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Investigation of L(+)-Ascorbic Acid with Raman Spectroscopy in Visible and UV Light

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Abstract: *Raman spectroscopy investigations of L(+)-ascorbic acid and its mono- and di-deprotonated anions (AH^- and A^{2-}) are reviewed and new measurements reported with several wavelengths, 229, 244, 266, 488, and 532 nm. Results are interpreted, assisted by new DFT/B3LYP quantum chemical calculations with 6-311++G(d,p) basis sets for several conformations of ascorbic acid and the anions. Raman spectra were measured during titration with NaOH base in an oxygen-poor environment to avoid fluorescence when solutions were alkaline. The ultraviolet (UV) absorption band for ascorbic acid in aqueous solution at ~247 nm was found to cause strong resonance enhancement for the ring C—C stretching mode (called **B**) at ~1692 cm^{-1}. The ascorbate mono-anion absorbs at ~264.8 nm giving Raman resonance enhancement for the same ring C–C bond stretching, downshifted to ~1591 cm^{-1}. Finally, for the ascorbate di-anion, absorption was found at ~298.4 nm with molar absorptivity of ~7,000 L mol^{-1} cm^{-1} and below ~220 nm. With UV light (244 and 266 nm), strongly basic solutions gave pronounced Raman resonance enhancement at ~1556 cm^{-1}. Relatively weak preresonance enhancement was seen for A^{2-} when excitation was done with 229 nm UV light, allowing water bands to become observable as for normal visible light Raman spectra.*

Keywords: Raman spectroscopy, ascorbic acid, ascorbate, X-ray structure, DFT calculation, conformation

Introduction

Recently, when studying ultraviolet (UV)-Raman spectra of food and beverages, we discovered interesting details in the resonance Raman spectra of the L-enantiomer of ascorbic acid, commonly also called vitamin C: No matter what kind of fruit juice, beer, or wine studied with 229 nm excitation, we did not observe anything other than the ascorbic acid, either naturally present or added for preservation. This nonlinear behavior with respect to Raman spectral intensity motivated the present study of ascorbic acid, $C_6H_8O_6$ or AH_2.

Ascorbic acid is a cyclic lactone (ester) containing an endiol group, —C(OH)=C(OH)—, as shown in Figure 1. The compound is acidic, as a consequence of electron delocalization in the ring system, making the OH group—labeled 3—prone to giving off its proton when in contact with neutral or basic solutions (1). Ascorbic acid is also a strong antioxidant, with a high reactivity toward oxygen. The acid participates
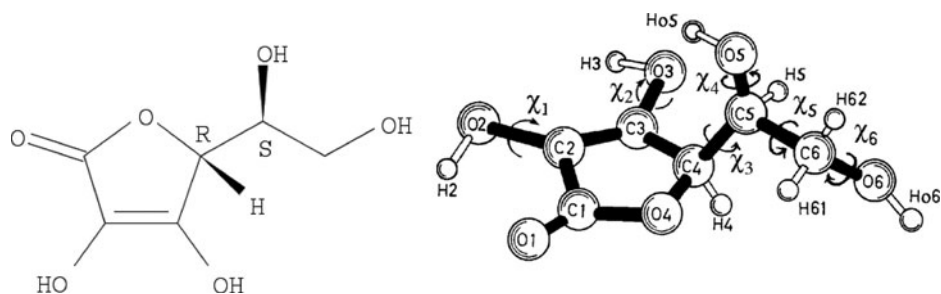
Figure 1. L-Ascorbic acid, C6H8O6 (systematic name (5R)-5-((1S)-1,2-dihydroxyethyl)-3,4-dihydroxyfuran-2(5H)-one), or here just AH_2. The endiol group is the $-C(OH)=C(OH)-$ part of the ring with the double bond between C2 and C3. Other atom numbering systems have been used in the literature (4, 24–26, 43). The dihedral (torsional) angles are defined as shown for atoms A–B–C–D, with A nearest the observer, who is looking down the B–C bond. The angle is that between the projected bonds AB and CD (+ clockwise).

in many redox reactions in natural biological systems and is commonly found in small concentrations in fruits and vegetables (2–6). The acid is soluble in water and is an important nutrition component for humans, primates, and guinea pigs, which all are incapable of synthesizing it from glucose due to their lack of the enzyme gulonolactone oxidase in the glucoronic acid pathway (7). A deficiency of ascorbic acid in a person's diet causes a hemorrhagic condition (scurvy) of the skin and gums with attack on collagen in binding tissues. The acid is sometimes added to food as a preservative; for example, it helps to decrease the browning of white wines (8) and to protect human skin from degradation in UV light (9).

The reducing behavior of AH_2—associated with the endiol group in the ring—limits the stability in solution and in the solid state under ambient environments. At physiological pH values, the ascorbic acid AH_2, depending on the concentration, splits off a proton to form the ascorbate ion AH^-. Chemical evidence, X-ray structure solutions, and ab initio studies indicate that the deprotonation involves the proton H3 on the O3 site; see Figure 1. The OH group on C3 has an acidity constant of pK_a ~4.1 to ~4.25, forming a highly stable AH^- mono-anion of ascorbic acid (4, 10–17). Other tautomers are not so stable; for example, the hydrogen on O2 can be moved to O1, forming a tautomer of ascorbic acid (4), but this is not the only possibility. The so-called iso-ascorbic acid, a stereoisomer of the ascorbic acid, occurs with inversion of the OH group at C5 but behaves in a quite similar way. The ionization of ascorbic acid is accompanied by a distortion of the planarity of the ring (18). In strongly alkaline solutions a further proton is lost to form one or more forms of the ascorbate di-anion A^{2-} (13, 19). The most stable di-anion in water solution seems to be obtained by deprotonation of the O2 site or eventually the O6 or O5 sites of the O3 deprotonated ascorbate mono-anion (4); see Figure 1. Formations of these di-anions are rare under physiological conditions requiring a highly basic environment because pK_a for the ascorbate mono-anion is ~11.5 to ~11.79 (11, 14, 16, 17, 19). At even higher basic pH values isomerization at C4 may take place (20). The acid/base and oxidation/reduction reactions of ascorbic acid are coupled, and in highly basic solutions and under the presence of oxygen the molecules are easily oxidized to various radicals, forming in the end salts of the dehydroascorbic acid, $C_6H_6O_6$ (ascorbic acid that has lost H2 and H3 protons and two electrons) (19, 21–23). Quite stable free radicals of ascorbic acid seem to be formed via ionization of the O3 or O2 anions, and also a stable di-radical seems to exist via ionization of the O3 O2 di-anion (4).

Structural Determinations

The crystal structure of AH_2 is known after complete solutions by Hvoslef (24, 25), based on X-ray and neutron diffraction data, and a redetermination at 120 K was later obtained (26). The crystal structures of several salts of AH_2 have been obtained, including the sodium salt NaAH (10), as well as calcium (27–29), strontium (30), lithium (31), thallium (32), and other ascorbates (33). The crystal structures were often found to contain various amounts of hydrate water in addition to one or more ascorbate ions that look much like the AH_2 depicted in Figure 1, although without H3. Interestingly, in, for example, the calcium dihydrate and thallium salts, two different ascorbate ions are present in the unit cell and—as in AH_2—these ions assume quite different conformations (different torsion angles χ_1 and χ_3 around C2—O2 and C4—C5 bonds) (27–29, 32). In addition, we note that the hydrogen-bonding tendencies of O2 and O3 in certain ascorbate salts seem to favor the formation of anion dimers in solution (1, 12, 28, 34–37). No salt of the rather unstable A^{2-} ion is at hand, and no crystal structure thereof seems to have been solved.

Raman Vibrational Spectroscopy

In 1943 Edsall and Sagall (38) used filtered light from a mercury arc (the blue *e* and violet *k* lines at 435.8 and 404.7 nm, respectively) to excite Raman spectra of aqueous solutions. From comparing three different ascorbic-like compounds, assignments of bands due to AH_2 and AH^- were made, and it was concluded that the presence of the ring in the structures gave rise to strong signals in the Raman spectra and that signals from the side chains were not nearly as strong. In 1971 Hvoslef and Klæboe used a red helium–neon laser to study AH_2 as crystals and in aqueous dilution to supplement infrared absorption experiments. This pioneering work also included deuteration studies (39). Structure clarifications on L-ascorbic acid were later made by infrared (IR) and UV spectroscopy by, for example, Falk and Wojcik (40), Lohmann et al. (41, 42), and Ferrer and Baran (43). The acid and many salts were studied as solids and in aqueous solutions (also in D_2O between AgCl windows) by use of IR and ^{13}C-NMR spectroscopy (17, 34–37, 41–44). Panicker et al. (45) obtained Fourier transform infrared (FTIR) and FT-Raman spectra including surface-enhanced Raman spectra studies with Ag colloids (probably excited with a 1,064 nm Nd-YAG laser), and many of the vibrational modes of the acid were confirmed or reassigned. The influence of high pressures (up to 5.9 GPa) on the hydrogen bonding in AH_2 crystals has been studied (46), and extreme experiments have been performed with simple equipment in cold environments and at high altitudes (47). The temperature dependence (from 15 to 418 K) of the AH_2 Raman spectrum has been investigated, and a crystal phase change in the temperature range 200–270 K has been identified (48). (It is interesting that this phase change, detected by short time scale spectroscopy, did not influence the average structure—based on long timescale diffraction experiments—compare (24)–(26)—but such behaviors have been seen for others crystals, as discussed in, for example, (49–51).) Infrared spectra of AH_2 in the polycrystalline state and in aqueous solution have been presented by Bichara et al. (52). Most recently, IR and Raman spectra of ascorbic acid were given and their vibrational origin discussed by Singh et al. (53) and Yadav et al. (54) (the last report repeats much of the work in the first, including some printing errors).

Many Raman spectra in the published literature are incomplete or of minor quality and the ascorbate salts have not been well studied. Consequently, we found it necessary to critically review the literature and perform new measurements as reported in the following sections.

Quantum Chemical Modeling

Early ab initio calculations for AH_2 and AH^- were reported in 1976 by Carlson et al. (55), who started from the molecular geometry of the crystallographic data. Later, Al-Laham et al. (56) performed an STO-3G conformational analysis of the acid by forcing the ring geometry to be constant and optimizing only the conformation of the side chain. In 1997, Milanesio et al. (26), apparently not aware of the previous works, made extensive Hartree-Fock (HF) and density functional theory (DFT) calculations with B3LYP/6-311+G(2d,2p) basis sets. They described several conformers of the isolated AH_2 molecule, including a global minimum conformation called G, characterized by four intramolecular hydrogen bonds. Apparently independent of this, in 1998 Mora and Melendez (57) optimized 36 conformers of the AH_2 molecule at different levels of theory (RHF/6-31G, RHF/6-31G(d,p), RHF/6-311+G(d,p), and MP2/6-31G(d,p)) and described several optimized molecular geometries of low energy, including one close to the so-called crystallographic B structure of the solid (note that their results are given for the mirror image D-AH_2). O'Malley (58) modeled the effect of hydrogen bonding by considering up to three water molecules in the first solvation shell. In 2003, Juhasz et al. (15), based on DFT/B3LYP/6-31G(d) type calculations, elaborated on the effects of conformation on the acidity of the AH_2 acid and found a global minimum with a minimum energy of -684.755581553 Ha in the gas phase. Later, in 2006, Dimitrova (59), not citing these previous works, reported results based on SCF/6-31G(d,p), B3LYP/6-31G(d,p), and B3LYP/6-31++G(d,p) models, and she obtained vibrational frequencies of L-AH_2 (alone and with five nearby water molecules) and correlated her frequencies with the experimental results of Hvoslef and Klæboe (37). Because the ascorbic acid has several hydrogen bond accepting and donating sites, these few water molecules are not sufficient for a good model, and more water molecules should be necessary to complete the first solvation shell. Geometry optimization of species in aqueous solution by use of a continuum model offers an attractive alternative. Accordingly, Allen et al. (4) have made a comprehensive study of ascorbic acid conformers, including some tautomers, some anions, and radical species in an aqueous conducting polarization continuum model and in the gas phase, using the DFT/B3LYP/6-311++G(d,p) high level method. Costanzo et al. (23) have added a further study of much the same content in the gas phase but including molecular dynamics on AH^- and the radical anion A^{*-} modeled in aqueous solution. Shimada et al. (46) also calculated the Raman spectrum of AH_2 starting from the established crystal structure, and Bichara et al. (52) reported further DFT calculations without referencing much of the previous work. Finally, Singh et al. (53) and Yadav and others (54) have reported comprehensive structural and vibrational studies of AH_2 and the AH_2^- and AH_2^+ radical ions using DFT methods and reporting optimized geometric structural, experimental vibrational spectra (harmonic frequencies along with IR intensities and Raman activities and depolarization ratios), as well as thermodynamic properties.

By reviewing these reports, it seems that many of the researchers have not read most of the prior literature on the subject. This is reflected in the many different numbering schemes for the atoms in the ascorbic acid and is why we are motivated to give a preferred definition of the atom numbers—based on Hvoslef's work (24, 25)—as proposed in Figure 1. The numbering problem makes it rather cumbersome to compare the many different previous reports, but in general the researchers have obtained rather consistent results.

To complement the many previous calculations we found it necessary to perform a comparative study on the AH^- and A^{2-} anions, as well as similar recalculations on the AH_2

molecule. It appeared that the Gaussian 03W program (60) could give reasonably reliable results at the DFT/B3LYP/6-311++G(d,p) level (61).

UV Resonance Raman Spectroscopy

In 1946, Harrand and Lennuier (62)—when exciting some yellow crystals with yellow light—noticed an intimate connection between the absorption and an enhancement of certain vibrational transition bands related to the chromophore. The effect was later named resonance Raman (RR) and a short review was recently given (63). The enhancement means that much more intensive spectra can be obtained and applied analytically but an inevitable problem is that samples get heated and often destroyed because of the absorption; however, certain samples can intentionally be moved, thereby avoiding decomposition (64–66).

It has been known for long time that ascorbic acid absorbs in deep UV; the UV spectra of ascorbic acid/ascorbate in aqueous solution, for example, show $\pi \rightarrow \pi^*$ absorption peaks at $\sim$243.5 and $\sim$265.5 nm (11) while being transparent in other ranges. Large variations in peak position and absorptivity have been reported, with values depending on concentration and pH, due to dissociation of the acid and the reactivity with oxygen. The molar absorptivity at these wavelengths is perhaps about $\sim$9,560 and $\sim$14,560 L mol^{-1} cm^{-1}, respectively (9, 11, 14, 67). The absorption bands have been used for analytical purposes in many investigations (22, 68, 69).

