylogenies.
- Molecular and morphological phylogenies for particular groups.
- Sources and references for the data.

Three such databases were used in this research: TreeBASE; the NCBI taxonomy browser and the Tree of Life Web Project. All three should only be used as a guide and not an authoritative taxonomy without further reference to the individual contributors to these databases.

## TreeBASE

TreeBASE is a relational database designed to manage and explore information on phylogenetic relationships (Piel et al. , 2002). Essentially, it is a store for published phylogenetic trees and data matrices. It also includes "...bibliographic information on phylogenetic studies, and some details on taxa, characters, algorithms used, and analyses performed."
"The database is designed to allow retrieval and recombination of trees and data from different studies, and it can be explored interactively using trees included in the database. The primary data objects in TreeBASE are bibliographic references to published phylogenetic studies, taxon by character data matrices, and phylogenetic trees resulting from the analysis of such data matrices. Information is also available that links data matrices and trees, including types of analyses performed, software used, etc. The TreeBASE web site allows searches to be performed in terms of taxonomic names or bibliographic keywords. Data matrices can also be downloaded from TreeBASE in nexus file format for further analysis"

|  | higher taxa | genus | species | lower taxa | total |
| :--- | ---: | ---: | ---: | ---: | ---: |
| Archaea | 88 | 105 | 496 | 99 | 788 |
| Bacteria | 984 | 1836 | 13665 | 4589 | 21074 |
| Eukaryota | 15011 | 44147 | 163594 | 12578 | 235330 |
| Fungi | 1092 | 3279 | 18424 | 1008 | 23803 |
| Metazoa | 10944 | 26474 | 70726 | 6289 | 114433 |
| Viridiplantae | 1850 | 12435 | 68252 | 4658 | 87195 |
| Viruses | 440 | 286 | 4755 | 31762 | 37243 |
| All taxa | 16544 | 46381 | 187077 | 49058 | 299060 |

Table 2.6: NCBI taxonomy statistics (August 2008).

## NCBI taxonomy browser

The NCBI taxonomy browser is part of a large depository of biological data hosted by the National Center for Biotechnology Information (Wheeler et al. , 2000) (Benson et al. , 2000). Statistics on the content of this taxonomy are given in Table 2.6. The topology of this database and supporting files are downloadable from the NCBI ftp site (ftp://ftp.ncbi.nih.gov/pub/taxonomy/). The NCBI state that the NCBI taxonomy database is not a phylogenetic or taxonomic authority.

## Tree of Life web project

The Tree of Life Web Project is a website (http://tolweb.org/tree/), which is the result of a collaborative effort of biologists worldwide and contains well over 9000 World Wide Web pages to date(Maddison et al., 2007). The project is a work-in-progress and provides information about the diversity of organisms, their evolutionary history (phylogeny), and characteristics. The site is described thus:
"The Tree of Life Web Project is a collection of information about biodiversity compiled collaboratively by hundreds of expert and amateur contributors. Its goal is to contain a page with pictures, text, and other information for every species and for each group of organisms, living or extinct. Connections between Tree of Life web pages follow
phylogenetic branching patterns between groups of organisms, so visitors can browse the hierarchy of life and learn about phylogeny and evolution as well as the characteristics of individual groups."

The Tree of Life host website provides hyperlinks to independently hosted web pages and so the information content is entirely the responsibility of the individual contributors. This is important when considering the authority and the citation of any information obtained from the Tree of Life web project.

### 2.6.5 New Hampshire/Newick format

Previously called the New Hampshire Format, the Newick Standard is a method to describe trees by parentheses and commas. It describes trees by combining OTUs (operating taxonomic units) by nested parentheses. The branch lengths are written in numerals after OTU names followed by colons (:). The outermost parenthesis usually contains three elements indicating an unrooted tree. If the outermost parenthesis has only two elements then this indicates a rooted tree. A semicolon (;) is needed after the outermost parenthesis. Below is an example.

> ((Human:0.3, Chimpanzee:0.2):0.1, Gorilla:0.3, (Mouse:0.6, Rat:0.5):0.2);

This style is often used by many programs for sequence data analyses and phylogenetic tree representation. The details of this style is explained in a document attached to PHYLIP (ver. 3.572) developed by Felsenstein and colleagues.

## Chapter 3

## Model Organisms

Model organisms are intensively studied and consequently there is a considerable amount of background knowledge. They are chosen based largely on pragmatic reasons such as the ease with which they can be studied or their importance to commerce or medicine. Two model organisms feature in this research: the flowering plant Arabidopsis thaliana, which is easy to study having a rapid life cycle, and the yeast Saccharomyces cerevisiae, which is important to commerce being used in brewing and bread making industries.

### 3.1 The Model Organism Arabidopsis thaliana

The flowering plant Arabidopsis thaliana shown in Figure 3.1 is also known as wall cress, thale cress and mouse-ear cress, is a small weed often found in pavements and borders in the UK. It is a very hardy plant, which can found growing throughout the temperate regions of the world. It is a member of the mustard (Brassicaceae) family, a family which also includes cultivated species such as cabbage and radish. It has a rapid life cycle of about 6 weeks from germination to mature seed, prolific seed production and it is easy to cultivate in restricted space due to its small size (leaves $1-5 \mathrm{~cm}$ long). For these reasons $A$. thaliana is an attractive plant for scientists to study. Friedrich Laibach first summarized the potential of $A$.


Figure 3.1: The model organism Arabidopsis thaliana being grown in a laboratory. (Photo courtesy of Nicole Hanley Markelz of the Plant Genome Research Outreach Program at Cornell University.)
thaliana as a model organism for genetics in 1943 having studied it for many years previously. In fact, he first published correctly that it had five chromosomes as early as 1907. Since then a wealth of knowledge has accumulated and it has now become a model organism for studies of the cellular and molecular biology of flowering plants. It comes as little surprise that A. thaliana was the first complete genome of a plant to be sequenced and is now an important model system for identifying genes and determining their functions.

### 3.1.1 The Arabidopsis thaliana Genome

In 1996 many scientists collectively known as the Arabidopsis Genome Initiative (The Arabidopsis Genome Initiative, 2000) started sequencing the entire genome of A. thaliana and the results were published by the year 2000 (Theologis et al., 2000; Lin et al. , 1999; Salanoubat et al., 2000; Mayer et al. , 1999; Tabata et al. , 2000). They sequenced regions covering 115.4 megabases of the 125 megabase genome, extending the sequencing well into the centromeric regions and discovered
that the genome of $A$. thaliana contains 25,498 genes encoding proteins from 11,000 families, which is similar to the functional diversity of Drosophila melanogaster, the fruit fly and Caenorhabditis elegans, the nematode worm. More recent research reveals that the length of the genome of $A$. thaliana is now thought to be 157 Mbp (Bennett et al., 2003). Further research by the Arabidopsis Genome Initiative revealed that an ancestor genome of $A$. thaliana experienced a whole genome duplication followed by subsequent gene loss and substantial local gene duplications. Roughly $17 \%$ of all genes are arranged in tandem arrays comprising 4140 tandem duplicate genes, most of which are in pairs. Altogether, there are 1528 tandem arrays and the two longest arrays have more than 21 adjacent tandemly repeated genes (The Arabidopsis Genome Initiative, 2000).

Research continues on the genome of $A$. thaliana and of note is a major reannotation of the entire genome in 2005 (Haas et al., 2005). The latest data from many contributors can be found on the TIGR ${ }^{1}$ and TAIR $^{2}$ websites.

### 3.1.2 Arabidopsis thaliana Genome Statistics

This section details some statistics on chromosome length, gene frquency and density and then describes some preliminary work looking into gene lengths and the lengths of the gaps between genes. These statistics are used later in this research.

The number of genes in each chromosome and the nucleotide lengths of each chromosome are given in Table 3.1. Also in the same table are the number of genes and the length of the entire genome of $A$. thaliana. Note that this data falls more in line with the findings of the Arabidopsis Genome Initiative (AGI), rather than the more recent work of Haas et al. (2005). This is mainly due to the availability of reliable data from TIGR at the time of the experiments and that data was from the AGI.

[^12]| Chromosome | Number of genes | Length (bp) |
| :--- | ---: | ---: |
| I | 6813 | $30,034,249$ |
| II | 4181 | $19,845,587$ |
| III | 5363 | $23,773,436$ |
| IV | 3987 | $17,790,360$ |
| V | 6096 | $26,990,441$ |
| All | 26440 | $118,434,073$ |

Table 3.1: Details of gene number and chromosome length in base pairs for the chromosomes of A. thaliana.

| Classification | Definition |
| :--- | :--- |
| Small | $\leq 400 \mathrm{bp}$ |
| Medium | $\geq 401 \mathrm{bp}, \leq 2000 \mathrm{bp}$ |
| Large | $\geq 2001 \mathrm{bp}$ |

Table 3.2: A definition for gene length classification for A. thaliana, which will be used in pattern mining.

## Gene lengths

Probability density plots for gene lengths of all five chromosomes are given in Figures 3.2, 3.3, 3.4, 3.5 and 3.6. The average mode gene length over all 5 chromosomes is 1238 . The average gene length at the lower $2 / 3$ count (where the frequency is $2 / 3$ of the mode frequency) is 428 and upper $2 / 3$ is 1986 . The lower and upper $2 / 3$ counts are simply an arbitrary way of catagorizing the data. For example, if the mode frequency is 75 , then we say that all gene lengths from 0 to the gene length that has a frequency of $50(2 / 3$ of 75$)$ are small. This is the lower $2 / 3$ count. Then all gene lengths that occur more frequently than 50 up to the mode frequency and then falling to the next point in the graph where the frequency is roughly 50 again are considered medium gene lengths. This is the upper $2 / 3$ count. Clearly all gene lengths larger than the largest gene length that has a frequency of 50 are classed as large gene lengths.

We use this information as guide for rough classifications on gene length in Table 3.2 , which are used later in frequent pattern mining of gene location.

Chromosome I gene lengths


Figure 3.2: Graph of gene lengths for chromosome I of $A$. thaliana. The mode length is 1180 bp and there are 75 examples of genes of this length. There are 50 examples (lower $2 / 3$ of the mode) of genes of length 440 bp , and 50 examples (upper $2 / 3$ of the mode) of genes of length 1820 bp .

Chromosome II gene lengths


Figure 3.3: Graph of gene lengths for chromosome II of $A$. thaliana. The mode length is 1200 bp and there are 50 examples of genes of this length. There are 33 examples (lower $2 / 3$ of the mode) of genes of length 400 bp , and 33 examples (upper $2 / 3$ of the mode) of genes of length 1980 bp .

## Chromosome III gene lengths



Figure 3.4: Graph of gene lengths for chromosome III of A. thaliana. The mode length is 1150 bp and there are 127 examples of genes of this length. There are 84 examples (lower $2 / 3$ of the mode) of genes of length 400 bp , and 84 examples (upper $2 / 3$ of the mode) of genes of length 2050 bp .

## Chromosome IV gene lengths



Figure 3.5: Graph of gene lengths for chromosome IV of A. thaliana. The mode length is 1280 bp and there are 47 examples of genes of this length. There are 31 examples (lower $2 / 3$ of the mode) of genes of length 480 bp , and 31 examples (upper $2 / 3$ of the mode) of genes of length 2040 bp .

## Chromosome V gene lengths



Figure 3.6: Graph of gene lengths for chromosome V of $A$. thaliana. The mode length is 1380 bp and there are 65 examples of genes of this length. There are 43 examples (lower $2 / 3$ of the mode) of genes of length 420 bp , and 43 examples (upper $2 / 3$ of the mode) of genes of length 2040 bp .

| Classification | Definition |
| :--- | :--- |
| Small | $\leq 300 \mathrm{bp}$ |
| Medium | $\geq 301 \mathrm{bp}, \leq 700 \mathrm{bp}$ |
| Large | $\geq 701 \mathrm{bp}$ |

Table 3.3: A definition for gene gap length classification for $A$. thaliana, which will be used in frequent pattern mining.

## Gap lengths

As part of the foundation for the analysis of the distribution of the locations of all known genes, the probability density plots of the gap lengths between genes for all five chromosomes are given in Figure 3.7. These plots reveal that the most frequently occurring gap lengths are between 300 and 700 base pairs (bp) on all five chromosomes, so for future use, we can use the gap length classifications given in Table 3.3. This classification of gap lengths in used later in Chapter 6.


Figure 3.7: Probability density function plots of inter gene gap lengths for all five chromosomes of A. thaliana. The curves are not asymptotic to the Y axis; the peak occurs between 300-700 bp.

| GO ID | Chr I | Chr II | Chr III | Chr IV | Chr V |
| :--- | :--- | :--- | :--- | :--- | :--- |
| go:3774 | 0.0030 | 0.0024 | 0.0039 | 0.0030 | 0.0033 |
| go:3824 | 0.2292 | 0.2090 | 0.2172 | 0.2302 | 0.2162 |
| go:4871 | 0.0060 | 0.0048 | 0.0054 | 0.0050 | 0.0059 |
| go:5198 | 0.0126 | 0.0151 | 0.0188 | 0.0113 | 0.0138 |
| go:5215 | 0.0419 | 0.0371 | 0.0362 | 0.0308 | 0.0376 |
| go:5488 | 0.1352 | 0.1354 | 0.1372 | 0.1379 | 0.1550 |
| unknown | 0.5243 | 0.5508 | 0.5420 | 0.5345 | 0.5250 |
| go:16209 | 0.0038 | 0.0045 | 0.0036 | 0.0060 | 0.0039 |
| go:30188 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| go:30234 | 0.0067 | 0.0076 | 0.0071 | 0.0045 | 0.0069 |
| go:30528 | 0.0311 | 0.0289 | 0.0252 | 0.0301 | 0.0277 |
| go:30533 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| go:31386 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| go:31992 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| go:42056 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| go:45182 | 0.0054 | 0.0033 | 0.0030 | 0.0038 | 0.0033 |
| go:45499 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| go:45735 | 0.0004 | 0.0009 | 0.0005 | 0.0027 | 0.0013 |

Table 3.4: Gene Ontology (GO) Data: Molecular function class density for level 1 classes for all five chromosomes of $A$. thaliana.

## Gene classification by molecular function

The genes of $A$. thaliana were classified according to molecular function using the Gene Ontology (GO) annotations (The Gene Ontology Consortium, 2000) (see Section 2.1.4). These classes were arranged in levels of increasing specificity. There are 18 subclasses of the molecular function class. These have been designated as the level 1 classes. The subclasses of these level 1 classes were designated as level 2 , and so on for levels 3 and 4 . The density of genes of each of the level 1 molecular function classes is given in Table 3.4, and similarly, the density and quantity of level 2 classes is are given in Table 3.5. Similar data exists on file for the level 3 and level 4 classes used in this research, but the classes at these levels are too numerous to include in tables in this thesis.

| Class | Chr I Freq | Qty | Chr II Freq | Qty | Chr III Freq | Qty | Chr IV Freq | Qty | $\begin{aligned} & \hline \text { Chr V } \\ & \text { Freq } \\ & \hline \end{aligned}$ | Qty |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| go:156 | 0.00117 | 8 | 0.00167 | 7 | 0.001118 | 6 | 0.001003 | 4 | 0.001476 | 9 |
| go:166 | 0.01306 | 89 | 0.01195 | 50 | 0.014171 | 76 | 0.015048 | 60 | 0.014273 | 87 |
| go:1871 | 0 | 0 | 0 | 0 | 0.000186 | 1 | 0 | 0 | 0 | 0 |
| go:3676 | 0.03317 | 226 | 0.03731 | 156 | 0.037106 | 199 | 0.02784 | 111 | 0.042001 | 256 |
| go:3682 | 0.00029 | 2 | 0.00023 | 1 | 0.001118 | 6 | 0.00025 | 1 | 0.001148 | 7 |
| go:3700 | 0.05944 | 405 | 0.05381 | 225 | 0.047361 | 254 | 0.056182 | 224 | 0.059064 | 360 |
| go:3701 | 0.00014 | 1 | 0.00023 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| go:3702 | 0.00044 | 3 | 0.00071 | 3 | 0.000932 | 5 | 0.000752 | 3 | 0 | 0 |
| go:3711 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0.000164 | 1 |
| go:3712 | 0.00117 | 8 | 0 | 0 | 0 | 0 | 0.000501 | 2 | 0.000328 | 2 |
| go:3735 | 0.01056 | 72 | 0.01315 | 55 | 0.016035 | 86 | 0.009029 | 36 | 0.011156 | 68 |
| go:3777 | 0.0022 | 15 | 0.00167 | 7 | 0.003542 | 19 | 0.002257 | 9 | 0.002625 | 16 |
| go:4133 | 0 | 0 | 0.00023 | 1 | 0 | 0 | 0 | 0 | 0.000164 | 1 |
| go:4362 | 0 | 0 | 0 | 0 | 0.000372 | 2 | 0 | 0 | 0 | 0 |
| go:4386 | 0.00484 | 33 | 0.00382 | 16 | 0.004475 | 24 | 0.002006 | 8 | 0.004593 | 28 |
| go:4601 | 0.00278 | 19 | 0.00406 | 17 | 0.002424 | 13 | 0.005768 | 23 | 0.003609 | 22 |
| go:4791 | 0 | 0 | 0.00047 | 2 | 0 | 0 | 0.00025 | 1 | 0 | 0 |
| go:4857 | 0.00396 | 27 | 0.0055 | 23 | 0.004475 | 24 | 0.001755 | 7 | 0.004429 | 27 |
| go:4872 | 0.00117 | 8 | 0.00023 | 1 | 0.000559 | 3 | 0.001003 | 4 | 0.000656 | 4 |
| go:5057 | 0.00205 | 14 | 0.00143 | 6 | 0.000745 | 4 | 0.001504 | 6 | 0.000328 | 2 |
| go:5102 | 0.0019 | 13 | 0.00071 | 3 | 0.000745 | 4 | 0.00025 | 1 | 0.000492 | 3 |
| go:5199 | 0.00014 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| go:5200 | 0.00117 | 8 | 0.00119 | 5 | 0.001305 | 7 | 0.00025 | 1 | 0.001312 | 8 |
| go:5201 | 0 | 0 | 0 | 0 | 0.000186 | 1 | 0 | 0 | 0 | 0 |
| go:5212 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0.000164 | 1 |
| go:5275 | 0.00249 | 17 | 0.00287 | 12 | 0.002424 | 13 | 0.000752 | 3 | 0.002953 | 18 |
| go:5319 | 0.00029 | 2 | 0.00047 | 2 | 0.000186 | 1 | 0.000752 | 3 | 0.000492 | 3 |
| go:5342 | 0.00014 | 1 | 0.00023 | 1 | 0.000186 | 1 | 0.000501 | 2 | 0.000164 | 1 |
| go:5344 | 0 | 0 | 0.00023 | 1 | 0.000186 | 1 | 0 | 0 | 0 | 0 |
| go:5372 | 0.00088 | 6 | 0.00215 | 9 | 0.001305 | 7 | 0.002006 | 8 | 0.000656 | 4 |
| go:5386 | 0.00557 | 38 | 0.00191 | 8 | 0.002796 | 15 | 0.002006 | 8 | 0.001968 | 12 |
| go:5478 | 0.00088 | 6 | 0.00047 | 2 | 0.001678 | 9 | 0.000752 | 3 | 0.001148 | 7 |
| go:5496 | 0.00014 | 1 | 0.00047 | 2 | 0.000186 | 1 | 0.001254 | 5 | 0.000656 | 4 |
| go:5515 | 0.02832 | 193 | 0.03061 | 128 | 0.029461 | 158 | 0.030599 | 122 | 0.031829 | 194 |
| go:8047 | 0.00014 | 1 | 0 | 0 | 0 | 0 | 0.00025 | 1 | 0 | 0 |
| go:8135 | 0.00543 | 37 | 0.00334 | 14 | 0.002796 | 15 | 0.003762 | 15 | 0.003281 | 20 |
| go:8265 | 0.00029 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0.000328 | 2 |
| go:8289 | 0.00293 | 20 | 0.00287 | 12 | 0.002983 | 16 | 0.007775 | 31 | 0.005742 | 35 |
| go:8430 | 0.00014 | 1 | 0 | 0 | 0.000186 | 1 | 0.000501 | 2 | 0 | 0 |
| go:8565 | 0.00366 | 25 | 0.00478 | 20 | 0.004102 | 22 | 0.001755 | 7 | 0.003117 | 19 |
| go:8639 | 0.00205 | 14 | 0.00191 | 8 | 0.002051 | 11 | 0.001003 | 4 | 0.001476 | 9 |
| go:8641 | 0.00014 | 1 | 0.00047 | 2 | 0 | 0 | 0.00025 | 1 | 0.00082 | 5 |
| go:8686 | 0 | 0 | 0.00023 | 1 | 0 | 0 | 0 | 0 | 0.000328 | 2 |
| go:9927 | 0.00029 | 2 | 0.00023 | 1 | 0.000372 | 2 | 0 | 0 | 0.000164 | 1 |
| go:9975 | 0.00014 | 1 | 0.00023 | 1 | 0.001305 | 7 | 0.000501 | 2 | 0.000328 | 2 |
| go:15075 | 0.01247 | 85 | 0.01219 | 51 | 0.01174 | 63 | 0.01103 | 44 | 0.01345 | 82 |
| go:15144 | 0.00513 | 35 | 0.00406 | 17 | 0.00335 | 18 | 0.00225 | 9 | 0.00393 | 24 |
| go:15197 | 0.00044 | 3 | 0.00023 | 1 | 0.00037 | 2 | 0.00125 | 5 | 0.00114 | 7 |
| go:15238 | 0 | 0 | 0.00071 | 3 | 0.00018 | 1 | 0 | 0 | 0.00032 | 2 |
| go:15457 | 0 | 0 | 0.00023 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| go:15646 | 0.00058 | 4 | 0.00095 | 4 | 0.00018 | 1 | 0.00025 | 1 | 0.00049 | 3 |
| go:15665 | 0.00014 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| go:15932 | 0.00176 | 12 | 0.00047 | 2 | 0.00018 | 1 | 0.002 | 8 | 0.00032 | 2 |
| go:16491 | 0.03772 | 257 | 0.02678 | 112 | 0.02778 | 149 | 0.03135 | 125 | 0.03199 | 195 |
| go:16563 | 0 | 0 | 0 | 0 | 0.00018 | 1 | 0.0005 | 2 | 0.00016 | 1 |
| go:16564 | 0 | 0 | 0 | 0 | 0.00018 | 1 | 0 | 0 | 0.00016 | 1 |
| go:16740 | 0.08219 | 560 | 0.08108 | 339 | 0.07458 | 400 | 0.08352 | 333 | 0.07875 | 480 |
| go:16787 | 0.06722 | 458 | 0.06433 | 269 | 0.07085 | 380 | 0.07148 | 285 | 0.06529 | 398 |
| go:16829 | 0.00851 | 58 | 0.00908 | 38 | 0.01212 | 65 | 0.01003 | 40 | 0.00853 | 52 |
| go:16853 | 0.00528 | 36 | 0.00406 | 17 | 0.00652 | 35 | 0.00476 | 19 | 0.00508 | 31 |
| go:16874 | 0.00807 | 55 | 0.00693 | 29 | 0.00857 | 46 | 0.00852 | 34 | 0.00689 | 42 |
| go:16986 | 0.00088 | 6 | 0.00023 | 1 | 0 | 0 | 0.001 | 4 | 0.00016 | 1 |
| go:17084 | 0 | 0 | 0.00023 | 1 | 0.00018 | 1 | 0 | 0 | 0 | 0 |
| go:17140 | 0 | 0 | 0.00023 | 1 | 0 | 0 | 0 | 0 | 0.00016 | 1 |
| go:19207 | 0.00146 | 10 | 0.00119 | 5 | 0.00018 | 1 | 0.001 | 4 | 0.00098 | 6 |
| go:19208 | 0.00044 | 3 | 0 | 0 | 0.00111 | 6 | 0 | 0 | 0.00065 | 4 |
| go:19239 | 0 | 0 | 0.00047 | 2 | 0 | 0 | 0.00225 | 9 | 0 | 0 |
| go:19825 | 0.00689 | 47 | 0.00837 | 35 | 0.01174 | 63 | 0.00953 | 38 | 0.00738 | 45 |
| go:19842 | 0.00014 | 1 | 0 | 0 | 0 | 0 | 0.00025 | 1 | 0.00016 | 1 |
| go:30246 | 0.00499 | 34 | 0.00047 | 2 | 0.00186 | 10 | 0.00175 | 7 | 0.00164 | 10 |
| go:30695 | 0.00058 | 4 | 0.00071 | 3 | 0.0013 | 7 | 0.00125 | 5 | 0.00082 | 5 |
| go:42277 | 0 | 0 | 0 | 0 | 0.00018 | 1 | 0 | 0 | 0.00016 | 1 |
| go:42562 | 0 | 0 | 0 | 0 | 0 | 0 | 0.00025 | 1 | 0 | 0 |
| go:42910 | 0.00014 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| go:43021 | 0 | 0 | 0.00023 | 1 | 0.00018 | 1 | 0 | 0 | 0.00016 | 1 |
| go:43167 | 0.01203 | 82 | 0.011 | 46 | 0.01025 | 55 | 0.01254 | 50 | 0.01476 | 90 |
| go:43176 | 0.00073 | 5 | 0.00047 | 2 | 0.00055 | 3 | 0.00075 | 3 | 0.00016 | 1 |
| go:45174 | 0.00044 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0.00016 | 1 |
| go:46790 | 0.00014 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| go:46906 | 0.00102 | 7 | 0.00143 | 6 | 0.00111 | 6 | 0.0005 | 2 | 0.00032 | 2 |

Table 3.5: Level 2 molecular function classes for A. thaliana for all five chromosomes. The relative frequency (Freq) and the number of examples (Qty) are given for each of the five chromosomes.

### 3.2 The Model Organism Saccharomyces cerevisiae



Figure 3.8: Common baker's yeast, Saccharomyces cerevisiae. (Image courtesy Alan Wheals, University of Bath, UK)

The yeast Saccharomyces cerevisiae shown in Figure 3.8 is more commonly known as brewer's yeast, baker's yeast, budding yeast and top fermenting yeast. Its binomial name is made up from "saccharomyces", derived from Greek and means "sugar mould", and "cerevisiae", which comes from Latin and means "of beer". It is an ascomycetous fungus forming spores through sexual reproduction inside an ascus, a sac-like structure. It also reproduces by budding, which is a cloning process.

### 3.2.1 The Saccharomyces cerevisiae Genome

The genome of the $S$. cerevisiae has been completely sequenced through a worldwide collaboration (Goffeau et al., 1996). At that time, the discovered sequence of $12,068,000$ base pairs ( 12,068 kilobases) defined 5885 potential protein-encoding genes, approximately 140 genes specifying ribosomal RNA, 40 genes for small nuclear RNA molecules, and 275 transfer RNA genes. This organism is subject to
ongoing research and it is now generally considered that the genome is composed of about $13,000,000$ base pairs and 6,275 genes, compactly organised on 16 chromosomes. Only about 5,800 of these are believed to be true functional genes. In addition, the complete sequence provides information about the higher order organization of yeast's 16 chromosomes and allows some insight into their evolutionary history. These figures vary according to the source of data on the genome of $S$. cerevisiae, but the figures presented here serve as a rough guide. Another outcome of the research mentioned above is that the genome shows a considerable amount of apparent genetic redundancy (Goffeau et al. , 1996).

Many proteins important in human biology were first discovered by studying their homologs in yeast; these proteins include cell cycle proteins, signalling proteins, and protein-processing enzymes. It is estimated that yeast shares about $23 \%$ of its genome with that of humans.

There is a petite mutation of $S$. cerevisiae which is particularly interesting. It has little or no mitochondrial DNA and forms small anaerobic colonies when grown on media. The petite mutation can be induced by certain mutagens, which have been linked with increased rates in degenerative disease and in aging (Ferguson \& von Borstel, 1992).

The Saccharomyces Genome Database (SGD) ${ }^{3}$ is a scientific database of the molecular biology and genetics of the yeast S. cerevisiae. This database is highly annotated and remains a very important tool for developing basic knowledge about the function and organization of eukaryotic cell genetics and physiology.

Another important database for $S$. cerevisiae is maintained by the Munich Information Center for Protein Sequences (MIPS) ${ }^{4}$.

> The MIPS Comprehensive Yeast Genome Database (CYGD) aims to present information on the molecular structure and functional network of the entirely sequenced, well-studied model eukaryote, the budding yeast Saccharomyces cerevisiae. In addition the data of various projects on related yeasts are used for comparative analysis.

[^13]| Chromosome | Length (bp) | Genes |
| :--- | :--- | :--- |
| 1 | 230208 | 117 |
| 2 | 813178 | 456 |
| 3 | 316617 | 183 |
| 4 | 1531917 | 836 |
| 5 | 576869 | 324 |
| 6 | 270148 | 141 |
| 7 | 1090946 | 584 |
| 8 | 562643 | 321 |
| 9 | 439885 | 241 |
| 10 | 745667 | 398 |
| 11 | 666454 | 348 |
| 12 | 1078175 | 578 |
| 13 | 924429 | 505 |
| 14 | 784333 | 435 |
| 15 | 1091289 | 598 |
| 16 | 948062 | 510 |

Table 3.6: Details of the genome of Saccharomyces cerevisiae giving the base pair length of each of the 16 chromosomes and the number of genes on each chromosome.

### 3.2.2 Saccharomyces cerevisiae Genome Statistics

The data required for the statistics in this section were downloaded from the Saccharomyces Genome Database (SGD) on 21st May 2007. The genome of Saccharomyces cerevisiae has 16 chromosomes. Details of the sizes of these chromosomes and the number of genes on each chromosome are given in Table 3.6.

Also available was data on the location of the centromeres, which are shown in Table 3.7. Note that the locations of centromeres on the $A$. thaliana genome were identified using gene frequency plots in Chapter 5. The genome of $S$. cerevisiae is more compact than that of $A$. thaliana and consequently, the centromeres are very difficult to distinguish using gene frequency plots.

Also note an additional autonomous replicating sequence on Chromosome XII from 150946 to 151388 on the Watson strand (CEN12, ARS, ARS1208, ARS1208, CEN12, ARS, ARSXII-151), which is not included in Table 3.7. It is not thought

| Chromosome | From | To | Strand |
| :--- | :--- | :--- | :--- |
| ChrI | 151467 | 151584 | w |
| ChrII | 238209 | 238325 | w |
| ChrIII | 114383 | 114499 | w |
| ChrIV | 449708 | 449818 | w |
| ChrV | 151986 | 152103 | w |
| ChrVI | 148505 | 148622 | w |
| ChrVII | 497042 | 496924 | c |
| ChrVIII | 105698 | 105581 | c |
| ChrIX | 355626 | 355742 | w |
| ChrX | 436419 | 436301 | c |
| ChrXI | 439889 | 439772 | c |
| ChrXII | 150946 | 150827 | c |
| ChrXIII | 268031 | 268149 | w |
| ChrXIV | 628760 | 628877 | w |
| ChrXV | 326703 | 326585 | c |
| ChrXVI | 555954 | 556070 | w |

Table 3.7: Details of the location of centromeres on all 16 chromosomes of $S$. cerevisiae.

| Classification | Definition |
| :--- | :--- |
| Small | $\leq 300 \mathrm{bp}$ |
| Medium-small | $\geq 301 \mathrm{bp}, \leq 360 \mathrm{bp}$ |
| Medium | $\geq 361 \mathrm{bp}, \leq 1220 \mathrm{bp}$ |
| Large | $\geq 1221 \mathrm{bp}$ |

Table 3.8: A definition for gene length classification for $S$. cerevisiae.
to operate as a true centromere, but may have an affect on gene distribution.

## Gene lengths

A histogram of the frequencies of gene lengths is given in Figure 3.9. Note that there is a sharp increase in frequency from approximately 60 to approximately 140 for a gene lengths over 300 bp and a subsequent drop in frequency from approximately 140 to approximately 80 for gene lengths over 360 bp . This was considered unusual and so an extra category of gene length, medium-small, was introduced for further research on $S$. cerevisiae. These categories or classifications

## Gene lengths (S. cerevisiae)



Figure 3.9: Histogram of the lengths of genes in S. cerevisiae. There is a sharp increase in frequency from approximately 60 to approximately 140 for a gene lengths over 300 bp and a subsequent drop in frequency from approximately 140 to approximately 80 for gene lengths over 360 bp .
are defined in Table 3.8.

## Gap lengths

A histogram of the frequency of lengths of gaps between neighbouring genes is given in Figure 3.10. Note that there is a peak between 240 and 280 bp and another small local maximum at -280 to -320 bp . Categories for the lengths of gaps between neighbouring genes were derived using Figure 3.10. There are four categories: negative, small, medium and large. The definitions for these gap length categories are given in Table 3.9.

Neighbouring gene gap lengths (S. cerevisiae)


Figure 3.10: Histogram of the gap lengths between genes in $S$. cerevisiae. There are two peaks, one occurring between 240 bp and 280 bp and another small local maximum at -280 bp to -320 bp .

| Classification | Definition |
| :--- | :--- |
| Negative | $\leq 0 b p$ |
| Small | $\geq 1 b p, \leq 160 b p$ |
| Medium | $\geq 161 b p, \leq 360 b p$ |
| Large | $\geq 361 b p$ |

Table 3.9: A definition for gene gap length classification for $S$. cerevisiae.

### 3.3 Summary

This chapter introduced the model organisms Arabidopsis thaliana and Saccharomyces cerevisiae and presented some information on the distributions of genes, gene lengths and the lengths of the gaps between neighbouring genes. This information is used later in the analysis of locational clustering in Chapter 5 and in the data mining of gene location in Chapter 6.

## Chapter 4

## Statistical Tools and Methods

### 4.1 Statistical Methods

Originally statistics was a term used to refer to a collection of numbers, but the modern view is that data is the term to refer to a collection of numbers and the analysis and extraction of information from data is statistics, which is now popularly defined as "the science of decision making" (Dudewicz \& Mishra, 1988). The average value of a random phenomenon or a set of data is referred to as one of the moments and subsequently the mean and the variance are known as moments. These moments are used throughout this research.

### 4.1.1 Moments

The mean $(\bar{m})$, which is also referred to as the expectation $(E)$, can be thought of as a measure of location of the distribution of the data. The standard deviation can thought of as a measure of dispersion of the distribution of data. The mean can be seen as an average value of a set of data for most data sets. It is essentially the sum of all data divided by the size of the data so that, given a set of $n$ numbers
$\left\{x_{1}, x_{2}, x_{3}, \ldots, x_{n}\right\}$ the mean value of all $x_{i}$, denoted by $\bar{x}$ is given by

$$
\begin{equation*}
\bar{x}=\frac{\sum x_{i}}{n} \tag{4.1}
\end{equation*}
$$

$\bar{x}$ is sometimes called the arithmetic mean (Croft et al. , 1992).
A commonly used measure of the dispersion or spread of all $x$ is the standard deviation often denoted by $\sigma$, which is the sum of the difference or deviation between $x_{i}$ and the mean, $\bar{x}$ or $x_{i}-\bar{x}$. However, these deviations will be negative and positive and will always sum to zero so the squared deviation $\left(x_{i}-\bar{x}\right)^{2}$ is summed giving the variance, which is often denoted by $\sigma^{2}$. Hence, the standard deviation is given by

$$
\begin{equation*}
\sigma=\sqrt{\frac{\sum\left(x_{i}-\bar{x}\right)^{2}}{n}} \tag{4.2}
\end{equation*}
$$

The standard deviation has the same units as $x_{i}$ (Croft et al. , 1992)
There are two other moments of interest to this research and they are the third moment, which is called skew and the fourth moment, which is called kurtosis. Skew or skewness refers to the asymmetry of a distribution. There are a number of methods to determine skewness, but the most widely used one is:

$$
\begin{equation*}
S k=\frac{\sum\left(x_{i}-\bar{x}\right)^{3}}{n \sigma^{3}} \tag{4.3}
\end{equation*}
$$

where the distribution of $x$ is considered symmetrical if $S k=0$; positively skewed if $S k>0$; and negatively skewed if $S k<0$ (Kirk, 1999). However, there are likely to be slight fluctuations in the measure of skewness in any finite set of numbers and so a general rule of thumb is that the distribution is considered skewed if $S k>\frac{\sigma}{2}$ or $S k<-\frac{\sigma}{2}$.

Kurtosis refers to the peakedness or flatness of a distribution and is most commonly given by:

$$
\begin{equation*}
K u r=\frac{\sum\left(x_{i}-\bar{x}\right)^{4}}{n \sigma^{4}}-3 \tag{4.4}
\end{equation*}
$$

where if $K u r=0$, the peakedness is the same as a normal or Gaussian distribution and is referred to as mesokurtic. If Kur $<0$ the distribution is flatter (broader
hump and thicker tails) than a normal distribution and this is referred to as platykurtic, and if Kur $>0$, the distribution is more peaked (narrower hump and thinner tails) than a normal distribution and is referred to as leptokurtic (Kirk, 1999).

### 4.1.2 Standard Error

The statistical moments previously described give values that represent various features of a distribution, but the significance of these values depends on the size of the data set for a given distribution. For example, throwing a die four times to determine if it is fair would be a poor way to assess the fairness of the die since a four, a five and two sixes would not be unreasonable outcomes. But the data from these outcomes are skewed and indicates that the die is not fair. However, intuitively we know that one thousand throws of the die would give superior statistical data to assess the fairness of the die. The measure of significance of the statistical data is determined from the standard error and is inversely proportional to the size of the data. The standard error for standard deviation $\left(s e_{\sigma}\right)$, variance $\left(s e_{\sigma^{2}}\right)$, skew $\left(s e_{\text {skew }}\right)$ and kurtosis $\left(s e_{\text {kurtosis }}\right)$ can be calculated from equations 4.5, 4.6, 4.7 and 4.8 given below (Yule \& Kendall, 1946).

$$
\begin{gather*}
s e_{\sigma}=\frac{\sigma}{\sqrt{2 n}}  \tag{4.5}\\
s e_{\sigma^{2}}=\sigma^{2} \sqrt{\frac{2}{n}}  \tag{4.6}\\
s e_{\text {skew }}=\sigma^{3} \sqrt{\frac{6}{n}}  \tag{4.7}\\
s e_{\text {kurtosis }}=\sigma^{4} \sqrt{\frac{96}{n}} \tag{4.8}
\end{gather*}
$$

The form of these equations has been widely used to determine standard error,
but they strictly apply where the parent distribution is normal and should be used with caution for distributions that are not normal (Yule \& Kendall, 1946). As a general 'rule of thumb' the statistical result of a moment can be considered significant if its absolute value exceeds two standard errors (Tabachnick \& Fidell, 1996).

### 4.1.3 Mean Filtering

A mean filter is a simple method of smoothing using convolution that works by taking the mean of the data in a window, which moves along the data. This is a popular approach used to determine the degree of clustering in sequences, such as GC content in a nucleotide sequence or the clustering of genes in the genome. However, this approach does not work well on data with a high standard deviation or large transients between adjacent data.

The Gaussian smoothing operator is a convolution operator that acts like a filter to remove detail or noise. It is similar to a mean filter, but it uses a different kernel that represents the shape of a Gaussian curve. Gaussian smoothing is preferable because it reduces the windowing effect of large transients. This is because the centre of the sampling window has a high significance and the significance of the value of the data falls away as you move to the edges of the sampling window. This is the approach used in Chapter 5 in an analysis of gene clustering.

If $\mu$ is taken as the centre element of each sampling window and $x$ represents the location of each element from the beginning to the end of the sampling window, then Gaussian smoothing can be implemented using equation 4.9.

$$
\begin{equation*}
G(x)=\frac{1}{\sigma \sqrt{2 \pi}} e^{\frac{(x-\mu)^{2}}{2 \sigma^{2}}} \tag{4.9}
\end{equation*}
$$

where $G(x)$ is the Gaussian function of $x$ and $\sigma$ is the standard deviation of the required Gaussian curve and should take a value approximately one fifth of the number of elements in the sampling window, or less.

### 4.2 Probability

Probability is the scientific interpretation of chance and is in constant use in decision making (Ruelle, 1991). It is a measure of how likely an outcome will be and is commonly expressed as a percentage where $100 \%$ represents certainty and $0 \%$ represents no chance at all. However, in scientific research, probability is most frequently expressed as a number between 1 and 0 , where 1 represents certainty and 0 represents no probability. Probability is also expressed as a ratio such as 1 in 25 , meaning that there is only one chance of a particular outcome given that there are 25 possible outcomes. In this case a ratio of 1 in 1 represents certainty, but no chance at all is not well defined being 1 in (an infinitely large number). The representation should not be confused with odds, which is a ratio of a particular outcome compared with the number of alternative outcomes. For example the probability of throwing a six on a fair die is 1 in 6 , but the odds are 1 to 5 (Bland \& Altman, 2000).

There are three basic assertions of the mathematical representation of probabilities:

1. $P(\operatorname{Not} A)=1-P(A)$
2. If A and B are incompatible then $P(A$ or $B)=P(A)+P(B)$
3. If A and B are independent then $P(A$ and $B)=P(A) * P(B)$

Two events are said to be incompatible if they cannot occur together (Mutually exclusive). Two events are said to be independent if they are unrelated.

Probability is used extensively in this research to determine significance and confidence in results. More specific applications of probability corresponding to various areas of the research covered in this thesis are described in more detail in following chapters. Some of the general concepts are presented here.

### 4.2.1 Factorial

Factorials are designated by the symbol! and frequently feature in probability calculations. The factorial function is formally defined by: -

$$
\begin{equation*}
n!=\prod_{k=1}^{n} k \quad \forall n \in N \tag{4.10}
\end{equation*}
$$

noting the special case where: -

$$
\begin{equation*}
0!=1 \tag{4.11}
\end{equation*}
$$

In other words, the product of no numbers at all is 1 . This assertion for factorials is useful because the recursive relation: -

$$
\begin{equation*}
(n+1)!=n!\times(n+1) \tag{4.12}
\end{equation*}
$$

works for $n=0$ and so this definition makes many identities in combinatorics valid for zero sizes. In particular, the number of combinations or permutations of an empty set is, simply, 1 .

A simple algorithm is given in Algorithm 1 for the solution of factorials and this works well for factorials of 170 of less.

```
Algorithm 1 Factorial(number)
Require: result }\Leftarrow1\mathrm{ and }i\Leftarrow
    while }i\leqnumber d
        result }\Leftarrow\mathrm{ result }\times
        i\Leftarrowi+1
    end while
    return(result)
```

The problem with factorials is that they become very large numbers and quickly become intractable. E.g:-

$$
\begin{equation*}
450!=1.73336873 \ldots 10^{1,000} \tag{4.13}
\end{equation*}
$$

This number exceeds the range available in most programming languages. In the

C programming language, double precision values type cast as double have 8 bytes, so the double type contains 64 bits; 1 for sign, 11 for the exponent, and 52 for the mantissa. Therefore, this type has a range of approximately $1.7 * 10^{-308}$ to $1.7 * 10^{308}$ and severely limits $n!$ such that $n \leq 170 .{ }^{1}$

A simple solution is to return the factorial as a logarithm as demostrated in Algorithm 2, remembering to process the result as a logarithm in all further calculations.

```
Algorithm 2 Factorial(number). (Result returned as a logarithm)
Require: result \(\Leftarrow 0\) and \(i \Leftarrow 1\)
    while \(i \leq\) number do
        result \(\Leftarrow\) result \(+\log (i)\)
        \(i \Leftarrow i+1\)
    end while
    return(result)
```


## Stirling's approximation

The factorial definition given above works only for integers. Where $n$ is not an integer we can use Stirling's approximation:

$$
\begin{equation*}
n!\sim n^{n} e^{-n} \sqrt{2 \pi n} \tag{4.14}
\end{equation*}
$$

where the sign $\sim$ indicates that the ratio of the two sides of the equation tend to unity as $n \rightarrow \infty$ (Feller, 1950).

### 4.2.2 Random Selection

Consider a large set of items of $n$ different types. Then the following list summarizes the number of distinct ways in which $k$ items can be selected:

[^14]1. Ordered samples selected with replacement:

$$
\begin{equation*}
n^{k} \tag{4.15}
\end{equation*}
$$

2. Permutation, which is ordered samples selected without replacement:

$$
\begin{equation*}
n P k=\frac{n!}{(n-k)!} \tag{4.16}
\end{equation*}
$$

For example, if we pick $k=3$ letters, $(a, b, c)$ from $n$ letters, then all permutations of those 3 letters will count.
3. Combination or Binomial coefficient, which is unordered samples selected without replacement:

$$
\begin{equation*}
n C k=\binom{n}{k}=\frac{n!}{k!(n-k)!} \tag{4.17}
\end{equation*}
$$

The order is irrelevant so $(a, b, c)$ is the same as $(a, c, b),(b, a, c)$ etc., i.e the permutations are not counted.

There are examples of the application of permutation and combination to establish significance in results, which are discussed in Chapters 6, 7 and 8.

### 4.2.3 Poisson Distribution

Poisson distribution describes the probability of the actual number of events occurring in any interval given an expected average. For example, if the average number of random occurrences per interval is given by $\lambda$, the probability $P$ of $k$ occurrences in the interval is given by equation 4.18 below.

$$
\begin{equation*}
P(k)=\frac{e^{-\lambda} \lambda^{k}}{k!} \tag{4.18}
\end{equation*}
$$

where $k$ is an integer (Papoulis, 1965) (Karr, 1993). The equation describes
the probabilities of random occurrences and is applicable to intervals in time or space.

