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MODELING TRANSPORT AND PROTEIN ADSORPTION IN MICROFLUIDIC
SYSTEMS

by

CRAIG FINCH
B. S. University of Illinois, 1997
M. S. University of Central Florida, 2001

A dissertation submitted in partial fulfillment of the requirements
for the degree of Doctor of Philosophy
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Major Professor: James J. Hickman

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ABSTRACT

Mass transport limitations and surface interactions are important phenomena in microfluidic devices. The flow of water is laminar at small scales and the absence of turbulent mixing can lead to transport limitations, especially for reactions that take place at surfaces. Microscale devices have a high ratio of surface area to volume, and proteins are known to adsorb preferentially at interfaces. Protein adsorption plays a significant role in biology by mediating critical processes such as the attachment of cells to surfaces, the immune response and the coagulation of blood. Simulation tools that can quantitatively predict transport and protein adsorption will enable the rational design of microfluidic devices for biomedical applications.

Two-dimensional random sequential adsorption (RSA) models are widely used to model the adsorption of proteins on surfaces. As Brownian dynamics simulations have become popular for modeling protein adsorption, the interface model has changed from two-dimensional to three-dimensional. Brownian dynamics simulations were used to model the diffusive transport of hard-sphere particles in a liquid and the adsorption of the particles onto a uniform surface. The configuration of the adsorbed particles was analyzed to quantify the chemical potential near the surface, which was used to derive a continuum model of adsorption that incorporates the results from the Brownian dynamics simulations. The equations of the continuum model were discretized and coupled to a conventional computational fluid dynamics (CFD) simulation of diffusive transport to the surface. The kinetics of adsorption

predicted by the continuum model closely matched the results from the Brownian dynamics simulation. This new model allows the results from mesoscale simulations to be used as a boundary condition for micro- or macro-scale CFD simulations of transport and protein adsorption in practical devices.

Continuum models were used to interpret experimental measurements of the kinetics of protein adsorption. A Whispering Gallery Mode (WGM) biosensor was constructed and used to measure the adsorption of fibronectin (FN) and glucose oxidase (GO) onto several types alkylsilane self-assembled monolayers (SAMs). Computational fluid dynamics was used to model the transport of protein in the flow cell of the biosensor. Various models were fitted to the experimental data, taking into account the transport limitations predicted by the CFD simulations. The fitted parameter values and the quality of fit of the various models were analyzed to test hypotheses about the mechanisms of adsorption. Cells were cultured on silane surfaces coated with FN to assess its biological activity, and a colorimetric assay was used to determine the enzymatic activity of the adsorbed glucose oxidase. The results of the GO activity assay were compared to the activity predicted by the models. The WGM biosensor, transport simulation and kinetic model fitting enabled new insights into the adsorption of proteins on functionalized surfaces at solution concentrations that were previously unattainable.

The process of CFD simulation and experimental validation was applied to the design of microfluidic bioreactors for an *in vitro* tissue engineered model of an alveolus. The objective was to optimize the design of the microreactors so they operate more like plug flow reactors. Microreactors experience significant deviations from plug flow due to the high ratio of surface area to volume and the no-slip boundary condition at the walls of the chamber.

Iterative CFD simulations were performed to optimize microfluidic structures to minimize the width of the residence time distributions of two types of chambers. Qualitative and quantitative visualization experiments with a dye indicator demonstrated that the CFD simulations accurately predicted the residence time distributions of the chambers. The use of CFD simulations greatly reduced the time and cost required to optimize the performance of the microreactors.

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CHAPTER 1. INTRODUCTION

Microfluidics is the science and technology of manipulating small quantities of fluid. One prominent author considers devices with fluid volumes less than $10^{-9} L$ to be microfluidic [1], while the MEMS Handbook defines a microfluidic channel as having characteristic dimensions between $1 \mu m$ and $1 mm$ [2]. Rather than attempting to agree upon an arbitrary upper limit for the volume or characteristic dimension of the system, a more practical approach is to classify a system based upon its characteristics. The defining characteristics of microfluidic systems are the inability to induce turbulent flow, a high ratio of surface area to volume, and high shear rates. At sufficiently small length scales, the flow of a fluid is completely laminar and it is virtually impossible to induce turbulence. This means that parallel streams of fluids in a microfluidic channel will mix only by diffusion, which is a considerably slower process than the turbulent mixing that can be found in macroscale systems. Therefore, the creation of mixers is one of the defining challenges of microfluidics [3]. Because a microfluidic device has so much surface area relative to its volume, a large fraction of the fluid has the potential to interact with the surface. Interfacial phenomena such as protein adsorption are much more significant in a microfluidic device compared to a conventional one. Since fluid that is in contact with a surface is generally stationary and turbulence does not occur, diffusion is the only way that solute can be transported near the surface. This phenomenon may impose

a significant challenge if the purpose of the device is to deliver nutrients to a layer of cells or analyte to the surface of sensor. The no-slip boundary condition on the walls, combined with small channel dimensions, can lead to high shear rates near the walls. The unique properties of microfluidic devices lead to both opportunities and challenges when applying the technology to practical problems.

Microfluidic technology is having a significant impact on the field of biology, enabling the creation of “lab on a chip” systems (also known as micro total analysis systems, or μ TAS.) One important application of microfluidic systems is to culture, manipulate and analyze single cells or very small populations of cells [4]. The ability to isolate and study a single cell enables systems biologists to study cell signaling without the noise generated by a heterogeneous population of cells [5]. Microfluidics also offers the promise of developing high-throughput cell-based assays [6]. Flow cytometry has become a practical tool for clinical diagnosis, and microfluidic flow cytometers enable measurements to be performed with fewer cells [7]. This is especially important when taking tissue samples from a fetus or infant. A key advantage of microfluidic systems is that they can be mass-produced using conventional microfabrication techniques, which could lead to inexpensive, disposable analysis chips that take the place of conventional assays. A recent special issue of *Lab on a Chip* was devoted to the application of microfluidics to point-of-care (POC) diagnostics [8].

Transport in Microfluidic Systems

Various dimensionless numbers have been derived to characterize fluid systems through dimensional analysis. One of the best-known is the Reynolds number, which describes the ratio of inertial forces to viscous (damping) forces [9]. A large Reynolds number indicates that

inertial forces dominate and the flow may be turbulent. A small Reynolds number indicates that viscous damping is strong enough to prevent turbulence from occurring, resulting in laminar flow. The Reynolds number is defined as

$$Re = \frac{\bar{v}L}{\nu}$$

\bar{v} is the average velocity, L is the characteristic dimension and ν is the kinematic viscosity of the system. Because both the average velocity and dimensions of microfluidic systems tend to be small, the Reynolds number is also small, corresponding to the observation that flow in microfluidic systems is almost always laminar.

If a volume of fluid is sufficiently small, there may not be enough molecules in the system to satisfy the assumptions of continuum models. The Knudsen number is a dimensionless group that can be used to assess the validity of the the continuum approximation. For a gas, the Knudsen number is defined as

$$Kn = \frac{\lambda}{L}$$

λ is the mean free path of a molecule in the gas. Molecules in a liquid do not have a mean free path because their motion is highly constrained by their neighbors, so the lattice spacing δ can be used instead of λ to compute the Knudsen number [2]. The lattice spacing for liquid water is about 0.3 nm . Knudsen numbers below 10^{-3} indicate that the continuum approximation is valid, while in the range $10^{-3} < Kn < 10^{-1}$ continuum models can be used with slip boundary conditions. For $Kn > 10^{-1}$ entirely different modeling procedures must be used to obtain accurate results.

Protein Adsorption

Non-specific binding (adsorption) of biomolecules, such as proteins, at solid-liquid interfaces affects the function of materials and devices intended for use with physiological fluids and tissues. Microfluidic devices are especially prone to protein adsorption because of the large amount of surface area relative to volume of the device [10]. When designing a microfluidic system to deliver analyte to a cell or sensor, the adsorption of analyte to the walls of tubing or channels must be taken into account to ensure that the desired amount of analyte actually reaches its destination. Protein adsorption is the first step in many important biological processes, including the attachment of cells to bioengineered surfaces, the coagulation of blood, and the response of the immune system to an implanted device [11]. Adsorption of biomolecules can lead to the formation of biofilms of bacteria [12] or blood components [13] that can lead to infection or clogging. Therefore, biomolecule adsorption is a crucial factor in determining the long-term efficacy of lab-on-chip systems, implants, and medical devices that contact blood or other biological fluids [14, 15, 16]. For example, advances in neurally-controlled prosthetics have been limited by the body's inflammatory response to implanted sensors [17].

The inherent variability of protein sequence and structure makes the prediction of protein adsorption from first principles an intractable problem [18]. Thus, it has been necessary to devise experimental solutions for making quantitative observations that can be used to assess the biocompatibility of materials. Early research on protein adsorption focused on measuring the surface concentration of adsorbed protein at equilibrium. A material with high surface area was allowed to soak in a protein solution, and the amount of adsorbed pro-

tein was inferred from the loss of protein in solution. By measuring the surface concentration for various solution concentrations, it is possible to plot an “isotherm” that provides some information about the thermodynamics of adsorption. By the mid-1980s new optical methods such as total internal reflection fluorescence (TIRF) spectroscopy [19] and ellipsometry enabled researchers to measure the kinetics of adsorption [20]. In the 1990s optical waveguide light spectroscopy (OWLS) [21] and surface plasmon resonance (SPR) [22] instruments became available. Despite significant progress in our understanding of protein adsorption, only a handful of protein/surface combinations have been thoroughly studied and the general problem of predicting and controlling protein adsorption remains unsolved [11, 23].

Overview

This work describes theoretical advances in the modeling and simulation of microfluidic systems and demonstrates the practical application of those techniques. A new multi-scale model of the adsorption of hard spheres was formulated to bridge the gap between simulations of discrete particles and continuum fluid dynamics. A whispering gallery mode (WGM) biosensor was constructed and used to measure the kinetics of adsorption for two types of proteins on four different surfaces. Computational fluid dynamics was used to analyze the transport of proteins in the flow cell of the biosensor. Kinetic models of protein adsorption that take transport limitations into account were fitted to the experimental data and used to draw conclusions about the mechanisms of adsorption. Transport simulations were then applied to the practical problem of optimizing the design of a microfluidic bioreactor to enable “plugs” of fluid to flow from one chamber to the next with minimal dispersion. Experiments were used to validate the transport simulations. The combination of quantitative modeling

and simulation with experimental work leads to results that could not be achieved using either method by itself.

CHAPTER 2. A CONTINUUM MODEL OF THE ADSORPTION OF HARD SPHERES

Introduction

Computational methods have been applied to model and predict protein adsorption, but their success has been limited due to the complexity of the problem. While nanoscale simulation methods like molecular dynamics (MD) have the potential to predict protein adsorption from first principles, the small (femtosecond) time step required by atomistic techniques presents a significant obstacle. The adsorption of proteins and their subsequent rearrangement occurs on a time scale of seconds to hours [15]. MD has been used to simulate the adsorption of a fragment of fibrinogen with explicit solvent molecules for 5 ns of simulated time [24]. A similar study was carried out to model the interaction between lysozyme and a graphite surface for 500 ps [25]. It was recently reported that an advanced MD simulation on a specially designed supercomputer has the ability to simulate several microseconds of protein behavior [26]. Because of the limitations of atomistic models, simplified models are widely used to model protein adsorption. Colloidal models represent a protein molecule with a simplified geometric shape (typically a sphere or ellipsoid) and interactions are modeled by DLVO (electrostatic and van der Waals) forces [27, 28, 29]. Recently, Brownian dynamics simulations have been used to implement colloidal-scale simulations of protein transport and adsorption [30, 31, 32].

A fundamental limitation of nanoscale and mesoscale simulation methods is that they are impractical for modeling transport in practical applications such as medical implants, engineered tissue constructs, blood flow simulations, and microfluidic devices. Computational fluid dynamics (CFD) simulations are widely used to model transport in macroscale systems. Simplified continuum models of adsorption based upon chemical kinetics have been used as adsorbing boundary conditions in CFD simulations [33, 34, 35]. At first, kinetic models of adsorption were formulated based upon the assumptions of the Langmuir adsorption model [20]. More recently random sequential adsorption (RSA) models have been applied to characterize the blocking of the surface more rigorously for various particle shapes [36]. The available surface for adsorption is usually quantified through the available surface function $ASF(\theta)$, where θ is the fraction of surface that is covered by adsorbed particles. Many types of kinetic models are currently used to model protein adsorption [11].

Continuum models can be linked to discrete-particle simulations using the principles of chemical thermodynamics. The available surface function has been generalized to depend on the height above the adsorbing surface as well as the fractional surface coverage [37]. Adsorbed particles create an energy barrier which becomes higher as the number of adsorbed particles increases, reducing the flux of particles to the surface. This barrier incorporates steric exclusion due to the blocking effect of hard particles and the longer-ranged repulsive effect of electrostatic interactions [38]. This model has been implemented by making simplifying assumptions about transport to the surface. For example, the surface boundary layer approximation (SFBLA) assumes that the interface is one-dimensional and the flux through the boundary layer is independent of the position above the surface [39]. Transport to the interface has been accounted for by coupling the adsorption model to a diffusive transport

model, but the kinetic coefficients of the adsorption model were approximated with results from an RSA model [38].

To fully account for the blocking effect of hard spheres, it cannot be assumed that the flux at the surface (J_s) is equal to the flux at the interface with the continuum (J_c). A particle may diffuse into the boundary region, collide with adsorbed particles, and diffuse back out of the boundary region at a later time. At any instant, the flux at the surface will be less than or equal to the flux at the bulk interface. Brownian dynamics simulations of hard sphere adsorption were utilized to obtain configurations of adsorbed spheres, which were then analyzed to obtain the generalized blocking function $AVF(h, \theta)$. The principles of non-equilibrium thermodynamics were used to derive a continuum model of hard-sphere adsorption in which the flux of particles was allowed to vary with the distance from the surface. The generalized blocking function from the Brownian dynamics simulations was used to determine the coefficients of the continuum model, which was solved numerically in the region near the surface. This model was used as a boundary condition for a conventional CFD simulation to predict coupled transport and adsorption. Good agreement was found between the kinetics obtained from the Brownian dynamics simulations and the kinetics predicted by the continuum model.

Methods and Materials

Derivation of the Continuum Model

The first steps of the derivation of the continuum model follow the method described in [37, 38, 39], starting with the continuity equation

$$\frac{\partial n}{\partial t} = -\nabla \cdot \mathbf{J} \quad (2.1)$$

n is the number density of particles in solution and \mathbf{J} is the flux of particles. Adsorption is a process of equilibration that can be described using non-equilibrium thermodynamics. In general, irreversible fluxes tend to be linear functions of thermodynamic gradients (such as Fick's first law) [40], so it was postulated that

$$\mathbf{J} = -(\mathbf{M} \cdot \nabla E) n \quad (2.2)$$

\mathbf{M} is the mobility tensor and E is the total potential, which can be written as $E = \mu + \Phi$. The chemical potential μ represents particle-particle interactions, including interactions between particles in solution and adsorbed particles. The external potential Φ includes effects such as an electric field due to a charged surface or a gravitational potential. Using the relation $\mathbf{D} = kT\mathbf{M}$, the flux can be written in terms of the diffusion tensor

$$\mathbf{J} = -\mathbf{D} \cdot (\nabla \mu/kT + \nabla \Phi/kT) n \quad (2.3)$$

The chemical potential can be written in terms of the activity coefficient γ :

$$\mu = \mu^\ominus + kT \ln \gamma \frac{n}{n^\ominus} \quad (2.4)$$

μ^\ominus is the potential in the standard state, which is chosen to be the potential of a particle in solution far away from other particles. The activity coefficient is a function of position in space, the number and configuration of particles, and the particle-particle interaction potential. Expanding the potential and substituting into the flux equation results in

$$\mathbf{J} = -\mathbf{D} \cdot \left[\frac{\nabla \mu^\ominus}{kT} + \nabla \ln \frac{n}{n^\ominus} + \nabla \ln \gamma + \frac{\nabla \Phi}{kT} \right] n \quad (2.5)$$

Since the potential in the standard state is constant, the gradient of the first term is zero.

This expression for the flux was substituted into the continuity equation to obtain

$$\frac{\partial n}{\partial t} = \nabla \cdot \left[\mathbf{D} \cdot \left(\nabla \ln \frac{n}{n^\ominus} + \nabla \ln \gamma + \frac{\nabla \Phi}{kT} \right) n \right] \quad (2.6)$$

For modeling adsorption at an interface, the general equation can be simplified considerably by making some assumptions about the interface. It was assumed that the interfacial layer is thin with respect to the overall geometry. Transport parallel to the interface and convection were neglected so the equation reduced to a one-dimensional form:

$$\frac{\partial n}{\partial t} = \frac{\partial}{\partial h} \left[D \left(\frac{\partial}{\partial h} \ln \frac{n}{n^\ominus} + \frac{\partial}{\partial h} \ln \gamma + \frac{1}{kT} \frac{\partial}{\partial h} \Phi \right) n \right] \quad (2.7)$$

h is the distance between the edge of the particle and the surface, as shown in Figure 2.1.

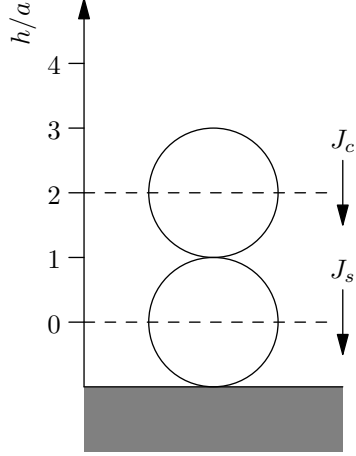


Figure 2.1: Geometry used to define the hard-sphere adsorbing boundary condition. h is non-dimensionalized with the particle radius a .

For the case of hard spheres with no surface potential, $\Phi \equiv 0$ and the diffusion coefficient near the surface was assumed to be constant. The notation n/n^\ominus will be dropped, and it will be assumed that n has been normalized. The equation can be re-arranged to have the form of a generalized diffusion equation

$$\begin{aligned} \frac{\partial n}{\partial t} &= D \frac{\partial}{\partial h} \left[\left(\frac{1}{n} \frac{\partial n}{\partial h} + \frac{1}{\gamma} \frac{\partial \gamma}{\partial h} \right) n \right] \\ &= D \left[\frac{\partial^2 n}{\partial h^2} + \frac{1}{\gamma} \frac{\partial \gamma}{\partial h} \frac{\partial n}{\partial h} + n \frac{\partial}{\partial h} \left(\frac{1}{\gamma} \frac{\partial \gamma}{\partial h} \right) \right] \end{aligned} \quad (2.8)$$

Equation 2.8 is a parabolic partial differential equation with variable coefficients. Let

$$\begin{aligned} k_1 &= \frac{1}{\gamma} \frac{\partial \gamma}{\partial h} \\ k_2 &= \frac{\partial}{\partial h} \left(\frac{1}{\gamma} \frac{\partial \gamma}{\partial h} \right) = \frac{\partial k_1}{\partial h} \end{aligned} \quad (2.9)$$

Then

$$\frac{\partial n}{\partial t} = D \left[\frac{\partial^2 n}{\partial h^2} + k_1 \frac{\partial n}{\partial h} + k_2 n \right] \quad (2.10)$$

This equation predicts the evolution of number density over time at every point in a domain for which the activity coefficient is known. To utilize this equation to predict the surface density of adsorbed particles over time, the boundary condition at the surface ($h = 0$) can be defined

$$\frac{d\Gamma}{dt} = -J_s(t) \quad (2.11)$$

Γ is the number of adsorbed particles per unit area and J_s is the flux at the surface. The total surface density of adsorbed particles at time t is given by

$$\Gamma(t) = \int_0^t J_s(\tau) d\tau \quad (2.12)$$

The choice of boundary condition for the bulk solution depends upon the nature of the problem to be solved. It is straightforward to couple the generalized diffusion equation to a conventional CFD simulation to predict transport-influenced adsorption in arbitrary geometries.

Calculation of the Activity Coefficient

The coefficients of the generalized diffusion equation are functions of the activity coefficient γ , which is a function of space and the number and configuration of adsorbed particles. Computation of the activity coefficient is critical to obtain a useful model.

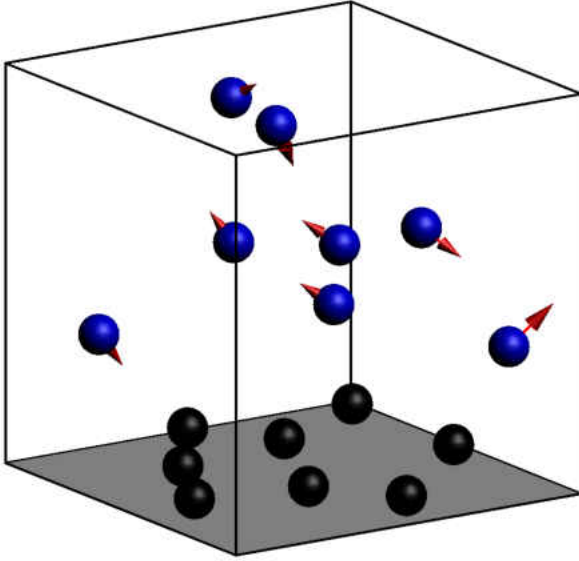


Figure 2.2: An illustration of a Brownian dynamics simulation. Adsorbed particles are black, particles in solution are blue, and the motion of each particle is indicated by a vector.

Brownian Dynamics Simulation

A Brownian dynamics simulation of irreversible hard-sphere adsorption was used to obtain configurations of adsorbed particles. The Langevin position equation [41] was used to update the position of each particle at each time step:

$$\mathbf{r}_i(t + \Delta t) = \mathbf{r}_i(t) + \mathbf{g}_q \sqrt{2 D \Delta t} \quad (2.13)$$

\mathbf{r}_i is the position of particle i , Δt is the simulation time step, D is the diffusion coefficient, and $\mathbf{g}_q \in \mathbb{R}^3$ is a vector of random numbers drawn from a Gaussian distribution with a mean of zero and a variance of one. At each time step, all particles in the domain were moved simultaneously, and overlaps were detected. Any particle which overlapped another was reset to its original position and moved again using a different random vector, until each particle found a valid position.

The simulation domain was a rectangular box with height L and width and length S . Periodic boundary conditions were applied on the four sides of the simulation domain so that a particle that exited one side of the box re-entered on the opposite side. An adsorbing boundary condition was used for the bottom of the box. A particle adsorbed when it reached the adsorbing surface without overlapping any previously adsorbed particles. The configuration of adsorbed particles was recorded every time a new particle adsorbed. To simulate a perfect adsorbing boundary for validation purposes, adsorbed particles could be moved out of the simulation domain so they did not interfere with the adsorption of additional particles.

Two different boundary conditions were used for the top of the box. To simulate constant near-surface concentration a reflecting boundary was used for the top of the box. At each time step, the particles in the box were counted. If there were too few particles in the box, particles were added at positions drawn from a uniform random distribution, ensuring that the newly added particles did not overlap with existing particles. If there were too many particles in the domain, a particle was chosen at random for deletion. To simulate diffusion on a semi-infinite domain, an open box top was used to allow the Brownian dynamics simulation to exchange particles with an infinite bulk solution. This boundary condition was implemented according to the multi-scale linking algorithm described in [42].

Implementation of Brownian Dynamics Simulation

The simulation was implemented using the Python programming language. Numerical data, such as the coordinates of the particles, were stored in NumPy arrays [43]. Routines from the SciPy library were used for standard operations like interpolation and numerical integration [44]. Collision detection was implemented in C for speed, using the *weave* function from

SciPy. The Message Passing Interface (MPI) was used to run multiple simulations in parallel on a Linux cluster [45]. Each time a particle adsorbed on the surface the configuration of adsorbed particles was recorded, along with the profile of concentration vs. distance from the adsorbing surface and the fraction of the surface covered by particles (θ). The PyTables package was used to save the simulation results to binary files in HDF5 format [46, 47].

The Brownian dynamics simulations were run with the parameters from [42] so that the simulation results could be compared to published data: radius of the particle $a = 5.8 \times 10^{-8} m$ and the diffusion coefficient $D = 3.77 \times 10^{-12} m^2/s$. Although these parameters are more representative of colloidal particles than proteins, the absolute values are not important because both the Brownian dynamics and continuum models were implemented in terms of dimensionless variables. The simulation was implemented with the dimensionless variables that were defined in [42]:

$$\bar{r} = \frac{r}{a}, \bar{t} = \frac{D}{a^2}t \quad (2.14)$$

Controls and Validation for the Brownian Dynamics Simulation

The Brownian dynamics simulation was validated by simulating diffusion-limited adsorption and comparing the results to the analytical solution of a well-known boundary value problem. A perfect adsorbing boundary condition (perfect sink) was used so that particles that adsorbed to the surface did not block the adsorption of additional particles. The classical diffusion equation in one dimension can be solve analytically with the boundary conditions $n(h = 0, t) = 0$ and $n(h \rightarrow \infty, t) = n_b$. Control simulations were performed with three different box widths (75, 100, 150) to ensure that edge effects were not distorting the pattern of adsorbed particles. The simulation was also tested with three time steps (10^{-5} , 10^{-6} and

$10^{-7}sec$) to determine the largest time step that would produce accurate results.

Monte Carlo Calculation of the Activity Coefficient

The activity coefficient was determined empirically from the results of the Brownian dynamics simulations using the Widom particle insertion method [48, 49]. In this method, a “test” particle is introduced into a fixed configuration of particles and the energy of interaction ψ between the test particle and the surrounding particles is calculated. The activity a can be computed by taking the canonical average of many such insertions, using the formula

$$\frac{n}{a} = \left\langle \exp \left(\frac{-\psi}{k_B T} \right) \right\rangle \quad (2.15)$$

For hard spheres, the energy of interaction is either infinite if the test particle overlaps an existing particle or zero if it does not. Therefore the activity coefficient $\gamma = a/n$ is also infinite if the test particle overlaps another, and zero if it does not. To avoid dealing with infinite quantities, the available volume function (AVF) was defined as

$$AVF(h, \theta) = \gamma^{-1}(h, \theta) \quad (2.16)$$

The AVF is the three-dimensional equivalent of the available surface function. The value of the AVF is one if the test particle does not overlap with a simulation particle and zero if it does overlap.