In a search for UV absorbers it was recently discovered that divalent ascorbate anions embedded in a calcium carbonate lattice could be used (16). The filter solid was prepared by dripping aqueous solutions of $CaCl_2$ into Na_2CO_3 solutions containing dissolved ascorbic acid that is transformed into divalent ascorbate anions by the base. The filter material gains stability due to the absence of O_2 molecules inside $CaCO_3$. The optical absorption was seen in the UV B region (280–315 nm) and was ascribed to the absorption of A^{2-} ions at 292 nm in the $CaCO_3$ lattice and at 297.5 nm in aqueous solution (16).

Interestingly, there has been little specific mention in the literature that RR spectroscopy could be useful for studies on ascorbic acid compounds. According to the strong UV light absorptions, AH_2, AH^-, and A^{2-} should exhibit strong RR enhancements when excitation is done with wavelengths within the UV range. The close connection between the absorption process and the resonance enhancement has been examined comprehensively by, e.g., Albrecht (70) and Hassing and Sonnich Mortensen (71).

By resonance Raman spectroscopy it may be possible to detect the presence of very small amounts of compounds due to the strong signal enhancement. For instance, Tuschel et al. (72) have shown that small amounts of explosives could be detected by using resonance-enhanced Raman spectroscopy with a 229 nm deep ultraviolet (DUV) laser. However, it must be done with care because the RR effect may destroy the normal Beer-Lambert-Bouguer type of relation. The signal needs not be linearly related to the concentration of the resonating chromophore (63, 73–75) and the resolution of UV Raman spectra may sometimes be limited (76). Raman spectroscopy performed with DUV laser lines is known to have the possibility to give spectra with little fluorescence (77). Thus, Loppnow et al. (78) reported several UV Raman spectra of aromatic hydrocarbons with very little fluorescence for compounds like toluene and naphthalene excited within their absorption bands. These aromatic hydrocarbons exhibit bands at about 1600 cm^{-1}, assignable to the C=C vibration or ring stretching, and these modes are strongly active in RR (78).

Therefore, it seemed worthwhile to examine ascorbic acid by DUV Raman spectroscopy.
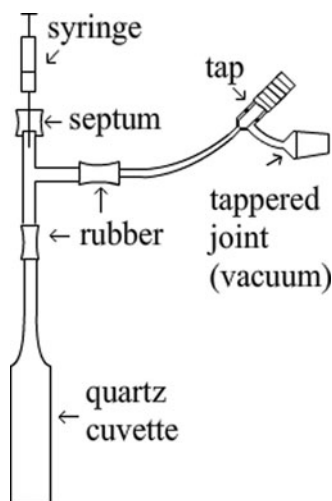
Figure 2. Raman cell for titration experiments in oxygen-free pure argon atmosphere.

Experimental

The compounds L(+)-ascorbic acid ($C_6H_8O_6$, CAS Reg. No. 50-81-7) and L(+)-sodium ascorbate ($NaC_6H_7O_6$, CAS Reg. No. 134-03-2) were obtained in highly pure states from Sigma Aldrich (St. Louis, MO, USA), and spectra were obtained directly on the solid salts or on solutions in cuvettes. Solutions of AH_2 in H_2O were prepared by use of freshly boiled cold deionized and pure normal or heavy water ($\sim$90% D_2O). Control of pH was obtained by titration of AH_2 solutions with 1.0 M and 4.2 M oxygen free NaOH solutions, which were standardized with 1.0 M HCl solution. A tight titration apparatus (illustrated in Figure 2) was needed to prevent oxygen from the air penetrating into the solution and forming radicals due to oxidation. Suprasil quartz cuvettes with stems were fitted via rubber tubing to Pyrex glass tube T-connections with two entrances. One entrance was fitted to a valve for evacuation and oxygen-free argon atmosphere refilling. The second entrance was a closed rubber septum that allowed for injection of solutions of ascorbic acid, HCl, or NaOH, respectively. In this way titrations in highly oxygen-poor environments could be performed (Figure 2) by adding ascorbic acid and/or NaOH solutions through the syringe and intensively shaking to obtain solutions of L(+)-sodium ascorbate and L(+)-disodium ascorbate ($Na_2C_6H_6O_6$, CAS Reg. No. 21547-69-3).

Visible light Raman measurements were carried out directly on the powders or on solutions in quartz cuvettes. We used a DILOR-XY Raman spectrometer with a macroscopic sampling stage from Horiba-Jobin-Yvon, Ltd. (Villeneuve d'Ascq, France) (79) and a green 532 nm wavelength Spectra-Physics (Santa Clara, CA, USA) Millennia module based on a 1,064 nm Nd:YVO$_4$ laser and a crystalline LiB_3O_5 frequency-doubling device for the excitation. A Supernotch-Plus filter (Kaiser Optical Systems, Inc., Ann Arbor, MI, USA) was used to filter off the Rayleigh scattered light. The spectrometer grating 10×10 cm^2 had 1,800 grooves per millimeter and spherical mirrors in Czerny-Turner configuration (76) to disperse the remaining light onto a charge-coupled device detector cooled to 140 K with liquid nitrogen. The entrance slit was set to 200 μm to obtain a resolution of about 8 cm^{-1}. Raman lines of liquid cyclohexane were used for calibration (80).

Ultraviolet Raman experiments were carried out with several UV laser lines and an InVia Reflex UV-Raman spectrometer from Renishaw plc (Gloucestershire, UK). The excitation light was filtered in a quartz prism monochromator with several slits and sent into the InVia instrument and through the attached microscope equipped with a traditional $15\times$ UV lens objective. The laser output power was adjusted to about 5–15 mW, of which about 20% or less reached the sample surface. UV excitation light of 229 and 244 nm was obtained by frequency-doubling of continuous 457.9 and 488.0 nm light from a Lexel Ar^+ gas laser (95-SHG-QS from Cambridge Laser Laboratories Inc., Fremont, CA) working by intracavity second harmonic generation in a β-Ba_2O_4 borate crystal (81). A solid-state FQCW 266-50 laser from CryLaS GmbH (Berlin, Germany) was used to obtain 266 nm. This laser emitted a highly stable continuous wave beam with a fixed wavelength generated as the fourth harmonic from a strong 1,064 nm laser (an Nd:YVO$_4$ crystal system in single frequency longitudinal mode operation, pumped by 808 nm from solid-state laser diodes and doubled in two stages). The light scattered from the sample was conducted from the microscope, through UV dielectric filters with long wavelength bandpass, dispersed in a single-stage spectrograph and detected with a UV-enhanced charge-coupled device detector, thermoelectrically cooled to a low temperature ($-40°$C). The entrance slit width was set to 40 μm to obtain a resolution of $\sim$8 cm^{-1} (76). The acquisition time was 2 $\times$ 20 s with automatic removal of cosmic spikes. The spectra were scaled but not further corrected for monochromator and detector efficiencies. Other experimental details are given elsewhere (81).

The UV absorption spectra of the solutions were measured through Suprasil quartz cuvettes by use of a UV-Vis recording double beam spectrophotometer (UV-2401PC) from Shimadzu Corporation (Tokyo, Japan). The thickness b of the sample layer in the cuvette was 5 mm. The absorbance A was measured during titration and was used to obtain the molar absorptivity ε by applying Beer's law ($A = \varepsilon\, b\, c$, where c is the concentration) and taking the stepwise dilution into account.

Results and Discussion

The calculations on the structures and the corresponding IR and Raman spectra are presented in this section and then the spectral measurements follow. We also describe what occurs with the spectra when AH$_2$ molecules react with base.

Calculations—Structures

Data from solved crystal structures are listed in Table 1 together with the model calculation results. Selected bond lengths, bond angles, and dipole moments are given with obtained corresponding minimum energies at the DFT/B3LYP/6-311++G(d,p) level (the lower the minimum energy, the more stable the configuration). The calculations were performed for the AH$_2$ molecule, the AH$^-$ anion, and the A^{2-} di-anion, all assumed to be in free gas-phase states (vacuum) as well as in hypothetical dissolved isotropic aqueous situations simulated by use of the polarizable continuum model (PCM) as implemented in the Gaussian program (60). Different starting point conformations were tried for the AH$_2$ species: First the average geometry of the Hvoslef crystal structure (10, 25) and then the "most stable molecule" identified by several researchers (e.g., Milanesio et al. (26), Singh et al. (53), and Yadav et al. (54)). For the AH$^-$ and A^{2-} anions, the optimizations started from similar geometries, after leaving out the H3 proton for AH$^-$ as well as the H2 proton for A^{2-}, as shown in the Figures 3–10.

Table 1

Selected structure data in solved crystal structures compared to DFT calculated[a] results for AH_2, AH^-, and A^{2-}

	AH₂ molecule					AH⁻ Ion modeling			A²⁻ Di-ion modeling		
		Conformer like in crystal[c]		Minimum conformers			Minimum conformers[c]			Minimum conformers	
Column 1	AH₂ crystal structure[b]	In gas phase	In water (PCM)	In gas phase[d]	In water (PCM)[e]	NaAH or LiAH crystal X-ray structure[f]	In gas phase	In water (PCM)[e]	In gas phase	In water (PCM)	In water (PCM)[e]
	2	3	4	5	6	7	8	9	10	11	12
Structure spectra		Figure 3 Figure 11			Figure 4 Figure 12			Figure 5 Figure 13		Figure 8	Figure 9 Figure 14
Bond distances (Å)											
C1–O1	1.216(2)	1.205	1.205	1.204	**1.216**	1.233(6)	1.226	**1.229**	1.221	1.225	**1.234**
C2–O2	1.361(2)	1.355	1.355	1.355	**1.355**	1.384(5)	1.383	**1.381**	1.283	1.302	**1.317**
C3–O3	1.326(3)	1.350	1.350	1.343	**1.334**	1.287(5)	1.264	**1.268**	1.368	1.351	**1.294**
C1–O4	1.355(2)	1.364	1.364	1.377	**1.366**	1.358(5)	1.395	**1.389**	1.394	1.383	**1.416**
C4–O4	1.444(2)	1.445	1.445	1.450	**1.449**	1.448(5)	1.439	**1.443**	1.446	1.447	**1.444**
C5–O5	1.427(3)	1.419	1.419	1.413	**1.419**	1.410(5)	1.433	**1.434**	1.387	1.402	**1.428**
C6–O6	1.431(4)	1.428	1.428	1.424	**1.426**	1.423(5)	1.431	**1.429**	1.435	1.432	**1.433**
C2–C3	1.338(2)	1.338	1.338	1.340	**1.347**	1.373(6)	1.383	**1.381**	1.381	1.373	**1.398**
C1–C2	1.452(3)	1.464	1.464	1.457	**1.454**	1.416(6)	1.421	**1.419**	1.506	1.482	**1.445**
C3–C4	1.493(3)	1.502	1.502	1.499	**1.500**	1.516(6)	1.538	**1.532**	1.492	1.496	**1.518**
C4–C5	1.521(4)	1.540	1.540	1.543	**1.541**	1.536(6)	1.535	**1.536**	1.551	1.547	**1.544**
C5–C6	1.521(3)	1.525	1.525	1.538	**1.533**	1.503(6)	1.531	**1.529**	1.555	1.546	**1.534**
Angles (°)											
C4–O4–C1	109.1(2)	109.7	109.7	109.5	**109.6**	108.0(3)	107.4	**108.0**	108.1	108.1	**107.5**
O4–C1–C2	109.5(2)	108.6	108.6	108.3	**109.2**	110.6(4)	110.0	**109.9**	111.2	111.5	**111.4**
C1–C2–C3	107.8(2)	108.5	108.5	108.8	**108.0**	109.5(4)	110.9	**110.6**	103.2	104.1	**106.4**
C2–C3–C4	109.5(2)	109.3	109.3	109.5	**109.6**	105.8(4)	104.6	**105.4**	112.6	111.9	**109.2**
C3–C4–O4	104.0(2)	104.0	104.0	103.9	**103.6**	105.2(4)	106.9	**106.0**	104.6	104.2	**105.4**
O4–C1–O1	121.4(3)	124.5	124.5	123.6	**121.8**	120.4(4)	121.2	**120.5**	118.6	119.1	**117.1**
O1–C1–C2	129.1(2)	126.9	126.9	128.1	**129.0**	129.0(4)	128.8	**129.5**	130.2	129.4	**131.5**
C1–C2–O2	124.6(2)	122.9	122.9	123.1	**124.8**	121.6(4)	120.5	**122.7**	123.3	123.6	**124.2**
O2–C2–C3	127.5(2)	128.6	128.6	128.1	**127.2**	128.7(4)	128.5	**126.7**	133.5	132.3	**129.4**
C2–C3–O3	133.5(2)	131.2	131.2	131.3	**132.0**	131.3(4)	134.7	**133.0**	129.9	130.2	**130.9**
O3–C3–C4	117.1(2)	119.5	119.5	119.3	**118.4**	122.9(4)	120.7	**121.6**	117.5	117.9	**119.9**
C3–C4–C5	114.8(2)	114.4	114.4	114.9	**114.2**	116.1(4)	112.3	**112.8**	114.6	112.4	**113.5**

O4-C4-C5	110.4(2) / 111.7(2)	110.7	110.7	110.3	**111.1**	110.3(4)	112.1	**111.8**	114.3	114.7	**111.5**
C4-C5-O5	112.7(2)	112.8	112.8	111.6	**111.7**	113.4(4)	108.6	**108.3**	109.6	107.9	**108.1**
C4-C5-C6	106.9(2)	111.6	111.6	111.9	**114.2**	110.1(4)	114.3	**114.1**	113.5	113.1	**113.5**
O5-C5-C6	108.0(2)	106.3	106.3	110.4	**112.1**	108.5(4)	109.2	**109.7**	108.8	109.3	**110.6**
C5-C6-O6		107.3	107.3	111.4	**114.0**	108.5(3)	109.6	**110.2**	106.8	107.5	**112.3**
Dihedral angle[g] (°)											
Angle χ_1 C1-C2-O2-H2	-2.90 / -35.10	-0.6	-0.6	1.4	**16.2**	-110.5 *135.2 (Li salt)*	0.2	**0.3**	No H2	No H2	**No H2**
Angle χ_2 C2-C3-O3-H3	-3.80 / -4.10	-3.4	-3.5	4.0	**1.9**	No H3	No H3	**No H3**	No H3 (H_O5 160.7)[h]	No H3 (H_O5 156.2)[h]	**No H3**
Angle χ_3 C3-C4-C5-O5	66.60 / 50.80	57.2	57.2	48.9	**58.9**	55.9 *62.6 (Li salt)*	-57.7	**-59.6**	-52.6	-49.6	**-52.8**
Angle χ_4 C4-C5-O5-H_O5	-67.60 / -99.10	-70.9	-71.0	94.4	**74.4**	-61.4 *-76.6 (Li salt)*	36.7	**39.5**	28.8	28.3	**31.2**
Angle χ_5 C4-C5-C6-O6	66.50 / 64.75	61.1	61.1	-78.6	**-72.4**	165.2 *-176.1 (Li salt)*	-173.6	**-177.4**	-159.2	-159.3	**73.1**
Angle χ_6 C5-C6-O6-H_O6	170.40 / -151.60	-168.5	-168.4	65.5	**84.3**	164.3 *162.1 (Li salt)*	42.4	**48.8**	25.5	28.0	**-49.4**
Dipole moment (D)		3.225	3.224	3.505[d]	**5.565**		2.060	**2.731**	5.251	9.123	**13.527**
Total energy (Ha)		-684.9891598	-684.9891598	-684.9945050[d]	**-685.0346989**		-684.4801816	**-684.5608318**	-683.7741241	-684.0558907	**-684.0658267**
Root mean square		0.0000058	0.0000117	0.0000041	**0.0000041**		0.0000186	**0.0000056**	0.0000057	0.0000100	**0.0000104**
Gradient norm											

[a]Calculated in this work by application of the DFT/B3LYP/6-311++G(d,p) basis set.