As an example, consider a bus service that randomly despatches on average five buses per hour. This is an example of a Poisson distribution in time. Intuitively one would expect a bus to turn up once every 12 minutes, but the probability of exactly one bus arriving in that 12 minute interval is in fact:

$$
\begin{equation*}
P(k)=\frac{e^{-1} 1^{1}}{1!}=e^{-1}=0.368 \tag{4.19}
\end{equation*}
$$

which is a less than evens chance. But, any passenger would be happy to take the first bus of 2 or more that turns up in the same interval so the passenger is more interested in the chances of any bus arriving in the 12 minute interval. The probability of any bus turning up is equal to one minus the probability that no buses turn up, as given by:

$$
\begin{equation*}
P(k=\text { not } 0)=1-\left[\frac{e^{-1} 1^{0}}{0!}\right]=1-\left[e^{-1}\right]=0.642 \tag{4.20}
\end{equation*}
$$

Note: $0!=1$ (see Section 4.2.1)
This will come as little surprise to bus passengers in busy city centres where the buses cannot run to a timetable due to the traffic congestion.

The Poisson distribution is ideal for describing events over time or space at a fixed rate on average, although occurring independently and at random (Altman, 1991, p66). It should be noted that Poisson distributions are asymmetric when the mean is small, but become symmetrical as the mean increases (Altman, 1991). This phenomenon is explored in more detail in Chapter 5.

The research described in Chapter 5 on gene distribution utilizes the Poisson distribution in space. An example of this can be modelled by taking say, one hundred small boxes and throwing 500 marbles at the collection of boxes. Assuming that all of the marbles fall into the boxes, there should be an average of five marbles in each box. The probability of finding five marbles in each box can be calculated
from equation 4.18. In this way it can be seen that for an average of 0.9 marbles per box $(\lambda=0.9)$, intuitively it would seem there is a higher chance of one marble in a particular box than none, but in fact there is a higher probability of finding none.

This application of the Poisson distribution has been used to determine the probability of finding a given number of genes in a selected contiguous sequence on the genome and this is discussed in Chapter 5.

### 4.2.4 Binomial Coefficient

In probability theory and statistics, a binomial coefficient given by equation 4.17 above, is a coefficient of the $x^{k}$ terms in the expansion of the binomial $(1+x)^{n}$. For example, given that there are $n$ pizza toppings to select from, if one wishes to bake a pizza with exactly $k$ toppings, then the binomial coefficient expresses how many different types of such $k$-topping pizzas are possible. A simple algorithm suitable for calculating the binomial coefficient is given below in algorithm 3.

```
Algorithm 3 The Binomial Coefficient - \(\mathrm{nCk}(\mathrm{n}, \mathrm{k})\)
    if \((n \leq 0| | k<0| | k>n)\) then
        return
    end if
    if \(k<\frac{n}{2}\) then
        \(k \Leftarrow n-k\)
    end if
    acc \(\Leftarrow 1\)
    for \(i=0\) to \(k\) do
        \(a c c \Leftarrow a c c \times \frac{i+(n-k)}{i}\)
    end for
    return (acc)
```

However, algorithm 3 is limited to smaller values for $n$. Algorithm 4 returns the binomial coefficient as a logarithm allowing a much larger range for $n$. It is this algorithm that is used extensively in the research presented in Chapters 7 and 8.

```
Algorithm 4 The Binomial Coefficient returned as a logarithm - log_nCk(n, k)
    if ( \(n \leq 0| | k<0| | k>n\) ) then
        return
    end if
    if \(k<\frac{n}{2}\) then
        \(k \Leftarrow n-k\)
    end if
    \(a c c \Leftarrow 0\)
    for \(i=0\) to \(k\) do
        \(a c c \Leftarrow \operatorname{acc}+\log (i+(n-k))-\log (i)\)
    end for
    return(acc)
```


### 4.2.5 Binomial Distribution

In probability theory and statistics, the binomial distribution is the discrete probability distribution of the number of successes in a sequence of $n$ independent tests. These independent tests are also called Bernoulli experiments or Bernoulli trials. In fact, when $n=1$, the binomial distribution is a Bernoulli distribution. The binomial distribution is the basis for the popular binomial test of statistical significance, which is calculated using the probability mass function given in equation 4.22 .

## Probability mass function

Given that the expectation $E$ of a result from a number of Bernoulli trials is given by:

$$
\begin{equation*}
E=n p \tag{4.21}
\end{equation*}
$$

where $n$ is the number of trials and $p$ is the probability of the positive outcome.

Then the probability of a result of $k$ examples is given by:

$$
\begin{equation*}
f(k ; n, p)=\binom{n}{k} p^{k}(1-p)^{n-k} \tag{4.22}
\end{equation*}
$$

The probability mass function is essential in the calculation of significance in Chapter 8.

## Poisson approximation

The binomial distribution converges towards the Poisson distribution as the number of trials goes to infinity while the product $n p$ remains fixed. Therefore the Poisson distribution with parameter $\lambda=n p$ can be used as an approximation to $B(n, p)$ of the binomial distribution if $n$ is sufficiently large and $p$ is sufficiently small. According to two rules of thumb ${ }^{2}$, this approximation is good if $\mathrm{n}=20$ and $\mathrm{p}=0.05$, or if $\mathrm{n}=100$ and $\mathrm{np}=10$.

Note that, as above, the expectation $E=n p$.

### 4.2.6 Multinomial Distribution

The multinomial distribution is a generalization of the binomial distribution.
For $n$ trials where $n>0$ and $p_{1}, \cdots, p_{k}$ event probabilities where $\left(\Sigma_{p_{i}}=1\right)$, the probability mass function (abbreviated pmf) is a function that gives the probability that a discrete random variable is exactly equal to some value.

$$
\begin{equation*}
p m f=\frac{n!}{x_{1}!\cdots x_{k}!} p_{1}^{x_{1}} \cdots p_{k}^{x_{k}} \tag{4.23}
\end{equation*}
$$

and $E\left(X_{i}\right)=n p_{i}$

[^15]
### 4.3 Multiple Hypothesis Testing: Bonferroni Correction

One of the problems with multiple hypothesis testing is that, as more tests are made, there is a higher likelihood of finding a significant result by chance. The Bonferroni Correction is a safeguard against multiple tests of statistical significance on the same data, where any 1 in 20 hypotheses tested will appear be significant at the $P=0.05$ level purely due to chance. This correction to significance is suited more to social science methods and clinical trials where the number of tests is generally small and significant results are often presented in isolation of the whole series of tests.

If testing $n$ independent hypotheses on a set of data, then the statistical significance of each hypothesis should be reduced by a factor of $n$, by multiplying the $P$ value by $n$. This approach is naive because it works on the premise that, given a statistical significance of $P=0.05,1$ test in 20 tests will be significant by chance when in fact 1 test in 20 tests may be significant by chance.

A disadvantage of Bonferroni is if a very large number of tests are done (e.g. 10,000 ) then the $P$ - value becomes so small after correction that it can become impossible for any result to be significant. This will lead to the loss of important results.

The research described in Chapter 5 has produced close to 1000 results and the significance of those results has been presented by way of ranking where a ranking of 1000 is equivalent to a $P$-value $\leq 0.001$. It has been suggested by reviewers of this work that Bonferroni correction should be applied to the significance results. However, due to the large number of results, Bonferroni correction produces uninformative significance figures and consequently, it has not been applied. Furthermore, the significance of some outlying results is directly affected by the general overall results, which would be obscured by Bonferroni correction (Explained in more detail in Chapter 5). However, some degree of consideration should be given to multiple hypothesis correction when assessing the significance of the results presented in Chapter 5.

### 4.4 The Greenwood Statistic

The Greenwood statistic is a spacing statistic suitable for the detection of uniformity of a locational distribution or, conversely, how clustered a distribution is (Greenwood, 1946). In preliminary testing we have found this statistic to be a more sensitive test of clustering than the window sampling method and produces more reliable results where data are sparse (Riley et al. , 2007).

In general, for a given sequence of events in time or space the statistic is given by:

$$
\begin{equation*}
G(n)=\sum_{i=1}^{n+1} D_{i}^{2} \tag{4.24}
\end{equation*}
$$

where $D_{i}$ represents the interval between events and is a number between 0 and 1 such that the sum of all $D_{i}=1$.

Where intervals are given by numbers that do not represent a fraction of the entire sequence, such as the base pair locations of genes, the Greenwood statistic can be modified (D'Agostino \& Stephens, 1986) and is given by:

$$
\begin{equation*}
G(n)=\frac{\sum_{i=1}^{n+1} X_{i}^{2}}{T_{n}^{2}} \tag{4.25}
\end{equation*}
$$

where:

$$
\begin{equation*}
T_{n}=\sum_{i=1}^{n+1} X_{i} \tag{4.26}
\end{equation*}
$$

and $X$ represents the base pair length of the interval between start loci of the genes.

The Greenwood statistic is a comparative measure that has a range of values between 0 and 1 , which is inversely proportional to the number of points being analysed for a sequence of a given length. For example, applying the Greenwood statistic to a sequence of length 55 with eleven evenly spaced points each 5.5 units apart would give a result of 0.1 . For a clustered sequence of six points 10 units
apart with a cluster of five points 1 unit apart the result is 0.167 . The result for a random distribution of 11 points on the sequence will fall somewhere between these values ${ }^{3}$.

We have used the Greenwood statistic to determine the nature of the locational distribution of genes in Arabidopsis thaliana and this work is described in Chapter 5.

### 4.5 Summary

The mathematical tools described in this chapter all prove to be very useful in the analysis of functional genomics, epigenetics and phylogenetics presented in this thesis. The use of sampling and Gaussian smoothing was essential in the preparatory work required for the research described in Chapter 5. A novel application of the Greenwood statistic is also presented in Chapter 5. The use of probability and expectation are used throughout Chapters 6, 7 and 8 to determine significance.

[^16]
## Chapter 5

## The Locational Distribution of Genes in Arabidopsis thaliana

### 5.1 Introduction

This chapter details the work published by the author and others in BMC Bioinformatics (Riley et al. , 2007) and is essentially concerned with the statistical analysis of gene frequency and the locational distribution of genes classified by molecular function in the model organism Arabidopsis thaliana. For more information on this model organism see Section 3.1.

The research in this chapter focuses on the locational distribution of genes and their functions in genomes, as this distribution has both functional and evolutionary significance. Gene locational distribution is known to be affected by various evolutionary processes, with tandem duplication thought to be the main process producing clustering of homologous sequences. Recent research has found clustering of protein structural families in the human genome, even when genes identified as tandem duplicates have been removed from the data (Mayor et al. , 2004). However, this previous research was hindered as they were unable to analyse small sample sizes. This is a challenge for bioinformatics as more specific functional classes have fewer examples and conventional statistical analyses of these small
data sets often produces unsatisfactory results.
Further on in this chapter, a novel bioinformatics method based on Monte Carlo methods and Greenwood's spacing statistic is introduced. It is a method for the computational analysis of the distribution of individual functional classes of genes. We used this to make the first comprehensive statistical analysis of the relationship between gene functional class and location on a genome. Analysis of the distribution of all genes except tandem duplicates on the five chromosomes of $A$. thaliana reveals that the distribution on chromosomes I, II, IV and V is clustered at $P=0.001$ (see Section 5.2.6). Many functional classes are clustered, with the degree of clustering within an individual class generally consistent across all five chromosomes. A novel and surprising result was that the locational distribution of some functional classes were significantly more evenly spaced than would be expected by chance.

### 5.1.1 The Locational Distribution of Genes

It was once thought that the distribution of genes on the chromosomes of eukaryotes was essentially locationally independent, i.e. knowledge of the position of $n$ genes on the chromosome does not help you to find the $n+1$ th gene (just as knowledge of $n$ tosses of a fair coin do not help you to predict the $n+1$ th toss). However, recent studies on the genomes of Homo sapiens and Caenorhabditis elegans have challenged this view (Mayor et al. , 2004; Blumenthal \& Gleason, 2003; Blumenthal, 2004).

There has been considerable research into the location of genes in prokaryotes since the discovery of the operon in Escherichia coli (Jacob \& Monod, 1961). The genome of $E$. coli has a heterogeneous gene frequency distribution overall (Riley et al. , 1978), but is divided into areas of homogeneous gene frequency (De Martelaere \& Van Gool, 1981). Recent research has found scale invariant correlations (Audit \& Ouzounis, 2003), convergence of coregulating regions (Warren \& ten Wolde, 2004b), periodicity (Képès, 2004) and strong compositional asymmetries between leading and lagging strands (Rocha et al. , 1999). However, protein syn-
thesis and the structure of the genome in eukaryotes is altogether very different from prokaryotes and consequently the mechanisms affecting gene location in eukaryotes are likely to be very different.

An important consideration in the location of genes is the existence of operons (see Section 2.1.3). Operons afford an organism an evolutionary advantage by having co-operating or interdependent genes located in close proximity on the genome. This would be a contributing factor to a non-random distribution of genes on the genome. To date however, operons have not been found in $A$. thaliana and therefore cannot be considered when explaining the distribution of genes in the organism.

Among the many reasons why genes may not be located independently is the process of genetic mutation by tandem duplication. Tandem duplications (aka tandem repeats) are genetic mutations where a sequence of nucleotides becomes duplicated, with the duplicated sequence lying adjacent to the original sequence. Where tandem duplication extends to duplicating an entire gene, the resulting redundant gene can freely acquire mutations and emerge with a refined or entirely new function (Ohno, 1970). Tandem duplications that include complete genes may produce clusters of identical genes, which become mutated further through subsequent evolution to produce a cluster of similar genes. When considering gene function, it is likely that these genes will belong to the same functional class.

It is still not clear for eukaryotic genomes whether all gene clusters occur simply as a consequence of genetic mutations such as tandem duplication, or whether there is a functional benefit to gene clustering that conveys an evolutionary advantage. The persistence of intragenic repeats in certain classes of genes implies a possible evolutionary advantage. For example, in the genome of Saccharomyces cerevisiae most genes containing intragenic repeats encode cell-wall proteins (Verstrepen et al. , 2005). We may gain some insight by isolating the known causes of clustering and analysing the gene distributions that remain. Most research looking into the distribution of genes has focused attention on what are loosely described as clusters (Durand \& Sankoff, 2003), and has largely involved analysing histograms of gene loci. In organisms with large genomes, such as Homo sapiens, dense clusters of
genes are clearly visible in the histograms (Venter et al., 2001). However, in organisms with more compact genomes, such as A. thaliana, the distribution of genes is more difficult to analyse visually. Therefore, a more directly statistical approach is required.

### 5.1.2 Methodology Overview

In the first part of this study we analyse the locational distribution of all known genes after removing tandem duplicates and genes in the centromeric regions. We use a sliding window analysis where we take the standard deviation of the results as a measure of the degree of clustering and compare with randomly generated sequences of gene locations (see Section 5.2). If tandem duplication and the centromeres are the sole causes of clustering we would expect to obtain locationally independent distributions, which would be statistically related to distributions of genes placed at random on a simulated chromosome. However, the results reveal that, after the removal of the centromeres and tandem repeats, the distribution of all known genes is still locationally dependent.

Further in this study we analyse the locational distribution of genes classified by molecular function. Here we introduce Greenwood's spacing statistic which uses the distances between points or the time between events to give a comparative measure of clustering of those points or events. Low values tending to 0 , are indicative of points being evenly spaced apart, whereas high values tending to 1, indicate that points are clustered (see also Section 4.4). Values roughly half way between indicate that the points are distributed at random. We compare the results with those of randomly selected gene locations on the original sequence (see Section 5.2). This gives us a relative measure of how clustered or how evenly spaced the distribution is compared to a locationally independent distribution. We establish the locationally independent distribution using Monte Carlo methods (Metropolis \& Ulam, 1949) and by using this method we do not need to exclude genes in the centromere, but we do exclude tandem duplicates.

Again, the results reveal that the distribution of molecular functional classes of
genes is not locationally independent.

### 5.2 Methods

We first analysed the overall gene distribution using a standard statistical technique, then analysed individual functional class distribution using the Greenwood spacing statistic.

### 5.2.1 Data

The gene data to be analysed were downloaded from the MIPS ${ }^{1}$ website in April 2005. This version of the data was dated $5 / 5 / 04$. This data was used to extract the base pair (BP) start loci, end loci and BP lengths together with the gene identifiers (IDs). The Gene Ontology ${ }^{2}$ molecular function annotations (version $3.230-31 / 3 / 2005)$ were downloaded from the TIGR $^{3}$ website. From this we extracted lists of gene IDs for each classification (The Gene Ontology Consortium, 2000). We examined all molecular functional classes that had at least 100 instances across the entire genome with any evidence code ${ }^{4}$. The classes were arranged in levels of increasing specificity. Excluding the obsolete and unknown classes, there are 10 subclasses of the molecular function class. These we have designated as the level 1 classes. The subclasses of these level 1 classes were designated as level 2, and so on for levels 3 and 4 . This data was cross referenced with the loci data set to obtain a data set of the loci of each class of genes. This dataset was then used to analyse the distribution of genes on the chromosomes of $A$. thaliana. The molecular functional classes analysed are listed in Appendix A together with the results.

[^17]
### 5.2.2 Removal of Tandem Duplicates

Previous research (Mayor et al., 2004) has demonstrated that tandem duplicates have an impact on the degree of clustering. We were therefore interested in examining how tandem duplicates affect the gene distributions in $A$. thaliana. The Arabidopsis Genome Initiative (AGI) have published data on genes thought to be tandem duplicates. They identified these tandem duplicates using BLASTP (Altschul et al., 1997) with a threshold of $E<10^{-20}$ and one unrelated gene among cluster members was tolerated. By this method they identified 3737 tandem duplicates in 1456 tandem arrays. The latest data on tandem duplicates (release 5.0) was downloaded from the TIGR website.

To confirm these results we used BLAST version 2.2.13 (see 2.3.4) to identify tandem duplicates. We used the same threshold as the AGI of $E<10^{-20}$, but we did not tolerate any unrelated genes within cluster members. We identified a similar number of genes to the data downloaded from TIGR. However, we chose to use the TIGR tandem duplicates data in our further analysis.

All of the genes identified as tandem duplicates were removed from the molecular function class data except for the first gene in each array. A total of 2281 tandem duplicate genes were removed. Clearly, the interval between the remaining gene marking the location of the tandem array and its nearest neighbour is marginally extended, but this has a negligible impact on the results.

### 5.2.3 Distribution of All Genes

To determine the distribution of all genes on each chromosome of $A$. thaliana we used a sampling window to sum the intergene gap lengths within each of the windows along the entire chromosome minus the centromere (see below). The length of the sampling window was chosen such that the mean for the number of genes in each window is 10. This is a compromise between Poisson asymmetry from smaller windows (see below) and clustering insensitivity from larger windows. Sampling windows were applied sequentially with no overlap. A test example using
a $10 \%$ overlap gave only a marginal improvement in clustering sensitivity, but at a tenfold cost in processing time.

We used the standard deviation of the results obtained from the above method as a measure of the clustering of the distribution; a high standard deviation would imply a higher degree of clustering. This is because the limiting case would be a constant intergene gap distance ( 0 standard deviation) which would give an evenly spaced distribution (minimum clustering). To determine how clustered the distributions are, the results are compared to a Monte Carlo simulation (Metropolis \& Ulam, 1949) of locationally independent events. Each Monte Carlo trial involved creating a 'pseudo-chromosome' by randomly selecting a gene gap length from the original gene data and then randomly selecting a gene length from the original data. Once a gap length or gene length had been selected it was removed from the random selection procedure so that each datum is selected without replacement. The random selection of gap lengths and gene lengths continues for all the genes in the chromosome being analysed. We are therefore effectively scrambling the locations of the genes. Once the 'pseudo-chromosome' is created, the same statistical analysis is used to obtain the standard deviation of the number of genes in each window. The generation of 'pseudo-chromosomes' in this way is equivalent to a null model that states that all the clustering is due to the known first-order distribution of lengths of genes and gaps between genes. One thousand Monte Carlo trials were taken, producing one thousand values for the standard deviation. The mean value of the standard deviations was recorded and this gives a reliable measure of the clustering of the distribution of genes on a chromosome where the genes are randomly distributed, and so this value can be used for comparison to the original.

As it is well known that genes are depleted within the centromeric regions of eukaryotic chromosomes (Alberts et al. , 2002), inclusion of the centromeric region in this analysis would directly indicate clustering. Therefore, since we were more interested in the distribution of genes in the 'main' sequence of the chromosome it was necessary to exclude the data from the centromere of each chromosome. From a gene frequency plot the approximate centre of the centromeres could easily be identified. An example of one of these plots for chromosome I is shown in Figure
5.1. The centromeric regions were then identified as regions where the average gene frequency for a sampling window of $31,000 \mathrm{bp}$ fell below 6.5 for all contiguous sampling windows about the approximate centre of the centromere. A total of 6200 genes were excluded, which is a fairly large number, but ensures we have excluded all centromeric gene depletion. Details of the beginning and end of each centromere and the genes excluded are shown in Table 5.1.


Figure 5.1: An example of a plot of gene frequency smoothed to reveal the extent of the centromeric region in chromosome I. Similar plots for the remaining chromosomes were also used to produce the areas of the chromosome to be excluded, which are displayed in Table 5.1. Note that the approximate base pair locations are taken from the x -axis multiplied by the number of samples ( 31,000 for this example).

| Chromosome | Start (Mbp) | End (Mbp) | Genes excluded |
| :--- | :--- | :--- | :--- |
| 1 | 11.5 | 18.5 | At1g32000 - At1g50919 |
| 2 | 0.0 | 7.2 | At2g01050 - At2g16160 |
| 3 | 9.1 | 17.1 | At3g25100-At3g47090 |
| 4 | 0.0 | 6.0 | At4g00010 - At4g11240 |
| 5 | 5.4 | 16.9 | At5g16500 - At5g42320 |

Table 5.1: Details of the centromeric regions excluded from the analysis showing the start and end locations of the centromeres determined by the method given in the text.

### 5.2.4 The Locational Distribution of Functional Classes of Genes

The locational distribution of genes on both W and C strands of each chromosome classified by molecular function was also considered. Mayor et al. (2004) have previously used a symmetric Poisson distribution to study the related problem of the locational distribution of structural classes of proteins in the human genome. This Poisson distribution based approach has the disadvantage that as the expectation or mean decreases the Poisson distribution becomes asymmetric (Altman, 1991). As some of the classes have less than ten examples on some strands this approach is therefore problematic.

By plotting a series of graphs of the Poisson distribution for a range of expectations from 0 to 10 in increments of 0.5 , it can be clearly seen that expectations below 4.5 produce a significantly asymmetric Poisson distribution, resulting in unreliably skewed results. Sampling with an expectation above 4.5 results in there possibly being too few samples for analysis in the smaller data sets such as the molecular function classes at more specific levels in the Gene Ontology hierarchy. The standard error calculated from equation (5.1) where $n$ is the number of samples and $\sigma$ is the standard deviation (Yule \& Kendall, 1946), means that for a set of data of just two or three samples the standard error is thus about $40-50 \%$.

$$
\begin{equation*}
s e_{\sigma}=\frac{\sigma}{\sqrt{2 n}} \tag{5.1}
\end{equation*}
$$

As a general 'rule of thumb' any statistic should only be considered significant
if it exceeds two standard errors (Tabachnick \& Fidell, 1996) and consequently, we would be looking for a standard deviation to vary by $80-100 \%$ to be significant. This is unlikely to be informative and so an alternative approach was considered.

### 5.2.5 The Greenwood Statistic

The Greenwood statistic is a more sensitive measure of clustering than using the variance in the number of samples found within a sampling window. This increased sensitivity comes at the expense of location information or, put more simply, we can detect the existence of clusters but we cannot tell where the clusters are. At this stage in this research we are more interested in the existence of clustering so the Greenwood statistic is more suitable. Details of the Greenwood statistic can be found in Section 4.4.

To determine significance levels for the Greenwood statistic on gene function we used a Monte Carlo approach based on comparing the Greenwood statistic for a particular functional class of genes, with the Greenwood statistic for a thousand simulated chromosomes. These simulated chromosomes are created by randomly selecting the same number of genes as the class under investigation, from any class of genes on the chromosome. In this way we are using the distribution of genes on the existing chromosome as a null model from which we can make a comparison and thereby alleviating the need to exclude genes in the centromeres. By evaluating the Greenwood statistic for one thousand simulated chromosomes we obtained an empirical distribution of the probability of the evenness or clustering of a random distribution. The results of the Greenwood statistic for one thousand simulated chromosomes are arranged by order of value giving us a ranking by which we can compare the Greenwood statistic of the molecular function class under investigation.

To apply the Greenwood statistic accurately to the distances between genes (or intervals on the chromosomes) it is important that the simulated chromosomes generated are exactly the same length as the original chromosome. Also, the
interval from the beginning of the chromosome to the start of the first gene and the interval from the end of the last gene to the end of the chromosome must be included in the data.

The random selection algorithm used for the Monte Carlo trials utilized Park and Miller's minimal standard congruential multiplicative random number generator (Park \& Miller, 1988) ensuring good properties of a random number generator.

### 5.2.6 Ranking and P -values

Note that rankings used throughout this chapter range from 1 to 1000 and a ranking of 500 represent the results we would expect from a locationally independent distribution. Rankings below 500 are increasingly evenly spaced distributions and rankings above 500 are increasingly clustered distributions.

Note that the P -values ( P ) given in the introduction are obtained from the ranking thus:-

$$
\begin{equation*}
P=\frac{(1000-\text { ranking })+1}{1000} \tag{5.2}
\end{equation*}
$$

### 5.3 Results

### 5.3.1 Distributions of All Genes

The results for the distribution of all genes without tandem duplicates are briefly summarized in Table 5.2, which shows that the genes on all five chromosomes of $A$. thaliana are significantly more clustered than would be expected from a locationally independent distribution.

We can use the standard deviation as a measure of clustering, as explained in the methods section, and we can use the standard error as a measure of the significance

| Chr | Rank | Original SD | Mean MC SD | Std Err |
| :--- | :--- | :--- | :--- | :--- |
| 1 | 1000 | 2.71 | 2.43 | 0.054 |
| 2 | 1000 | 2.67 | 2.31 | 0.052 |
| 3 | 957 | 2.42 | 2.29 | 0.051 |
| 4 | 1000 | 2.74 | 2.44 | 0.054 |
| 5 | 1000 | 2.51 | 2.19 | 0.049 |

Table 5.2: Table detailing the ranking (see Section 5.2.6), the standard deviation in the distribution of the original genes (Original SD), the mean of 1000 standard deviations from the Monte Carlo simulations (Mean MC SD) and the standard error (Std Err) on all five chromosomes (Chr). The standard deviation gives us a measure of clustering. The significance of these results can be determined from the difference between original standard deviation (Original SD) and the mean standard deviation for all Monte Carlo simulations (Mean MC SD), divided by standard error (Std Err).
of the result. We establish the null hypothesis from the mean standard deviation of 1000 Monte Carlo trials of randomly generated chromosomes. Refering to Table 5.2 , we can see that the standard deviation (Original SD) for chromosome I is 2.71 and the mean standard deviation for 1000 Monte Carlo trials of randomly generated chromosomes (Mean MC SD) is 2.43. The standard error for the size of this data set (Std Err) is 0.054 . The difference between the standard deviations divided by the standard error is 5.18 ; i.e. the standard deviation for chromosome I is 5.18 standard errors from the null hypothesis. Any result greater than two standard errors should be considered significant (Tabachnick \& Fidell, 1996) so we can see that this result is very significant.

The standard deviation of the distribution of genes on chromosomes I, II, IV and V ranked 1000 out of 1000 Monte Carlo simulations of a random chromosome. The standard deviations for these chromosomes exceeded 5 standard errors of the mean standard deviation for the Monte Carlo simulations. The standard deviation of chromosome III ranked 957 out of 1000 and had a value of 2.34 standard errors from the mean, which indicates that this result is significant, but there is a small probability that this distribution could occur by chance.

| Level | Ave. ranking (TD removed) | Ave. ranking (all) |
| :--- | :--- | :--- |
| 1 | 713 | 796 |
| 2 | 705 | 779 |
| 3 | 652 | 725 |
| 4 | 675 | 745 |

Table 5.3: Average ranking of all the functional classes analysed with and without tandem duplicates (TD) on all five chromosomes of $A$. thaliana from four levels of the Gene Ontology hierarchy showing that the degree of clustering of the distribution of broadly classified genes is similar to that of the more specific classifications.

### 5.3.2 The Locational Distribution of Functional Classes of Genes

The full results for the distribution of individual functional classes are listed in 20 tables in Appendix A. The tables are arranged so that each table lists the results for each of the five chromosomes over four levels of the Gene Ontology hierarchy (explained in more detail in the methods section) making 20 tables in total.

The Greenwood statistic of each functional class was compared to 1000 Monte Carlo simulations of a random distribution of the same number of genes as found in each functional class. The average rankings of the Greenwood statistic for all classes in all four levels of the Gene Ontology hierarchy across all five chromosomes are listed in Table 5.3. These show that, in general, the functional classes are more clustered than would be expected from a locationally independent distribution. Furthermore, referring to the supplementary tables in Appendix A, we can see that $12 \%$ of functional classes in level 1 were super-clustered having a ranking of 1000 out of 1000 .

For each class there are ten results representing the relative ranking of the Greenwood statistic compared to the null hypothesis, one for each strand on each of the five chromosomes. The individual results can be found in Tables A.1, A.2, A.3, A. 4 and A. 5 in Appendix A. To better visualize these results for the 10 most populated functional classes at level 1 we used the R statistics software package ( R Development Core Team, 2005) to create box and whisker plots (aka boxplots)
(Tukey, 1977) and these are displayed in Figure 5.2 ${ }^{5}$. The circles represent outliers as interpreted by the default boxplot parameters of the R statistics software.

[^18]

Figure 5.2: Distribution of rankings of the functional classes without tandem duplicates at level 1, the ten most general functional classes of the GO hierarchy of both W and C strands across all five chromosomes of Arabidopsis thaliana. The labels on the x axis refer to the Gene Ontology classifications described in Table 5.4. The $y$ axis is representative of the relative degree of clustering of genes, where 500 indicates what we would expect if the genes are located at random, above 500 is increasingly clustered and below 500 the genes are increasingly evenly spaced apart. This plot demonstrates that different functional classes have remarkably different degrees of clustering.

| Class No. | Description |
| :--- | :--- |
| GO:0003824 | Catalytic activity |
| GO:0004871 | Signal transducer activity |
| GO:0005198 | Structural molecule activity |
| GO:0005215 | Transporter activity |
| GO:0005488 | Binding |
| GO:0016209 | Anti oxidant activity |
| GO:0030234 | Enzyme regulator activity |
| GO:0030528 | Transcription regulator activity |
| GO:0045182 | Translation regulator activity |
| GO:0045735 | Nutrient reservoir |

Table 5.4: Descriptions of the Gene Ontology annotations used in the boxplots in Figure 5.2 and Figure 5.3.

### 5.3.3 Clustered Distributions

The functional classifications at level 1 are very broad. It is therefore surprising that there is a marked difference in the degree of clustering among the functional classes. The plots of the genes associated with structural molecule activity (GO:0005198), anti oxidant activity (GO:0016209), translation regulator activity (GO:0045182) and nutrient reservoir classification (GO:0045735) are examples of the distributions that might be expected from these broad classifications, as they show no significant clustering on all five chromosomes for these functional classes. However, most of the functional classes show a high degree of clustering that prevails across all five chromosomes. The plots for genes associated with catalytic activity (GO:0003824), transporter activity (GO:0005215), enzyme regulator activity (GO:0030234), transcription regulator activity (GO:0030528) and binding (GO:0005488) indicate that these functional classes are consistently and very highly clustered throughout the genome.

A number of molecular function subclasses of the five main clustered classes mentioned above are also super-clustered having a ranking of 1000 out of 1000 . Referring to the results in the tables in Appendix A it can be seen that at level 2 we found five out of ten super-clustered instances of transcription factor activity (GO:0003700), which is a subclass of transcription regulator activity. For
the binding class we found 3 out of 10 super-clustered instances of nucleic acid binding (GO:0003676), one of nucleotide binding (GO:0000166), one of protein binding (GO:0005515) and one of lipid binding (GO:0008289) and at level 3 we have one instance of DNA binding (GO:0003677) and one of purine nucleotide binding (GO:0017076). Finally, there are 8 super-clustered subclasses of catalytic activity, which can be found on levels 2,3 and 4 .

With catalytic activity class members displaying such a consistency in clustering it was surprising to find that there was one class member at level 4 , calcium ion binding (GO:0005509), that had one instance displaying a very evenly spaced distribution with a ranking of 0 out of 1000 . Looking at molecular function classes from all levels in the GO hierarchy we found 9 instances of evenly spaced distributions with a ranking of 25 or less out of 1000 , which were all members of three of the five main clustered classes, with just two exceptions that belonged to the signal transducer activity class (GO:0004871).

We repeated these statistical analyses without removal of tandem duplicates. This resulted in slightly more evidence for clustering but did not affect any major conclusion. The results of this analysis are summarised in Figure 5.3.


Figure 5.3: Distribution of rankings of the functional classes including tandem duplicates at level 1 of the GO hierarchy of both W and C strands across all five chromosomes of Arabidopsis thaliana. The labels on the x axis refer to the Gene Ontology classifications. Refer to Table 5.4 for a description of these annotations. This plot is the same as Figure 5.2, but with the tandem duplicates included. This demonstrates that tandem duplicates increase clustering by a small degree in all of the most general functional classes. Note that we found some more specific classes at level 4 that were much less susceptible to tandem duplication (see main text).

### 5.3.4 Evenly Spaced Distributions

We also took a closer look at three specific molecular function classes at level 4 in the GO hierarchy which showed very evenly spaced distributions. These were calcium ion binding activity, G-protein receptor activity and metallopeptidase activity.

## Calcium ion binding activity

Genes associated with calcium ion binding activity (GO:0005509) have a very evenly spaced distribution on the W strand on chromosome IV, having a Greenwood statistic ranking of 0 out of 1000 . Closer analysis of these 275 genes shows that $9 \%$ of these genes are tandem duplicated compared to the average of $17 \%$ for all genes. Using the AGI data for tandem duplicates, 12 tandem arrays were identified, 11 tandem pairs and one tandem triplet. There were no observed tandem duplications on the W strand of chromosome IV.

## G protein coupled receptor activity

Genes associated with G-protein coupled receptor activity (GO:0004930) displayed more evenly spaced distributions on both W and C strands on chromosome IV with statistic rankings falling in the lowest $4 \%$. There are 157 genes associated with G-protein receptor activity (GO:0004930) in A. thaliana, but only eight tandem duplicates have been identified. Furthermore, there were no tandem duplications on chromosomes II and IV. This class was particularly interesting because we found evenly spaced distributions and no tandem duplications on both strands of chromosome IV. However, there are also no tandem duplications on chromosome II, which has a highly clustered distribution. N.B. The location of G protein coupled receptor activity genes in the human genome are frequently distributed in tandem arrays.

## Metallopeptidase activity

Of the 172 genes associated with metallopeptidase activity (GO:0008237) only 10 were tandem duplications with one pair on chromosome I and two pairs and an array of four tandem duplications on chromosome V. This functional class has an average ranking for chromosomes I, II, III and V that is similar to the average ranking for all functional classes, but this class on chromosome IV ranks in the bottom $10 \%$ indicating a very evenly spaced distribution. This would indicate that evenly spaced distributions are not necessarily dependent on gene molecular function class.

These three molecular function classes where we have found evenly spaced distributions all have a lower than average frequency of tandem duplications.

### 5.4 Discussion

We have seen evidence of very high levels of clustering even after the removal of tandem duplicates for half of the number of molecular function classes at level 1. The remaining half showed higher than average levels of clustering compared to the Monte Carlo simulation with just one exception. Throughout the subclass levels 2,3 and 4 we find both extremes in that there are frequent occurrences of superclustered distributions and a number of distributions that are more evenly spaced than we would expect. Although it must be considered that the evenly spaced distributions could just possibly have occurred by chance, this seems unlikely and we consider these anomalous distributions to be worthy of more research.

### 5.4.1 Tandem Duplicates

Tandem duplication is thought to be one of the principal mechanisms of gene proliferation and is also thought to be the main cause of clustering. Our results confirm that tandem duplication is a cause of clustering, but is unlikely to be the sole cause. The results of the further analysis of genes associated with G protein
coupled receptor activity in A. thaliana indicate clearly that tandem duplications are not the only process that generate gene clustering since the distribution of this class on chromosome II is clustered, but contains no tandem duplications.

Another observation regarding tandem duplications is that genes of many individual classes show roughly the same degree of clustering across both strands on all five chromosomes, and this indicates that clustering is in some way dependent on gene molecular function. This may further imply that tandem duplications are gene molecular function dependent.

### 5.4.2 Evenly Distributed Classes of Genes

There are many reasons to expect clustered gene functional distributions as we have already discussed. There is also strong evidence for clustering of structurally related genes in the human genome (using a different statistical approach) (Mayor et al., 2004). It was therefore surprising to find that some functional classes on some chromosomes were significantly more evenly spaced than would be expected by chance. The evenly spaced distribution of some functional classes would imply something about the nature of genes of that molecular function. We have found that the classes displaying even distributions have fewer than average tandem repeats. It would seem that some gene functional classes do not appear to be so prone to tandem duplication. But, since tandem duplication is not the only cause of clustering there are likely to be other factors involved. For example, there maybe an evolutionary advantage in distributing essential genes evenly across the genome.

Other factors affecting the locational distribution of gene functional classes may include the 3 dimensional structure of the chromosome itself. The degree of coiling of the chromatin varies during the life cycle of the cell. When the chromatin is tightly coiled or highly condensed the number of genes physically available for expression is low. More genes are available for expression during the phases required for cell division when the chromatin is decondensed. The chromatin exists in a partially condensed state when a cell has matured. Evidently, in the matured
state, less genes are physically available for expression and clearly the genes required for the specific functions of the matured cell must be available. These genes will need to be located in regions of the chromosome that are available for expression and this could lead to both clustering and even spacing. Clustering because essential genes available for expression will occur in the physically accessible areas. Even spacing because the coiling/structure of the chromatin will lead to physically accessible regions having an inherent cyclic nature and essential genes located in these areas will have an evenly spaced distribution on the primary structure of the genome.

### 5.5 Conclusions

The distribution of all genes and the distribution of individual functional classes of genes in Arabidopsis thaliana were found to be more clustered than we would expect from a locationally independent distribution. Although tandem duplications contribute considerably to clustering, they are clearly not the only factor affecting the observed clustered distributions. This result is consistent with the observations of Mayor et al. (2004) on the distribution of protein structural domains in the human genome. We found three molecular function classes in $A$. thaliana that are significantly more evenly distributed than would be expected from a locationally independent distribution. The mechanism for this evenness is unknown. Both the evidence of clustering and the evidence of evenness implies that there are unexplained elements of order in the locational distribution of genes in A. thaliana.

## Chapter 6

## Pattern Mining: Gene Location

### 6.1 Introduction

This chapter continues the theme from the last chapter, but focuses on a more specific analysis of the location of genes on the genome. By using pattern mining we expect to obtain more detailed information on gene location. The patterns can be represented as queries and one such example query could be that, where we find genes of class A, do we find genes of class B close by? If so, is the expression of genes affected by their neighbours? Answers to these questions may reveal simple, or even highly complex systems of gene expression of some other form of gene 'communication'.

A large number of sources of research have concluded that the complex biology of an organism arises from more information than is contained in the DNA sequence alone (Goldberg et al., 2007). On the basis of this the view of chromatin has broadened to more than just DNA packaging. Chromatin is the name given to all the supporting proteins surrounding the DNA that were thought only to control the coiling and packing of the DNA when it is in a condensed state. Chromatin is now seen as instrumental in the regulation of gene expression and further, it is a complex network central to regulation of different genome functions. This network may be the determinant of gene activity by the maintenance and inheritance
of active and inactive chromatin states. Higher order chromatin structures are important for replication and 'faithful' separation of chromosomes and for spatial organization of genes within the nucleus.

To date, three principal specific 'non genetic' biochemical mechanisms have been identified: DNA methylation; histone modifications; and the binding of nonhistone proteins such as polycomb 2 and trithorax group complexes (Bock \& Lengauer, 2008). 'Non genetic' functions and processes such as these are considered to be the bridge between genotype and phenotype, and collectively they are known as epigenetics (Goldberg et al. , 2007).

### 6.1.1 Epigenetics

Conrad Waddington first introduced the term epigenetics in 1942 to mean "...the branch of biology which studies causal interactions between genes and their products, which bring the phenotype into being" (Waddington, 1942). The modern interpretation is that epigenetics is the field concerned with the molecular mechanisms that influence the phenotypic outcome of the gene or genome, in the absence of changes to the underlying DNA sequence.

The field of epigenetics is attracting increasing interest from many areas and particularly from cancer research. Epigenetic inheritance is encoded in modifications of the covalent bonding of the DNA and the chromatin proteins attached to it. There is now growing evidence that epigenetic 'errors' are more likely than genetic 'errors' and this is of particular interest in the study of cancers (Jones \& Baylin, 2007) (Schlesinger et al. , 2007) (Ohm et al. , 2007). Recently the epigenetic analysis of stem cells has started to unveil the basic circuitry of mammalian development (Bock \& Lengauer, 2008), (Surani et al., 2007).

Epigenetic factors are clearly important in gene expression and so it is clear that the physical locations of genes on the genome will have an impact on gene expression.

### 6.1.2 Gene Location

This chapter looks into the nature of genes with respect to their physical location on the genome. This is an area of epigenetics which has hitherto attracted very little attention.

The frequent pattern mining program, WARMR (see Section 2.5.2) was used to search for patterns in gene location. WARMR is a first order pattern mining algorithm and is required specifically for the analyses described in Sections 6.5 and 6.6. The analyses performed in Sections 6.3 and 6.4 could easily be performed by simpler relational mining algorithms such as Apriori. Furthermore, in some instances the data mining, such as in Section 6.3, could be achieved easily using non-optimised Prolog programs. However, WARMR is capable of, and was in fact used for performing the analyses required in all sections with no noticeable computational overhead. In this way the results are all produced in files of the same format and can be further analysed by the same Prolog programs for each section.

As previously discussed, supporting Prolog programs were also required to refine the results produced by WARMR and this resulted in the construction of a data mining system designed to find significantly frequent patterns in the location of genes on the genome. The system is named SPD (Significant Pattern Discovery).

The SPD system is used to find significant patterns in individual genes and their attributes in Section 6.3. In Section 6.4 the system is employed in the discovery of patterns in the nature of neighbouring pairs of genes. The SPD system is used in its fullest capacity in Sections 6.5 and 6.6 where Monte Carlo methods are employed to establish a null hypothesis in gene locations. This method was used to determine the significance of discovered patterns in localized and dispersed clusters of genes classified by molecular function.


Figure 6.1: The SPD frequent pattern analysis system

### 6.2 The SPD System

The SPD (Significant Pattern Discovery) system is an extension of the WARMR frequent pattern mining program enabling the user to sort and filter the results produced by WARMR and to easily determine patterns of significant frequency.

Many preliminary frequent pattern mining experiments were conducted during this research, which have not been included in this thesis. These experiments clarified many inherent problems with frequent pattern mining and showed that a more flexible system than the Apriori approach (see Section 2.5.1) was required.

Frequent pattern mining is computationally expensive in both computer time and in computer memory usage. Furthermore, searches need to be carefully designed, because throwing all data available at a frequent pattern mining algorithm
without forethought will result in many uninformative patterns. Setting the frequency threshold high reduces the time taken for searches. However, due to the monotonicity of the frequency of patterns discovered by Apriori based search algorithms, potentially interesting patterns are lost. More simply, some patterns of significant frequency may be lost because they fall below the frequency threshold.

Total reliance on the WARMR frequent pattern mining algorithm alone was discarded in favour of the more versatile SPD pattern mining system capable of being adapted to suit the nature of different frequent pattern enquiries. The WARMR frequent pattern mining algorithm is still used as a component of the SPD system with the addition of a series of analytical programs used to analyse the results produced by WARMR. A block diagram of the SPD system can be seen in Figure 6.1. The analysis section of the SPD system utilized both Prolog and C++ programming languages. The output from WARMR is in Datalog format and therefore a Prolog based analysis system can immediately access and process the WARMR results without pre-processing or any external intervention. The C++ programming language was better suited for fast applications of the Monte Carlo procedure used in Section 6.6.

The SPD system has two principal functions:

1. Frequent pattern discovery.
2. Determination of the significance of discovered frequent patterns.

The SPD system requires two basic sources of data:

1. Knowledge base: all available data on genes.
2. Background knowledge: knowledge relevant to, but not specific to, genes.

The file system in the SPD system is specifically designed so that all changes or updates to data are made only to the knowledge base files and the background knowledge files. There is no need to change the WARMR specific files, which are labelled warmr. $k b$ and warmr.bg in Figure 6.1. By using this method there is no need to track changes between the files required for the significance testing
performed using Prolog and the files required by WARMR for frequent pattern discovery. A further advantage in this method is that the warmr. $k b$ file need consult only those knowledge base files required for the search by simply commenting out the unnecessary consult/1 predicates. This allows us to reduce the search space to only contain data relevant to specific searches. The frequent pattern searches are 'designed' by the language bias, which is a WARMR specific file (warmr.s).