After the completion of an ensemble of Brownian dynamics simulations, the AVF was calculated for each run at multiple values of h and θ . For each θ_i a planar grid of non-overlapping test particles was constructed in the simulation domain at a given height h_i

above the adsorbing surface. Particles in solution with $h \geq 2$ cannot interact with adsorbed particles, as shown in Figure 2.1. The position of each test particle was offset by a small random vector in xy plane and each test particle was checked for overlaps with every simulation particle. The fraction of test particles without overlaps was recorded as the value of $AVF(h_i, \theta_i)$. Multiple replicates with different random displacements from the grid were performed for each θ_i and h_i . The analysis was performed with 50 and 500 replicates, and 50 replicates were found to be sufficient to determine the AVF. The results from multiple runs of the Brownian dynamics simulation were averaged to obtain an estimate of the available volume function. The coefficients of the generalized diffusion equation were computed directly from the available volume function:

$$k_1 = \frac{\partial}{\partial h} \log \gamma = \frac{-1}{AVF} \frac{\partial AVF}{\partial h}$$

$$k_2 = \frac{\partial}{\partial h} \left(\frac{1}{\gamma} \frac{\partial \gamma}{\partial h} \right) = \frac{1}{AVF^2} \left(\frac{\partial AVF}{\partial h} \right)^2 - \frac{1}{AVF} \left(\frac{\partial^2 AVF}{\partial h^2} \right)$$

Exact Calculation of the Available Volume Function

An alternative method was developed to compute the available volume function and confirm the results of the implementation of Widom’s method. The Computational Geometry Algorithm Library (CGAL) is collection of open-source tools for computational geometry [50]. At a given height above the surface h_i , the generalized polygon class from CGAL was used to compute the union of all the space which could not be occupied by the center of a particle at that particular height. “Image” particles were created to accurately represent space occupied by particles at the edge of the domain that “wrapped” to the other side of the

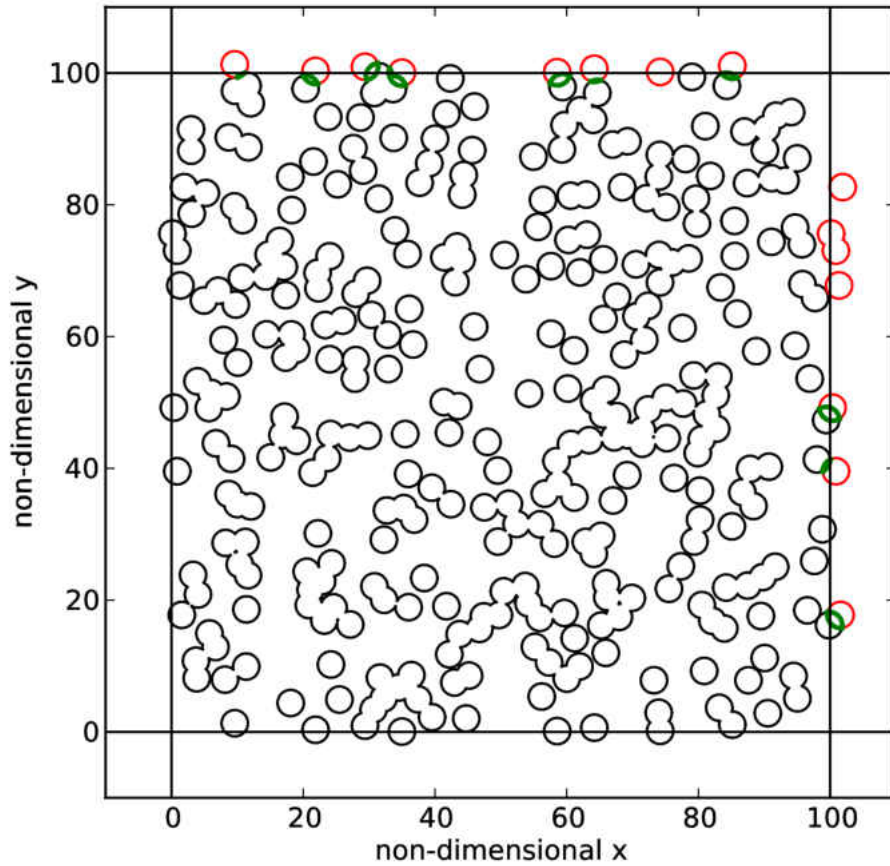


Figure 2.3: Example showing how computational geometry can be used to obtain the available volume function. Unavailable space due to image particles is shown in red, and overlaps between particles and images are shown in green.

domain due to the periodic boundary conditions in the Brownian dynamics simulation. An example of the union of unavailable space, with image particles, is shown in Figure 2.3. The fraction of space available for adsorption at a given h_i and θ_i could be directly calculated by subtracting the unavailable area from the total area and dividing the result by the total area. For computational efficiency, the algorithm started with the first surface arrangement θ_0 , calculated the available area, added the particles that adsorbed at θ_1 and calculated the available area, and so on. This was repeated for each h_i , and parallel computing was used to run multiple values of h_i in parallel.

Implementation of the Continuum Model

The control volume formulation [51] was used to obtain a finite difference form of Equation 2.8 in the region $0 \leq h < 2$. The continuum adsorption model was defined in terms of the same dimensionless space and time variables as the Brownian dynamics simulation. Central differencing was used to approximate first derivatives in space, and a fully implicit scheme was used to approximate time derivatives. To ensure stability, the source term was linearized so that it was independent of the value of n . Any particle that touched the surfaces adsorbed, so the number density of particles at the surface was always zero. The Dirichlet (type 1) boundary condition $n = 0$ was used at $h = 0$. For simulations with constant near-surface concentration the type 1 boundary condition $n = n_b$ was applied at $h = 2$.

Coupling the Continuum Model of Hard-Sphere Adsorption to a Conventional CFD Transport Simulation

For simulations in which the concentration at $h = 2$ was influenced by diffusion, a second simulation domain was created to model diffusion in the bulk for $h \geq 2$. The classical

diffusion equation was discretized and solved in the bulk domain in the same manner as the generalized diffusion equation. The generalized diffusion equation was solved in the interaction region to obtain the net flux, using the value of number density at $h = 2$ from the previous time step. For small values of t the surface is mostly available for adsorption, so the net flux is limited by diffusive transport. The net flux is determined by J_c rather than J_s . Once the surface is mostly blocked, the net flux is determined by the rate at which particles can find available space on the surface, so the value of J_s should be used for the net flux. The correct value for the net flux can be computed by

$$J(h = 2, t) = -\min(|J_s|, |J_c|) \quad (2.17)$$

The net flux from the generalized diffusion equation was used as the left-hand boundary condition to solve the classical diffusion equation in the bulk, which resulted in a new value for the number density at the interface. This number density was used to solve the generalized diffusion equation in the near-surface domain again, and the iterative process was repeated until the number density at the interface computed in each domain converged: $|n(h = 2^-) - n(h = 2^+)| < \delta$.

Validation of the Continuum Model

Equation 2.10 has the form of a diffusion equation. In the case that the activity coefficient is constant, this equation reduces to the classical one-dimensional diffusion equation. It was verified that the adsorption kinetics predicted by the continuum model matched the kinetics predicted by the classical diffusion equation for a perfect adsorbing boundary when

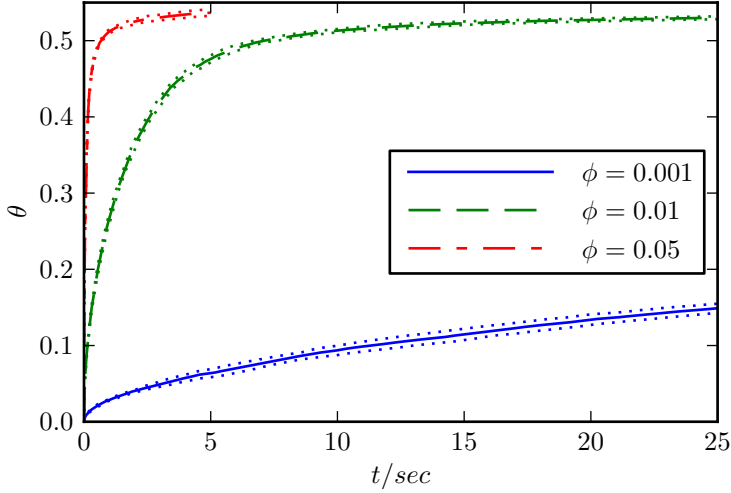


Figure 2.4: Kinetics of adsorption predicted by the Brownian dynamics simulation for three different volume fractions.

the activity coefficient was held constant ($AVF(h, \theta) \equiv 1$).

Results

Brownian Dynamics Simulation Results

The Brownian dynamics simulation was run with three different number densities of particles in solution. The number density had a significant impact on the kinetics of adsorption, as shown in Figure 2.4. The configurations of adsorbed particles predicted by the Brownian dynamics simulations were characterized using the pair correlation function $g(r)$, which is also known as the radial distribution function (RDF). The results are shown in Figure 2.5. Figure 2.5 also shows the pair correlation function for configurations generated by RSA simulations. A representative plot of the AVF for a volume fraction of 0.01 is shown in Figure 2.6.

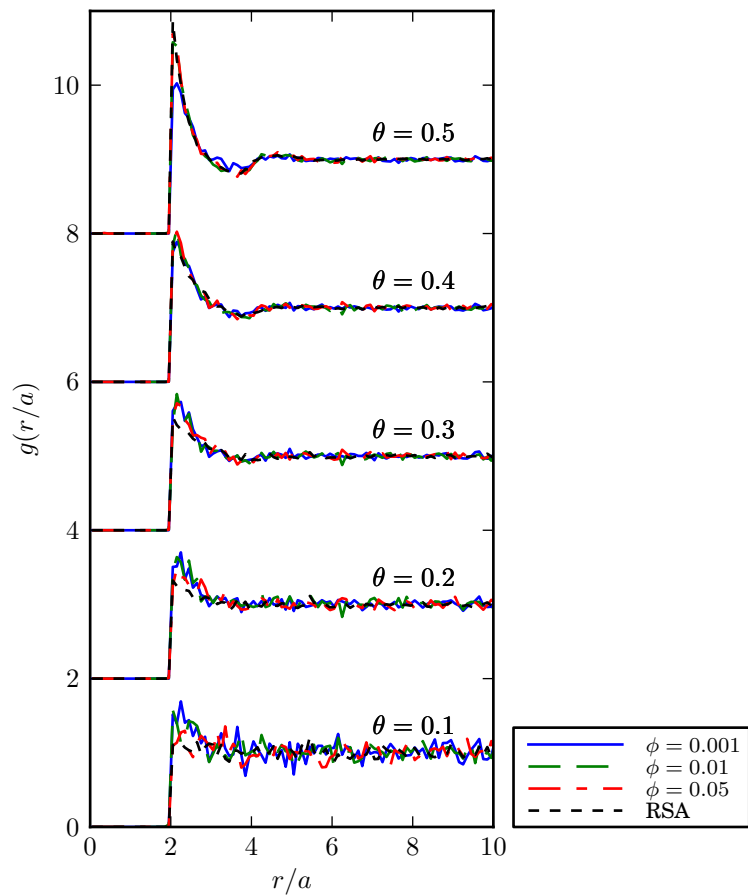


Figure 2.5: Radial distribution function predicted by Brownian dynamics simulation for three volume fractions, and the RDF predicted by the RSA model.

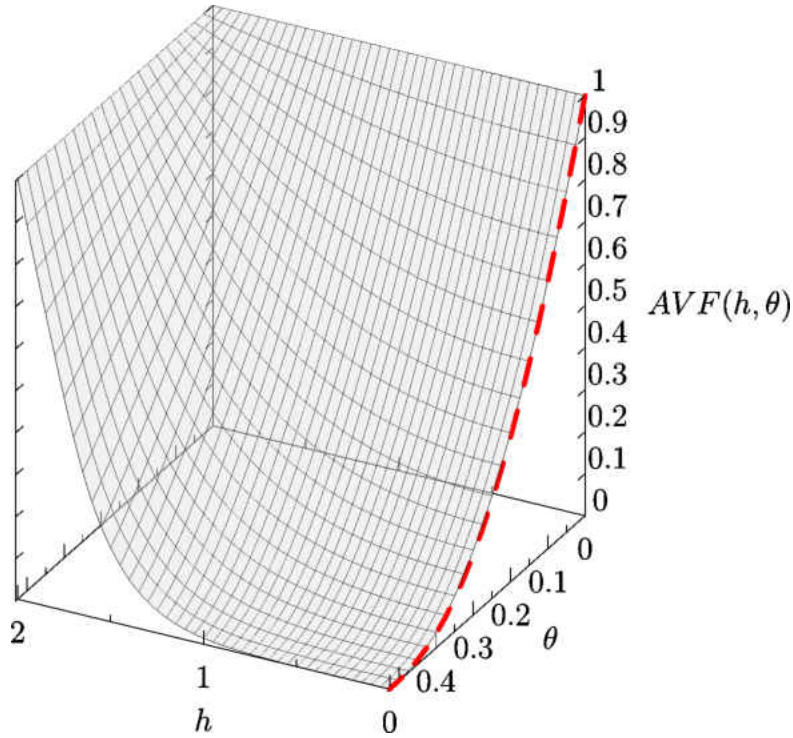
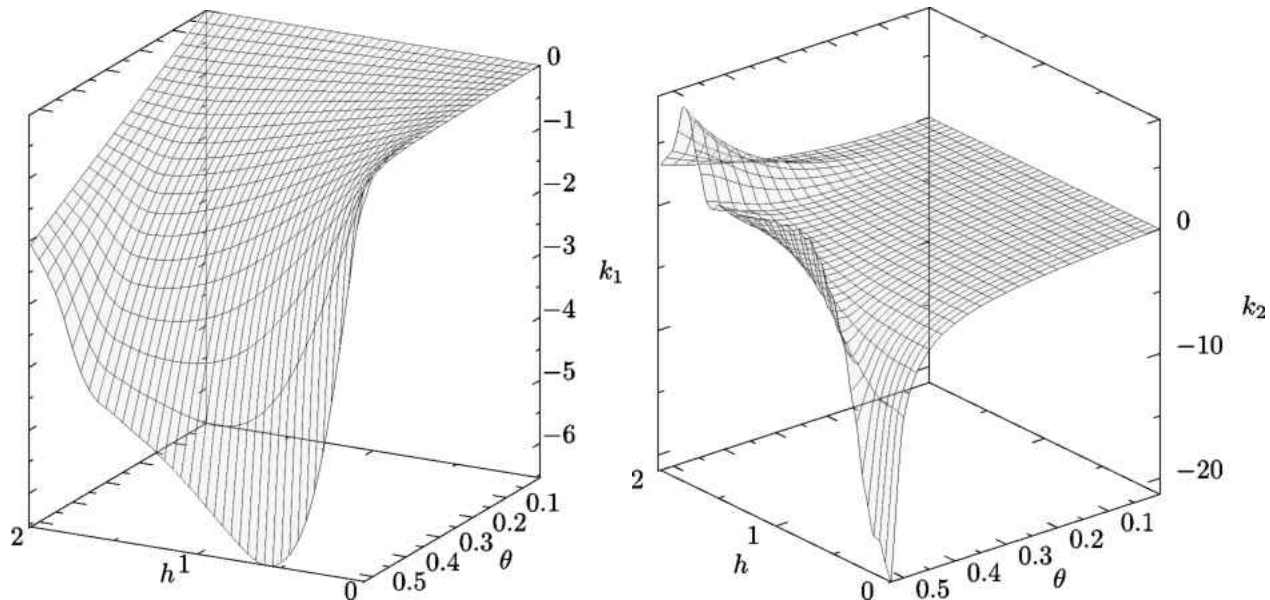


Figure 2.6: Plot of the available volume function. Available surface function for RSA shown as red dashed line.

The available surface function (ASF) for the RSA model is also shown in Figure 2.6 [52]. The AVF calculated by the Monte Carlo implementation of Widom’s method matched the results from the computational geometry calculation. The computational geometry method require more computational resources.

Continuum Model Results

The coefficients of the generalized diffusion equation were computed from the AVF from Brownian dynamics simulations. Representative plots of the coefficient values are shown in Figure 2.7.



(a) Coefficient k_1

(b) Coefficient k_2

Figure 2.7: Coefficients of the generalized diffusion equation, derived from Brownian dynamics results.

The calculation of coefficient was challenging due to the presence of AVF^{-1} and AVF^{-2} in Equation 2.9, which result in large numbers when the value of the AVF approaches zero. The continuum model accurately reproduced the kinetics predicted by the Brownian dynamics simulations, as shown in Figure 2.8.

Discussion

The RDFs for particle configurations generated by Brownian dynamics simulations are almost identical to the RDF for particles generated by RSA. This agreement indicates that the adsorption of hard spheres is a random sequential process that is essentially independent of transport to the surface. If kinetic predictions are not required, an RSA simulation can be used to generate surface configurations that are equivalent to results from hard-

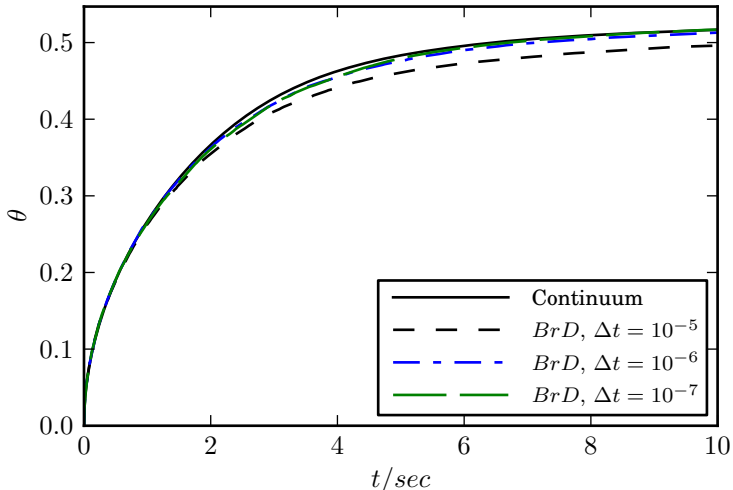


Figure 2.8: Kinetics of adsorption predicted by Brownian dynamics simulations and the continuum model for $\phi = 0.01$.

sphere Brownian dynamics simulations, with much less computational effort. Since the pair correlation function shows that Brownian dynamics and RSA simulations produce identical configurations of adsorbed particles, it is not surprising that the AVF for Brownian dynamics at $h = 0$ is identical to the ASF for random sequential adsorption of circular disks.

The AVF shown in Figure 2.6 differs significantly from the blocking function reported in [42], which was estimated by taking the ratio of flux at the surface to the flux expected for a perfect adsorbing boundary. In this work the AVF was computed directly by attempting to adsorb an additional particle onto a surface with adsorbed particles and verified with a direct calculation using computational geometry. The method used here is more likely to obtain an accurate result.

The kinetics of adsorption predicted by the continuum model matched the kinetics predicted by Brownian dynamics simulations when the Brownian time step was sufficiently small. The continuum model required about one minute to run on a desktop PC, while the Brownian dynamics simulation ran for up to several days on a high-performance cluster to

obtain equivalent results. The continuum model can be scaled to larger domain sizes much more effectively than Brownian dynamic simulations, which require detecting collisions between particles. Collision detection scales as N^2 using a brute-force approach, although algorithms have been developed that produce linear scaling with N [53].

Future Applications

This work focused on the adsorption of hard spheres so that the results of the continuum adsorption model could be rigorously validated by comparison to results from the RSA model and the classical diffusion equation. However, the theoretical foundation of the model is directly applicable to particles with arbitrary force fields. The Widom method of obtaining the generalized blocking function can also be directly applied to configurations of particles with long-range “soft” interaction potentials. Brownian dynamics simulations of particles that interact by means of DLVO forces have already been demonstrated [31, 32, 30]. An advantage of Brownian dynamics is that it is straightforward to add additional forces and second-order effects, such as hydrodynamic interactions, without changing the basic algorithm [30]. A practical challenge to using this method for particles with long-range interactions is that more computational resources will be required for running the Brownian dynamics simulations and characterizing the chemical potential near the surface. Continuing advances in simulation algorithms, parallelization techniques, and microprocessors will make Brownian dynamics simulations even more useful in the near future.

Conclusions

The continuum model presented here reproduces the diffusion and adsorption of hard spheres predicted by Brownian dynamics simulations, while requiring significantly reduced computational resources. Configurations of adsorbed particles from Brownian dynamics were analyzed to obtain an available volume function, which extends the available surface function into three dimensions. The available volume function was used to calculate values for the coefficients of the generalized diffusion equation, and to validate the kinetics predicted by the continuum model. The continuum model can be coupled to a general-purpose CFD solver to predict transport and adsorption in practical applications such as biosensors and models of organs. The method presented here can be extended to make a priori predictions of protein adsorption if the particle-particle and particle-surface interaction potentials can be characterized.

CHAPTER 3. MODELING THE KINETICS OF PROTEIN ADSORPTION

Introduction

The previous chapter advanced the theory of protein adsorption by developing a continuum model that describes the adsorption of three-dimensional particles at an interface. In this chapter, existing continuum models of protein adsorption will be used to interpret experimental measurements of the kinetics of protein adsorption on surfaces with varying properties. Kinetic adsorption models from multiple sources will be formulated in a common mathematical framework and quantitatively compared. Computational fluid dynamics simulations will be used to characterize transport limitations in the flow cell of a whispering gallery mode (WGM) biosensor. The kinetic models will be fitted to experimental data from the WGM biosensor, taking transport limitations into account, and used to test hypotheses about the experimental results.

Alkylsilane Surface Modification

Many studies to date have focused on the adsorption of proteins to alkanethiol self-assembled monolayers (SAMs), which are used to functionalize noble metal surfaces (typically gold). These SAMs are convenient in that they are relatively easy to prepare, present highly ordered monolayers with well-defined composition, and are compatible with integrated electrodes and other sensor systems utilizing metal-coated surfaces, such as surface plasmon resonance

(SPR) sensors. In-depth discussions of alkanethiol SAMs are easily found in the literature [54]. Less attention, however, has been given to alkylsilane monolayers, which are used to functionalize glass or silica surfaces. This may be because they lack the highly ordered packing formed by alkanethiol SAMs (resulting in less well defined surfaces), or a perceived difficulty in the preparation of well-characterized alkylsilane surfaces. This is somewhat unfortunate, as alkylsilanes represent a broad and useful class of compounds that are used in an increasing variety of biomedical and biotechnological applications.

Alkylsilane monolayers are used to modify the surface chemistry of glass and silica surfaces to control the adhesion of proteins and cells. Laser ablation has been used to pattern alkylsilane surfaces to create cytophobic and cytophilic regions that direct the attachment and growth of cells [55]. (3-trimethoxysilylpropyl)diethyltri-amine (DETA) is used as a cytophilic cell culture substrate. 1,1,2,2-perfluorooctyltrichlorosilane (13F) is a hydrophobic perfluorinated SAM that has been used to define cytophobic regions. More recently, tethered chains of polyethylene glycol (SiPEG) have been used as cytophobic SAMs in place of 13F [56]. Surfaces modified with PEG, which is also known as oligo(ethylene glycol) (OEG) or polyethylene oxide (PEO), have been extensively studied because of their resistance to protein adsorption [57].

A biosensor system utilizing whispering gallery mode technology, where the active sensor is typically a silica disk, ring, toroid, or sphere/spheroid, can provide new insights into the adsorption behavior of biomolecules onto alkylsilane-modified surfaces. Glass and silicon oxide surfaces are much more common than metal surfaces in cell culture applications, so silane surface modification is of greater practical importance than thiol surface modification for tissue engineering. To fully understand the behaviour of cells and tissue constructs, it is

critical to understand the underlying processes that govern the adsorption of biomolecules to silica substrates and the alkylsilane coatings used to functionalize them.

Whispering Gallery Mode Biosensing

WGM biosensing is based on monitoring the frequency shift of an optical resonance excited inside a dielectric resonator [58, 59]. In our implementation, near-infrared light is evanescently coupled to a glass microsphere with a radius of 50-200 μm from a tapered optical fiber, which is connected to a tunable distributed feedback (DFB) laser at one end and a photodetector at the other. The laser and detector are used to precisely monitor changes in the resonant wavelength of the microsphere. As proteins or other material accrete at the surface of the microsphere, the effective radius of the sphere increases, resulting in a red shift of the resonant wavelength that can be quantified and used to calculate the average surface density of adsorbed material. Even with a simple experimental configuration [59] it has been shown that a detection limit of $\sim 1 \text{ pg/mm}^2$ can be readily achieved. This is ten times more sensitive than an SPR biosensor and theoretical calculations predict the ultimate detection limit of the method to be close to the single molecule level [60, 61, 62, 63]. These qualities make WGM biosensing an ideal method for studying protein adsorption, as the dynamic range of the method allows measurements to be performed in concentration regimes that previously were unattainable. To date, optical resonators of this kind have been applied to a variety of biosensing applications with great effect. In addition to the inherent sensitivity of the method, standard CMOS technology can be applied to fabricate arrays of resonators on silicon wafers that provide a scalable multiplex sensing capability for detecting multiple biological or chemical markers from a single sample in parallel [64, 65]. Furthermore it has been

shown that these measurements can be performed in complex samples such as blood plasma and serum [66]. This provides an added level of complexity as “real-world” samples such as plasma and serum contain a mixture of hundreds of proteins, which may non-specifically bind to a sensor giving inaccurate readings or false positive measurements.

Fibronectin

The unique capabilities of the WGM sensor were utilized to quantify the kinetics of the adsorption of fibronectin (FN) onto alkylsilane surfaces. Cell studies were then done to evaluate the biological activity of the adsorbed FN [67]. Fibronectin is an important protein in the extracellular matrix (ECM) that mediates the interaction of cells with surfaces, but its activity has been shown to be influenced by its surface structure [68, 69]. Since the adsorption of FN has been widely studied, the results from the WGM instrument could be compared to an extensive amount of data. Fibronectin (FN) is a physiologically important protein in vertebrates. It is abundant in plasma and other bodily fluids, and plays an important role in the extracellular matrix. The structure of fibronectin is complex. The primary structure of FN is a chain composed of three types of repeated modules. Although only one gene codes for FN, alternative splicing of the pre-mRNA results in numerous variants in which modules are added or deleted [70]. X-ray crystallography has been used to determine the secondary and tertiary structure of the three types of modules. The complete FN molecule has not been crystallized, so its secondary and tertiary structure are unknown. It is likely that the secondary and tertiary structure are highly dependent on the local environment. In solution, fibronectin exists as a dimer with two identical subunits linked by disulphide bonds. In the extracellular matrix, fibronectin is assembled into a fibrillar network [71].

Glucose Oxidase

The WGM biosensor was also used to quantify the kinetics of adsorption of glucose oxidase (GO) onto alkylsilane surfaces. The activity of the adsorbed enzyme was measured to provide more information about its conformation on the surface. Glucose oxidase is an important and useful enzyme from a technological standpoint. GO which has been adsorbed or covalently attached to electrodes forms the basis for amperometric glucose sensors [72]. Advances in portable blood glucose sensors have enabled diabetics to monitor and control their blood glucose levels, minimizing the risk of complications from the disease [73]. Perhaps because of its important role in biosensors, glucose oxidase has been thoroughly characterized. This makes it an ideal candidate for testing and validating the accuracy of the WGM biosensor system.

GO is a dimeric glycoprotein that is composed of two identical subunits [74]. The crystal structure of GO from *Aspergillus niger* is available at the Protein Data Bank (1CF3), and its bounding box is $6.0\text{ nm} \times 6.2\text{ nm} \times 7.7\text{ nm}$. Reported values for the molecular mass of GO range from 152 kDa [75] to 186 kDa [76], depending upon the method of purification that was used. The isoelectric point of GO is 4.2 [77]. Many previous studies have focused on the effect of different surface chemistries on the structure and activity of adsorbed glucose oxidase [78, 79]. Atomic force microscopy (AFM) studies have been performed to determine the size and shape of GO adsorbed on various surfaces [80, 81]. Although the immobilization of glucose oxidase has been widely studied, only qualitative results have recently been reported for the kinetics of adsorption [80].

Fitting Kinetic Models to Protein Adsorption Data

As mentioned in the previous chapter, kinetic models have been formulated as boundary conditions for use in computational fluid dynamics (CFD) simulations of the transport and adsorption of proteins [34, 35]. Kinetic models have also been fitted to experimental measurements of adsorption kinetics. An RSA-type model with a simple approximation of transport limitations was fitted to kinetic data from an OWLS adsorption sensor [82]. More recently, a model of adsorption with a post-adsorption transition [83] was fitted to a comprehensive set of kinetic data from a surface plasmon resonance sensor [69]. The concentration near the surface was assumed to be constant. These two approaches were combined in a study in which a Langmuir-type kinetic model coupled to a CFD simulation was fitted to experimental measurements of adsorption kinetics in microcapillaries [33]. This study was unique in that the entire CFD model, including transport and adsorption, was included in the fitting procedure.