[b]Diffraction results by Hvoslef (25). Average of values for two individual AH_2 molecules. Standard deviations in parentheses. Dihedral angles are given for both molecules, calculated by us (note that his coordinates were given in a left-handed coordinate system).

[c]Starting from Hvoslef's average structures (Hvoslef (10) or Hvoslef (25)).

[d]Our values starting from most stable gas molecule. Similar results were found (with other definitions of atom numbers and signs) by Singh et al. (53) and Yadav et al. (54), who used Gaussian 03 and DFT/B3LYP with the smaller 6-31++G** basis set. Their energy and dipole moment were given as -684.99328 Ha and 3.325 Debye.

[e]The global minima in the water-phase model (most stable conformations) are shown in boldface.

[f]Diffraction results by Hvoslef (10). Dihedral angles were calculated by us (note that his coordinates were given in a left-handed coordinate system). Dihedral angles in italics are for the analogous Li^+ salt that also has only one individual AH^- ion in its structure (31).

[g]Dihedral angles are defined in Figure 1.

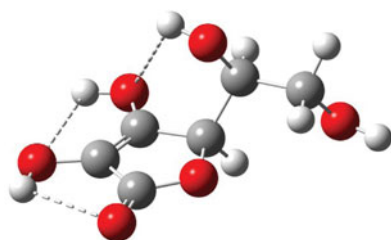[h]Ho5 is bound to O3 and the angle given is χ_2 = C2-C3-O3-Ho5.

AH₂

Figure 3. Ascorbic acid molecule, AH₂, as optimized by us starting from Hvoslef's average crystal structure (25). Calculations done with the Gaussian minimization procedure using DTF/B3LYP/G6-311++(d,p) with the water as solvent in the PCM solvation modeling. This is a local but not the global minimum of the single-molecule.

The values for calculated geometries, bonds, and angles (see Table 1) differ somewhat from the crystal structure values and depend on the kind of model used and the starting point. The problem of the calculation ending in a nonglobal minimum also needs to be addressed, as pointed out by many of the previous researchers.

For the AH₂ molecule optimizations, starting from an average conformation as in the crystal structure, there was hardly any influence of the kind of model (gas phase versus PCM). Specifically, the obtained minimum geometry (see Figure 3) did not depend on the presence or absence of the solvent (Table 1, columns 3–4), but the result did not give the global minimum. The most stable molecule could only be obtained starting from other guessed conformations. Moreover, the resulting minimum conformation was quite dependent on the kind of modeling used, the environment being either a vacuum or a PCM continuum; see Table 1, columns 5–6. As seen in Figure 4, the most stable AH₂ conformer (the global minimum) has five hydrogen bond interactions and does not look like the molecules in the crystal structure. The molecule in the "crystal" conformation, when alone as seen in Figure 3, forms only three intramolecular hydrogen bond interactions and is only in a local minimum state. In the crystalline state further intermolecular stabilizations are realized, thereby making the crystal the stable phase in reality. Data for the obtained most
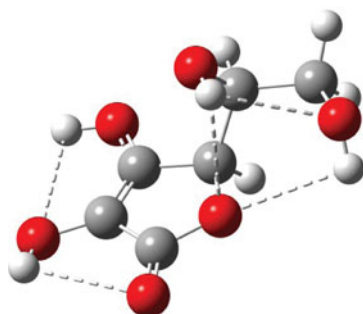

AH₂

Figure 4. Most stable structure of the ascorbic acid molecule, AH₂, as optimized by Gaussian minimization with the DTF/B3LYP/G6-311++(d,p) procedure and using water as the solvent in the PCM solvation modeling. The structure is reminiscent of that found in the gas phase by Milanesio et al. (26), Singh et al. (53), and Yadav et al. (54).

Table 2

Intramolecular hydrogen bond distances for AH_2, AH^-, and A^{2-} molecules in different minimized[a] conformations

	AH₂ molecule				AH⁻ anion				A²⁻ anion			
	Conformer like in crystal[b]		Other minimum conformer		Starting from conformer like		Other minimum conformers[b]		Starting from conformer like		Other minimum conformers[b]	
	In gas phase	In water (PCM)	In gas phase[c]	In water (PCM)[d]	Hvoslef[b] In water (PCM)	Yadav[c] In water (PCM)	In gas phase	In water (PCM)[d]	Hvoslef[b] In water (PCM)[d]	Yadav[c] In water (PCM)	In gas phase	In water (PCM)
Column 1	2	3	4	5	6	7	8	9	10	11	12	13
Structure spectra		Figure 3		Figure 4	Figure 6	Figure 7		Figure 5	Figure 9	Figure 10		Figure 8
Bond distances (Å)		Figure 11		Figure 12				Figure 13	Figure 14			
O1....H2	2.484	2.484	2.516	**2.655**	2.528	2.525	2.398	**2.515**	**No H2**	No H2	No H2	No H2
O2....H3	2.725	2.725	2.714	**2.751**	No H3	No H3	No H3	**No H3**	**No H3**	No H3	No H3	No H3
O3....Ho5	3.083	3.083			1.826		1.795	**1.873**	**1.727**		1.008	1.059
Ho5..O5					0.982			**0.993**	**0.993**		1.687	1.473
O3...Ho5...O5					2.724	2.852		**2.658**	**2.658**		2.632	2.487
O4....Ho5			2.944	**2.676**								
O4....Ho6			2.170	**2.697**	2.095	2.061	2.187					
O5....Ho6								2.319	**2.009**	1.918	1.800	1.889
Ho6–O6												0.982
O5....Ho6–O6												2.600
O6....Ho5			2.178	**2.541**		2.274						
O6....Ho6									**0.969**			
Total energy (Ha)	−684.9891598	−684.9891598	−684.9945050	**−685.0346989**	−684.5596177	−684.558461	−684.4801816	**−684.5608318**	**−684.0658267**	−684.0613658	−683.7741241	−684.0558907

[a]Calculated in this work by application of the DFT/ B3LYP/6-311++G(d,p) basis set.

[b]Starting from Hvoslef's average structures; see Hvoslef (10) or Hvoslef (25).

[c]Our values starting from most stable gas molecule. Quite similar results were found (with other definitions of atom numbers and signs) by Singh et al. (53) and Yadav et al. (54), who used Gaussian 03 and DFT/B3LYP with the 6-31++G** basis set. Their energy and dipole moment were given as −684.99328 Ha and 3.325 Debye.

[d]The global minima in the water-phase model (most stable conformations) are shown in boldface.
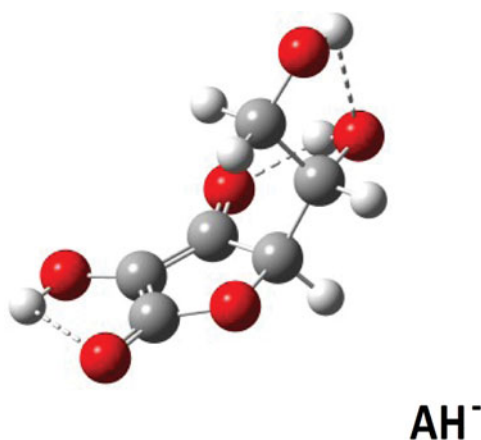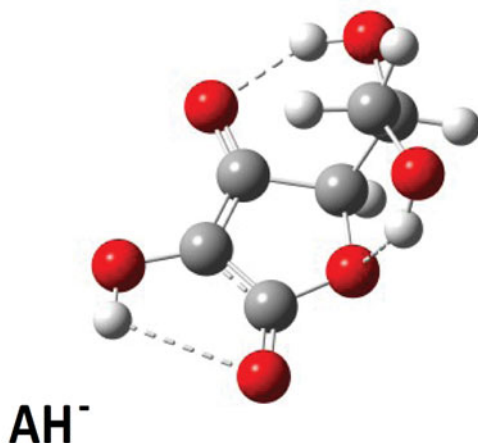
Figure 5. Optimized structure of the AH⁻ ion in the PCM water model as obtained after Gaussian minimization based on our guess. This global minimum conformer of AH⁻ has three internal hydrogen bonds (Table 2).

stable hydrogen bonds and the corresponding energies are summarized in Table 2 (columns 2–5).

For the AH⁻ anion it was possible in a similar way among the calculated results to find low energy conformers with 3–4 intramolecular hydrogen bond interactions (see Figures 5–7 and Table 2). In particular, the global minimum energy conformer (Figure 5) has an interesting geometry with protons bound as O1····H2–O2, O3····Ho5–O5, and O5····Ho6–O6. This conformation deviates markedly from the geometry found in the crystal structures of several known ascorbate salts. A brief summary of the geometries of these crystal structures is given in Table 3. The reason for the different values found for the

Figure 6. Optimized structure of the AH⁻ ion in the PCM water model as obtained after Gaussian minimization based on the crystal structures solved by Hvoslef (10). This local minimum conformer of AH⁻ has three internal hydrogen interactions but the energy is not as low as that of Figure 5 (Table 2).

Table 3

Calculated dihedral (torsion) angles χ_1, $\chi_3 - \chi_6$ for the ascorbate ion minimum energy conformer (Figure 5) compared with similar angles in selected crystal structures[a]

Dihedral angles in degrees, defined in Figure 1	Ascorbate minimum conformer	Lithium ascorbate (31)	Sodium ascorbate (10)	Calcium di-ascorbate dihydrate (27–29)		Strontium diascorbate dihydrate (30)		Thallium ascorbate (32)	
χ_1 C1–C2–O2–H2	0.3	135.2	–110.5	–154.8	–118.3	–142.3	–120.7	Missing	Missing
χ_3 C3–C4–C5–O5	–59.6	62.6	55.9	169.9	–69.2	170.4	–69.9	55.6	61.1
χ_4 C4–C5–O5–H$_O$5	39.5	–76.6	–61.4	–39.9	–47.6	–44.5	–58.7	Missing	Missing
χ_5 C4–C5–C6–O6	–177.4	–176.1	165.2	–74.4	–73.2	–70.6	–72.5	166.5	64.0
χ_6 C5–C6–O6–H$_O$6	48.8	162.1	164.3	Missing	141.2	97.9	135.6	Missing	Missing

[a]The angles were calculated by us using data from the CCSD database and their Mercury program. Data for some hydrogen atoms are missing in the database.
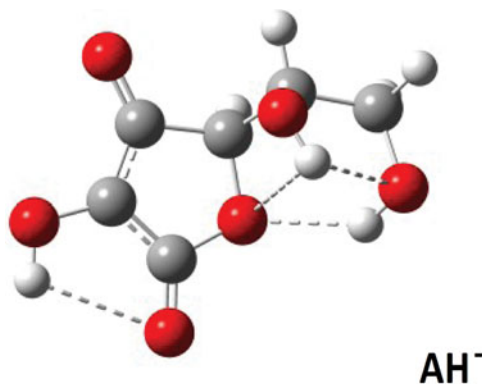
Figure 7. Optimized structure of the AH$^-$ ion in the PCM water model as obtained after Gaussian minimization based on the results of Singh et al. (53) and Yadav et al. (54) for AH$_2$. This local minimum conformer of AH$^-$ has four internal hydrogen interactions but the energy is not as low as that of Figure 5 (Table 2).

torsional angles (defined in Figure 1) is that the realized energy minimum in each crystal depends on the particular interactions in that crystal. In contrast, the global minimum conformation of our single molecular AH$^-$ anion looked different, independent of whether the environment was modeled in the gas phase or by the PCM (compare columns 8–9 in Table 1). This puts a limit on the applicability of our simple one-molecule modeling results with respect to predicting the exacct properties of the different crystals.

