ULTRAVIOLET DISINFECTION PILOT STUDY AT THE FARGO WASTEWATER

TREATMENT PLANT

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Ultraviolet Disinfection Pilot Study at the Fargo Wastewater Treatment Plant

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ABSTRACT

A pilot study was carried out at the Fargo wastewater treatment plant to determine the impacts that flow rate, water quality, and system fouling may have on the efficiency of UV disinfection. A second-order model successfully explained the results obtained with the collimated beam. The second-order model was used to study the impact of water quality and initial microorganism concentration on E. coli inactivation rates. Fouling material was mostly made of precipitated metal salts and its impact on UV intensity reduction was explained with the application of the Beer-Lambert law. E. coli inactivation in the pilot unit was found to be dependent on UVT, flow rate, and UV intensity. A first-order plug-flow model successfully explained the inactivation data obtained in the pilot unit. No significant seasonal water quality changes that may affect system operation were identified. However, UVT changes caused by storm events had short-term adverse impacts on system performance.

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CHAPTER 1. INTRODUCTION

The City of Fargo owns and operates the only wastewater treatment plant (WWTP) in Fargo, North Dakota (ND), United States. The WWTP was built in 1934 and it has been expanded several times since its construction. Currently, the WWTP treats an average daily flow of 12 million gallons per day (MGD) and has the ability to handle a peak capacity of 29 MGD. The Fargo WWTP will yet undergo another expansion, increasing its peak capacity from 29 to 50 MGD.

The effluent of the Fargo WWTP is discharged to the Red River of the North. Based on discharge regulations, the Red River is classified as a Class A stream. According to the ND Century Code, class A streams must be suitable for water-related recreational activities during several predetermined months of the year (ND Century Code, 1994). As a result, disinfection of wastewater effluent in Fargo is only required during a period of time referred to as the disinfection season. Based on the regulations set by the ND Century Code, the Fargo WWTP is only required to disinfect its effluent between April 1st and October 31st.

The current method used to disinfect the wastewater at the Fargo WWTP is chlorination followed by de-chlorination. This disinfection technique requires chemical handling as well as a large contact basin to provide sufficient contact time for the chlorine to inactivate the microorganisms present in the wastewater. Apex Engineering Group, the consulting company in charge of performing a facility plan, has raised several concerns when it comes to the expansion of the existing chlorine disinfection system in their preliminary engineering report. According to Apex Engineering Group, another contact basin would have to be constructed as well as considerably increasing the amount of chemicals stored on site if chlorination were to be carried over with the plant's expansion (Apex, 2014). Due to the close proximity to the Red River of the North, there is extremely limited space for a new contact basin on the existing WWTP's campus. Additionally, the engineering report highlights that the soil near the riverbank is not stable for construction (Apex, 2014), thus expanding the existing disinfection system would be a complicated and costly task to accomplish. Due to these concerns, the consulting firm studied different disinfection alternatives. One of the main objectives of the consulting firm was to find a disinfection technique that would not add any additional footprint to the existing contact basin while being able to handle the additional wastewater flow once the expansion of the plant takes place. After studying several alternatives, Apex Engineering Group recommended switching to a UV disinfection system. According to Apex Engineering Group, retrofitting the existing disinfection contact basin into a UV system will allow the plant to provide adequate disinfection to its wastewater without having to increase the footprint of the existing disinfection contact basin to handle the future flow (Apex, 2014).

Although UV disinfection is a proven technology, its application in wastewater treatment is relatively new in North Dakota and throughout the Midwest. Additionally, past research indicates that there is a need to develop on-site studies to effectively design a full-scale UV disinfection system for a particular plant depending on its flow and water quality characteristics. The management of the Fargo WWTP decided to perform on-site studies to determine the UV disinfection efficiency under different flow conditions, potential seasonal water quality changes, and quartz sleeve fouling prior to the implementation of this technology in a full-scale basis.

It was decided that the best way to perform on-site studies was through a pilot study. Fargo's WWTP management proposed a 7-month pilot study (April 1st through October 31st 2016) to match the current Fargo's WWTP disinfection season. It was decided that the pilot would be carried out by North Dakota State University (NDSU) researchers with collaboration with the scientists and wastewater experts from the City of Fargo, engineers from Apex Engineering Group, and representatives from UV system manufacturers.