Overview

A novel WGM biosensor was constructed and used to quantitatively study the kinetics of adsorption of GO and FN at varying concentrations onto alkylsilane monolayers presenting well-defined surface chemistries: DETA, 13F, and SiPEG. To determine the biological activity of the adsorbed FN, neuronal and skeletal muscle cells were cultured on the modified surfaces in a serum-free culture system [84, 85]. The kinetics of adsorption of glucose oxidase were also measured on the silane monolayers and bare glass with the WGM biosensor, and its enzymatic activity on each surface was determined with a standard assay kit. The WGM biosensor incorporated a flow cell which minimized the effect of transport limitations

on protein adsorption. This, along with the inherent sensitivity of the method, allowed the kinetics of adsorption of FN to be measured at concentrations lower than those that have previously been reported [69]. Multiple kinetic models of protein adsorption were fitted to the measured kinetic curves, and the resulting parameters were used to draw conclusions about the mechanisms of adsorption. To maximize the accuracy of the fitted kinetic parameters, computational fluid dynamics simulations were used to quantify the limitations in the transport of protein to the sensor surface. The results demonstrate that the combination of WGM biosensing, CFD, and kinetic models of adsorption provides a unique capability to quantify protein adsorption on silane-modified surfaces for the purpose of understanding the interactions between tissues and tailored interfaces.

Methods and Materials

Experimental Methods

A whispering gallery mode sensor system was constructed as described in [86, 87]. A schematic overview of the system is shown in Figure 3.1. After the assembly of the flow cell, PBS solution was flowed through the tubing and flow cell until the system reached thermal equilibrium. Protein solution at the appropriate concentration was flowed through the system with a peristaltic pump at a volumetric flow rate of $150 \mu\text{l/hr}$. LabVIEW (National Instruments, Austin, TX) was used to control the sweep of the laser wavelength and acquire data. The data acquisition software tracked the location of each resonant valley in the acquired spectrum using a peak fitting algorithm. All valleys with a FWHM (full width at half maximum) value below a certain threshold were tracked, and the position of each valley minimum was determined by fitting a Bessel function. The position of each resonance

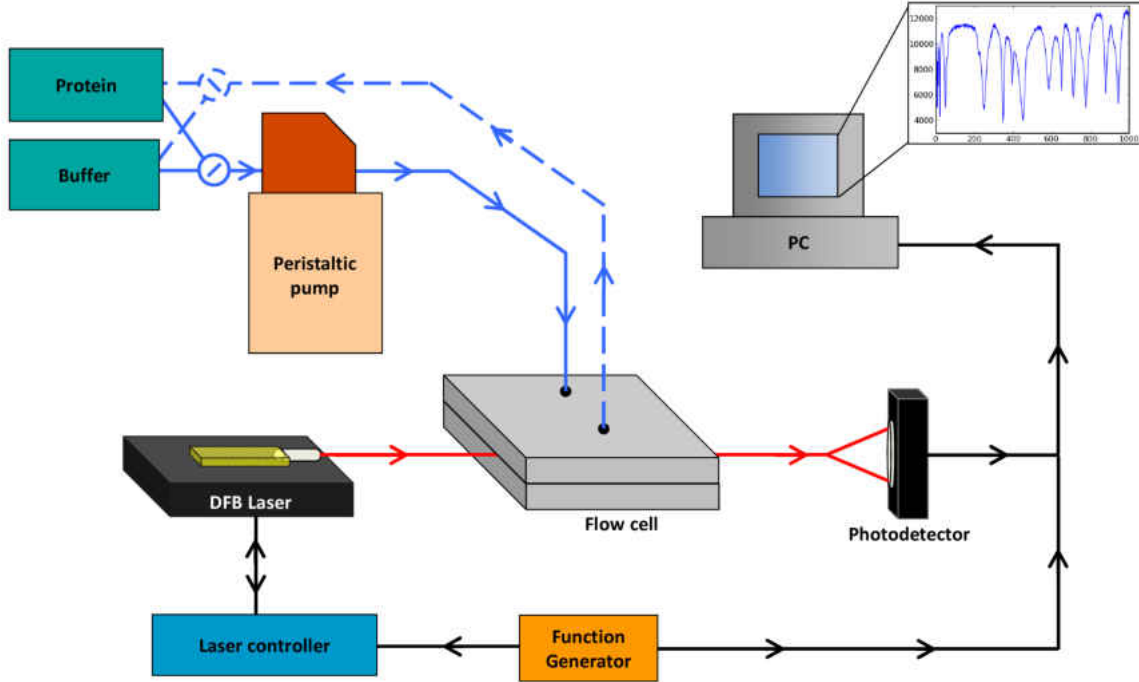


Figure 3.1: Schematic diagram of the whispering gallery mode biosensor

over time was saved to a binary file to be analyzed later.

Data analysis software was written using the Python programming language. The binary file created by LabVIEW for each experiment was loaded into the software and the spectral location (nm) of each resonance was reconstructed over time from the raw data. One resonance, with a continuous trace and the lowest FWHM value, was chosen for further analysis. A linear baseline subtraction was applied to correct for baseline drift. The refractive index of adsorbed protein (about 1.45) is similar to that of glass [88], so adsorbing proteins effectively increase the diameter of the spheroidal resonator. A method based on first order perturbation theory [59, 63] was used to calculate the surface concentration of the adsorbed species Γ ($molecules\ cm^{-2}$) based on the measured change in resonant wavelength $\Delta\lambda$:

$$\frac{\Delta\lambda}{\lambda} = \frac{\alpha_{ex}\Gamma}{\epsilon_0(n_s^2 - n_m^2)R} \quad (3.1)$$

λ is the nominal wavelength of the resonance (1310 nm), $\Delta\lambda$ is the wavelength shift of the resonance, n_s is the refractive index of the spheroid (1.46) [89], n_m is the refractive index of the medium surrounding the sphere (1.3357) [88], α_{ex} is the excess polarizability of the protein molecule, ϵ_0 is the permittivity of free space and R is the radius of the spheroid. The excess polarizability of the protein can be calculated from the refractive index increment dn/dc :

$$\alpha_{ex} = 4\pi\epsilon_0 \frac{n_s}{2\pi} \frac{dn}{dc} m \quad (3.2)$$

where $m = N_A/M$ is the mass (in grams) of a single protein molecule and M is the molar mass. Equation 3.2 can be substituted into equation 3.1 and solved for surface concentration to obtain

$$\Gamma = \frac{\Delta\lambda (n_s^2 - n_b^2) R N_A}{\lambda 2 n_b dn/dc M} \quad (3.3)$$

The molar mass was not needed to calculate surface density ρ ($g\ cm^{-2}$):

$$\rho = \Gamma \frac{N_A}{M} = \frac{\Delta\lambda (n_s^2 - n_b^2) R}{\lambda 2 n_b dn/dc} \quad (3.4)$$

The generally accepted value for the refractive index increment of a dilute protein solution ($0.184\ cm^3\ g^{-1}$) was used to compute the excess polarizability [59, 90]. Spheroid radii were measured from images taken using brightfield microscopy. Two runs for each concentration were averaged for the DETA and 13F surfaces, and a single run was used for each concentration on the SiPEG surface.

Surface Preparation

A single mode optical fiber with an acrylate polymer coating, $9\ \mu\text{m}$ core and $125\ \mu\text{m}$ cladding (SMF-28e+, Corning Inc., Corning, NY) was used to fabricate the resonators [59]. The acrylate coating was first removed using a fiber optic stripper and the stripped region was cleaned with isopropyl alcohol (iPA) to remove any residual acrylate. The end of the stripped fiber was then placed in the flame of a nitrous-butane Microflame torch (Azuremoon trading company, Cordova, TN). A nitrous-butane flame was used due to the very high temperatures needed to melt the glass and form the resonator ($> 700^\circ\text{C}$). The tip of the fiber was placed in the flame until the glass glowed bright white and began to melt. The surface tension of the molten glass caused it to form into a spheroidal droplet. As the tip melted, the fiber was rotated to ensure that the resonator remained centered on the stalk of the fiber. Resonator radii used for these studies ranged from $125\ \mu\text{m}$ to $175\ \mu\text{m}$.

Glass resonators and glass cover slips (for control measurements) were modified with silane surface chemistry to achieve the desired surface properties. The glass was first treated with an oxygen plasma for 20 minutes. All silane solutions were prepared at a concentration of 0.1% (vol:vol) in distilled toluene in an MBraun glove box (Stratham, NH) under anhydrous, low oxygen conditions. Storing and preparing solutions in this way prevented solution phase polymerization of the silane as nascent water vapor and atmospheric oxygen can react with the monomer. Preparation of ^{13}F surfaces was performed in the glove box due to the extreme reactivity of the monomer. Microspheres were immersed in the 0.1% 1,1,2,2-perfluorooctyltrichlorosilane solution for 30 minutes. 5 minutes prior to completion of the reaction, the beaker was removed from the glove box and placed in a chemical fume hood.

Both the SiPEG and DETA surface modifications were performed in a chemical fume hood. The SiPEG coating was achieved by starting with a 0.1% solution (vol:vol) of 2-[Methoxy-poly(ethyleneoxy)propyl]trimethoxysilane (Gelest, Tullytown, PA) and adding concentrated HCl to create a 0.08% HCl solution (vol:vol). The resonators and cover slips were immersed in the resultant solution for 1 hour. DETA coated substrates were immersed in a 0.1% solution (vol:vol) of (3-trimethoxysilylpropyl)diethyltriamine (Gelest) in toluene, gently heated to 65°C over 30 minutes and then allowed to cool for 15-20 minutes. Substrates were rinsed three times with fresh toluene and heated again to 65°C in fresh toluene for 30 minutes. Upon completion of the reactions, the microspheres and cover slips were washed three times in dry toluene and stored in a desiccator until needed. Control cover slips were analyzed by XPS and contact angle goniometry, and the results were consistent with previously published results [56].

Fibronectin

The kinetics of FN adsorption were measured with the WGM biosensor as described in [86]. The experiments will be briefly summarized here. Bovine plasma fibronectin in solution (F1141, Sigma-Aldrich, St. Louis, MO) was diluted with 50mM phosphate buffered saline (PBS) at pH 7.4. Concentrations of $10\ \mu\text{g}/\text{ml}$, $5\ \mu\text{g}/\text{ml}$, $1\ \mu\text{g}/\text{ml}$, $0.5\ \mu\text{g}/\text{ml}$ and $0.25\ \mu\text{g}/\text{ml}$ were used for the experiments. To determine the biological activity of the protein adsorbed on the various silanes, cell culture experiments were performed on silane-coated cover slips that had been treated with $1\ \mu\text{g}/\text{ml}$ of FN in PBS (pH 7.4). Embryonic hippocampal neurons and skeletal myoblasts were cultured on the SiPEG, DETA, and 13F surfaces in a serum-free culture system [84, 85]. After plating, cultures were maintained in a water-jacketed

incubator at 37°C (85% relative humidity) and 5% CO_2 for seven days. Phase-contrast microscopy images were taken during the course of the culture to document the morphology of the cells and, in the case of skeletal myoblasts, the differentiation of the cells into functional myotubes. A live-dead assay (Invitrogen, Carlsbad, CA) was performed at day 7 to determine the amount of living versus dead cells on the cover slips.

Skeletal muscle was dissected from the hind limb thighs of a rat fetus at embryonic day 18 (Charles River Laboratories, Wilmington, MA) as previously described [86]. Purified myocytes were plated at a density of 500-800 cells per square millimeter onto FN-coated cover slips. Myocytes were allowed to attach for 1 hour after which time 3 ml of culture medium (neurobasal media containing B-27 (Invitrogen, Carlsbad, CA)), Glutamax (Invitrogen, Carlsbad, CA), and Penicillin/Streptavidin) was added. Culture medium was exchanged every 4 days.

Embryonic hippocampal neurons were prepared according to a previously published protocol [86]. Rat pups at embryonic day 18 were dissected from timed pregnant rats and the hippocampi were isolated from the embryonic brains. Isolated hippocampal neurons were resuspended in culture medium (Neurobasal / B27 / Glutamax\2122 / Antibiotic-antimycotic) and plated at a density of 75 cells per square millimeter. After plating, 3 ml of culture media was added. Half of the culture medium was changed every 3-4 days.

Glucose Oxidase

Glucose oxidase from *aspergillus niger* was obtained from Sigma-Aldrich (G7141, St. Louis, MO) and diluted to $100\ \mu\text{g}/\text{ml}$ and $10\ \mu\text{g}/\text{ml}$ with PBS at pH 7.4. The kinetics of GO adsorption were measured with the WGM biosensor using the same protocol as the FN

experiments. For the activity assay, glass resonators were placed in a 96-well plate and incubated for two hours in the glucose oxidase solution. The resonators were then rinsed three times with PBS, placed in a new 96-well plate and allowed to soak for two hours in 100 μ l of PBS to remove any reversibly-bound enzyme. The Amplex Red glucose oxidase activity assay (Invitrogen, Carlsbad, CA) was used to test the activity of the enzyme adsorbed to the resonator. 50 μ l of the PBS was removed from each well and 50 μ l of Amplex Red solution was added. A Synergy HT plate reader (Bio-Tek, Winooski, VT) was used read the absorbance of each well at a wavelength of 530 *nm*. A standard curve, created using known concentrations of GO, was used to translate the absorbance measurements into activity units.

Analysis of Transport in the WGM Biosensor

The rate of adsorption on a surface may be limited by the rate at which the chemistry of adsorption takes place, the rate of transport to the surface, or both. Computational fluid dynamics was used to model the transport of protein in the flow cell of the WGM biosensor. Before beginning, the Reynolds and Knudsen numbers were calculated confirm that flow in the device would be entirely laminar and that conventional CFD simulation methods were appropriate. CFD simulations were run with a CFD-ACE+ multiphysics solver (ESI Software, Huntsville, AL) to determine the influence of transport on the adsorption of protein on the resonator surface. For all CFD simulations, the size of the mesh and the time step were refined until the simulation results did not change significantly. Upwind differencing was used to approximate velocity derivatives, a 2nd order limiter was used to approximate concentration derivatives, and the fully implicit Euler method was used for time stepping in transient simulations. A constant-velocity boundary condition was used at the inlet, a fixed-

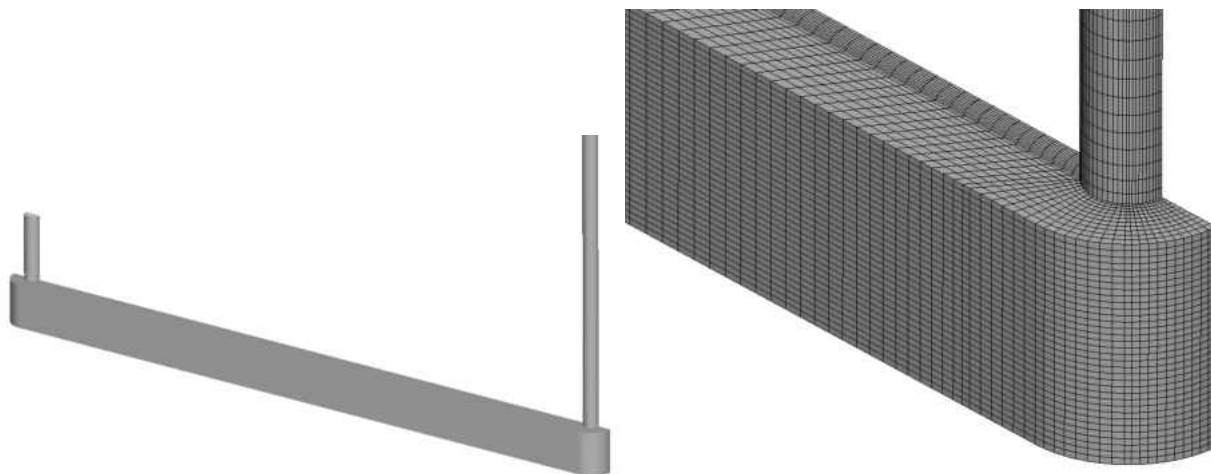


Figure 3.2: Model of the flow cell with tubing used for the first stage of CFD simulations. A close-up of the mesh is shown at right. The inlet tube has been truncated in these images.

pressure boundary was used at the outlet, and no-slip boundary conditions were applied on all the surfaces.

A multi-scale approach was used to obtain high-resolution results while keeping the simulation run time reasonable. A model of the entire flow cell, including the 40 *cm* of tubing between the three-way stopcock and the flow cell, was created using CFD-GEOM and discretized using a structured mesh. The model is shown in Figure 3.2. This model did not include the resonator and waveguide, as they have a minimal effect on the overall flow in the channel. A steady-state simulation was performed first to calculate the flow field. Transient simulations were then performed in which the concentration at the inlet of the tubing was suddenly increased from zero to the target concentration. The concentration of protein was monitored at a point in the flow cell at the location of the resonator, and the simulation was run until the concentration at this point reached the target value. It was assumed that the low concentrations of protein used in these experiments did not affect the flow field significantly, so the transient simulations utilized the flow field from the steady-state simulation

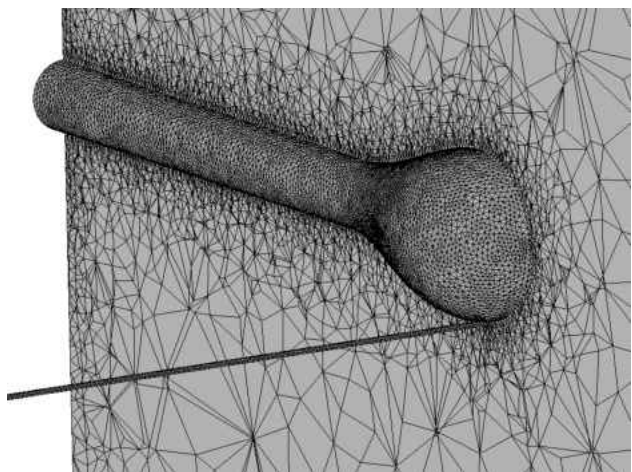


Figure 3.3: 3D model of the resonator and waveguide in the flow cell of the WGM biosensor.

to reduce computation time.

Two additional CFD models were created to simulate the transport of protein near the resonator. A detailed three-dimensional model was used to model the flow field around the resonator and the waveguide as shown in Figure 3.3. This model ran too slowly to be used for transient simulations of adsorption, so a simplified model was created with a two-dimensional axisymmetric geometry as shown in Figure 3.4. Because the axisymmetric geometry models a channel with a circular cross section while the actual channel has a square cross section, the average flow velocity of the inlet boundary condition was adjusted so the velocity near the resonator matched the results from the three-dimensional simulation. Data from the simulation of the whole flow cell was used to set the concentration over time at the inlet of the axisymmetric model. A surface reaction was defined on the surface of the resonator using the Langmuir model built in to the Biochemistry module of ACE+. The purpose of this reaction was to deplete the protein near the resonator at an appropriate rate, rather than to model the actual chemistry of adsorption. An association rate constant of $1.44 \times 10^6 \text{ L mol}^{-1} \text{ s}^{-1}$,

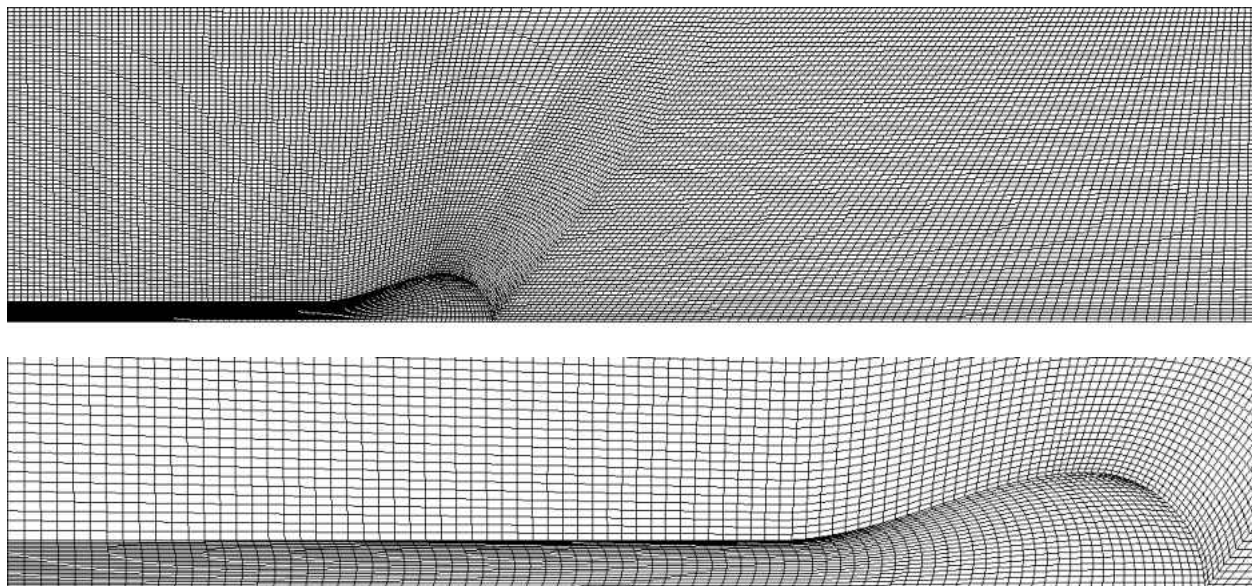


Figure 3.4: Overall mesh for the two-dimensional CFD model and a close-up of the mesh on the resonator.

a dissociation rate constant of $8.9 \times 10^{-4} s^{-1}$, and a maximum density of adsorption sites of $4.4 \times 10^{-9} mol m^{-2}$ were used to match the maximum adsorption rate that was observed in the experimental data for FN on DETA and 13F. A steady-state simulation was used to establish the flow field and a transient simulation was used to determine the concentration of protein near the surface of the resonator. The adsorption simulation mandated a fairly small time step (on the order of $10^{-4} s$), so it was impractical to run the simulation to equilibrium due to the large number of time steps required. The system was simulated for 150-300 seconds (of simulated time). It was assumed that the near-surface concentration increased linearly from the end of the CFD simulation to the target concentration.

Modeling the Kinetics of Protein Adsorption

It is well established that single-layer adsorption models can be stated in the general form

$$\frac{d\theta}{dt} = k_a c \Phi(\theta) - k_d \theta \quad (3.5)$$

where θ is the fraction of the surface covered by adsorbed particles, c is the concentration of protein in solution near the surface, k_a is the adsorption rate constant, and k_d is the desorption rate constant [20]. The function $\Phi(\theta)$ represents the blocking effect of adsorbed particles. For the Langmuir model, the blocking function is simply $\Phi(\theta) = 1 - \theta/\theta_\infty$. The blocking function for the random sequential adsorption (RSA) model is not available in analytic form. A widely used approximation for the random sequential adsorption of spherical particles is

$$\Phi(\theta) = \frac{(1 - \bar{\theta})^3}{1.0 - 0.812\bar{\theta} + 0.2335\bar{\theta}^2 + 0.0845\bar{\theta}^3} \quad (3.6)$$

where $\bar{\theta} = \theta/\theta_\infty$ [52]. Adsorption models of this form assume that proteins behave like hard particles, with the RSA model making a further assumption that the particles pack like spheres.

RSA-Type Model of Adsorption with Transition

Single-step adsorption models cannot adequately predict the kinetics of adsorption for many combinations of proteins and surfaces. More complex models have been developed to account for these experimental results. Because many surfaces cause proteins to denature upon

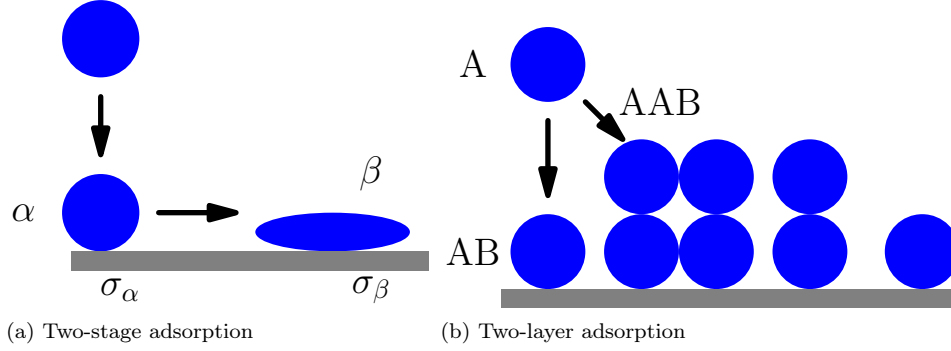


Figure 3.5: Schematic representations of adsorption with post-adsorption transition (a) and two-layer adsorption (b).

adsorption, it is common to model adsorption with a post-adsorption transition. A schematic representation of this process is shown in Figure 3.5a. An adsorbing protein is treated as a hard sphere (state α). After adsorption, the sphere may transition to a second state β with a different radius if there is available space on the surface. This process can be described by the kinetic equations

$$\frac{d\rho_\alpha}{dt} = k_a c \Phi_\alpha - k_s \rho_\alpha \Psi_{\alpha\beta} - k_d \rho_\alpha \quad (3.7)$$

$$\frac{d\rho_\beta}{dt} = k_s \rho_\alpha \Psi_{\alpha\beta} \quad (3.8)$$

The blocking function Φ_α represents the probability that a protein adsorbing from solution is able to find space to adsorb, while $\Psi_{\alpha\beta}$ represents the probability that an adsorbed protein in state α has space to transition to state β . The form of the blocking functions depends upon the assumptions of the model. When the particles are spherical with initial radius R_α and final radius R_β , scaled particle theory (SPT) can be used to derive the following blocking

functions [91]:

$$\Phi_\alpha = (1 - \theta) \exp \left[-\frac{2(\overline{\rho}_\alpha + \Sigma\overline{\rho}_\beta)}{1 - \theta} - \frac{\overline{\rho}_\alpha + \overline{\rho}_\beta + (\Sigma - 1)^2 \overline{\rho}_\alpha \overline{\rho}_\beta}{(1 - \theta)^2} \right] \quad (3.9)$$

$$\Psi_{\alpha\beta} = \exp \left[-\frac{2(\Sigma - 1)(\overline{\rho}_\alpha + \Sigma\overline{\rho}_\beta)}{1 - \theta} - \frac{(\Sigma^2 - 1)[\overline{\rho}_\alpha + \overline{\rho}_\beta + (\Sigma - 1)^2 \overline{\rho}_\alpha \overline{\rho}_\beta]}{(1 - \theta)^2} \right] \quad (3.10)$$

The following non-dimensional variables were defined: $\overline{\rho}_\alpha = \rho_\alpha \pi R_\alpha^2$, $\overline{\rho}_\beta = \rho_\beta \pi R_\alpha^2$, $\theta = \overline{\rho}_\alpha + \Sigma^2 \overline{\rho}_\beta$, and $\Sigma = R_\beta / R_\alpha$. Since the blocking functions derived from SPT describe spherical particles, they can be directly compared to the RSA blocking function. When $k_s = 0$, equations 3.7 and 3.8 reduce to equation 3.5. By setting $\rho_\beta = 0$ and $\Sigma = 1$, equations 3.6 and 3.9 can be compared.