### 6.2.1 Knowledge Base

The principle source of data for this work on Saccharomyces cerevisiae was the Saccharomyces Genome Database (SGD) from which the GFF file (s_cere_2a.gff) was downloaded on the 21st May 2007. GFF is a format for describing genes and other features associated with DNA, RNA and protein sequences. Using this file and supporting data from Gene Ontology (GO) (The Gene Ontology Consortium, 2000), fourteen files of specific gene data were extracted and these are listed in Table 6.1 along with the respective Datalog schema for the data in each file. These files are selectively consulted as required by Prolog programs, and also for the WARMR analysis by the WARMR specific knowledge base file (yeast.kb).

### 6.2.2 Background Knowledge

The background knowledge in the file yeast_bg.pl is more general knowledge required for the analysis and not necessarily specific in this case to Saccharomyces cerevisiae. For example, a definition of small, medium and large gene lengths is provided from the data discussed in Chapter 3. This knowledge could apply to any genome. The background knowledge used in each part of this research is discussed in more depth in each corresponding section. The background knowledge file is consulted by the WARMR specific background knowledge file warmr.bg.

| File | Schema |
| :--- | :--- |
| yst_g_gene.pl | gene(gene id). |
| yst_g_strand.pl | strand(gene id, strand(w/c)). |
| yst_g_chromo.pl | chromosome(gene id, chromosome id). |
| yst_g_class.pl | class(gene id, GO class). |
| yst_g_gaplength.pl | gap_length(gene id, gene id, length). |
| yst_g_length.pl | gene_length(gene id, length). |
| yst_g_next.pl | is_next_to(gene id, gene id). |
| yst_g_notes.pl | sgd_notes(gene id, notes). |
| yst_g_start.pl | start_locus(gene id, location). |
| yst_g_centre.pl | centre_point(gene id, location). |
| yst_g_class_1.pl | class_1(gene id, GO class). |
| yst_g_class_2.pl | class_2(gene id, GO class). |
| yst_g_class_3.pl | class_3(gene id, GO class). |
| yst_g_class_4.pl | class_4(gene id, GO class). |

Table 6.1: The files and formats for the gene location knowledge base for Saccharomyces cerevisiae. Each location is specifically the base pair location given by the number of nucleotides or base pairs from the 5 ' end of the W strand and applies to the genes on both W and C strands. Each length is given by the number of nucleotides.

### 6.3 Individual Gene Analysis

### 6.3.1 Introduction

The first part of the research of frequent patterns in gene location was to perform a statistical analysis to determine the relative frequencies or probabilities of individual genes with respect to their attributes. This can be done using WARMR since the required statistics are returned in the results for level 2 giving the relative frequency of the gene attributes, including the molecular function classes of genes. The attributes used in this search are the molecular function classes, gene length, on which strand of the DNA and on which chromosome the genes are located. This can be used later to determine expectations for the frequency of discovered patterns in order that the significance of the discovered patterns can be calculated.

Although, at this stage the main focus is on the level 2 search, the WARMR search was allowed to continue through all levels where frequent patterns occurred.

### 6.3.2 Method

## Language bias

A listing for the language bias required for the statistical analysis of genes and their attributes is given in Figure 6.2. Using this language bias, the results produced by WARMR at level 2 should provide the following:

- Relative frequency/probability of genes on each strand.
- Relative frequency/probability of genes in each molecular function class.
- Relative frequency/probability of genes in each of the four length categories.
- Relative frequency/probability of genes on each chromosome.

The frequent pattern search was performed using WARMR with the language bias described above (see Table 6.2) and the knowledge base and background knowledge

```
warmode_key(gene(-GeneA)).
rmode(1:strand(+GeneA, w)).
rmode(1:strand(+GeneA, c)).
rmode(1:class_1(+GeneA, #[go:3774,go:3824,go:4871,go:5198,go:5215,
    go:5488,unknown,go:16209,go:30188,go:30234,go:30528, go:30533,
    go:31386,go:31992, go:42056,go:45182,go:45499,go:45735])).
rmode(1:small_gene(+GeneA)).
rmode(1:med_sml_gene(+GeneA)).
rmode(1:medium_gene(+GeneA)).
rmode(1:large_gene(+GeneA)).
rmode(1:chromosome(+GeneA, #)).
```

Figure 6.2: Listing for the language bias file required for a statistical analysis of genes and their attributes using WARMR.
described in Sections 6.2.1 and 6.2.2.

### 6.3.3 Results

The results from the WARMR level 2 search are given in Table 6.2. These results indicate that:

1. The percentage of genes on each strand of the DNA throughout the entire genome is approximately $50 \%$ on each strand as would be expected from a uniform probability distribution.
2. The top three results for the most frequent number of genes in each molecular function class indicate that almost $44 \%$ are unknown; $26 \%$ are involved in catalytic activity (GO:3824) and roughly $11 \%$ are associated with binding activity (GO:5488). The remaining results for molecular function class frequencies are all in the order of $5 \%$ or less.
3. There is a disparity in the frequencies of the 4 categories of gene length. This is not significant because the boundaries on each category were not chosen to have roughly equivalent frequencies (see Chapter 3).
4. The frequencies of genes on each chromosome are in proportion with the

| Clause | Probability |
| :--- | :--- |
| gene(A),strand(A,w) | 0.504312301407172 |
| gene(A),strand(A,c) | 0.495687698592828 |
| gene(A),class_1(A,go:3774) | 0.00211832349825995 |
| gene(A),class_1(A,go:3824) | 0.258284157966409 |
| gene(A),class_1(A,go:4871) | 0.00680889695869269 |
| gene(A),class_1(A,go:5198) | 0.0485701316386745 |
| gene(A),class_1(A,go:5215) | 0.0556816462399758 |
| gene(A),class_1(A,go:5488) | 0.114692086548646 |
| gene(A),class_1(A,unknown) | 0.436223331820245 |
| gene(A),class_1(A,go:16209) | 0.00257224996217279 |
| gene(A),class_1(A,go:30188) | 0.00121047057043426 |
| gene(A),class_1(A,go:30234) | 0.022545014374338 |
| gene(A),class_1(A,go:30528) | 0.0434256317143289 |
| gene(A),class_1(A,go:31386) | 0.00136177939173854 |
| gene(A),class_1(A,go:45182) | 0.00650627931608413 |
|  |  |
| gene(A),small_gene(A) | 0.0676350431230141 |
| gene(A),med_sml_gene(A) | 0.0630957784838856 |
| gene(A),medium_gene(A) | 0.434105008321985 |
| gene(A),large_gene(A) | 0.435164170071115 |
| gene(A),chromosome(A,chrI) | 0.017703132092601 |
| gene(A),chromosome(A,chrII) | 0.0689968225147526 |
| gene(A),chromosome(A,chrIII) | 0.0276895142986836 |
| gene(A),chromosome(A,chrIV) | 0.126645483431684 |
| gene(A),chromosome(A,chrIX) | 0.036465425934332 |
| gene(A),chromosome(A,chrMito) | 0.0042366469965199 |
| gene(A),chromosome(A,chrV) | 0.0490240581025874 |
| gene(A),chromosome(A,chrVI) | 0.0213345438039038 |
| gene(A),chromosome(A,chrVII) | 0.0883643516417007 |
| gene(A),chromosome(A,chrVIII) | 0.0485701316386745 |
| gene(A),chromosome(A,chrX) |  |
| gene(A),chromosome(A,chrXI) | 0.0602209108791043 |
| gene(A),chromosome(A,chrXI) | 0.0526554698138902 |
| gene(A),chromosome(A,chrXIII) | 0.087456498713875 |
| gene(A),chromosome(A,chrXIV) | 0.0764109547586624 |
| gene(A),chromosome(A,chrXV) | 0.0658193372673627 |
| gene(A),chromosome(A,chrXVI) |  |
| gene(A),chromosome(A,2-micron) | 0.0904826751399607 |
|  | 0.0773188076864881 |

Table 6.2: Individual gene analysis results (Warmr Level 2) showing the probabilities of genes of the attributes given in the second term in each clause. These probabilities are used to determine the expectations for superclauses involving multiples of attribute terms. See Table 6.15 for descriptions of the molecular functions designated by the go: numbers.
length of each chromosome, which is not an unexpected result.
The WARMR search continued up to level 7 and the number of results produced was enormous. These results are available on file, but have not been included in this thesis. Using the WARMR query section of the SPD system the WARMR results file was filtered to remove non-relevant and uninformative results. Further analysis revealed two possibly interesting results at level 3 .

The first interesting result is a single clause:

$$
\operatorname{gene}(A), \operatorname{strand}(A, c), \operatorname{chromosome}(A, \operatorname{chr} \text { Mito }), 0.0
$$

This result is interesting because it indicates that there are no genes on the Crick strand of the mitochondria DNA. This phenomenon has been reported by Foury et al. (1998).

The second interesting result is revealed in the lengths of genes classified by molecular function. These results are reported in Table 6.3. From this table we can see that genes associated with catalytic activity (GO:3824) are the most numerous having 1707 examples, but only 17 are 360 bp or less in length. The small_gene and med_sml_gene sets for all genes annotated with molecular function are much smaller than the medium_gene and large_gene sets, but the results obtained are not in proportion with the sizes of the sets. The clause describing small and medium small genes of catalytic activity is:

$$
\text { class_1 }(A, \text { go : 3824 }) \wedge(\text { small_gene }(A) \vee \text { med_sml_gene }(A))
$$

The expectation $E$ for this rule is given by:

$$
E=P_{\text {class }} \times\left(P_{X}+P_{Y}\right) \times F_{\text {all_genes }}
$$

Where $P_{\text {class }}$ is the probability of class_1 $(A, g o: 3824) ; P_{X}$ is the probability of small_gene $(A) ; P_{Y}$ is the probability of med_sml_gene $(A)$ and $F_{\text {all_genes }}$ is the frequency of all genes in the data. The probabilities can be read straight from Table 6.2 giving the expectation $E$ :

$$
E=0.2583 \times(0.0676+0.0631) \times 6609=223.12
$$

Allowing for rounding errors this means we should expect to find 223 small and medium-small genes of catalytic activity, so the probability of finding only 17 is very low and so this should be considered significant. This probability in the occurrence of small genes prevails throughout all classified genes with the possible exception of protein tag genes (GO:31386) and suggests that classified genes tend to have longer nucleotide sequences than average. However, looking at the result for genes of unknown molecular function we find that of the total of 447 of all small genes, 375 are unknown and similarly of all 417 medium-small genes, 375 are unknown. This means that $84 \%$ of all small genes and $90 \%$ of all medium-small genes are unknown.

### 6.3.4 Analysis

The work described in this section has provided useful information on the probabilities of genes with certain attributes, which are given in Table 6.2. This information is necessary in determining significance of future results described in the following sections.

The result showing that all genes in the DNA of the mitochondrion in the cells of S. cerevisiae are on the Watson strand and none on the Crick strand, is merely a curiosity in this research and has been previously reported (Foury et al. , 1998). The main concern is with the location of genes and their possible interaction in the genome of $S$. cerevisiae. The genes in the mitochondrion are not able to interact with genes in the nucleus so, in this respect, the mitochondrion can be considered a separate organism.

Regarding the final result of interest, although it is entirely conceivable that gene length and molecular function may be related, with such a significant number of genes shorter than 360 bp with unknown molecular function, no conclusion can be drawn. It is curious that research into gene identification and classification favours larger genes.

| Clause | Probability | Examples |
| :---: | :---: | :---: |
| gene(A),class_1(A,go:3774),medium_gene(A) | 0.00030 | 2.0 |
| gene(A),class_1(A,go:3774), large_gene(A) | 0.00181 | 12.0 |
| gene(A),class_1(A,go:3824),small_gene(A) | 0.00121 | 8.0 |
| gene(A),class_1(A,go:3824),med_sml_gene(A) | 0.00136 | 9.0 |
| gene(A),class_1(A,go:3824), medium_gene(A) | 0.10153 | 671.0 |
| gene(A),class_1(A,go:3824), large_gene(A) | 0.15418 | 1019.0 |
| gene(A),class_1(A,go:4871),small_gene(A) | 0.00030 | 2.0 |
| gene(A),class_1(A,go:4871),med_sml_gene(A) | 0.00015 | 1.0 |
| gene(A),class_1(A,go:4871), medium_gene(A) | 0.00227 | 15.0 |
| gene(A),class_1(A,go:4871), large_gene(A) | 0.00408 | 27.0 |
| gene(A),class_1(A,go:5198),small_gene(A) | 0.00197 | 13.0 |
| gene(A),class_1(A,go:5198),med_sml_gene(A) | 0.00121 | 8.0 |
| gene(A),class_1(A,go:5198), medium_gene(A) | 0.03435 | 227.0 |
| gene(A),class_1(A,go:5198), large_gene(A) | 0.01104 | 73.0 |
| gene(A),class_1(A,go:5215),small_gene(A) | 0.00272 | 18.0 |
| gene(A),class_1(A,go:5215),med_sml_gene(A) | 0.00076 | 5.0 |
| gene(A),class_1(A,go:5215), medium_gene(A) | 0.01861 | 123.0 |
| gene(A),class_1(A,go:5215),large_gene(A) | 0.03359 | 222.0 |
| gene(A),class_1(A,go:5488),small_gene(A) | 0.00303 | 20.0 |
| gene(A),class_1(A,go:5488),med_sml_gene(A) | 0.00197 | 13.0 |
| gene(A),class_1(A,go:5488),medium_gene(A) | 0.04373 | 289.0 |
| gene(A),class_1(A,go:5488),large_gene(A) | 0.06597 | 436.0 |
| gene(A),class_1(A,unknown),small_gene(A) | 0.05674 | 375.0 |
| gene(A),class_1(A,unknown),med_sml_gene(A) | 0.05674 | 375.0 |
| gene(A), class_1(A, unknown),medium_gene(A) | 0.20517 | 1356.0 |
| gene(A),class_1(A,unknown),large_gene(A) | 0.11757 | 777.0 |
| gene(A),class_1(A,go:16209),medium_gene(A) | 0.00212 | 14.0 |
| gene(A),class_1(A,go:16209), large_gene(A) | 0.00045 | 3.0 |
| gene(A),class_1(A,go:30188),medium_gene(A) | 0.00060 | 4.0 |
| gene(A),class_1(A,go:30188), large_gene(A) | 0.00060 | 4.0 |
| gene(A),class_1(A,go:30234),small_gene(A) | 0.00106 | 7.0 |
| gene(A),class_1(A,go:30234),med_sml_gene(A) | 0.00030 | 2.0 |
| gene(A),class_1(A,go:30234),medium_gene(A) | 0.00777 | 51.0 |
| gene(A),class_1(A,go:30234),large_gene(A) | 0.01347 | 89.0 |
| gene(A),class_1(A,go:30528),small_gene(A) | 0.00015 | 1.0 |
| gene(A),class_1(A,go:30528),med_sml_gene(A) | 0.00015 | 1.0 |
| gene(A),class_1(A,go:30528),medium_gene(A) | 0.01468 | 97.0 |
| gene(A),class_1(A,go:30528), large_gene(A) | 0.02845 | 188.0 |
| gene(A),class_1(A,go:31386),small_gene(A) | 0.00030 | 2.0 |
| gene(A),class_1(A,go:31386),med_sml_gene(A) | 0.00030 | 2.0 |
| gene(A),class_1(A,go:31386),medium_gene(A) | 0.00077 | 5.0 |
| gene(A),class_1(A,go:45182),small_gene(A) | 0.00015 | 1.0 |
| gene(A),class_1(A,go:45182),med_sml_gene(A) | 0.00015 | 1.0 |
| gene(A),class_1(A,go:45182),medium_gene(A) | 0.00227 | 15.0 |
| gene(A),class_1(A,go:45182),large_gene(A) | 0.00393 | 26.0 |

Table 6.3: Frequencies of genes classified by molecular function and gene length attributes. See Table 6.15 for descriptions of the molecular functions designated by the $g o$ : numbers.

### 6.4 Neighbouring Pairs

### 6.4.1 Introduction

This section describes research on neighbouring pairs of genes. There is evidence that neighbouring pairs of genes co-operate in prokaryotes to form simple biochemical networks (Warren \& ten Wolde, 2004a). These co-operating gene pairs are characterized by having overlapping regulatory domains and this results in what has been described as correlated and anti-correlated gene expression. Correlated gene expression is where the product of one gene promotes the expression of another gene and anti-correlated gene expression inhibits the expression of another gene. Evidence for correlated and anti-correlated gene expression in eukaryotes has been reported (Willy \& Kobayashi, 2000) (Szallasi, 2001). Evidence for cooperating gene pairs in $S$. cerevisiae may be found in the attributes of neighbouring genes and the lengths of the gaps between them.

### 6.4.2 Method

## Language bias

The language bias for this search in Figure 6.3, is similar to the one used for the individual gene analysis, but with the following modifications. The neighbouring pair specific predicate $i s \_n e x t \_t o / 2$ and the gap length predicates neg_gap/2, small_gap, medium_gap/2 and large_gap are added. The predicate is_next_to/2 takes Gene $A$ and returns $G e n e B$ if $G e n e B$ is the immediate neighbour of Gene $A$ on either strand. Note that Gene $A$ is downstream of Gene $B$ in the knowledge base. The gap length predicates are defined in the background knowledge according to the information given in Chapter 3. A further modification is that the predicate chromosome/2 is not required, since no gene can have a neighbour on a different chromosome. Removing unnecessary queries from the language bias improves efficiency.

The frequent pattern search was performed using WARMR with the language bias

```
rmode(1:is_next_to(+GeneA, -GeneB)).
rmode(1:neg_gap(+GeneA, +GeneB)).
rmode(1:small_gap(+GeneA, +GeneB)).
rmode(1:medium_gap(+GeneA, +GeneB)).
rmode(1:large_gap(+GeneA, +GeneB)).
rmode(2:strand(+GeneA, w)).
rmode(2:strand(+GeneA, c)).
rmode(2:class_1(+GeneA, #[go:3774,go:3824,go:4871,go:5198,go:5215,
    go:5488, go:16209, go:30188,go:30234, go:30528, go:30533,go:31386,
    go:31992,go:42056,go:45182,go:45499,go:45735])).
rmode(2:small_gene(+GeneA)).
rmode(2:medium_gene(+GeneA)).
rmode(2:large_gene(+GeneA)).
rmode(2:med_sml_gene(+GeneA)).
```

Figure 6.3: Listing for the language bias file required for frequent pattern discovery in neighbouring pairs of genes and their attributes using WARMR.
described above and the knowledge base and background knowledge described in Sections 6.2.1 and 6.2.2.

## Background knowledge

There are four ways in which each neighbouring pair can be related by their location and by their direction of transcription. These ways have been labelled wsequent, convergent, divergent and c-sequent, where the w in w -sequent represents the Watson strand and the c in c-sequent represents the Crick strand. The wsequent neighbours have both genes on the Watson strand and are transcribed downstream. This relation is given by the clause:

$$
w \_ \text {sequent }(A, B):-i s \_n e x t \_t o(A, B), \operatorname{strand}(B, w), \operatorname{strand}(A, w)
$$

Similarly, the c-sequent neighbours have both genes on the Crick strand and clearly, they must be transcribed upstream. This relation is given by:

$$
c \_ \text {sequent }(A, B) \text { : -is_next_to }(A, B), \operatorname{strand}(B, c), \operatorname{strand}(A, c)
$$

Convergent neighbours have genes on opposite strands where the gene on the Crick strand is downstream of the gene on the Watson strand so that the direction of
transcription converges towards the gap between the neighbouring genes. This relation is given by:

$$
\text { convergent }(A, B):-i s \_n e x t \_t o(A, B), \operatorname{strand}(B, w), \operatorname{strand}(A, c)
$$

Divergent neighbours have genes on opposite strands where the gene on the Crick strand is upstream of the gene on the Watson strand so that the direction of transcription diverges away from the gap between the neighbouring genes. This relation is given by:

$$
\text { divergent }(A, B):-i s \_n e x t \_t o(A, B), \operatorname{strand}(A, w), \operatorname{strand}(B, c)
$$

These four clauses are added to the background knowledge.

### 6.4.3 Results

It can be seen from the results in Table 6.4 that there are more convergent and divergent neighbouring pairs occurring with a frequency at about $55 \%$. We would expect this figure to be about $50 \%$ in a uniform probability distribution. However, the interesting results can be seen in Table 6.5. Divergent and convergent neighbours overlap four times more frequently than sequent neighbours as indicated by the results for the $n e g_{-} g a p / 2$ term. Looking further at the small_gap/2 term we see that convergent neighbours are significantly more frequent. Neighbours with medium sized gaps between them all have a similar frequency with the exception of convergent neighbours whose frequency is elevated by $28 \%$ over the average of the other three types. For large gap lengths between neighbours there is a notable increase in the number of divergent neighbours and a surprising decrease in the number of convergent neighbours. In light of these results it was thought that graphs of the frequencies of gap lengths might be of further interest.

From the frequency plots in Figure 6.4 it can be seen clearly that the frequency distribution of gap lengths in the w-sequent pairs and the c-sequent pairs is roughly the same with the peak for w-sequent pairs occurring at $\approx 300 \mathrm{bp}$ and the peak for c-sequent pairs occurring at $\approx 250 \mathrm{bp}$. There is a significant number of negative gap lengths for convergent and divergent pairs, which is interesting because they

| Neighbouring pairs | Rel. freq. |
| :--- | :--- |
| w_sequent(A,B) | 0.23059 |
| convergent(A,B) | 0.27311 |
| divergent(A,B) | 0.27235 |
| c_sequent(A,B) | 0.22121 |

Table 6.4: Relative frequency of the four types of neighbouring pairs related by locational and transcription direction.

| Level | No. | Pair type defn. | Rel. freq. |
| :--- | :--- | :--- | :--- |
| 5 | 1 | w_sequent(A,B),neg_gap(B,A) | 0.01029 |
| 5 | 2 | convergent(A,B),neg_gap(B,A) | 0.03465 |
| 5 | 28 | divergent(A,B),neg_gap(B,A) | 0.04554 |
| 5 | 55 | c_sequent(A,B),neg_gap(B,A) | 0.00756 |
|  |  |  |  |
| 5 | 219 | w_sequent(A,B),small_gap(B,A) | 0.01528 |
| 5 | 220 | convergent(A,B),small_gap(B,A) | 0.07369 |
| 5 | 251 | divergent(A,B),small_gap(B,A) | 0.01210 |
| 5 | 275 | c_sequent(A,B),small_gap(B,A) | 0.01574 |
|  |  |  |  |
| 5 | 490 | w_sequent(A,B),medium_gap(B,A) | 0.07747 |
| 5 | 491 | convergent(A,B),medium_gap(B,A) | 0.10017 |
| 5 | 523 | divergent(A,B),medium_gap(B,A) | 0.07429 |
| 5 | 555 | c_sequent(A,B),medium_gap(B,A) | 0.08261 |
|  |  |  |  |
| 5 | 841 | w_sequent(A,B),large_gap(B,A) | 0.12755 |
| 5 | 842 | convergent(A,B),large_gap(B,A) | 0.06461 |
| 5 | 874 | divergent(A,B),large_gap(B,A) | 0.14041 |
| 5 | 907 | c_sequent(A,B),large_gap(B,A) | 0.11530 |

Table 6.5: Relative frequencies (Rel. freq.) of different gap lengths between the four locational types (Pair type defn.) of neighbouring pairs. The level refers to the WARMR level and the number (No.) refers to the line number of the clause in the WARMR frequent queries file.


Figure 6.4: Frequency plots of the inter gene gap lengths of neighbouring pairs of the four transcription direction types; w-sequent, convergent, c-sequent and divergent.
both have local maxima at $\approx-250 \mathrm{bp}$. The convergent pairs have a higher frequency of short gap lengths than any other type and there is a peak at $\approx 100$
bp. By contrast to the convergent pairs, the divergent pairs tend to have higher relative frequencies of longer gap lengths and a peak of $\approx 250 \mathrm{bp}$, similar to the sequent pairs. Also of possible interest is a secondary peak at $\approx 500 \mathrm{bp}$, which is twice the length of the most frequent gap lengths.

| Class A | Class B | Examples | Expectation | Pmf |
| :--- | :--- | ---: | ---: | :--- |
| go:5198 | go:5198 | 30.0 | 15.59 | 0.00379484 |
| go:16209 | go:16209 | 1.0 | 0.04 | 0.0400002 |
| go:3774 | go:30234 | 2.0 | 0.32 | 0.0511972 |
| go:31386 | go:4871 | 1.0 | 0.06 | 0.0600005 |
| go:3774 | go:4871 | 1.0 | 0.10 | 0.100002 |
| go:5198 | go:3824 | 64.0 | 82.91 | 0.106225 |
| go:16209 | go:3824 | 9.0 | 4.39 | 0.107706 |
| go:45182 | go:3824 | 18.0 | 11.11 | 0.129862 |
| go:30234 | go:4871 | 3.0 | 1.01 | 0.169991 |
| go:5488 | go:31386 | 3.0 | 1.03 | 0.176792 |

Table 6.6: The molecular function classes of neighbouring pairs for the 10 most significant results (Full results in Appendix B). See Table 6.15 for descriptions of the molecular functions designated by the $g o$ : numbers.

### 6.4.4 Neighbouring Molecular Function Classes

The 10 most significant results for analysis of neighbouring pairs and their respective molecular function are given in Table 6.6. These results show that only the top single result is of any real significance. Neighbouring pairs of genes of structural molecule activity (GO:5198) are more frequent than expected with a probability of 0.0038 .

### 6.4.5 Analysis

The main discovery from this work is in the nature of gap lengths between neighbouring pairs. There is a clear difference between the distribution of divergent neighbouring pair gap lengths and the distribution of gap lengths for the remaining three types: w-sequent, c-sequent and convergent.

The secondary result indicating a significance in the number of neighbouring genes of structural molecule activity is most likely due to tandem duplication.

### 6.5 Clusters of Heterogeneous Gene Function

### 6.5.1 Introduction

The research discussed in this section and the next is motivated from the findings in Chapter 5, where we found unknown elements of order in the locations of genes classified by molecular function in the genome of $A$. thaliana. Although we are investigating the genome of $S$. cerevisiae in this chapter, we expect that the more in depth analysis in gene location described here may shed some light on previous findings.

The analysis in this section is concerned with regions of specific size around a gene of a specific class on the genome of Saccharomyces cerevisiae to determine if genes from other classes are frequently located nearby. Put simply, if we find a gene of, for example class A, do we often find genes of class B or C nearby? From the background research presented in Chapter 2 we might expect that, for genes classified by molecular function, certain classes will be more local to others than we would expect from a random distribution. This may imply a localised influence or co-operation between certain molecular function classes of genes.

From the research presented in Chapter 5 we know that there are likely to be frequent patterns in genes of homogeneous molecular function due to the proliferation of genes through tandem duplication. Clusters of genes of heterogeneous molecular function are more interesting because, should they exist, it is likely that they have been brought together through natural selection to serve a functional benefit to the host organism. In this case, it would be interesting to discover which classes are brought together. However, even with only 13 molecular function classes annotated at GO level 1 in the genome of $S$. cerevisiae, there could be a potential for $>13^{10}$ candidate queries describing all patterns of up to 10 genes of different molecular function.

The solution is to search for increasingly larger patterns of genes of different molecular function without actually specifying the molecular function class. Effectively, we are searching for clusters of genes of heterogeneous molecular function. If we
do not find significant patterns in this search, then we will not find significant patterns in any specific molecular function search.

### 6.5.2 Method

## Language Bias Settings

```
warmode_key(location(-Gene, -L)).
rmode(1: class1(+Gene, -Class)).
rmode(close_to_class1(+Class, \ClassA, +Gene)).
typed_language(yes).
type(close_to_class1(class,class,gene)).
type(class1(gene,class)).
type(location(gene,location)).
```

Figure 6.5: Listing for the language bias required for the discovery of frequent patterns in clusters of heterogeneous gene function.

The language bias required in this experiment is listed in Figure 6.5. It is a simple search for all possible genes of different functional class, which are local to the subject gene. The size of the local region is defined in the background knowledge.

## Background Knowledge

The background knowledge required for this search is just one predicate function named close_to_class $1 / 3$, which is detailed in the listing in Figure 6.6. This predicate function returns the molecular function class (ClassA) of a given gene (GeneA) and another class (ClassB), being the molecular function class of any gene located within the specified region ( $\pm 10,000 \mathrm{bp}$ in this example) surrounding the given query gene (GeneA). Successive function calls to this predicate function will return all classes within the specified region. Note that class go : 5554 designates genes of presently unknown molecular function and so these genes are omitted from the frequent pattern search.

```
close_to_class1(ClassA, ClassB, GeneA):-
    class1(GeneA, ClassA),
    class1(GeneB, ClassB),
    not(ClassB = go:5554),
    not(ClassA = go:5554),
    location(GeneA, B), /* B & C are bp loci */
    location(GeneB, C),
    J is B - C,
    J < 10000,
    J > -10000,
    J =\= 0,
    chromosome(GeneA, X),
    chromosome(GeneB, Y),
    X = Y .
```

Figure 6.6: Listing for the close_to_class1 predicate function in the background knowledge file used in the frequent pattern mining search performed using WARMR.

The frequent pattern mining search was performed using WARMR with the language bias listed in Figure 6.5 and the background knowledge listed in Figure 6.6

## Permutation Testing

For the previous experiments described in this chapter it has been possible to ascertain the significance of the results using traditional statistical methods. The significance of the patterns found in clusters of genes with heterogeneous molecular function is not easy to determine in this way because the molecular function classifications of each gene in the patterns discovered are unknown; we simply know that they are all different. So instead we can establish a null hypothesis in the locations of genes using Monte Carlo methods and draw a comparison with that null hypothesis. This technique is similar to the one used to determine the significance in the clustering and uniformity in the locations of genes of $A$. thaliana (see Chapter 5). The frequent patterns discovered in the WARMR search on the original gene sequence are isolated and then applied to a series of 1000 gene sequences where the locations of member genes have been scrambled. The frequency
of the query pattern is recorded for each one of the 1000 trials and from this data we calculate the mean and the standard deviation and see how this compares to the original pattern frequency. The significance can be determined quite easily using this method by sorting all 1000 results from the Monte Carlo trials in order of increasing frequency and then ranking the original pattern frequency with the sorted data.

### 6.5.3 Results

The results from the WARMR frequent pattern search are given in Figure 6.7 showing frequent patterns up to level 8 . Level 8 describes a pattern or query where there are 7 different molecular function classes in an area $20,000 \mathrm{bp}$ either side of the location of the subject gene designated by the variable $A$. The variable $C$ represents the molecular function class of the subject gene and the variables $D, E, F, G, H, I$ are the classes of genes in the designated area that are all different. The variable $B$ is the base pair location of the subject gene $A$.

For further analysis into the significance of these frequent queries, patterns from two levels were chosen; level 5 , where $48 \%$ of subject genes belong to a set of four genes of heterogeneous molecular function and level 6, where $37 \%$ of subject genes belong to a set of five genes of heterogeneous molecular function. Levels 5 and 6 were chosen because there were many examples of patterns at these levels and so there is a good chance that there will be a sufficient number of these patterns on the smaller chromosomes for the frequency results to have a reliable significance.

The results for the level 5 query are given in Table 6.7 for an area $\pm 10,000 \mathrm{bp}$ and Table 6.8 for an area $\pm 20,000 \mathrm{bp}$. The results for the level 6 query are given in Table 6.9 for an area $\pm 10,000 \mathrm{bp}$ and Table 6.10 for an area $\pm 20,000 \mathrm{bp}$.

```
level(1).
location(A,B).
1.0
level(2).
location(A,B), class1(A,C).
1.0
level(3).
location(A,B), class1(A,C),
close_to_class1(C,D,A),not(D=C).
0.542056074766355
level(4).
location(A,B),class1(A,C),
close_to_class1(C,D,A),not(D=C),
close_to_class1(C,E,A),not(E=C),not(E=D).
0.526479750778816
level(5).
location(A,B),class1(A,C),
close_to_class1(C,D,A),not(D=C),
close_to_class1(C,E,A),not(E=C),not(E=D),
close_to_class1(C,F,A), not (F=C), not (F=D), not(F=E).
0.482866043613707
level(6).
location(A,B),class1(A,C),
close_to_class1(C,D,A),not(D=C),
close_to_class1(C,E,A),not(E=C),not(E=D),
close_to_class1(C,F,A), not(F=C), not (F=D), not(F=E),
close_to_class1(C,G,A),not(G=C), not(G=D),not(G=E),not(G=F).
0.370716510903427
level(7).
location(A,B),class1(A,C),
close_to_class1(C,D,A),not(D=C),
close_to_class1(C,E,A), not(E=C), not(E=D),
close_to_class1(C,F,A),not(F=C), not(F=D), not(F=E)
close_to_class1(C,G,A), not (G=C), not(G=D), not (G=E), not (G=F),
close_to_class1(C,H,A), not(H=C), not(H=D), not(H=E), not(H=F), not(H=G).
0.152647975077882
level(8).
location(A,B),class1(A,C),
close_to_class1(C,D,A),not(D=C),
close_to_class1(C,E,A), not(E=C), not(E=D),
close_to_class1(C,F,A), not(F=C), not(F=D), not(F=E),
close_to_class1(C,G,A), not (G=C), not(G=D), not (G=E), not (G=F),
close_to_class1(C,H,A), not(H=C), not(H=D), not(H=E), not(H=F), not(H=G),
close_to_class1(C,I,A), not(I=C), not(I=D), not(I=E), not(I=F),not(I=G), not(I=H).
0.0186915887850467
```

Figure 6.7: Frequent pattern mining results for clusters of genes with heterogeneous molecular function showing the query pattern generated at each level and its relative frequency.

| Chr | No. found | Mean | Std Dev | Rank (1000) | Ave rank |
| :--- | ---: | ---: | ---: | ---: | ---: |
| I | 19 | 16.54 | 5.29 | $634-696$ | 665.0 |
| II | 140 | 151.14 | 11.40 | $164-194$ | 179.0 |
| III | 43 | 46.13 | 6.48 | $278-335$ | 306.5 |
| IV | 252 | 282.61 | 16.10 | $33-34$ | 33.5 |
| V | 68 | 92.11 | 9.02 | 5 | 5.0 |
| VI | 39 | 33.14 | 6.56 | $797-843$ | 820.0 |
| VII | 202 | 201.12 | 12.01 | $499-528$ | 514.5 |
| VIII | 108 | 95.46 | 9.434 | $896-917$ | 906.5 |
| IX | 67 | 72.39 | 7.75 | $210-258$ | 234.0 |
| X | 67 | 92.11 | 10.84 | $10-13$ | 11.5 |
| XI | 128 | 119.28 | 9.63 | $812-838$ | 825.0 |
| XII | 169 | 160.29 | 12.35 | $763-782$ | 772.5 |
| XIII | 167 | 151.88 | 11.92 | $894-908$ | 901.0 |
| XIV | 144 | 147.22 | 11.39 | $362-401$ | 381.5 |
| XV | 171 | 174.68 | 13.34 | $371-387$ | 379.0 |
| XVI | 194 | 185.09 | 11.95 | $761-784$ | 772.5 |
| All |  |  |  |  | 481.7 |

Table 6.7: Level 5, region $\pm 10,000 \mathbf{b p}$. Significance results for the frequency of the WARMR frequent query at level 5 (See listing in Figure 6.7), which is a pattern describing four different classes from GO level 1 in an area $\pm 10,000 \mathrm{bp}$ (approx 11 genes) either side of the location of each subject gene $A$. Chr represents the chromosome number in Roman numerals. No. found is the frequency of the pattern in the original gene sequence. The Mean and Std Dev represent the mean and the standard deviation of the pattern frequency in 1000 gene sequence permutations. The Rank shows where the original pattern frequency ranks alongside the ordered list of pattern frequencies in 1000 gene sequence permutations and a single central figure for this ranking is given in the column headed Ave Rank. The bottom line in the table gives an average rank for the whole genome of $S$. cerevisiae.

| Chr | No. found | Mean | Std Dev | Rank (1000) | Ave rank |
| :--- | ---: | ---: | ---: | ---: | ---: |
| I | 43 | 36.55 | 4.72 | $894-934$ | 914.0 |
| II | 234 | 233.58 | 8.44 | $475-510$ | 492.5 |
| III | 82 | 76.40 | 5.39 | $819-885$ | 852.0 |
| IV | 434 | 445.19 | 13.05 | $179-195$ | 187.0 |
| V | 148 | 154.50 | 7.82 | $195-226$ | 210.5 |
| VI | 65 | 61.92 | 5.96 | $647-706$ | 676.5 |
| VII | 314 | 308.08 | 8.66 | $726-768$ | 747.0 |
| VIII | 155 | 153.62 | 8.92 | $528-563$ | 545.5 |
| IX | 115 | 114.79 | 5.46 | $432-496$ | 464.0 |
| X | 160 | 169.38 | 11.01 | $193-207$ | 200.0 |
| XI | 182 | 185.06 | 7.05 | $303-334$ | 318.5 |
| XII | 267 | 265.23 | 10.30 | $528-564$ | 546.0 |
| XIII | 248 | 250.09 | 9.85 | $378-417$ | 398.5 |
| XIV | 230 | 229.26 | 8.82 | $484-533$ | 508.5 |
| XV | 295 | 297.03 | 11.84 | $390-420$ | 405.0 |
| XVI | 269 | 274.27 | 8.28 | $218-258$ | 238.0 |
| All |  |  |  |  | 481.5 |

Table 6.8: Level 5, region $\pm 20,000 \mathbf{b p}$. Significance results for the frequency of the WARMR frequent query at level 5 (See listing in Figure 6.7), which is a pattern describing four different classes from GO level 1 in an area $\pm 20,000 \mathrm{bp}$ (approx 22 genes) either side of the location of each subject gene $A$. Chr represents the chromosome number in Roman numerals. No. found is the frequency of the pattern in the original gene sequence. The Mean and Std Dev represent the mean and the standard deviation of the pattern frequency in 1000 gene sequence permutations. The Rank shows where the original pattern frequency ranks alongside the ordered list of pattern frequencies in 1000 gene sequence permutations and a single central figure for this ranking is given in the column headed Ave Rank. The bottom line in the table gives an average rank for the whole genome of $S$. cerevisiae.

| Chr | No. found | Mean | Std Dev | Rank (1000) | Ave rank |
| :--- | ---: | ---: | ---: | ---: | ---: |
| I | 6 | ${ }^{*} 4.88$ | $* 3.97$ | $587-665$ | 626.0 |
| II | 50 | 66.15 | 11.98 | $86-98$ | 92.0 |
| III | 21 | 17.61 | 6.24 | $660-719$ | 689.5 |
| IV | 104 | 119.07 | 15.75 | $158-174$ | 166.0 |
| V | 18 | 35.42 | 9.30 | $24-31$ | 27.5 |
| VI | 10 | 11.97 | 5.99 | $357-433$ | 395.0 |
| VII | 100 | 93.22 | 13.32 | $681-710$ | 695.5 |
| VIII | 44 | 38.99 | 9.38 | $679-719$ | 699.0 |
| IX | 21 | 34.04 | 8.16 | $42-61$ | 51.5 |
| X | 26 | 29.96 | 9.17 | $316-346$ | 331.0 |
| XI | 55 | 52.31 | 10.01 | $357-433$ | 395.0 |
| XII | 73 | 65.42 | 11.90 | $724-759$ | 741.5 |
| XIII | 38 | 57.18 | 11.88 | $42-56$ | 49.0 |
| XIV | 41 | 63.03 | 11.51 | $26-32$ | 29.0 |
| XV | 82 | 65.05 | 12.40 | $905-916$ | 910.5 |
| XVI | 88 | 86.14 | 13.15 | $538-565$ | 551.5 |
| All |  |  |  |  | 403.1 |

Table 6.9: Level 6, region $\pm 10,000 \mathrm{bp}$. Significance results for the frequency of the WARMR frequent query at level 6 (See listing in Figure 6.7), which is a pattern describing five different classes from GO level 1 in an area $\pm 10,000 \mathrm{bp}$ (approx 11 genes) either side of the location of each subject gene $A$. Chr represents the chromosome number in Roman numerals. No. found is the frequency of the pattern in the original gene sequence. The Mean and Std Dev represent the mean and the standard deviation of the pattern frequency in 1000 gene sequence permutations. The Rank shows where the original pattern frequency ranks alongside the ordered list of pattern frequencies in 1000 gene sequence permutations and a single central figure for this ranking is given in the column headed Ave Rank. The bottom line in the table gives an average rank for the whole genome of $S$. cerevisiae (* The distribution of these frequencies was asymmetric so the mean and standard deviation given are not accurate).

| Chr | No. found | Mean | Std Dev | Rank (1000) | Ave rank |
| :--- | ---: | ---: | ---: | ---: | ---: |
| I | 29 | 21.95 | 6.014 | $855-889$ | 872.0 |
| II | 179 | 175.94 | 13.97 | $565-587$ | 576.0 |
| III | 56 | 51.91 | 8.34 | $655-701$ | 678.0 |
| IV | 289 | 326.39 | 20.35 | $33-34$ | 33.5 |
| V | 86 | 107.95 | 11.53 | $25-27$ | 26.0 |
| VI | 40 | 39.06 | 8.19 | $522-560$ | 541.0 |
| VII | 230 | 242.97 | 15.04 | $188-200$ | 194.0 |
| VIII | 119 | 105.32 | 12.13 | $858-881$ | 869.5 |
| IX | 90 | 90.36 | 8.85 | $450-499$ | 474.5 |
| X | 105 | 97.68 | 13.62 | $689-719$ | 704.0 |
| XI | 157 | 141.71 | 12.30 | $888-903$ | 895.5 |
| XII | 202 | 188.07 | 15.49 | $801-819$ | 810.0 |
| XIII | 197 | 173.46 | 15.49 | $934-939$ | 936.5 |
| XIV | 164 | 168.83 | 14.40 | $334-358$ | 346.0 |
| XV | 210 | 201.79 | 18.00 | $659-670$ | 664.5 |
| XVI | 220 | 214.35 | 14.94 | $614-644$ | 629.0 |
| All |  |  |  |  | 578.1 |

Table 6.10: Level 6, region $\pm 20,000 \mathbf{b p}$. Significance results for the frequency of the WARMR frequent query at level 6 (See listing in Figure 6.7), which is a pattern describing five different classes from GO level 1 in an area $\pm 20,000 \mathrm{bp}$ (approx 22 genes) either side of the location of each subject gene $A$. Chr represents the chromosome number in Roman numerals. No. found is the frequency of the pattern in the original gene sequence. The Mean and Std Dev represent the mean and the standard deviation of the pattern frequency in 1000 gene sequence permutations. The Rank shows where the original pattern frequency ranks alongside the ordered list of pattern frequencies in 1000 gene sequence permutations and a single central figure for this ranking is given in the column headed Ave Rank. The bottom line in the table gives an average rank for the whole genome of $S$. cerevisiae.

### 6.5.4 Analysis

The main and somewhat unexpected conclusion that can be drawn from the results is that, for any particular gene location, there are no frequent patterns of heterogeneity in the most general classification of molecular function (level 1) of localized genes. However, the next section covers work which revealed that there are frequent patterns of heterogeneity in the most general classification of molecular function (level 1) in genes that are more dispersed along the genome.

### 6.6 Transitive Sequences of Genes of Heterogeneous Molecular Function

### 6.6.1 Introduction

In this section the research covered is very similar to the work in the last section. However, the patterns differ in that now we are searching for patterns in genes of heterogeneous molecular function that follow in sequence, but may have many genes interspersed between pattern members. In this way we may find clustering of genes of different molecular function classes at certain points on the primary (single dimensional) structure of the genome. This may be an indication of physically localised clustering within the 3 dimensional structure of the genome.

### 6.6.2 Method

## Language bias

```
warmode_key(gene(-GeneA)).
rmode(close_to_class(+GeneA, -Class, \ClassB, -GeneB, 10000)).
```

The language bias for this search shown in the listing above is quite simple. It uses only the predicate fact gene (GeneA), identifying each subject gene to be counted, and the predicate function:
close_to_class(GeneA, ClassA, ClassB, GeneB, Region)

This predicate identifies the molecular function classification of object genes located within a predetermined region surrounding the subject gene. The language bias constrains the predicate to find only those object genes whose molecular function class is different to the subject gene and previously discovered object genes by using the backslash operator on the $\backslash$ Class $B$ term. In this way the WARMR program should find frequently occurring clusters of genes with heterogeneous molecular function. The predicate is defined in the background knowledge and is
discussed below. Although it is clear that this search can easily be performed using Prolog, it should be noted that any WARMR search could be performed using Prolog. The advantage of using WARMR is that it optimises the search.

## Background knowledge

```
close_to_class(GeneA, ClassA, ClassB, GeneB, Region):-
    centre_point(GeneA, Locus_A),
    centre_point(GeneB, Locus_B),
    Distance is Locus_B - Locus_A,
    Distance < Region,
    Distance =\= 0,
    class_1(GeneA, ClassA),
    class_1(GeneB, ClassB),!.
```

Figure 6.8: Listing for the close_to_class predicate function in the background knowledge file used in the frequent pattern mining search performed using WARMR

The background knowledge defines the close_to_class rule with the predicate function:
close_to_class(GeneA, ClassA, ClassB, GeneB, Region)
where the object gene, GeneB, is considered close to the subject gene, GeneA, if and only if its location, given by the predicate fact:

$$
\text { centre_point }\left(G e n e B, L o c u s \_B\right)^{\text {Los }}
$$

lies within a region surrounding the location of Gene $A$ given by: centre_point(GeneA, Locus_A)
and also lies on the same chromosome. The region is defined by the variable Region, which is a length in base pairs or nucleotides either side of the location of GeneA. The predicate function returns the object gene and the molecular function classes of both the subject gene and the object gene. The cut symbol (!) ensures that given Gene $A$, once $G e n e B$ is found Gene $A$ will not be searched again. This forces WARMR to unify Gene $A$ with the present literal GeneB on
the next instantiation of the close_to_class predicate function. In this way we obtain a pattern of a sequence of genes whose molecular functions are all different classifications.