1.1. Current Site Conditions

A flow diagram of the Fargo WWTP is shown in Figure 1.1. The flow diagram highlights the processes currently being utilized to treat wastewater. The Fargo WWTP uses both mechanical and biological methods to treat its wastewater. Wastewater collected throughout the city's sanitary sewer system makes its way to the plant's influent pumping station through a sequence of lift stations distributed throughout the city. Wastewater flow is then pumped through a series of screens prior to entering the grit removal and pre-aeration basin. Solids collected in the bar screen and grit removal units are hauled to the city's landfill on a weekly basis.

Upon pre-aeration, wastewater goes into the primary clarification stage. The primary clarification stage is equipped with seven clarifiers in charge of performing initial settling. The solids removed from the primary clarifiers are further treated by the primary and secondary digesters followed by dewatering in sand drying beds or filter presses for sludge compaction and volume reduction. The stabilized biosolids are hauled to the city's landfill for disposal on a daily basis. The effluent wastewater exiting primary clarification goes through three trickling filters, followed by two intermediate clarification tanks, and two nitrification trickling filters. Both trickling filters and the clarification tanks are capable of reducing most of the biochemical oxygen demand (BOD), total suspended solids (TSS), and ammonia of the plant's influent.

To achieve further settling, wastewater is then sent to the final clarification tank. A small portion of the wastewater flow, averaging about 325,000 gallons per day (gpd), is returned from the intermediate and final clarifiers to the head of the plant. Flow starts its return to the head of

the plant at midnight. Return flow is used to maintain proper wetting rates across the tricking filters during the night time.

Flow exiting the final clarifier that is not returned to the head of the plant has two possible routes to continue through the plant's treatment system. A portion of the water treated by the final clarifier is by-passed through the disinfection system and sent to the Effluent Reuse Facility (ERF). The ERF is a tertiary treatment system consisting of three ultra-filtration skids and four two-stage reverse osmosis skids. The ERF has a capacity of producing a permeate flow of 1.4 MGD at 70% recovery. The water treated by the ERF is then pumped to Casselton, ND and it is used by Tharaldson Ethanol as water supply for their cooling towers. The remaining flow exiting the final clarifier is disinfected using chlorine disinfection prior to discharging it to the Red River of the North. However, if the Red River conditions do not allow for discharge (e.g., flood conditions), the plant has the capability of diverting the flow exiting the final clarifier to a number of stabilization ponds for as long as it may be necessary. These ponds are located in the north-west part of town.



Figure 1.1. Fargo WWTP flow diagram.

1.2. Research Objectives

The main objective of this study is to evaluate the disinfection efficiency of UV light for wastewater applications in Fargo, ND. Another main objective of this research is to provide the City of Fargo with the parameters needed to design the future UV disinfection system. The specific goals of this research study are shown below:

- 1. To evaluate the impact of effluent water quality changes on UV transmittance (UVT);
- 2. To evaluate the impact of UVT changes on UV disinfection of E. coli;
- 3. To evaluate the fouling tendency of quartz sleeves and its impact on intensity loss; and
- 4. To evaluate the impacts of fouling and flow rate variations on UV disinfection of E. coli.

A review of previous literature, methodologies followed, results found, discussion of the results, research conclusions, and ideas for furthering research are covered in the chapters found in this report. Chapter 2 introduces the readers to the basic understanding of UV technology in addition to providing an in-depth literature review of UV disinfection of wastewater. The methodologies and procedures followed for meeting the above research objectives are displayed in Chapter 3. Results and discussion obtained from the pilot study are addressed in Chapter 4. Lastly, research conclusions and future research recommendations can be found in Chapter 5.

CHAPTER 2. LITERATURE REVIEW

An in-depth review of previous literature related to UV disinfection in wastewater applications has been performed. The knowledge found in this chapter highlights the necessary information to attain a comprehensive understanding of UV disinfection. An appropriate understanding of the information found in this chapter is necessary to develop adequate methodologies to achieve the previous established research goals and objectives.