To quantitatively compare different multi-layer models, it is necessary to transform equations from different sources to use a common set of variables. The surface number densities ρ_i used in equations 3.7 and 3.8 can be converted to fractional surface coverage by multiplying by the area covered by an adsorbed particle in state i , σ_i , to obtain:

$$\frac{d\theta_\alpha}{dt} = k_a \sigma_\alpha c \Phi_\alpha - k_s \theta_\alpha \Psi_{\alpha\beta} - k_d \theta_\alpha \quad (3.11)$$

$$\frac{d\theta_\beta}{dt} = k_s \Sigma^2 \theta_\alpha \Psi_{\alpha\beta} \quad (3.12)$$

Langmuir-Type Model of Adsorption with Transition

A different model of adsorption with a post-adsorption transition has been used to fit the adsorption kinetics of the fibronectin fragment FNIII₇₋₁₀ [83, 69]. Written using the same

variables as equations 3.7 and 3.8, the equations that describe this model are

$$\frac{d\rho_\alpha}{dt} = k_a c A_{av} - k_s \rho_\alpha A_{av} - k_d \rho_\alpha \quad (3.13)$$

$$\frac{d\rho_\beta}{dt} = k_s \rho_\alpha A_{av} \quad (3.14)$$

where Y_i is the surface density (ng/cm^2) of adsorbed protein in each state. A_{av} is the surface area (cm^2) available for adsorption, which is given by

$$A_{av} = A_{total} (1 - f \sigma_1 \rho_1 - f b \sigma_1 \rho_2)$$

where $b = \sigma_\beta/\sigma_\alpha$. Since $\theta_i = \sigma_i f \rho_i$ and $f = N_A/M$ (*molecules/ng*), this expression can be written $A_{av} = A_{total} (1 - \theta)$, where $\theta = \theta_\alpha + \theta_\beta$. Equations 3.13 and 3.14 can be written in terms of fractional surface coverage by multiplying both sides by $\sigma_i f$ to obtain:

$$\frac{d\theta_1}{dt} = k \sigma_1 \sigma A_{total} f c (1 - \theta) - s A_{total} \theta_1 (1 - \theta) - r \theta_1 \quad (3.15)$$

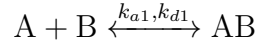
$$\frac{d\theta_2}{dt} = s A_{total} b \theta_1 (1 - \theta) \quad (3.16)$$

It is clear that the blocking function for this model is actually the Langmuir blocking function, with $\theta_\infty = 1$.

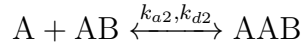
Langmuir-Type Model of Two-Layer Adsorption

A two-layer adsorption model was formulated, based on the assumptions of the Langmuir adsorption model. A schematic representation of this model is shown in Figure 3.5b. The

two-layer adsorption model can be represented by the chemical equations



and



A represents a molecule in solution, B represents an available adsorption site, AB represents a single molecule adsorbed on the surface, and AAB represents a “stack” of two adsorbed molecules. The kinetics of adsorption can be modeled by a set of coupled ordinary differential equations:

$$\frac{d\theta_{AB}}{dt} = k_{a1}c (\theta_{\infty} - \theta_{AB} - \theta_{AAB}) - k_{d1}\theta_{AB} - k_{a2}c\theta_{AB} \quad (3.17)$$

$$\frac{d\theta_{AAB}}{dt} = k_{a2}c\theta_{AB} - k_{d2}\theta_{AAB} \quad (3.18)$$

θ_{AB} is the fraction of the surface covered by a single particle and θ_{AAB} is the fraction of the surface covered by two layers of particles. $\theta = \theta_{AB} + \theta_{AAB}$ is the total fractional surface coverage. Although it is straightforward to solve this set of equations analytically, the resulting formulae are complicated, and the analysis of the solutions is beyond the scope of this work.

Calculating the Surface Density of Active GO

Since the Langmuir and RSA models only allow protein to exist in one state, all of the adsorbed protein must be treated as active or inactive. For the two adsorption models

that incorporate a post-adsorption transition, enzyme in the initial state was assumed to be active, and enzyme in the denatured state was assumed to be inactive. For the two-layer model, it was assumed that enzyme molecules in the upper layer prevented the substrate solution from interacting with molecules adsorbed in the lower layer. Enzyme in the lower layer was therefore treated as inactive. The activity of adsorbed enzyme in contact with the surface which is not screened by an upper layer depends upon the nature of the surface. It was assumed that hydrophilic surfaces (glass and DETA) did not induce denaturation upon adsorption, so single-layer protein was assumed to be active. The surface density of active enzyme was calculated $\rho_{active} = \rho_{AB} + \rho_{AAB}$. For 13F (a hydrophobic surface), it was assumed that adsorption induces denaturation and destroys the activity of the enzyme. Only enzyme in the upper layer was considered to be active, so $\rho_{active} = \rho_{AAB}$. The surface densities of active protein and total protein predicted by the models were plotted and compared to experimental results.

Implementation of Models and Fitting to Experimental Data

A general single-layer adsorption simulation based on Equation 3.5 was implemented using the Python programming language. Various blocking functions, such as the Langmuir and RSA blocking functions, could be plugged into the simulation. Another simulation was created based on equations 3.11 and 3.12 that could utilize either the Langmuir or SPT-derived blocking function. Equations 3.15 and 3.18 were used to create a two-layer adsorption simulation. The differential equations were solved numerically using the *odeint* routine from SciPy [92]. All equations were solved in terms of fractional surface coverage to avoid numerical difficulties that may occur when working with small floating-point numbers. For

comparison with experimental data, the fractional surface coverage predicted by each model was converted to surface density ($ng\ cm^{-1}$) using $\rho_i = \theta_i \sigma_i^{-1} f^{-1}$.

Each model was fitted to the experimental data from the WGM biosensor for glass, DETA, 13F, and SiPEG by adjusting the parameters until the best possible fit was achieved, according to the least-squares criterion. The concentration of protein in solution near the surface was assumed to be constant. For each surface, kinetic curves for all solution concentrations were fitted simultaneously with a single set of parameters using the *leastsq* fitting routine from SciPy, which uses a modified version of the Levenberg-Marquardt algorithm. Third-order splines were used to interpolate the averaged experimental data to the same time points used in the model. The quality of fit was quantified by computing the sum of squared errors (SSE) for the total surface concentration of adsorbed protein measured by the WGM sensor and the surface concentration predicted by the model. The SSE for each experiment was divided by the total number of data points in the data set so that the quality of fit could be compared between data sets with different numbers of time points.

Results

A comparison of the blocking functions of the Langmuir and RSA models is shown in Figure 3.6. The blocking function for protein in the initial state for the model derived from scaled particle theory is also shown. It can be seen that the first-level blocking function derived from SPT is similar but not identical to the RSA blocking function, especially at higher values of fractional surface coverage.

The kinetics of adsorption based on the Langmuir blocking function were compared to the kinetics modeled by the SPT blocking functions, and the results are shown in Figure 3.7.

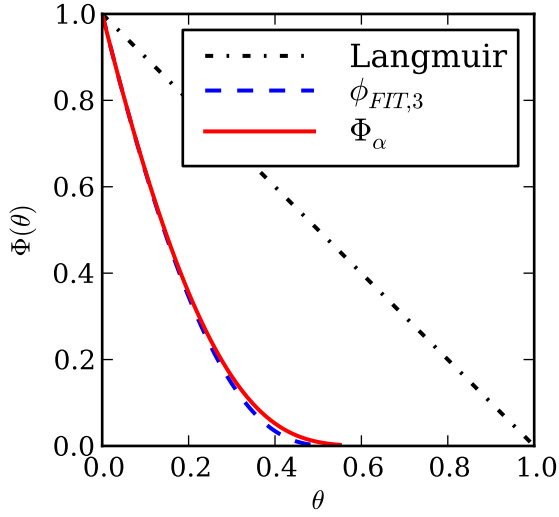
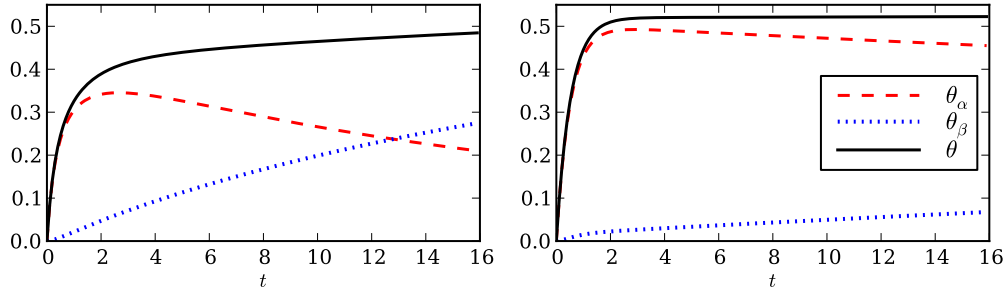


Figure 3.6: Blocking functions from the RSA model ($\phi_{FIT,3}$) and scaled particle theory (Φ_α)

The parameters used for the comparison were taken from Figure 2a from [91]. Note that



(a) Blocking function from scaled particle theory (b) Langmuir two-stage model

Figure 3.7: Comparison of kinetics predicted by SPT blocking function (a) and Langmuir blocking function (b) for $k_a = 1$, $k_s = \pi$, $k_d = \pi$, $r_\alpha = 1$, $\Sigma = 1.2$, and $c = 1$.

Figure 2a from [91] cannot be directly compared directly with Figure 3.7 in this work, because

$$\theta_\beta \neq \overline{\rho_\beta}.$$

Transport Analysis of the WGM Biosensor

The steady-state velocity magnitude predicted by the model of the whole flow cell is shown in Figure 3.8. It can be seen that the flow field around the resonator was symmetric about

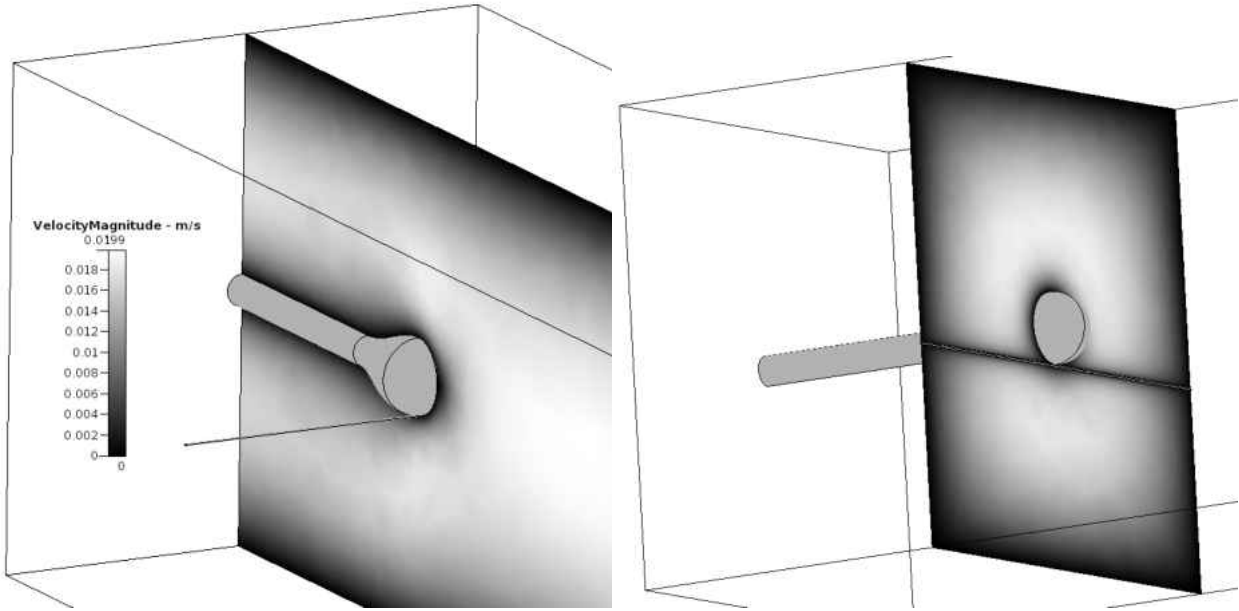


Figure 3.8: The magnitude of velocity predicted by CFD simulations in the vicinity of the WGM resonator.

the long axis of the resonator, with only a minor perturbation caused by the presence of the waveguide. This configuration ensured that the shear rate was constant in the region where the evanescent wave was excited, minimizing any shear rate effects on the adsorption of protein from solution. These results also confirmed that the axisymmetric model was a reasonable choice for simulating the depletion region near the surface of the resonator.

Fibronectin

The evolution of the concentration of FN in solution near the surface of the WGM resonator over time is shown in Figure 3.9. At $10\ \mu\text{g}/\text{ml}$ the concentration near the surface of the resonator required about 150 seconds to reach its final value. Figure 3.9b indicates that the near-surface concentration takes longer to reach its final value as the bulk concentration is decreased. Transport was not analyzed for the SiPEG surface because its low affinity for protein was not expected to deplete protein in solution near the surface significantly. The

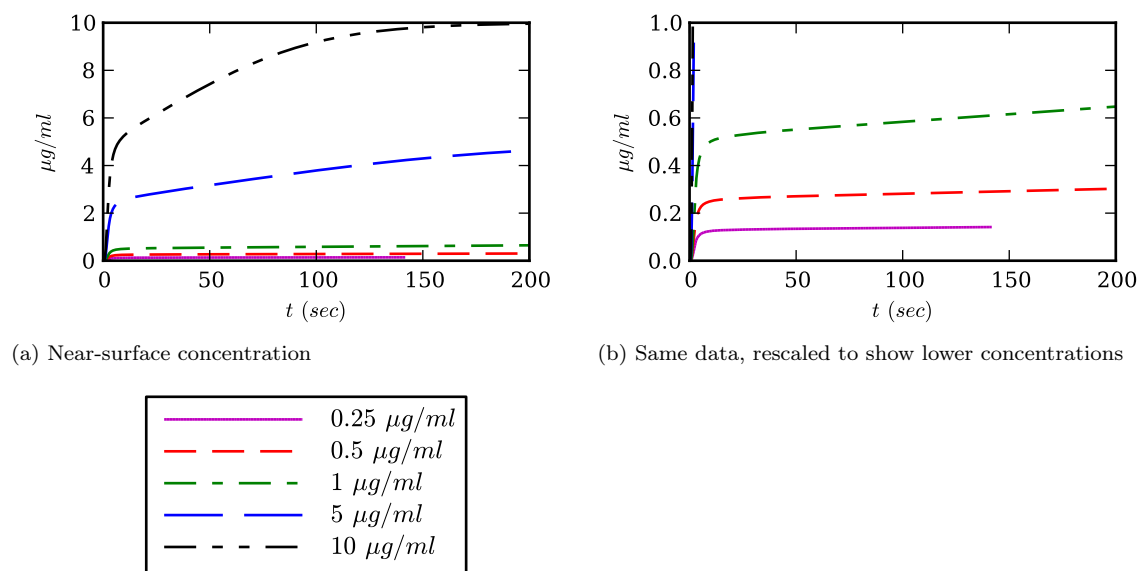


Figure 3.9: CFD prediction of the concentration of FN very close to the surface of the resonator.

surface density of adsorbed FN predicted by the CFD model is shown in Figure 3.10, along with the average experimental data.

Glucose Oxidase

A similar analysis was performed for the transport of glucose oxidase in the flow cell. The predicted concentration near the surface of the resonator with adsorption parameters for GO on glass is shown in Figure 3.11. The results for DETA and 13F are virtually identical. To verify that the correct kinetic constants were used in the Langmuir adsorption model, the surface density of adsorbed protein over time predicted by the CFD simulations was plotted along with the measured surface density. These results are shown in Figure 3.11. Transport was not analyzed for the SiPEG surface because its low affinity for protein was not expected to deplete protein in solution near the surface.

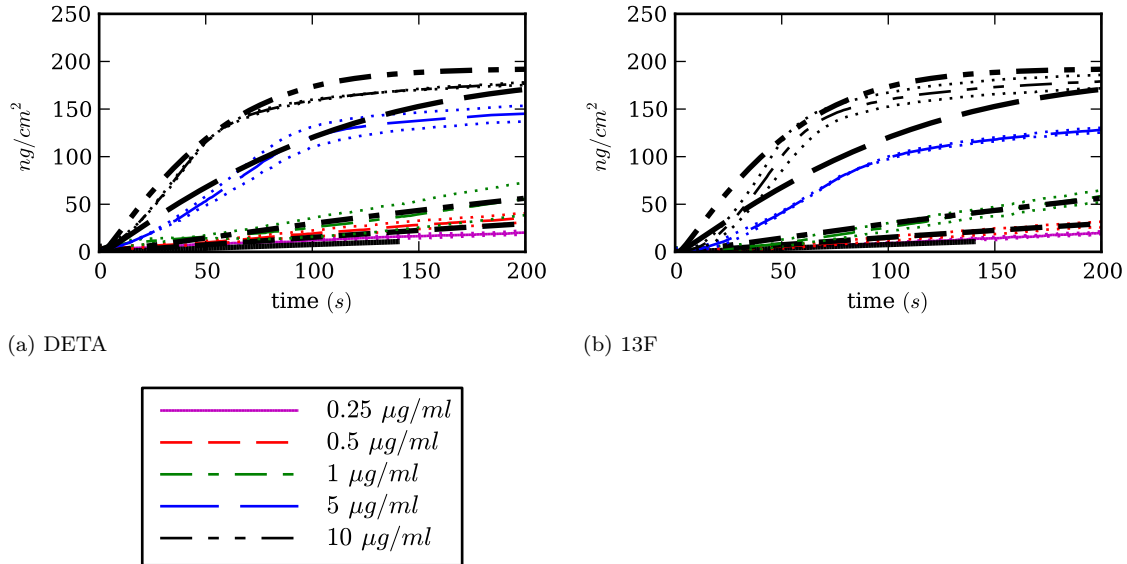


Figure 3.10: CFD predictions and experimental measurements of the surface density of adsorbed FN. Thick lines indicate CFD predictions, while thin lines indicate average experimental data.

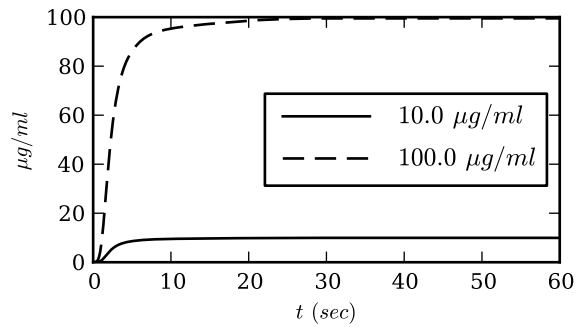


Figure 3.11: CFD prediction of the concentration of GO near the surface of the resonator.

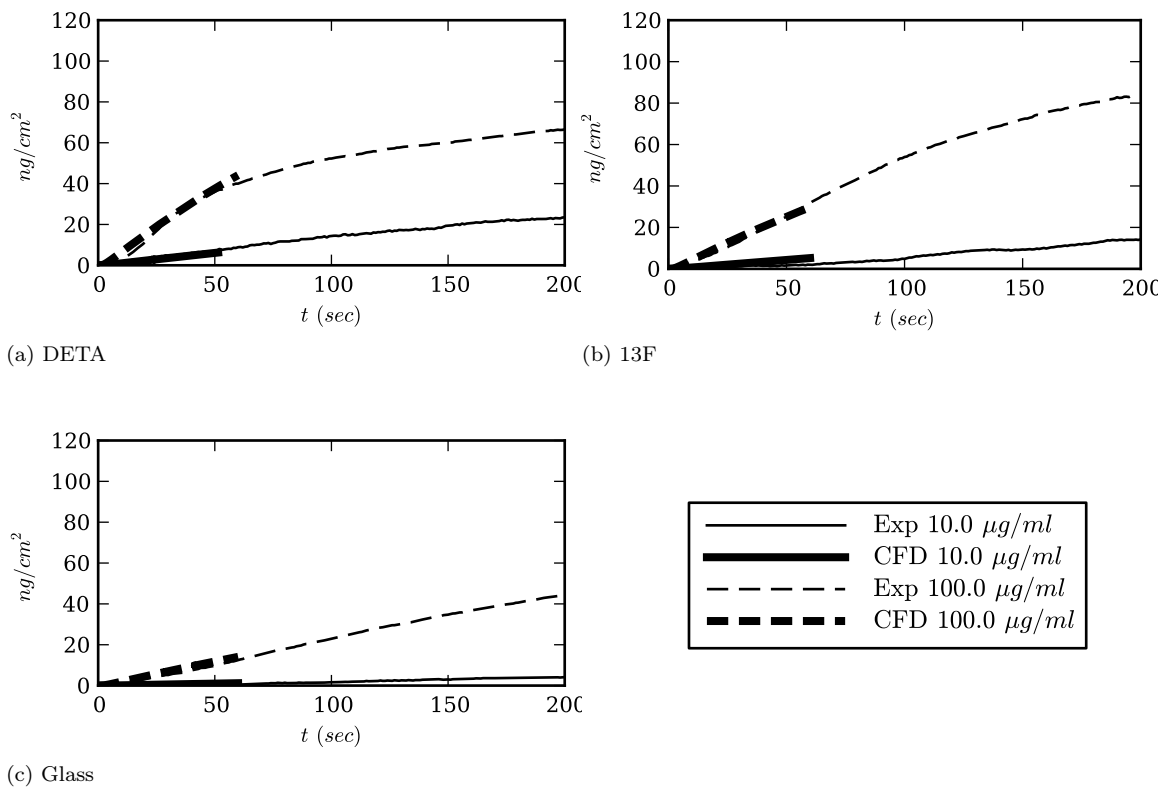


Figure 3.12: Surface density of adsorbed GO predicted by CFD simulation and measured by WGM biosensor.

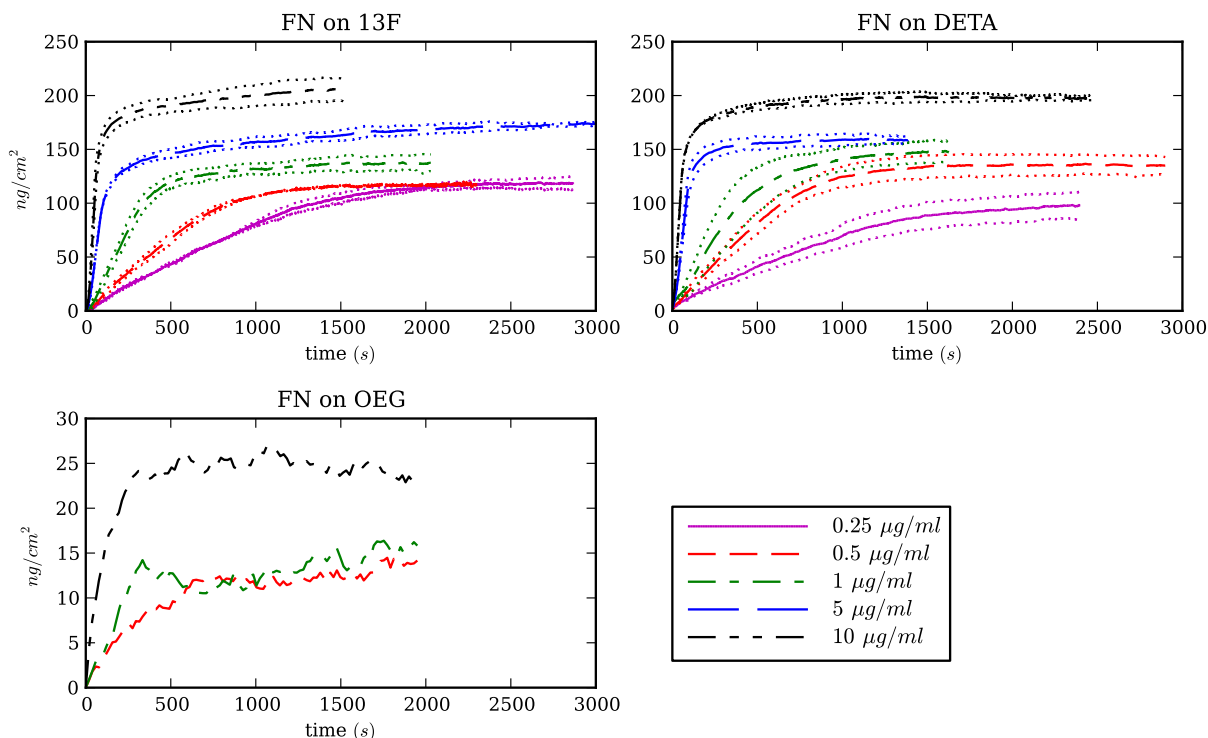


Figure 3.13: Measured adsorption kinetics for fibronectin on 13F, DETA, and SiPEG surfaces.

Table 3.1: Fitted parameter values for FN on DETA.

	$k_a (cm^3 ng^{-1} s^{-1})$	$k_s (s^{-1})$	$k_d (s^{-1})$	$\sigma_\alpha (nm^2)$	$\sigma_\beta (nm^2)$	SSE
RSA	2.05×10^{-6}		3.16×10^{-4}	182		86.1
RSA with transition	2.37×10^{-6}	1.14×10^{-4}	2.12×10^{-4}	203	380	86.0

Modeling the Adsorption of Fibronectin on Silane Surfaces

The kinetics of adsorption of fibronectin on 13F, DETA, and SiPEG are shown in Figure 3.13. The kinetics predicted by the models fitted to adsorption on DETA are shown in Figure 3.14, and the fitted parameter values are shown in Table 3.1. The kinetics predicted by models fitted to FN adsorption on 13F are shown in Figure 3.15, and the parameter values for the fitted models are shown in Table 3.3. The kinetics predicted by models fitted to FN adsorption on SiPEG are shown in Figure 3.16, and the parameter values for the

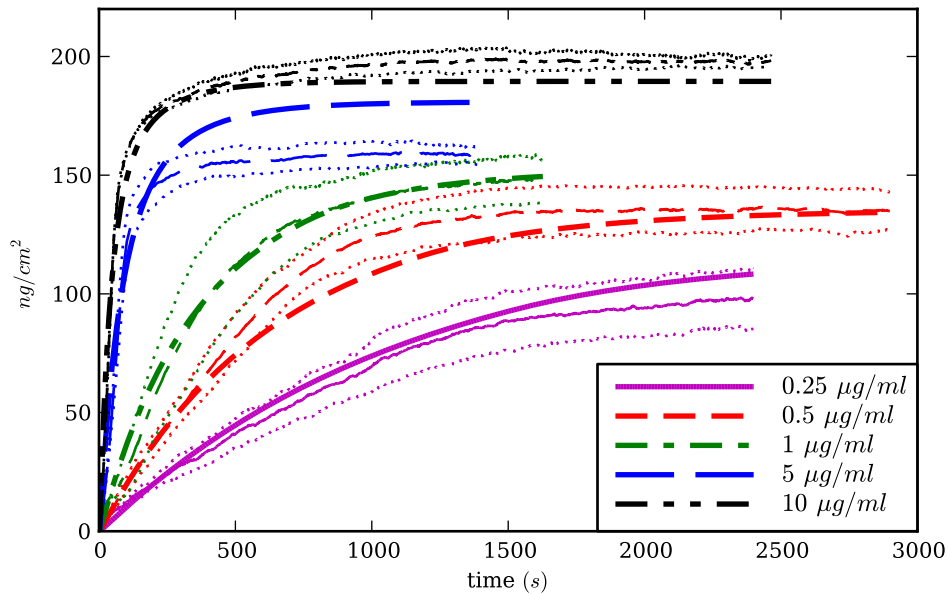


Figure 3.14: RSA model fitted to experimental data for FN on DETA.

Table 3.2: Fitted parameter values for FN on 13F.