## Permutation Testing

In order to determine the significance in the frequency of the discovered gene sequence patterns, permutation testing was used in the same way as performed in the last section. However, this work followed on from the previous section and an improvement was made to the permutation testing procedure. The permutation testing algorithm was a hybrid of Prolog and C++. The Prolog part was used to search for all occurrences of the clause detailing the gene sequence patterns discovered by WARMR in the original data and 1000 data sets of scrambled gene location. The C++ part was used to scramble the locations assigned to each gene and present a new file for the Prolog part to consult for each search. The files were transferred in virtual disk space for fast execution. This method reduced the permutation testing to a few hours whereas the previous all Prolog method used in the previous section (see Section 6.5) took days.

### 6.6.3 Results

The listings in Figures 6.9, 6.10 and 6.11 show the WARMR results for sequences of genes with heterogeneous molecular function for region $5,000,10,000$ and 20,000 base pairs in length for all chromosomes. This shows that there are sequences of up to six genes of heterogeneous molecular function in regions 5, 000 and 10, 000, and up to seven genes in regions of 20,000 base pairs. From further analysis it was found that for the smaller chromosomes I and VI, only sequences of four genes of different functional classes occurred in significant numbers. Therefore, further analysis was performed on frequent patterns of sequences of four genes of heterogeneous molecular function class. This pattern is given by:

```
gene(A),
close_to_class(A,B,C,D,Region),not(C=B),
```

```
gene(A),
close_to_class(A,B,C,D),not(C=B).
0.728971962616822
gene(A),
close_to_class(A,B,C,D),not(C=B),
close_to_class(D,E,F,G),not(F=B),not(F=C),not(F=E).
0.342679127725857
gene(A),
close_to_class(A,B,C,D),not(C=B)
close_to_class(D, E,F,G), not(F=B), not(F=C), not(F=E),
close_to_class(G,H,I, J), not (I=B), not (I=C), not (I=E), not (I=F),not (I=H).
0.130841121495327
gene(A),
close_to_class(A,B,C,D), not (C=B),
close_to_class(D,E,F,G),not(F=B),not(F=C),not(F=E),
close_to_class(G,H,I,J), not (I=B), not (I=C), not (I=E), not (I=F), not (I=H),
close_to_class(J,K,L,M),not (L=B), not (L=C), not (L=E), not (L=F), not (L=H), not (L=I), not (L=K).
0.0373831775700935
gene(A),
close_to_class(A,B,C,D), not (C=B),
close_to_class(D,E,F,G),not(F=B),not(F=C),not(F=E),
close_to_class(G,H,I,J), not (I=B), not (I=C), not (I=E), not (I=F), not (I=H),
close_to_class(J,K,L,M),not(L=B), not (L=C), not (L=E), not (L=F), not (L=H), not (L=I), not (L=K) ,
close_to_class(M,N,O,P),not (O=B), not (O=C), not (O=E), not (O=F), not (O=H), not ( O=I), not (O=K),
not(0=L), not( ( = N).
0.00934579439252336
```

Figure 6.9: WARMR result for region length $5,000 \mathrm{bp}$ : Frequent pattern mining results for transitive sequences of genes with heterogeneous molecular function. Note that the region term has been edited out of the close_to_class $/ 5$ atom.

```
close_to_class(D,E,F,G,Region), not(F=B),not(F=C), not(F=E),
close_to_class(G,H,I, J, Region), not (I=B), not (I=C), not (I=E), not (I=F),not(I=H).
```

The significance of transitive sequence patterns of four genes of different molecular function class was determined using permutation testing as described above. The results are presented in Tables 6.11, 6.12 and 6.13.

| Chr | No. found | Mean | Std Dev. | Rank | $E_{p}$ max |
| :--- | ---: | ---: | ---: | ---: | ---: |
| I | 22 | 1.43 | 1.49 | 1000 | 9 |
| II | 68 | 11.05 | 4.29 | 1000 | 28 |
| III | 15 | 2.53 | 2.11 | 999 | 15 |
| IV | 121 | 19.77 | 5.79 | 1000 | 48 |
| V | 26 | 5.28 | 2.92 | 1000 | 18 |
| VI | 13 | 2.55 | 2.04 | 1000 | 12 |
| VII | 100 | 15.52 | 5.23 | 1000 | 34 |
| VIII | 42 | 7.28 | 3.52 | 1000 | 19 |
| IX | 31 | 5.23 | 3.09 | 1000 | 20 |
| X | 46 | 7.35 | 3.57 | 1000 | 22 |
| XI | 61 | 8.60 | 3.68 | 1000 | 25 |
| XII | 80 | 11.47 | 4.30 | 1000 | 29 |
| XIII | 75 | 12.32 | 4.39 | 1000 | 29 |
| XIV | 67 | 11.24 | 4.35 | 1000 | 27 |
| XV | 79 | 11.95 | 4.42 | 1000 | 30 |
| XVI | 76 | 13.10 | 4.50 | 1000 | 29 |

Table 6.11: Significance results for the frequency of patterns of transitive sequences of genes of heterogeneous molecular function for a region of $5,000 \mathrm{bp}$. These are patterns where there is a gene $B$ within the region of $5,000 \mathrm{bp}$ of the subject gene $A$; a gene $C$ within the region of $5,000 \mathrm{bp}$ of gene $B$ and a gene $D$ within the region of $5,000 \mathrm{bp}$ of gene $C$. Chr represents the chromosome number in Roman numerals. No. found is the frequency of the pattern in the original gene sequence. The Mean and Std Dev represent the mean and the standard deviation of the pattern frequency in 1,000 gene sequence permutations. The Rank shows where the original pattern frequency ranks alongside the ordered list of pattern frequencies in 1,000 gene sequence permutations. The $E_{p} \max$ column is the maximum expectation figure found in 1,000 Monte Carlo trials, which demonstrates the extremity of some of the number of examples found.

| Chr | No. found | Mean | Std Dev. | Rank | $E_{p}$ max |
| :--- | ---: | ---: | ---: | ---: | ---: |
| I | 23 | 1.29 | 1.75 | 1000 | 11 |
| II | 58 | 10.57 | 5.38 | 1000 | 29 |
| III | 17 | 3.12 | 2.79 | 999 | 17 |
| IV | 136 | 21.12 | 7.81 | 1000 | 51 |
| V | 47 | 5.17 | 3.42 | 1000 | 18 |
| VI | 11 | 2.37 | 2.36 | 995 | 12 |
| VII | 102 | 15.94 | 6.54 | 1000 | 40 |
| VIII | 35 | 7.69 | 4.45 | 1000 | 27 |
| IX | 31 | 5.88 | 3.91 | 1000 | 22 |
| X | 60 | 7.76 | 4.33 | 1000 | 30 |
| XI | 62 | 8.67 | 4.57 | 1000 | 29 |
| XII | 106 | 12.68 | 5.97 | 1000 | 43 |
| XIII | 89 | 13.56 | 5.81 | 1000 | 42 |
| XIV | 81 | 12.55 | 5.56 | 1000 | 31 |
| XV | 114 | 13.03 | 6.09 | 1000 | 42 |
| XVI | 99 | 13.24 | 5.90 | 1000 | 42 |

Table 6.12: Significance results for the frequency of patterns of transitive sequences of genes of heterogeneous molecular function for a region of $10,000 \mathrm{bp}$. These are patterns where there is a gene $B$ within the region of $10,000 \mathrm{bp}$ of the subject gene $A$; a gene $C$ within the region of $10,000 \mathrm{bp}$ of gene $B$ and a gene $D$ within the region of $10,000 \mathrm{bp}$ of gene $C$. Chr represents the chromosome number in Roman numerals. No. found is the frequency of the pattern in the original gene sequence. The Mean and Std Dev represent the mean and the standard deviation of the pattern frequency in 1,000 gene sequence permutations. The Rank shows where the original pattern frequency ranks alongside the ordered list of pattern frequencies in 1,000 gene sequence permutations. The $E_{p} \max$ column is the maximum expectation figure found in 1,000 Monte Carlo trials, which demonstrates the extremity of some of the number of examples found.

| Chr | No. found | Mean | Std Dev. | Rank | $E_{p} \max$ |
| :--- | ---: | ---: | ---: | ---: | ---: |
| I | 12 | 0.58 | 1.40 | 998 | 13 |
| II | 49 | 8.56 | 6.17 | 1000 | 31 |
| III | 25 | 3.76 | 4.15 | 1000 | 23 |
| IV | 134 | 23.62 | 10.22 | 1000 | 64 |
| V | 61 | 4.09 | 3.85 | 1000 | 24 |
| VI | 10 | 1.27 | 2.12 | 992 | 14 |
| VII | 118 | 15.89 | 8.42 | 1000 | 53 |
| VIII | 38 | 5.99 | 5.26 | 1000 | 36 |
| IX | 45 | 4.64 | 4.26 | 1000 | 24 |
| X | 42 | 6.78 | 5.24 | 1000 | 30 |
| XI | 42 | 7.61 | 5.53 | 999 | 43 |
| XII | 81 | 12.79 | 7.42 | 1000 | 41 |
| XIII | 66 | 12.69 | 7.51 | 1000 | 53 |
| XIV | 52 | 11.56 | 7.02 | 1000 | 37 |
| XV | 71 | 13.49 | 7.58 | 1000 | 45 |
| XVI | 87 | 12.71 | 7.60 | 1000 | 50 |

Table 6.13: Significance results for the frequency of patterns of transitive sequences of genes of heterogeneous molecular function for a region of $20,000 \mathrm{bp}$. These are patterns where there is a gene $B$ within the region of $20,000 \mathrm{bp}$ of the subject gene $A$; a gene $C$ within the region of $20,000 \mathrm{bp}$ of gene $B$ and a gene $D$ within the region of $20,000 \mathrm{bp}$ of gene $C$. Chr represents the chromosome number in Roman numerals. No. found is the frequency of the pattern in the original gene sequence. The Mean and Std Dev represent the mean and the standard deviation of the pattern frequency in 1,000 gene sequence permutations. The Rank shows where the original pattern frequency ranks alongside the ordered list of pattern frequencies in 1,000 gene sequence permutations. The $E_{p} \max$ column is the maximum expectation figure found in 1,000 Monte Carlo trials, which demonstrates the extremity of some of the number of examples found.

```
gene(A),
close_to_class(A, B , C, D), not (C=B).
0.731578151006204
gene(A),
close_to_class(A,B,C,D),not(C=B),
close_to_class(D, E,F,G),not(F=B),not(F=C),not(F=E).
0.404145861703737
gene(A),
close_to_class(A,B,C,D), not (C=B),
close_to_class(D,E,F,G),not(F=B),not(F=C), not(F=E),
close_to_class(G,H,I,J),not(I=B),not(I=C),not(I=E),not(I=F),not(I=H).
0.150703586019065
gene(A),
close_to_class(A,B,C,D),not(C=B),
close_to_class(D,E,F,G), not (F=B), not (F=C), not(F=E),
close_to_class(G,H,I,J), not(I=B),not(I=C), not(I=E), not(I=F),not(I=H),
close_to_class(J,K,L,M), not (L=B), not (L=C), not (L=E), not (L=F), not(L=H), not (L=I), not (L=K).
0.0481162051747617
gene(A),
close_to_class(A,B,C,D),not(C=B),
close_to_class(D,E,F,G), not(F=B),not (F=C), not(F=E),
close_to_class(G,H,I,J),not(I=B), not(I=C), not(I=E), not(I=F),not(I=H),
close_to_class(J,K,L,M), not (L=B), not (L=C), not (L=E), not (L=F), not(L=H), not (L=I), not (L=K),
close_to_class(M,N,O,P),not(O=B),not(O=C), not(O=E),not(O=F),not(O=H),not(O=I),not(O=K),
not(0=L), not(0=N).
0.0075654410652141
```

Figure 6.10: WARMR result for region length $10,000 \mathrm{bp}$ : Frequent pattern mining results for transitive sequences of genes with heterogeneous molecular function. Note that the region term has been edited out of the close_to_class $/ 5$ atom.

```
gene(A),
close_to_class(A, B , C,D), not (C=B).
0.735966106824028
gene(A),
close_to_class(A, B , C, D), not (C=B),
close_to_class(D, E,F,G),not(F=B), not(F=C), not(F=E).
0.372219700408534
gene(A),
close_to_class(A,B,C,D), not (C=B),
close_to_class(D, E,F,G), not(F=B), not (F=C), not (F=E),
close_to_class(G,H,I,J), not (I=B), not (I=C), not (I=E), not (I=F), not (I=H).
0.151460130125586
gene(A),
close_to_class(A,B,C,D), not (C=B),
close_to_class(D,E,F,G), not (F=B), not (F=C), not (F=E),
close_to_class(G,H,I,J), not(I=B), not (I=C), not(I=E), not(I=F), not(I=H),
close_to_class(J,K,L,M), not (L=B), not (L=C), not (L=E), not (L=F), not (L=H), not (L=I), not (L=K).
0.0476622787108488
gene(A),
close_to_class(A, B , C , D) , not (C=B),
close_to_class(D,E,F,G),not(F=B),not(F=C),not(F=E),
close_to_class(G,H,I, J), not (I=B), not (I=C), not (I=E), not (I=F), not (I=H),
close_to_class(J,K,L,M),not (L=B), not (L=C), not (L=E), not (L=F), not (L=H), not (L=I), not (L=K),
```



```
not(0=L),not(0=N).
0.0172492056286882
gene(A),
close_to_class(A,B,C,D), not (C=B),
close_to_class(D, E, F,G), not (F=B), not (F=C), not (F=E),
close_to_class(G,H,I,J),not(I=B), not (I=C), not (I=E), not (I=F), not (I=H),
close_to_class(J,K,L,M),not (L=B), not (L=C), not (L=E), not (L=F), not (L=H), not (L=I), not (L=K),
```



```
not}(0=L),\operatorname{not}(0=N)
close_to_class(P,Q,R,S), not (R=B), not (R=C), not (R=E), not (R=F), not (R=H), not (R=I), not (R=K),
not(R=L), not (R=N), not (R=0), not (R=Q).
0.0033287940686942
```

Figure 6.11: WARMR result for region length $20,000 \mathrm{bp}$ : Frequent pattern mining results for transitive sequences of genes with heterogeneous molecular function. Note that the region term has been edited out of the close_to_class/ 5 atom.

| Chr | X | Y |
| :--- | ---: | ---: |
| I | 10,000 | 5,000 |
| II | 5,000 | 5,000 |
| III | 20,000 | 20,000 |
| IV | 10,000 | 20,000 |
| V | 20,000 | 5,000 |
| VI | 5,000 | 5,000 |
| VII | 20,000 | 10,000 |
| VIII | 5,000 | 10,000 |
| IX | 20,000 | 10,000 |
| X | 10,000 | 10,000 |
| XI | 10,000 | 10,000 |
| XII | 10,000 | 20,000 |
| XIII | 10,000 | 10,000 |
| XIV | 10,000 | 10,000 |
| XV | 10,000 | 20,000 |
| XVI | 10,000 | 10,000 |

Table 6.14: The most frequently populated region length for each chromosome. Column X lists the length of region out of the three lengths $5,000 \mathrm{bp}, 10,000 \mathrm{bp}$ and $20,000 \mathrm{bp}$ that had the highest relative frequency of patterns. Column Y lists the length of regions out of the three lengths $5,000 \mathrm{bp}, 10,000 \mathrm{bp}$ and $20,000 \mathrm{bp}$ that had the highest relative expectation of patterns.

### 6.6.4 Analysis

From the results in Tables 6.11, 6.12 and 6.12 it can seen clearly that the frequencies of all patterns rank very highly, indicating that transitive sequences of genes of heterogeneous molecular function occur more frequently than we would expect from genes distributed at random. This result prevails across all 16 chromosomes.

There is an interesting result in the relative number of patterns found in each region length for each chromosome. Intuitively, we would expect to find a higher frequency of patterns in larger regions such as the region of 20,000 base pairs. This is because there is a higher probablity of finding genes of different functional classes if there are more genes in the search. However, the results in Table 6.14 present two anomalies. Firstly, 9 out of 16 chromosomes have more patterns in a 10,000
base pair search space. This might suggest a cyclic nature to the distribution of different functional classes, but this assumption comes into question due to the second anomaly. The second anomaly is that the expectations for the pattern frequencies for each region length do not confirm the initial intuitive expectation and only $50 \%$ of the expectations correlate with the actual frequency of discovered patterns.

### 6.7 Discussion

The research described in this chapter is mainly concerned with the discovery of significant frequent patterns in the physical location of genes on the genome of $S$. cerevisiae. This was achieved using Datalog for the representation of the patterns and using both coventional statistical methods and the novel application of Monte Carlo methods to determine significance. These methods were incorporated into the SPD data mining system.

The selection of "interesting" rules or patterns is central to knowledge discovery in databases. Discovering patterns that are truly interesting to the user without using a lot of user-specified domain knowledge is an open problem. In this research interesting patterns are considered to be those patterns with frequencies that deviate significantly from the expectation. This is an objective approach in that it is essentially data-driven.

In general, data mining algorithms can easily discover too many patterns and many discovered patterns are either irrelevant or uninformative. The solution to this problem in this research was to use a subjective approach. Firstly, searches can be optimized to reduce time at the language bias stage by focussing on the sort of patterns that are most likely to be interesting. Secondly, the results can be sorted in order of frequency or significance enabling the user to reject all results in the ordered list of results whose frequency or significance parameters fall beyond a chosen threshold.

The SPD system effectively optimized searches and assisted the user in discovering interesting patterns.

### 6.7.1 Main Discoveries

The main findings from the work presented in this chapter are listed below:

1. $87 \%$ of genes of 360 base pairs or less in length have unknown molecular function.
2. There are more neighbouring gene pairs with diverging or converging directions of transcription than pairs with consequent directions of transcription.
3. There is a marked trend in the lengths of the gaps between neighbouring genes with peaks in the frequency of lengths between 250-300 base pairs.
4. There are no significant patterns in the molecular function classes of neighbouring genes with just one exception: neighbouring pairs of structural molecule activity are frequent.
5. Of all four types of neighbouring gene pairs typified by direction of transcription, the nature of the gap lengths between diverging pairs is very different to the nature of the gap lengths between pairs of the other three types.
6. The frequency of localized patterns of genes of different molecular function are no more frequent than we would find in a random, or locationally independent distribution of genes.
7. The frequency of patterns of dispersed genes of different classes of molecular function are very much more frequent than would be found in a locatioanlly independent distribution of genes.

The discrepencies in the results of the last two sections reveal a structure in the locations of genes of different functional classes. The nature of this structure can be analysed using conventional statistical and graphical methods, but using these methods alone, it is unlikely that this structure would ever have been revealed in the first place.

| Class No. | Description |
| :--- | :--- |
| GO:0003774 | Motor activity |
| GO:0003824 | Catalytic activity |
| GO:0004871 | Signal transducer activity |
| GO:0005198 | Structural molecule activity |
| GO:0005215 | Transporter activity |
| GO:0005488 | Binding |
| GO:0016209 | Anti oxidant activity |
| GO:0030188 | Chaperone regulator activity |
| GO:0030234 | Enzyme regulator activity |
| GO:0030528 | Transcription regulator activity |
| GO:0031386 | Protein tag |
| GO:0045182 | Translation regulator activity |
| GO:0045735 | Nutrient reservoir |

Table 6.15: Level 1 molecular function classes for S. cerevisiae, showing the Gene Ontology identifier and a description of the molecular function.

### 6.8 Conclusions

One of the main problems with frequent pattern mining is the length of computer time required, especially with the large databases associated with bioinformatics. This problem is exacerbated by the use of Monte Carlo methods for the determination of significance.

Particular attention needs to be paid to the use of the frequency thresholds and the language bias in order to reduce computational time, but still discover complex significant patterns. This problem is universal throughout the field of knowledge discovery in databases.

The time required for significance testing was optimized by starting with a small number of Monte Carlo trials and only increasing the number of trials when significance was detected.

The initial approach used prior to the work described in this chapter was largely heuristic. This approach is extremely time consuming, which demonstated the need for a versatile significant pattern mining system. The system developed was named the SPD system. It improved the efficiency in the discovery of signifi-
cant frequent Datalog patterns in the location of genes in $S$. cerevisiae and has discovered new information.

## Chapter 7

## Pattern Mining: Molecular Phylogeny

### 7.1 Introduction

Molecular phylogenetics is an area of research which is particularly concerned with the evolution of organisms with respect to the nature of the DNA, genes or proteins belonging to each organism. There are other methods of determining phylogeny or taxonomy of organisms which are discussed in Chapter 2. In this chapter the generation of a broad database of phylogenetic trees of protein structure is discussed and effective query methods for use with databases of this nature are presented. This database is used to investigate methods for the determination of species phylogeny with high confidence, which is discussed in Chapter 8, and may also be used to investigate protein evolution, which is discussed in Section 7.8 in this chapter.

There are various formats for representing phylogenetic relations and phylogenetic trees such as the New Hampshire/Newick format discussed in Chapter 2. However, Nakleh et al. extol the virtues of using Datalog in the representation of phylogenetic relationships in a manifesto paper of 2003 (Nakhleh et al., 2003), but appears not to have pursued this approach empirically. In this research we
adopt the Datalog approach, which simplifies the use of Prolog as the programming language for analyses.

This chapter describes the generation of a database of many phylogenetic trees where each tree represents a taxonomy of organisms determined by member protein sequences within the genome of each organism. The protein sequences used to create each tree belong to a specific protein structural classification (see Section 2.2.3). This database can be used to trace both the evolution of organisms and the evolution of classes of proteins within this database. More information can be obtained from this database using various queries detailed in Section 7.6, which are relevant to research in phylogenetics in general. Further work on using an example query to determine the closest relatives to Homo sapiens reveal some anomalies, which highlight some problems with the database and presents some possible solutions. Also in this section the database generated is evaluated to consider the possibility of using the data to build a phylogenetic consensus tree to establish an overall taxonomy of all member organisms.

We chose Swiss-Prot (Boeckmann et al. , 2003) for the protein sequence data and Superfamily (Gough et al. , 2001) for the protein classifications.

### 7.2 Swiss-Prot Protein Sequence Data

Swiss-Prot is a manually curated biological database of protein sequences, which was created in 1986 by Amos Bairoch. Swiss-Prot was chosen because it provides reliable protein sequences associated with a high level of annotation, a minimal level of redundancy and high level of integration with other databases. The SwissProt data required for this part of the research was downloaded on 4th December 2007 from the FTP site at ExPASy ${ }^{1}$ in a file named uniprot_sprot.fasta release 54.5, dated 13th November 2007. The file contains 289,473 protein sequences in FASTA format (Pearson, 1990) where the header contains the protein sequence

[^19]identifier, data on the protein function and the organism from which the sequence was obtained.

The data downloaded from Swiss-Prot was first pre-processed to re-annotate the header of each sequence with the organism name and a unique number, which is referred to as the sequence identifier or simply the sequence ID. This was to facilitate ClustalW (see Section 2.3.3), which identifies each sequence from the first 30 characters in the fasta header and will not operate with duplicate names. Furthermore, subsequent BLAST (see Section 2.3.4) and ClustalW operations are confused by some characters used in the complete headers so the remaining fasta header data for the protein sequences were removed leaving only the organism name from which the protein sequence was obtained and the sequence ID identifying the protein sequence.

The re-annotated file is named aspro.fa and has the schema:

$$
\begin{aligned}
& \text { >Binomial_name sequence_ID } \\
& \text { PROTEIN SEQUENCE }
\end{aligned}
$$

The remaining header information extracted from uniprot_sprot.fasta was saved in a separate file named aspro_dat.pl:
aspro(sequence ID, organism, original sequence/protein ID, description).
The file aspro_dat.pl contains the sequence ID, by which the information can be cross referenced with the protein sequence data, the binomial organism name, the protein sequence identification and the protein function description in Datalog format.

### 7.3 Superfamily Class Data

Gough et al. have constructed a library of hidden Markov models called Superfamily, that represent all proteins of known structure (Gough et al. , 2001). The sequences of the domains in proteins of known structure, that have identities less than $95 \%$, are used as seeds to build the models. The sequences used by Gough et
al. to generate the models are from the ASTRAL database (Brenner et al. , 2000). The ASTRAL database provides protein sequences categorised according to the SCOP domain definitions and are derived from the SEQRES entries in PDB files (see Section 2.2.3). The sequences used by Gough et al. differ from the ASTRAL sequences in several ways. These differences are explained in Section 2.2.3.

The methods Gough et al. used identified many more superfamily classifications than SCOP, but there were problems with their classifications, which is explained later in this chapter.

The superfamily classification data was downloaded from the Superfamily website ${ }^{2}$ (Gough et al. , 2001) on the 27th September 2007. This data consists of many files of which the two used in this research are detailed below: -

765ass.tab is the main file containing data on the seed sequences representing the superfamily classes in the schema: -
organism mnemonic, protein id, model id, location, $A_{e}, A_{n}, A_{d}, B_{e}, B_{n}, B_{d}$ where the organism mnemonic consists of two alphanumeric characters identifying the organism given by its binomial name. The location is the bp location of seed in protein sequence. $A$ and $B$ represent further homologous sequences that maybe included in the file where the subscripts $e, n$ and $d$ represent the E-value, protein $\mathrm{ID}^{3}$ and protein sequence description respectively.
genome.tab is a list of all 603 organisms involved in the Superfamily data in the schema:-

> organism mnemonic, binomial name, domain, ftp location
where the organism mnemonic consists of two alphanumeric characters identifying the organism given by its binomial name. The domain is given by

[^20]a single letter; A for archaea; B for bacteria and E for eukaryota. The ftp location represents the URL for the FTP site from which the original protein sequence data was obtained. There are many duplicate organisms in this data and so this file was manually sorted to remove the duplicates and saved as sorted_genome.tab.
sorted_genome.tab is a list of 467 unique organisms filtered from genome.tab above and takes exactly the same schema.

### 7.4 Method

The protein sequence data from Superfamily were sorted placing the data on each protein sequence into separate files. Each file lists all protein sequences that were considered homologous by Superfamily to their models. The files generated take the following schema:
protein(protein ID, organism mnemonic, model ID, E-value, organism name)
The organism name now takes the form of the binomial name, but with the space between names replaced with an underscore character so this name can be treated as a single variable in subsequent analysis. This data is stored in a database named a_phylo.

In the paper that introduced Superfamily by Gough et al. (2001) they identified 4894 models. However, there were 10,894 models listed in the data downloaded so it is assumed that ongoing work by Superfamily had identified a further 6000 potential models. The procedure above to identify Superfamily's homologues only found one or more identified homologues for only 8,911 models. Again, it is assumed that Superfamily will in time identify homologues for the outstanding models. However, there is sufficient data to proceed with this research.

Three files were generated containing lists of organisms from each of the three domains; archaea.pl listing all archaea, bacteria.pl listing all bacteria and eukaryot.pl listing all eukaryota in the Superfamily data.

All the protein sequence data for all organisms listed in sorted_genome.tab were downloaded from their respective ftp sites as given in the same file and stored in a protein sequence database named prot_db.

From the a_phylo database only the eukaryote protein identifiers from eukaryot. pl were selected that were most similar to each Superfamily model sequence by having the lowest E-value. Each one of these protein identifiers was used to search and obtain the protein sequence for that identifier from the prot_db database. The results from this filtering process are 10,894 separate files in FASTA format containing one complete protein sequence considered to be most homologous to each of the Superfamily models. These sequences are referred to as the model protein sequences and are identified by the model protein ID or the model protein identifier from here on. The schema for the FASTA header for this data is: -
model_protein_ID protein_ID organism_name
where the model_protein_ID is the same identifying number used to represent all 10,894 Superfamily models. This is a number from 34782 to 45675 . These data are stored in a database named model_proteins.

### 7.4.1 Sequence Selection from the Swiss-Prot Database

BLAST was used to produce files of proteins that are homologous to each model protein sequence in the model_proteins database (see Section 2.3.4 for more information about BLAST). The E-value threshold parameter was set to 1, which produces a fairly broad selection of homologous sequences. Also, the inclusion of the sequences in the results files was supressed by setting the $-b$ parameter to 0 . This speeds up the processing and simplifies parsing of the results.

Many of the files in the model_proteins database were empty and would cause errors in BLAST if these empty files were used. A process list was created listing the 8,911 model protein identifiers that contained valid sequences. This list was used in a script to select only files containing a valid protein sequence.

### 7.4.2 Generating the Phylogenetic Trees

The multiple sequence alignment program ClustalW was used to create the phylogenetic trees from the homologous sequence data produced by BLAST.

At this stage it was necessary to update the fasta format header for each protein sequence for three reasons: -

1. ClustalW will not operate with duplicate names. We are identifying our sequences by the name of the organism from which the protein sequences were obtained and there are multiple instances of each organism. There is already a unique sequence ID in the header so each header should be unique. However, there is another limitation.
2. ClustalW identifies each sequence from the first 30 characters only in the fasta header. Since many organism names exceed 30 characters the unique sequence ID coming after the organism name will not be registered. These headers will be seen as duplicates. This problem was remedied by preceding the organism name with the sequence ID, but this leads to another difficulty.
3. If the protein sequence identifier begins with a number further analysis using Prolog and WARMR would be complicated since Prolog would see each protein sequence identifier as a real number instead of a variable. Placing the protein sequence ID in inverted commas confuses certain WARMR processes so the final solution was to re-annotate each fasta header by having a lower case ' $n$ ' followed by a unique sequence ID prefixed to the organism name.

Having re-annotated the fasta headers the tree creation process can begin. A script was used to select model protein identifiers from the process list from before to perform a multiple sequence alignment and a corresponding tree generation using ClustalW for all sequences homologous to each of the model protein sequences. In this way a tree in the Phylip/New Hampshire format (see Chapter 2) for each model protein sequence was produced. The Phylip/New Hampshire format was converted into Datalog format such that the tree structure is represented by terms describing each edge and its respective nodes explained in more detail in Section 7.5. Each node represents an Operational Taxonomic Unit (OTU) and has either
the name of an organism or is labelled as 'iNodeXX' where XX is a unique number identifying that node. Each iNode is an internal node and is representative of extinct OTUs.

| File | Format |
| :--- | :--- |
| organism.pl | organism(organism_name) |
| aspro_dat.pl | aspro(sequence ID, organism_name, orig. protein ID, description). |
| scop_data.pl | scop_data(model protein ID, 'SCOP class', A, B, C, D). |
| model_dat.pl | model_data(model protein ID, 'description'). |
| eukaryot.pl | eukaryote(organism_name). |
| archaea.pl | archaea(organism_name). |
| bacteria.pl | bacteria(organism_name). |

Table 7.1: File names and datalog schema of the background knowledge files suitable for use with the trees knowledge base. A more detailed explanation of these files is given in the text.

### 7.5 The Tree Database

### 7.5.1 Knowledge Base

The tree knowledge base contains data describing $2,216,415$ edges defining the structure of 8192 phylogenetic trees, where each tree maps the potential evolutionary history of each model protein sequence. The database contains examples from 6531 different organisms using 170,872 protein sequences out of all 289,473 protein sequences from Swiss-Prot.

The tree data was produced in the key format and the model format both suitable for WARMR (see Section 2.5.2). However, the model format is not suitable for Prolog, but allows WARMR to process much larger data sets. The schema of predicates in the knowledge base is: -
edge(Organism/iNode, ancestral node, E-value, tree ID, sequence ID).

### 7.5.2 Background Knowledge

The background knowledge files are listed in Table 7.1 showing the datalog schema associated with each file. These files are described in more detail below:
organism.pl List of all organisms
aspro_dat.pl Data on all 290,484 protein sequences taken from Swiss-Prot.
scop_data.pl Gives the SCOP protein structure classification of the model protein used to generate each tree identified by the model protein ID. The SCOP class annotation is a four part alphanumeric separated by full stops and this is given by the term SCOP class. The four parts of the SCOP class are divided up into terms $A, B, C, D$ in Table 7.1 as shown in this example: scop_data(model protein ID, ‘b.47.1.2’, b, 47, 1, 2).
model_dat.pl Gives a description of the model protein used to generate each tree identified by the model protein ID.
eukaryot.pl List of 121 eukaryota from the Superfamily data.
archaea.pl List of 37 archaea from the Superfamily data.
bacteria.pl List of 309 bacteria from the Superfamily data.

### 7.6 Parametric and Structural Queries

Here we present queries suitable for the extraction of information from the phylogenetic database. Some of the following queries have been adapted from those given by Nakhleh et al. (2003), but it should be noted that the derivation of queries such as these are well known in the logic programming community.

The queries in this section are concerned with the internal structure and parametric details of phylogenetic trees in general. The term $T$ used throughout these queries refers to the model protein identifier, which is used to discriminate the trees since each tree is generated from sequences thought to be homologous to the model protein sequence. Although the atomic predicates include the variable $E$ distance, it is not used in these queries. The distance measure used in these queries in the topological distance, which is the number of edges between OTUs.

## Atomic predicates

Given that the knowledge base has the datalog format:

```
edge(node, ancestor node, E-distance, model protein ID)
```


## Transitive closure of predicate edge

Transitive closure of the edge predicate can be used to find all ancestors or confirm an ancestral relation between nodes $A$ and $B$. ancestor $(A, B, T)$ is true iff there is a path from $A$ to an ancestor $B$ within the phylogenetic tree $T$ :

```
ancestor(A,B,T):-
    edge(A,B,_,T).
ancestor(A,B,T):-
    edge(A,X,_,T),
    ancestor(X,B,T).
```


## Common ancestor

The predicate common_ancestor $(A, B, C, T)$ is true where $C$ is an ancestor of both $A$ and $B$ within a tree $T$ :

```
common_ancestor(A,A,A,T).
common_ancestor(A,B,C,T):-
    ancestor(A,C,T),
    ancestor(B,C,T).
```


## Most recent common ancestor

Most Recent Common Ancestor given by $\operatorname{mrca}(A, B, C, T)$ is true iff $C$ is the most recent common ancestor of $A$ and $B$ within tree $T$ :

```
not_mrca(A,B,C,T):-
    common_ancestor(A,B,C,T),
```

```
    common_ancestor(A,B,D,T),
    ancestor(D,C,T).
mrca(A,B,C,T):-
    common_ancestor(A,B,C,T),
    \+not_mrca(A,B,C,T).
```


## Most distant common ancestor

Most distant common ancestor given by $\operatorname{mdca}(A, B, C, T)$ is true iff $C$ is the root node for all ancestral nodes of both $A$ and $B$ within tree $T$ :

```
not_mdca(A,B,D,T):-
    common_ancestor(A,B,C,T),
    common_ancestor(A,B,D,T),
    ancestor (D,C,T).
mdca(A,B,C,T):-
    common_ancestor(A,B,C,T),
    \+not_mdca(A,B,C,T).
```


## Minimum spanning clade of two leaf nodes

Minimum spanning Clade returns $C$ being all nodes within the clade that has the most recent common ancestor of $A$ and $B$ at its root:

```
msc(A,B,C,T):-
    mrca(A,B,C,T).
msc(A,B,C,T):-
    mrca(A,B,D,T),
    ancestor(C,D,T).
```


## Basal node of a minimum spanning clade of two leaf nodes

Basal node is explicitly the most recent common ancestor:

```
basal(A,B,C,T):-
```

```
mrca(A,B,C,T).
```


## Length of path between two nodes

The topological length of the path between two nodes $A$ and $B$ within tree $T$ is returned in $P$ from the predicate path_length $(A, B, P, T)$ :

```
path_length(A,B,1,T):-
    edge(A,B,_ ,T).
path_length(A,B,P,T):-
    edge(A,X,_,T),
    path_length(X,B,M,T),
    P is M + 1.
```


## Distance between two leaves

The topological distance between two leaves $A$ and $B$ within tree $T$ is returned in $P$ from predicate distance $(A, B, P, T)$ :

```
distance(A,B,P,T):-
    mrca(A,B,C,T),
    path_length(A,C,M,T),
    path_length(B,C,N,T),
    P is M + N.
```


## Comparing distance between 3 leaf nodes

Given 3 leaf nodes $A, B$ and $C$, the predicate $\operatorname{closer}(A, B, C, T)$ is true if the topological distance between $A$ and $C$ is shorter than between $A$ and $B$ :

```
closer(A,B,C,T):-
    distance(A,C,M,T),
    distance(B,C,N,T),
    M < N.
```


### 7.7 General Queries Incorporating Background Knowledge

In this section some example general queries incorporating background knowledge are presented demonstrating how new information can be extracted from the phylogenetic tree database.

### 7.7.1 Phylogenetic Pairs

Phylogenetic pairs are pairs of extant OTUs that share a common ancestor. Searching for frequent phylogenetic pairs for all organisms can be used to determine evolutionary relationships.


Figure 7.1: Diagram of a phylogenetic pair where $A$ and $B$ represent OTUs that have paths $\alpha$ and $\beta$ respectively to a common ancestor represented by node $X$.

Referring to Figure 7.1, $A$ and $B$ represent OTUs that have paths $\alpha$ and $\beta$ respectively to a common ancestor represented by node $X$. The paths $\alpha$ and $\beta$ consist
of one or more edges, but it should be noted that long paths are of arguably limited use. This is because sequence alignment algorithms give increasingly reliable results with increasingly homologous sequences and those sequences will belong to OTUs that have shorter paths between them. Furthermore, searching for relationships with long paths is computationally expensive. For this reason there is often a constraint on the number of edges in a single path.

### 7.7.2 Method

The method adopted in this research has been previously referred to as broad phylogenomic sampling (Dunn et al., 2008). This method relies on the most frequent phylogenetic results from many trees to establish evolutionary relationships between H. sapiens and other organisms. The most recent common ancestor query from above is used to determine phylogenetic pairs. However, we did not use the topological distance metric above. Instead, the E-distance measure $E$ is given by:

$$
\begin{equation*}
E=1-\sum_{k=1}^{N} E v_{k} \tag{7.1}
\end{equation*}
$$

In equation 7.1 N is the number of edges linking the pair of OTUs via the most recent common ancestor and $E v$ is the evolutionary distance given by the term E-value in the edge predicate facts in the knowledgebase ${ }^{4}$. In this experiment the path lengths were limited to 8 edges.

### 7.7.3 Results

The results presented in Tables 7.2 and 7.3 are encouraging in that they indicate that all but one of the closest relatives to H. sapiens are primates. Furthermore, at the other extreme, the most distant relatives include blue-green algae Anabaena and fungi C. glabrata, C. albicans and Y. lipolytica. In fact there are organisms

[^21]from a variety of different phyla, which is to be expected. However, there are some important anomalies that warrant further consideration:-

1. The second closest organism to H. sapiens is Mustela putorius furo, the polecat, even though several ape species are included in the analysis.
2. The chimpanzee, Pan troglodytes is considered one of the closest relatives to humans, but falls in eighth place in the results.
3. In the results the fruit fly, $D$. melanogaster is a more distant relative than the yeast, $S$. cerevisiae even though the fruit fly, $D$. erecta is a closer relative than yeast. This problem is exacerbated by the large number of examples for both $D$. melanogaster and $S$. cerevisiae, implying a high degree of confidence in this anomalous result.

The placing of the polecat in the results Table 7.2 was particularly perplexing. We referred to this as the 'polecat problem' and it is studied in more detail in the following Section 7.7.3. It is hoped that this will shed some light on the nature of the problems with these results that may also explain the remaining anomalies.

| Organism | E-distance | Examples |
| :---: | :---: | :---: |
| Pan paniscus | 0.995364 | 86 |
| Mustela putorius furo | 0.983112 | 13 |
| Saguinus oedipus | 0.981555 | 63 |
| Aotus nancymaae | 0.980348 | 154 |
| Macaca fuscata fuscata | 0.980249 | 28 |
| Theropithecus gelada | 0.978452 | 11 |
| Gorilla gorilla gorilla | 0.971265 | 426 |
| Pan troglodytes | 0.961599 | 2855 |
| Pongo pygmaeus | 0.96042 | 7276 |
| Papio hamadryas | 0.951834 | 46 |
| Cercopithecus aethiops | 0.947222 | 97 |
| Macaca fascicularis | 0.932491 | 2318 |
| Saimiri sciureus | 0.920972 | 62 |
| Callithrix jacchus | 0.914683 | 125 |
| Macaca mulatta | 0.909613 | 458 |
| Dama dama | 0.901702 | 12 |
| Tupaia glis belangeri | 0.876846 | 30 |
| Bos taurus | 0.844367 | 7080 |
| Papio anubis | 0.840821 | 98 |
| Spermophilus tridecemlineatus | 0.830421 | 27 |
| Canis familiaris | 0.826853 | 1260 |
| Sus scrofa | 0.819325 | 1081 |
| Oryctolagus cuniculus | 0.816233 | 1105 |
| Mesocricetus auratus | 0.791056 | 179 |
| Thalassophryne nattereri | 0.786867 | 41 |
| Equus caballus | 0.770369 | 190 |
| Bufo marinus | 0.754007 | 15 |
| Rattus norvegicus | 0.730419 | 4102 |
| Cricetulus griseus | 0.710609 | 78 |
| Vicia faba | 0.709323 | 11 |
| Mus musculus | 0.677853 | 30532 |
| Ovis aries | 0.651653 | 34 |
| Cavia porcellus | 0.649648 | 141 |
| Drosophila erecta | 0.640529 | 35 |
| Felis silvestris catus | 0.63979 | 97 |
| Coturnix coturnix japonica | 0.59183 | 50 |
| Ictalurus punctatus | 0.557048 | 12 |
| Halobacterium salinarium | 0.53434 | 16 |
| Gallus gallus | 0.499774 | 1021 |

Table 7.2: Organisms having a common ancestor with H. sapiens (part 1). Edistance is the evolutionary distance and Examples is the number of examples of the common ancestor pairing found.

| Organism | E-distance | Examples |
| :--- | :--- | ---: |
| Anthocidaris crassispina | 0.493827 | 11 |
| Strongylocentrotus purpuratus | 0.487301 | 21 |
| Dugesia tigrina | 0.450764 | 39 |
| Hydra attenuata | 0.445304 | 118 |
| Xenopus laevis | 0.429699 | 568 |
| Xenopus tropicalis | 0.412083 | 73 |
| Danio rerio | 0.361138 | 440 |
| Pleurodeles waltlii | 0.359499 | 17 |
| Fasciola hepatica | 0.358523 | 12 |
| Ustilago maydis | 0.306608 | 36 |
| Agaricus bisporus | 0.303682 | 30 |
| Discopyge ommata | 0.303602 | 13 |
| Escherichia coli | 0.292745 | 22 |
| Fowlpox virus | 0.283692 | 13 |
| Medicago sativa | 0.279168 | 13 |
| Dictyostelium discoideum | 0.274396 | 41 |
| Arabidopsis thaliana | 0.266403 | 344 |
| Neurospora crassa | 0.256251 | 12 |
| Ashbya gossypii | 0.255217 | 24 |
| Oryza sativa subsp japonica | 0.235131 | 74 |
| Schizosaccharomyces pombe | 0.228683 | 280 |
| Manduca sexta | 0.222518 | 22 |
| Caenorhabditis elegans | 0.222162 | 471 |
| Oxalobacter formigenes | 0.216282 | 18 |
| Bombyx mori | 0.203593 | 11 |
| Fugu rubripes | 0.194571 | 27 |
| Acanthamoeba polyphaga mimivirus | 0.194415 | 35 |
| Emericella nidulans | 0.192196 | 28 |
| Zea mays | 0.191359 | 15 |
| Saccharomyces cerevisiae | 0.188163 | 334 |
| Methanococcus jannaschii | 0.183786 | 15 |
| Bacillus subtilis | 0.178216 | 20 |
| Yarrowia lipolytica | 0.172073 | 23 |
| Candida albicans | 0.151799 | 19 |
| Candida glabrata | 0.143612 | 24 |
| Drosophila melanogaster | 0.141827 | 655 |
| Dugesia japonica | 0.137991 | 11 |
| Sturnus vulgaris | 0.0966652 | 29 |
| Lymnaea stagnalis | 0.0852633 | 18 |
| Anabaena sp | 0.08023 | 11 |
|  |  |  |

Table 7.3: Organisms having a common ancestor with H. sapiens (part 2). Edistance is the evolutionary distance and Examples is the number of examples of the common ancestral pairing found.

## The Polecat problem

In the results there was a problem in that the polecat showed up as being one of the closest relatives to humans. The database was interrogated to find all trees where the pair relation for Homo sapiens and Mustela putorius furo occurred and the following data extracted:

- Identity of the two protein sequences involved.
- The evolutionary distance between the protein sequences of Homo sapiens and Mustela putorius furo.
- Identity of the model protein sequence tree.
- A description of the function of the model protein from which the tree was derived.

These results are given in Table 7.4.
From Table 7.4 it can be seen that there are 13 examples of the pair relation for Homo sapiens and Mustela putorius furo and it is clear that the same two protein sequences have been detected in all 13 model protein trees. Both of the protein sequences n107304 and n107306 are annotated in the Swiss-prot data as being associated with Kv channel function.