Finally, for the A^{2-} di-anion, low energy conformers with intramolecular hydrogen bond interactions were found in the gas phase and in the aqueous PCM environment models. In the gas phase, the global minimum energy conformation was not particulary distinct: Different conformations gave energies not much different (an example is given for the gas phase in Table 2, column 12). When the modeling was done via PCM, the situation
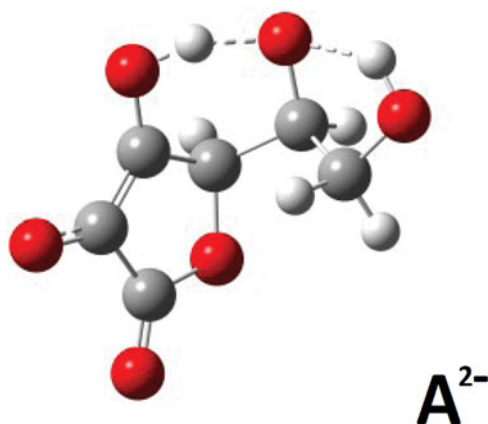

Figure 8. Optimized structure of the A^{2-} ion in the PCM water model as obtained after Gaussian minimization based on a guess. This local minimum conformation of A^{2-}, rather similar to a similar gas-phase conformer (Table 1), has two internal hydrogen interactions but the energy is not as low as that in Figure 9 (Table 2).
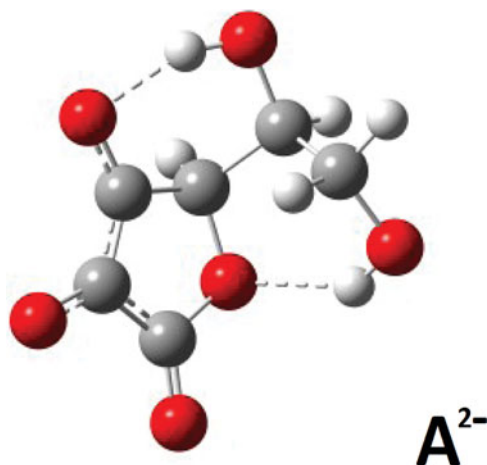
Figure 9. Optimized structure of the A^{2-} ion in the PCM water model as obtained after Gaussian minimization starting from a guessed structure derived from the crystal results by Hvoslef (10, 25). This global minimum conformer of A^{2-} has two internal hydrogen bonds (Table 2).

was about the same. Selected results are shown in Table 1 (columns 10–12) and Table 2 (columns 10–13) and corresponding structures are depicted in Figures 8–10. One PCM minimum conformer (depicted in Figure 8, and rather similar to the gas-phase conformer; see Table 1, columns 10–11) has protons bound as O3$\cdots$Ho5─O5 and O5$\cdots$Ho6–O6 (Table 2, column 13), but this is not the global minimum conformer; that honor must be shifted to the conformer shown in Figure 9. The conformer in Figure 9 presumably owes its stability to its two short internal hydrogen bond interactions O3 . . . .Ho5. . .O5 and O4 . . . .Ho6 . . . .O6. In addition, the conformer in Figure 9 is more stable than the conformer depicted in Figure 10, which only has a single O4$\cdots$Ho6─O6 interaction and some additional stabilization from its Ho5 placed centrally above the resonance ring system, at a distance of 2.38 Å from C3.
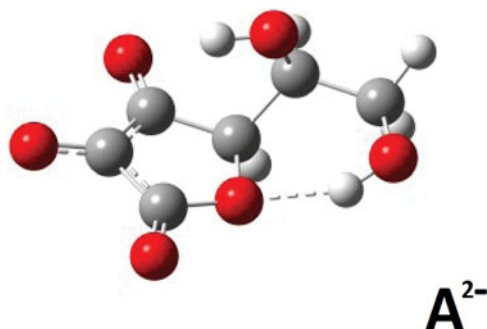

Figure 10. Optimized structure of the A^{2-} ion in the PCM water model as obtained after Gaussian minimization based on a guessed structure derived from the results for AH2 of Singh et al. (53) and Yadav et al. (54). This local minimum conformer of A^{2-} has one internal hydrogen interaction and the Ho5 is interacting with the π electrons above C3, but the stabilization is not as good as in the conformer of Figure 9 (Table 2).
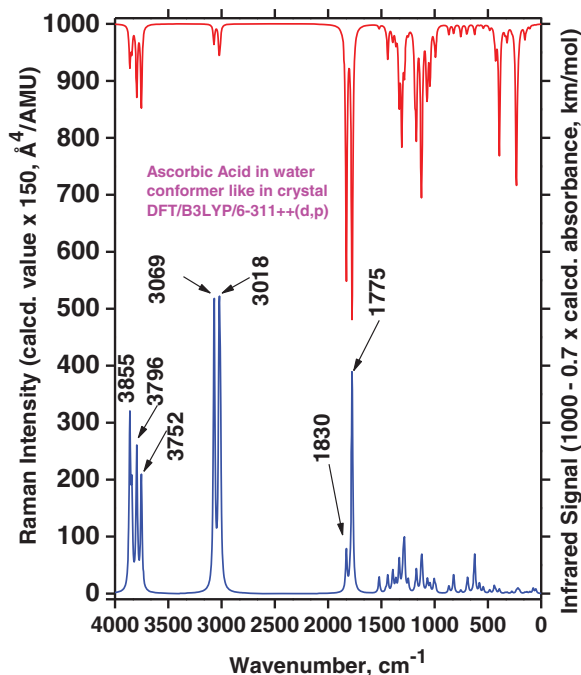
Figure 11. Raman and infrared spectra calculated for the conformer of ascorbic acid AH_2 simulated in water solution for the local minimum conformation as shown in Figure 3.

When trying to understand the behavior of ascorbate salts in solution it is worth remembering that the O2 and O3 charges seem to favor the formation of anion dimers via hydrogen bonding, and this tendency tends to complicate things further, as discussed in the literature (1, 12, 28, 34–37). These features have not been studied here.

Calculations—Spectra

Infrared absorption and Raman scattering spectra were calculated for the different conformations of AH_2, AH^-, and A^{2-}. Selected calculated spectra are shown in Figure 11–14 and the data are summarized in Table 4, to facilitate mutual comparisons. Selected modeling results for ions AH^- and A^{2-} are detailed in Table 5 with emphasis on the dihedral angles and the O—H modes.

By comparing spectra in Figures 11 and 12, calculated for the water medium (PCM) as an example, we clearly see differencies: Strong Raman bands are predicted for the "crystal conformation" (in Figure 3), at OH^- strechings at 3855, 3796, and 3752 cm^{-1}, whereas analogous bands are predicted at 3500, 3359, and 3307 cm^{-1} for the global minimum conformer (see Figure 4). Accordingly, the AH_2 spectra are significantly conformer dependent, as also expected. The same was true for the gas-phase results (not shown). The reason is that differences in the conformation geometry are substantially reflected in the couplings among the vibrational modes, leading to noticeable differencies in frequencies and intensities. This is similar to what has been found for other molecules such as amphetamine (82, 83) or for ionic liquids (84, 85).

Table 4

Selected wavenumber data[a] for IR absorption and Raman scattering strong bands of AH_2, AH^-, and A^{2-} compounds dissolved in water. Data were calculated by the Gaussian 03/DFT/B3LYP/6-311++G(d,p)/PCM

Modeling	AH_2						AH^-			A^{2-}		
	Conformer like in crystal			Global minimum conformer[b]			Global minimum conformer			Global minimum conformer		
Total energy (Ha)	−684.9891598			−685.0346989			−684.5608318			−684.0658267		
	Structure Figure 3 / Spectra Figure 11			Structure Figure 4 / Spectra Figure 12			Structure Figure 5 / Spectra Figure 13			Structure Figure 9 / Spectra Figure 14		
Assignment[b] Brief description of normal modes	Mode no. (cm^{-1})[c]	IR (km/mol)	Raman ($Å^4$/AMU)	Mode no. (cm^{-1})	IR (km/mol)	Raman ($Å^4$/AMU)	Mode no. (cm^{-1})	IR (km/mol)	Raman ($Å^4$/AMU)	Mode no. (cm^{-1})	IR (km/mol)	Raman ($Å^4$/AMU)
O6–H str	54: 3861	57	122	54: 3505[d]	250[d]	165[d]	50: 3771	83	105	48: 3708	260	145
O5–H str	53: 3842	33	63	53: 3491	209	126	49: 3455	536	179	47: 3206	1003	201
O3–H str	52: 3796	101	100	51: 3307	563	286	—	—	210			
O2–H str	51: 3753	120	81	52: 3360	515	300	51: 3776	117	—			
C6–H asym str	50: 3072	26	50									
C4–H str	49: 3069	3	131									
C6–H str				50: 3075	29	267	48: 3104	36	147	46: 3086	71	246
C6–H sym str	48: 3023	41	141									
C5–H str	47: 3012	16	91	49: 3013	50	574						
C4–H str				48: 2994	1	253						
C4–H & C5–H str ooph				47: 2978	3	412	46: 3043	50	172			
C6–H str							45: 3010	48	284			
C4–H, C5–H, C6–H str										45: 3016	241	823
C4–H, C5–H, C6–H str										44: 3005	75	582
C4–H, C5–H, C6–H str										43: 2997	39	287
C1 C2 C3 ring breath	46: 1829	367	18	46A: 1784	266	9	44A: 1746	203	57	42A: 1699	602	99
C1–O1, C2–C3 str	45: 1775	432	98	45B: 1699	1610	469						
C3–C4, C1–C2 str, ring OH bend	42: 1440	48	7	43C: 1433	103	27						
C1–O1, C2–C3, C2–O2 str, O3–H–O5 str							43B: 1604	2426	89	41: 1550	454	62
C–H bend	44: 1521	6	7				41: 1474	307	37			
C6H$_2$ sci, O3–H–O5 bend										40: 1498	454	101
C5–C6 str, C–H bend	43: 1458	3	1									
C–H, O–H bend, C–C str	41: 1399	7	1									
C5–C6 str, C6–O6–H bend										39B: 1495	1522	273
C2–O2 & C3–O3 str							39C: 1415	568	112	38: 1432	40	12

Table 4

Selected wavenumber data[a] for IR absorption and Raman scattering strong bands of AH$_2$, AH$^-$, and A^{2-} compounds dissolved in water. Data were calculated by the Gaussian 03/DFT/B3LYP/6-311++G(d,p)/PCM (*continued*)

Modeling	AH$_2$						AH$^-$			A^{2-}		
	Conformer like in crystal			Global minimum conformer[b]			Global minimum conformer			Global minimum conformer		
Total energy (Ha)	−684.9891598			−685.0346989			−684.5608318			−684.0658267		
	Structure Figure 3 / Spectra Figure 11			Structure Figure 4 / Spectra Figure 12			Structure Figure 5 / Spectra Figure 13			Structure Figure 9 / Spectra Figure 14		
Assignment[b] Brief description of normal modes	Mode no. (cm^{-1})[c]	IR (km/mol)	Raman (Å^4/AMU)	Mode no. (cm^{-1})	IR (km/mol)	Raman (Å^4/AMU)	Mode no. (cm^{-1})	IR (km/mol)	Raman (Å^4/AMU)	Mode no. (cm^{-1})	IR (km/mol)	Raman (Å^4/AMU)
C3-C4 str, C-H bend	40: 1392	16	8	37: 1330	43	44				36C: 1369	560	100
C1-C2, C3-C4 str, ring OH bend	39: 1364	20	4									
C2-O2, C4-C5 str	38: 1331	103	13							37: 1396	32	20
C3-O3 str, H4 def	37: 1309	161	1									
C-H, O-H bend	36: 1295	6	10	36: 1295	514	16				34: 1321	355	109
C-H, O-H bend	35: 1284	58	17	35: 1285	134	6	35: 1324	150	1	35: 1345	389	8
C1-C2, C2-O2 & C3-O3 str												
C3-C4 str, C-H bend	34: 1248	8	4	34: 1247	16	42	33: 1255	81	52	32: 1272	294	88
C-H, O-H bend	33: 1185	77	1	33: 1207	65	10				33: 1304	222	12
C-H bend	32: 1171	141	9	32: 1169	253	30				30: 1193	112	17
C1-C2, C3-O3, C3-C4 str	31: 1126	195	8	31: 1110	446	51				31: 1232	83	17
C1-O4, C3-C4, C2-O2 str	30: 1118	90	9									
C4-C5, C5-O5, C4-O4 str	29: 1075	65	1	29: 1090	95	13				27: 1067	5	11
C2-O2, C4-O4 & C1-O4 str							29: 1089	278	18			
C1-C2, C4-C5, C5-C6 str										29: 1151	106	18
C6H$_2$ rock, C4-C5 str										28: 1103	271	15
O4-C4, C5-C6, C6-O6 str	28: 1067	55	4	30: 1099	242	20						
C1-O4, C3-C4, C6-O6 str	27: 1043	76	3									
O3-H-O5 bend												
C5-C6 str	26: 1005	11	5							24: 1028	232	4
C6-O6 & C5-O5 str	25: 991	41	2	27: 1036	159	3	26: 1036	201	7	26: 1057	111	3
C4-O4 & C5-O5 str							25: 1030	353	7	25: 1032	164	15
C4-O4 & C6-O6 str												
C4-C5 & C2-O2 str	24: 866	15	3	26: 962	47	5						
C5-O5 str							23: 860	76	5	22: 871	9	15

Assignment[b]	Mode: cm⁻¹			Mode: cm⁻¹			Mode: cm⁻¹			Mode: cm⁻¹		
C4 oopl bend	23: 822	13	7	24: 840	55	12	23: 822	13	7	21: 789	17	23
C5–O5 tors	20: 690	13								20: 759	151	2
Ring def	19: 625	4	5	19: 632	10	21				19: 729	14	6
C4–C5 str, ring def	18: 579	15	13	18: 601	15	10				18: 716	15	26
Ring def				17: 572	23	14				17: 688	25	13
O1–C1–O4 bend										16: 607	16	3
C6–O6 tors												
Ring def				15: 403	384	3	13: 420	145	2	15: 578	20	49
C5–O5, C6–O6 tors, C3–O3 def				14: 377	98	1						
C2–O2 & C3–O3 def	13: 293	189	1	12: 355	169	2				11: 391	39	1
O5–C5–C6 bend	7: 218	28	1							9: 351	30	5
C5–O5 & C6–O6 tors												
Ring O–C ipl bend										7: 324	8	19
C2–O2 tors				5: 149	200	2	7: 217	123	1			
O3–H–O5 str										5: 223	35	5

[a] Calculated in this work by application of the 6-311++G(d,p) basis set. For structures see Table 1.

[b] Abbreviations for approximate vibrations: asym = asymmetric, bend = bending, breath = breathing, def = deformation, iph = in phase, ooph = out of phase, rock = rocking, sci = scissoring, str = stretching, sym = symmetric, tors = torsion (rotation). For modes A, B, and C see text and Figure 25.

[c] See also values calculated by Shimada et al. (46) (reported in their table 2, column 5).

[d] For example, for mode 54 (O3–H3 stretching) in the gas medium, Singh et al. (53) and Yadav et al. (54) got a wavenumber of 3798 and IR and Raman signals of 109 km/mol and 101 Å^4/AMU. We got identical values for that medium.