	$k_a (cm^3 ng^{-1} s^{-1})$	$k_s (s^{-1})$	$k_d (s^{-1})$	$\sigma_\alpha (nm^2)$	$\sigma_\beta (nm^2)$	SSE
RSA	2.24×10^{-6}		2.49×10^{-4}	196		121
RSA with transition	1.99×10^{-6}	8.00×10^{-3}	9.95×10^{-5}	176	303	85.5

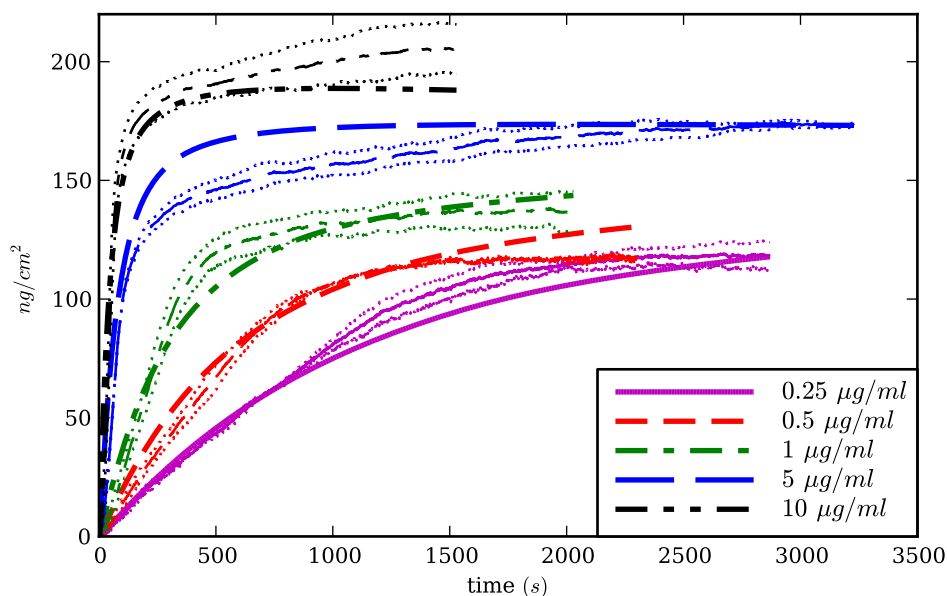


Figure 3.15: Adsorption model with post-adsorption transition fitted to experimental data for FN on 13F.

Table 3.3: Parameter values fitted to FN on SiPEG.

	$k_a (cm^3 ng^{-1} s^{-1})$	$k_s (s^{-1})$	$k_d (s^{-1})$	$\sigma_\alpha (nm^2)$	$\sigma_\beta (nm^2)$	SSE
RSA	9.25×10^{-7}		7.14×10^{-4}	1240		2.7
RSA with transition	1.12×10^{-6}	1.16×10^{-2}	1.04×10^{-4}	1240	3420	1.86

fitted models are shown in Table 3.3. Results of the cell culture experiments are shown in Table 3.4.

Modeling the Adsorption of Glucose Oxidase on Silane Surfaces

The experimentally measured kinetic curves for glucose oxidase on glass, DETA, 13F, and SiPEG, along with the model that achieved the best fit to each data set, are shown in Figures 3.17, 3.18, 3.19 and 3.20, respectively. The initial rate of adsorption was highest on the DETA and 13F surfaces and lowest on the SiPEG surface. The surface density of adsorbed protein reached the highest saturation value on the DETA surface at $100 \mu g/ml$,

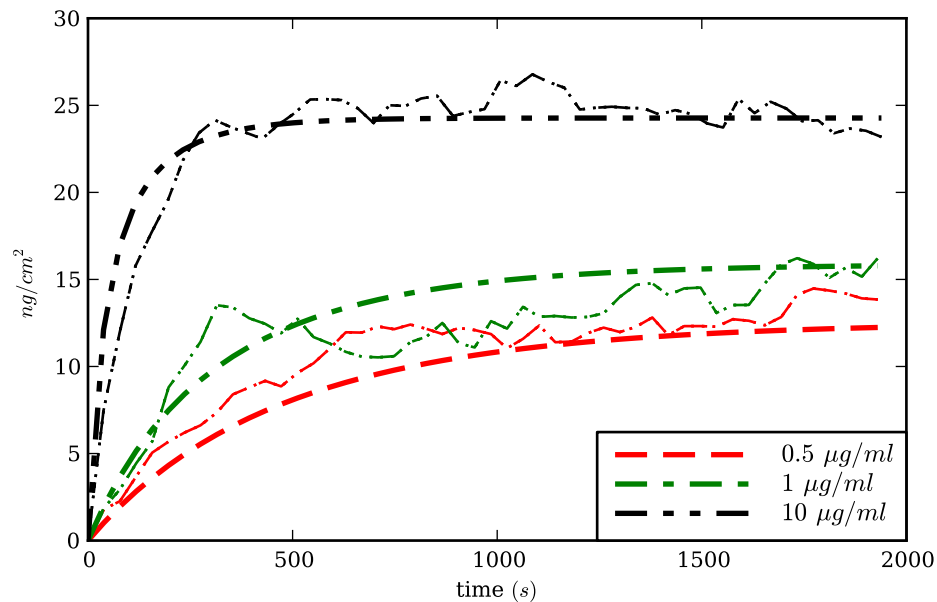


Figure 3.16: RSA model fitted to experimental data for FN on SiPEG.

Table 3.4: Cell counts (mm^{-2}) for embryonic hippocampal neurons and embryonic skeletal muscle cultured on silane surfaces.

Cell Type	Parameter	N	DETA	13F	SiPEG
Hippocampal	Live	9	212 ± 102	1 ± 3	4 ± 5
Hippocampal	Dead	9	340 ± 75	218 ± 81	265 ± 86
Muscle	Live	4	178 ± 43	50 ± 32	0 ± 0
Muscle	Dead	4	63 ± 66	18 ± 14	111 ± 59
Muscle	Myotubes	4	35 ± 13	0 ± 0	0 ± 0

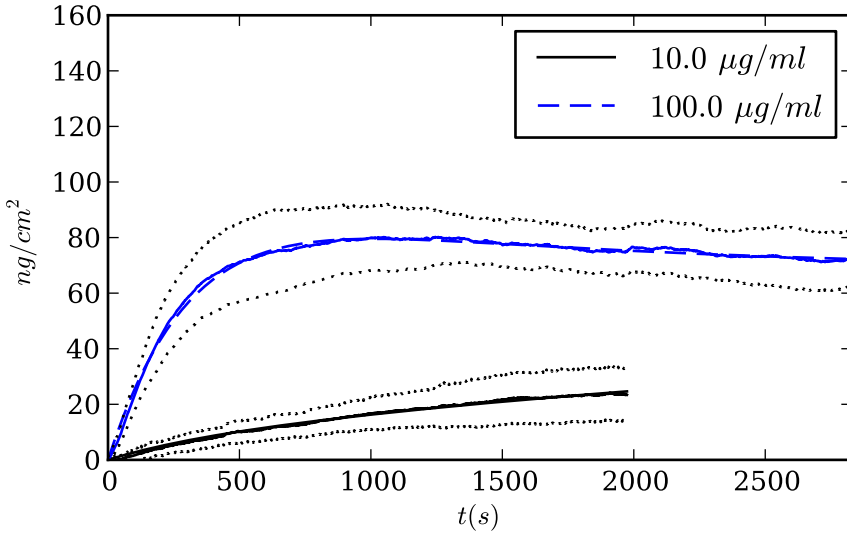


Figure 3.17: Langmuir model with post-adsorption transition fitted to data for GO on glass from the WGM biosensor.

while the highest saturation at $10 \mu\text{g}/\text{ml}$ occurred on 13F. On the 13F surface the saturation surface density at $10 \mu\text{g}/\text{ml}$ was nearly as high as $100 \mu\text{g}/\text{ml}$. Adsorption on the glass surface showed an “overshoot” profile in which the surface density reached a maximum and then decreased gradually with time. The parameter values for the five models fitted to the experimental measurements are shown in Tables 3.5, 3.6, and 3.7, respectively. Only the RSA and Langmuir models were fitted to GO on SiPEG, and the fitted parameter values are shown in Table 3.8.

For GO on glass, the Langmuir-derived models fitted the data slightly better than the RSA-derived models. The Langmuir-type model with post-adsorption transition provided the best fit to the kinetic data. Both models with post-adsorption transition predicted the amount of active protein at $10 \mu\text{g}/\text{ml}$, but not at $100 \mu\text{g}/\text{ml}$, as shown in Figure 3.21. The activity predicted by both models at $100 \mu\text{g}/\text{ml}$ matched the experimental value much more closely if it was assumed that the protein was active in both the initial and final states.

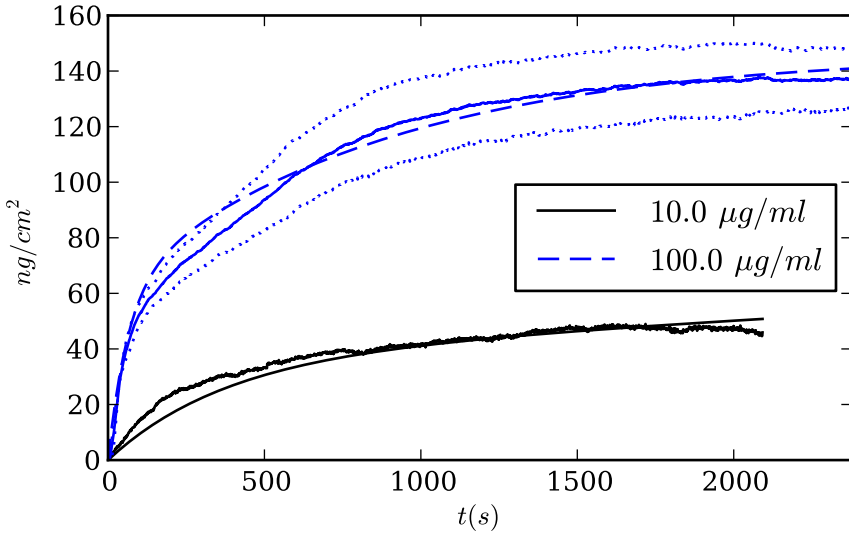


Figure 3.18: Langmuir two-layer adsorption model fitted to data for GO on DETA from the WGM biosensor.

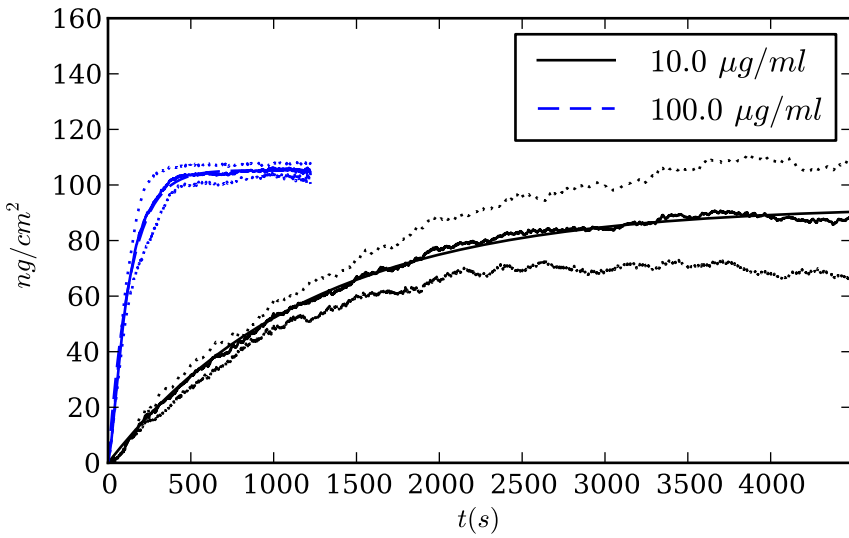


Figure 3.19: Langmuir model with post-adsorption transition fitted to data for GO on 13F from the WGM biosensor.

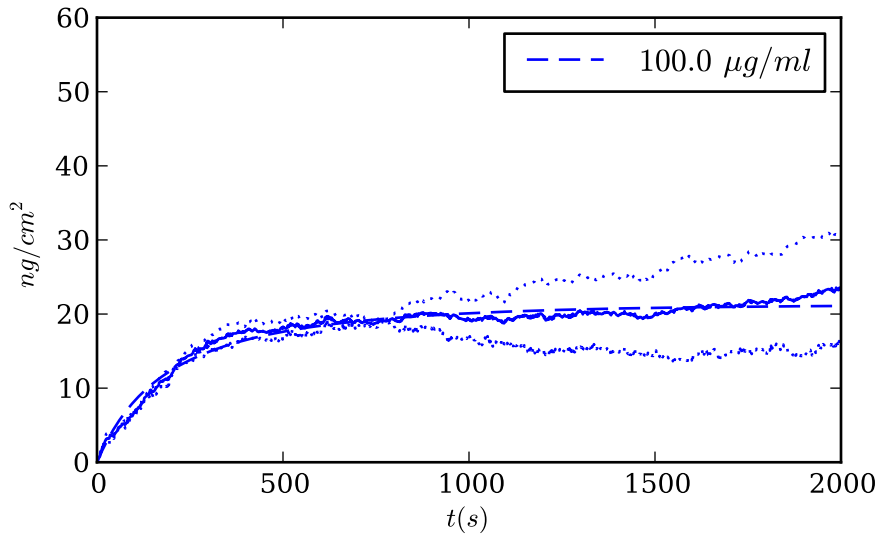


Figure 3.20: RSA model fitted to data for GO on SiPEG from the WGM biosensor.

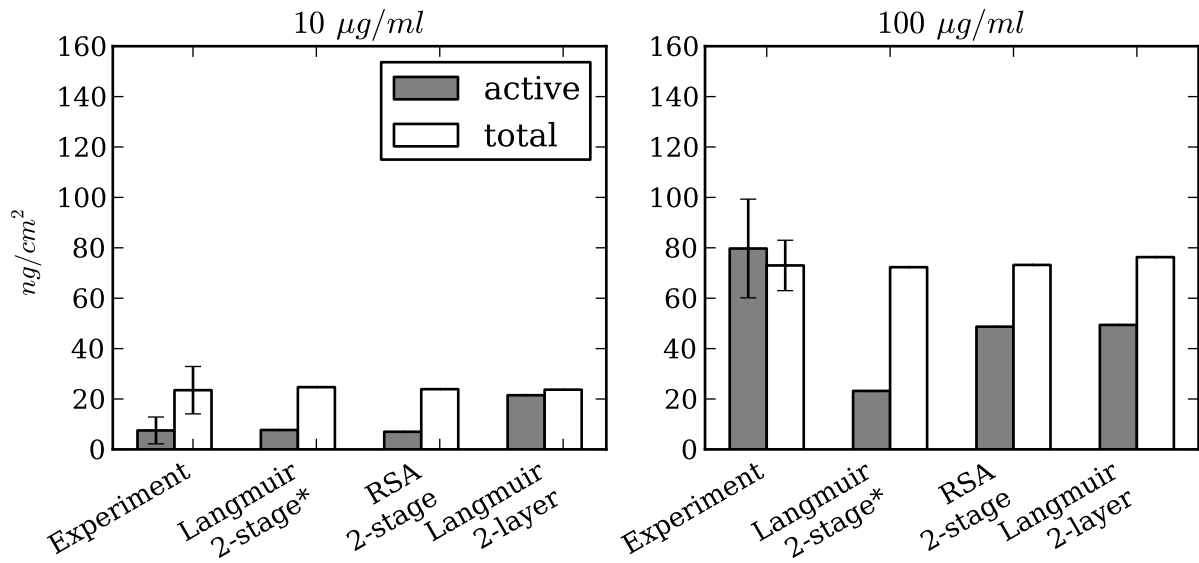


Figure 3.21: Experimental measurements and model predictions of GO activity on a glass surface. The * denotes the model with the best fit to the kinetic data.

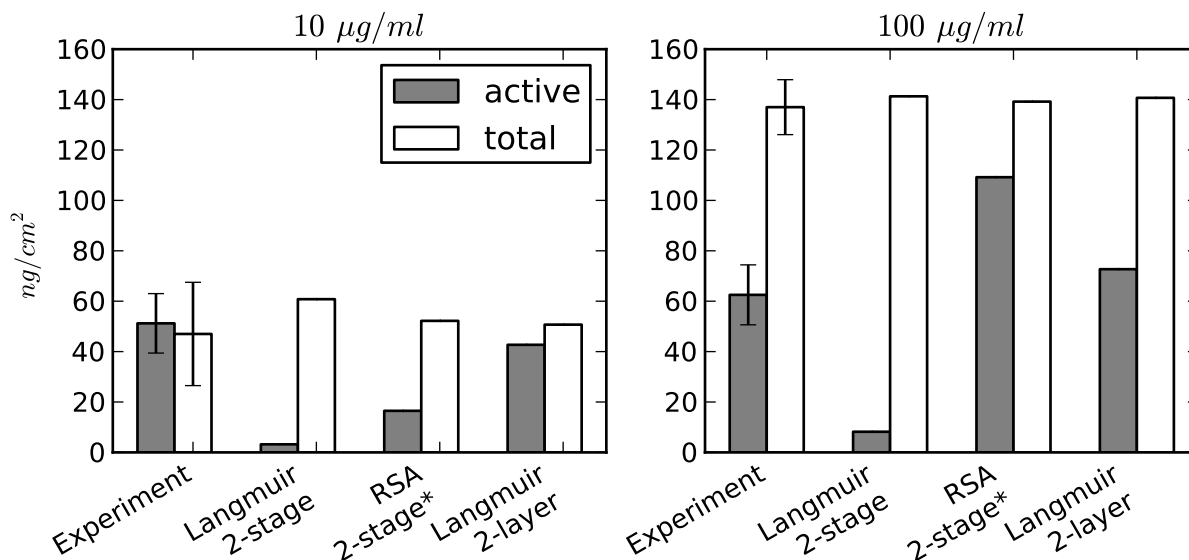


Figure 3.22: Experimental measurements and model predictions of GO activity on a DETA surface. The * denotes the model with the best fit to the kinetic data.

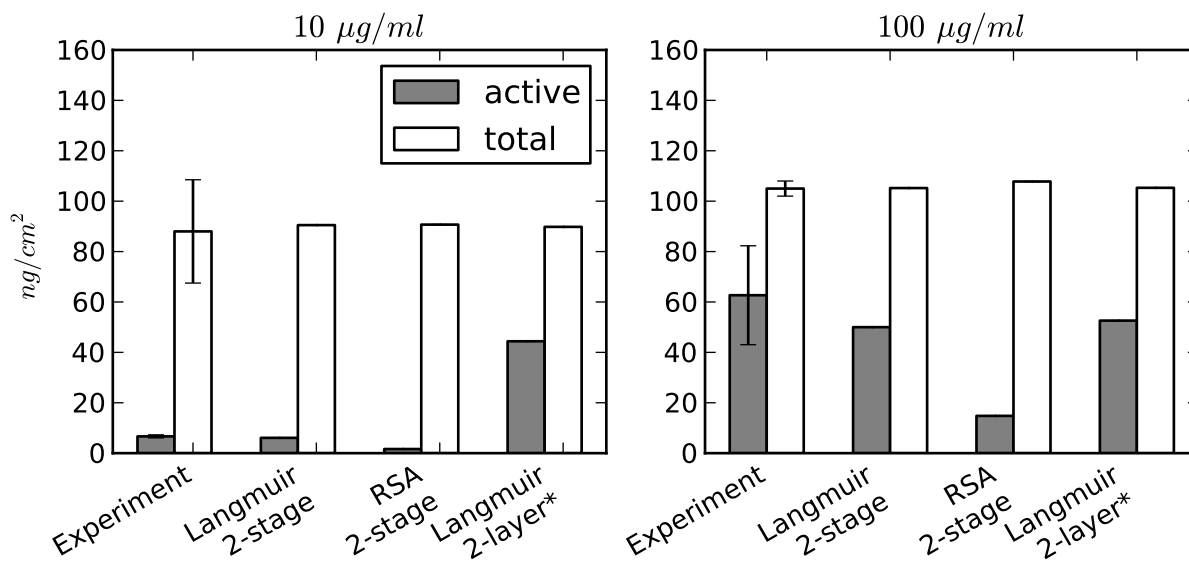


Figure 3.23: Experimental measurements and model predictions of GO activity on a 13F surface. The * denotes the model with the best fit to the kinetic data.

Table 3.5: Parameters fitted to data for GO on glass.

	$k_a (cm^3 ng^{-1} s^{-1})$	$k_s (s^{-1})$	$k_d (s^{-1})$	$\sigma_\alpha (nm^2)$	$\sigma_\beta (nm^2)$	SSE
Langmuir	3.33×10^{-8}		1.15×10^{-3}	257		6.15
Langmuir with transition	1.35×10^{-8}	1.48×10^{-3}	1.75×10^{-3}	122	414	0.73
RSA	1.16×10^{-8}		1.34×10^{-3}	80.8		7.81
RSA with transition	3.80×10^{-9}	2.20×10^{-3}	1.99×10^{-3}	31.5	349	1.19
Two layer model	k_{a1} 1.85×10^{-8}	k_{a2} 5.46×10^{-8}	k_{d1} 2.18×10^{-4}	k_{d2} 4.60×10^{-3}	σ 224	SSE 3.15

Table 3.6: Parameters fitted to data for GO on DETA.

	$k_a (cm^3 ng^{-1} s^{-1})$	$k_s (s^{-1})$	$k_d (s^{-1})$	$\sigma_\alpha (nm^2)$	$\sigma_\beta (nm^2)$	SSE
Langmuir	3.10×10^{-8}		1.83×10^{-4}	190		66.1
Langmuir with transition	1.15×10^{-7}	9.41×10^{-3}	3.22×10^{-2}	161	161	38.7
RSA	1.47×10^{-8}		2.15×10^{-4}	72.6		39.3
RSA with transition	1.19×10^{-8}	0.412	2.33×10^{-4}	28.0	457	6.47
Two layer model	k_{a1} 1.44×10^{-7}	k_{a2} 1.26×10^{-8}	k_{d1} 1.19×10^{-3}	k_{d2} 3.42×10^{-9}	σ 363	SSE 12.7

Table 3.7: Parameters fitted to data for GO on 13F.

	$k_a (cm^3 ng^{-1} s^{-1})$	$k_s (s^{-1})$	$k_d (s^{-1})$	$\sigma_\alpha (nm^2)$	$\sigma_\beta (nm^2)$	SSE
Langmuir	7.20×10^{-8}		1.17×10^{-4}	247		2.45
Langmuir with transition	5.96×10^{-8}	1.14×10^{-2}	6.74×10^{-5}	209	291	2.23
RSA	3.79×10^{-8}		3.81×10^{-5}	103		12.8
RSA with transition	1.27×10^{-9}	0.377	9.73×10^{-3}	4.6	175	2.3
Two layer model	k_{a1} 7.92×10^{-8}	k_{a2} 7.18×10^{-7}	k_{d1} 1.51×10^{-4}	k_{d2} 1.28×10^{-4}	σ 496	SSE 1.82

Table 3.8: Fitted parameters for GO on SiPEG.

	$k_a (cm^3 ng^{-1} s^{-1})$	$k_d (s^{-1})$	$\sigma (nm^2)$	SSE
Langmuir	4.06×10^{-8}	3.67×10^{-4}	684	0.81
RSA	2.18×10^{-8}	2.55×10^{-4}	482	0.73

For GO on DETA, the RSA-derived models fitted the data better than the Langmuir-type models. The best fit to the kinetic curves was achieved by the RSA-type model with post-adsorption transition. However, this model did not predict the amount of active enzyme at $10 \mu\text{g}/\text{ml}$ or $100 \mu\text{g}/\text{ml}$, as shown in Figure 3.22. The two-layer model does not fit the kinetic data quite as well, but it does predict the amount of active enzyme very well. For GO on 13F, the two-layer model and the Langmuir-type model with post-adsorption transition fitted the kinetic data well. Although the two-layer model fitted the kinetic data slightly better, Figure 3.23 indicates that it does not accurately predict the amount of active adsorbed protein. The Langmuir-type model with a post-adsorption transition predicted the amount of active protein very well.

Discussion

Although the whispering gallery mode biosensor we constructed is more sophisticated than any WGM biosensor that has been previously reported, it is still a prototype system with significant room for improvement. The sensitivity of the instrument could be improved by reducing the effect of temperature on the resonant wavelengths of the spheroid. This could be accomplished by actively stabilizing the temperature of the instrument or measuring the temperature constantly and compensating for the temperature drift in software. Temperature stabilization should eliminate the need to perform a linear baseline subtraction on the data. The instrument could be made significantly easier to use if the resonator and waveguide did not have to be fabricated separately and assembled by hand.

The methods used to convert the raw data (resonance wavelength over time) to final data (adsorbed surface density over time) could also be improved. Equation 3.4 depends upon

several constants taken from literature, such as the refractive index of adsorbed protein and the refractive index increment of protein in solution. The refractive index of adsorbed protein is often taken to be constant [88]. More recent measurements have shown that the refractive index actually depends upon the density of the adsorbed layer, which is influenced by the size of the protein, the solvent conditions and the relaxation of the protein on the surface [90]. Refractive index increments for many proteins have been tabulated, and generally fall into the range of $0.18 - 0.20 \text{ cm}^3 \text{ g}^{-1}$ [93]. However, the refractive index increment depends on the wavelength and solvent conditions, and the infrared laser used in the WGM biosensor has a significantly longer wavelength (1310 nm) than the wavelengths at which the refractive index increment is usually measured ($\sim 600 \text{ nm}$) [94]. The absolute surface densities reported by the instrument could be made more accurate by measuring these values for the particular proteins and solution conditions used in the protein adsorption experiments.

Comparison of Adsorption Models

Langmuir-type and RSA-type models are based upon different assumptions about adsorption behavior. RSA models assume that proteins act like hard spheres. Because the spheres adsorb randomly on the surface, the surface packing is inefficient and the fractional surface coverage reaches its maximum value around 0.547. Although it is well known that proteins such as fibronectin are nothing like hard spheres, the RSA model is surprising useful for fitting adsorption data for a wide variety of proteins. The Langmuir model makes no assumptions about the shape of the adsorbing particles and assumes that the surface available for adsorption is a linear function of the surface coverage. Adsorption stops when a limiting surface coverage has been reached, which may be less than 100% surface coverage

if there is a limited surface concentration of adsorption sites. Reality is probably somewhere in between the two extremes, with proteins adsorbing in a random sequential fashion but packing somewhat more effectively than spheres due their flexibility. Fitting the RSA and Langmuir models to the same data set and seeing which model fits better can indicate whether a particular protein is hard or flexible (on a particular surface under specific solvent conditions).

Two different kinetic models have been proposed in the literature to model the adsorption of protein with a post-adsorption transition. The model by Brusatori and Van Tassel [91] is analogous to the RSA model for adsorbing disks because it was assumed that the particles were circular before and after the transition. Ideally, the blocking function used in this model (equations 3.9 and 3.10) should produce the same results as the RSA blocking function (equation 3.6) when the radius of particles in state β is identical to the radius of particles in state α . Figure 3.6 demonstrates that there is a small difference between the blocking functions. This difference is probably because neither blocking function is exact; the equation for the RSA blocking function is fitted to simulation data and the two-stage blocking function is also an approximation. If the RSA model and the transition model are fitted to the same data set, the fitted parameters will not be identical, even if the transition rate constant is very small.

When the model used by Michael et al. [69] was written in terms of a different set of variables, it was revealed that the model uses a Langmuir-like blocking function. This result shows that the relationship between the kinetic models stated and Michael et al. and Brusatori and Van Tassel is analogous to the relationship between the RSA model and the Langmuir model. This is illustrated in Figure 3.24. Although the kinetics of the total

Langmuir	RSA
Michael et al.	Brusatori et al.

Figure 3.24: Relationship between adsorption models.

fractional surface coverage θ are similar for both models, Figure 3.7 shows that the kinetics of θ_α and θ_β are very different for the two models. This difference has significant implications for modeling experimental data if a protein in state α functions differently than a protein in state β .

Transport Analysis

Although the flow cell was designed to minimize transport limitations, CFD simulations showed that the near-surface concentration of fibronectin was influenced by transport limitations early in the adsorption process on DETA and 13F surfaces. Initially, transport was limited by the large amount of buffer that had to be displaced from the flow system before the protein solution could reach the resonator at full concentration. This limitation was due to the prototype nature of the system, and can be easily eliminated in future systems. After the protein solution in the bulk of the flow cell reached its target concentration, the rate of adsorption onto the resonator was high enough to deplete the fibronectin in a boundary layer near the resonator. Because of the no-slip boundary condition at the surface and the absence of turbulent mixing, diffusion was the only way that fibronectin could be transported to the surface to adsorb. Fibronectin is a relatively large protein with a correspondingly low diffusion coefficient, so its rate of diffusive transport was rather low. This type of limita-

tion is virtually unavoidable in microfluidic systems when the rate of adsorption is high and the solution concentration is low. The near-surface concentration predicted by CFD was used when fitting the adsorption models to the fibronectin data, enabling accurate kinetic parameters to be extracted even in the presence of transport limitations.