As a side note it is interesting to see that the same two sequences n107304 and n107306 have different evolutionary distances varying from 0.00271 to 0.0226 for different model protein trees. These values assigned by ClustalW are inversely proportional to sequence homology, i.e. smaller values indicate greater sequence similarity. This is evidence that the E-distances produced by ClustalW are tree specific.

Although it is clear that all 13 results involve the same two protein sequences, we needed to know why these sequences fell into 13 different superfamily classifications. It was hypothesized that these were multi-domain proteins and each domain had one or more similarities in each of the 13 model protein sequences.

| Prot. A | Prot. B | E-distance | Tree ID | Tree description |
| :---: | :---: | :---: | :---: | :---: |
| n107304 | n107306 | 0.02260 | m35206 | Calcineurin regulatory subunit (B-chain). |
| n107304 | n107306 | 0.01942 | m35583 | Neurocalcin (is a neuronal calcium-binding protein). |
| n107304 | n107306 | 0.02105 | m37732 | Frequenin (neuronal calcium sensor 1). |
| n107304 | n107306 | 0.02106 | m37987 | Frequenin (neuronal calcium sensor 1). |
| n107304 | n107306 | 0.01852 | m38680 | Apoptosis linked protein alg2. |
| n107304 | n107306 | 0.01960 | m39467 | Guanylate cyclase activating protein 2, GCAP 2. |
| n107304 | n107306 | 0.02094 | m40067 | Calpain small (regulatory) subunit (domain VI). |
| n107304 | n107306 | 0.00636 | m42852 | Calcyclin (S100). |
| n107304 | n107306 | 0.01482 | m43209 | Recoverin (neuronal calciumbinding protein (optic)). |
| n107304 | n107306 | 0.01715 | m43210 | Translational regulator protein regA. |
| n107304 | n107306 | 0.00271 | m43360 | Oncomodulin. |
| n107304 | n107306 | 0.01762 | m43503 | Kchip1, Kv4 potassium channel interacting protein. |
| n107304 | n107306 | 0.01770 | m44365 | Calcineurin B-like protein. |

Table 7.4: Analysis of the apparent evolutionary relationship between Humans (H. sapiens) and the polecat (M. putorius furo). Prot. A is the protein identifier for H. sapiens and Prot. B is the protein identifier for M. putorius furo. E-distance refers to the evolutionary distance between the two identified protein sequences. Tree ID refers to the model protein tree identifier and Tree description describes the function of the model protein from which the tree was derived. It is clear that the same two protein sequences have been detected in all 13 model protein trees indicating a potential problem with the database. Note also that the E-distances vary even though the two protein sequences are the same in each case.

A sequence alignment was performed on the phylogenetic pair sequences for Homo sapiens and Mustela putorius furo and all thirteen model protein sequences. The most interesting 300 residue sites (points that may contain a residue or a gap) are listed below:

| Homo_sapiens | SYDQLTGHPPGPTKKALKQRFLKLLPCCGPPQVLPSVSETLAAPASLRPHR |
| :---: | :---: |
| Mustela_putorius_furo | SYDQLTGHPPGPTKKALKQRFLKLLPCCGPPALPSVSETLAVPASLRPHR |
| 0043209 | ----ISGNLPSQNKKAFKQRFLKLLPCCGPESTPSVSESFALNPSLS--- |
| 0043503 | ----MSG-----CSKRCRLGFVKFAQTIFKLITGTLSKDIAWWYYQYQR- |
| 0037732 | -MGNAKSKLS |
| 0035583 |  |
| 0039467 |  |
| 0037987 | -----MGALVSKIGFSCRKKK-- |
| 0035206 |  |
| 0043210 | HLRMEQIYRFIYDKKTSNKQKYSMQSKINQQALPVASGSISIMLNVARKD |
| 0044365 | ------MVDSSEGLRRLAALLFKCCSLDS-- |
| 0042852 |  |
| 0038680 | -----------MQLIKKLSPKRWFSSKKDR-- |
| 0040067 |  |
| 0043360 | RLVKVKFGPEEAFYTVNRKGEKLRKVNFKGVELLSIEVTQDARKKPMLLV |

Homo_sapiens
Mustela_putorius_furo
0043209
0043503
0037732
0035583
0039467
0037987
0035206
0043210

0040067
0043360

0044365 ---------------SNRPNGLQDPERLARETVFNVNEIEALYELFKKIS
0042852 --------------------------------------MSPWTHHLKNIDKGC
0038680 -------------------SELSRSEPSSFSSGTASSDASDSSISNVKANS
PRPLDPDSVDDEFELSTVCHRPEGLEQLQEQTKFTRKELQVLYRGFKNEC PRPLDPDSVEDEFELSTVCHRPEGLEQLQEQTKFTRKELQVLYRGFKNEC ------DSVEDDFELSTVCHRPEGLEQLQEQTKFTKKELQVLYRGFKNEC ------DKIEDDLEMTMVCHRPEGLEQLEAQTNFTKRELQVLYRGFKNEC -----------------------PEQLNELQKSTNFEKKELQQWYKGFLKDC --------------------MGQTATLPCRKGGTYVTELYEWFRKFLNEC ----------------LLGRDPKRTIVRLVRVTNFTEGEVKKWMKEFEKDC -------------------------------MGGKLTKKDVERLQRRFQRLA YGFNLQSFKKKIAPKSKFKKMKWKTIQWLLKNRKDAIRQIFQNYQSIVKQA ------------------------------------MSPWTHHLKNIDKGC ---------------------------------------MAYLSRSEPSQPQGGYDPNYLSGIFQRVDKDR RVPRDYDLVLEFDSTASRNRFLHKLETFLTSHNKHLEQIPTYREQMLSNA

| Homo_sapiens | P---SGIVNEENFKQIYSQFFPQGDSST- |
| :---: | :---: |
| Mustela_putorius_furo | P---SGIVNEENFKQIYSQFFPQGDSST |
| 0043209 | P---SGIVNEENFKQIYSQFFPQGDSSM |
| 0043503 | P---SGVVNEETFKQIYAQFFPHGDAST- |
| 0037732 | P---SGQLDKTEFQKIYKQFFPFGDPSR- |
| 0035583 | P---SGLITLHEFRRHFCNGTVGKESAE- |
| 0039467 | P---SGTLFMHEFKR-FFKVPDNEEATQ- |
| 0037987 | P---DGFLREDEFVAHYSDYFSAGNQRRKE- |
| 0035206 | NN--TGKVQIATFQTMVELG---GNP |
| 0043210 | KDF-PEGLNREQFQGLLISFGLGADKN- |
| 0044365 | S----AVVDDGLINKEEFQLALFKTNRKDS- |
| 0042852 | P-------------KPLTSASPLSEEQ- |
| 0038680 | AAA-NAGFRTPTSVLPQISGDWSDMSTDFY- |
| 0040067 | S----GSISSNELQQALSNGTWTPFNPET- |
| 0043360 | ETRERREMRLEHFFREAYALTFGPKPGEKRKMEEVTGDAIIVMRTSLSRS |


| Homo_sapiens | YATFLFNAFDTNHDGSVSFEDFVAGLSVILRG-TVDD |
| :---: | :---: |
| Mustela_putorius_furo | -------------YATFLFNAFDTNHDGSVSFEDFVAGLSVILRG-TIDD |
| 0043209 | ------YAHFLFNAFDTDHSGSVSFEDFVAGLSVILRG-TIDD |
| 0043503 | -YAHYLFNAFDTTQTGSVKFEDFVTALSILLRG-TVHE |
| 0037732 | ---FADYVFNVFDGDKNGFIDFKEFICALSVTSRG-RVDE |
| 0035583 | ----YAEQIFRTLDNNGDGVVDFREYVTAISMLIEG-STVE |
| 0039467 | -YVEAMFRAFDTNGDNTIDFLEYVAALNLVLRG-TLEH |
| 0037987 | --ALAKQIFRTFDKDASGCVDFWEFMCGMSALLRG-TTVE |
| 0035206 | ----FVPHLFKLFDSSGDGSLNLEEFTRALEYFGQLDNEEE |
| 0043210 | -LAEKLFYVFDEDSSGTVDYKELIVGLEVLKDD-TIDE |
| 0044365 | --MFADRVFDLFDTKHNGILGFEEFARALSVFHPNAPIDD |
| 0042852 | -----LNKIFNRYDTNGDGHLSWEELKSAYNILGMSFPGLR |
| 0038680 | ----------FELTQAFKVIDRDNDGLVSRNELEALLTRLGAEPPSSQ |
| 0040067 | -----VRLMIGMFDRDNNGTINFQEFSSLWKYITDW----- |
| 0043360 | EFASALGMKGDDVFVKMMFNIVDKDGDGRISFQEFLDTVVLFSKG-RTED |

```
Homo_sapiens
Mustela_putorius_furo
0043209
0 0 4 3 5 0 3
0 0 3 7 7 3 2
0035583
0039467
0 0 3 7 9 8 7
0035206
0043210
0044365
0 0 4 2 8 5 2
0 0 3 8 6 8 0
0040067
0 0 4 3 3 6 0
```

Homo_sapiens
Mustela_putorius_furo
0043209
0043503
0037732
0035583
0039467
0037987
0035206
0043210
0044365
0042852
0038680
0040067
0043360

RLNWAFNLYDLNKDGCITKEEMLDIMKSIYDMMG---KYTYPALREEAPR
RLNWAFNLYDLNKDGCITKEEMLDIMKSIYDMMG---KYTYPALREEAPR
KLNWAFNLYDLNKDGCITKEEMLDIMKSIYDMMG---KYTYPNMREEAPR
KLRWTFNLYDINKDGYINKEEMMDIVKAIYDMMG---KYTYPVLKEDTPR
KLYWAFQLYDIDNDGYITREEMLNIVDAIYKMVG---SMVKLPPDEDTPE
KLRWSFKLYDKDKDGAITRSEMLEIMQAVYKMSV---AASLTKPDPLTAE
KLKWTFKIYDKDRNGCIDRQELLDIVEALESFRV---CFPTT----MKPE
KLKWAFSMYDLDGNGYICTTELLNVLKLMHELRYPSATEEELEKVQAPLE
QYKFAFRIYDQDGDGFISSEELFNVLQTLMGAAVP----------DSQLE
KLKIFFDLCDEDGSGKVSEKEIFNILKQNIINEN----------DKYQLK
KIDFAFKLYDLKQQGFIEKQEVKQMVVATLAESG-------MNLSDEIIE
ALK-ALCVADENRDGYISQKEFIKLMRKKYRK------------------
EMAVMLGEVDLISVEELASRLGSACEPAGGDELRDAFVFFDSDRDGKITA
--QTTFRNYDRDSSGTIDKNELQNALTSFGYRLS---------------
KLRIIFDMCDKDHNGVIDKGELSEMLRSLVEIAR-----TNNSLNDDQVT

EHVESFFQKMDRNKDGVVTIEEFIESCQKDENIMRSMQL--FDNVI----EHVESFFQKMDRNKDGVVTIEEFIESCQKDENIMRSMQL--FDNVI----EHVENFFQKMDRNKDGVVTIEEFIESCQKDENIMRSMQL--FDNVI----QHVDVFFQKMDKNKDGIVTLDEFLESCQEDDNIMRSLQL--FQNVM----KRVKKIFDLMDNDKDGRLSMEEFKKEGSKKDPTILQALNL--YDGMV----ECTNRIFVRLDKDNNAIISQDEFIEGALNDEWIREMLEC--DPNTVKMER EVVDRIFLLVDENGDGQLSLNEFVEGARRDKVLL-------------------KVRDRVFNELDRDGDGRLELREFVEGVRKNPALLKMVEE--GGDDDCTVQ QVVYNTMSEFDRDGDNKLDMQEFKALLSRDDLANKFSMS--M--------MVIREMIKQVDQDGDGELNKEEILQAASKNPILRRLLEQ--TISNVRRID GIIDKTFEEADTKHDGKIDKEEWRNLVLRHPSSLLKNMTLPYLRDITTTFP

EELLNVYKAFGDEKCTLEDCKGMIAVVDKNGDGFVCFEDFCRMMELQR-KFYSILIKKFDRSGRGVVNFDDFIQCCVVIQMLTNAFQAYDNNRNGWISI ELIDGMFQSAGLEHKDALTYDDFKLMMREYHGDFIAIGLDCKGAKQNYLD

Although the alignment falls into two regions there is clearly a sequence similarity throughout both regions in all 15 sequences so it can be concluded there are not multiple domain homologies in these results. We conclude from this that the sequences of the model proteins representing the superfamily classifications in these results are too closely related. This means that many of the superfamily classifications derived by Gough et al. (2001) in the Superfamily project are likely to result in overlapping homologies. This will result in over representation of many phylogenetic relationships such as we have seen with our polecat example.

### 7.8 Protein Evolution

As previously stated in the introduction, the protein tree database discussed in this chapter can be used to study protein evolution.

Protein evolution is becoming of increasing interest to researchers in many areas including resolving problems and inaccuracies in the Tree of Life, which is discussed further in Section 8.1 in Chapter 8, and in pathogen recognition and drug discovery (Zhang et al. , 2006).

Proteins can be viewed as the active elements in cells (see Section 2.2.1) and so it is generally considered that protein evolution must in some way affect species evolution. There are 1210 trees in the protein tree database, which plot the evolutionary history of organisms that contain proteins from each family of known protein orthologues found in the Swiss-Prot database. This protein tree database can be used for knowledge discovery of proteins and protein function. For example, where a protein tree contains many diverse species we may conclude that this protein family is highly conserved and is therefore most likely to be an essential protein for cells such as 'house keeping' proteins. Cytochrome c and cytochrome oxidase are two essential proteins used in respiration and indeed, there are several trees of the cytochrome family ( m 45394 , $\mathrm{m} 41780, \mathrm{~m} 44721$ and m 42845 ) in the protein tree database that include many diverse species. Also, where a protein tree is constrained to a clade of closely related organisms we may conclude that this protein family has a function very specific to the organisms in this clade. An
example of this is the auxin binding protein tree (m40629), which contains only plants.

Where protein trees are specific to peptide sequences, gene trees are specific to nucleotide sequences. The nature of protein evolution can be determined by comparing the nucleotide sequences from gene trees to the peptide sequences from protein trees ${ }^{5}$.

Naturally occurring substitutions in nucleotides that do not affect the peptide sequence are known as synonymous substitutions and are commonly designated by the symbol $K_{s}$. Substitutions in the nucleotide sequence that lead to a change in the peptide sequence are known as non-synonymous substitutions and are commonly referred to by the symbol $K_{a}$. The nature of protein evolution can be ascertained from the ratio of non-synonymous substitutions and synonymous substitutions.

$$
\begin{equation*}
\frac{K_{a}}{K_{s}}=1 \tag{7.2}
\end{equation*}
$$

Equation 7.2 indicates that both synonymous and non-synonymous substitutions are occurring randomly and it is most likely that these proteins are not undergoing evolutionary selection, which further suggests the possibility that they are no longer expressed or that they have been silenced.

$$
\begin{equation*}
\frac{K_{a}}{K_{s}}>1 \tag{7.3}
\end{equation*}
$$

Where there are more non-synonymous substitutions than synonymous substitutions as indicated by Equation 7.3, it can be concluded that the protein is undergoing positive selection. Positive selection is of considerable interest in protein evolution research since it is popularly thought that the genetic differences between closely related organisms will be found in proteins undergoing positive selection (Hurst, 2009). However, Hurst (2009) challenges this assumption based

[^22]on recent evidence from research into differences between the genomes of Humans and Chimpanzees (Berglund et al. , 2009) (Galtier et al., 2009).
\[

$$
\begin{equation*}
\frac{K_{a}}{K_{s}}<1 \tag{7.4}
\end{equation*}
$$

\]

Finally, Equation 7.4 indicates purifying selection, where the protein is highly optimised and further substitutions are deleterious. Most expressed proteins will fall into this category (Xiong, 2008).

This section has described just a few examples of the methods used in the analysis of protein trees and their comparison with gene trees, but from the point of view of this thesis, it remains an area for future research.

### 7.9 Conclusion

The research presented in this chapter has shown two main difficulties for the creation of a phylogenetic consensus tree. The superfamily classifications we have used in the database are unsuitable and the phylogenetic pair relation is not sufficiently informative.

The superfamily classifications we have used to generate the database are not sufficiently distinct. The superfamily classifications derived by Gough et al. (2001) represent clusters of protein sequence homology, which is in itself a very interesting line of research. However, we require classifications that avoid overlapping homologies where, ideally, each protein sequence belongs to only one classification ${ }^{6}$.

The problem with phylogenetic pairs is that the ancestral relationship is not defined so we can only determine those OTUs that are close relatives to other OTUs by direct sequence similarity, but not by sequence phylogeny. One solution is to use phylogenetic triples, which detail a phylogenetic relationship between three

[^23]OTUs where all three OTUS form a clade, but two OTUs are in a separate subclade to which the third does not belong. This concept is extremely useful in phylogenetics and is described in more detail in Chapter 8.

## Chapter 8

## Phylogenetic Consensus Tree

### 8.1 Introduction

The Tree of Life (a taxonomy of all living organisms) is considered by some to be a modern day 'Holy Grail'. A good example of this is in the creation of the Tree of Life Web Project (ToL) (Maddison et al. , 2007). The Tree of Life Web Project is a website (Maddison \& (eds.), 2007), which is the result of a collaborative effort of biologists worldwide and contains well over 9000 World Wide Web pages to date. The project is a work-in-progress and provides information about the diversity of organisms, their evolutionary history (phylogeny), and characteristics. The sources of information for the ToL project are many and varied, and consequently the accuracy and resolution of the phylogeny is open to debate.

It may soon be possible to reconstruct a molecular phylogenetic tree (See Section 2.6) for a large sample of all living organisms since Genbank ${ }^{1}$ has recently announced that it now holds sequence data on $10 \%$ of species 'presently known' (Sanderson, 2008) (Benson et al., 2000) (Benson et al., 2007). This is mainly because there is a considerable input of new evidence from computer science with higher performance and improved algorithms. Data from multiple whole genome

[^24]sequences from a single species (Drosophila 12 Genomes Consortium, 2007); expressed sequence tag libraries (Hughes et al. , 2006) (Steinke et al., 2006); and barcode sequences (Hajibabaei et al. , 2007) are all contributing to this pool of evidence, which is continually increasing the scale of feasible phylogenetic inference (Sanderson, 2007). Recently, it has been suggested that for well studied organisms (mostly mammals) nearly complete phylogenetic trees can already be constructed (Bininda-Emonds et al., 2007). Sanderson summed up the present situation well in the following quote:
"Construction of a high resolution phylogenetic tree containing all eukaryota in the database [Genbank] is a grand challenge that is substantially more tractable than inferring the entire tree of life, but to succeed, strategies will have to overcome serious sampling impediments. Quantifying the distribution and strength of phylogenetic evidence currently in the database is a prerequisite for this effort"

Indeed, as with nearly all data in bioinformatics, the molecular sequence data used to create phylogenetic trees is noisy, as Sanderson suggests, and this results in substantial inaccuracies. One method to ameliorate this is to sample from many phylogenetic model protein trees and draw a consensus of information to use in building the Tree of Life for organisms.

This chapter describes a method to generate a consensus tree representing a phylogeny of organisms from the model protein tree database described in Chapter 7. This method has been named broad phylogenomic sampling by Dunn et al. and it is a method that has been suggested to overcome the problems with noisy data and consequently, to improve the resolution of the Tree of Life (Dunn et al. , 2008). The work in Chapter 7 revealed that the model protein database needed to be modified to make it suitable for this specific consensus tree building application and this is discussed later in this chapter. Two methods titled phase I and phase $I I$ are described, using phylogenetic triples to deconstruct phylogenetic trees. The procedures in phase $I$ and phase $I I$ are identical except that a more constrained rule for the phylogenetic triple is used in phase II. The results from both phases are evaluated before Aho's algorithm is used to reconstruct an example consensus
tree and a final consensus tree. Finally, an evaluation of the final consensus tree is reported highlighting further problems with the database and also, the initial methods used are discussed, which further clarifies the research required to create accurate phylogenetic trees.

### 8.2 Phylogenetic Triples

Phylogenetic triples are used to extract information about the evolutionary relatedness of specific organisms within a larger phylogenetic tree.


Figure 8.1: Diagram of a triple where $A, B$ and $C$ are external nodes that represent OTUs or extant organisms, and $X$ and $Y$ are internal nodes representing possibly extinct organisms. The characters $\alpha, \beta, \gamma$ and $\delta$ represent paths between nodes that consist of one or more edges.

A triple is essentially a set of three related variables. A phylogenetic triple describes the phylogenetic relationship between a set of three variables, where those variables are operational taxonomic units (OTUs) or extant organisms in nature. A diagram of a phylogenetic triple is shown in Figure 8.1 which clearly describes the relationship between three OTUs or organisms represented by external nodes $A, B$ and $C$. A rule is applied to the external nodes such that $A$ and $B$ have a common ancestor represented by the internal node $X$ that $C$ does not, but there is a more distant ancestor represented by the internal node $Y$ shared by all three OTUs. All five nodes $A, B, C, X$ and $Y$ are connected by edges $\alpha, \beta, \gamma$ and $\delta$, which have values proportional to the evolutionary distance between nodes.

In essence, all three OTUs form a clade with the immediate ancestor of $C$ being
the basal node $Y$ of that clade, but $A$ and $B$ form a sub-clade where the most recent common ancestor of $A$ and $B$ is the basal node $X$, and $C$ is not a member of that sub-clade. The relationship this determines, simply and reliably, is that $C$ diverged from the ancestral line before $A$ and $B$. It is this information that is essential in determining the structure of a phylogenetic tree.

### 8.3 Reconstructing Phylogenetic Trees

A consensus method takes a collection of disparate trees representing phylogenies of a set of taxa and returns a single consensus tree of the same set of taxa. One of the first consensus tree building algorithms was created by Adams (1972) and now this is only one of many available consensus tree methods (Bryant, 2003).

For this research a computer program specifically designed to work with phylogenetic triples was written by Amanda Clare. It was written in the functional programming language Haskell and is a realisation of an algorithm presented in a paper by Bryant (2003), which is based on an algorithm written by Aho et al (1981).

### 8.4 New Knowledge Base

The knowledge base used in Chapter 7 details trees created from the Superfamily data describing 10,891 sets of homologous sequences. However, SCOP have defined only 1538 superfamilies. The Superfamily project were more concerned with clusters of homologous sequences and they found multiple distinct clusters within the superfamily classifications defined by SCOP. For each cluster, they defined a new superfamily classification represented by a model protein sequence. Protein sequences that are homologous to the model protein sequences are classified as members of that superfamily set. Using a fixed threshold of sequence similarity, such as the E-value used in BLAST searches, there would be an overlap between sets. We wished to avoid overlapping sets because this over represents many super-

| File | Format |
| :--- | :--- |
| the_trees.pl | edge(OTU/node, ancestor node, E-value, model ID). |
| or_freq.ttxt | frequency, organism |
| org_tre_freq.pl | org_tre_freq(organism, number of trees) |
| org.pl | org(organism) |
| query_org.pl | org(organism) |
| pop_orgs.pl | organism(organism) |
| tree_data.pl | model_data(model ID, protein_function) |
| tree_size.pl | pTreeSize(model ID, number of edges) |

Table 8.1: File names and schema for the background knowledge files used in triple mining. The term OTU refers to the Operational Taxanomic Unit, which is the name of an organism; $\mathbf{E}$-value is the evolutionary distance determined by ClustalW and model ID refers to the model protein identifier.
family classes. With this in mind, a new knowledge base was extracted from the knowledge base used in Chapter 7 by selecting the most populated phylogenetic model protein tree from each of the 1538 SCOP superfamily classes. This resulted in a database containing 397795 facts (edges in trees) on 5302 organisms in 1211 trees representing the phylogeny of proteins from the SCOP superfamily classes. Note that the trees for the outstanding 327 SCOP superfamily classes contained less than 3 organisms. At least 3 organisms are required in each tree for analysis and consequently, these trees were not included in the database.

### 8.4.1 Background Knowledge

The background knowledge files are described below and the Datalog schema for the data contained in those files is detailed in Table 8.1, which can be used as a reference for later Prolog query design.
the_trees.pl lists 397,795 facts representing edges, giving the evolutionary distance between two given nodes and the model protein identifier, which identifies the protein sequence used to represent each of the 1,211 different SCOP superfamily classes
org_freq.txt lists all 5,302 organisms and their frequency in the knowledge base.

Note that there are 933 trees that contain at least one example of Homo sapiens.
org_tre_freq.pl lists the top 906 most frequent organisms that have at least one example in more than 10 trees and the number of trees where there is at least one example of that organism.
org.pl all 5,302 organisms listed as predicate facts.
query_org.pl is a subset of org.pl used by the phylogenetic triple mining procedure.
pop_orgs.pl contains 906 predicate facts which represent all organisms that have at least one example in more than 10 trees.
tree_data.pl lists all 10,894 Superfamily models by the model number prefixed by ' $m$ ' and the description of the protein function of the sequences in the set derived from that model.
tree_size.pl lists of 8,192 trees/models and the number of edge predicates for each tree.

### 8.5 Phase I

This section describes the method used to find frequent phylogenetic triples in the new knowledge base. Note that the terms model protein $I D$ and tree $I D$ are synonymous throughout this chapter.

Referring to Figure 8.2, the first step labelled 'triple miner', extracts all triples using the triple structure definition given in Section 8.5.1. Each organism in each triple is drawn from the subset of 906 organisms that have at least one example in more than 10 trees. The choice of setting a threshold at 10 is arbitrary, but there will not be significant frequent patterns found involving organisms that are scarce within the database. All triples having less than 3 examples are removed from the results. For each triple there are 6 possible permutations of the member organisms. The second step finds the most frequent permutation of each triple. The next


Figure 8.2: Frequent triple mining procedure and the predicate facts produced by each step. The first step extracts all triples and removes non-frequent triples. The second step finds the most frequent permutation of each triple. The next step calculates the expectation of the frequency of each triple and using this result, the final step calculates the confidence and sorts the results in order of confidence.
step calculates the expectation of the frequency of each triple using conventional statistical methods. Finally, the confidence is calculated from the deviation of the frequency of examples found from the expected frequency calculated in the previous step. The higher the frequency of the examples found above the expected frequency, the more confidence we have in the result. The final results are sorted in order of confidence. This procedure is discussed in more detail in the remainder of this section.

### 8.5.1 Phylogenetic Triple Miner

From the diagram in Figure 8.2 we see that the first step triple miner is required to find all phylogenetic triples in the knowledge base. The phylogenetic triples are defined by the following rule:

```
triple_function(A, B, C, Eva, Evb, Evc, Evd, Tree, Candidate):-
    A = Candidate,
    edge(A, X, Eva, Tree),
    ancestor(B, X, Evb, Tree),
    edge(C, Y, Evc, Tree),
    ancestor(X, Y, Evd, Tree),
    not(A = B),
    not(A = C),
    not(B = C),
    organism(B),
    organism(C).
```

Referring to the diagram of the phylogenetic triple in Figure 8.1 this rule selects three different organisms $A, B$ and $C$ from the subset of 906 popular organisms where all three are members of a single model protein tree. These three organisms form a phylogenetic triple where $A$ has a direct edge to ancestor $X ; B$ has a transistive edge to ancestor $X ; X$ has a transitive edge to ancestor $Y$ and $C$ has a direct edge to ancestor $Y$. The results from applying this rule to search the knowledge base are saved along with a subset where triples with less than three examples are filtered out. This gives us the following results:
triple.pl contains 678,206 predicate facts describing all triples found in the knowledge base. Schema:

$$
\text { triple }(A, B, C, \alpha, \beta, \gamma, \delta, \text { tree_id })
$$

This data is necessary so that the tree ID/model protein ID can be traced to any frequent set. For example if we find a frequent triple, we can search this data set to identify all the model proteins associated with this frequent triple. The terms $\alpha, \beta, \gamma$ and $\delta$ represent the evolutionary distances between
the nodes (see Section 8.2).
freq_triple.pl contains 50,516 predicate facts for every triple having 3 or more examples in the knowledge base. Schema:

$$
\text { frequent_triple }(A, B, C, \bar{\alpha}, \bar{\beta}, \bar{\gamma}, \bar{\delta}, \text { Number }) \text {. }
$$

The terms $\bar{\alpha}, \bar{\beta}, \bar{\gamma}$ and $\bar{\delta}$ represent the mean of the evolutionary distances between the nodes (see Section 8.2) and Number is simply the frequency of that triple.

This data lists all phylogenetic triples and frequent triples, but the frequent pattern mining criterion is based on the confidence we have in the frequency of the example phylogenetic triples given the expectation of those triples. This is described later after an evaluation of the data obtained so far.

### 8.5.2 Evaluation of Data

At this point it is necessary to determine if the results so far are useful. The ancestral relationships described by the phylogenetic triples can be compared with an existing commonly accepted phylogeny. A small set of eight yeasts listed in Table 8.2 are used as candidate organisms in the phylogenetic triple rule given above in Section 8.5.1. The results are compared with the commonly accepted phylogeny of yeasts produced by Cliften et al. (2003) given in Figure 8.3. From the comparison, those triples that are confirmed by the commonly accepted phylogeny are given in Figure 8.4 and those do not are given in Figure 8.5.


Figure 8.3: Commonly accepted phylogeny of yeast (Cliften et al. , 2003).

From the results there are 54 examples of phylogenetic triples that conform to accepted phylogeny and 27 that do not. All positive examples correctly place $S$. pombe on the $C$ node implying that $S$. pombe branched first. Of all permutations of the three organisms $K$. lactis, $S$. cerevisiae and $S$. pombe the most frequent permutations conform to accepted phylogeny. On the whole these results are

| Organisms |
| :--- |
| Kluyveromyces lactis |
| Saccharomyces bayanus |
| Saccharomyces castellii |
| Saccharomyces cerevisiae |
| Saccharomyces exiguus |
| Saccharomyces kluyveri |
| Schizosaccharomyces pombe |
| Kluyveromyces marxianus |

Table 8.2: Yeasts used as candidate organisms for validation of the results.
positive and with further filtering by selecting those triples in which we have the most confidence, it should be possible to extract reliable information.


Figure 8.4: Frequent phylogenetic triples that conform to commonly accepted yeast phylogeny. The 'Examples' number refers to the frequency of each triple and the branch lengths are proportional to the relative evolutionary distance between member organisms of each triple.


Figure 8.5: Frequent phylogenetic triples that do not conform with accepted yeast phylogeny. The 'Examples' number refers to the frequency of each triple and the branch lengths are proportional to the relative evolutionary distance between member organisms of each triple. Where more frequent permutations exist, then the triples in this figure can be filtered from the results.

### 8.5.3 Most Frequent Permutation

For any three organisms there are six permutations of each phylogenetic triple. The results obtained so far are filtered by selecting only the most frequent permutation. This gives us the following results file:
full_res.pl A file containing 5594 results of the following schema:

$$
\text { def_triple }(A, B, C, \bar{\alpha}, \bar{\beta}, \bar{\gamma}, \bar{\delta}, N)
$$

The terms $\bar{\alpha}, \bar{\beta}, \bar{\gamma}$ and $\bar{\delta}$ represent the mean of the evolutionary distances between the nodes (see Section 8.2) and Number is simply the frequency of that triple.

This step is really only necessary to reduce the quantity of data for further processing and thus reduce the computational processing time.

### 8.5.4 Expectation and Confidence

We can determine a measure of the confidence in the selected triple by comparing the frequency of the selected triple with the frequency of the same triple selected from a randomized dataset having the same relative frequency of the organisms comprising the triple.

Given $n$ examples, the number of combinations of a subset of $r$ examples is given by

$$
\begin{equation*}
n \operatorname{Pr}=\frac{n!}{(n-r)!} \equiv n(n-1)(n-2) \cdots(n-(r-1)) \tag{8.1}
\end{equation*}
$$

From this the number of all triples $N$ in a set of $n$ examples is given by

$$
\begin{equation*}
N=n(n-1)(n-2) \tag{8.2}
\end{equation*}
$$

The number of phylogenetic triples $M$ of three organisms whose frequencies are $m_{1}, m_{2}$ and $m_{3}$ is given by

$$
\begin{equation*}
M=m_{1} m_{2} m_{3} \tag{8.3}
\end{equation*}
$$

The expectation $E$ of a given phylogenetic triple permutation from $M$ in a new subset $K$ of samples selected at random from the set $N$ is given by

$$
\begin{equation*}
E=K \frac{M}{N} \tag{8.4}
\end{equation*}
$$

Taking as an example the triples of Saccharomyces cerevisiae, Kluyveromyces lactis and Schizosaccharomyces pombe. The frequency of Saccharomyces cerevisiae is $m_{1}=2,739$, the frequency of Kluyveromyces lactis is $m_{2}=348$ and the frequency of Schizosaccharomyces pombe is $m_{3}=2,412$. The number of all OTUs in the data is 187,617 . The number of all phylogenetic triples found $K=678,206$. So the expectation is given by:

$$
\begin{equation*}
E=678,206 \frac{2,739 \times 348 \times 2,412}{187,617 \times 187,616 \times 187,615}=0.236 \tag{8.5}
\end{equation*}
$$

With a frequency of 21 the most frequent phylogenetic triple is:
$\mathrm{A}=$ Kluyveromyces lactis
$\mathrm{B}=$ Saccharomyces cerevisiae
$\mathrm{C}=$ Schizosaccharomyces pombe

To determine the significance explicitly the cumulative density function should be used. However, we are only interested in comparative significance and this can be determined more easily using the probability mass function of the binomial distribution:

$$
\begin{equation*}
f(k ; n, p)=\binom{n}{k} p^{k}(1-p)^{n-k} \tag{8.6}
\end{equation*}
$$

Where $n$ is the number of all phylogenetic triples, $k$ is the number of examples of the subject phylogenetic triple and $p$ is the probability of the expectation of the subject phylogenetic triple given by:

$$
\begin{equation*}
p=\frac{E}{n} \tag{8.7}
\end{equation*}
$$

The probability mass function gives us the probability of obtaining exactly $k$ examples of each triple. The higher the number of examples found over and above the expectation, the lower the probability. We can use this as an inverse measure of confidence, because the lower the probability, the higher the confidence.

The equations for the expectation and the confidence are implemented in an algorithm to produce the following results:
expres.pl This file contains 4,295 results where the number of examples exceed the expectation (Note that only 1,299 results fell below expectation). Schema:
$\operatorname{expect}(A, B, C$, freq. $A$, freq. $B$, freq.C, examples, expectation).
where freq. $A$, freq. $B$ and freq. $C$ are the individual frequencies of the organisms in each phylogenetic triple and can used to check the value given by expectation.
ph_triple.pl This file has 4,295 results arranged in ascending order of probability, where the highest deviation from the expectation is at the beginning.

$$
\text { ph_triple( } A, B, C, \text { examples, expectation, probability). }
$$

where probability is the probability mass function (pmf) for the number of examples obtained given the expectation. Note that the lower the probability, the more significant is the frequency of that phylogenetic triple and we have a higher confidence due to the higher significance. Therefore, lower values for the probability imply a higher confidence.

These are the results files that would be used to generate the final consensus tree, however, the analysis in the following section reveals some problems with this data.

| A | B | C | N | E | Pmf |
| :--- | :--- | :--- | :--- | :--- | :--- |
| H. sapiens | M. musculus | D. melanogaster | 1755 | 854.10 | $4.14 \mathrm{e}-11$ |
| H. sapiens | R. norvegicus | D. melanogaster | 654 | 408.65 | $2.95 \mathrm{e}-6$ |
| H. sapiens | R. norvegicus | G. gallus | 591 | 397.26 | $3.86 \mathrm{e}-5$ |
| H. sapiens | M. musculus | C. elegans | 1117 | 827.72 | 0.00067 |
| H. sapiens | M. musculus | S. pombe | 1130 | 843.43 | 0.00081 |
| H. sapiens | M. musculus | G. gallus | 1080 | 835.45 | 0.00192 |
| H. sapiens | R. norvegicus | C. elegans | 467 | 392.56 | 0.00960 |
| H. sapiens | M. musculus | D. rerio | 857 | 771.98 | 0.02352 |
| S. pombe | H. sapiens | S. cerevisiae | 393 | 246.51 | $3.41 \mathrm{e}-6$ |
| P. pygmaeus | H. sapiens | B. taurus | 353 | 263.22 | 0.00079 |
| C. elegans | H. sapiens | D. melanogaster | 297 | 234.49 | 0.00300 |
| G. gallus | H. sapiens | D. melanogaster | 299 | 237.82 | 0.00350 |
| B. taurus | H. sapiens | G. gallus | 310 | 278.46 | 0.02287 |
| B. taurus | H. sapiens | D. melanogaster | 307 | 287.46 | 0.03226 |
| G. gallus | H. sapiens | D. rerio | 209 | 203.42 | 0.03844 |
| B. taurus | H. sapiens | M. musculus | 963 | 947.66 | 0.03935 |
| R. norvegicus | M. musculus | H. sapiens | 3890 | 1205.73 | $5.0 \mathrm{e}-23$ |
| S. scrofa | B. taurus | H. sapiens | 256 | 243.30 | 0.03509 |

Table 8.3: Eighteen of the most significant examples of phylogenetic triples containing Homo sapiens. A and B share a common ancestor not shared by C. The number of examples of the triple found is denoted by N and E is the expectation. The Pmf figure in the last column is the probability mass function, which is used as a measure of significance of the number of examples found given the expectation.

### 8.5.5 Analysis of Phase I Results

A small set of results, being all results containing Homo sapiens in A, B or C positions on a phylogenetic triple, were isolated for further analysis and these are shown in Table 8.3. The majority of the results follow the accepted thinking behind the taxonomy of these organisms, but two results are curious and require further investigation.

The result for $S$. pombe, H. sapiens, $S$. cerevisiae is presented as a graph in Figure 8.6 and suggests that $S$. pombe is a closer relation to $H$. sapiens than to $S$. cerevisiae and that $S$. pombe and $H$. sapiens share a common ancestor that $S$. cerevisiae does not. This seems unlikely. However, the pattern search may have selected only a
specific sub set of proteins from humans and yeast that are similar merely by coincidence. Since these organisms are so diverse, these selected proteins would be essential proteins to both organism types (Eg. essential cell function) and so are not likely to have changed much through time.

The second anomolous result is for the triple comprising C. elegans, H. sapiens, D. melanogaster. Referring to Figure 8.7, it seems unlikely that C. elegans (nematode worm) shares a common ancestor with $H$. sapiens (human), but not with $D$. melanogaster (fruit fly). It is currently thought that $C$. elegans and $D$. melanogaster both being members of the superphylum ecdysozoa, share a common ancestor not shared by H. sapiens (Maddison \& (eds.), 2007).


Figure 8.6: Anomalous triple I: It is generally accepted that $S$. cerevisiae and $S$. pombe would share a common ancestor not shared by H. sapiens, which is not the indication from this result.


Figure 8.7: Anomalous triple II: This result is contrary to current thought that $C$. elegans and D. melanogaster share a common ancestor not shared by H. sapiens.

It is clear from Figures 8.6 and 8.7 that the evolutionary distances from the OTUs and their respective common ancestors are relatively large indicating a distant evolutionary divergence. The resolution of distant phylogenies such as this are less reliable (see Section 2.3.3) so the pattern mining criteria were modified in phase II.

### 8.6 Phase II

Phase II employs a search for the pattern described by a phylogenetic triple where A and B both have a common immediate ancestor. In phase I, B simply had an ancestor that was the immediate ancestor of A. The new pattern or structure (see Figure 8.1) is defined by the following rule:

```
triple(A, B, C, Eva, Evb, Evc, Evd, Tree, Candidate):-
    A = Candidate,
    edge(A, X, Eva, Tree),
    edge(B, X, Evb, Tree),
    edge(C, Y, Evc, Tree),
    ancestor(X, Y, Evd, Tree),
    not(A = B),
    not (A = C),
    not(B = C),
    organism(B),
    organism(C).
```

This phylogenetic triple is more constrained than that in phase I and should reduce or possibly even eliminate unreliably distant relationships. Exactly the same methods used in phase I were used in phase II with this modified phylogenetic triple.

### 8.6.1 Analysis of Phase II Results

It was thought that reducing the confidence threshold, removing triples in which we have less confidence, would produce a set more consistent with accepted phylogeny. However, by taking the evolutionary relationship between the fungi, S. cerevisiae and $S$. pombe as an example, we find that the most significant result in Table 8.4 is the one considered to be anomalous.

Presently, it is commonly accepted that $S$. pombe diverged from the ancestral line before $S$. cerevisiae. If that is true, then the first entry in Table 8.4, the one in

| A | B | C | N | Pmf |
| :--- | :--- | :--- | :--- | :--- |
| N. crassa | S. pombe | S. cerevisiae | 20 | $9.768 \mathrm{e}-011$ |
| S. cerevisiae | C. albicans | S. pombe | 15 | $5.24333 \mathrm{e}-007$ |
| S. cerevisiae | A. gossypii | S. pombe | 13 | $9.39519 \mathrm{e}-006$ |
| S. cerevisiae | C. elegans | S. pombe | 19 | $5.48882 \mathrm{e}-005$ |
| C. glabrata | S. cerevisiae | S. pombe | 10 | 0.000545159 |

Table 8.4: The top five most significant examples of phylogenetic triples from the phase $I I$ results that include both $S$. pombe and $S$. cerevisiae. A and B share a common ancestor not shared by C. The number of examples of the triple found is denoted by N . The Pmf figure in the last column is the probability mass function, which is used as a measure of significance.


Figure 8.8: The taxonomy of the subphyla of $N$. crassa, S. cerevisiae and S. pombe taken from the Tree of Life Project. This subtree shows that pezizomycotina, the subphylum of $N$. crassa, and saccharomycotina, the subphylum of $S$. cerevisiae, are grouped together in a clade to which taphrinomycotina, the subphylum of $S$. pombe, does not belong.
which we have most confidence, presents a problem. All three organisms are classified under the phylum ascomycota, but all three belong to different subphyla. Using information from the NCBI taxonomy browser ${ }^{2}$ we find $N$. crassa belongs to the subphylum pezizomycotina; S. cerevisiae belongs to the subphylum saccharomycotina and $S$. pombe belongs to the subphylum taphrinomycotina. The phylogeny of these subphyla are given by the Tree of Life project (Maddison et al. , 2007) as shown in Figure 8.8 and reveal that pezizomycotina and saccharomycotina are grouped together in a clade to which taphrinomycotina does not belong. From this we can conclude that the taxonomy of these three organisms should be

[^25]correctly represented by the triple $\{\{N$. crassa, S. cerevisiae $\}$ S. pombe $\}$.
Further investigation of the anomalous triple $\{\{N$. crassa, S. pombe $\}$ S. cerevisiae $\}$ in the results revealed that all 20 examples of this triple, which are all from separate trees, comprised the same protein sequences from each organism. This is a problem which has arisen because each example of this triple was selected due to the small evolutionary distance between each organism, but none of the protein sequences representing the organism in these examples may have been necessarily the most similar protein sequence to the seed sequence that was used by the BLAST search to isolate the members of the tree. A refinement to the selection procedure for tree members would be necessary, whereby each tree should contain only one protein sequence for each representative organism and that should be the sequence most similar to the seed sequence. Although we lose interesting evolutionary relationships between many potentially homologous proteins, this method should suffice for determining the taxonomy of organisms.

### 8.7 Results

The resulting triples from phase II were combined into a consensus tree using Aho's algorithm. The resulting phylogenetic tree diagrams in the following Figures 8.9, 8.10, $8.11,8.12$ and 8.13 were produced using a phylogenetic tree graphics package called Dendroscope (version 1.4, 28th July 2008) (Huson et al., 2007). These diagrams are a graphic representation of the phylogenetic consensus trees generated using Aho's algorithm (Aho et al. , 1981).

The phylogenetic consensus tree in Figure 8.9 was generated from a subset of triples where the $C$ node was represented by the flowering plant $A$. thaliana and the confidence threshold was set to $1 \times 10^{-3}$. By doing this the consensus tree should contain all those organisms that form a clade to which $A$. thaliana does not belong and so we would expect that the tree should contain mostly plants. However, at the top of the figure we see a relatively large group of animals and fungi. Further down there are groups of plants interspersed with fungi and bacteria. This is not very informative and is most likely due to smaller trees in the knowledge base
where the immediate ancestor of $A$. thaliana is a node representing a large clade such as a domain or kingdom.

The results for all organisms given in Figures 8.10, 8.11, 8.12 and 8.13, which represent a single consensus tree split into 4 pages for easier viewing. The results could only be obtained where the confidence threshold ${ }^{3}$ was set to $1 \times 10^{-19}$ resulting in a consensus tree containing only 209 OTUs. It is encouraging that most genus names and some known close relatives have been grouped together, but the lack of depth in the consensus tree renders it largely uninformative.

[^26]

Figure 8.9: A consensus tree drawn from all organisms (63 in total) in a clade to which $A$. thaliana does not belong. It was expected that the tree should contain mostly plants. However, at the top of the figure we see a relatively large group of animals and fungi. Further down there are groups of plants interspersed with fungi and bacteria. This is most likely due to smaller trees in the knowledge base where the immediate ancestor of $A$. thaliana is a node representing a large clade such as a domain or kingdom.


Figure 8.10: Final consensus tree with 209 organisms in total where the confidence threshold is $1 \times 10^{-19}($ Part I).


Figure 8.11: Final consensus tree with 209 organisms in total where the confidence threshold is $1 \times 10^{-19}$ (Part II).