Table 5
Selected model results for ions AH⁻ and A²⁻ in water (PCM)[a,b]

Figures	AH⁻ ion conformers			A²⁻ di-ion conformers		
	Local minimum, starting from Hvoslef[c]	Local minimum, starting from Yadav[d]	**Global minimum**	**Global minimum, starting from Hvoslef[c]**	Local minimum, starting from Yadav[d]	Other local minimum
	Structure Figure 6	Structure Figure 7	Structure Figure 5 Spectra Figure 13	Structure Figure 9 Spectra Figure 14	Structure Figure 10	Structure Figure 8
Dihedral angle χ_1 C1–C2–O2–H2	1.2	−0.2	**0.3**	**No H2**	No H2	No H2
Dihedral angle χ_2 C2–C3–O3–H3	No H3	No H3	**No H3**	**No H3**	No H3	No H3 (Ho5 156.2)[e]
Dihedral angle χ_3 C3–C4–C5–O5	−54.9	52.0	**−59.6**	**−52.8**	53.0	−49.6
Dihedral angle χ_4 C4–C5–O5–HO5	35.4	85.6	**39.5**	**31.2**	−26.3	28.3
Dihedral angle χ_5 C4–C5–C6–O6	75.5	−74.1	**−177.4**	**73.1**	−63.1	−159.3
Dihedral angle χ_6 C5–C6–O6–HO6	−52.0	57.4	**48.8**	**−49.4**	34.2	28.0
Dipole moment (Debye)	2.258	7.006	**2.731**	**13.527**	16.076	9.123
Total energy (Ha)	−684.5596177	−684.5588461	**−684.5608318**	**−684.0658267**	−684.0613658	−684.0558907
Root mean square gradient norm	0.0000061	0.0000022	**0.0000056**	**0.0000104**	0.0000197	0.0000100

OH str mode no.	51:	51:	51:	48:	48:	48:
Wavenumber	3778	3780	3776	3708	3708	3708
Intensity[f]	IR 118, Ra 199	IR 111, Ra 207	IR 117, Ra 210	IR 260, Ra 146	IR 255, Ra 408	IR 260, Ra 145
Assignment[f]	O2–H2 str	O5–H$_O$5 str + O2–H2 str	O2–H2 str	O6–H$_O$6...O4 str[g]	O5–H$_O$5...O3 str[g]	O6–H5 str
OH str mode no.	50:	50:	50:	47:	47:	47:
Wavenumber	3760	3766	3771	3206	3673	3206
Intensity[f]	IR 182, Ra 124	IR 95, Ra 77	IR 83 Ra 105	IR 1003 Ra 210	IR 384 Ra 169	IR 1003 Ra 201
Assignment[f]	O6–H$_O$6...O4+π str[g]	O5–H$_O$5 str + O6–H$_O$6...O4+π str[g]	O6–H$_O$6 str	O5–H$_O$5...O3 str[g]	O6–H$_O$6...O4+π str[g]	O5–H5 str
OH str mode no.	49:	49:	49:	No H2 present	No H2 present	No H2 present
Wavenumber	3432	3734	3455			
Intensity[f]	IR 611, Ra 179	IR 200, Ra 145	IR 536, Ra 179			
Assignment[f]	O5–H$_O$5...O3 str[g]	O6–H$_O$6...O4+π str[g]	O5–H$_O$5...O3 str[g]	No H2 present	No H2 present	No H2 present

[a] Calculated in this work by application of the DFT/B3LYP/6-311++G(d,p) model. For structure data see Table 1.

[b] Dihedral angles are defined in Figure 1. Dihedral angles are in degrees. Global minimum values are shown in boldface.

[c] Starting from Hvoslef's average structures (Hvoslef (10) or Hvoslef (25)), deprotonating H3 and for A^{2-} also H2.

[d] Starting from results by Singh et al. (53) and Yadav et al. (54), with other atomic number definitions and deprotonating H3 and for A^{2-} also H2.

[e] H$_O$5 is bound to O3 and angle given is χ_2 = C2–C3–O3–H$_O$5.

[f] For modes calculated frequencies are given in cm^{-1}. IR and Raman signals are given in km/mol and $Å^4$/AMU, respectively.

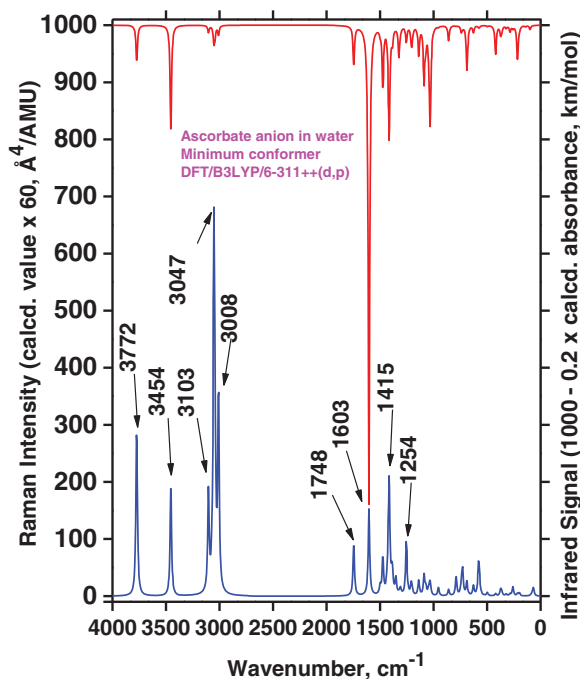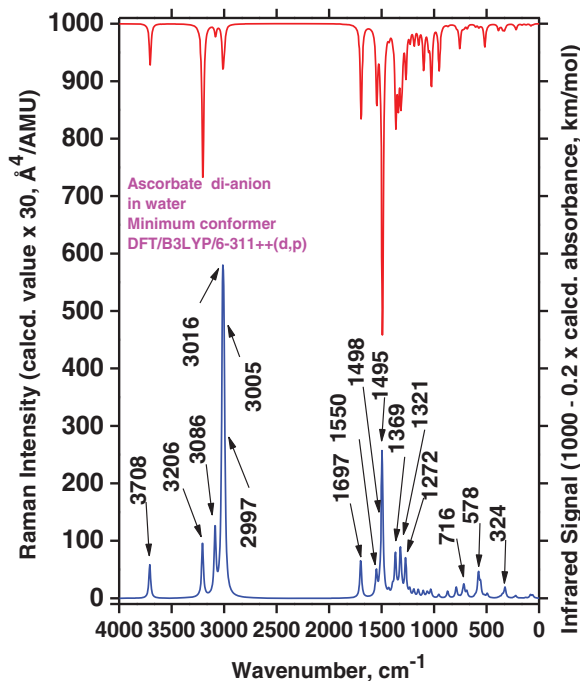[g] Influenced by hydrogen bonding.
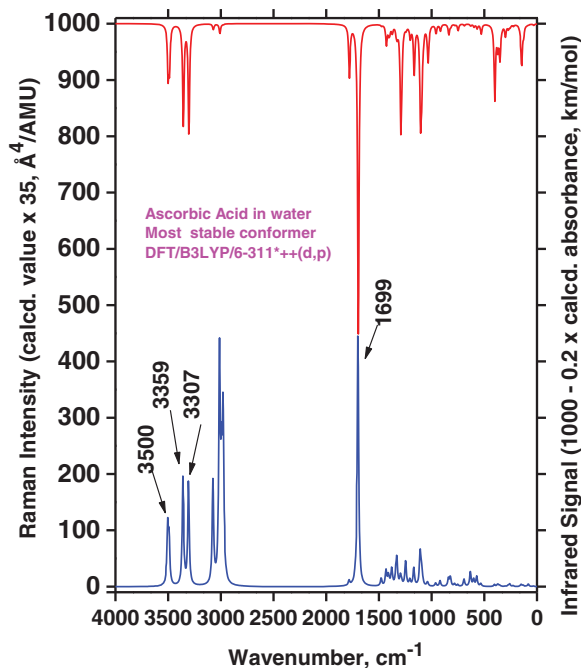
Figure 12. Raman and infrared spectra calculated for the most stable ascorbic acid AH_2 simulated in water solution for the conformation as shown in Figure 4.

Considerable changes also appeared in the spectra when the proton H3 was removed to simulate the ascorbate anion formation (see Figures 12 and 13). We compared the global minima conformers found for the water medium (PCM modeling) shown in Figures 4 and 5, trying to understand this quite complex process. When the H3 is lost, apparently Ho5 after energy minimization takes over the interaction with O3 (see Table 2). In Table 4 for AH_2 we see that three strong OH stretching Raman bands at 3500 cm^{-1} (from O5 and O6), 3359 cm^{-1} (from O2), and 3307 cm^{-1} (from O3) are transformed to two strong OH stretching bands for the AH^- ascorbate anion: A high-frequency band at 3772 cm^{-1} (from O2 and O6) and one at 3455 cm^{-1} (from O5; see also Table 5).

This quite complicated situation is carried further on when another proton (H2) is removed (from the ascorbate anion to form the ascorbate di-anion); see the A^{2-} global minimum conformer shown in Figure 9. The spectrum, shown in Figure 14, now contains only two strong OH stretching Raman bands, predicted at 3708 cm^{-1} (from O6) and 3206 cm^{-1} (from O5) in the PCM water solution simulation (see Tables 4 and 5).

Comparisons between Experimental Measurements and DFT Results

Reference Raman spectra for AH_2 and NaAH solids were measured for comparison with both the previous literature and the calculations. As always, the spectra consist of strong, medium, and weak intensity peaks corresponding to the vibrational transitions in the molecules (shown in Figure 15). In Table 6 the measured Raman shifts are compared to literature values and the bands are assigned to transitions between various levels corresponding to the respective stretching, bending, and deformation vibrations. As one can see in Table 6, band positions of the literature spectra and the measured values are often in

Figure 13. Raman and infrared spectra calculated for the ascorbate ion AH⁻ simulated in water solution for the conformation as shown in Figure 5.

Figure 14. Raman and infrared spectra calculated for the ascorbate di-anion A²⁻ simulated in water solution for the conformation as shown in Figure 9.

Table 6

Measured Raman spectral bands (in cm^{-1}) and assignments for ascorbic acid and sodium ascorbate solids, compared to literature values (39, 44–46, 48). Some data can also be found in Jehlička et al. (47).

| Ascorbic acid | | | | | | Sodium ascorbate | | |
This work Figure 15, Figure 16	Hvoslef and Klæboe (39)	Panicker et al. (45)	De Gelder et al. (44)	Shimada et al. (46)	Saraiva et al. 300K (48)	This work Figure 15, Figure 19	Hvoslef and Klæboe (39)	Assignments
3528 w				3526	3535			O–H str
3411 w				3410	3419	3304 m		O–H str
3317 vw					3324	3247 w		O–H str
3211 vw								O–H str
3001 w	3002 m	3004 w		3003	3010			O–H + C–H str
2977 w	2978 m				2985	2979 m	2978 s	C–H str
						2962 m	2965 m	C–H str
							2954 m	
						2950 m	2940 w	C–H str
2917 s	2916 vs	2919 s		2917	2924	2924 vs	2925 vs	C–H str
	2903 vs							
2866 w	2866 w	2879 wsh			2870	2892 w		C–H str
1746 w	1754 w	1758 w			1755	1703 s	1704 vs	C=C + C=O str A
1667 vs	1667 vs	1661 vvs	1667 vs	1667	1674	1598 s	1598 vsbr	C=C + C=O str B
1653 vs	1654 vs		1653 vs	1652	1659			C=C str
1497 m	1498 m	1484 m	1498 m	1497	1501	1483 w	1482 s	CH_2 sci
	1487 w				1464	1359 vw	1360 m	CH bend
1450 vw	1450 w	1452 w			1452	1330 w	1330 s	CH_2 bend (sci)
1371 w	1372 w	1371 w		1371	1375	1305 w	1305 s	ring def, CH_2 wag,
	1344 vw							C–O–H bend
1320 s	1321 s	1323 s	1319 s	1324	1324	1277 w	1275 s	CH_2 bend (wag) C ?

1295 w	1297 m		1296 m		1300			
1256 s	1258 s	1258 s	1256 s	1256	1261	1252 vw	1247 wbr	C–O–H bend (twi)
						1236 vw	1236 m	
1226 w	1226 w				1227			
1199 w	1200 m	1193 w			1202			
						1155 vw	1156 m	C–C(–O)–O str
					1133			
1128 s	1131 vs	1113 s	1130 vs	1129		1126 w	1127 m	C–O–C str, ring def
	1114 vw				1121			
1066 w	1066 m	1081 w			1073	1078 w	1077 s	C–O–C str, C–O–H bend
1028 m	1048 m	1048 m	1025 msh	1025	1048	1048 m	1048 s	C–O–C str ring O–H bend
	1039 vw				1037			
992 w	1026 s	984 w			1029	1024 w	1015 s	C–H and O–H bend
	993 w				995			
871 w	872 m	871 m	870	870	876	937 m	935 s	C4–C5 ring str
						888 w	888 s	C–C ring str
820 m	822 s	823 m	820 s	821	825	829 m	832 vs	OH oopl def
742 vw	742 w	742 sh	710 m		745	758 vw	757 m	OH oopl def/ring def
706 m br	711 m				713	718 w	719 m	OH oopl def/ring def
629 s	694 w	693 m	696 m		631	704 w	707 sbr	OH oopl def/ring def
588 w	629 s	621 s	629 s	628		653 m	653 vs	OH oopl def/C–C ring str
566 m	589 s	581 w	588 m	588	590		604 vw	
	567 s	564 m	566 s	566	570			

Table 6

Measured Raman spectral bands (in cm⁻¹) and assignments for ascorbic acid and sodium ascorbate solids, compared to literature values (39, 44–46, 48). Some data can also be found in Jehlička et al. (47) *(continued)*

Ascorbic acid						Sodium ascorbate		Assignments
This work Figure 15, Figure 16	Hvoslef and Klæboe (39)	Panicker et al. (45)	De Gelder et al. (44)	Shimada et al. (46)	Saraiva et al. 300K (48)	This work Figure 15, Figure 19	Hvoslef and Klæboe (39)	
492 vw	490 w					588 w	585 m	
476 vw	477 m	468 w			478	497 vw	496 w	C–O ipl def/ring def
						427 vw	427 m	
448 w	448 s	452 w	448		451	385 vw	383 vw	O–H wag
362 w	363 m		363		365	366 vw	369 vw	C–O ipl def
343 w	345 m		344		348	339 vw	338 s	O–H wag
						304 vw	304 m	O–H wag
295 vw	295 m		296		297			C–O bend
271 vw	273 w				267	267 vw	266 m	O wag (ring)
257 w	259 m		256		256	225 vw	227 w	
224 w	223 m		223		224	190 vw	194 vw	
210 w	208 m				209	175 vw	177 vw	C–OH bend
181 w	180 w				178	160 vw	163 vw	Lattice
163 w	163 m				164			Lattice
150 vw	148 m				98	140 m	141 m	Lattice
140 vw	138 m				87	116 w	116 m	Lattice
124 m	122 w				77		103 vw	Lattice
114 w	113 w							Lattice
	91 m						94 s	Lattice
	81 s							Lattice
	73 ssp				67		75 wbr	Lattice
	43 vw						58 w	Lattice

Abbreviations: v, very; w, weak; m, medium; s, strong; sh, shoulder; sp, sharp; br, broad; bend, bending; wag, wagging; def, deformation; str, stretching; sci, scissoring; ipl, in plane; oopl, out-of-plane, respectively.
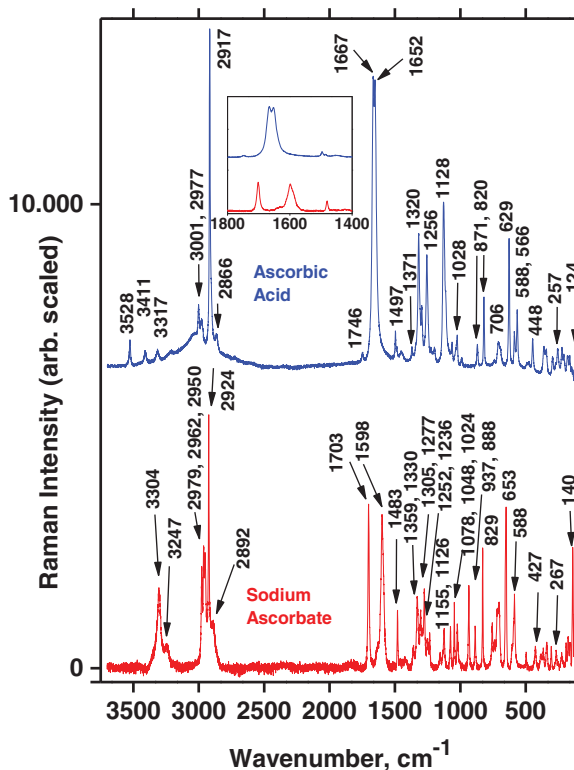
Figure 15. Reference Raman spectra for AH_2 and NaAH solids. Measurement details: Laser, 532 nm. Power level, $\sim$200 mW. Slit width, $\sim$8 cm^{-1}. Accuracy, $\pm$ 1 cm^{-1}. Assignments are given in Table 6. Insert shows details of the range from 1800 to 1400 cm^{-1}.

excellent accordance, although minor disagreements can be seen in some cases. Explanations for this could be that the known low crystal symmetries may make the spectra dependent on the crystallite orientations, and double refraction of the nonisotropic material may further influence the spectral appearances (86). This is probably why the careful and reliable results obtained by Hvoslef and Klæboe in 1971 (39) sometimes differ from certain newer measurements; in some cases our results are reminiscent of these old data but sometimes we obtained very good agreement with newer data; for example, those of Saraiva et al. (48) (except their instrument was probably miscalibrated to give wavenumbers consistently some 5 ± 4 cm^{-1} too high; see Table 6). Our general explanation for these deviations must be that the spectra depend heavily on wavenumber scale calibration, polarization, anisotropy, and crystallite orientation, as previously seen for many other crystal cases (87–89).