The shape of the kinetic curves predicted by CFD simulations for the adsorption of FN did not match the shape of the experimentally measured curves during the first minute or so of adsorption. The experimental curves have a “sigmoidal” shape in which the rate of adsorption increases during the first 30 seconds, while the maximum rate of adsorption occurs at $t = 0$ for the predicted curves. Since the displacement of buffer from the system and the development of the near-surface depletion layer have been modeled, another mechanism must be hypothesized to account for this discrepancy. It is likely that a significant amount of protein adsorbed to the silicone tubing between the reservoir and the flow cell and to the channel walls in the flow cell. The rate of adsorption to these surfaces would be highest when protein solution was first introduced into the system, leading to a depletion of protein in the solution that reached the resonator. These surfaces would quickly saturate, reducing the rate of adsorption to the tubing and allowing the protein solution to reach the resonator at the desired concentration.

In the experiments reported here, transport limitation had much less influence on the adsorption of glucose oxidase. GO is a smaller protein than FN, its initial rate of adsorption is lower and the molar concentrations of GO used in these experiments were higher than the concentrations of FN. GO reached 99% of full concentration at the resonator surface within 20 seconds, so the near-surface depletion had minimal impact on the kinetics. Constant concentration was assumed when fitting the kinetic adsorption models to the GO data. The

association rate constants from fitted models (Tables 3.14-3.16 and 3.5-3.8) demonstrate that GO has a much lower affinity for the surfaces than FN, which explains why its maximum rate of adsorption is lower and transport limitation is much less significant.

Our modeling method was approximate in that the evolution of the near-surface concentration over time was computed once, based on the estimated adsorption rate, and was not modified during the curve-fitting procedure. A more accurate method would be to incorporate the CFD model into the fitting routine so that the near-surface concentration would be updated as the adsorption rate changed [33]. However, this method is only practical when the CFD simulation is simple enough to run very quickly. It was also assumed that the near-surface concentration increased linearly from sixty seconds until the target concentration was reached. Although this probably had a slight impact on the modeled kinetics, it was better than assuming that the concentration near the resonator remained constant.

Fibronectin Adsorption

The saturation surface concentration of FN, as measured by the WGM system, was compared to previously published results for solution concentrations of $1 \mu\text{g}/\text{ml}$ and $10 \mu\text{g}/\text{ml}$ as shown in Table 3.9. The WGM measurements compare favorably with previously published results for a solution concentration of $10 \mu\text{g}/\text{ml}$. Although different measurement techniques and surface preparations were used, the surface concentrations on hydrophobic and hydrophilic surfaces were fairly similar among the various references (reference [97] being the only exception). The saturation surface concentration of FN on hydrophilic neutral surfaces measured by the WGM sensor also indicated excellent agreement with previously published results. However, the amount of adsorbed protein measured by the WGM system at lower concen-

Solution Concentration ($\mu g/ml$)	Hydrophobic Surface	Hydrophilic Charged Surface	Hydrophilic Neutral Surface	Ref	Notes
10	200 (13F)	190	25	This work	
10	170 (CH ₃)	170	30	[95]	
10	160 (CH ₃)		30	[96]	
10	140	140	50	[69]	FNIII ₇₋₁₀ fragment
10	110	70	25	[97]	
10	~ 175	~ 175		[98]	Saturation value inferred
1	135 (13F)	137	14	This work	
1	20(CH ₃)	20	10	[95]	
1	20(CH ₃)		5	[96]	
1	30	25	10	[69]	
1	10	10	10	[97]	

Table 3.9: Saturation surface density of adsorbed fibronectin (ng/cm^2) from this work and previous studies reported in the literature.

trations was significantly greater than the amount reported in previously published results for hydrophobic and hydrophilic charged surfaces. In contrast, the surface concentration value for a neutral hydrophobic surface was in good agreement with previous results. The discrepancy between the WGM sensor results and the other methods at low concentrations may be explained by differences in the measurement system, the surface chemistry, or the adsorption process. Since the limiting surface coverages measured by the WGM sensor agree well with other techniques for $10 \mu g/ml$ and for neutral hydrophilic surfaces at $1 \mu g/ml$, the results from the WGM sensor can be considered reliable. It is likely that if systematic errors were inherent to the WGM method, those errors would be reflected throughout all solution concentrations measured.

One possible interpretation of the higher saturation values measured at $1 \mu g/ml$ and lower on 13F and DETA could be the relative packing order of silane monolayers compared to alka-

nethiol monolayers. Alkanethiol SAMs are known to create highly ordered monolayers due to their tight packing on highly ordered gold films [99]. Because of this tight packing only the terminal functional groups of the alkanethiol are presented at the surface, resulting in highly defined surface chemistries. Alkylsilane monolayers, which are formed on silica surfaces, are less tightly packed and therefore form less ordered monolayers, which can potentially present more than just the terminal functional group. It has been hypothesized that this may result from interaction of electron donating groups of the silane side chain with silanol groups at the surface resulting in reaction site-limited substrates [55]. This can lead to incomplete monolayers that allow interaction of protein with the unreacted substrate or allow sufficient degrees of freedom for the silane side-chains to adopt multiple conformations, creating less ordered monolayers that can rearrange to accommodate the native protein structure. At the high concentrations the amount of protein available to bind would swamp out these effects but they would be present at the lower concentrations. This could explain why the differences between the silane chemistry used in this work and the alkanethiol chemistry used in previous work [95] did not show up at $10\ \mu\text{g}/\text{ml}$. Thus, this additional degree of freedom would allow the long side chains to rearrange to accommodate greater protein interaction for structural stabilization and higher coverage or to expose new surface sites for increased protein adsorption. This would not be possible with the tightly packed alkane thiol monolayers. This effect could also have major consequences for protein function, as described later.

Another significant difference between the WGM measurement system and previous work was that the protein was deposited on the WGM resonator under flow conditions and the measurement was continued until saturation was reached, while previous measurements were made after exposure to a static FN solution for a fixed amount of time (30-60 minutes.)

The combination of high-affinity surfaces and low solution concentration is conducive to transport-limited adsorption, which could explain the discrepancy between WGM and static experiments for hydrophobic and charged hydrophilic surfaces. In contrast, neutral hydrophilic surfaces have a much lower affinity for protein, so depletion of protein near the surface would be much less of a factor, resulting in good agreement between the WGM and static measurements.

Fibronectin on DETA and 13F

The fitted parameters of the RSA model were very similar for FN adsorption on DETA and 13F surfaces, reflecting the similar shapes of the experimental curves. The RSA model fitted the DETA data well, indicating that the assumptions of the RSA model were valid for the process of adsorption on DETA. This result was confirmed by the fitting results for the two-stage adsorption model. The mean squared error for the model with transition was only slightly lower than the error for the fitted RSA model. We conclude that fibronectin adsorbs on DETA with a well-defined footprint, which does not change significantly after adsorption. This result is consistent with the well-established theory that proteins generally experience less denaturation on a hydrophilic surface than on a hydrophobic surface [15]. The experimental results for FN on 13F showed significant deviations from the RSA model in the saturation region, especially at higher solution concentrations. The two-stage model allows particles to change size after adsorption, which significantly improved the fit of the model to the data for FN on 13F. The fitted values for the association constant were quite similar for DETA and 13F, but the transition rate constant k_s for the 13F surface was an order of magnitude larger than k_s for the DETA surface. The results from the fitting process

indicated that FN denatured after adsorption on 13F, which had been previously postulated for certain hydrophobic surfaces [68, 100].

Fibronectin on SiPEG

The RSA model fitted the SiPEG data well. The association rate constant for FN adsorption on SiPEG was lower than the values for DETA and 13F while the dissociation rate constant was higher, which is expected for a protein-resistant surface. This result is consistent with findings that SiPEG is an electrostatically neutral surface that does not exhibit coulombic attraction for proteins in solution. Surprisingly, the fitted radius of FN adsorbed on SiPEG was more than twice the fitted radius of FN adsorbed on DETA or 13F. For the two-stage model, the transition rate constant for adsorption on SiPEG was significantly higher than for the other surfaces. The fitted pre-transition radius and post-transition radius of adsorbed FN were also larger for SiPEG than DETA or 13F. The large radius predicted by the RSA model and the significant transition predicted by the two-stage model seemed to indicate that FN denatures after it adsorbs to PEG. This prediction was not consistent with the well-known observation that proteins in contact with hydrophobic surfaces tend to denature, while proteins in contact with hydrophilic, charged surfaces tend to retain their native conformations. However, it also may indicate that the SiPEG surface could be promoting the denaturation of adsorbed proteins, which could explain why it is a cell-resistant surface despite being hydrophilic.

Although the SSE of the fitted two-stage model was about 30% lower than the SSE for the RSA model, the absolute change in SSE was relatively small, and may not be significant. It is possible that the two extra variable parameters (transition rate constant and post-transition

radius) are redundant for the SiPEG surface, in which case their fitted values should not be considered significant. It is also possible that the radius predicted by the fitting process for SiPEG is an artifact caused by fitting the data with a model that is not well suited to the surface chemistry. Given the assumptions of the RSA model, surface coverage can reach saturation in only two ways: either the rate of desorption equals the rate of adsorption, or there is no space left on the surface for another protein to adsorb. The second case may not apply to an adsorption-resistant surface like SiPEG. However, combinations of parameters and that fitted the initial adsorption kinetics did not predict the low saturation level of protein observed in our experiments. One possible explanation is that FN adsorbed to a small number of defects in the SiPEG monolayer, which could explain both the rapid initial adsorption and the small amount of adsorbed protein when the surface is saturated. If this were the case, a site-limited adsorption model like the Langmuir model may be better for modeling adsorption on SiPEG. Our prototype instrument did not have the sensitivity to perform a more thorough study of adsorption on SiPEG at low solution concentrations. Future systems based on whispering gallery mode technology have the potential to study the adsorption of proteins on SiPEG surfaces in greater detail, which could lead to greater understanding as to why SiPEG resists protein adsorption.

Cell Growth and Survival on FN-Coated Alkylsilane Monolayers

Both the embryonic hippocampal neurons and myocytes showed significantly better survival on DETA surfaces than 13F surfaces. However, measurements with the WGM biosensor indicated that the amount of adsorbed protein measured on the 13F surfaces was comparable to that of DETA, indicating that the conformation of adsorbed FN, and its function,

was just as important as the quantity of FN for cell survival. This is consistent with the postulate made above that the silane monolayers are able to rearrange to accommodate more protein and that the DETA surface, being charged and hydrophilic, could accommodate the functional conformation of the FN so little or no denaturation would occur. Conversely, the hydrophobic side chains of the 13F could rearrange to allow for the adsorption of more protein but would also promote the exposure of the protein's hydrophobic core, thus denaturing the protein and deactivating its biological activity as postulated previously for hydrophobic surfaces [95].

Results from the skeletal myocyte culture (Table 3.4) provided further information about the bioactivity of absorbed FN. Skeletal myocytes are precursor cells that fuse and differentiate into contractile myotubes. This differentiation is mediated by, among other factors, the interaction of the $\alpha 5 \beta 1$ integrin receptors on the surface of the myocytes with the cell binding domain of the FN molecule [69]. Without this interaction, myotubes do not form. The muscle cell culture on 13F indicated that while a significant number of cells survived, no myotubes formed. The number of dead cells was actually less than that of SiPEG or DETA, and the fact that so many cells survived on the 13F substrate indicates that there was enough protein adsorbed to the surface to promote adhesion. However, the lack of myotube formation indicates that FN adsorbed on 13F had reduced biological activity due to its denaturation and did not activate the $\alpha 5 \beta 1$ integrin signaling pathways necessary for myotube differentiation. These proliferation and differentiation results are consistent with previously reported results [69]. The lack of survival of cells on SiPEG surfaces can be attributed to the small amount of adsorbed FN and the possibility that the protein was also denatured.

Glucose Oxidase Adsorption

The highest saturation surface density of adsorbed protein was found on the hydrophobic 13F surface, and the lowest was found on the hydrophilic SiPEG surface. Intermediate amounts of protein adsorbed on the hydrophilic charged surfaces, glass and DETA. These results are consistent with the general consensus of previous protein adsorption studies [11]. The initial kinetics of adsorption were slowest on the SiPEG surface, followed by glass. The 13F surface had the highest rate of adsorption at $100 \mu\text{g}/\text{ml}$, but the initial rate of adsorption on the DETA surface was slightly higher at $10 \mu\text{g}/\text{ml}$.

In a previous study, glucose oxidase was adsorbed onto plasma-polymerized thin films of hexamethyldisiloxane (HDMS) on silica substrates [80]. HDMS is a hydrophobic polymer, and its surface properties were modified by exposure to nitrogen and oxygen plasma, as shown in Table 3.10. Adsorption was measured with a quartz crystal microbalance (QCM), although only the saturation values were quantified. The size and shape of adsorbed GO was also measured by AFM. It was assumed that GO in solution could be approximated by an ellipsoid with a major axis of $10 - 14 \text{ nm}$ and a minor axis of $6 - 8 \text{ nm}$. It was found that GO adsorbed to native HDMS surfaces with the major axis parallel to the surface, while GO adsorbed to HDMS-O and HDMS-N with the major axis normal the surface. The maximum area occupied by an adsorbed molecule (its "footprint") would be 88 nm^2 when adsorbed with major axis parallel to surface, while the minimum area would be 28 nm^2 when adsorbed with the major axis normal to surface. Previous AFM studies of GO adsorbed on gold also found that it could be represented by an ellipse with a major axis of $14 - 18 \text{ nm}$ and a minor axis of $5 - 8 \text{ nm}$ [101]. Estimates of protein size from crystal structure data or

Table 3.10: Properties of surfaces used in these experiments and similar surfaces used in previous experiments.

	Water contact angle	Zeta potential (mV)	Reference
Glass	$< 5^\circ$	-25	[102, 56]
DETA	$49 \pm 2^\circ$	10	[56, 103]
13F	$94 \pm 2^\circ$	-15	[55, 104]
SiPEG	$38 \pm 2^\circ$		[56]
HDMS	$> 90^\circ$		[80]
HDMS-N	$< 50^\circ$	20	[80]
HDMS-O	Hydrophilic	-40	[80]

AFM measurements on gold surfaces should be considered “order of magnitude” estimates, since the size of proteins is heavily dependent upon their environment.

Glucose Oxidase on Glass

The Langmuir-type models fitted the kinetics of GO on glass slightly better than the RSA-type models, and the two-stage models fitted the data better than the single-stage models. The enzyme activity data indicated that GO adsorbed on bare glass retained about 25% of its activity at a solution concentration of $10 \mu g/ml$. When the solution concentration was increased to $100 \mu g/ml$, almost all of the adsorbed enzyme remained active. Both of the two-stage models predicted the amount of active enzyme correctly at $10 \mu g/ml$, but under-predicted the amount of active enzyme at $100 \mu g/ml$ with the assumption that enzyme in state α was active and enzyme in state β was inactive. If it was assumed that enzyme in both states remains active, both of the two-stage models would accurately predict the amount of active enzyme at $100 \mu g/ml$. It has been shown that some “soft” enzymes lose most of their structure and activity when adsorbed to glass, while “hard” enzymes retain their structure and function after adsorption [105, 106]. An alternative hypothesis is that an initial layer of enzyme adsorbed to the surface in a highly spread-out state, resulting in a very low surface

density and low activity, followed by a second layer of adsorbed enzyme that retained its activity. This could explain the low fraction of active enzyme at $10 \mu\text{g}/\text{ml}$, and the high fraction of active enzyme at $100 \mu\text{g}/\text{ml}$. Modeling this process would require a model that incorporated both a post-adsorption transition and multi-layer adsorption.

Glucose Oxidase on DETA

The model fits to the kinetic data for GO on DETA were not as good as the fits to the kinetic data for GO on glass. The RSA-type model with a post-adsorption transition provided the best fit to the kinetic data, as determined by the sum of squared error. It was assumed that the DETA surface did not induce proteins to denature upon adsorption, and this assumption was consistent with the data from the enzyme activity assay at $10 \mu\text{g}/\text{ml}$. When the solution concentration was increased to $100 \mu\text{g}/\text{ml}$, approximately half of the adsorbed GO apparently lost its activity. It is unlikely that this reduction was caused by denaturation, since adsorbed proteins are more likely to retain their native conformation when the rate of adsorption is higher [15]. Further, the fitted parameter values for the two-stage RSA-type model were fairly implausible. The fitted transition rate constant was two orders of magnitude higher than the transition rate constant for the glass surface, and the surface area occupied by a molecule in state β was 16 times larger than a molecule in state α . A more likely hypothesis for the 50% reduction in activity is that a second layer of adsorbed enzyme formed, preventing the substrate solution from reaching the lower layer of enzyme. The two-layer model provided the best prediction of the amount of active adsorbed enzyme at both concentrations, although it did not fit the kinetic data quite as well as the RSA-type two-stage model.

Glucose Oxidase on 13F

The kinetic data for GO on 13F was fitted well by both the Langmuir-type model with a post-adsorption transition and the two-layer model. Although the SSE was slightly lower for the two-layer model, the Langmuir-type model provided a much better prediction of the amount of active adsorbed enzyme. The experimental data showed that almost none of the adsorbed enzyme was active at a solution concentration of $10\ \mu\text{g}/\text{ml}$. When the solution concentration was increased to $100\ \mu\text{g}/\text{ml}$, about 75% of the adsorbed enzyme retained its activity. GO that adsorbs from a higher solution concentration may experience less denaturation because it is sterically hindered from spreading out on the surface by the high density of adsorbed enzyme molecules. Surprisingly, the fitted parameters for the Langmuir-type model with a post-adsorption transition indicated the area occupied by a molecule in the final state was not much larger than that of a molecule in the initial state.

Glucose Oxidase on SiPEG

Despite its sensitivity, the WGM sensor was unable to detect the adsorption of GO on an SiPEG surface reliably at a solution concentration of $10\ \mu\text{g}/\text{ml}$. At $100\ \mu\text{g}/\text{ml}$, GO adsorbed on the SiPEG surface, but at a relatively low surface density. The enzyme activity assay did not detect any significant amount of active enzyme for either solution concentration. This may be because the amount of activity was below the detection limit of the assay, or because the enzyme did not retain its activity on SiPEG. It is well known that SiPEG surfaces resist protein adsorption, but denaturation on SiPEG has not been previously reported. Further investigation is necessary to answer this question. Both the RSA and Langmuir single-layer models fitted this data set well.

Fitting Kinetic Models to Experimental Data

Fitting different models to the experimental data enabled us to test hypotheses about the mechanisms of adsorption on various surfaces. Each model was based upon different assumptions about the actual behavior of the protein at the surface. Fitting each model to the experimental data and evaluating the quality of fit provided a quantitative methodology to compare hypotheses and determine which assumptions were most realistic for a particular combination of protein and surface. The fitted parameter values also provided critical information about the validity of each model. It is possible that a good fit can be achieved with an unrealistic parameter value or combination of parameter values (such as a negative value for a kinetic constant or radius). Therefore, it is important to understand the physical process that is being modeled and review the fitted parameter values to ensure their validity. The least-squares fitting algorithm used in this work did not incorporate constraints on parameter values. Many algorithms are available for constrained optimization [107, 92]. When several models fitted the data equally well, the quantitative enzyme activity data for adsorbed glucose oxidase provided a valuable test to support or reject a particular model.

It was assumed that the kinetic constants did not vary across the limited concentration range in this study. Therefore, a single set of parameters was fitted to multiple concentrations for a single surface. Fitting more concentrations, while holding the number of fitted parameters constant, increased the possibility of finding a unique combination of parameters that minimized the mean-squared error. A model with too many parameters can have multiple parameter sets with equivalent optimal fits, much like an under-determined system of linear equations can have multiple solutions. Although a lower SSE could have been achieved by fit-

ting data at each concentration individually, the likelihood of finding non-unique parameters would have increased.

Finding a unique combination of parameters that provides a “best fit” to the data is equivalent to finding a global minimum in an optimization problem. While this is not too difficult for the basic Langmuir and RSA models (which have only three parameters each), it becomes more challenging for the multi-stage and multi-layer models (with five parameters each). It is easy to formulate even more complex adsorption models, but the addition of each parameter increases the difficulty of finding a global minimum in the error function. The danger of a very complex model with many variable parameters is that it can be fitted to almost any data set but few useful conclusions can be drawn from the results! If models with more parameters must be used, it will be necessary to find additional experimental methods that can provide additional data or decrease the size of the search space. It may also be necessary to use novel nonlinear optimization methods, such as genetic algorithms, to optimize the fit of the model to the data.

Conclusions

The combination of simulations and experiments described in this chapter allowed protein adsorption to be studied in a way that would not be possible with either technique by itself. Utilization of the WGM sensor enabled, for the first time, quantitative analysis of protein adsorption on silane monolayers, which are commonly used as substrates for cell culture. The sensitivity of this technology can be readily enhanced by a number of methods, such as fabricating smaller microspheres and using a laser with a shorter wavelength [62] or coating the glass microsphere with a high-index wave-guiding layer [108]. WGM biosensors have the

potential to help answer difficult questions in biomaterials research. For example, PEG/OEG monolayers are resistant to protein adsorption but have not been shown to be useful as long-term biocompatible coatings for implants and medical devices. The field of bioengineering would benefit from the development of a surface coating that reduces or eliminates the immune response to a foreign body, such as an implanted medical device.

The work described here combined well-known modeling techniques in a novel way to achieve a detailed understanding of protein adsorption on silane surfaces. Computational fluid dynamics simulations were used to design and characterize the flow cell of the WGM biosensor, while most experimental studies have used simple approximate models of transport limitations or neglected them altogether. The results of the CFD simulations were incorporated into the process of fitting kinetic models to fibronectin adsorption data (this step was unnecessary for glucose oxidase.) Four existing adsorption models and one new model were formulated in terms of the same variables and fitted to the experimental data, and the quality of fit and fitted parameter values were compared. It is common to fit a single model to experimental data. Fitting five models and comparing the results resulted in a thorough and comprehensive study of protein adsorption on surfaces used for cell culture.

CHAPTER 4. CFD SIMULATION OF TRANSPORT IN A MICROFLUIDIC BIOREACTOR

Introduction

The principles of transport and adsorption described in the previous chapters were applied to the design of microreactors that form the liquid flow path for a functional *in vitro* model of an alveolus. Alveoli are micro-scale structures in the lung that facilitate the exchange of oxygen and carbon dioxide between the blood and inhaled air. Each alveolus is typically 200–250 μm in diameter, and the 300-480 million alveoli in the lungs of an adult human provide a total surface area of 50–100 m^2 for gas exchange [109]. The alveolar membrane consists of a single layer of epithelial cells in contact with the air and a single layer of endothelial cells that forms a capillary for blood flow. Development of an *in vitro* model of the alveolus-capillary interface would be important to biomedical science, as this barrier tissue is a point of entry for toxins and plays a role in compromised gas exchange in disease states. A recent study found that only 11% of the drugs that passed preclinical trials eventually became approved for use by either U.S. or European regulatory agencies, and that the major causes of drugs failing clinical trials were lack of efficacy and toxicity [110]. The majority of these failures occurred in the expensive late phases of clinical trials. With the potential to overcome the failures of traditional cell-based drug assays in predicting physiological behavior, body-on-a-chip devices have become an increasing area of interest in pharmaceutical testing [111].

Body-on-a-chip devices have been created based on pharmacokinetic models in attempts to supplement traditional cell culture methods that are not always capable of modeling the tissue-tissue interactions that can occur when the body is exposed to pharmaceuticals [112].

Creating an *in vitro* model of the alveolus poses unique challenges due to the gas-liquid environment, layers of disparate functional cell types, and the transport of nutrients, cells, and dissolved gas species through the tissue. One lung-tissue based device was produced out of poly(dimethyl siloxane) (PDMS) to recreate the structure, mechanics, and certain aspects of transport that occur in lung tissue [113]. The elastomeric PDMS material used in the device was highly permeable to both oxygen and carbon dioxide, which precluded the ability to create physiologically relevant gas concentrations in the liquid phase of the environment. Additionally, the high gas diffusion through the PDMS prevented measurement of gas transport through the tissue, a fundamental function of the alveolus-capillary interface. To overcome these limitations silicon microfluidic technology was utilized to create an *in vitro* body-on-a-chip model of the lung with a long-term goal of quantifying the rate of gas transport across the model alveolar membrane. A schematic showing the concept for the design of the *in vitro* alveolus is shown in Figure 4.1.

Protein adsorption plays an important role in the microfluidic model of the alveolus. A PDMS membrane was chosen as the substrate for culturing monolayers of epithelial and endothelial cells because of its high permeability to oxygen and carbon dioxide. PDMS is regarded as a poor substrate for cell culture, so it is standard practice to treat the polymer with an oxygen plasma and adsorb fibronectin onto the membrane prior to tissue culture [114]. Another advantage of PDMS is that it can be easily molded to create intricate shapes. This capability will eventually be utilized to create porous membranes that will allow nutrients,

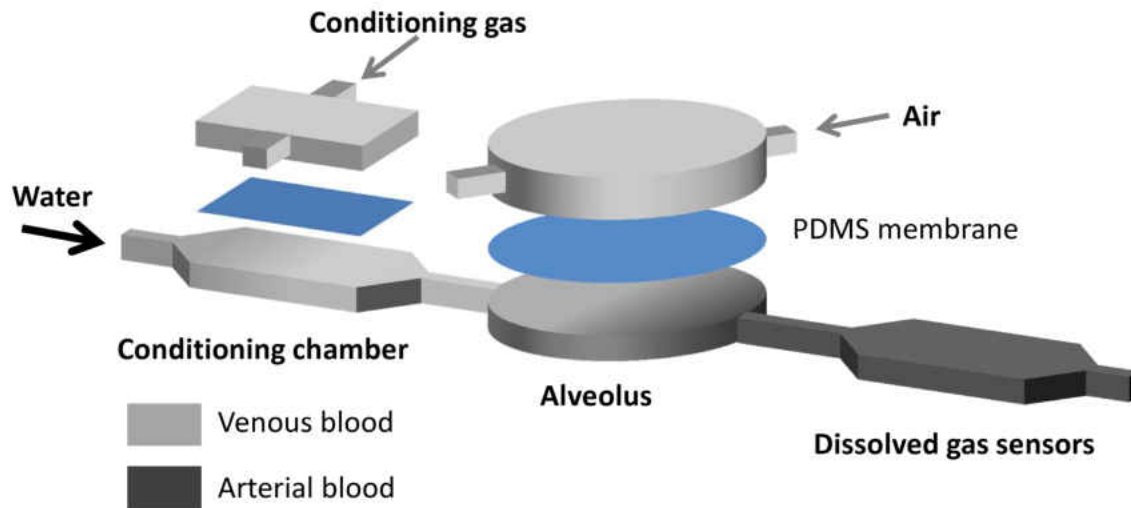


Figure 4.1: A schematic view of the concept for the design of the *in vitro* alveolus. Cells will be cultured on the PDMS membrane in the alveolar chamber.

chemical signals and even macrophages to pass between the air and liquid sides of the membrane.