2.1. Wastewater Disinfection

Some of the most popular methods that can be successfully used to disinfect wastewater are chlorination, ozonation and UV light. Chlorination has been one of the most common disinfection techniques used in wastewater treatment plants since chlorine gas is relatively inexpensive (Chawla et al. 2015). However, one of the main drawbacks of chlorine is that protozoa and viruses such *Cryptosporidium* and *Giardia* are resistant to the chlorine concentrations used in wastewater applications (Carpenter et al., 1999). Additionally, chlorine disinfection results in the production of chlorine residual. If not properly managed, such residual can negatively affect the aquatic life of the receiving body of water.

The other major chemical used in wastewater disinfection is ozone. Unlike chlorine gas, ozone is effective at inactivating a wider variety of viruses and bacteria (Hais & Venosa, 1978). It is well known that in addition to inactivating a greater variety of pathogens, ozone transforms to oxygen rapidly. Such rapid transformation results in the decrease of chemical residual present in the treated water. One of the main drawbacks of ozone disinfection is that ozone production for wastewater disinfection applications may not be cost-effective due to the high-energy costs associated with ozone generation (Orta de Velasquez et al., 2008).

Unlike chlorine and ozone, UV light is a physical process. Due to the nature of physical processes, UV disinfection has several benefits and drawbacks when compared to chemical disinfectants. Because the current disinfection method used to disinfect the Fargo's WWTP effluent is chlorine gas, a study of the literature covering the advantages and disadvantages that UV disinfection has when directly compared to chlorine gas was performed. Such study is shown in Sections 2.1.1 and 2.1.2.

2.1.1. Advantages of UV Light with Respect to Chlorine Disinfection

- Similar to ozone, UV disinfection was found to be more effective in removing a broader range of pathogens, including *Giardia* cysts and *Cryptosporidium* oocysts (Betancourt & Rose, 2004);
- UV disinfection is a physical process. Physical processes eliminate the need for generation, handling, and storing potentially hazardous chemicals on site (Chang et al., 1985);
- Since chemicals are not used to disinfect the water, UV disinfection poses no known negative impacts to the aquatic life in the receiving body of water (Alyaa et al, 2016). Additionally, Barber et al., (2015) found that the formation of disinfection byproducts is eliminated when wastewater disinfection processes switch from using chemical disinfectants to physical processes (UV light); and
- The detention time required to effectively inactivate pathogens using UV light is only a matter of seconds (USEPA, 1986). Due to the short detention time, UV disinfection systems tend to occupy much less footprint when compared to chemical disinfection methods such chlorine gas (Chang et al., 1985).

2.1.2. Disadvantages of UV Light with Respect to Chlorine Disinfection

- Low doses of UV light were found to ineffectively inactivate several microorganisms (Chang et al., 1985). In addition to ineffective disinfection taking place at low UV doses, some microorganisms have developed mechanisms to repair the damage caused by UV light (Harris et al., 1987; Knudson, 1985; Small & Greimann, 1977). However, it has been found that the microorganisms' repair capabilities can be eradicated by increasing the UV dose delivered in the reactor (Knudson, 1985);
- UV disinfection performance is affected by fouling material accumulation on the UV lamp's quartz sleeves (Emerick et al., 1999), thus regular cleaning of the quartz sleeves is needed to maintain adequate disinfection. In addition to quartz sleeve fouling, UV disinfection performance can also be severely affected by drastic changes in water quality (Batch et al., 2004; Emerick et al., 1999; Loge et al., 1999). The impact of water quality on disinfection performance is addressed throughout this report;
- Due to the short detention time experienced in UV reactors, flow fluctuations will have a significant impact on the amount of time the microorganisms are exposed to UV light. Thus, reducing the performance of UV systems when large flow fluctuations are experienced. Studies conducted by Flores (et al. 2015) show that UV disinfection efficiency improved when lower flows were used; and
- UV disinfection requires large amounts of electricity to function and it may not be costeffective when directly compared to chlorination (Dyksen et al., 1998; Lazarova et al., 1998).