In Figure 15, it is clearly seen that ascorbic acid shows a weak band at 1746 cm^{-1} and a broad doublet band at 1667–1653 cm^{-1}. The doublet band is not present in sodium ascorbate, which only has a strong band at 1703 and another strong one at 1598 cm^{-1} (see the inset in Figure 15). According to the modeling for AH_2 and AH^-, these bands originate from coupled modes of C1=O1 and C2=C3 stretchings (Table 6). The splitted doublet in AH_2 most probably is due to intermolecular couplings between C1=O1 and C2=C3 stretchings in two adjacent AH_2 molecules. This doublet band of AH_2 (at $\sim$1660 cm^{-1})
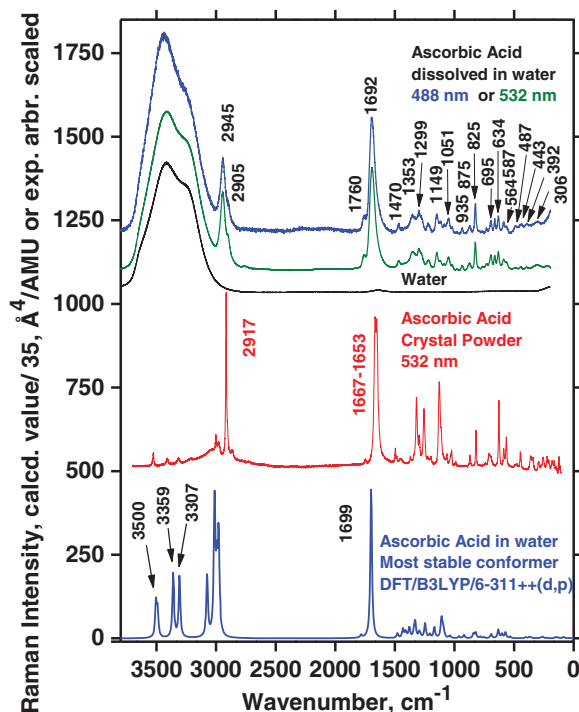
Figure 16. Measured and calculated Raman spectra for ascorbic acid. Top: AH_2 in concentrated aqueous solution, measured with 488 and 532 nm laser lines. The spectrum of water is included for reference (532 nm). Middle: AH_2 powder measured with excitation wavelength 532 nm. Bottom: Calculated spectrum for the most stable conformer (see Figure 4) as found by Gaussian modeling within a PCM water model. Assignments are given in Table 7.

can be used analytically; for example, to examine whether neutral vitamin C tablets contain mixtures of AH_2/NaAH crystals or a mixture of the acidic AH_2 and a base such as a carbonate.

    Measured Raman spectra of AH_2 dissolved in water and as crystal powder are shown in Figure 16, together with the Raman spectrum calculated for the minimum conformation simulated within the PCM aqueous environment (geometry as in Figure 4). As seen in Figure 16, the spectrum in the aqueous solution (top) is comparable to that of the crystalline phase (middle), except for the broad OH stretching feature at $\sim$3650 to $\sim$3070 cm^{-1}, as already discussed by Hvoslef and Klæboe (39). This means that no drastic rearrangement of the structure occurs by dissolution in water compared with the crystal, although the conformer equilibrium might shift. The experimental spectrum in solution is, of course, dominated by the presence of H_2O molecules giving rise to the water bands, with an envelope depending on temperature, pH, and presence of solutes (90, 91). We note that H_2O molecules were not directly incorporated into the modeling in Figure 16 (bottom) and therefore the OH stretching band envelope is absent from the calculated spectrum. In addition, there are AH_2 spectral differences and shifts between the aqueous solution and the crystal, indicating that the vitamin C molecules must be strongly affected by hydrogen bonding to the water molecules. Most distinctive in this respect are the Raman shifts from 2917 and 1667–1653 cm^{-1} for the solid, compared to 2945 and 1692 cm^{-1} for the acid

in solution (Table 7). These peaks represent the side chain C—H stretchings and the ring C1=O1 and C2=C3 stretchings, respectively, and it therefore seems natural that these peaks change upon dissolution in water.

No distinct sign was observed of any dissociation reaction of ascorbic acid to ascorbate, caused by the protolytic equilibrium with the water (1):

$$C_6H_8O_6(aq) + H_2O(l) \rightarrow C_6H_7O_6^-(aq) + H_3O^+(aq). \tag{1}$$
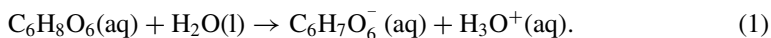
The solution spectrum in Figure 16 did not seem to change significantly when we added HCl, as also seen previously (39). The wavenumber values are given in Table 6 (solid) and Table 7 (solution). The overall similarity between the spectra—from powder, solution, and as calculated—indicates that the PCM aqueous environment model works reasonably well. Note that entirely correct wavenumber positions cannot be expected to result from the modeling, due to its simplicity and the fact that only a single molecular entity was considered. It has often been the practice in similar cases to "calibrate" the wavenumber scales by multiplying by a rather arbitrary constant near one (61), but we preferred not to do so (an extra parameter would, of course, make a better fit). Still, the accordance between the DFT calculations with water as solvent and the experimental Raman spectrum of AH_2 in real water solution seems rather remarkable (see Figure 16).

Visible laser lines of 532 (green) and 488 nm (blue) were used for the Raman measurements, giving quite identical results. The dependence on polarization was also studied (see Figure 17). Some of the bands (arrows) were highly polarized, and the observations were used to confirm the assignments by comparison to the calculated polarization values. Raman spectra of deuterated and normal aqueous AH_2 solutions are shown and compared in Figure 18, complementing the results of Hvoslef and Klæboe (39). The comparison reveals that many bands from isotopically undisturbed AH_2 molecules are still present in the freshly made D_2O solution. Thus, the exchange of hydroxyl protons with deuterium in D_2O solution is incomplete, in support of the previous conclusion by Hvoslef and Klæboe (39) based on neutron diffraction and deuteration IR absorption spectra. In particular, hydrogen-bonded O–H. . .O stretching bands seem to show their presence in Figure 18 at around 3440 cm^{-1}, in addition to the expected O–D. . .O stretching bands from D_2O seen at ~2400 cm^{-1}. The broad 3440 cm^{-1} band shape is interpreted as due to the many O–H. . .O microstates of hydrogen bonding interactions between AH_2 and the solvent OD_2. The AH_2 oxygen-bound hydrogens (or at least some of them) are not exchanged fast with deuterium. The O–D. . .O stretching band is broad as in ordinary water, and for the same reasons. The fact that the O–H. . .O stretching band envelope occurs at higher wavenumbers in D_2O solution than in ordinary water (at 3440 cm^{-1} compared to 3410 cm^{-1}) shows that it is not just the hydrogen content in our (~90%) D_2O/H_2O solvent mixture, although some part of the band may have that origin. The 1692 cm^{-1} band assigned to the ring C1=O1 and C2=C3 stretchings (mode B) is shifted to ~1685 cm^{-1}, and this shift—not surprisingly—shows that the C1=O part of the ring must also be interacting with the solvent.

Sodium ascorbate results are shown in Figure 19 and the wavenumber data are included in Table 7. The only Raman data available in the literature on ascorbate salts are those of Hvoslef and Klæboe (39).

To sum up, our observed bands for AH_2 and AH^- compare quite well with other spectral modeling results (4, 15, 23, 26, 46, 52–59) and with the available experimental bands in the literature (39–45). We note, however, that the AH_2 sample of Panicker et al. (45), presumably solid, seems to have been not entirely pure, because the Raman spectrum

Table 7

Measured Raman spectral bands (in cm^{-1}) and assignments for aqueous solutions of ascorbic acid and sodium ascorbates, compared to literature values (39, 44, 46, 48). Some data can be found in Jehlička et al. (47). Water bands are not included. For abbreviations, see Table 6

Ascorbic acid solution		Sodium ascorbate solution		Disodium di-ascorbate solution	Assignments
This work Figure 16 Figure 21	Hvoslef and Klæboe (39)	This work Figure 19 Figure 21	Hvoslef and Klæboe (39)	This work Figure 21 Figure 26	
2945 m	2944 mbr	2939 s	2933 s	2922 s br	C–H str
2904 sh	2904 msp	2895 br sh	2905 s		C–H str
	2863 vw				C–H str
1760 w	1762 mbr	1718 m	1717 vs	1701 w	C=C + C=O str A
1692 vs	1693 vsbr	1591 s	1594 vsbr	1556 s	C=C + C=O str B
1650 br sh	1503 w	1436 vw	1434 vw?		C=C str
1470 w	1470 m	1472 w	1360 mbr	1470 vw	CH$_2$ sci
1353 w, pol	1355 w		1325 w	1343 w br	CH$_2$ bend
1299 w	1299 m	1292 w	1295 s	1292 w sp	ring def, CH$_2$ wag, C–O–H bend C ?
			1280 s		
1277 vw	1273 vw		1242 m	1228 vw w	C–O–H bend (twi')
1220 vw sh	1218 mbr		1142 m	1117 w	C–C(–O)–O str
1149 m	1150 m	1143 w		1151 m sp	C–O–C str, ring def
1119 vw	1119 w	1113 w	1114 m	1116 vw	
1085 vw	1087 vw		1086 vw	1070 vw sh	C–O–C str, C–O–H bend
			1065 w		
1051 m, pol	1052 m	1041 m	1043 s	1043 w	C–O–C str
1020 w	1022 w	1020 w	1018 m	1021 vw sh	ring O–H bend

					Assignment
979 w	981 w		975 wbr	981 vw	C–H and O–H bend
935 w	937 mbr	929 m	931 s	937 vw	
875 w br	873 mbr	869 w	869 m	875 vw	C4–C5 ring str
825 s, pol	826 vs	831 m	832 vs	825 m sp	C–C ring str
	797 vw		804 wbr		OH oopl def
766 vw	768 vw	757 vw	762 w	764 vw	
730 vw		724 w	725 wbr	714 vw	
695 w br, pol	697 s	706 m	707 vs		OH oopl def/ring def
664 m	664 m	668 w	669 s	669 w	OH oopl def/ring def
634 m	633 s	640 w	640 s	642 vw	OH oopl def/ring def
587 w	589 m	603 w	605 vs	610 w	OH oopl def/C–C ring str
564 vw, pol	565 wbr				
487 vw	488 w		490 m		C–O ipl def/ring def
443 vw	445 mbr	436 vw	437 m		O–H wag C–O ipl def
392 vw	396 wbr		400 m br	350 vw	O–H wag
306 vw	305 wbr	304 vw sh	309 vs		O–H wag

Figure 17. Dependence of Raman spectra of AH_2 solution on polarization, as obtained with 532 nm excitation on the DILOR-XY instrument. VV and VH refer to vertically (V) polarized incoming beam being analyzed with a sheet polarizer (V and H) after 90° of horizontal (H) scattering. The temperature was 24°C.

showed signs of ascorbate ions at ~ 1484 cm^{-1} and their spectral resolution was low (doublet at 1667–1653 cm^{-1} not resolved).

Titration Experiments

To investigate more closely the behavior of AH_2 and the deprotonated forms AH^- and A^{2-} in water, we conducted titrations by adding small aliquots of NaOH solution. Oxygen-poor conditions were maintained inside quartz cuvettes as described in the Experimental section. During the titrations, typical Raman spectra were obtained using 488 and 532 nm laser excitation, as shown in Figures 20 and 21. The bottom spectra clearly show the dissolved AH_2 with the characteristic ~ 1692 cm^{-1} band present before the start of titration (pH $\sim$2). Vibration bands occurred at $\sim$1757, $\sim$1692, and $\sim$1353 cm^{-1}, respectively, for both the visible and DUV spectra, which is comparable with the literature (39). Then, along with addition of NaOH, the spectrum gradually changed to that of AH^-, developing the characteristic bands at $\sim$1719 and $\sim$1591 cm^{-1} (pH = $\sim$9, Figure 21). A weak vibration band was observed at 1430 cm^{-1} in the UV spectra and in the visible spectra, which also occurred between 1430 and 1440 cm^{-1}. As expected, there was no indication of any intermediate species. By further titration beyond the AH^- state (pH > 12), a strong
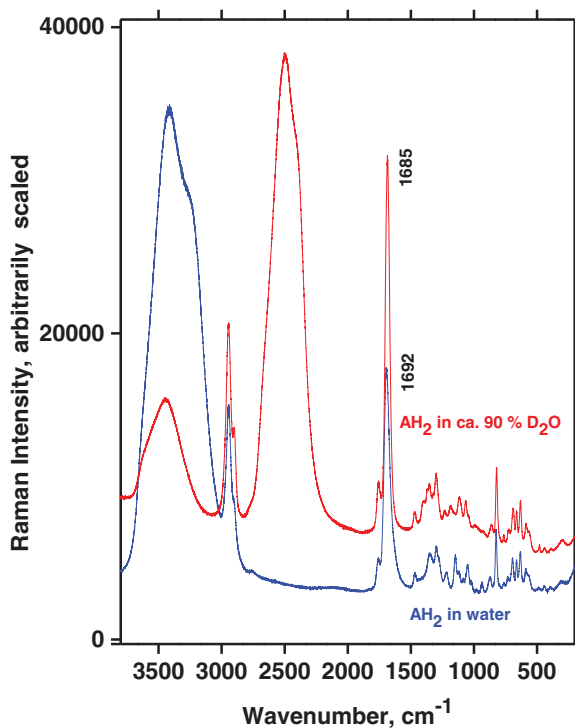
Figure 18. Raman spectra of normal and deuterated (D$_2$O/H$_2$O = ~90%) aqueous AH$_2$ solutions, as obtained with 532 nm on the DILOR-XY instrument. Solutions were freshly made and recorded at 24°C within 1 h after preparation.

fluorescence soon appeared and it became increasingly difficult to obtain good spectra, especially with the green laser line.