Upon entering the device, the liquid flows through a conditioning chamber and exchanges carbon dioxide and oxygen with a conditioning gas through a PDMS membrane. The conditioning gas is a custom mixture of carbon dioxide and oxygen that has the same gas composition as venous blood. The liquid then enters the alveolar chamber with the same gas composition as venous blood. To be able to detect small changes in the rate of gas exchange across the model alveolar membrane, the alveolar chamber was designed such that the gas composition of the liquid reaches arterial values just before the liquid exits the chamber. After leaving the alveolar chamber, the liquid passes through another chamber containing dissolved-gas sensors. These sensors require several minutes to register small changes in gas levels, so the system was designed to be operated in either continuous or stop-flow mode. The microfluidics were designed so that a “plug” of fluid moves from the alveolar chamber

into the sensor chamber with relatively little mixing in the the longitudinal direction. The residence time distribution of an ideal plug-flow system is a Dirac delta function, so the width of the residence time distribution can be used to characterize how similar a system is to plug flow. Each chamber must be designed so that liquid flowing through different parts of the chamber experiences a similar residence time within the chamber. This objective is equivalent to minimizing the width of the residence time distribution, or approximating plug flow.

Microreactors have recently been employed for synthetic organic chemistry, nanoparticle synthesis, and ultra-fast DNA amplification [115]. However, the combination of the no-slip boundary condition and laminar flow in microfluidic devices produces flow patterns that deviate substantially from the desired plug flow, presenting a challenge to the design of continuous-flow microreactors [116]. Several innovative methods have been developed to create plug flow in a microreactor, such as creating a multiphase flow with dispersed droplets or “slugs” of water containing reagents in a stream of oil [117] or gas [118]. For single-phase flows, a passive microfluidic structure known as a herringbone mixer can be added to a microfluidic channel to reduce the width of the residence time distribution to approximate plug flow [119]. Ideally, combinations of simulation based design and experimental validation of the results would be the best approach for successful system development. However, general methods for minimizing the residence time distribution in a continuous-flow microreactor by a combination of simulation and experiment have not been reported.

In experimental attempts to optimize microfluidic systems, the primary method employed for visualizing flow patterns in the devices has involved fluorescent or colored dyes [120, 121, 122, 123]. Visualization experiments have focused mainly on the mixing of two

components, though this method has been used to a limited extent to measure residence times in certain devices [124]. Both qualitative visual representations of flow and quantitative measurement of concentrations can be obtained using dyes, though quantitative concentration measurements are less common. Theoretical studies have been performed to predict the residence time distributions of various types of microfluidic structures. Analytical models have been used to characterize the hydrodynamic dispersion in microchannels [125]. However, these methods are limited to devices with simple, well-defined geometries. Computational fluid dynamics (CFD) simulations must be used to simulate practical microfluidic devices with more complex geometries. Studies have shown that CFD simulations can predict convective and diffusive transport in microfluidic systems with a high level of accuracy [126]. Recently, CFD simulations have been used to predict the residence time distribution of a microfluidic mixing device by applying a pulse of a “tracer” at the inlet of the device and monitoring the average concentration at the outlet [127]. CFD has also been used in conjunction with a particle tracking simulation to model the residence time distribution of a microfluidic channel containing a mixing structure [119]. Although CFD simulations have been used to analyze the residence time distribution of microfluidic devices, the use of CFD to optimize these devices has not been widely reported.

The field of microreactor design would benefit from a new methodology for design optimization that combines experiments and simulations. This capability would be particularly germane to biomedical engineering of new systems for drug discovery and toxicology. CFD simulations were used as part of an iterative design process to quantify the convective and diffusive transport of dissolved gas in the liquid side of the alveolus and optimize the design to achieve the best possible approximation to plug flow. The flexibility of the design pro-

cess was demonstrated by the fabrication of an alveolar chamber that could accommodate a standard tissue culture support and a polygonal chamber for more general applications. The CFD predictions for different design iterations were validated with quantitative and qualitative experimental measurements using dye visualization. The design techniques presented here can be utilized to optimize the performance of microreactors for any application.

Materials and Methods

Device Fabrication

Silicon was chosen as the substrate for the microfluidic device due to its impermeability to gas and the ability to incorporate microstructures using standard microfabrication techniques, and to allow future incorporation of other tissue compartments. A planar design was chosen to avoid drilling or etching through the silicon substrate. Devices were fabricated using silicon wafers with a thickness of $500\ \mu\text{m}$. Wafers were liquid primed with P20 and spun with Shipley Microposit S1818 photoresist at 3000 rpm for 60 seconds, followed by a soft bake at 115°C for 60 seconds on a hotplate. Exposure was performed on an ABM contact aligner and the photoresist was developed with 726MIF developer. Silicon wafers were etched with a Unaxis 770 deep reactive ion etcher (DRIE) to a depth of $125\ \mu\text{m}$. The remaining photoresist was stripped, and the devices were separated with a dicing saw. Devices that incorporated all three chambers on the same chip as well as isolated chambers for each component were fabricated and tested.

Housings were machined from acrylic plates to produce the outside portions of the microfluidic device. In the bottom section, a rectangular area was milled to approximately 0.8 mm deep into which a sheet of PDMS elastomer with nominal thickness of 0.6 mm was

placed. The silicon device was placed on top of the elastomer sheet so that the sheet pushed the silicon device into the flat surface of the housing top to produce a tight seal. Inlet and outlet holes were drilled into the housing top to direct fluid into the inlet of the silicon device and allow the liquid to escape from the outlet. The two housing pieces each had a series of threaded screw holes and were held together with twelve screws. The combined gasket and silicon device thickness was larger than the depth of the rectangular recess on the bottom housing piece so that the screws could be tightened to produce a seal between the top housing piece and the silicon device.

CFD Simulation Methodology

The CFD-ACE+ suite of simulation tools was used to predict the performance of the device. The Reynolds and Knudsen numbers were computed to ensure that flow would be entirely laminar and that the continuum approximations inherent in CFD would be valid for the system. CFD-GEOM was used to define the geometry and create a regular mesh throughout the liquid volume. The velocity derivatives were approximated with upwind differencing and a 2nd order limiter was used to approximate the derivatives for computing concentration. A fully implicit first-order (Euler) scheme was used for time differencing and the time step was calculated automatically using the “Auto Time Step” feature in CFD-ACE-GUI. The minimum time step was set to $100\ \mu s$. The actual time step was adjusted automatically based upon the rate of change of the solution variables, resulting in a typical maximum time step of $1\ ms$. Simulation results were saved at regular time intervals. A fixed flow rate boundary condition was used for the inlet, no-slip boundary conditions were applied at all walls, and a fixed-pressure boundary condition was used at the outlet. The system was first

simulated in steady-state with pure water to determine the flow field (it was assumed that dilute solutions of small molecules would not have a significant impact on the viscosity of the water.) Transient simulations of gas transport were then performed, using the flow field from the steady-state simulation to avoid re-calculating the flow field at each time step.

To characterize the residence time distribution of each design, the inlet boundary condition introduced water saturated with carbon dioxide (mass fraction of 0.03366) at a volumetric flow rate of $35 \mu\text{l min}^{-1}$, approximating a step function at the inlet of the device at $t=0$. Initially, no gas was present in the water. A wall boundary condition (no gas transfer) was used for the top wall of the chambers during the residence time simulations. The results of this simulation protocol should also be valid for dissolved oxygen, which has a diffusion coefficient similar to carbon dioxide ($2 \times 10^{-9} \text{m}^2 \text{s}^{-1}$ for oxygen [128] and $1.7 \times 10^{-9} \text{m}^2 \text{s}^{-1}$ for carbon dioxide [129]).

Transient simulations were also performed to characterize the effectiveness of gas exchange in the conditioning chamber and alveolar chamber. The boundary condition at the top of each chamber was set up to model gas transport across a PDMS membrane. Since oxygen and carbon dioxide have significantly higher solubility coefficients in PDMS than in water, the membrane was not expected to present a barrier to gas transport. Therefore the liquid at the upper boundary was assumed to be in equilibrium with the gas in the chamber above the membrane. The conditioning chamber was initially filled with water with no dissolved gas, and degassed water was introduced at the inlet. At the top of the chamber, the concentrations of dissolved oxygen and carbon dioxide were fixed at the concentrations found in venous blood. For the alveolar chamber, water with the gas composition of venous blood was introduced at the inlet, and the initial condition was degassed water. Water at

the top of the chamber was assumed to be in equilibrium with breathing air. The transient simulations were run until the average gas concentrations at the outlet reached steady state.

The results were visualized using CFD-VIEW. To quantify the average concentration across the inlet or outlet channel at a specific point in time a cut plane was created across the channel and the CFD-VIEW calculator was used to average the concentration across the plane. The residence time distribution for the device was calculated by taking the derivative with respect to time of the normalized concentration at the outlet.

Once the device was optimized to minimize the width of the residence time distribution for dissolved gases in water, another set of simulations was performed to predict the transport of dye for comparison with visualization experiments. The diffusion coefficient of Brilliant Blue FCF dye has not been reported, so it was estimated based on known diffusion coefficients of similar dye molecules. The molar masses and diffusion coefficients of Fluorescein (332 g mol^{-1}), Rhodamine 6G (471 g mol^{-1}), Congo Red (697 g mol^{-1}), and Trypan Blue (961 g mol^{-1}) were plotted on a scatter plot [130, 131, 132]. A roughly linear relationship was observed between molar mass and diffusion coefficient. Based on this relationship, the diffusion coefficient of Brilliant Blue FCF was estimated to be approximately $2 \times 10^{-10} \text{ m}^2 \text{ s}^{-1}$.

Design Methodology

The chamber dimensions and internal structures were optimized by iterative computational fluid dynamics simulations. The goal was to obtain the best possible approximation to plug flow so that a bolus of liquid would flow through the series of chambers with minimal mixing between the chambers. This goal was equivalent to minimizing the width of the residence time distribution. A CFD simulation was performed for each new design and the residence

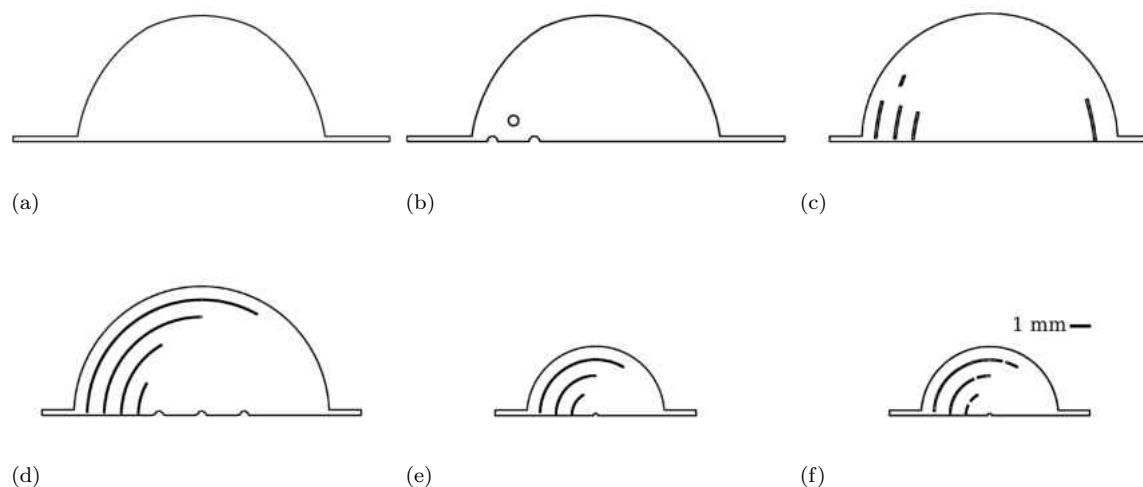


Figure 4.2: Progressive refinement of the design of the alveolar chamber. The diameter of the cell culture membrane was changed from 12mm to 6.5mm during the design process. Because the device has axial symmetry, only half of the geometry was simulated.

time distribution was calculated to evaluate that iteration. A round design was chosen for the alveolar chamber in order to accommodate a circular cell culture membrane, such as a Transwell insert (Corning Life Sciences). The membrane diameter was initially specified to be 12mm but was changed to 6.5mm mid-way through the design process for reasons unrelated to the design optimization. Once the shape and diameter of the chamber were established, transient gas-exchange simulations were performed to establish the depth of the chambers and the flow rate to ensure that the liquid exiting each chamber had the desired gas concentration.

A representative sample of design iterations showing the progress of the design for the alveolar chamber is shown in Figure 4.2. Initially, posts were added to the entrance of the chamber to support the gas-exchange membrane and prevent fluid from passing directly through the middle of the chamber at high velocity (Figure 4.2b). To prevent the formation of stagnation zones around the outer edges of the chamber, the posts were changed to short

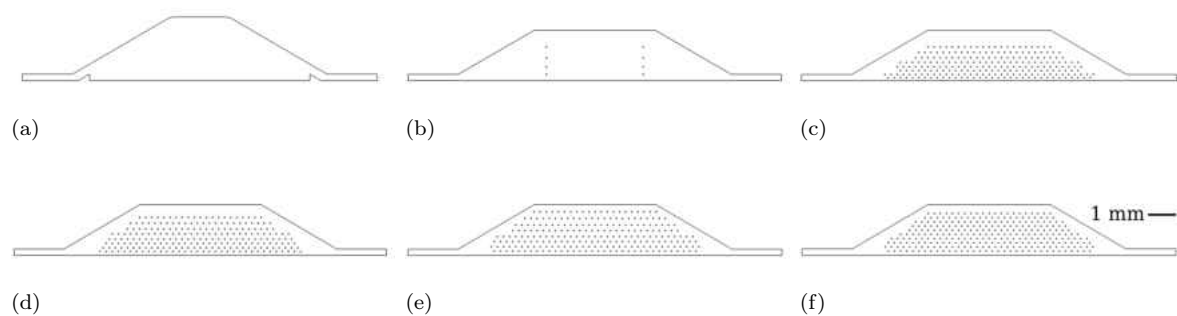


Figure 4.3: Progressive refinement of the design of the conditioning chamber. Because the device has axial symmetry, only half of the geometry was simulated.

baffles to force liquid to flow through the stagnation zones (4.2c). This was effective for preventing stagnation near the inlet, but had little effect on stagnation near the outlet. The baffles were then lengthened to force liquid to flow through the stagnation zones near the outlet (4.2d,4.2e). In the final design, a small gap was added near the end of each baffle to prevent stagnation on the channel inside of the baffle (4.2f).

The concept of the final design of the alveolar chamber can be summarized as follows. The baffles are circular arcs which divide the incoming liquid into several streams such that the velocity of the liquid in each stream is roughly proportional to the length of the path it takes through the chamber. Liquid following the longest path around the perimeter of the chamber has the same residence time as liquid traversing the shortest path through the center of the chamber. The average flow velocity in each channel is determined by the width of the channel and the size of the gap between the end of baffle and the center line of the chamber. The outer baffle is just long enough to prevent a stagnation zone from forming near the outlet of the chamber. The arc length of each baffle is as short as possible, since the no-slip boundary condition on the baffles contributes to axial dispersion within each channel.

The starting point for the design of the conditioning chamber was a rectangle with tapering

inlet and outlet sections, which was based upon an existing chamber design [133]. This design was also used for the sensor chamber and for bubble trap chambers which were included to prevent bubbles from accumulating in the sensor chamber [134]. To allow the device to operate in a stop-flow mode, these chambers were designed to have the same volume as the alveolar chamber. The angle of the taper and the chamber width were adjusted to minimize the width of the residence time distribution while maintaining a volume equal to that of the circular chamber, as shown in Figure 4.3a and 4.3b. A staggered array of posts was then added to distribute flow throughout the chamber and minimize stagnation zones (Figure 4.3c through 4.3f.) The vertical spacing between posts and the number of columns of posts were adjusted in the CFD model until the flow through the chamber was as close as possible to plug flow. Because the chamber wall reduced the velocity of fluid flowing between the top row of posts and the chamber wall, the spacing between the top row of posts and the chamber wall had to be larger than the spacing between posts to avoid introducing a stagnation zone.

Dye Visualization Experiments

Blue food dye, containing Brilliant Blue FCF (FD&C Blue 1) dye, was used for visualizing the flow of liquid through the microfluidic device. The concentration of dye in a phosphate buffered saline (PBS) solution used in the experiments was selected based on preliminary data of light intensity captured by the camera versus dilution of the dye solution. The solution concentration was chosen to maximize sensitivity to changes in concentration throughout the range of fully dilute to non-diluted from the initial concentration. For the initial solution concentration chosen, the intensity versus concentration profile was approximately linear, though this relationship would not hold if a higher concentration of dye was chosen. Visu-

alization experiments were performed by pumping the dye solution into the devices initially containing 1X PBS with no dye and visualizing the movement of the blue dye solution in the chambers. The dye was pumped at a rate of $35 \mu\text{l min}^{-1}$ using a syringe pump. The individual chamber designs (polygonal chamber and round alveolar chamber) were tested for dye visualization both with and without posts or baffles to evaluate the effect of the baffle or post structures on the flow pattern. Additionally, the alveolar chamber in the final combined device-incorporating multiple polygonal chambers and one alveolar chamber-was tested to assess the flow pattern in the circular chamber in the practical device. The flow visualizations in the various devices were used for comparison to the CFD predictions.

Dimensional analysis was utilized to understand how the device would perform with dissolved gas, which has a diffusion coefficient that is roughly an order of magnitude higher than that of the dye. The Péclet number is the dimensionless number that represents the ratio of convective transport to diffusive transport in a fluidic system. The Péclet number in a channel is given by $Pe = LU/D$, where L is the characteristic dimension of the channel, U is the average velocity in the channel, and D is the diffusion coefficient of the solute. For a rectangular channel, the hydraulic diameter is used as the characteristic dimension. Using the average velocity in the channels between the chambers calculated by the CFD simulation, the Péclet number for dissolved gas with a diffusion coefficient of $2 \times 10^{-10} \text{ m}^2\text{s}^{-1}$ was computed to be 770 for a volumetric flow rate of $35 \mu\text{l min}^{-1}$. Because the diffusion coefficient of the dye is one order of magnitude lower than that of dissolved gas, experiments with dye were performed with a volumetric flow rate of $3.5 \mu\text{l min}^{-1}$ to obtain a Péclet number comparable to that of dissolved gas. Experiments were also performed with a flow rate of $350 \mu\text{l min}^{-1}$ to characterize the performance of the system over a range of two orders of magnitude.

Image Analysis

Images and video of the flowing liquid in the round alveolar chamber were captured using a Hitachi KP-20a CCD microscope video camera attached to a Zeiss Stemi DV4 stereo microscope. Because the blue dye absorbs light primarily in the red region of the visual spectrum, the red channel of the captured images was isolated and used for image analysis using ImageJ [135]. Video analysis was performed for flow experiments in which a solution of dye was pumped into the device. Video was obtained at 5 frames per second from the time dilute dye could be observed near the inlet of the chamber of interest until the entire chamber had been filled with dye for several seconds. The average red channel intensity was measured across a line of pixels at the inlet of the chamber and at the outlet of the chamber for each video frame. For each frame, these average intensities for the inlet and outlet were normalized to four points outside of the chamber unexposed to dye to account for any variations in overall light intensity. For both the inlet and outlet, the average red channel intensity of the first ten frames (corresponding to 0% saturation) and the last ten frames (corresponding to 100% saturation) were computed. The average concentration across the inlet and outlet for each frame was calculated by linearly interpolating the average intensity between the 0% and 100% saturation intensities found from the beginning and end of the experiment. The reported concentrations represent the average of three experiments under the same conditions, with the curves aligned in time to the points in which the respective inlet concentration reached 15% saturation.

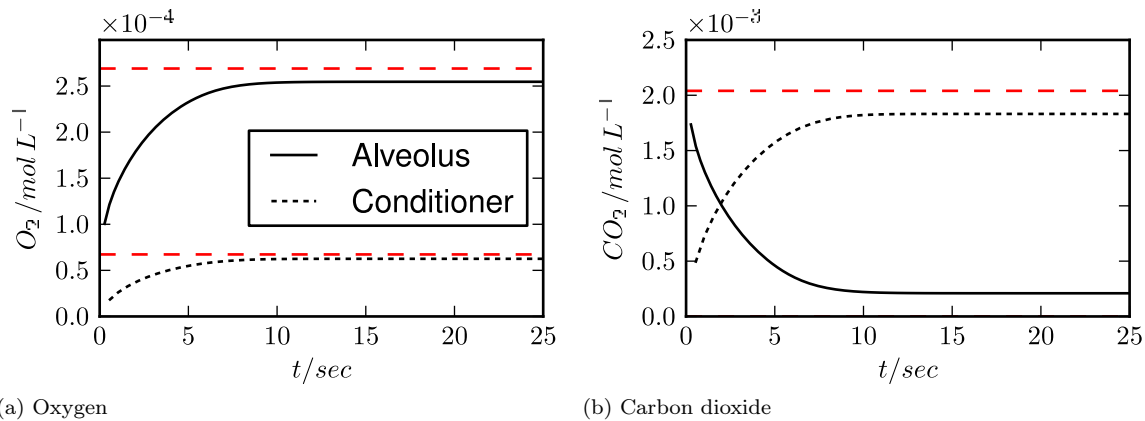


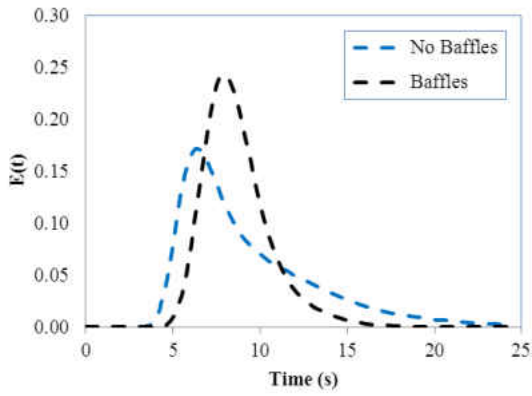
Figure 4.4: Results of gas-exchange simulations for the alveolus and the conditioning chamber.

Results

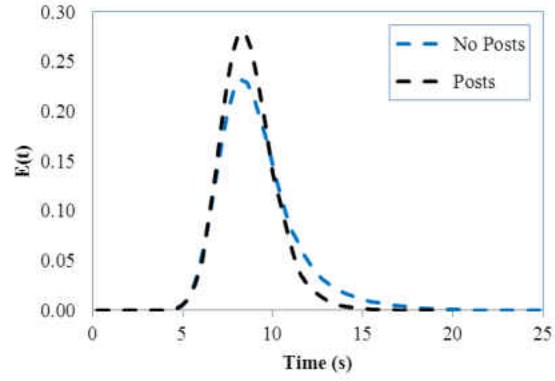
Figure 4.4 shows the concentrations of O_2 and CO_2 in water at the outlet of the conditioning chamber and alveolar chamber, for the final design of each chamber. The residence time distributions predicted by the CFD simulations for the initial and final designs of the round alveolar chamber and the polygonal chamber are shown in Figure 4.5a and Figure 4.5b, respectively. Figure 4.5c shows the predicted combined residence time distribution for first two chambers of the device (bubble trap and conditioning chamber) and for the first three chambers of the device (bubble trap, conditioning chamber, and alveolus).

Experimental Validation of CFD Simulations

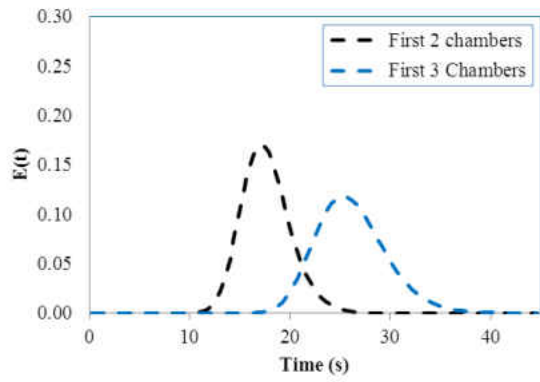
The overall layout of the device is shown in Figure 4.6a. The microfluidic device fabricated in silicon is shown in Figure 4.6b. The silicon device in the acrylic housing is shown in Figure 4.6c. The first chamber after the inlet is a bubble trap, followed by a chamber that will eventually be used to condition the liquid to contain physiologically relevant concentrations of dissolved gas. Another bubble trap is located downstream (to the right) of the alveolar



(a) Alveolar chamber



(b) Conditioner



(c) Alveolus on full chip

Figure 4.5: Residence time distributions predicted by CFD for carbon dioxide-saturated water.

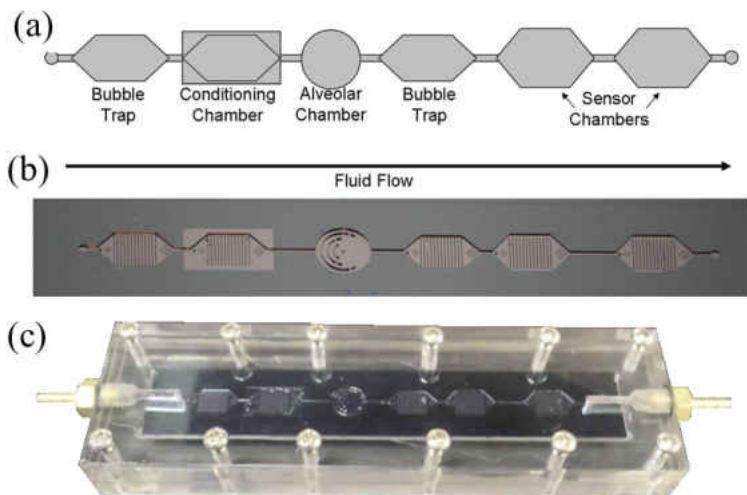


Figure 4.6: Microreactor design (a), fabricated silicon chip (b), and chip in acrylic housing (c)

chamber to prevent bubbles from accumulating in the sensor chambers, followed by two chambers that will eventually house dissolved-gas sensors (one for CO_2 and one for O_2). Four additional chip designs were fabricated (not shown) to test each chamber design in isolation: the alveolar chamber with and without baffles and the conditioning chamber with and without posts.

The flow pattern inside an isolated alveolar chamber with and without baffles is shown in Figure 4.7, including both CFD results and experimental visualization. Figure 4.8 indicates the amount of dye present in different locations within the isolated conditioning chamber/bubble trap at various times. The flow patterns for the conditioning chambers with and without the post arrangement are shown along with the flow patterns expected from the CFD results. The visual scale of the CFD results was normalized so that pure water was represented as white and the maximum dye concentration was black. Images from the visualization experiments of the alveolar chamber in the combined three chamber device are compared to the images from the isolated alveolar chamber and CFD results in Figure 4.9.