2.2. UV Light Generation and Lamp Types

UV light is a form of electromagnetic radiation as shown in the electromagnetic spectrum displayed in Figure 2.1. UV light lies between the x-rays and visible light in the electromagnetic spectrum and it covers the wavelength range from 100 to 400 nanometers (nm). However, not all the wavelengths of UV light are equally effective at inactivating microorganisms. It is known that UV light only has germicidal effects in the wavelengths found under the UV-C and UV-B spectrum, more particularly, the maximum germicidal effect of UV light occurs at a the single wavelength of 253.7 nm (Reed, 2010).



Figure 2.1. Electromagnetic spectrum (Source: Ultraviolet Radiation, Government of Canada).

When a voltage is applied to a UV lamp, some of the liquid mercury located inside the lamp vaporizes. UV light is then produced through the energy released from the mercury gas generated (Germicidal Lamp Basics, Light Sources Inc). The wavelength of UV light produced by any UV lamp is dependent on the mercury vapor pressure maintained inside the lamp (USEPA, 2003). There are two types of UV lamps based on the mercury vapor pressure (USEPA, 2003): (i) low pressure (LP), and (ii) medium pressure (MP). Figure 2.2 displays the wavelength spectrum of LP and MP UV lamps. As seen in Figure 2.2, LP lamps emit UV light at a single wavelength

of 253.7 nm. Due to that, LP UV lamps are also known as monochromatic lamps in the UV industry. On the other hand, MP lamps are capable of emitting UV light at several wavelengths. In the UV industry, MP UV lamps are also known as polychromatic lamps due to their multi-wavelength emitting capabilities. In addition to the mercury pressure classification, UV lamps can also be classified by their quantity of intensity output (USEPA, 2003). The following lamp classification can be made based on mercury pressure and intensity output (USEPA, 2003): (i) low-pressure low-output (LPLO), (ii) low-pressure high-output (LPHO), and (iii) MP.



Figure 2.2. Wavelength spectrum of intensity output of LP and MP UV lamps (Source: USEPA, 2003).

Quartz sleeves are installed surrounding UV lamps to protect them from debris that could potentially damage them. Both UV lamps and the quartz sleeves are housed in UV reactors. UV reactors can be divided into two main groups based on their channel configuration (USEPA, 2003): (i) open, and (ii) closed. Closed reactors are commonly used for drinking water treatment while open reactors are used in wastewater applications (USEPA, 2003). Regardless of the channel type, UV reactors should all be equipped with UV intensity sensors, temperature sensors, and flow meters to adequately monitor the performance of the system (USEPA, 2003). Since UV reactors provide contact times in the order of seconds, a reactor that has been designed incorrectly will result in an inadequate exposure of UV light to the microorganisms being disinfected (Bryant et al., 1992). Due to that, UV reactors must be designed to eliminate dead zones where UV light cannot reach to avoid inadequate disinfection (USEPA, 1999).

2.3. Transmission of UV Light through Water

UV light starts to interact with the substances and particles present in the water subjected to treatment as soon as it exits the quartz sleeves. Several dissolved substances and wastewater particles are capable of reducing UV light's propagation through the water (Loge et al., 1999; Qualls et al., 1985; USAPHC, 2004). Due to that, the amount of UV light successfully transmitted through the reactor is dependent on the concentration of various dissolved substances, the distance the light must travel, and the particle concentration.

A broad range of dissolved substances (organic compounds and metals) found in wastewater effluents are known to absorb UV light (USEPA, 1999). It is important to point out that not only dissolved substances are capable of absorbing UV light. In addition to dissolved substances, wastewater particles can successfully absorb UV light due to their highly porous surface composition (Loge et al., 1999). Nevertheless, absorbing capabilities of wastewater particles are weaker when compared to those of dissolved substances. It is important to note that when UV light is absorbed, it is no longer available to disinfect microorganisms (Mamane, 2008).