Figure 8.12: Final consensus tree with 209 organisms in total where the confidence threshold is $1 \times 10^{-19}$ (Part III).


Figure 8.13: Final consensus tree with 209 organisms in total where the confidence threshold is $1 \times 10^{-19}$ (Part IV).

### 8.8 Conclusion

The knowledge base contains a considerable quantity of data, but the filtering process used to produce confident results has eliminated a relatively large quantity of potentially useful data. The lack of depth and the number of unassigned organisms in the resulting consensus tree is due to an insufficient number of phylogenetic triples. Future work will need to address these problems and this is discussed in Chapter 9.

## Chapter 9

## Discussion

### 9.1 Introduction

The whole process of knowledge acquisition in bioinformatics through significant pattern discovery relies heavily on combinations of statistical analysis and various methods from the field of computer science. This process has been applied to two principal areas in bioinformatics: gene location, which is a new area of research in epigenetics, and phylogenetics, which is an area within bioinformatics of rapidly increasing popularity.

There are two fundamental areas of research in bioinformatics: dealing with very large databases and making sense of the data.

Dealing with very large databases relies on many techniques from the computer science field to address the problems associated with them. Essentially, the problems are centred around the computational time required for processing. Frequent pattern mining is a case in point in that even with fast computers the quantity of data to be mined makes frequent pattern mining computationally time consuming. For example, the frequent pattern search of the topological distance between homo sapiens and all other eukaryote organisms in the phylogenetic database outlined in Chapter 7 has taken $2-3$ days on $3 \mathrm{GHz}, 500 \mathrm{Mb}$ personal computer. This was considered a fairly simple search.

Datalog and logic programming have been employed in this research as this methodology has proved to be efficient with databases in general. However, for large number crunching procedures such as Monte Carlo methods, C++ was found to be a more efficient language to work with. Java and R were better suited for graphical representation for the visualization of results. In short, we chose the tool that best suited the job.

Making sense of the data or knowledge acquisition is the main purpose of bioinformatics. There are many methods to achieve knowledge acquisition, which all depend on what is interesting to the researcher. In this research we have used significant frequent pattern mining methods, because significance in the frequency of structures or patterns is what we deem to be interesting. Frequency alone does not necessarily correspond with patterns of interest in bioinformatics. We have also used the degree of significance as a measure of confidence in the interesting patterns discovered.

We continue this chapter with a review of the research detailed in Chapters 5, 6, 7 and 8 . This is followed by a summary of the main findings, discoveries and results. The final two sections discuss the problems and future directions for significant pattern discovery in epigenetics and improving resolution in phylogenetics using frequent structure mining.

### 9.2 Review

From the research described in Chapter 5 the location of genes on the genome of Arabidopsis thaliana are found to have unknown elements or order. Both a conventional statistical sampling method and Greenwood's statistic were used in this analysis. Greenwood's statistic was used to detect clustering and uniformity in the spatial location of genes because it is a very sensitive measure and works well with smaller numbers of sample data. However, the extra sensitivity of this measure is a compromise in that it can tell us very little about the nature of the discovered clusters or uniformity in gene location. Greenwood's statistic is a relative metric and to be effective we used Monte Carlo methods to establish a null
hypothesis. By comparison of the resulting statistic to the Greenwood statistic for the null hypothesis, we can determine the significance.

The development and application of a system for determining the significance of frequent patterns, called the SPD (Significant Pattern Discovery) system, was the focus of Chapter 6. The system was capable of determining significance using both conventional statistical methods and Monte Carlo methods. The SPD system should be capable of revealing much more information about the nature of the distributions of locations of genes from Chapter 5. However, the change of model organism may have complicated this research. This is explained in more detail below.

In Chapter 7 we created a large database of phylogenetic trees. Each tree plotting the evolutionary history of a single superfamily class of proteins. The database was queried using a phylogenetic pair structure returning data on the relationships between organisms. There were anomalies that revealed necessary enhancements to the database. An in-depth analysis of one particular anomaly revealed the necessity of reducing the E-value threshold in the initial BLAST sequence alignment procedure for future databases.

In Chapter 8 a new database was created based on the information obtained in the last chapter. One type of phylogenetic triple was used to extract phylogenetic relational data. This data was evaluated by comparison to an existing commonly accepted phylogeny for yeast species. The discovered anomalies revealed the need to constrain the phylogenetic triple parameters. The evaluation of the corresponding results exposed further anomalies indicating the need for more enhancements. However, using the existing results a trial consensus tree was constructed to evaluate the performance of the consensus tree building algorithm.

The continuous process of evaluation, modification and refinement of data throughout the research described in Chapters 7 and 8 has been shown to be effective in improving the existing results and providing foundations for further improvements.

### 9.3 Key Findings and Results

In Chapter 5, the statistical analysis of the distribution of gene location on the genome of Arabidopsis thaliana revealed the following:

- The locations of genes on the genome of Arabidopsis thaliana are more clustered than would be expected from a locationally independent distribution (also referred to as a uniform probability distribution).
- Tandem duplications were thought to be the main cause of gene clustering, but we found that significant clustering was still present after removal of all tandem duplicates.
- There are marked differences in the degree of clustering of genes of certain molecular functions. Genes associated with catalytic activity, transporter activity, binding, enzyme regulator activity and transcription regulator were all significantly clustered. Less clustered are genes of signal transducer activity, and genes associated with structural molecule activity, anti oxidant activity, translation activity and nutrient reservoir showed no clustering at all.
- There is evidence of significantly even distributions in genes associated with calcium ion binding, G-protein coupled receptor activity and metallopeptidase activity.

In Chapter 6, a first order pattern discovery system is used to discover significant patterns in the location of genes. In this chapter we find the following:

- $87 \%$ of all genes smaller than 360 bp in length have unknown molecular function.
- Converging and diverging gene pairs are more frequent than consequent gene pairs.
- The nature of the gap lengths between diverging gene pairs are very different to any other type of neighbouring gene pairs.
- There is some significance in the length of $250-300$ nucleotides as there are
frequent peaks of this length in the graphs of gap length between neighbouring pairs.
- There are no significant patterns in the molecular functions of neighbouring gene pairs except for pairs both of structural molecule activity. The frequency of these pairs is significant.
- Localized clusters of genes of different molecular function are no more frequent than we would expect from a locationally independent distribution.
- There are very significant patterns in locations of genes of different molecular function that are dispersed rather than localized.

In Chapter 7 we create a large protein structural phylogenetic database and introduce methods for pattern mining within the database. From this we learned:

- Evolutionary distances used in tree mining algorithms are tree specific and cannot be used for comparisons across different trees.
- The setting of the BLAST E-value threshold is critical in order to extract sufficient samples of protein sequences for analysis, but avoiding sequences that are too distantly related.
- Data mining using phylogenetic substructures representing the phylogenetic relationship between pairs of organisms showed great promise, but revealed problems with the database.

In Chapter 8, we refined the database outlined in Chapter 7 and then applied frequent phylogenetic substructure mining with a view to generate a consensus tree of high resolution. We found:

- Frequent substructure mining using substructures of phylogenetic triples extracted reliable elements for phylogenetic determination, but further research on the precise structure of the triples used is required.
- Although the database had been refined, a further refinement is required to ensure that each organism is represented by only one protein sequence that is the most homologous to the model protein sequence for each structural
classification.
- Distant evolutionary relationships in phylogenetic trees are unreliable. This problem is inherent in most, if not all, tree building algorithms.

No reliable biological knowledge could be obtained from the research in either Chapters 7 or 8, but this ground work may prove invaluable in the future for research in phylogenetics.

### 9.4 Significant Pattern Discovery in Epigenetics

The main motivation for the SPD pattern mining system outlined in Chapter 6 is in the need to determine significance from the frequent pattern results produced by WARMR. Inspired by the results from the previous research presented in Chapter 5 , it was hypothesized that frequent pattern mining would reveal more information about the nature of the order in gene location.

The previous research in Chapter 5 indicated elements of order in the locations of genes in general, and also order in the locations of genes classified by their molecular function in the genome of the flowering plant Arabidopsis thaliana. The model organism chosen for the significant pattern mining research was the yeast fungus Saccharomyces cerevisiae. The obvious question at this point is, why switch to a different model organism?

At the time of this research (2006-2007) there was considerable excitement about a new Robot Scientist project at this University's Computer Science Department (King et al., 2004). This project focussed on very high throughput of biological experiments on Saccharomyces cerevisiae. By switching the model organism in this research to the same model organism used in the Robot Scientist project, there was a potential for interesting and rewarding collaboration. Although this opportunity never came to fruition, the switch of model organisms may have unintentionally revealed a correlation between gene location and phenotype development in that
the nature of gene location in Arabidopsis thaliana, a multi cellular organism, is very different from Saccharomyces cerevisiae, a single celled organism.

In Chapter 6 the results presented firmly indicated that there are no significant patterns in the localized clustering of genes all having different molecular functions. Although this is not entirely a contradiction of the previous research in Chapter 5 , it is, however, an unexpected result. Succeeding research in gene location in Chapter 6 then showed significant frequent patterns in the locations of genes of different molecular functions dispersed along the genome. This is curious and warrants a further study which is likely to go beyond pattern mining and/or involve advanced novel pattern mining techniques.

The SPD system has made some interesting discoveries, but it is still unclear what exactly the Greenwood statistic has detected in the distribution of genes on the genome of Arabidopsis thaliana. It would seem that a comparative study of the epigenetics of Arabidopsis thaliana and Saccharomyces cerevisiae is required to clarify this.

### 9.5 Improving Resolution in Phylogenetics using Frequent Structure Mining

Higher resolution in protein structural phylogenetic trees is popularly achieved by using as much available data as possible to create them. These data are remarkably noisy and frequently contain contradictions. The research discussed in Chapters 7 and 8 sought to ameliorate this through the use of frequent phylogenetic substructure mining. Two substructures were subjected to experimentation. Phylogenetic pairs represent the evolutionary relationship between two organism and phylogenetic triples represent the relationship between three organisms where two organisms are members of a clade to which the third does not belong. Phylogenetic pairs and triples serve as a strategy to overcome data sampling impediments by precisely defining discrete phylogenetic relationships.

There were problems with the determination of the precise structures of the sub-
structures used for the most efficient data extraction. This area would benefit from further research. However, using the preliminary substructures we did obtain some data, which was considered at the time to be sufficient for the construction of a consensus tree.

### 9.5.1 Phylogenetic Consensus Tree

In Chapter 7 we discuss the creation of a large phylogenetic database of protein structural phylogenetic trees. Although this database is intrinsically of great value in protein research, the eventual objective was to generate a potentially highly reliable consensus tree from this database. This is the work covered in Chapter 8. A consensus tree was created, but it was clear in the early stages of this research that there would be problems and that, for the creation of a consensus tree, a very different approach in the generation of the original phylogenetic database would be required.

The evolutionary distances generated by ClustalW are specific to each tree and cannot be used as a universal measure of evolutionary distance. This is a consequence of the Neighbor Joining method used by ClustalW and there is no evidence to suggest that any other tree generating algorithm does not suffer from this short coming. Therefore, the inclusion of this data in the database is largely superfluous, but it can be used for intrinsic comparisons within each tree. Unfortunately, before this fact was discovered, the evolutionary distances had been used to determine phylogenetic triples most representative of each protein structural classification. This approach also presented a further problem where the members of each representative triple may not necessarily be most representative of the classification.

The database required for consensus tree generation should contain only one protein sequence from each organism that best represents the protein structural classification.

The choice of protein structural classification used in this research was the superfamily classification, but this may result in the inclusion of very distant homologs
representing less well studied organisms. This could produce erroneous positioning on the phylogenetic trees. The more specific family classification may produce more reliable trees in this respect.

Another potential refinement is in the detection of homologous sequences. We used BLAST, which more suited to sequence similarity rather than sequence homology. Using more homology based search algorithms such as PSI-BLAST (Altschul et al. , 1997) or a 'home grown' methodology, HI (Homology Induction) (Karwath \& King, 2002), could prove to be beneficial.

A further refinement could be to select protein structural families that have a higher phylogenetic resolution. Comparing the phylogenies of different families of proteins from well known organisms to the putative phylogeny of these organisms could determine those protein families that more phenotype specific. These structural protein classifications should provide much more reliable results.

## Chapter 10

## Conclusions

The principal theme throughout this research has been the determination of the significance of the frequency of discovered patterns. Conventional statistical methods, which determine expectation and then use the probability of the extent of the deviation from the expectation as a measure of significance, have proved to be effective in many cases. However, where this statistical method fails, the application of Monte Carlo methods to establish a null hypothesis and a system of ranking the frequency of the discovered pattern in the original data against the frequencies of the same pattern found in many random distributions, has proved very effective.

The principal tools have been drawn from the field of logic programming. Representing the database and the candidate patterns in Datalog allows the use of logic programming for the analysis. The benefits are higher processing speeds of data mining, because of the highly optimized search algorithms, which are central to the logic programming methodology. Another benefit is the ease with which discovered structures or patterns represented in Datalog can be incorporated into the background knowledge.

The requirements for efficient significant frequent pattern mining of databases in Datalog schema necessitated the development of the Significant Pattern Discovery (SPD) system. This is a hybrid system centred around the WARMR frequent
pattern mining program. The filtering of results and the significance of frequent patterns along with ad hoc analyses are all provided in the Prolog and C++ programming languages in order to fully utilize the strengths of each programming language. The SPD system is a novel approach which enhances frequent pattern mining by providing fast efficient procedures for the discovery of interesting patterns.

Other novel methods used in this research are the effective application of the Greenwood statistic on sparse data together with the use of Monte Carlo methods and the use of logic programming in frequent substructure mining in phylogenetic tree structures represented in Datalog schema.

There are several key discoveries from the research presented in this thesis. This research has revealed elements of order in the physical location of genes in the genome of the flowering plant Arabidopsis thaliana. Further research, using the SPD system for significant pattern discovery, on the location of genes on the genome of the fungus Saccharomyces cerevisiae, showed an unexpected nature to the order in gene location. The SPD system also efficiently discovered significant patterns in neighbouring pairs of genes suggesting the possibility of a system of co-operative gene expression in Saccharomyces cerevisiae.

Research in the increasingly popular field of phylogenetics resulted in the creation of a protein structural phylogenetic database, which revealed previously unseen requirements. One such requirement would be a refinement in the selection or generation of model protein sequences representing the same protein domain over many disparate clades.

Moreover, the application of logic programming methods and significant pattern mining in the creation of phylogenetic consensus trees has demonstrated further requirements of databases of protein phylogeny. One consideration is the limitation of the number of organisms to clades with more recent common ancestry. Analysis of large phylogenetic trees quickly becomes intractable for large numbers of organisms (presently 200 on a personal computer). The overall phylogenetic relationship between these clades could be determined using maximum likelihood methods to determine the most likely ancestral protein sequences for
each clade.
The research described in this thesis has brought together various methods and techniques from both mathematics and computer science for the discovery of knowledge in bioinformatics. Several of these methods and techniques are novel and have proved their worth in various new discoveries. This research has achieved its main objective in unveiling new directions for future research to unravel the complex network of information contained within the molecular biology of living organisms.

## Appendix A

## Supplementary Tables for the Location of Genes in A. thaliana

## A. 1 Locational Distribution of Gene Functional Classes in Arabidopsis thaliana

## A.1. 1 Key to tables

The following list is a full description of the column headings in the tables used in this document.

Chr: Chomosome number.
Class: A number representing the molecular function classification annotated by Gene Ontology.

Std: Strand (Watson/Crick).
Orig. Grnwd: The Greenwood statistic for the distribution of genes on the original chromosomes.

MC Grnwd: The mean Greenwood statistic for the distributions of genes from 1000 pseudo-randomly generated chromosomes.

SD MC grnwd: The standard deviation for the above statistic.
Ranking: The ranking of the original result compared with 1000 simulated results.

Examples: The number of examples of molecular function class used.

| Chr | Class | Std | Orig. Grnwd | MC Grnwd | SD MC grnwd | Ranking | Examples |
| :--- | :--- | :--- | :--- | :--- | :--- | ---: | ---: |
| 1 | 03824 | W | 0.00461086 | 0.00345593 | 0.000321756 | 1000 | 872 |
| 1 | 03824 | C | 0.00428771 | 0.0037403 | 0.000265304 | 940 | 884 |
| 1 | 04871 | W | 0.0266987 | 0.0272012 | 0.00426267 | 543 | 82 |
| 1 | 04871 | C | 0.0359752 | 0.0339515 | 0.00627094 | 724 | 67 |
| 1 | 05198 | W | 0.030008 | 0.0320846 | 0.00512607 | 406 | 68 |
| 1 | 05198 | C | 0.034087 | 0.039007 | 0.00706869 | 265 | 58 |
| 1 | 05215 | W | 0.013537 | 0.00962302 | 0.00102992 | 997 | 251 |
| 1 | 05215 | C | 0.0139946 | 0.0115202 | 0.0014391 | 939 | 217 |
| 1 | 05488 | W | 0.00517308 | 0.00328976 | 0.00033366 | 1000 | 888 |
| 1 | 05488 | C | 0.00361528 | 0.00365203 | 0.000259156 | 515 | 916 |
| 1 | 16209 | W | 0.123493 | 0.15363 | 0.0390906 | 204 | 12 |
| 1 | 16209 | C | 0.194071 | 0.182619 | 0.0493941 | 702 | 10 |
| 1 | 30234 | W | 0.125975 | 0.0894086 | 0.0189708 | 956 | 22 |
| 1 | 30234 | C | 0.102725 | 0.0681844 | 0.0140613 | 977 | 31 |
| 1 | 30528 | W | 0.0167562 | 0.0102226 | 0.0010794 | 1000 | 236 |
| 1 | 30528 | C | 0.0132615 | 0.0113704 | 0.00138724 | 904 | 220 |
| 1 | 45182 | W | 0.0651981 | 0.0720028 | 0.0143043 | 360 | 28 |
| 1 | 45182 | C | 0.0775567 | 0.100828 | 0.0226898 | 104 | 20 |
| 1 | 45735 | W | 0.282141 | 0.2374 | 0.0656962 | 805 | 7 |
| 1 | 45735 | C | 0.109194 | 0.1462 | 0.0382068 | 89 | 13 |

Table A.1: Results for gene classes taken from level 1 of the Gene Ontology heirarchy on chromosome 1 using TIGR data with the tandem duplications removed.

| Chr | Class | Std | Orig. Grnwd | MC Grnwd | SD MC grnwd | Ranking | Examples |
| :--- | :--- | :--- | :--- | :--- | :--- | ---: | ---: |
| 2 | 03824 | W | 0.00493668 | 0.00518015 | 0.000450868 | 283 | 505 |
| 2 | 03824 | C | 0.00599414 | 0.00461907 | 0.00037109 | 992 | 565 |
| 2 | 04871 | W | 0.0845108 | 0.0672586 | 0.0153843 | 888 | 32 |
| 2 | 04871 | C | 0.127841 | 0.0590474 | 0.0134551 | 997 | 37 |
| 2 | 05198 | W | 0.0453661 | 0.045425 | 0.00901902 | 587 | 50 |
| 2 | 05198 | C | 0.0466727 | 0.0553937 | 0.0116024 | 227 | 40 |
| 2 | 05215 | W | 0.0200676 | 0.0161908 | 0.00225987 | 946 | 147 |
| 2 | 05215 | C | 0.0302128 | 0.018107 | 0.00269904 | 996 | 131 |
| 2 | 05488 | W | 0.00425628 | 0.00453212 | 0.000386012 | 246 | 608 |
| 2 | 05488 | C | 0.00436702 | 0.00445364 | 0.00034135 | 449 | 589 |
| 2 | 16209 | W | 0.192492 | 0.197252 | 0.0474917 | 522 | 9 |
| 2 | 16209 | C | 0.228358 | 0.212194 | 0.0536405 | 703 | 8 |
| 2 | 30234 | W | 0.185141 | 0.123328 | 0.0302565 | 956 | 16 |
| 2 | 30234 | C | 0.142932 | 0.0981309 | 0.0229557 | 948 | 21 |
| 2 | 30528 | W | 0.0393378 | 0.0172058 | 0.0023468 | 1000 | 137 |
| 2 | 30528 | C | 0.0558571 | 0.0189399 | 0.00290322 | 1000 | 125 |
| 2 | 45182 | W | 0.155136 | 0.166668 | 0.0403859 | 440 | 11 |
| 2 | 45182 | C | 0.138527 | 0.166958 | 0.0398796 | 263 | 11 |
| 2 | 45735 | W | 0.434175 | 0.3492 | 0.101882 | 846 | 4 |
| 2 | 45735 | C | 0.233419 | 0.192833 | 0.0493644 | 833 | 9 |

Table A.2: Results for gene classes taken from level 1 of the Gene Ontology heirarchy on chromosome 2 using TIGR data with the tandem duplications removed.

| Chr | Class | Std | Orig. Grnwd | MC Grnwd | SD MC grnwd | Ranking | Examples |
| :--- | :--- | :--- | :--- | :--- | :--- | ---: | ---: |
| 3 | 03824 | W | 0.00746425 | 0.00583099 | 0.000814598 | 977 | 643 |
| 3 | 03824 | C | 0.00672773 | 0.00540192 | 0.000715543 | 954 | 678 |
| 3 | 04871 | W | 0.0551221 | 0.0482816 | 0.010014 | 811 | 49 |
| 3 | 04871 | C | 0.0960669 | 0.0520379 | 0.0117095 | 996 | 47 |
| 3 | 05198 | W | 0.0425475 | 0.0380615 | 0.00816041 | 781 | 64 |
| 3 | 05198 | C | 0.055678 | 0.0371552 | 0.00804732 | 964 | 69 |
| 3 | 05215 | W | 0.0222488 | 0.0185479 | 0.00321253 | 902 | 146 |
| 3 | 05215 | C | 0.0417299 | 0.0156211 | 0.00277516 | 1000 | 183 |
| 3 | 05488 | W | 0.00846204 | 0.00574346 | 0.000765179 | 1000 | 659 |
| 3 | 05488 | C | 0.00760123 | 0.00505556 | 0.000490969 | 1000 | 689 |
| 3 | 16209 | W | 0.126566 | 0.171025 | 0.0473813 | 128 | 11 |
| 3 | 16209 | C | 0.149328 | 0.192084 | 0.0553073 | 207 | 10 |
| 3 | 30234 | W | 0.149969 | 0.101144 | 0.0242922 | 948 | 21 |
| 3 | 30234 | C | 0.106659 | 0.0906837 | 0.0234184 | 812 | 25 |
| 3 | 30528 | W | 0.0491048 | 0.0168493 | 0.00263517 | 1000 | 162 |
| 3 | 30528 | C | 0.0535272 | 0.0197254 | 0.0039783 | 1000 | 141 |
| 3 | 45182 | W | 0.281498 | 0.225753 | 0.0659261 | 849 | 8 |
| 3 | 45182 | C | 0.198122 | 0.129569 | 0.0353808 | 956 | 16 |
| 3 | 45735 | W | 0.298559 | 0.277851 | 0.0772352 | 689 | 6 |
| 3 | 45735 | C | 0.296933 | 0.16614 | 0.0488654 | 978 | 12 |
|  |  |  |  |  |  |  |  |

Table A.3: Results for gene classes taken from level 1 of the Gene Ontology heirarchy on chromosome 3 using TIGR data with the tandem duplications removed.

| Chr | Class | Std | Orig. Grnwd | MC Grnwd | SD MC grnwd | Ranking | Examples |
| :--- | :--- | :--- | :--- | :--- | :--- | ---: | ---: |
| 4 | 03824 | W | 0.0050018 | 0.00498841 | 0.000377788 | 585 | 500 |
| 4 | 03824 | C | 0.00538837 | 0.00519956 | 0.00043766 | 669 | 537 |
| 4 | 04871 | W | 0.0483991 | 0.0484439 | 0.00884644 | 589 | 43 |
| 4 | 04871 | C | 0.0407367 | 0.0435496 | 0.00878347 | 441 | 51 |
| 4 | 05198 | W | 0.0552778 | 0.0554576 | 0.0102561 | 585 | 37 |
| 4 | 05198 | C | 0.0598803 | 0.0602493 | 0.0118551 | 548 | 36 |
| 4 | 05215 | W | 0.0194584 | 0.0190879 | 0.00244008 | 629 | 117 |
| 4 | 05215 | C | 0.0258559 | 0.0175382 | 0.00277058 | 980 | 137 |
| 4 | 05488 | W | 0.00509508 | 0.00471058 | 0.000390767 | 831 | 545 |
| 4 | 05488 | C | 0.00582023 | 0.00542012 | 0.000408192 | 833 | 514 |
| 4 | 16209 | W | 0.130003 | 0.140046 | 0.0342328 | 455 | 13 |
| 4 | 16209 | C | 0.234765 | 0.237411 | 0.0604259 | 572 | 7 |
| 4 | 30234 | W | 0.114209 | 0.118632 | 0.0260909 | 505 | 16 |
| 4 | 30234 | C | 0.159594 | 0.211018 | 0.0552698 | 118 | 8 |
| 4 | 30528 | W | 0.0216402 | 0.0173024 | 0.00228024 | 961 | 130 |
| 4 | 30528 | C | 0.0293432 | 0.0203354 | 0.00323235 | 979 | 117 |
| 4 | 45182 | W | 0.149115 | 0.161546 | 0.0400584 | 446 | 11 |
| 4 | 45182 | C | 0.148636 | 0.154753 | 0.0391065 | 552 | 12 |
| 4 | 45735 | W | 0.234971 | 0.260521 | 0.071213 | 442 | 6 |
| 4 | 45735 | C | 0.231433 | 0.26178 | 0.0668359 | 383 | 6 |

Table A.4: Results for gene classes taken from level 1 of the Gene Ontology heirarchy on chromosome 4 using TIGR data with the tandem duplications removed.

| Chr | Class | Std | Orig. Grnwd | MC Grnwd | SD MC grnwd | Ranking | Examples |
| :--- | :--- | :--- | :--- | :--- | :--- | ---: | ---: |
| 5 | 03824 | W | 0.00470506 | 0.00374654 | 0.000359107 | 980 | 756 |
| 5 | 03824 | C | 0.00741941 | 0.00368441 | 0.000372623 | 1000 | 783 |
| 5 | 04871 | W | 0.0327333 | 0.0290924 | 0.00574659 | 795 | 81 |
| 5 | 04871 | C | 0.0362551 | 0.0398035 | 0.00895303 | 422 | 61 |
| 5 | 05198 | W | 0.0455819 | 0.0392262 | 0.00769359 | 833 | 58 |
| 5 | 05198 | C | 0.0386574 | 0.0384007 | 0.00822679 | 628 | 63 |
| 5 | 05215 | W | 0.0144524 | 0.0130435 | 0.0022869 | 812 | 191 |
| 5 | 05215 | C | 0.0217139 | 0.0126649 | 0.00248345 | 987 | 209 |
| 5 | 05488 | W | 0.00420059 | 0.00341291 | 0.000251068 | 979 | 862 |
| 5 | 05488 | C | 0.00400692 | 0.00338991 | 0.000343469 | 948 | 866 |
| 5 | 16209 | W | 0.212407 | 0.244203 | 0.076583 | 408 | 7 |
| 5 | 16209 | C | 0.122518 | 0.113782 | 0.0272106 | 721 | 18 |
| 5 | 30234 | W | 0.140406 | 0.117355 | 0.0280249 | 848 | 17 |
| 5 | 30234 | C | 0.10396 | 0.0925238 | 0.0203716 | 767 | 23 |
| 5 | 30528 | W | 0.0282562 | 0.0116901 | 0.00161739 | 1000 | 213 |
| 5 | 30528 | C | 0.0144269 | 0.0125376 | 0.00244378 | 796 | 211 |
| 5 | 45182 | W | 0.111221 | 0.103659 | 0.0252331 | 708 | 20 |
| 5 | 45182 | C | 0.0835833 | 0.0994304 | 0.0217667 | 240 | 21 |
| 5 | 45735 | W | 0.205942 | 0.218595 | 0.0618135 | 521 | 8 |
| 5 | 45735 | C | 0.109241 | 0.128322 | 0.033335 | 320 | 16 |

Table A.5: Results for gene classes taken from level 1 of the Gene Ontology heirarchy on chromosome 5 using TIGR data with the tandem duplications removed.

| Chr | Class | Std | Orig. Grnwd | MC Grnwd | SD MC grnwd | Ranking | Examples |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 00166 | W | 0.0107258 | 0.00937975 | 0.00102211 | 891 | 258 |
| 1 | 00166 | C | 0.0129371 | 0.00863453 | 0.000971031 | 1000 | 301 |
| 1 | 03676 | W | 0.00883573 | 0.00583013 | 0.000557914 | 1000 | 441 |
| 1 | 03676 | C | 0.00627288 | 0.00602496 | 0.000603791 | 719 | 461 |
| 1 | 03700 | W | 0.0170799 | 0.0107792 | 0.00131015 | 1000 | 224 |
| 1 | 03700 | C | 0.0134604 | 0.0121163 | 0.00160345 | 840 | 207 |
| 1 | 03735 | W | 0.0396305 | 0.0448146 | 0.00736639 | 249 | 48 |
| 1 | 03735 | C | 0.0382082 | 0.0501455 | 0.00941507 | 46 | 43 |
| 1 | 03754 | W | 0.082164 | 0.07455 | 0.013918 | 750 | 27 |
| 1 | 03754 | C | 0.0564661 | 0.0556431 | 0.0106559 | 611 | 39 |
| 1 | 03793 | W | 0.139116 | 0.0967526 | 0.0197183 | 964 | 20 |
| 1 | 03793 | C | 0.100602 | 0.110653 | 0.0255398 | 409 | 18 |
| 1 | 04386 | W | 0.154128 | 0.102456 | 0.0217551 | 967 | 19 |
| 1 | 04386 | C | 0.0724309 | 0.0646965 | 0.0128912 | 775 | 33 |
| 1 | 04857 | W | 0.189537 | 0.142903 | 0.0363572 | 917 | 13 |
| 1 | 04857 | C | 0.151728 | 0.111908 | 0.0252016 | 926 | 18 |
| 1 | 04872 | W | 0.0542422 | 0.0447582 | 0.00787169 | 901 | 48 |
| 1 | 04872 | C | 0.0502293 | 0.0521483 | 0.0107102 | 509 | 42 |
| 1 | 05386 | W | 0.0317418 | 0.0232466 | 0.00346521 | 970 | 98 |
| 1 | 05386 | C | 0.0274814 | 0.0261488 | 0.00443165 | 710 | 89 |
| 1 | 05489 | W | 0.0396485 | 0.0400886 | 0.00665184 | 535 | 54 |
| 1 | 05489 | C | 0.0435345 | 0.0468206 | 0.00883886 | 409 | 47 |
| 1 | 05515 | W | 0.0237995 | 0.0216625 | 0.00311658 | 798 | 105 |
| 1 | 05515 | C | 0.0200567 | 0.0196699 | 0.00295315 | 629 | 121 |
| 1 | 08135 | W | 0.0651981 | 0.0726647 | 0.0142764 | 327 | 28 |
| 1 | 08135 | C | 0.0775567 | 0.100526 | 0.0229796 | 105 | 20 |
| 1 | 08289 | W | 0.0839434 | 0.117092 | 0.0263293 | 29 | 16 |
| 1 | 08289 | C | 0.16031 | 0.145564 | 0.0377233 | 736 | 13 |
| 1 | 08565 | W | 0.126357 | 0.0942259 | 0.0200566 | 937 | 21 |
| 1 | 08565 | C | 0.139498 | 0.135878 | 0.0348166 | 651 | 14 |
| 1 | 15034 | W | 0.112825 | 0.0976714 | 0.0212671 | 826 | 20 |
| 1 | 15034 | C | 0.0914775 | 0.111378 | 0.027302 | 226 | 18 |
| 1 | 15075 | W | 0.0602414 | 0.0434953 | 0.00752369 | 960 | 49 |
| 1 | 15075 | C | 0.0680512 | 0.0493716 | 0.00901085 | 959 | 44 |
| 1 | 15144 | W | 0.132551 | 0.125244 | 0.0285356 | 688 | 15 |
| 1 | 15144 | C | 0.2241 | 0.0964325 | 0.0217541 | 998 | 21 |
| 1 | 15267 | W | 0.120784 | 0.14178 | 0.0360506 | 296 | 13 |
| 1 | 15267 | C | 0.169791 | 0.127789 | 0.0307377 | 921 | 15 |
| 1 | 16491 | W | 0.0150151 | 0.0148735 | 0.00193802 | 622 | 156 |
| 1 | 16491 | C | 0.0202212 | 0.0181181 | 0.00266202 | 787 | 132 |
| 1 | 16740 | W | 0.0145807 | 0.00834435 | 0.000850983 | 1000 | 293 |
| 1 | 16740 | C | 0.0130997 | 0.00919653 | 0.00102678 | 998 | 279 |
| 1 | 16787 | W | 0.0119745 | 0.00811257 | 0.000836808 | 1000 | 303 |
| 1 | 16787 | C | 0.00959217 | 0.0081276 | 0.000885949 | 938 | 323 |
| 1 | 16829 | W | 0.0637395 | 0.0515665 | 0.00934176 | 904 | 41 |
| 1 | 16829 | C | 0.056659 | 0.0441453 | 0.00802875 | 923 | 50 |
| 1 | 16853 | W | 0.0598458 | 0.056515 | 0.010327 | 708 | 37 |
| 1 | 16853 | C | 0.0540784 | 0.063066 | 0.0133762 | 264 | 34 |
| 1 | 16874 | W | 0.0488572 | 0.0579512 | 0.0107939 | 169 | 36 |
| 1 | 16874 | C | 0.0669417 | 0.0742597 | 0.0162767 | 357 | 28 |
| 1 | 19825 | W | 0.097452 | 0.097467 | 0.0207345 | 584 | 20 |
| 1 | 19825 | C | 0.0892372 | 0.109385 | 0.0248307 | 200 | 18 |

Table A.6: Results for gene classes taken from level 2 of the Gene Ontology heirarchy on chromosome 1 using TIGR data with the tandem duplications removed.

| Chr | Class | Std | Orig. Grnwd | MC Grnwd | SD MC grnwd | Ranking | Examples |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 2 | 00166 | W | 0.0120941 | 0.0138655 | 0.00194106 | 137 | 174 |
| 2 | 00166 | C | 0.0145925 | 0.0138498 | 0.00194909 | 719 | 173 |
| 2 | 03676 | W | 0.00666209 | 0.00746938 | 0.000772488 | 107 | 337 |
| 2 | 03676 | C | 0.00708366 | 0.00780332 | 0.000922006 | 200 | 326 |
| 2 | 03700 | W | 0.0394401 | 0.0181264 | 0.00274863 | 999 | 130 |
| 2 | 03700 | C | 0.0561825 | 0.0199386 | 0.0031745 | 1000 | 118 |
| 2 | 03735 | W | 0.0510885 | 0.0535256 | 0.0113382 | 498 | 41 |
| 2 | 03735 | C | 0.0748701 | 0.0731439 | 0.0160671 | 651 | 29 |
| 2 | 03754 | W | 0.21383 | 0.117079 | 0.0285792 | 991 | 17 |
| 2 | 03754 | C | 0.136785 | 0.131422 | 0.0321473 | 653 | 15 |
| 2 | 03793 | W | 0.440455 | 0.345091 | 0.0962091 | 852 | 4 |
| 2 | 03793 | C | 0.311615 | 0.33592 | 0.0848267 | 449 | 4 |
| 2 | 04386 | W | 0.108975 | 0.139285 | 0.0344028 | 168 | 14 |
| 2 | 04386 | C | 0.274744 | 0.167198 | 0.0403137 | 977 | 11 |
| 2 | 04857 | W | 0.24701 | 0.196816 | 0.0498654 | 862 | 9 |
| 2 | 04857 | C | 0.179946 | 0.146809 | 0.0376253 | 843 | 13 |
| 2 | 04872 | W | 0.128379 | 0.106413 | 0.0245292 | 833 | 19 |
| 2 | 04872 | C | 0.142208 | 0.0931953 | 0.0227478 | 959 | 22 |
| 2 | 05386 | W | 0.0498991 | 0.0444904 | 0.00895837 | 814 | 50 |
| 2 | 05386 | C | 0.0595119 | 0.0399326 | 0.00800476 | 975 | 56 |
| 2 | 05489 | W | 0.0627677 | 0.0681163 | 0.0151927 | 421 | 32 |
| 2 | 05489 | C | 0.0825069 | 0.0798037 | 0.0183442 | 645 | 27 |
| 2 | 05515 | W | 0.068128 | 0.0338404 | 0.00592966 | 1000 | 67 |
| 2 | 05515 | C | 0.0725999 | 0.0347042 | 0.00671814 | 999 | 66 |
| 2 | 08135 | W | 0.155136 | 0.165098 | 0.0416062 | 484 | 11 |
| 2 | 08135 | C | 0.138527 | 0.166002 | 0.0417856 | 268 | 11 |
| 2 | 08289 | W | 0.192819 | 0.198526 | 0.0498995 | 542 | 9 |
| 2 | 08289 | C | 0.311287 | 0.297822 | 0.0791541 | 653 | 5 |
| 2 | 08565 | W | 0.242899 | 0.181196 | 0.042781 | 914 | 10 |
| 2 | 08565 | C | 0.164953 | 0.137176 | 0.0329595 | 825 | 14 |
| 2 | 15034 | W | 0.211733 | 0.136878 | 0.0315354 | 974 | 14 |
| 2 | 15034 | C | 0.155726 | 0.123769 | 0.0296685 | 866 | 16 |
| 2 | 15075 | W | 0.0647434 | 0.0740739 | 0.0162051 | 320 | 29 |
| 2 | 15075 | C | 0.124802 | 0.0875377 | 0.0202259 | 940 | 24 |
| 2 | 15144 | W | 0.248186 | 0.267142 | 0.0687964 | 473 | 6 |
| 2 | 15144 | C | 0.0932863 | 0.145517 | 0.0365054 | 11 | 13 |
| 2 | 15267 | W | 0.121633 | 0.131592 | 0.0304631 | 439 | 15 |
| 2 | 15267 | C | 0.273804 | 0.197277 | 0.0504965 | 930 | 9 |
| 2 | 16491 | W | 0.0429582 | 0.0347538 | 0.00686123 | 903 | 66 |
| 2 | 16491 | C | 0.0394562 | 0.0321105 | 0.0059126 | 902 | 71 |
| 2 | 16740 | W | 0.0140019 | 0.0125648 | 0.00167913 | 847 | 193 |
| 2 | 16740 | C | 0.0100147 | 0.0117611 | 0.00146531 | 72 | 207 |
| 2 | 16787 | W | 0.0109255 | 0.0126858 | 0.00169714 | 88 | 191 |
| 2 | 16787 | C | 0.0158142 | 0.0112878 | 0.00146346 | 987 | 214 |
| 2 | 16829 | W | 0.0929941 | 0.0806471 | 0.018556 | 801 | 26 |
| 2 | 16829 | C | 0.142105 | 0.0678035 | 0.014861 | 997 | 32 |
| 2 | 16853 | W | 0.138935 | 0.139434 | 0.0340804 | 597 | 14 |
| 2 | 16853 | C | 0.14666 | 0.101124 | 0.0243464 | 940 | 20 |
| 2 | 16874 | W | 0.126924 | 0.0974982 | 0.0229079 | 881 | 21 |
| 2 | 16874 | C | 0.0955882 | 0.106161 | 0.0247493 | 397 | 19 |
| 2 | 19825 | W | 0.211733 | 0.13867 | 0.0341782 | 970 | 14 |
| 2 | 19825 | C | 0.133188 | 0.122226 | 0.0288378 | 715 | 16 |

Table A.7: Results for gene classes taken from level 2 of the Gene Ontology heirarchy on chromosome 2 using TIGR data with the tandem duplications removed.

| Chr | Class | Std | Orig. Grnwd | MC Grnwd | SD MC grnwd | Ranking | Examples |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 3 | 00166 | W | 0.0150251 | 0.0128967 | 0.00184559 | 892 | 223 |
| 3 | 00166 | C | 0.0169452 | 0.0125978 | 0.00212673 | 968 | 215 |
| 3 | 03676 | W | 0.0193296 | 0.00996196 | 0.00130052 | 1000 | 307 |
| 3 | 03676 | C | 0.0170507 | 0.00925041 | 0.00134523 | 1000 | 337 |
| 3 | 03700 | W | 0.0492682 | 0.0179707 | 0.00294462 | 1000 | 151 |
| 3 | 03700 | C | 0.0544014 | 0.0206394 | 0.00416924 | 1000 | 135 |
| 3 | 03735 | W | 0.0821085 | 0.0498291 | 0.0108361 | 983 | 47 |
| 3 | 03735 | C | 0.0614972 | 0.0492735 | 0.0109175 | 876 | 50 |
| 3 | 03754 | W | 0.128367 | 0.0848729 | 0.0216931 | 956 | 26 |
| 3 | 03754 | C | 0.174207 | 0.100247 | 0.0249277 | 983 | 22 |
| 3 | 03793 | W | 0.692063 | 0.698654 | 0.1467 | 520 | 1 |
| 3 | 03793 | C | 0.208939 | 0.255625 | 0.0750361 | 320 | 7 |
| 3 | 04386 | W | 0.242068 | 0.120054 | 0.0304329 | 995 | 17 |
| 3 | 04386 | C | 0.10462 | 0.125315 | 0.0337524 | 299 | 17 |
| 3 | 04857 | W | 0.198285 | 0.162657 | 0.0453965 | 829 | 12 |
| 3 | 04857 | C | 0.240999 | 0.154312 | 0.0420038 | 956 | 13 |
| 3 | 04872 | W | 0.110363 | 0.0793271 | 0.0188017 | 929 | 28 |
| 3 | 04872 | C | 0.11981 | 0.100426 | 0.0261102 | 833 | 22 |
| 3 | 05386 | W | 0.0666984 | 0.0535273 | 0.0124187 | 880 | 44 |
| 3 | 05386 | C | 0.0513102 | 0.0356247 | 0.00771152 | 952 | 72 |
| 3 | 05489 | W | 0.0580403 | 0.0546816 | 0.0133442 | 717 | 43 |
| 3 | 05489 | C | 0.0924093 | 0.054163 | 0.0121947 | 990 | 44 |
| 3 | 05515 | W | 0.0343056 | 0.0272103 | 0.00492473 | 914 | 93 |
| 3 | 05515 | C | 0.0306511 | 0.0271684 | 0.00599417 | 795 | 99 |
| 3 | 08135 | W | 0.281498 | 0.222765 | 0.0624056 | 855 | 8 |
| 3 | 08135 | C | 0.198122 | 0.12951 | 0.0329998 | 963 | 16 |
| 3 | 08289 | W | 0.49036 | 0.362963 | 0.102025 | 889 | 4 |
| 3 | 08289 | C | 0.165608 | 0.145065 | 0.0405642 | 775 | 14 |
| 3 | 08565 | W | 0.193263 | 0.161309 | 0.040286 | 814 | 12 |
| 3 | 08565 | C | 0.127085 | 0.112153 | 0.0289605 | 783 | 19 |
| 3 | 15034 | W | 0.178703 | 0.150349 | 0.0423305 | 817 | 13 |
| 3 | 15034 | C | 0.116053 | 0.146729 | 0.0411603 | 223 | 14 |
| 3 | 15075 | W | 0.0983476 | 0.0977602 | 0.0252346 | 604 | 22 |
| 3 | 15075 | C | 0.0785358 | 0.0527191 | 0.0122656 | 958 | 46 |
| 3 | 15144 | W | 0.27218 | 0.220952 | 0.0626298 | 840 | 8 |
| 3 | 15144 | C | 0.23447 | 0.145341 | 0.0399757 | 967 | 14 |
| 3 | 15267 | W | 0.113042 | 0.150383 | 0.0405381 | 130 | 13 |
| 3 | 15267 | C | 0.248785 | 0.22389 | 0.0625603 | 733 | 8 |
| 3 | 16491 | W | 0.0357018 | 0.0264013 | 0.00489884 | 953 | 96 |
| 3 | 16491 | C | 0.0299024 | 0.0299587 | 0.00636557 | 597 | 86 |
| 3 | 16740 | W | 0.0251587 | 0.0137798 | 0.00199968 | 996 | 201 |
| 3 | 16740 | C | 0.0192089 | 0.0147304 | 0.00255908 | 934 | 195 |
| 3 | 16787 | W | 0.0136099 | 0.0118464 | 0.00167866 | 878 | 245 |
| 3 | 16787 | C | 0.0130666 | 0.0106046 | 0.00140157 | 943 | 261 |
| 3 | 16829 | W | 0.105143 | 0.0592663 | 0.0139266 | 990 | 39 |
| 3 | 16829 | C | 0.0936301 | 0.0590276 | 0.0139352 | 974 | 41 |
| 3 | 16853 | W | 0.153735 | 0.0841485 | 0.0200694 | 992 | 26 |
| 3 | 16853 | C | 0.0974768 | 0.0767759 | 0.0188336 | 876 | 30 |
| 3 | 16874 | W | 0.159735 | 0.0964863 | 0.0236703 | 979 | 22 |
| 3 | 16874 | C | 0.0838723 | 0.0733686 | 0.0186595 | 786 | 32 |
| 3 | 19825 | W | 0.113484 | 0.140931 | 0.0376668 | 226 | 14 |
| 3 | 19825 | C | 0.120244 | 0.15608 | 0.0451583 | 187 | 13 |