To avoid the fluorescence we were motivated to use deep UV excitation. Three different laser lines (229, 244, and 266 nm) were available, allowing for a study of the influence of these short wavelengths on the appearance of the spectra during the titration. Hence, spectra were recorded during the titration at times when the compositions corresponded to the presence of AH$_2$, AH$^-$, and A^{2-} in water solution. The results obtained with these wavelengths are illustrated in Figures 22–24 and are discussed in the following.

AH$_2$

The results for AH$_2$ are given in Figure 22. The water bands around 3440 cm^{-1} are clearly observable in spectra obtained with the visible laser line at 448 nm (as with other visible laser lines). In contrast, when the three UV wavelengths were used, the water bands were absent or not remarkable. The reason for this is that the UV light is absorbed and the occurrence of significant absorption-associated resonance Raman enhancement: The RR spectrum simply becomes so strong that the spectrum of water is not seen. The spectrum of the ascorbic acid is observed and must hence be enhanced to a considerable extent.

As mentioned an intense band occurs in all of the AH$_2$ spectra at ~1692 cm^{-1}. This band, due to a mode called B, is also visible in Figure 12, at 1699 cm^{-1} and is assigned to the ring C1=O1 and C2=C3 in-phase stretching mode, no. 45. This mode and two
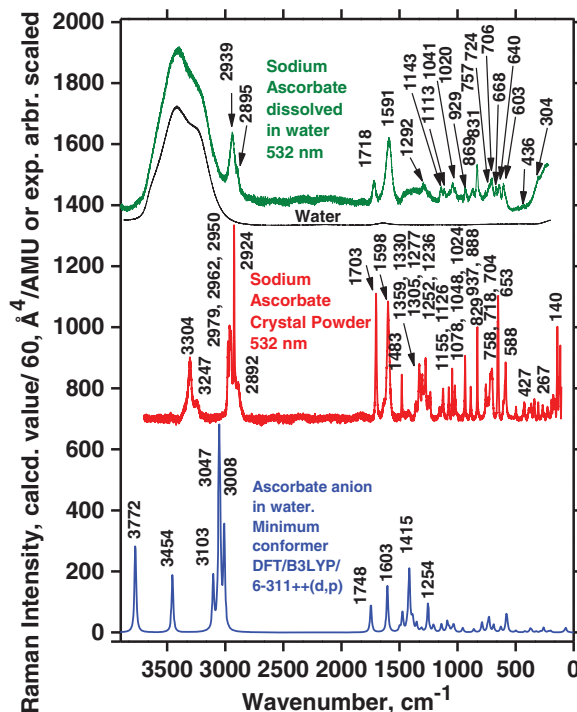
Figure 19. Comparison of ascorbate AH⁻ Raman spectra, obtained from an aqueous solution, from a crystalline powder sample, and as calculated.

other interesting vibrations called A and C, involving the ring in resonance, are depicted in Figure 25. The respective calculated wavenumbers, as well as the IR and Raman signal strength for the modes called A, B, and C, are given in Table 8. Mode 45 (B) is quite polarized (polarization ratio calculated to 0.21) in accordance with what is also observed; see Figure 17. Modes A and C are not as intense as mode B for AH_2 in water, probably because the three vibrations involve different variations of symmetry couplings between the electronic transition under absorption and the vibrations of C1—O1, C2—O2, and C3—O3, as shown in Figure 25. Mode C is not only related to the ring but also involves the side chain.

AH⁻

By the titration with OH⁻, a single proton can be removed from AH_2 to form AH⁻ and water (pH ~9). Four such typical experiments are shown in Figure 23. Again, very distinct water bands were seen near 3440 cm⁻¹ for measurements done with visible wavelengths. The water bands disappeared from the spectra when UV excitation wavelengths 266 and 244 nm were used; the rest of the spectrum of the ascorbate was observed and must hence be enhanced to a considerable extent. For measurements excited with the 229 nm line, water bands became perhaps weakly observable. The mode B vibration gave a very intense signal, occurring at a wavenumber shift of ~1591 cm⁻¹ (as for all measurements done on AH⁻). Compared to AH_2, vibration B for the deprotonated form, AH⁻, is shifted to a slightly lower wavenumber. Vibration A occurred at a wavenumber shift of ~1717 cm⁻¹, also the
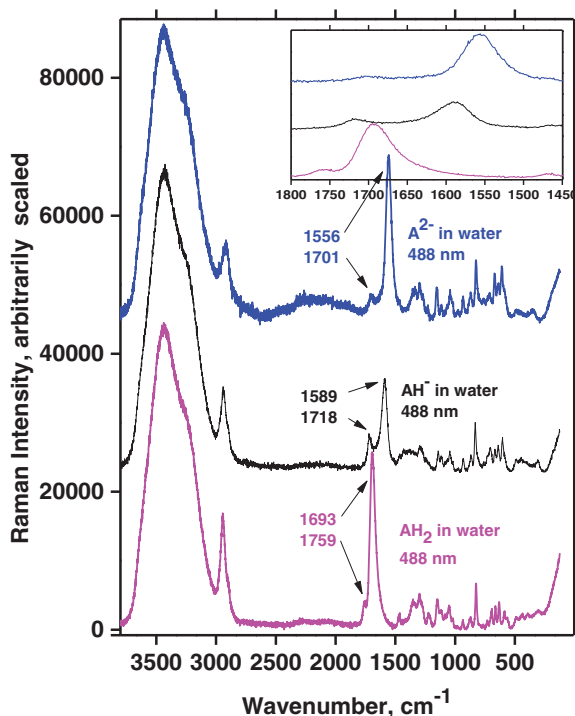
Figure 20. Raman spectra versus titration experiments on ascorbic acid dissolved in water. Bottom: Neat solution of ascorbic acid in oxygen poor water (pH = ~2). Middle and top: After addition of the calculated amounts of NaOH solution, NaAH, and Na_2A solutions were formed (middle, pH = ~9, and top, pH = >12). Laser excitation: 488 nm. Spectrometer: DILOR-XY.

same for all four excitation lines. The only signal not accounted for is the one occurring at 1652 cm^{-1} between bands for vibrations A and B when the excitation wavelength was 266 nm. This signal is probably due to a resonance enhancement of some overtone or combinational mode and is enhanced only for the 266 nm excitation.

A^{2-}

When the titration was continued, one more proton was withdrawn from AH^- to form A^{2-}. The study of A^{2-} in a strong alkaline environment (pH > 12) is complicated due to fast reaction with even small amounts of O_2. The A^{2-} ion is only stable for a short amount of time after addition of NaOH to a AH^- solution. To exclude O_2 as much as possible the method described in the Experimental section was absolutely necessary. We assume that A^{2-} ions react with electrons to form radicals (19, 23), and the radicals emit fluorescence, which makes it impossible to obtain Raman spectra with visible laser lines shortly after the NaOH addition. It was, however, possible for some minutes to obtain Raman data for the A^{2-} ion before the solution was ruined by fluorescing radicals.

Characteristic measurements on such basic solutions are shown in Figure 24. It appears that the water bands were distinct with visble (488 nm) and very deep UV excitation (229 nm), whereas they were not visible when excition was done with the 266 and 244 nm lines. Again, the reason is ascribed to the resonance phenomena and absorption. The
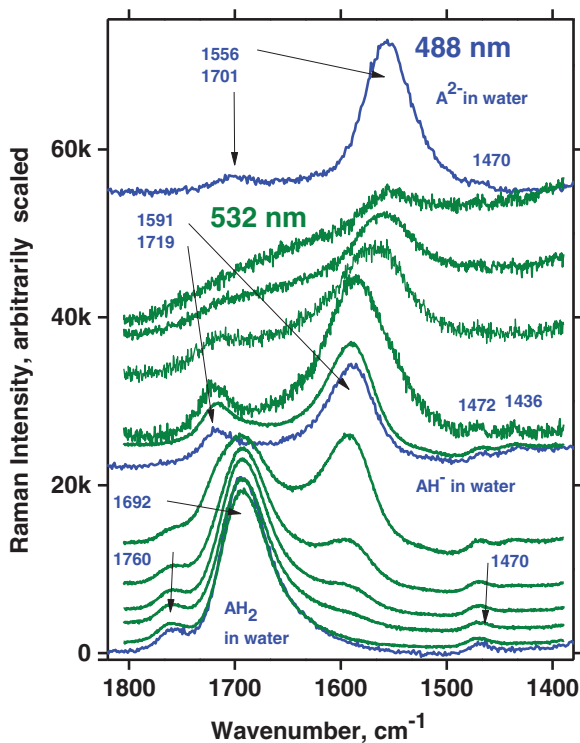
Figure 21. Titration experiments on ascorbic acid dissolved in water after increasing amounts of NaOH solution, done with 532 and 488 nm laser excitation and the DILOR-XY instrument. Bottom: Neat solutions of ascorbic acid in water (pH $\sim$2). Top: Spectra versus increasing additions of base (to pH = >12).

experimentally measured Raman spectrum of A^{2-} is depicted in Figure 26, which also shows the DFT calculated spectrum with water as solvent. We note a good agreement between the measured and calculated spectra for A^{2-}. It seems that the resonance Raman spectra are reminiscent of much of the normal Raman spectra except that they are much more intense.

The OH stretching mode calculated at ca. 3708 cm^{-1} (Figure 26, bottom) in practice is so weak and broad (hydrogen bonding) that it is not observable under the broad water OH-stretching band (top). The rest of the theoretical spectrum is not very far from the observations. Taking into account that the solution probably is an ensemble of different conformations, the fitting seems surprisingly good. In our opinion this shows that the measured bands characteristic for A^{2-} can be satisfactorily assigned using the DFT calculated Raman spectrum.

Vibration modes A, B, and C for AH_2 and AH^- can also be identified for A^{2-}. For visible excitation the Raman bands occur at about 1697, 1556, and 1353 cm^{-1}, respectively. Measurements of the A^{2-} solutions show that several of the DUV spectral signals are slightly altered and do not occur at excactly the same positions and the band shapes do not correspond entirely to the results obtained with visible laser light (compare spectra in Figure 24 in the fingerprint range 1600–500 cm^{-1}).

The explanation is that different modes are more or less in resonance for different excitation wavelengths.
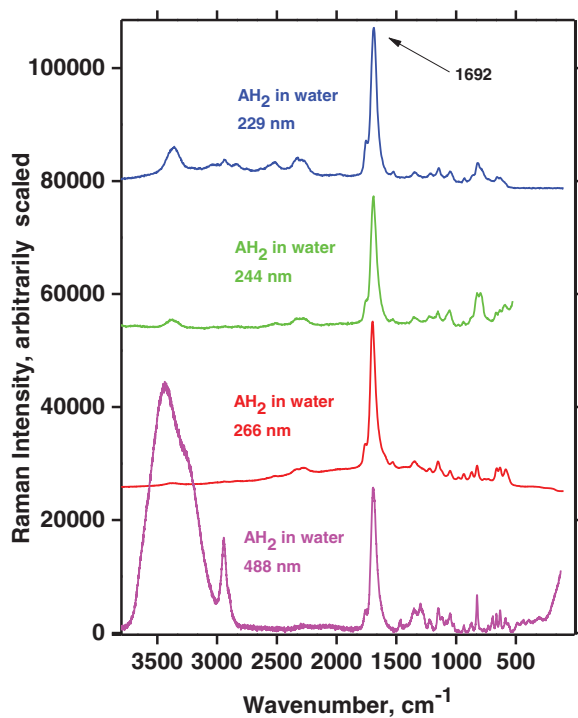
Figure 22. Experimental Raman spectra of ascorbic acid in aqueous solution versus excitation wavelength (pH = ∼2).
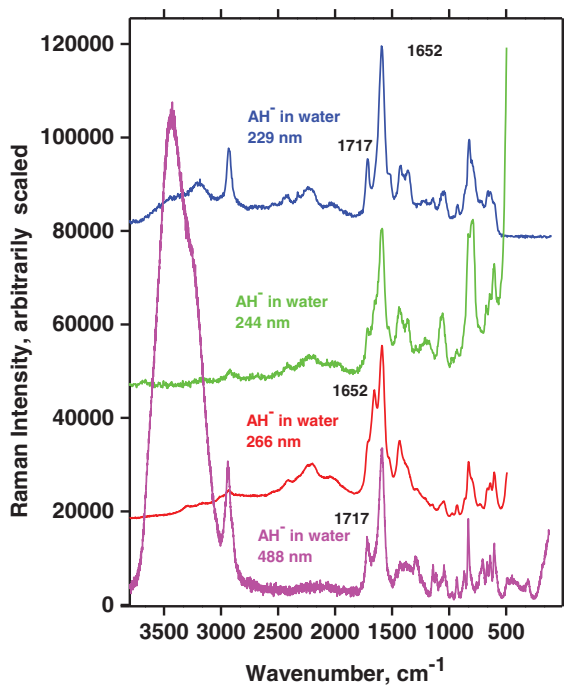
Figure 23. Experimental Raman spectra of ascorbate in aqueous solution versus excitation wavelength (pH = ∼9).
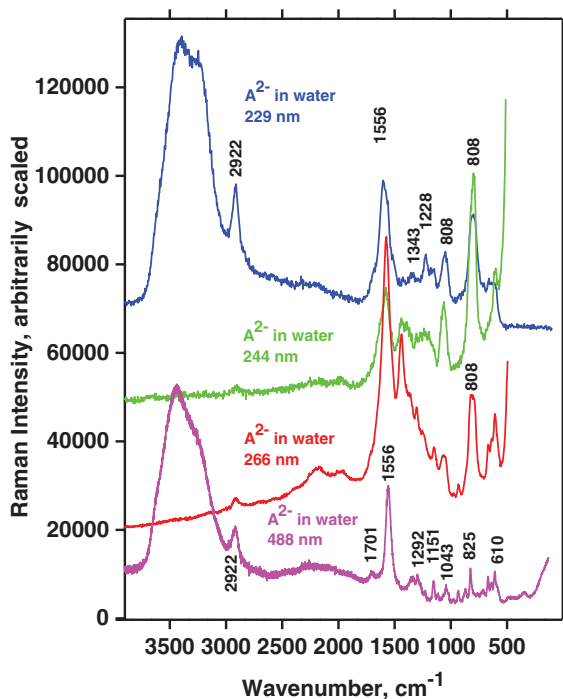
Figure 24. Experimental Raman spectra of A^{2-} in aqueous solution versus excitation wavelength (pH = >12).