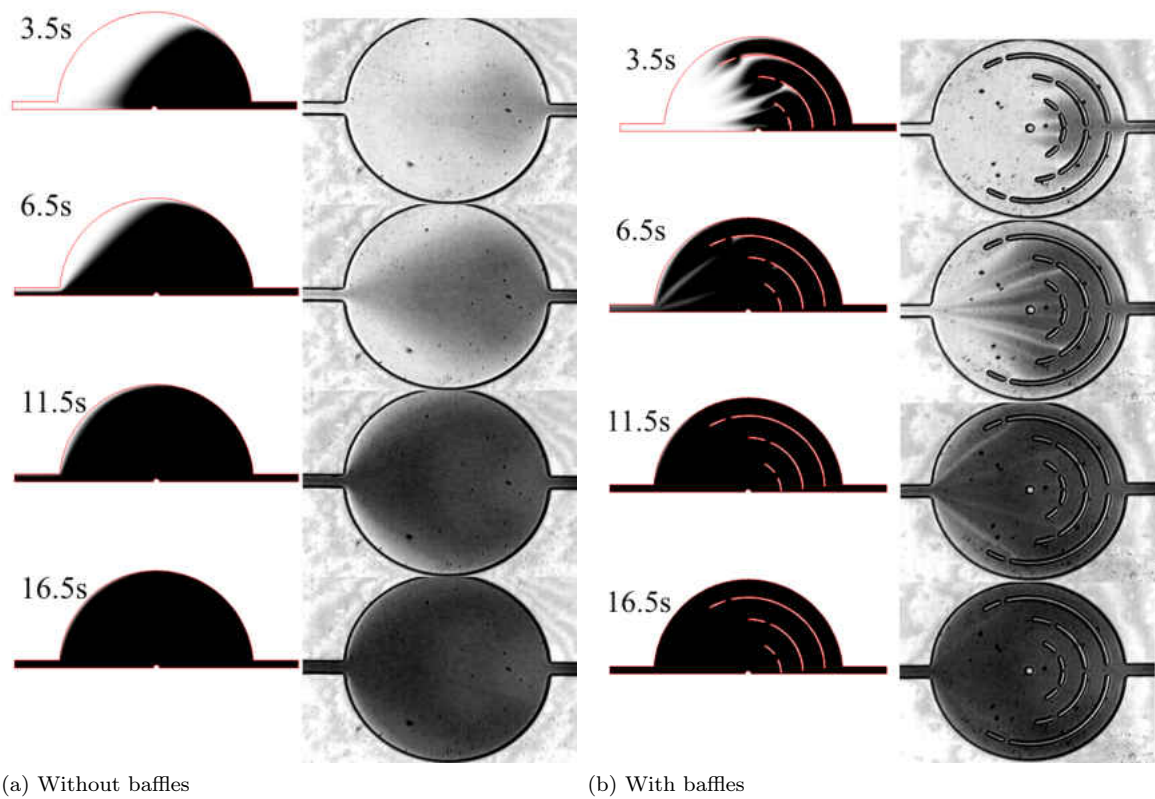


Figure 4.7: Visualization of the flow in the alveolus predicted by CFD (left columns) and imaged with dye (right columns)

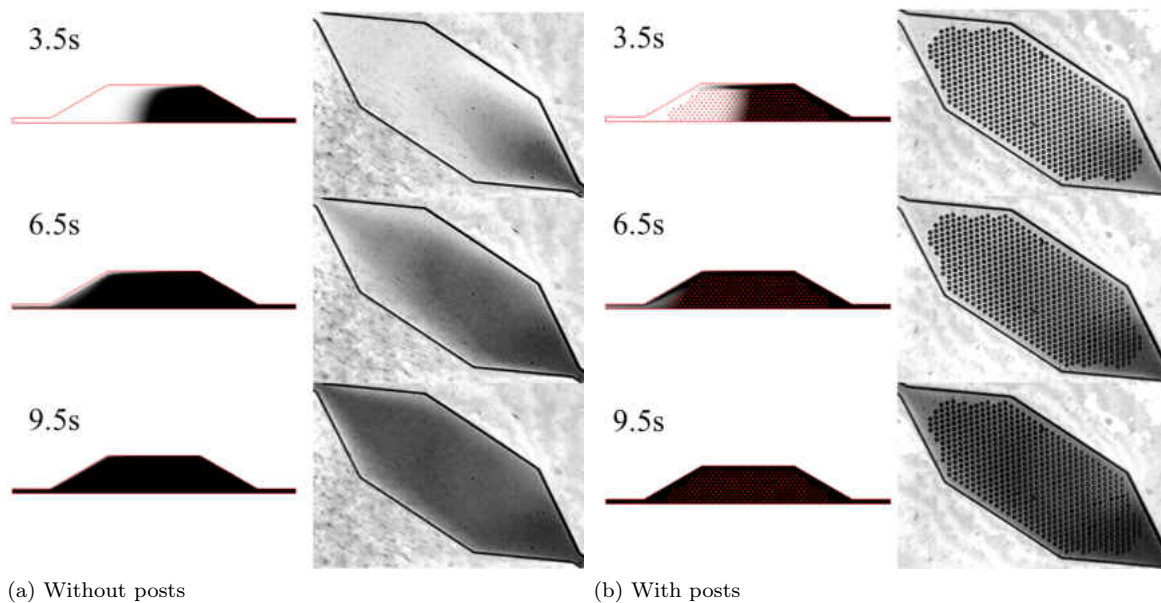


Figure 4.8: Visualization of the flow in the conditioning chamber predicted by CFD (left columns) and imaged with dye (right columns)

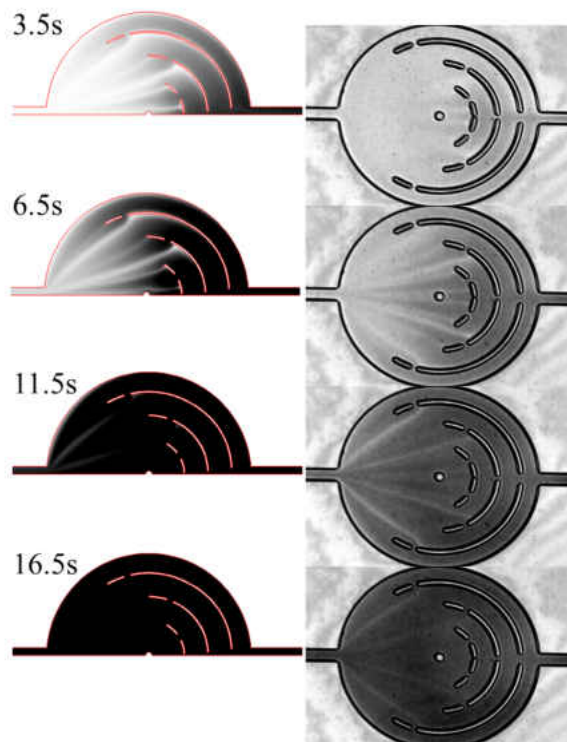


Figure 4.9: Visualization of the flow in the alveolar chamber in the full chip predicted by CFD (left column) and imaged with dye (right column)

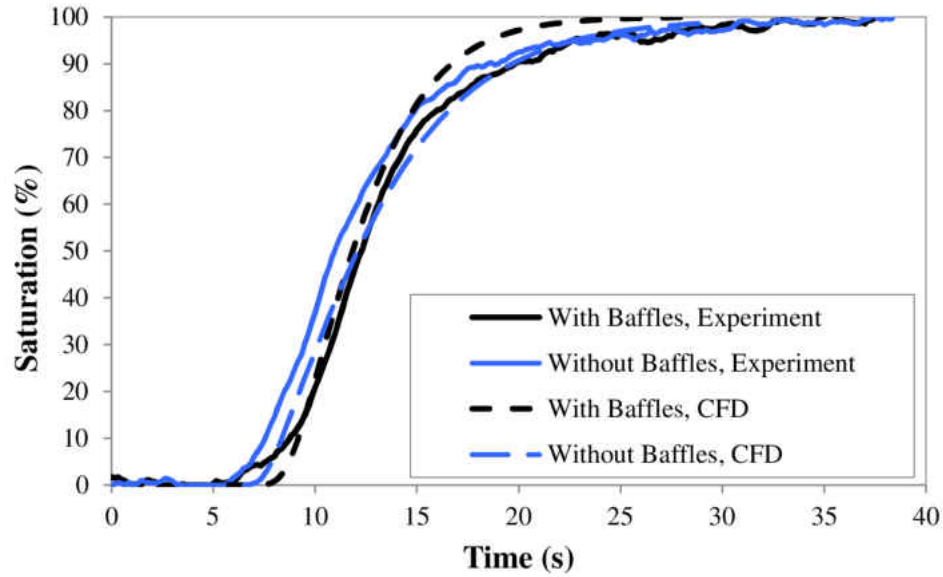


Figure 4.10: Dye intensity at the outlet of the alveolar chamber.

Figure 4.10 indicates the dye concentration at the outlet of the alveolar chamber as a function of time when a concentration step function was applied at the chamber inlet. The outlet concentrations for the conditioning chamber designs, as analyzed from the video captures, are shown in Figure 4.11, along with the concentrations expected from the CFD results for these chambers. Figure 4.12 includes the outlet concentration of the alveolar chamber in the three chamber design and the CFD modeling of this device. Dotted lines indicate plus or minus one standard deviation from the experimental average, while a dashed line indicates the concentration predicted by CFD. Figure 4.13 indicates the concentration at the outlet of the first three chambers for flow rates equal to one tenth and ten times the nominal flow rate.

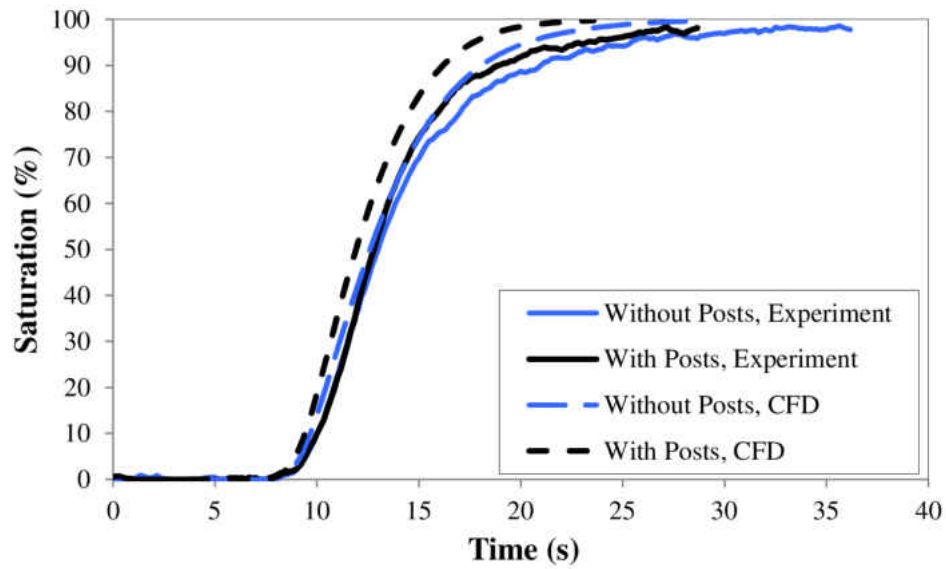


Figure 4.11: Dye intensity at the outlet of the conditioning chamber.

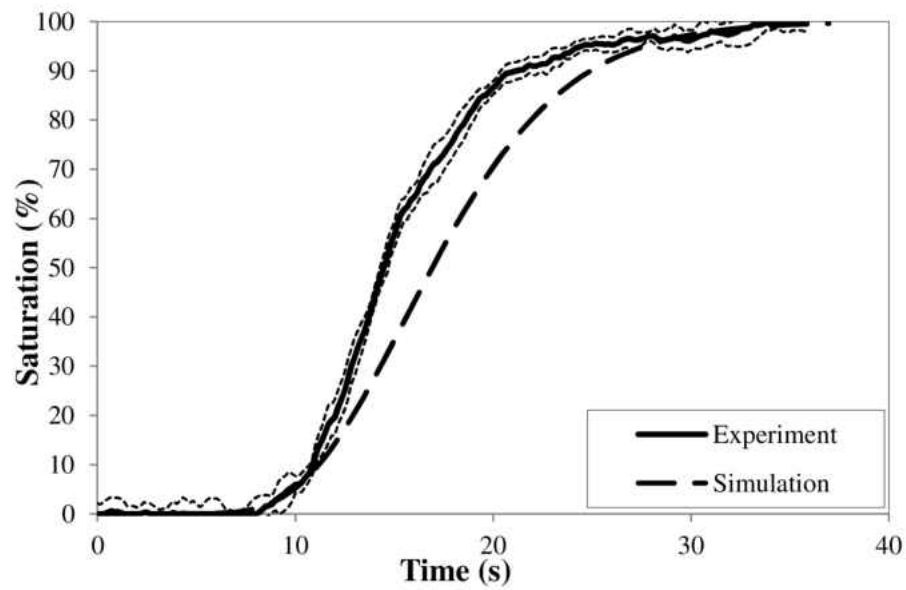


Figure 4.12: Dye intensity at the outlet of the alveolus on the full chip.

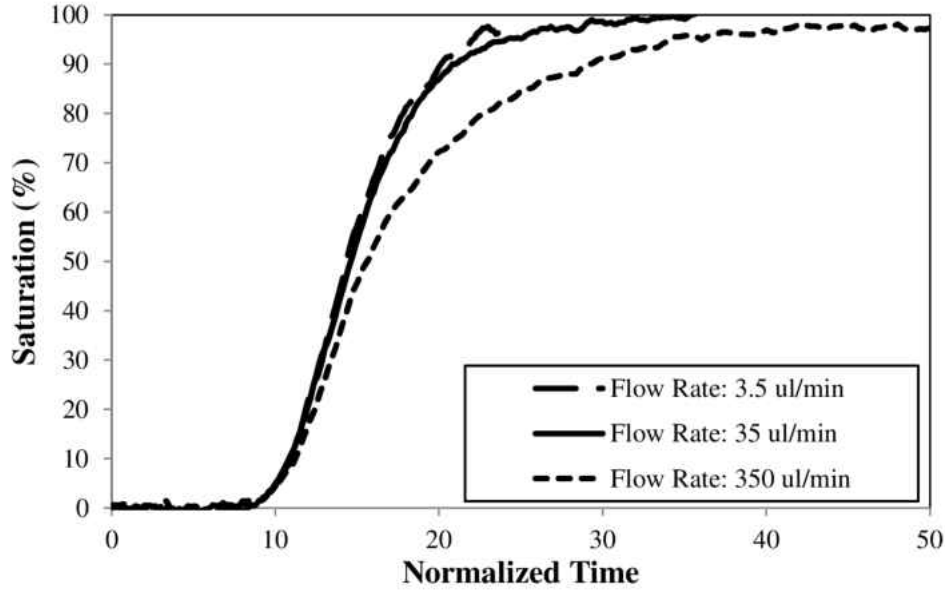


Figure 4.13: Dye intensity at the outlet of the alveolar chamber in the full chip for three different flow rates.

Discussion

Figure 4.4 illustrates that the chamber designs are capable of producing the desired gas exchange characteristics. Although the steady-state concentration of carbon dioxide at the outlet of the conditioning chamber does not quite reach the concentration found in venous blood, this could be easily remedied by slightly changing the composition of the conditioning gas. The microfluidic alveolar chamber is more effective than an actual alveolus at oxygenating the liquid and removing carbon dioxide. The rate of gas exchange across the membrane is expected to decrease when cells are cultured on the membrane. The residence time distributions in Figures 4.5a and 4.5b indicate that the design optimization process clearly reduced the width of the residence time distribution for each chamber, improving the approximation to plug flow. The residence time distribution of the round chamber without baffles exhibited a long “tail” which was caused by the stagnation zones that formed near

the outlet of the chamber (Figure 4.7a). The addition of baffles forced liquid from the inlet to flow around the outer perimeter of the chamber, greatly reducing these stagnation zones and suppressing the “tail” of the residence time distribution. The residence time distribution of the polygonal chamber without posts was narrower than the residence time distribution of the round chamber without baffles. Without the constraint imposed by the circular tissue culture membrane, the shape of the polygonal chamber could be optimized for plug-like flow without additional structures. However, the addition of posts further narrowed the residence time distribution. Figure 4.5c demonstrates that each successive chamber further widens the residence time distribution so that a plug of fluid becomes more disperse as it progresses through the device.

Dye Visualization Experiments

The experimental flow patterns confirmed the CFD predictions that introducing baffles into the alveolar chamber would reduce stagnation zones to produce a more plug-flow like flow pattern. At early time points, the baffles prevented a stream of dyed liquid from speeding toward the outlet along the center line of the chamber, as shown in time points 3.5 s and 6.5 s. Especially at the later time points, the baffles reduced liquid stagnation near the outer walls of the chamber. Stagnation zones in the alveolar chamber without baffles were clearly visible at 11.5 s, and stagnant liquid remained at 16.5 s immediately adjacent to the walls. In contrast, the liquid near the walls of the alveolar chamber with baffles was much more uniform in concentration at 11.5 s, and the entire chamber had a nearly uniform concentration at 16.5 s. The baffle design clearly improved the flow patterns in the round alveolar chamber, though further improvements may be possible. The streaks of higher and lower concentration

liquid, which are prominent at 6.5 s, indicated that the flow pattern on the exit side of the baffles was not completely uniform. It should be noted that the chambers were optimized for the transport of gases that have diffusion coefficients an order of magnitude higher than the diffusion coefficient of the dye used to visualize the flow patterns. Therefore, the stagnation zones observed in the dye injection experiments are likely to be much smaller when dissolved gas is used in place of dye.

The dye visualization of the flow patterns in the isolated conditioning chamber (Figure 4.8) confirmed that the post layout improves the uniformity of the flow pattern in the conditioner, like the baffles improved the flow pattern in the alveolar chamber. Specifically, at 6.5 s and 9.5 s after the introduction of dye into the inlet the condition chamber without posts exhibited large regions of stagnant liquid near the chamber walls, represented by lighter color. In contrast, the chamber with posts did not experience these stagnant zones. The CFD simulation predicted that the chamber without posts should be nearly completely filled with dyed liquid by 6.5 s, while experiments showed that filling the chamber required somewhat more time.

In Figure 4.9, the flow pattern for the liquid in the alveolus of the full device followed the CFD results as well as matched the trends of the isolated alveolar chamber with baffles. The dispersion of dye in the inlet of the alveolus due to the previous two chambers can be observed in Figure 4.9. Because of the non-uniform concentration of dye across the inlet, the alveolar chamber in the full device requires more time to reach a uniform concentration compared to the single alveolar chamber. This trend can also be seen in the images from the CFD simulation.

The images of the flow patterns (Figures 4.7-4.9) include information about the distribu-

tion of the outlet concentrations over the width of the chambers. In the chambers without posts or baffles, there was variation in concentration across the width of the outlet before full saturation was reached. The liquid near the walls had a lower concentration of dye than the liquid in the center of the channel, due to the laminar flow within the chamber and the channels. In both Figures 4.7 and 4.8, the posts or baffles greatly reduced this variation across the channel width, indicating more plug-like flow.

The results from the quantitative evaluation of the outlet profiles in the isolated alveolar chamber (Figure 4.10) further confirmed the results from the CFD simulation. In both the CFD and in the experimental visualization, the start of the rise in concentration of the liquid at the outlet was delayed by the presence of the baffles. Experimentally, the outlet concentrations reached saturation at approximately the same time with and without baffles. The delay in the onset of the increase in outlet concentration coupled with the fact that the two outlets reached saturation at nearly the same time indicated that the liquid in the alveolar chamber with baffles exhibited a narrower distribution of residence times.

The concentration profiles for the conditioning chambers with and without posts (Figure 4.11) reinforced the conclusion that the post layout improved the flow patterns to produce more plug-like flow. The outlet concentrations in both chambers began to rise at approximately the same time, but the two profiles began to deviate at approximately the half-saturation point. In this chamber, the post layout improved the flow pattern by reducing the stagnation zones, creating a steeper concentration profile at the outlet. Toward the later times, the outlet concentration profile for the chamber without posts was delayed by approximately 3 seconds relative to the profile of the chamber with posts.

The concentration profile at the outlet of the alveolar chamber in the full device (Figure

4.12) was actually steeper than the CFD results, indicating a narrower residence time distribution within the entire chip than was predicted. The inlet concentration for the alveolus was influenced by the previous two chambers, so the inlet concentration for the alveolar chamber was not a step function for either the CFD or the experimental results. The influence of all three chambers on the flow pattern was incorporated into both the CFD and experimental results for the three-chamber design. The fact that the experimental concentration profile at the outlet of the three-chamber design was only slightly shifted from the isolated alveolar chamber indicates the entire design was quite effective at approximating plug flow.

The full device was imaged and the concentrations at the outlet of the alveolar chamber were analyzed using flow rates covering three orders of magnitude to vary the ratio of convective to diffusive mass transfer (i.e. different Péclet numbers) (Figure 4.13). As expected, faster flow rates produced less steep concentration profiles in the normalized time scale, since the higher convective transport rates limited the amount of diffusion that occurred during the normalized time period. The slowest flow rate ($3.5 \mu\text{l min}^{-1}$) simulates the outlet concentration profile that would be produced using dissolved CO_2 (with approximately one order of magnitude higher diffusion coefficient than dye) at a flow rate of $35 \mu\text{l min}^{-1}$, since the ratio of convective transfer to diffusive transfer is about the same for both conditions. This condition (CO_2 dissolved in a liquid flowing at $35 \mu\text{l min}^{-1}$) is appropriate for a lung-on-a-chip device of similar geometry. Thus, the outlet saturation profiles for different flow rates can be used to estimate the profiles that would be produced for other diffusion coefficients.

When comparing the original designs to the optimized designs, the improvements shown by the experimental measurements closely matched the improvements predicted by the simulations. These results demonstrated that the CFD-based design process was effective at

reducing the number of experimental iterations required. The slight deviation of the simulation results from the experimental results was likely due to differences in the injection of dye at the inlet. The inlet concentration was modeled as a step function in the CFD simulations. In the experiments, the concentration at the inlet of the chamber was not a perfect step function because the dye was injected through a channel in the device housing which was not included in the CFD model. In the functional lung device the conditioning chamber will fix the concentration of dissolved gas in the liquid before it enters the alveolus, so any dispersion introduced by the channel before the conditioning chamber will not be significant.

Conclusions

The microfluidic design presented here is a major step towards a complete *in vitro* model of the alveolus. A novel design for a round chamber was developed that minimized stagnation and functioned like a plug flow reactor despite the inherent disadvantage of the round shape. This design could be used for any microfluidic chamber that needs to accommodate a circular cell culture membrane. The design of the conditioning chamber shows that even better approximations to plug flow can be achieved if the overall shape of the chamber can be optimized. The design process, including both iterative CFD simulations and experimental validation, can be used to optimize the design of any microreactor. Using CFD to simulate and evaluate design iterations instead of fabricating a prototype for each iteration greatly reduced the cost of the project and the time required to achieve the design goals.

CHAPTER 5. GENERAL DISCUSSION

Modeling and simulation were used to enable advances in nano-scale surface science and bio-engineering that would have been difficult, time-consuming or impossible with experimental methods alone. Similarly, modeling and simulation is of limited utility unless quantitative experiments can be performed to inspire new hypotheses and validate the results of simulations. Chapter 2 described the derivation of a new continuum model of hard-sphere adsorption that allows the results of mesoscale Brownian dynamics simulations to be incorporated into continuum CFD simulations of practical devices. Although the work in this chapter was purely theoretical, careful steps were taken to validate the Brownian dynamics simulation and the continuum CFD simulation. The results of each simulation were compared against known analytical results for well-defined test cases, and the results of the two simulations were compared against one another. This chapter also illustrated the use of experimental controls in simulation work, by checking the effect of simulation parameters such as the size of the time step and simulation domain to ensure that artifacts are not present in the simulation results. This multi-scale adsorption model is a step towards developing simulation tools that can make accurate *a priori* predictions of protein adsorption in microfluidic lab-on-a-chip devices.

The close coupling of experiments and simulations was continued in Chapter 3, which

began with a description of a WGM biosensor to study the kinetics of protein adsorption on functionalized glass surfaces relevant for tissue culture. The biosensor does not require any specialized equipment, and the device components (DFB laser, photodetector, optical fiber, and simple microfluidics) are inexpensive compared to commercial systems and can be readily assembled in any laboratory environment. Despite its simplicity, the sensitivity of the setup rivals that of a state-of-the-art surface plasmon resonance sensor ($\sim 1 \text{ pg/mm}^2$), with the additional advantage that silica microspheres can be easily fabricated and conveniently modified with various silane surface coatings by exploiting established silanol surface chemistries. This is an alternative to other methods, such as SPR, that require coating the sensor with gold and are mostly limited to surface modification with thiols.

The WGM sensor allowed for the first time the detailed kinetic analysis of protein adsorption on silane monolayers and enabled some explanation of the differences between silane and thiol surface modifications. The WGM sensor was used to obtain the most comprehensive set of kinetic data that has been reported for the adsorption of fibronectin at low solution concentrations, and the first quantitative measurement of the kinetics of glucose oxidase adsorption on silane surfaces. CFD was used in the design of the flow cell, resulting in a sensor system which predominantly measures the kinetics of adsorption rather than the kinetics of transport to the sensor. In the case of fibronectin adsorption, CFD analysis of transport limitations in the flow cell allowed accurate kinetic parameters to be extracted from the data set in the presence of transport limitations. Measuring adsorption kinetics at multiple concentrations allowed a single set of kinetic constants to be fitted to multiple curves, which increased the likelihood of obtaining a unique set of fitted parameters. Fitting multiple models to each data set and evaluating the quality of the fit allowed various hypotheses about

the mechanisms of adsorption to be tested. The use of modeling and simulation greatly enhanced the conclusions that could be drawn from a set of experiments.

The results of the WGM biosensor measurements, model fitting and the cell culture experiments indicate that similar amounts of FN adsorb on hydrophobic surfaces and charged hydrophilic surfaces. When combined with antibody data from other studies, our data supports the conclusion that FN denatures after adsorption on hydrophobic surfaces, leading to a loss of biological activity. Modeling the adsorption of GO indicated that the mechanism of adsorption strongly depends on the surface chemistry of the adsorbing surface. One of the most important goals in biomaterials research is to discover surfaces that resist protein adsorption. Surfaces similar to SiPEG (OEG-thiols) have been shown to be the most protein-resistant class of surfaces [136], yet GO and FN both adsorb on SiPEG in small quantities and the mechanism of protein resistance remains unknown. Models fitted to protein adsorption on SiPEG seem to indicate that this surface may actually induce denaturation in adsorbed proteins. The evidence presented here is tantalizing but insufficient to draw definitive conclusions. Further improvements to the sensitivity of WGM biosensors will help answer this and other difficult questions in biomaterials research.

The relevance of transport modeling in the design of biomicrofluidic devices was demonstrated in Chapter 4, which presented the design optimization process for an *in vitro* alveolus. Because of laminar flow and the no-slip boundary condition, transport in the original design was not at all “plug-like,” with substantial stagnation zones in each chamber. Microfluidic structures were added to each chamber to minimize the stagnation zones and enable a plug of fluid to move from one chamber to the next with minimal dispersion. The results from the full device demonstrate that the two types of chamber can be used as building blocks

for devices with multiple chambers. While each chamber contributes to the widening of the residence time distribution, the optimized post and baffle designs can effectively be used to improve overall flow patterns in a multitude of devices of similar geometry. The use of CFD simulations enabled the optimization of the device by allowing many design iterations to be performed without the expense and delay of fabricating a prototype at each iteration. The CFD simulations were validated qualitatively and quantitatively with dye visualization experiments in prototype devices. The validation protocol required only food dye and an optical microscope with a camera-tools which are available in almost every laboratory. This project demonstrates that simulation tools that can quantitatively predict transport and protein adsorption will enable the rational design of microfluidic devices for biomedical applications.

The *in vitro* alveolus also demonstrates the importance of quantitative protein adsorption data. Although it is well known that PDMS must be modified before use as a cell culture substrate, the modification protocols currently in use are empirically derived. This project would benefit greatly from a deeper understanding of how proteins and cells interact with PDMS. Silicone is typically treated with oxygen plasma to make it hydrophilic, and sometimes protein is adsorbed on it, before plating cells. Based on the fibronectin experiments reported here, it can be hypothesized that FN adsorbed on hydrophilic PDMS retains a biologically active conformation, while FN adsorbed on untreated hydrophobic PDMS may not be biologically active. Whispering gallery mode technology can easily be applied to study the adsorption of extracellular matrix proteins on PDMS. High-Q WGM resonators made out of PDMS have already been demonstrated [137]. Because PDMS is easy to mold, it may also be possible to integrate the leaky waveguide and resonator into one monolithic device that

can be mass-produced, eliminating the time-consuming and tedious task of flame-drawing a waveguide and securing it into the flow cell with silicone adhesive. The combination of quantitative measurements and modeling and simulation will enable new advances in biomedical science.

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