Table A.8: Results for gene classes taken from level 2 of the Gene Ontology heirarchy on chromosome 3 using TIGR data with the tandem duplications removed.

| Chr | Class | Std | Orig. Grnwd | MC Grnwd | SD MC grnwd | Ranking | Examples |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 4 | 00166 | W | 0.0162266 | 0.0141836 | 0.00153174 | 891 | 161 |
| 4 | 00166 | C | 0.0133603 | 0.015613 | 0.00236995 | 114 | 156 |
| 4 | 03676 | W | 0.0100103 | 0.00865673 | 0.000769678 | 946 | 271 |
| 4 | 03676 | C | 0.0101189 | 0.00982037 | 0.00128182 | 710 | 258 |
| 4 | 03700 | W | 0.0231701 | 0.0185406 | 0.00226592 | 959 | 120 |
| 4 | 03700 | C | 0.0312043 | 0.0216811 | 0.00358336 | 974 | 109 |
| 4 | 03735 | W | 0.0967996 | 0.0827177 | 0.0187241 | 841 | 24 |
| 4 | 03735 | C | 0.114467 | 0.0891891 | 0.0198727 | 899 | 23 |
| 4 | 03754 | W | 0.132303 | 0.113325 | 0.0270486 | 816 | 17 |
| 4 | 03754 | C | 0.0964538 | 0.137028 | 0.0317815 | 42 | 14 |
| 4 | 03793 | W | 0.176233 | 0.150833 | 0.0362954 | 809 | 12 |
| 4 | 03793 | C | 0.142791 | 0.136258 | 0.0319889 | 663 | 14 |
| 4 | 04386 | W | 0.128754 | 0.163232 | 0.0412364 | 167 | 11 |
| 4 | 04386 | C | 0.287412 | 0.263527 | 0.0708856 | 725 | 6 |
| 4 | 04857 | W | 0.254984 | 0.256732 | 0.0668623 | 588 | 6 |
| 4 | 04857 | C | 0.381647 | 0.403214 | 0.107171 | 506 | 3 |
| 4 | 04872 | W | 0.054522 | 0.0650309 | 0.0125094 | 188 | 31 |
| 4 | 04872 | C | 0.0553316 | 0.0608396 | 0.0122822 | 385 | 35 |
| 4 | 05386 | W | 0.0455547 | 0.0477932 | 0.00846805 | 455 | 44 |
| 4 | 05386 | C | 0.0664276 | 0.0480133 | 0.00972745 | 946 | 46 |
| 4 | 05489 | W | 0.0509371 | 0.0535 | 0.0101575 | 463 | 39 |
| 4 | 05489 | C | 0.0595786 | 0.0559469 | 0.0112029 | 716 | 39 |
| 4 | 05515 | W | 0.0498539 | 0.0395684 | 0.00643584 | 937 | 53 |
| 4 | 05515 | C | 0.0390918 | 0.0334943 | 0.00603047 | 860 | 67 |
| 4 | 08135 | W | 0.149115 | 0.161563 | 0.0379221 | 433 | 11 |
| 4 | 08135 | C | 0.148636 | 0.154628 | 0.0385351 | 522 | 12 |
| 4 | 08289 | W | 0.152105 | 0.133703 | 0.0302301 | 778 | 14 |
| 4 | 08289 | C | 0.227056 | 0.151784 | 0.0374692 | 960 | 12 |
| 4 | 08565 | W | 0.16519 | 0.210706 | 0.0547764 | 186 | 8 |
| 4 | 08565 | C | 0.14776 | 0.191958 | 0.0472044 | 133 | 9 |
| 4 | 15034 | W | 0.199733 | 0.125047 | 0.0309225 | 972 | 15 |
| 4 | 15034 | C | 0.226662 | 0.176564 | 0.0414653 | 895 | 10 |
| 4 | 15075 | W | 0.0698339 | 0.0890899 | 0.0184024 | 109 | 22 |
| 4 | 15075 | C | 0.115726 | 0.0849851 | 0.0178984 | 939 | 24 |
| 4 | 15144 | W | 0.183592 | 0.228376 | 0.05971 | 215 | 7 |
| 4 | 15144 | C | 0.16285 | 0.210408 | 0.0542087 | 143 | 8 |
| 4 | 15267 | W | 0.224898 | 0.150683 | 0.0367392 | 965 | 12 |
| 4 | 15267 | C | 0.163498 | 0.144828 | 0.0341622 | 752 | 13 |
| 4 | 16491 | W | 0.0225098 | 0.0257414 | 0.00372175 | 156 | 85 |
| 4 | 16491 | C | 0.0308966 | 0.0272853 | 0.00454996 | 814 | 85 |
| 4 | 16740 | W | 0.0149445 | 0.0130421 | 0.00128686 | 932 | 173 |
| 4 | 16740 | C | 0.0166462 | 0.0157739 | 0.00238388 | 730 | 154 |
| 4 | 16787 | W | 0.0127713 | 0.0128543 | 0.00141491 | 540 | 180 |
| 4 | 16787 | C | 0.0194318 | 0.0122846 | 0.00175734 | 990 | 202 |
| 4 | 16829 | W | 0.0712519 | 0.0895783 | 0.0188815 | 135 | 22 |
| 4 | 16829 | C | 0.149617 | 0.0684695 | 0.0145365 | 1000 | 31 |
| 4 | 16853 | W | 0.173571 | 0.141627 | 0.0364694 | 854 | 13 |
| 4 | 16853 | C | 0.0992826 | 0.0964113 | 0.0211939 | 646 | 21 |
| 4 | 16874 | W | 0.0814678 | 0.0932682 | 0.0201482 | 294 | 21 |
| 4 | 16874 | C | 0.0740432 | 0.0891636 | 0.0208811 | 230 | 23 |
| 4 | 19825 | W | 0.200097 | 0.149703 | 0.0345069 | 921 | 12 |
| 4 | 19825 | C | 0.227205 | 0.194447 | 0.0479438 | 805 | 9 |

Table A.9: Results for gene classes taken from level 2 of the Gene Ontology heirarchy on chromosome 4 using TIGR data with the tandem duplications removed.

| Chr | Class | Std | Orig. Grnwd | MC Grnwd | SD MC grnwd | Ranking | Examples |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 5 | 00166 | W | 0.0171599 | 0.00963764 | 0.00178565 | 987 | 267 |
| 5 | 00166 | C | 0.0159983 | 0.00972401 | 0.00165804 | 992 | 277 |
| 5 | 03676 | W | 0.00784552 | 0.006113 | 0.000996244 | 933 | 439 |
| 5 | 03676 | C | 0.00583458 | 0.00641422 | 0.000855619 | 295 | 426 |
| 5 | 03700 | W | 0.0283576 | 0.0122214 | 0.00215929 | 1000 | 205 |
| 5 | 03700 | C | 0.0188692 | 0.0138402 | 0.00253511 | 948 | 191 |
| 5 | 03735 | W | 0.0748125 | 0.0550829 | 0.0118006 | 926 | 41 |
| 5 | 03735 | C | 0.0451762 | 0.0486394 | 0.0102796 | 445 | 48 |
| 5 | 03754 | W | 0.076464 | 0.0608605 | 0.0129899 | 883 | 36 |
| 5 | 03754 | C | 0.0630745 | 0.0653014 | 0.0139664 | 521 | 35 |
| 5 | 03793 | W | 0.104764 | 0.0720973 | 0.0164544 | 958 | 30 |
| 5 | 03793 | C | 0.112627 | 0.0931866 | 0.0215842 | 839 | 23 |
| 5 | 04386 | W | 0.137231 | 0.101844 | 0.0233527 | 918 | 20 |
| 5 | 04386 | C | 0.0921482 | 0.0935801 | 0.0217333 | 538 | 23 |
| 5 | 04857 | W | 0.190225 | 0.19936 | 0.0555256 | 540 | 9 |
| 5 | 04857 | C | 0.196175 | 0.200091 | 0.0538125 | 553 | 9 |
| 5 | 04872 | W | 0.043182 | 0.041523 | 0.00849614 | 661 | 55 |
| 5 | 04872 | C | 0.102719 | 0.0648648 | 0.0140313 | 986 | 35 |
| 5 | 05386 | W | 0.034037 | 0.0328198 | 0.00646322 | 670 | 71 |
| 5 | 05386 | C | 0.0446296 | 0.0312793 | 0.00653988 | 953 | 79 |
| 5 | 05489 | W | 0.0853934 | 0.0452741 | 0.00922753 | 998 | 50 |
| 5 | 05489 | C | 0.0719073 | 0.0549533 | 0.0124121 | 903 | 42 |
| 5 | 05515 | W | 0.0354366 | 0.019366 | 0.00366596 | 996 | 126 |
| 5 | 05515 | C | 0.0421771 | 0.0230558 | 0.00487118 | 996 | 111 |
| 5 | 08135 | W | 0.111221 | 0.103082 | 0.0258864 | 722 | 20 |
| 5 | 08135 | C | 0.0835833 | 0.102263 | 0.0263908 | 246 | 21 |
| 5 | 08289 | W | 0.119533 | 0.102295 | 0.024839 | 815 | 20 |
| 5 | 08289 | C | 0.314204 | 0.134596 | 0.0335815 | 1000 | 15 |
| 5 | 08565 | W | 0.130795 | 0.117804 | 0.0282588 | 751 | 17 |
| 5 | 08565 | C | 0.153383 | 0.160563 | 0.043315 | 534 | 12 |
| 5 | 15034 | W | 0.13216 | 0.147834 | 0.0400977 | 409 | 13 |
| 5 | 15034 | C | 0.113269 | 0.0893003 | 0.0210844 | 883 | 24 |
| 5 | 15075 | W | 0.0638186 | 0.0786285 | 0.016819 | 170 | 27 |
| 5 | 15075 | C | 0.0835628 | 0.0510038 | 0.0114056 | 979 | 46 |
| 5 | 15144 | W | 0.124376 | 0.120329 | 0.0310194 | 660 | 17 |
| 5 | 15144 | C | 0.166513 | 0.186392 | 0.0522273 | 426 | 10 |
| 5 | 15267 | W | 0.108888 | 0.148349 | 0.038589 | 79 | 13 |
| 5 | 15267 | C | 0.0868651 | 0.0858772 | 0.0192674 | 617 | 25 |
| 5 | 16491 | W | 0.0260669 | 0.0209664 | 0.004027 | 899 | 116 |
| 5 | 16491 | C | 0.0233869 | 0.020247 | 0.00396904 | 830 | 127 |
| 5 | 16740 | W | 0.0121352 | 0.0104459 | 0.00191743 | 868 | 244 |
| 5 | 16740 | C | 0.0184173 | 0.0111379 | 0.00237493 | 982 | 241 |
| 5 | 16787 | W | 0.00999564 | 0.00903277 | 0.00114666 | 815 | 281 |
| 5 | 16787 | C | 0.0130848 | 0.0097178 | 0.00207321 | 922 | 280 |
| 5 | 16829 | W | 0.0711863 | 0.0622255 | 0.0126386 | 802 | 35 |
| 5 | 16829 | C | 0.0479351 | 0.0577829 | 0.0121708 | 211 | 40 |
| 5 | 16853 | W | 0.122868 | 0.0832939 | 0.0191864 | 965 | 25 |
| 5 | 16853 | C | 0.0695057 | 0.0861159 | 0.0201013 | 187 | 25 |
| 5 | 16874 | W | 0.070515 | 0.0739976 | 0.0161408 | 487 | 29 |
| 5 | 16874 | C | 0.0662705 | 0.0739378 | 0.0158829 | 371 | 30 |
| 5 | 19825 | W | 0.13216 | 0.146233 | 0.0362879 | 407 | 13 |
| 5 | 19825 | C | 0.105358 | 0.0865355 | 0.0193606 | 869 | 25 |

Table A.10: Results for gene classes taken from level 2 of the Gene Ontology heirarchy on chromosome 5 using TIGR data with the tandem duplications removed.

| Chr | Class | Std | Orig. Grnwd | MC Grnwd | SD MC grnwd | Ranking | Examples |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 03677 | W | 0.0112648 | 0.00743769 | 0.000775259 | 999 | 334 |
| 1 | 03677 | C | 0.00877793 | 0.00811889 | 0.00123578 | 809 | 335 |
| 1 | 03723 | W | 0.0432581 | 0.0414197 | 0.00742404 | 691 | 52 |
| 1 | 03723 | C | 0.0344318 | 0.0384559 | 0.00749782 | 337 | 59 |
| 1 | 04888 | W | 0.061648 | 0.0592349 | 0.010758 | 674 | 35 |
| 1 | 04888 | C | 0.0671268 | 0.0771114 | 0.0159577 | 286 | 27 |
| 1 | 05516 | W | 0.118875 | 0.142978 | 0.0359628 | 245 | 13 |
| 1 | 05516 | C | 0.091567 | 0.0935347 | 0.0212433 | 546 | 22 |
| 1 | 08026 | W | 0.191664 | 0.132707 | 0.0312037 | 937 | 14 |
| 1 | 08026 | C | 0.0921932 | 0.100466 | 0.0222138 | 409 | 20 |
| 1 | 08135 | W | 0.0651981 | 0.0733003 | 0.0151423 | 320 | 28 |
| 1 | 08135 | C | 0.0775567 | 0.10067 | 0.0224354 | 92 | 20 |
| 1 | 08233 | W | 0.0233759 | 0.0267963 | 0.00391656 | 178 | 83 |
| 1 | 08233 | C | 0.0206304 | 0.0253335 | 0.00434446 | 70 | 93 |
| 1 | 08324 | W | 0.0892873 | 0.0643345 | 0.0122961 | 957 | 32 |
| 1 | 08324 | C | 0.0985978 | 0.0693797 | 0.0156099 | 955 | 31 |
| 1 | 08509 | W | 0.122651 | 0.118825 | 0.0287947 | 649 | 16 |
| 1 | 08509 | C | 0.251104 | 0.155078 | 0.0392027 | 974 | 12 |
| 1 | 15036 | W | 0.0889079 | 0.0901678 | 0.0196119 | 564 | 22 |
| 1 | 15036 | C | 0.109883 | 0.110495 | 0.0246957 | 575 | 18 |
| 1 | 15268 | W | 0.160893 | 0.165289 | 0.0441906 | 555 | 11 |
| 1 | 15268 | C | 0.169791 | 0.13006 | 0.0337903 | 888 | 15 |
| 1 | 15290 | W | 0.0543088 | 0.0471399 | 0.00858893 | 863 | 45 |
| 1 | 15290 | C | 0.0558487 | 0.0449885 | 0.00837621 | 913 | 49 |
| 1 | 15399 | W | 0.071959 | 0.0500326 | 0.00921786 | 975 | 42 |
| 1 | 15399 | C | 0.0715982 | 0.0730087 | 0.0156018 | 552 | 29 |
| 1 | 16614 | W | 0.109443 | 0.0727909 | 0.0138139 | 981 | 28 |
| 1 | 16614 | C | 0.0704166 | 0.0725814 | 0.0154577 | 525 | 29 |
| 1 | 16684 | W | 0.101995 | 0.1429 | 0.0357926 | 46 | 13 |
| 1 | 16684 | C | 0.239059 | 0.24122 | 0.0747899 | 618 | 7 |
| 1 | 16705 | W | 0.084949 | 0.0972554 | 0.0203405 | 299 | 20 |
| 1 | 16705 | C | 0.190129 | 0.145093 | 0.0353034 | 905 | 13 |
| 1 | 16741 | W | 0.0598148 | 0.0900472 | 0.0191944 | 3 | 22 |
| 1 | 16741 | C | 0.0751853 | 0.0883928 | 0.0193237 | 240 | 23 |
| 1 | 16746 | W | 0.136794 | 0.107688 | 0.0236941 | 892 | 18 |
| 1 | 16746 | C | 0.091873 | 0.0729661 | 0.0151366 | 901 | 29 |
| 1 | 16757 | W | 0.0667384 | 0.0439505 | 0.00771198 | 981 | 49 |
| 1 | 16757 | C | 0.0464788 | 0.0409976 | 0.00761115 | 811 | 54 |
| 1 | 16765 | W | 0.107635 | 0.0975849 | 0.0212669 | 767 | 20 |
| 1 | 16765 | C | 0.109202 | 0.129399 | 0.0330854 | 284 | 15 |
| 1 | 16772 | W | 0.0199833 | 0.0143497 | 0.0020718 | 965 | 164 |
| 1 | 16772 | C | 0.0222955 | 0.0169544 | 0.0026394 | 960 | 143 |
| 1 | 16788 | W | 0.0373592 | 0.0252128 | 0.00383814 | 989 | 89 |
| 1 | 16788 | C | 0.0220473 | 0.024448 | 0.00390301 | 272 | 96 |
| 1 | 16798 | W | 0.0545562 | 0.051458 | 0.00898214 | 705 | 41 |
| 1 | 16798 | C | 0.0345892 | 0.0385588 | 0.00729644 | 332 | 58 |
| 1 | 16817 | W | 0.0340734 | 0.0316031 | 0.00527004 | 730 | 70 |
| 1 | 16817 | C | 0.0434497 | 0.0301946 | 0.00521785 | 976 | 76 |
| 1 | 16830 | W | 0.288981 | 0.2113 | 0.0572581 | 907 | 8 |
| 1 | 16830 | C | 0.114107 | 0.121327 | 0.0291212 | 481 | 16 |
| 1 | 16835 | W | 0.114373 | 0.119055 | 0.0280642 | 530 | 16 |
| 1 | 16835 | C | 0.11854 | 0.106071 | 0.0268915 | 780 | 19 |
| 1 | 16879 | W | 0.0965149 | 0.0946107 | 0.0201971 | 620 | 21 |
| 1 | 16879 | C | 0.0932646 | 0.145352 | 0.037394 | 6 | 13 |
| 1 | 17076 | W | 0.0114333 | 0.0102652 | 0.00112816 | 868 | 234 |
| 1 | 17076 | C | 0.0131537 | 0.00902253 | 0.00102379 | 996 | 285 |
| 1 | 46872 | W | 0.024028 | 0.0180166 | 0.00237599 | 978 | 127 |
| 1 | 46872 | C | 0.0230331 | 0.0180724 | 0.00275136 | 952 | 133 |
| 1 | 46873 | W | 0.182189 | 0.153105 | 0.0401475 | 829 | 12 |
| 1 | 46873 | C | 0.113717 | 0.110653 | 0.0287141 | 638 | 18 |

Table A.11: Results for gene classes taken from level 3 of the Gene Ontology heirarchy on chromosome 1 using TIGR data with the tandem duplications removed.

| Chr | Class | Std | Orig. Grnwd | MC Grnwd | SD MC grnwd | Ranking | Examples |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 2 | 03677 | W | 0.0104227 | 0.0103567 | 0.00121916 | 617 | 236 |
| 2 | 03677 | C | 0.0121405 | 0.0111161 | 0.0014223 | 815 | 218 |
| 2 | 03723 | W | 0.0346826 | 0.0377351 | 0.00711278 | 388 | 60 |
| 2 | 03723 | C | 0.0314191 | 0.0356429 | 0.00747756 | 298 | 64 |
| 2 | 04888 | W | 0.186446 | 0.136962 | 0.0323555 | 923 | 14 |
| 2 | 04888 | C | 0.189256 | 0.129371 | 0.0332084 | 949 | 15 |
| 2 | 05516 | W | 0.174792 | 0.156617 | 0.0385985 | 738 | 12 |
| 2 | 05516 | C | 0.173693 | 0.146776 | 0.0366692 | 800 | 13 |
| 2 | 08026 | W | 0.13553 | 0.157857 | 0.0403405 | 327 | 12 |
| 2 | 08026 | C | 0.313679 | 0.233308 | 0.0580832 | 907 | 7 |
| 2 | 08135 | W | 0.155136 | 0.167164 | 0.0429292 | 452 | 11 |
| 2 | 08135 | C | 0.138527 | 0.165157 | 0.0399058 | 286 | 11 |
| 2 | 08233 | W | 0.0456441 | 0.0501534 | 0.0101662 | 387 | 44 |
| 2 | 08233 | C | 0.0329689 | 0.0303633 | 0.00575822 | 761 | 77 |
| 2 | 08324 | W | 0.0783946 | 0.0940081 | 0.0219553 | 240 | 22 |
| 2 | 08324 | C | 0.142701 | 0.13091 | 0.0331694 | 728 | 15 |
| 2 | 08509 | W | 0.222295 | 0.215237 | 0.0573555 | 652 | 8 |
| 2 | 08509 | C | 0.258681 | 0.211388 | 0.0567755 | 842 | 8 |
| 2 | 15036 | W | 0.538734 | 0.265629 | 0.0691196 | 995 | 6 |
| 2 | 15036 | C | 0.182638 | 0.211328 | 0.0530256 | 336 | 8 |
| 2 | 15268 | W | 0.121633 | 0.12867 | 0.0291115 | 478 | 15 |
| 2 | 15268 | C | 0.274044 | 0.211519 | 0.054928 | 890 | 8 |
| 2 | 15290 | W | 0.105731 | 0.0943084 | 0.0227199 | 758 | 22 |
| 2 | 15290 | C | 0.0749605 | 0.0676848 | 0.0152143 | 752 | 32 |
| 2 | 15399 | W | 0.110104 | 0.0870072 | 0.0192825 | 894 | 24 |
| 2 | 15399 | C | 0.10166 | 0.0968783 | 0.0227527 | 672 | 21 |
| 2 | 16614 | W | 0.145478 | 0.135955 | 0.031394 | 690 | 14 |
| 2 | 16614 | C | 0.167532 | 0.145675 | 0.0346953 | 777 | 13 |
| 2 | 16684 | W | 0.232707 | 0.21529 | 0.0546774 | 708 | 8 |
| 2 | 16684 | C | 0.223316 | 0.196067 | 0.0473663 | 780 | 9 |
| 2 | 16705 | W | 0.240199 | 0.237971 | 0.0627918 | 608 | 7 |
| 2 | 16705 | C | 0.195492 | 0.166382 | 0.0439648 | 806 | 11 |
| 2 | 16741 | W | 0.20979 | 0.196969 | 0.049474 | 685 | 9 |
| 2 | 16741 | C | 0.160775 | 0.168885 | 0.043349 | 494 | 11 |
| 2 | 16746 | W | 0.104523 | 0.130026 | 0.0325353 | 196 | 15 |
| 2 | 16746 | C | 0.0890638 | 0.101596 | 0.0247075 | 363 | 20 |
| 2 | 16757 | W | 0.0688639 | 0.0692211 | 0.0153597 | 598 | 31 |
| 2 | 16757 | C | 0.114446 | 0.0736175 | 0.0176818 | 973 | 29 |
| 2 | 16765 | W | 0.194296 | 0.156408 | 0.0404844 | 856 | 12 |
| 2 | 16765 | C | 0.287522 | 0.233806 | 0.0585521 | 856 | 7 |
| 2 | 16772 | W | 0.0176957 | 0.0202128 | 0.0032316 | 196 | 117 |
| 2 | 16772 | C | 0.0166322 | 0.0190175 | 0.00289368 | 190 | 124 |
| 2 | 16788 | W | 0.0323267 | 0.0327083 | 0.00606734 | 576 | 70 |
| 2 | 16788 | C | 0.0282482 | 0.0312208 | 0.00577268 | 347 | 75 |
| 2 | 16798 | W | 0.0909692 | 0.089414 | 0.0202567 | 627 | 23 |
| 2 | 16798 | C | 0.136232 | 0.0777297 | 0.0177416 | 986 | 27 |
| 2 | 16817 | W | 0.0403907 | 0.0417495 | 0.00835943 | 534 | 54 |
| 2 | 16817 | C | 0.0561158 | 0.0573333 | 0.0135947 | 570 | 39 |
| 2 | 16830 | W | 0.142254 | 0.195474 | 0.0489293 | 102 | 9 |
| 2 | 16830 | C | 0.193914 | 0.180522 | 0.0444134 | 706 | 10 |
| 2 | 16835 | W | 0.198481 | 0.145977 | 0.0347089 | 927 | 13 |
| 2 | 16835 | C | 0.204152 | 0.147749 | 0.038601 | 923 | 13 |
| 2 | 16879 | W | 0.203086 | 0.138168 | 0.0323754 | 958 | 14 |
| 2 | 16879 | C | 0.104247 | 0.116908 | 0.0281578 | 390 | 17 |
| 2 | 17076 | W | 0.0124449 | 0.0150802 | 0.00213807 | 42 | 159 |
| 2 | 17076 | C | 0.0149238 | 0.0148545 | 0.00211246 | 597 | 160 |
| 2 | 46872 | W | 0.0562068 | 0.0275346 | 0.00497476 | 999 | 84 |
| 2 | 46872 | C | 0.0503996 | 0.031712 | 0.006277 | 982 | 73 |
| 2 | 46873 | W | 0.20553 | 0.180018 | 0.0451437 | 768 | 10 |
| 2 | 46873 | C | 0.239438 | 0.212352 | 0.0505511 | 749 | 8 |

Table A.12: Results for gene classes taken from level 3 of the Gene Ontology heirarchy on chromosome 2 using TIGR data with the tandem duplications removed.

| Chr | Class | Std | Orig. Grnwd | MC Grnwd | SD MC grnwd | Ranking | Examples |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 3 | 03677 | W | 0.0263911 | 0.012224 | 0.00165541 | 1000 | 237 |
| 3 | 03677 | C | 0.0196053 | 0.0123025 | 0.00198502 | 996 | 241 |
| 3 | 03723 | W | 0.0741158 | 0.0598415 | 0.0138504 | 862 | 38 |
| 3 | 03723 | C | 0.101448 | 0.049219 | 0.011411 | 996 | 49 |
| 3 | 04888 | W | 0.184884 | 0.142813 | 0.038696 | 874 | 14 |
| 3 | 04888 | C | 0.1849 | 0.137107 | 0.0369359 | 905 | 15 |
| 3 | 05516 | W | 0.100107 | 0.128727 | 0.0344158 | 164 | 16 |
| 3 | 05516 | C | 0.210285 | 0.13761 | 0.0367163 | 955 | 15 |
| 3 | 08026 | W | 0.293636 | 0.173373 | 0.0468613 | 973 | 11 |
| 3 | 08026 | C | 0.187574 | 0.163889 | 0.0433285 | 779 | 12 |
| 3 | 08135 | W | 0.281498 | 0.223907 | 0.0629609 | 842 | 8 |
| 3 | 08135 | C | 0.198122 | 0.128622 | 0.0333265 | 952 | 16 |
| 3 | 08233 | W | 0.0306077 | 0.0401489 | 0.00879667 | 65 | 60 |
| 3 | 08233 | C | 0.0312083 | 0.0385205 | 0.00879191 | 173 | 67 |
| 3 | 08324 | W | 0.130001 | 0.151095 | 0.0409121 | 351 | 13 |
| 3 | 08324 | C | 0.0967897 | 0.0816011 | 0.0199545 | 833 | 28 |
| 3 | 08509 | W | 0.189316 | 0.225047 | 0.0648768 | 335 | 8 |
| 3 | 08509 | C | 0.129469 | 0.125406 | 0.035697 | 650 | 17 |
| 3 | 15036 | W | 0.223256 | 0.203921 | 0.0590748 | 733 | 9 |
| 3 | 15036 | C | 0.163199 | 0.152665 | 0.0408646 | 705 | 13 |
| 3 | 15268 | W | 0.113042 | 0.151833 | 0.0421542 | 128 | 13 |
| 3 | 15268 | C | 0.248785 | 0.225787 | 0.0671843 | 722 | 8 |
| 3 | 15290 | W | 0.115692 | 0.102749 | 0.0275028 | 777 | 21 |
| 3 | 15290 | C | 0.0955248 | 0.0692983 | 0.015565 | 930 | 34 |
| 3 | 15399 | W | 0.134115 | 0.12135 | 0.0314217 | 736 | 17 |
| 3 | 15399 | C | 0.109014 | 0.0662885 | 0.0157374 | 979 | 36 |
| 3 | 16614 | W | 0.15114 | 0.0876905 | 0.0207863 | 984 | 25 |
| 3 | 16614 | C | 0.102012 | 0.131898 | 0.0363948 | 200 | 16 |
| 3 | 16684 | W | 0.135366 | 0.203612 | 0.0569976 | 43 | 9 |
| 3 | 16684 | C | 0.290516 | 0.317298 | 0.0961902 | 499 | 5 |
| 3 | 16705 | W | 0.29337 | 0.162105 | 0.0437922 | 981 | 12 |
| 3 | 16705 | C | 0.27373 | 0.377635 | 0.113235 | 178 | 4 |
| 3 | 16741 | W | 0.137989 | 0.144688 | 0.0398418 | 530 | 14 |
| 3 | 16741 | C | 0.167095 | 0.136838 | 0.0371045 | 841 | 15 |
| 3 | 16746 | W | 0.158679 | 0.121109 | 0.0312022 | 885 | 17 |
| 3 | 16746 | C | 0.272176 | 0.176903 | 0.0477746 | 952 | 11 |
| 3 | 16757 | W | 0.100481 | 0.0767234 | 0.0181756 | 904 | 29 |
| 3 | 16757 | C | 0.0519794 | 0.0665585 | 0.0168971 | 137 | 35 |
| 3 | 16765 | W | 0.201503 | 0.245143 | 0.0698818 | 302 | 7 |
| 3 | 16765 | C | 0.164637 | 0.144875 | 0.0388722 | 761 | 14 |
| 3 | 16772 | W | 0.0314673 | 0.0214768 | 0.00359096 | 984 | 121 |
| 3 | 16772 | C | 0.0463411 | 0.0251376 | 0.00507941 | 993 | 106 |
| 3 | 16788 | W | 0.0556525 | 0.0277779 | 0.00528946 | 998 | 89 |
| 3 | 16788 | C | 0.0717801 | 0.0296899 | 0.0062334 | 1000 | 87 |
| 3 | 16798 | W | 0.0887334 | 0.0665127 | 0.0155181 | 916 | 34 |
| 3 | 16798 | C | 0.0746403 | 0.0575421 | 0.0128867 | 897 | 41 |
| 3 | 16817 | W | 0.0523847 | 0.0458081 | 0.00969333 | 814 | 52 |
| 3 | 16817 | C | 0.0585609 | 0.0418498 | 0.00961855 | 936 | 59 |
| 3 | 16830 | W | 0.247403 | 0.162812 | 0.0459642 | 943 | 12 |
| 3 | 16830 | C | 0.139028 | 0.148827 | 0.0423823 | 506 | 14 |
| 3 | 16835 | W | 0.130277 | 0.106633 | 0.0282779 | 842 | 20 |
| 3 | 16835 | C | 0.263629 | 0.138116 | 0.0370122 | 992 | 15 |
| 3 | 16879 | W | 0.20492 | 0.143483 | 0.039062 | 929 | 14 |
| 3 | 16879 | C | 0.107115 | 0.117466 | 0.030972 | 449 | 18 |
| 3 | 17076 | W | 0.0160823 | 0.0133698 | 0.00166913 | 958 | 204 |
| 3 | 17076 | C | 0.0184426 | 0.0145995 | 0.00258743 | 920 | 199 |
| 3 | 46872 | W | 0.0282059 | 0.0264356 | 0.00475089 | 742 | 96 |
| 3 | 46872 | C | 0.0331502 | 0.028703 | 0.00600743 | 816 | 92 |
| 3 | 46873 | W | 0.280883 | 0.279438 | 0.0824004 | 613 | 6 |
| 3 | 46873 | C | 0.164473 | 0.131526 | 0.0365733 | 855 | 16 |

Table A.13: Results for gene classes taken from level 3 of the Gene Ontology heirarchy on chromosome 3 using TIGR data with the tandem duplications removed.

| Chr | Class | Std | Orig. Grnwd | MC Grnwd | SD MC grnwd | Ranking | Examples |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 4 | 03677 | W | 0.0129923 | 0.0121034 | 0.00130761 | 815 | 192 |
| 4 | 03677 | C | 0.0169025 | 0.0130652 | 0.00182494 | 960 | 188 |
| 4 | 03723 | W | 0.0417018 | 0.047902 | 0.00884043 | 254 | 44 |
| 4 | 03723 | C | 0.0540145 | 0.0599444 | 0.0128247 | 361 | 36 |
| 4 | 04888 | W | 0.0644233 | 0.0888302 | 0.0184649 | 21 | 22 |
| 4 | 04888 | C | 0.0836343 | 0.0965266 | 0.0221236 | 300 | 21 |
| 4 | 05516 | W | 0.118923 | 0.149038 | 0.0320399 | 154 | 12 |
| 4 | 05516 | C | 0.235037 | 0.178224 | 0.0437043 | 924 | 10 |
| 4 | 08026 | W | 0.167338 | 0.191652 | 0.0510272 | 354 | 9 |
| 4 | 08026 | C | 0.287412 | 0.255354 | 0.0655557 | 761 | 6 |
| 4 | 08135 | W | 0.149115 | 0.161074 | 0.0389979 | 433 | 11 |
| 4 | 08135 | C | 0.148636 | 0.157594 | 0.0372392 | 465 | 12 |
| 4 | 08233 | W | 0.0285846 | 0.0324468 | 0.00490406 | 200 | 66 |
| 4 | 08233 | C | 0.0462363 | 0.0425322 | 0.00769399 | 741 | 52 |
| 4 | 08324 | W | 0.0989273 | 0.141374 | 0.0336064 | 25 | 13 |
| 4 | 08324 | C | 0.150823 | 0.105121 | 0.0233651 | 948 | 19 |
| 4 | 08509 | W | 0.179975 | 0.209712 | 0.0523687 | 328 | 8 |
| 4 | 08509 | C | 0.324323 | 0.264871 | 0.0720354 | 837 | 6 |
| 4 | 15036 | W | 0.181255 | 0.193223 | 0.052831 | 483 | 9 |
| 4 | 15036 | C | 0.262949 | 0.212784 | 0.0547736 | 851 | 8 |
| 4 | 15268 | W | 0.224898 | 0.150251 | 0.0353664 | 953 | 12 |
| 4 | 15268 | C | 0.163498 | 0.142327 | 0.0325918 | 787 | 13 |
| 4 | 15290 | W | 0.0952999 | 0.113607 | 0.0277873 | 263 | 17 |
| 4 | 15290 | C | 0.11193 | 0.1119 | 0.0265576 | 586 | 18 |
| 4 | 15399 | W | 0.121387 | 0.118155 | 0.0281176 | 647 | 16 |
| 4 | 15399 | C | 0.100686 | 0.082736 | 0.0189495 | 863 | 25 |
| 4 | 16614 | W | 0.0975486 | 0.132796 | 0.0320763 | 75 | 14 |
| 4 | 16614 | C | 0.116744 | 0.096415 | 0.0206631 | 853 | 21 |
| 4 | 16684 | W | 0.110852 | 0.139844 | 0.0329314 | 156 | 13 |
| 4 | 16684 | C | 0.197149 | 0.177635 | 0.0449469 | 752 | 10 |
| 4 | 16705 | W | 0.178822 | 0.205722 | 0.0504966 | 341 | 8 |
| 4 | 16705 | C | 0.303436 | 0.293133 | 0.0760366 | 654 | 5 |
| 4 | 16741 | W | 0.15553 | 0.140792 | 0.0347722 | 749 | 13 |
| 4 | 16741 | C | 0.146812 | 0.135301 | 0.0315504 | 699 | 14 |
| 4 | 16746 | W | 0.600006 | 0.175414 | 0.0410942 | 1000 | 10 |
| 4 | 16746 | C | 0.185573 | 0.209597 | 0.0520227 | 370 | 8 |
| 4 | 16757 | W | 0.0659497 | 0.0615748 | 0.0117633 | 736 | 33 |
| 4 | 16757 | C | 0.0815639 | 0.0685936 | 0.0149952 | 841 | 31 |
| 4 | 16765 | W | 0.225286 | 0.207875 | 0.0504392 | 725 | 8 |
| 4 | 16765 | C | 0.248316 | 0.208959 | 0.0514157 | 833 | 8 |
| 4 | 16772 | W | 0.0270851 | 0.0234177 | 0.00327129 | 892 | 94 |
| 4 | 16772 | C | 0.0235973 | 0.0271343 | 0.0046604 | 205 | 85 |
| 4 | 16788 | W | 0.0459036 | 0.0499351 | 0.00902391 | 378 | 42 |
| 4 | 16788 | C | 0.0611591 | 0.0349187 | 0.00629433 | 996 | 66 |
| 4 | 16798 | W | 0.0842368 | 0.0932126 | 0.0213874 | 400 | 21 |
| 4 | 16798 | C | 0.0635588 | 0.0585905 | 0.0117683 | 752 | 37 |
| 4 | 16817 | W | 0.0564313 | 0.045103 | 0.00773016 | 925 | 46 |
| 4 | 16817 | C | 0.0596262 | 0.0560577 | 0.011947 | 700 | 39 |
| 4 | 16830 | W | 0.289936 | 0.290194 | 0.0766889 | 583 | 5 |
| 4 | 16830 | C | 0.309101 | 0.23276 | 0.0586496 | 906 | 7 |
| 4 | 16835 | W | 0.168894 | 0.209922 | 0.0530881 | 220 | 8 |
| 4 | 16835 | C | 0.160676 | 0.109992 | 0.0250202 | 957 | 18 |
| 4 | 16879 | W | 0.158204 | 0.14082 | 0.0336505 | 761 | 13 |
| 4 | 16879 | C | 0.213312 | 0.128431 | 0.0304347 | 982 | 15 |
| 4 | 17076 | W | 0.0171887 | 0.0155604 | 0.00191376 | 843 | 146 |
| 4 | 17076 | C | 0.0156878 | 0.0171109 | 0.0026329 | 312 | 140 |
| 4 | 46872 | W | 0.0291204 | 0.0293612 | 0.00445817 | 573 | 73 |
| 4 | 46872 | C | 0.0268637 | 0.0300383 | 0.00534075 | 307 | 76 |
| 4 | 46873 | W | 0.159174 | 0.208489 | 0.0552013 | 136 | 8 |
| 4 | 46873 | C | 0.138872 | 0.156184 | 0.0391834 | 387 | 12 |

Table A.14: Results for gene classes taken from level 3 of the Gene Ontology heirarchy on chromosome 4 using TIGR data with the tandem duplications removed.

| Chr | Class | Std | Orig. Grnwd | MC Grnwd | SD MC grnwd | Ranking | Examples |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 5 | 03677 | W | 0.01122 | 0.00813623 | 0.00155813 | 959 | 322 |
| 5 | 03677 | C | 0.00895194 | 0.0086028 | 0.00193718 | 762 | 321 |
| 5 | 03723 | W | 0.0517797 | 0.0422362 | 0.00833106 | 873 | 54 |
| 5 | 03723 | C | 0.0431566 | 0.0465661 | 0.00977175 | 447 | 51 |
| 5 | 04888 | W | 0.0603689 | 0.0591141 | 0.0122314 | 617 | 37 |
| 5 | 04888 | C | 0.139476 | 0.0932902 | 0.0225263 | 965 | 23 |
| 5 | 05516 | W | 0.122023 | 0.122446 | 0.0302002 | 586 | 16 |
| 5 | 05516 | C | 0.208923 | 0.103458 | 0.022912 | 999 | 20 |
| 5 | 08026 | W | 0.169112 | 0.131586 | 0.0336958 | 880 | 15 |
| 5 | 08026 | C | 0.115781 | 0.14224 | 0.0376127 | 238 | 14 |
| 5 | 08135 | W | 0.111221 | 0.100929 | 0.023443 | 743 | 20 |
| 5 | 08135 | C | 0.0835833 | 0.100647 | 0.0245403 | 242 | 21 |
| 5 | 08233 | W | 0.0240237 | 0.0278832 | 0.0053231 | 231 | 85 |
| 5 | 08233 | C | 0.0345186 | 0.0346533 | 0.00784115 | 613 | 71 |
| 5 | 08324 | W | 0.112969 | 0.118477 | 0.0314447 | 523 | 17 |
| 5 | 08324 | C | 0.106023 | 0.069281 | 0.0155078 | 965 | 32 |
| 5 | 08509 | W | 0.140147 | 0.170098 | 0.0456754 | 255 | 11 |
| 5 | 08509 | C | 0.218768 | 0.151233 | 0.0424222 | 922 | 13 |
| 5 | 15036 | W | 0.197224 | 0.199226 | 0.0533452 | 578 | 9 |
| 5 | 15036 | C | 0.137191 | 0.115361 | 0.0277765 | 817 | 18 |
| 5 | 15268 | W | 0.109074 | 0.15834 | 0.0413776 | 41 | 12 |
| 5 | 15268 | C | 0.0868651 | 0.08578 | 0.0189725 | 595 | 25 |
| 5 | 15290 | W | 0.0407779 | 0.0485806 | 0.00972985 | 198 | 46 |
| 5 | 15290 | C | 0.0580766 | 0.0588008 | 0.0131948 | 575 | 39 |
| 5 | 15399 | W | 0.0886392 | 0.0846687 | 0.0196059 | 673 | 25 |
| 5 | 15399 | C | 0.102243 | 0.0626415 | 0.0138766 | 981 | 36 |
| 5 | 16614 | W | 0.0600013 | 0.0739093 | 0.0166394 | 167 | 29 |
| 5 | 16614 | C | 0.0748891 | 0.0887599 | 0.0202041 | 256 | 24 |
| 5 | 16684 | W | 0.263056 | 0.307539 | 0.0866888 | 364 | 5 |
| 5 | 16684 | C | 0.131215 | 0.14284 | 0.0380675 | 459 | 14 |
| 5 | 16705 | W | 0.260239 | 0.156134 | 0.0396956 | 973 | 12 |
| 5 | 16705 | C | 0.167615 | 0.149306 | 0.0365214 | 745 | 13 |
| 5 | 16741 | W | 0.0936445 | 0.0818252 | 0.0199275 | 817 | 26 |
| 5 | 16741 | C | 0.11064 | 0.120955 | 0.0300102 | 447 | 17 |
| 5 | 16746 | W | 0.171211 | 0.12504 | 0.0331477 | 908 | 16 |
| 5 | 16746 | C | 0.206059 | 0.121051 | 0.0320166 | 979 | 17 |
| 5 | 16757 | W | 0.0543074 | 0.0594586 | 0.012272 | 382 | 37 |
| 5 | 16757 | C | 0.100092 | 0.0616616 | 0.0134518 | 988 | 37 |
| 5 | 16765 | W | 0.152023 | 0.181115 | 0.0522266 | 327 | 10 |
| 5 | 16765 | C | 0.161363 | 0.140091 | 0.0361292 | 795 | 14 |
| 5 | 16772 | W | 0.0214387 | 0.0182991 | 0.00314096 | 864 | 133 |
| 5 | 16772 | C | 0.0235594 | 0.0195708 | 0.00407872 | 880 | 132 |
| 5 | 16788 | W | 0.0277638 | 0.0287146 | 0.00545909 | 525 | 82 |
| 5 | 16788 | C | 0.0290335 | 0.0287027 | 0.00615458 | 633 | 87 |
| 5 | 16798 | W | 0.0906331 | 0.0611611 | 0.0133093 | 961 | 36 |
| 5 | 16798 | C | 0.0457761 | 0.0539012 | 0.0126032 | 276 | 43 |
| 5 | 16817 | W | 0.0605538 | 0.0351744 | 0.00695328 | 994 | 66 |
| 5 | 16817 | C | 0.041682 | 0.0314016 | 0.00678317 | 909 | 79 |
| 5 | 16830 | W | 0.170408 | 0.155443 | 0.0402545 | 746 | 12 |
| 5 | 16830 | C | 0.156601 | 0.119835 | 0.0285022 | 901 | 17 |
| 5 | 16835 | W | 0.145995 | 0.138652 | 0.0374562 | 687 | 14 |
| 5 | 16835 | C | 0.14122 | 0.161296 | 0.0455584 | 389 | 12 |
| 5 | 16879 | W | 0.245606 | 0.171062 | 0.0489063 | 920 | 11 |
| 5 | 16879 | C | 0.0937029 | 0.113763 | 0.0281602 | 237 | 18 |
| 5 | 17076 | W | 0.0174472 | 0.0104488 | 0.00191839 | 987 | 244 |
| 5 | 17076 | C | 0.0161141 | 0.0111795 | 0.0015269 | 1000 | 257 |
| 5 | 46872 | W | 0.0211967 | 0.0168163 | 0.00295392 | 927 | 146 |
| 5 | 46872 | C | 0.0202159 | 0.0216254 | 0.00435813 | 432 | 118 |
| 5 | 46873 | W | 0.140386 | 0.170474 | 0.0453658 | 272 | 11 |
| 5 | 46873 | C | 0.16261 | 0.131026 | 0.0320155 | 870 | 15 |

Table A.15: Results for gene classes taken from level 3 of the Gene Ontology heirarchy on chromosome 5 using TIGR data with the tandem duplications removed.