The measurements with visible excitation wavelengths stand out as reference non-resonance-enhanced Raman spectra.

Discussion of Resonance Raman Spectra and UV-Vis Absorption Spectra

UV-Vis absorption spectra are needed to fully understand the differences between visible and UV Raman spectra for the ascorbate compounds. As pointed out ealier, absorption plays an important role in relation to the excitation wavelength chosen. Accordingly, we remeasured the absorption spectra during the titration, as shown in Figure 27, and the details are given in Table 9. As expected, the absorption spectra varied according to the different ascorbic species formed. The acid itself (AH_2) was found to have its absorption band positioned in deep UV, at a wavelength between ~210 and ~290 nm, and with a maximum at ~247 nm; see Figure 27. The position of the band maximum depends somewhat on the concentration, so the values in Table 9 must be taken with caution. The ascorbate mono-ion (AH^-) was found to absorb light between ~230 and ~295 nm with a maximum at ~264.8 nm. The ascorbate di-ion (A^{2-}) gave an absorption band between ~260 and ~330 nm with a maximum at about ~298.4 nm. In addition to this band, A^{2-} was found to have a deep UV band below ~220 nm. A reasonable consistency is seen between our absorption data reported in Table 9 and the values given in the literature (no data seem to exist for A^{2-}). We note that the absorption maxima show a trend toward lower absorption energy (longer wavelength) along the series $E(AH_2) > E(AH^-) > E(A^{2-})$.
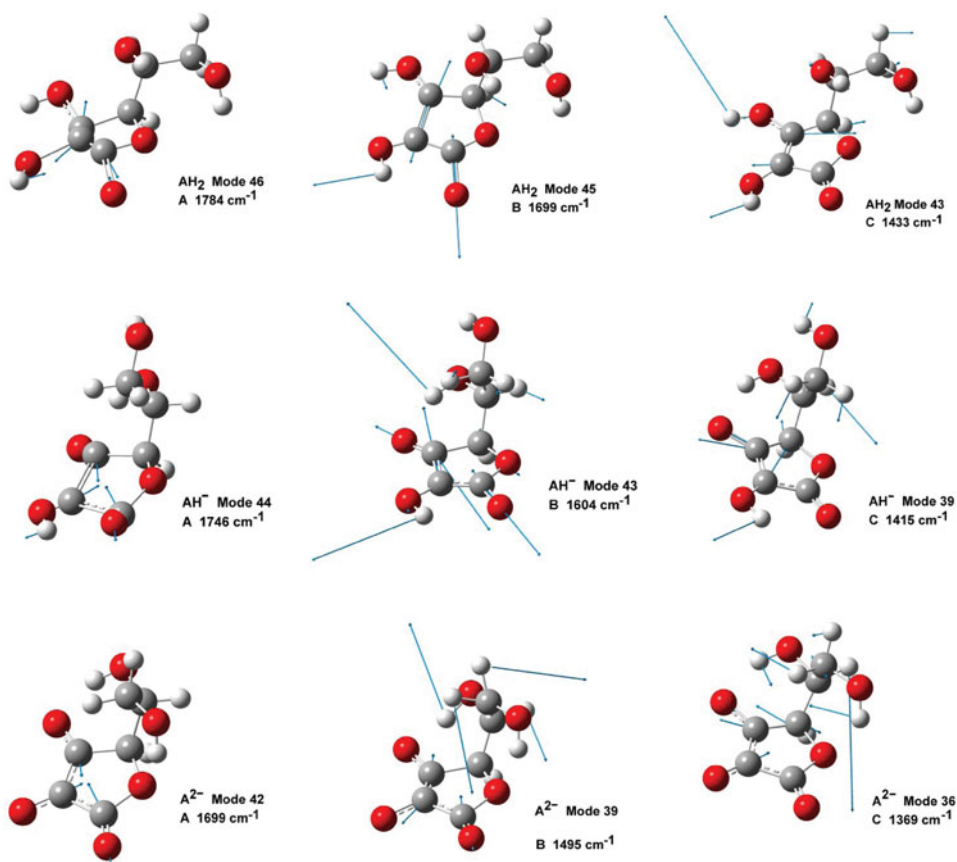
Figure 25. Vibrational modes labeled A, B, and C of ascorbic acid molecules AH_2, AH^-, and A^{2-}.

Resonance Raman effects typically occur when the excitation wavelength lies at a wavelength where the molecule absorbs. What happened in the present case versus shifts in excitation wavelength is illustrated in Figures 22–24.

The Raman spectrum of AH_2 obtained with 229 nm lies inside the absorption range between 210 and 290 nm, and accordingly the water bands (appearing from about 3000 to 3500 cm^{-1}) are completely drowned out and only the strongly resonant AH_2 bands appear. The Raman spectrum of AH^- lies just on the edge where absorption starts for AH^- (between 230 and 295 nm; Figure 23). Only measurements of the three species conducted with the visible laser lines have significant water bands. Absorption is apparently enough to make the water signal disappear and the resonance is strong enough to let the Raman spectrum stay essentially persistent. The Raman spectrum of A^{2-} recorded with an excitation wavelength of 229 nm has a significantly intensive water band compared to AH_2 and AH^-. The absorption band of A^{2-}, between 260 and 330 nm (see Figure 24) is so far away from the excitation wavelength at 229 nm that no significant absorbance occurs and resonance enhancement for the A^{2-} molecule ion is hence absent.

The UV wavelengths 244 and 266 nm are within the range where absorption takes place, making the water Raman signal disappear in all cases (Figures 22 and 23). In contrast, measurements conducted with visible wavelengths occur without absorbance and

Table 8

Wavenumber values (cm^{-1}) and calculated Raman intensity (int, in units of Å^2/amu) of vibration bands A, B, and C for AH$_2$, AH$^-$, and A^{2-} in water. Observed wavenumber values (Obsd.) from Table 7. For intensity abbreviations see Table 6

Mode see Figure 25	A	B	C
Approx. assignment	C1–C2–C3 (iph)–sym str	C1 = O1 ooph C2 = C3 asym str	Ring def, OH bend
AH$_2$ (H$_2$O)	Mode 46 1784, Int = 9 Obsd. = 1760 w	Mode 45 1699, Int = 469 Obsd. = 1692 vs	Mode 43 1433, Int = 27 Obsd. = 1299 w ?
AH$^-$ (H$_2$O)	Mode 44 1746, Int = 57 Obsd. = 1718 m	Mode 43 1604, Int = 89 Obsd. = 1591 s	Mode 39 1415 Int = 112 Obsd. = 1292 w?
A^{2-} (H$_2$O)	Mode 42 1699, Int = 99 Obsd. = 1701 w	Mode 39 1495, Int = 273 Obsd. = 1556 s	Mode 36 1369 Int = 100 Obsd. = 1292 w sp ?

RR enhancement. The same experiments conducted with UV laser lines showed no sign of the water band for AH$_2$ and AH$^-$. The A^{2-} measurement with 229 nm excitation was the only UV experiment that showed a clear water band, whereas when conducted with the 244 nm laser line no sign of the water band was seen. This is a consequence of absorption in combination with the RR phenomenon.

Discussion of Modes A, B, and C

We have dealt with three specific vibrational modes called A, B, and C, illustrated in Figure 25 (data given in Table 8). The vibration A in the AH$_2$ molecule in solution (mode no. 46) was approximately described as C1 = O1 + C2 = O2 + C2 = C3 in-phase ring stretching or briefly as C1–C2–C3 ring breathing. For AH$^-$ and A^{2-} similar motions occurred as mode nos. 44 and 42. For A (and B) only the atoms in the π-bonded lactone ring system moved, but not the atoms in the side chain. Because the absorption bands are due to $\pi \rightarrow \pi^*$ transitions in the ring, it is to be expected that modes A and B are exhibiting the strongest resonance Raman effects. According to Table 4, the A modes were calculated at 1784, 1746, and 1699 cm^{-1} in the DFT/B3LYP/6-311++G(d,p)/PCM. The second vibration (mode B) is the C2 = C3 stretching coupled out-of-phase with the C1 = O1 stretching and with the C2–O2–H2 and C3–O3–H3 bending for AH$_2$ or only with C3–O3 stretching in-phase for AH$^-$. For A^{2-} mode B in the ring was not very distinctly seen in the spectra and was coupled to OH motions of the side chain. Table 4 gives calculated modes B (number 45, 43, and 39, respectively) at 1699, 1604, and 1495 cm^{-1}. The third vibration (mode C) is an in-plane deformation of the lactone ring in combination with the C2–O2–H2, C3–O3–H3, and ring–C4–H4 angle bendings in the ring, coupled to side chain hydrogen angle deformations (see Figure 25). Modes C (number 43, 39, and 36 for the
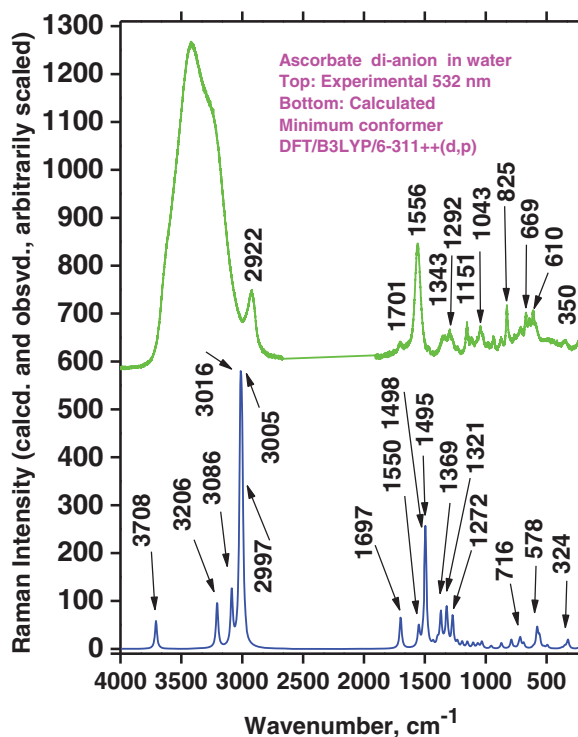
Figure 26. Raman spectra of A^{2-}: Experimental results in freshly made solution compared to the DFT calculated result for ion in water solvent (PCM).

AH_2, AH^-, and A^{2-}, respectively) were calculated to occur at 1433, 1415, and 1369 cm^{-1} (Figure 25 and Table 4).

Because of the deprotonation along the series $AH_2 > AH^- > A^{2-}$ (when protons successively split off), the coupling patterns of the atomic motions vary and hence the
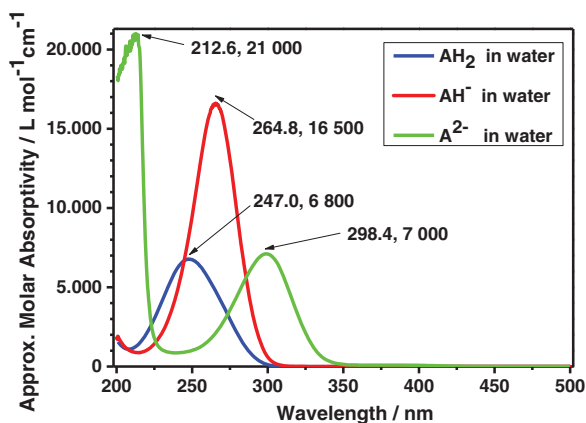

Figure 27. Typical absorption spectra as obtained during titration.

Table 9

Ultraviolet absorption data of ascorbic acid species; see Figure 27

Species	Band wavelength[a] nm	Molar absorptivity L mol^{-1} cm^{-1}	Literature values for $\pi \to \pi^*$ K band in water[a]	
			nm	L mol^{-1} cm^{-1}
AH_2	~247.0	~6,800	243.5 (11)	9,600–10,000 (11)
			243 (14)	9,560 ± 350 (14)
			248 (65)	7,189 in CH_3CN (64)
			245 (66)	
			240 in CH_3CN (64)	
AH^-	~264.8	~16,500	265.5 (11)	14,800 (11)
			265 (14)	14,560 ± 450 (14)
			260 (65)	
A^{2-}	~298.4	~7,000		
	~212.6	~21,000		

[a]Dependent on concentration and protolytic dissociation (14).

normal modes and their eigenvalues, but recognizable vibrations A, B, and C occurred in all of the species. The trend is an irregular shift to lower band wavenumbers values.

Conclusion

Figure 27 shows the UV-Vis absorption spectra of aqueous solutions of AH_2, AH^-, and A^{2-}. AH_2 absorbs between 210 and 290 nm with a maximum at ~247 nm for concentrated solutions, AH^- absorbs between 230 and 295 nm with a maximum at ~265 nm, and A^{2-} absorbs between 260 and 330 nm with a maximum at about 298.4 nm.

The RR phenomena can be documented in Figures 22–24 showing the Raman spectra of AH_2, AH^-, and A^{2-} recorded with excitation wavelengths 229 and 488 nm. The spectra can be rationalized in combination with the UV-Vis spectrum of the three compounds: The spectra recorded with 229 nm excitation wavelength gave resonance enhancements for AH_2 and AH^- but not for A^{2-}. This fits with the fact that AH_2 and AH^- absorb light at 229 nm but A^{2-} absorbs little light at 229 nm. Accordingly, the UV-Vis spectra hint at which excitation wavelengths are most useful for resonance enhancement of the specific compounds.

On the other hand, the presented results show what excitation wavelengths should *not* be used if one wants to see what an ascorbic acid-containing sample contains: In the early stages of this work we realized that no matter what kind of fruit juice, beer, or wine studied with 229 nm excitation we did not observe anything other than the ascorbic acid, naturally present or added for preservation. This effect of nonlinearity in Raman spectral intensities, here exemplified in the disappearance of the water signals, has been seen before, as recently discussed in a case where up to high concentrations of heptane could not be seen in 229 nm excited Raman spectra when toluene was present (63).

Acknowledgments

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