| Chr | Class | Std | Orig. Grnwd | MC Grnwd | SD MC grnwd | Ranking | Examples |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 04175 | W | 0.0513475 | 0.0562593 | 0.00995227 | 345 | 37 |
| 1 | 04175 | W | 0.0513475 | 0.0563434 | 0.0101552 | 350 | 37 |
| 1 | 04175 | C | 0.0449739 | 0.0478894 | 0.00929813 | 444 | 46 |
| 1 | 04175 | W | 0.0513475 | 0.05688 | 0.0106956 | 340 | 37 |
| 1 | 04175 | W | 0.0513475 | 0.0557699 | 0.00967406 | 361 | 37 |
| 1 | 04175 | C | 0.0449739 | 0.0475833 | 0.00890772 | 445 | 46 |
| 1 | 04518 | W | 0.109605 | 0.0745582 | 0.014036 | 972 | 27 |
| 1 | 04518 | C | 0.0995586 | 0.0883178 | 0.0189466 | 783 | 23 |
| 1 | 04553 | W | 0.0557008 | 0.0538762 | 0.00932359 | 648 | 39 |
| 1 | 04553 | C | 0.0374352 | 0.0410777 | 0.00773694 | 355 | 54 |
| 1 | 04930 | W | 0.108466 | 0.0990071 | 0.0226273 | 747 | 20 |
| 1 | 04930 | C | 0.0739106 | 0.0846932 | 0.0180843 | 310 | 24 |
| 1 | 05216 | W | 0.304123 | 0.212347 | 0.0604464 | 920 | 8 |
| 1 | 05216 | C | 0.19828 | 0.156097 | 0.0403301 | 877 | 12 |
| 1 | 05351 | W | 0.15101 | 0.16419 | 0.042401 | 452 | 11 |
| 1 | 05351 | C | 0.232256 | 0.103538 | 0.0229448 | 999 | 19 |
| 1 | 05509 | W | 0.0679089 | 0.060738 | 0.0110737 | 791 | 34 |
| 1 | 05509 | C | 0.125761 | 0.0705349 | 0.0142229 | 994 | 30 |
| 1 | 08168 | W | 0.0598148 | 0.0902619 | 0.0196243 | 1 | 22 |
| 1 | 08168 | C | 0.0757883 | 0.0931364 | 0.020261 | 166 | 22 |
| 1 | 08234 | W | 0.140658 | 0.118072 | 0.0264722 | 838 | 16 |
| 1 | 08234 | C | 0.0641682 | 0.0727113 | 0.0149595 | 307 | 29 |
| 1 | 08236 | W | 0.141556 | 0.0963499 | 0.0194063 | 971 | 20 |
| 1 | 08236 | C | 0.0781281 | 0.0852379 | 0.0184905 | 413 | 24 |
| 1 | 08237 | W | 0.0953594 | 0.120607 | 0.0299271 | 151 | 16 |
| 1 | 08237 | C | 0.118285 | 0.0995007 | 0.0212722 | 839 | 20 |
| 1 | 08238 | W | 0.142008 | 0.134512 | 0.0342668 | 680 | 14 |
| 1 | 08238 | C | 0.136302 | 0.144898 | 0.0382489 | 508 | 13 |
| 1 | 15077 | W | 0.182286 | 0.152211 | 0.0353606 | 838 | 12 |
| 1 | 15077 | C | 0.126123 | 0.128138 | 0.0306994 | 572 | 15 |
| 1 | 15291 | W | 0.0543088 | 0.0471359 | 0.00802181 | 844 | 45 |
| 1 | 15291 | C | 0.0558487 | 0.0453071 | 0.00905796 | 903 | 49 |
| 1 | 15405 | W | 0.09005 | 0.0586559 | 0.0111785 | 983 | 36 |
| 1 | 15405 | C | 0.0855052 | 0.083012 | 0.0174757 | 624 | 25 |
| 1 | 16301 | W | 0.0241956 | 0.0144615 | 0.00181786 | 999 | 162 |
| 1 | 16301 | C | 0.0261603 | 0.0159654 | 0.00235532 | 998 | 153 |
| 1 | 16616 | W | 0.109443 | 0.0724273 | 0.0135826 | 985 | 28 |
| 1 | 16616 | C | 0.0929066 | 0.0859 | 0.0191422 | 742 | 24 |
| 1 | 16747 | W | 0.141099 | 0.117739 | 0.027828 | 846 | 16 |
| 1 | 16747 | C | 0.0925472 | 0.0768066 | 0.0153089 | 850 | 27 |
| 1 | 16758 | W | 0.105398 | 0.0868072 | 0.0190894 | 867 | 23 |
| 1 | 16758 | C | 0.0805193 | 0.0593405 | 0.0119754 | 949 | 36 |
| 1 | 16773 | W | 0.0265585 | 0.0175763 | 0.00232149 | 995 | 130 |
| 1 | 16773 | C | 0.0307395 | 0.021999 | 0.00375021 | 970 | 108 |
| 1 | 16779 | W | 0.101478 | 0.0779168 | 0.0152251 | 923 | 26 |
| 1 | 16779 | C | 0.0827133 | 0.0792753 | 0.0169494 | 670 | 26 |
| 1 | 16789 | W | 0.116849 | 0.0808929 | 0.0164428 | 961 | 25 |
| 1 | 16789 | C | 0.0929107 | 0.0799874 | 0.017765 | 825 | 26 |
| 1 | 16818 | W | 0.0420057 | 0.0443335 | 0.0074675 | 439 | 49 |
| 1 | 16818 | C | 0.0471878 | 0.0373437 | 0.00644888 | 923 | 60 |
| 1 | 16820 | W | 0.1189 | 0.0783641 | 0.0149581 | 982 | 26 |
| 1 | 16820 | C | 0.120898 | 0.110661 | 0.0271952 | 732 | 18 |
| 1 | 16836 | W | 0.126712 | 0.153157 | 0.0407151 | 258 | 12 |
| 1 | 16836 | C | 0.214194 | 0.155979 | 0.0428392 | 910 | 12 |
| 1 | 16881 | W | 0.138938 | 0.143282 | 0.037581 | 550 | 13 |
| 1 | 16881 | C | 0.283063 | 0.216109 | 0.0593664 | 877 | 8 |
| 1 | 19001 | W | 0.0758917 | 0.0769395 | 0.0155041 | 546 | 26 |
| 1 | 19001 | C | 0.0801111 | 0.0646556 | 0.0134908 | 882 | 33 |
| 1 | 30554 | W | 0.0116206 | 0.0106348 | 0.00124603 | 823 | 225 |
| 1 | 30554 | C | 0.0131895 | 0.00942394 | 0.00107213 | 994 | 275 |
| 1 | 42578 | W | 0.0626789 | 0.0600429 | 0.011554 | 665 | 35 |
| 1 | 42578 | C | 0.0492096 | 0.0595325 | 0.0117279 | 177 | 36 |
| 1 | 46914 | W | 0.0335562 | 0.0258415 | 0.00373338 | 962 | 86 |
| 1 | 46914 | C | 0.0269117 | 0.0240134 | 0.00383419 | 808 | 97 |

Table A.16: Results for gene classes taken from level 4 of the Gene Ontology heirarchy on chromosome 1 using TIGR data with the tandem duplications removed.

| Chr | Class | Std | Orig. Grnwd | MC Grnwd | SD MC grnwd | Ranking | Examples |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 2 | 04175 | W | 0.130923 | 0.158283 | 0.0391246 | 266 | 12 |
| 2 | 04175 | C | 0.0633672 | 0.0832933 | 0.0176976 | 83 | 25 |
| 2 | 04518 | W | 0.0632686 | 0.067484 | 0.0147448 | 462 | 32 |
| 2 | 04518 | C | 0.0995639 | 0.0788869 | 0.0182116 | 888 | 27 |
| 2 | 04553 | W | 0.10141 | 0.0959823 | 0.0237089 | 696 | 22 |
| 2 | 04553 | C | 0.137122 | 0.0846905 | 0.0209282 | 970 | 25 |
| 2 | 04930 | W | 0.216652 | 0.166296 | 0.0408891 | 900 | 11 |
| 2 | 04930 | C | 0.232543 | 0.16621 | 0.0395209 | 933 | 11 |
| 2 | 05216 | W | 0.184078 | 0.19591 | 0.0494723 | 479 | 9 |
| 2 | 05216 | C | 0.386627 | 0.294625 | 0.0770053 | 894 | 5 |
| 2 | 05351 | W | 0.248186 | 0.266352 | 0.0700611 | 475 | 6 |
| 2 | 05351 | C | 0.119458 | 0.166081 | 0.0400158 | 69 | 11 |
| 2 | 05509 | W | 0.130467 | 0.109584 | 0.0269941 | 826 | 19 |
| 2 | 05509 | C | 0.114574 | 0.118733 | 0.0302282 | 539 | 17 |
| 2 | 08168 | W | 0.20979 | 0.19595 | 0.0497701 | 677 | 9 |
| 2 | 08168 | C | 0.160775 | 0.16835 | 0.0429306 | 515 | 11 |
| 2 | 08234 | W | 0.458523 | 0.169967 | 0.0407021 | 999 | 11 |
| 2 | 08234 | C | 0.0655755 | 0.0729867 | 0.0155429 | 378 | 29 |
| 2 | 08236 | W | 0.189919 | 0.193229 | 0.0483657 | 564 | 9 |
| 2 | 08236 | C | 0.137261 | 0.147925 | 0.0382315 | 479 | 13 |
| 2 | 08237 | W | 0.12911 | 0.123147 | 0.0295054 | 664 | 16 |
| 2 | 08237 | C | 0.106069 | 0.129361 | 0.0302272 | 232 | 15 |
| 2 | 08238 | W | 0.364146 | 0.235382 | 0.0625365 | 961 | 7 |
| 2 | 08238 | C | 0.118795 | 0.154172 | 0.036845 | 130 | 12 |
| 2 | 15077 | W | 0.135514 | 0.158841 | 0.0405254 | 312 | 12 |
| 2 | 15077 | C | 0.181782 | 0.261148 | 0.0669092 | 49 | 6 |
| 2 | 15291 | W | 0.105731 | 0.0940487 | 0.023445 | 782 | 22 |
| 2 | 15291 | C | 0.0749605 | 0.0681076 | 0.015131 | 741 | 32 |
| 2 | 15405 | W | 0.117556 | 0.0970187 | 0.0221987 | 848 | 21 |
| 2 | 15405 | C | 0.142166 | 0.107537 | 0.0254887 | 891 | 19 |
| 2 | 16301 | W | 0.0291628 | 0.0267498 | 0.00448018 | 788 | 86 |
| 2 | 16301 | C | 0.0467824 | 0.0233949 | 0.00390525 | 999 | 100 |
| 2 | 16616 | W | 0.201552 | 0.146344 | 0.0353168 | 924 | 13 |
| 2 | 16616 | C | 0.172182 | 0.167402 | 0.0409736 | 642 | 11 |
| 2 | 16747 | W | 0.109136 | 0.137495 | 0.0312844 | 180 | 14 |
| 2 | 16747 | C | 0.0926203 | 0.106723 | 0.0251298 | 331 | 19 |
| 2 | 16758 | W | 0.0754195 | 0.0836011 | 0.0192207 | 397 | 25 |
| 2 | 16758 | C | 0.179883 | 0.131982 | 0.0333749 | 907 | 15 |
| 2 | 16773 | W | 0.0354505 | 0.03743 | 0.00784033 | 504 | 61 |
| 2 | 16773 | C | 0.0654902 | 0.029929 | 0.00546081 | 1000 | 77 |
| 2 | 16779 | W | 0.0429206 | 0.052901 | 0.0114393 | 154 | 42 |
| 2 | 16779 | C | 0.052084 | 0.0485073 | 0.00992722 | 735 | 46 |
| 2 | 16789 | W | 0.13646 | 0.0970424 | 0.0217254 | 930 | 21 |
| 2 | 16789 | C | 0.188477 | 0.155326 | 0.0396634 | 842 | 12 |
| 2 | 16818 | W | 0.0517624 | 0.0526443 | 0.0107515 | 564 | 42 |
| 2 | 16818 | C | 0.0827753 | 0.0713228 | 0.0161384 | 821 | 30 |
| 2 | 16820 | W | 0.139369 | 0.125466 | 0.0288928 | 735 | 16 |
| 2 | 16820 | C | 0.217831 | 0.167474 | 0.040854 | 891 | 11 |
| 2 | 16836 | W | 0.206834 | 0.165491 | 0.0399289 | 864 | 11 |
| 2 | 16836 | C | 0.20676 | 0.179301 | 0.0425763 | 781 | 10 |
| 2 | 16881 | W | 0.264655 | 0.183735 | 0.047894 | 942 | 10 |
| 2 | 16881 | C | 0.243628 | 0.179358 | 0.0422828 | 931 | 10 |
| 2 | 19001 | W | 0.119487 | 0.132036 | 0.0327903 | 414 | 15 |
| 2 | 19001 | C | 0.11199 | 0.111385 | 0.0266912 | 621 | 18 |
| 2 | 30554 | W | 0.0125171 | 0.0153304 | 0.00214348 | 46 | 156 |
| 2 | 30554 | C | 0.0166748 | 0.015771 | 0.00235116 | 726 | 151 |
| 2 | 42578 | W | 0.166253 | 0.12504 | 0.0299752 | 903 | 16 |
| 2 | 42578 | C | 0.144693 | 0.0767527 | 0.018392 | 989 | 28 |
| 2 | 46914 | W | 0.0598407 | 0.0366249 | 0.00757244 | 982 | 62 |
| 2 | 46914 | C | 0.073084 | 0.0421166 | 0.00823319 | 991 | 53 |

Table A.17: Results for gene classes taken from level 4 of the Gene Ontology heirarchy on chromosome 2 using TIGR data with the tandem duplications removed.

| Chr | Class | Std | Orig. Grnwd | MC Grnwd | SD MC grnwd | Ranking | Examples |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 3 | 04175 | W | 0.0828367 | 0.0961691 | 0.0232794 | 330 | 22 |
| 3 | 04175 | C | 0.0582969 | 0.0765958 | 0.0172947 | 92 | 30 |
| 3 | 04518 | W | 0.0949133 | 0.0869089 | 0.0207863 | 740 | 25 |
| 3 | 04518 | C | 0.181452 | 0.15358 | 0.0414696 | 800 | 13 |
| 3 | 04553 | W | 0.0921558 | 0.0673205 | 0.0149732 | 936 | 33 |
| 3 | 04553 | C | 0.0837389 | 0.0658764 | 0.0161823 | 872 | 36 |
| 3 | 04930 | W | 0.184884 | 0.141904 | 0.0363811 | 886 | 14 |
| 3 | 04930 | C | 0.205915 | 0.155036 | 0.0419373 | 894 | 13 |
| 3 | 05216 | W | 0.154022 | 0.205372 | 0.0581426 | 158 | 9 |
| 3 | 05216 | C | 0.482657 | 0.322519 | 0.098263 | 925 | 5 |
| 3 | 05351 | W | 0.278424 | 0.246291 | 0.0697688 | 740 | 7 |
| 3 | 05351 | C | 0.255614 | 0.164705 | 0.0466587 | 945 | 12 |
| 3 | 05509 | W | 0.0729502 | 0.0832001 | 0.0195152 | 341 | 26 |
| 3 | 05509 | C | 0.0812411 | 0.090279 | 0.0237967 | 430 | 25 |
| 3 | 08168 | W | 0.137989 | 0.142825 | 0.0374344 | 559 | 14 |
| 3 | 08168 | C | 0.167222 | 0.145615 | 0.039742 | 779 | 14 |
| 3 | 08234 | W | 0.0722828 | 0.110967 | 0.0300086 | 12 | 19 |
| 3 | 08234 | C | 0.108186 | 0.117789 | 0.0295892 | 449 | 18 |
| 3 | 08236 | W | 0.147753 | 0.141818 | 0.0379138 | 663 | 14 |
| 3 | 08236 | C | 0.195742 | 0.145048 | 0.0383188 | 908 | 14 |
| 3 | 08237 | W | 0.179706 | 0.161171 | 0.0455517 | 746 | 12 |
| 3 | 08237 | C | 0.228625 | 0.176214 | 0.0493472 | 868 | 11 |
| 3 | 08238 | W | 0.177507 | 0.162559 | 0.047588 | 718 | 12 |
| 3 | 08238 | C | 0.279828 | 0.146712 | 0.0420409 | 987 | 14 |
| 3 | 15077 | W | 0.25912 | 0.247725 | 0.0680434 | 654 | 7 |
| 3 | 15077 | C | 0.230877 | 0.207613 | 0.0585072 | 731 | 9 |
| 3 | 15291 | W | 0.115692 | 0.101581 | 0.0278023 | 783 | 21 |
| 3 | 15291 | C | 0.0955248 | 0.0690029 | 0.0164043 | 925 | 34 |
| 3 | 15405 | W | 0.135881 | 0.135328 | 0.0379315 | 624 | 15 |
| 3 | 15405 | C | 0.112491 | 0.0720566 | 0.0182815 | 966 | 33 |
| 3 | 16301 | W | 0.0434729 | 0.0212459 | 0.00384822 | 999 | 124 |
| 3 | 16301 | C | 0.0424516 | 0.0230334 | 0.00454562 | 994 | 117 |
| 3 | 16616 | W | 0.155618 | 0.110908 | 0.0281267 | 920 | 19 |
| 3 | 16616 | C | 0.107058 | 0.138254 | 0.0370709 | 180 | 15 |
| 3 | 16747 | W | 0.162427 | 0.12691 | 0.0294896 | 887 | 16 |
| 3 | 16747 | C | 0.290047 | 0.208166 | 0.0595817 | 912 | 9 |
| 3 | 16758 | W | 0.157957 | 0.115376 | 0.0294689 | 912 | 18 |
| 3 | 16758 | C | 0.0819283 | 0.0999651 | 0.0257017 | 264 | 22 |
| 3 | 16773 | W | 0.0449781 | 0.0257899 | 0.00488935 | 997 | 98 |
| 3 | 16773 | C | 0.048904 | 0.0325901 | 0.00706568 | 967 | 80 |
| 3 | 16779 | W | 0.147724 | 0.121613 | 0.0309004 | 847 | 17 |
| 3 | 16779 | C | 0.135605 | 0.130562 | 0.0337814 | 637 | 16 |
| 3 | 16789 | W | 0.0941813 | 0.0721643 | 0.0170446 | 914 | 31 |
| 3 | 16789 | C | 0.120314 | 0.0892498 | 0.0219505 | 911 | 25 |
| 3 | 16818 | W | 0.0641669 | 0.0622456 | 0.0145049 | 658 | 36 |
| 3 | 16818 | C | 0.0628488 | 0.0493296 | 0.0112963 | 884 | 50 |
| 3 | 16820 | W | 0.226331 | 0.204136 | 0.0557434 | 735 | 9 |
| 3 | 16820 | C | 0.133456 | 0.0896865 | 0.0219195 | 964 | 25 |
| 3 | 16836 | W | 0.194999 | 0.150641 | 0.0417673 | 864 | 13 |
| 3 | 16836 | C | 0.484423 | 0.229455 | 0.0672273 | 990 | 8 |
| 3 | 16881 | W | 0.207774 | 0.186377 | 0.0529435 | 730 | 10 |
| 3 | 16881 | C | 0.166702 | 0.165997 | 0.0460357 | 610 | 12 |
| 3 | 19001 | W | 0.197903 | 0.131932 | 0.0325161 | 954 | 15 |
| 3 | 19001 | C | 0.192229 | 0.110521 | 0.0294331 | 981 | 20 |
| 3 | 30554 | W | 0.0162237 | 0.0141116 | 0.00208803 | 880 | 198 |
| 3 | 30554 | C | 0.0185255 | 0.0151088 | 0.0027518 | 898 | 191 |
| 3 | 42578 | W | 0.109951 | 0.0567932 | 0.0124646 | 997 | 40 |
| 3 | 42578 | C | 0.0882181 | 0.0592558 | 0.0142728 | 955 | 40 |
| 3 | 46914 | W | 0.0405973 | 0.0352331 | 0.00700902 | 828 | 69 |
| 3 | 46914 | C | 0.0577903 | 0.0420076 | 0.00890319 | 943 | 60 |

Table A.18: Results for gene classes taken from level 4 of the Gene Ontology heirarchy on chromosome 3 using TIGR data with the tandem duplications removed.

| Chr | Class | Std | Orig. Grnwd | MC Grnwd | SD MC grnwd | Ranking | Examples |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 4 | 04175 | W | 0.0809505 | 0.0859348 | 0.0179553 | 472 | 23 |
| 4 | 04175 | C | 0.0903446 | 0.116667 | 0.0293574 | 127 | 17 |
| 4 | 04518 | W | 0.130448 | 0.163497 | 0.0415675 | 187 | 11 |
| 4 | 04518 | C | 0.14075 | 0.154779 | 0.0353639 | 394 | 12 |
| 4 | 04553 | W | 0.0978304 | 0.0976322 | 0.024293 | 628 | 20 |
| 4 | 04553 | C | 0.0641654 | 0.0596606 | 0.0117898 | 730 | 36 |
| 4 | 04930 | W | 0.0852606 | 0.132234 | 0.0334619 | 7 | 14 |
| 4 | 04930 | C | 0.114211 | 0.163782 | 0.0407241 | 34 | 11 |
| 4 | 05216 | W | 0.282537 | 0.210099 | 0.0537041 | 908 | 8 |
| 4 | 05216 | C | 0.378377 | 0.194926 | 0.0498971 | 992 | 9 |
| 4 | 05351 | W | 0.185814 | 0.258021 | 0.0673413 | 75 | 6 |
| 4 | 05351 | C | 0.193436 | 0.235765 | 0.0626581 | 248 | 7 |
| 4 | 05509 | W | 0.0643465 | 0.105155 | 0.0235894 | 0 | 18 |
| 4 | 05509 | C | 0.110167 | 0.10543 | 0.0250035 | 689 | 19 |
| 4 | 08168 | W | 0.15553 | 0.142188 | 0.0357029 | 742 | 13 |
| 4 | 08168 | C | 0.146812 | 0.135734 | 0.0302899 | 693 | 14 |
| 4 | 08234 | W | 0.0870523 | 0.101719 | 0.0214013 | 264 | 19 |
| 4 | 08234 | C | 0.0955579 | 0.0905114 | 0.0200065 | 686 | 23 |
| 4 | 08236 | W | 0.175203 | 0.162106 | 0.0395191 | 708 | 11 |
| 4 | 08236 | C | 0.156771 | 0.137068 | 0.0334364 | 775 | 14 |
| 4 | 08237 | W | 0.0932976 | 0.100795 | 0.0224498 | 439 | 19 |
| 4 | 08237 | C | 0.176593 | 0.259332 | 0.0670985 | 32 | 6 |
| 4 | 08238 | W | 0.124098 | 0.111082 | 0.0252676 | 769 | 17 |
| 4 | 08238 | C | 0.271337 | 0.233592 | 0.0573532 | 800 | 7 |
| 4 | 15077 | W | 0.210815 | 0.294016 | 0.0815613 | 80 | 5 |
| 4 | 15077 | C | 0.228909 | 0.165362 | 0.0389922 | 930 | 11 |
| 4 | 15291 | W | 0.0952999 | 0.112246 | 0.0241634 | 262 | 17 |
| 4 | 15291 | C | 0.11193 | 0.112212 | 0.0286301 | 592 | 18 |
| 4 | 15405 | W | 0.122235 | 0.123266 | 0.0265472 | 569 | 15 |
| 4 | 15405 | C | 0.103456 | 0.0926053 | 0.0198511 | 763 | 22 |
| 4 | 16301 | W | 0.0337529 | 0.0238267 | 0.00324817 | 987 | 91 |
| 4 | 16301 | C | 0.0284825 | 0.0258301 | 0.00453163 | 793 | 90 |
| 4 | 16616 | W | 0.0987236 | 0.139429 | 0.0321978 | 45 | 13 |
| 4 | 16616 | C | 0.131379 | 0.109607 | 0.0247594 | 845 | 18 |
| 4 | 16747 | W | 0.608741 | 0.229102 | 0.0620424 | 1000 | 7 |
| 4 | 16747 | C | 0.312581 | 0.231202 | 0.0601837 | 919 | 7 |
| 4 | 16758 | W | 0.0892111 | 0.107639 | 0.0254762 | 232 | 18 |
| 4 | 16758 | C | 0.108081 | 0.0997762 | 0.0216606 | 712 | 20 |
| 4 | 16773 | W | 0.0472746 | 0.0328362 | 0.00528212 | 981 | 66 |
| 4 | 16773 | C | 0.0396203 | 0.036579 | 0.00688912 | 759 | 62 |
| 4 | 16779 | W | 0.0808354 | 0.0886275 | 0.0177636 | 379 | 22 |
| 4 | 16779 | C | 0.0886001 | 0.122214 | 0.0282606 | 51 | 16 |
| 4 | 16789 | W | 0.196734 | 0.159638 | 0.0390374 | 867 | 11 |
| 4 | 16789 | C | 0.130747 | 0.121507 | 0.0281815 | 699 | 16 |
| 4 | 16818 | W | 0.0707813 | 0.0636646 | 0.0121313 | 770 | 32 |
| 4 | 16818 | C | 0.0999115 | 0.0801637 | 0.0163089 | 887 | 26 |
| 4 | 16820 | W | 0.294318 | 0.206485 | 0.0526063 | 933 | 8 |
| 4 | 16820 | C | 0.17155 | 0.120918 | 0.0272221 | 951 | 16 |
| 4 | 16836 | W | 0.28153 | 0.25623 | 0.0713785 | 730 | 6 |
| 4 | 16836 | C | 0.174081 | 0.145736 | 0.0346181 | 823 | 13 |
| 4 | 16881 | W | 0.284257 | 0.298884 | 0.0815264 | 535 | 5 |
| 4 | 16881 | C | 0.252904 | 0.151656 | 0.0347388 | 981 | 12 |
| 4 | 19001 | W | 0.0891474 | 0.0934613 | 0.0214568 | 515 | 21 |
| 4 | 19001 | C | 0.159834 | 0.144245 | 0.0326452 | 748 | 13 |
| 4 | 30554 | W | 0.0175082 | 0.0160527 | 0.0019055 | 809 | 142 |
| 4 | 30554 | C | 0.0165178 | 0.0178253 | 0.00315113 | 402 | 135 |
| 4 | 42578 | W | 0.0751362 | 0.101486 | 0.0225922 | 56 | 19 |
| 4 | 42578 | C | 0.121264 | 0.0733666 | 0.015393 | 986 | 29 |
| 4 | 46914 | W | 0.0469888 | 0.0406314 | 0.00644743 | 855 | 52 |
| 4 | 46914 | C | 0.0415339 | 0.0428242 | 0.0079099 | 511 | 52 |

Table A.19: Results for gene classes taken from level 4 of the Gene Ontology heirarchy on chromosome 4 using TIGR data with the tandem duplications removed.

| Chr | Class | Std | Orig. Grnwd | MC Grnwd | SD MC grnwd | Ranking | Examples |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 5 | 04175 | W | 0.0602489 | 0.0578787 | 0.0120805 | 653 | 39 |
| 5 | 04175 | C | 0.049675 | 0.0620271 | 0.0137616 | 163 | 37 |
| 5 | 04518 | W | 0.0794314 | 0.0924232 | 0.0228543 | 310 | 23 |
| 5 | 04518 | C | 0.0785277 | 0.103793 | 0.0255301 | 93 | 20 |
| 5 | 04553 | W | 0.0927926 | 0.0657474 | 0.0137978 | 952 | 33 |
| 5 | 04553 | C | 0.0488214 | 0.0572722 | 0.012288 | 254 | 40 |
| 5 | 04930 | W | 0.0925231 | 0.117402 | 0.0302261 | 182 | 17 |
| 5 | 04930 | C | 0.174387 | 0.201923 | 0.0559239 | 360 | 9 |
| 5 | 05216 | W | 0.129782 | 0.184684 | 0.0522799 | 61 | 10 |
| 5 | 05216 | C | 0.106138 | 0.096063 | 0.022006 | 762 | 22 |
| 5 | 05351 | W | 0.162189 | 0.138181 | 0.0345115 | 815 | 14 |
| 5 | 05351 | C | 0.205858 | 0.243485 | 0.0697066 | 346 | 7 |
| 5 | 05509 | W | 0.0671803 | 0.0673656 | 0.0146473 | 604 | 32 |
| 5 | 05509 | C | 0.0688808 | 0.0751554 | 0.0160773 | 404 | 29 |
| 5 | 08168 | W | 0.0936445 | 0.0827125 | 0.0185907 | 771 | 26 |
| 5 | 08168 | C | 0.11064 | 0.118881 | 0.0275203 | 455 | 17 |
| 5 | 08234 | W | 0.0963299 | 0.100237 | 0.0258597 | 546 | 21 |
| 5 | 08234 | C | 0.109508 | 0.153285 | 0.0423915 | 89 | 13 |
| 5 | 08236 | W | 0.0787365 | 0.076426 | 0.0172648 | 648 | 28 |
| 5 | 08236 | C | 0.106795 | 0.0959824 | 0.0233556 | 746 | 22 |
| 5 | 08237 | W | 0.135089 | 0.112475 | 0.0284624 | 826 | 18 |
| 5 | 08237 | C | 0.137204 | 0.111444 | 0.0268743 | 864 | 19 |
| 5 | 08238 | W | 0.172127 | 0.148829 | 0.0381274 | 803 | 13 |
| 5 | 08238 | C | 0.143806 | 0.151473 | 0.0415583 | 523 | 13 |
| 5 | 15077 | W | 0.179947 | 0.201872 | 0.0586895 | 431 | 9 |
| 5 | 15077 | C | 0.191129 | 0.120371 | 0.0301801 | 971 | 17 |
| 5 | 15291 | W | 0.0407779 | 0.0488679 | 0.0105603 | 202 | 46 |
| 5 | 15291 | C | 0.0580766 | 0.0585064 | 0.012613 | 564 | 39 |
| 5 | 15405 | W | 0.108183 | 0.102527 | 0.0240083 | 674 | 20 |
| 5 | 15405 | C | 0.10619 | 0.0783856 | 0.0175298 | 936 | 28 |
| 5 | 16301 | W | 0.0306068 | 0.018271 | 0.00324143 | 992 | 133 |
| 5 | 16301 | C | 0.0220163 | 0.0173014 | 0.00340377 | 910 | 151 |
| 5 | 16616 | W | 0.0801271 | 0.0833716 | 0.0183193 | 503 | 25 |
| 5 | 16616 | C | 0.0957847 | 0.100318 | 0.0237078 | 514 | 21 |
| 5 | 16747 | W | 0.174295 | 0.140148 | 0.0360842 | 860 | 14 |
| 5 | 16747 | C | 0.209899 | 0.133287 | 0.0345469 | 970 | 15 |
| 5 | 16758 | W | 0.116678 | 0.0937626 | 0.0214066 | 885 | 22 |
| 5 | 16758 | C | 0.20706 | 0.0861903 | 0.0188635 | 1000 | 25 |
| 5 | 16773 | W | 0.0374379 | 0.0236634 | 0.00432713 | 992 | 101 |
| 5 | 16773 | C | 0.0251962 | 0.0227385 | 0.00478526 | 781 | 112 |
| 5 | 16779 | W | 0.0791245 | 0.0899414 | 0.0204316 | 337 | 23 |
| 5 | 16779 | C | 0.14305 | 0.118783 | 0.0294427 | 828 | 17 |
| 5 | 16789 | W | 0.0927072 | 0.0763825 | 0.0170208 | 850 | 28 |
| 5 | 16789 | C | 0.0679968 | 0.0860694 | 0.019992 | 134 | 25 |
| 5 | 16818 | W | 0.067962 | 0.0487938 | 0.00959897 | 956 | 46 |
| 5 | 16818 | C | 0.0499645 | 0.0406861 | 0.00890985 | 867 | 59 |
| 5 | 16820 | W | 0.144629 | 0.146245 | 0.0387674 | 599 | 13 |
| 5 | 16820 | C | 0.113412 | 0.0921847 | 0.0211511 | 866 | 23 |
| 5 | 16836 | W | 0.152897 | 0.169911 | 0.045352 | 415 | 11 |
| 5 | 16836 | C | 0.191063 | 0.223132 | 0.065604 | 356 | 8 |
| 5 | 16881 | W | 0.389263 | 0.30803 | 0.0899876 | 837 | 5 |
| 5 | 16881 | C | 0.1838 | 0.17098 | 0.0478417 | 704 | 11 |
| 5 | 19001 | W | 0.0920855 | 0.074159 | 0.0167015 | 876 | 29 |
| 5 | 19001 | C | 0.138827 | 0.0829745 | 0.0194841 | 984 | 26 |
| 5 | 30554 | W | 0.0176474 | 0.0106649 | 0.00150047 | 998 | 235 |
| 5 | 30554 | C | 0.0162879 | 0.0107516 | 0.00193222 | 980 | 250 |
| 5 | 42578 | W | 0.0690198 | 0.0755282 | 0.0161162 | 392 | 28 |
| 5 | 42578 | C | 0.0603234 | 0.0627738 | 0.0136233 | 515 | 36 |
| 5 | 46914 | W | 0.0358101 | 0.0241335 | 0.00444273 | 979 | 99 |
| 5 | 46914 | C | 0.0382661 | 0.0304407 | 0.00663895 | 880 | 82 |

Table A.20: Results for gene classes taken from level 4 of the Gene Ontology heirarchy on chromosome 5 using TIGR data with the tandem duplications removed.

## Appendix B

## Supplementary Tables on Neighbouring Genes

| Class A | Class B | Examples | Expectation | Pmf |
| :--- | :--- | ---: | ---: | :--- |
| go:5198 | go:5198 | 30.0 | 15.59 | 0.00379484 |
| go:16209 | go:16209 | 1.0 | 0.04 | 0.0400002 |
| go:3774 | go:30234 | 2.0 | 0.32 | 0.0511972 |
| go:31386 | go:4871 | 1.0 | 0.06 | 0.0600005 |
| go:3774 | go:4871 | 1.0 | 0.10 | 0.100002 |
| go:5198 | go:3824 | 64.0 | 82.91 | 0.106225 |
| go:16209 | go:3824 | 9.0 | 4.39 | 0.107706 |
| go:45182 | go:3824 | 18.0 | 11.11 | 0.129862 |
| go:30234 | go:4871 | 3.0 | 1.01 | 0.169991 |
| go:5488 | go:31386 | 3.0 | 1.03 | 0.176792 |
| go:30188 | go:30234 | 1.0 | 0.18 | 0.180005 |
| go:5488 | go:5488 | 104.0 | 86.94 | 0.18552 |
| go:30528 | go:3774 | 2.0 | 0.61 | 0.186056 |
| go:3774 | go:3824 | 7.0 | 3.62 | 0.204326 |
| go:5215 | go:5488 | 31.0 | 42.21 | 0.223138 |
| go:3824 | go:4871 | 6.0 | 11.62 | 0.260977 |
| go:45182 | go:4871 | 1.0 | 0.29 | 0.290013 |
| go:5215 | go:3774 | 2.0 | 0.78 | 0.304226 |
| go:4871 | go:30528 | 4.0 | 1.95 | 0.308946 |
| go:5215 | go:5215 | 27.0 | 20.49 | 0.337891 |
| go:16209 | go:5198 | 2.0 | 0.83 | 0.344484 |
| go:30234 | go:16209 | 1.0 | 0.38 | 0.380022 |
| go:5198 | go:4871 | 4.0 | 2.19 | 0.399637 |
| go:5198 | go:5215 | 12.0 | 17.87 | 0.406288 |
| go:4871 | go:5488 | 2.0 | 5.16 | 0.436291 |
| go:5488 | go:30528 | 40.0 | 32.92 | 0.443447 |
| go:5215 | go:30188 | 1.0 | 0.45 | 0.450031 |
| go:30188 | go:5215 | 1.0 | 0.45 | 0.450031 |

Table B.1: The molecular function classes of neighbouring pairs (84 results). Table 1 of 3 .

| Class A | Class B | Examples | Expectation | Pmf |
| :--- | :--- | ---: | ---: | :--- |
| go:30528 | go:5198 | 9.0 | 13.94 | 0.453482 |
| go:5488 | go:5215 | 34.0 | 42.21 | 0.46957 |
| go:5215 | go:31386 | 1.0 | 0.50 | 0.500038 |
| go:31386 | go:5488 | 2.0 | 1.03 | 0.515002 |
| go:30528 | go:30234 | 9.0 | 6.47 | 0.537252 |
| go:5198 | go:5488 | 43.0 | 36.82 | 0.563594 |
| go:5488 | go:45182 | 7.0 | 4.93 | 0.570568 |
| go:3824 | go:45182 | 14.0 | 11.11 | 0.627645 |
| go:3824 | go:5198 | 74.0 | 82.91 | 0.639355 |
| go:30528 | go:30528 | 9.0 | 12.46 | 0.681607 |
| go:3824 | go:30188 | 3.0 | 2.07 | 0.690007 |
| go:5215 | go:30528 | 19.0 | 15.98 | 0.700769 |
| go:3824 | go:3824 | 457.0 | 440.89 | 0.720026 |
| go:16209 | go:30528 | 1.0 | 0.74 | 0.740083 |
| go:31386 | go:3824 | 3.0 | 2.32 | 0.773371 |
| go:3824 | go:5488 | 186.0 | 195.78 | 0.793428 |
| go:5215 | go:45182 | 3.0 | 2.39 | 0.796714 |
| go:45182 | go:5215 | 3.0 | 2.39 | 0.796714 |
| go:5488 | go:3774 | 2.0 | 1.61 | 0.805074 |
| go:4871 | go:3824 | 9.0 | 11.62 | 0.814144 |
| go:30234 | go:3824 | 42.0 | 38.48 | 0.815667 |
| go:5488 | go:30234 | 14.0 | 17.09 | 0.816622 |
| go:3824 | go:30528 | 79.0 | 74.13 | 0.826535 |
| go:30528 | go:5488 | 36.0 | 32.92 | 0.830468 |
| go:5215 | go:4871 | 3.0 | 2.51 | 0.836731 |
| go:5488 | go:5198 | 40.0 | 36.82 | 0.837617 |
| go:30234 | go:30234 | 4.0 | 3.36 | 0.840046 |
| go:3824 | go:5215 | 99.0 | 95.05 | 0.902646 |

Table B.2: The molecular function classes of neighbouring pairs (84 results). Table 2 of 3 .

| Class A | Class B | Examples | Expectation | Pmf |
| :--- | :--- | ---: | ---: | :--- |
| go:5198 | go:30234 | 8.0 | 7.24 | 0.905033 |
| go:5215 | go:30234 | 9.0 | 8.30 | 0.922264 |
| go:30234 | go:5215 | 9.0 | 8.30 | 0.922264 |
| go:5198 | go:30528 | 15.0 | 13.94 | 0.925474 |
| go:30234 | go:30528 | 5.0 | 6.47 | 0.927151 |
| go:5215 | go:3824 | 91.0 | 95.05 | 0.934617 |
| go:30528 | go:5215 | 17.0 | 15.98 | 0.938962 |
| go:5215 | go:16209 | 1.0 | 0.95 | 0.950137 |
| go:5215 | go:5198 | 16.0 | 17.87 | 0.951045 |
| go:45182 | go:30234 | 1.0 | 0.97 | 0.970142 |
| go:5488 | go:3824 | 192.0 | 195.78 | 0.971682 |
| go:30528 | go:4871 | 2.0 | 1.95 | 0.97514 |
| go:3824 | go:30234 | 37.0 | 38.48 | 0.987304 |
| go:30234 | go:5488 | 16.0 | 17.09 | 0.994569 |
| go:30528 | go:3824 | 73.0 | 74.13 | 0.998074 |
| go:3774 | go:5488 | 1.0 | 1.61 | 1 |
| go:3824 | go:3774 | 3.0 | 3.62 | 1 |
| go:3824 | go:16209 | 4.0 | 4.39 | 1 |
| go:3824 | go:31386 | 2.0 | 2.32 | 1 |
| go:4871 | go:5198 | 2.0 | 2.19 | 1 |
| go:4871 | go:5215 | 2.0 | 2.51 | 1 |
| go:4871 | go:30234 | 1.0 | 1.01 | 1 |
| go:5488 | go:4871 | 5.0 | 5.16 | 1 |
| go:16209 | go:5488 | 1.0 | 1.95 | 1 |
| go:30188 | go:3824 | 2.0 | 2.07 | 1 |
| go:30234 | go:5198 | 7.0 | 7.24 | 1 |
| go:45182 | go:5488 | 4.0 | 4.93 | 1 |
| go:45182 | go:30528 | 1.0 | 1.87 | 1 |

Table B.3: The molecular function classes of neighbouring pairs (84 results). Table 3 of 3 .

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[^0]:    ${ }^{1}$ More information at www.pyrosequencing.com/

[^1]:    ${ }^{1}$ In fact, there are many exceptions including genes for structural proteins such as those in hair, and genes that code for RNAs that function directly as RNAs (Tamarin, 1999).

[^2]:    ${ }^{2}$ The age of this event is estimated from the mutation rate of 16 s ribosomal RNA.
    ${ }^{3}$ An Open Reading Frame (ORF) is a sequence of DNA that is considered to be a potential coding sequence. An ORF is recognised by having a start codon (ATG, and also TTG and GTG in bacteria) and includes all codons in the sequence (usually longer than 100 nucleotides) up to the stop codon (TAA, TAG or TGA). Unlike the start codon, the stop codon does not code for an amino acid and is not included in the ORF (Lackie, 2007).

[^3]:    ${ }^{4}$ Genes involved in yeast protein complexes are listed at: http://yeast.cellzome.com/.
    ${ }^{5}$ Definition taken from SGD website.

[^4]:    ${ }^{6}$ There is more information on the MRE11 complex at:http://mips.gsf.de/proj/yeast/pathways/haber.html and http://db.yeastgenome.org/cgi-bin/locus.pl?dbid=S000004837.

[^5]:    ${ }^{7} \mathrm{~A}$ residue is actually a general term for the unit of a polymer. More specifically it is that portion of a sugar, amino acid, or nucleotide that is retained as part of the polymer chain during the process of polymerization.

[^6]:    ${ }^{8}$ The definition of a protein family has been recently updated to contain proteins with residue identies of $>35 \%$ (Xiong, 2008).
    ${ }^{9}$ In the paper by Murzin et al (Murzin et al. , 1995), they give an example for globins having sequence identities of $15 \%$ so the sequence identity criterion of $>15 \%$ is an assumption based on their example.

[^7]:    ${ }^{10} \mathrm{PDB}$ is a database of three-dimensional structures of biological macromolecules (proteins, ribosomes, etc.). This database archives atomic coordinates determined by x-ray crystallography and NMR for each macromolecule along with experimental details, secondary structure, cofactors and author.
    ${ }^{11}$ Subsequent releases of ASTRAL however now include these joined domains.
    ${ }^{12}$ These errors have been corrected in a subsequent releases of SCOP.

[^8]:    ${ }^{13}$ Least number of changes

[^9]:    ${ }^{14}$ SAM website:
    http://www.soe.ucsc.edu/research/compbio/sam.html.
    ${ }^{15}$ These definitions taken from http://www.swbic.org/origin/proc_man/Blast/BLAST_ tutorial.html

[^10]:    ${ }^{16}$ The profile comparer is downloadable from http://supfam.mrc-lmb.cam.ac.uk/PRC/.

[^11]:    ${ }^{17} \mathrm{An}$ association rule about a relationship between two disjoint itemsets $X$ and $Y$, is denoted by $X \rightarrow Y$. It represents the relation that, when $X$ occurs, $Y$ also occurs. $X \rightarrow Y$ does not mean $X$ causes $Y . X \rightarrow Y$ can imply a different meaning than $Y \rightarrow X$

[^12]:    ${ }^{1}$ http://www.tigr.org
    ${ }^{2}$ http://www.arabidopsis.org

[^13]:    ${ }^{3}$ http://www.yeastgenome.org/
    ${ }^{4}$ http://mips.gsf.de/genre/proj/yeast/

[^14]:    ${ }^{1}$ For GNU C++ on Windows XP and Solaris the highest factorial is 170 !

[^15]:    ${ }^{2}$ From Counts Control Charts, eHandbook of Statistical Methods(6.3.3.1.)
    http://www.itl.nist.gov/div898/handbook/pmc/section3/pmc331.htm

[^16]:    ${ }^{3}$ This can be confirmed empirically

[^17]:    ${ }^{1}$ MIPS website: http://mips.gsf.de
    ${ }^{2}$ GOC website: http://www.geneontology.org
    ${ }^{3}$ TIGR website: http://www.tigr.org
    ${ }^{4}$ For information on GO evidence codes see http://www.geneontology.org/GO.evidence.shtml

[^18]:    ${ }^{5}$ A second boxplot is displayed in Figure 5.3 where the data includes tandem duplicates and shows a marked increase in clustering over all functional classes.

[^19]:    ${ }^{1}$ The ExPASy (Expert Protein Analysis System) proteomics server of the Swiss Institute of Bioinformatics (SIB) is dedicated to the analysis of protein sequences and structures: www. expasy.org/.

[^20]:    ${ }^{2}$ Superfamily download site:
    http://supfam.mrc-lmb.cam.ac.uk/SUPERFAMILY/.
    ${ }^{3}$ This is the protein identifier given by the repository for the sequence (e.g. Genbank) and not to be confused with protein identifiers assigned in this work.

[^21]:    ${ }^{4}$ This was discovered later to an unsound approach and is discussed in more detail in Chapter 9.

[^22]:    ${ }^{5}$ Nucleotide sequences are usually available from the same download site as the corresponding protein or peptide sequences for each gene.

[^23]:    ${ }^{6}$ This is, in fact, impossible since multi-domain proteins are very likely to have multiple classifications.

[^24]:    ${ }^{1}$ Genbank is the name of a popular online protein sequence repository http://www.ncbi.nlm. nih.gov/Genbank/

[^25]:    ${ }^{2}$ The NCBI taxonomy database is not a phylogenetic or taxonomic authority and is used in this context as merely a guide.

[^26]:    ${ }^{3}$ Note that we are selecting those triples where the PMF value is lower than the threshold indicating a high confidence.