The effects of absorption on the propagation of the UV light's intensity through the water can be explained with the scientific principles found in the Beer-Lambert law. The Beer-Lambert law is a combination of two laws developed by August Beer and Johann Lambert. Lambert's law states that the absorbance of light is directly proportional to the length the light has to travel through in a homogeneous solution. Thus, UV light will be absorbed as the light travels through the reactor. The longer the distance traveled, the more light will be absorbed. Beer's law states that absorbance of light in a sample is directly proportional to the concentration of the absorbing solution in which the light travels through. A mathematical representation of the Beer-Lambert law is shown in Equation 2.1.

$$A = \varepsilon L c \tag{2.1}$$

A = Absorbance of light

- ε = Molar extinction coefficient of absorbing substance (Lmol⁻¹cm⁻¹)
- L = Depth of light penetration (cm)
- C = Concentration absorbing substance in the solution $(molL^{-1})$

Propagation of UV light can also be affected by scattering. Scattering of UV light is caused by wastewater particles (suspended and colloidal) present in water (Qualls et al., 1983). However, the degree to which UV light is scattered by particles is influenced by the shape, the size, and the particle concentration (Bohren & Huffman, 1983). Because of their scattering capabilities, particles will also reduce UV light's depth of penetration through the water being disinfected (Qualls et al., 1983).

2.4. Measurements of Transmitted or Absorbed UV Intensity

UVT and UV Absorbance (UVA) are water quality parameters commonly used in the UV disinfection industry for measuring overall water quality conditions. UVT and UVA are important parameters used by the UV industry to determine both the feasibility of UV disinfection and design

of UV disinfection systems. UVT is defined as the ratio of UV intensity passing through any fluid sample (I) to the UV intensity passing through a deionized (DI) water sample (I_o) since the DI water sample will let 100 percent of the emitted UV intensity pass through it. Equation 2.2 can be used to calculate the UVT of any water sample.

$$UVT = 100 \times \frac{I}{I_o}$$
(2.2)

UVT = UV Transmittance (%)

- I = Intensity of the UV light exiting the test sample (mW/cm^2)
- I_0 = Intensity of the UV light exiting the DI water sample (mW/cm²)

UVA characterizes the decrease in the amount of incident UV light as it passes through a sample over a 1 centimeter depth. It is important to note that UVT and UVA measure the complete opposite phenomenon. While UVT is a measurement of the amount of UV light transmitted through a fluid sample, the UVA measures the amount of UV light absorbed across the sample's depth. Both parameters can be related using Equation 2.3.

$$UVA = -\log\left(\frac{UVT}{100}\right) = \log\left(\frac{l_o}{l}\right)$$
(2.3)

UVA = Absorbance (cm^{-1})

Both UVT and UVA of an effluent sample can be measured using a spectrophotometer. It is important to note that UVA and UVT readings obtained from measurements performed in a spectrophotometer of unfiltered samples do not distinguish between the effects that both scattering and absorption have on UV intensity (Qualls et al., 1983). Instead, spectrophotometer measurements of an unfiltered sample measure the bulk UVA or bulk UVT of it (Qualls et al., 1983). All particles present in the sample must be removed through filtration prior to obtaining a UVA or UVT measurement that can exclusively be associated to absorption or transmission of UV light affected by dissolved substances alone (Qualls et al., 1983). The absorption of dissolved components is associated with the UVA measured for samples filtered through 0.45 micrometer (μ m) pore size filters since the majority of the particles (both suspended and colloidal) will be successfully retained by the filter (Qualls et al., 1983).

A UV disinfection study in Minnesota performed by Trojan Technologies, a UV lamp manufacturer, only showed minor improvements in the amount of UV light transmitted when the suspended particles were removed through filtration (Trojan UV, 2013). Indicating that dissolved substances may be the major contributor of absorption of UV light. Dissolved substances are a special concern for Fargo WWTP management since the city applies ferrous salts in its sewer system for odor control. It is expected that some of the iron added throughout the collection system may make its way through the plant and end up in the effluent in dissolved or particulate forms hindering UV disinfection performance through absorption of UV light (Apex, 2014).

Efforts in monitoring water quality parameters such chemical oxygen demand (COD), turbidity, total suspended solids (TSS), or total dissolved solids (TDS) to evaluate the impact of effluent water quality on UVT can be found in the literature (Emerick et al., 1999; Harris et al., 1987; Madge & Jensen, 2006; Qualls et al., 1983; Qualls et al., 1985). These studies observed the impact of water quality on UVT by developing relationships between the above listed water quality parameters and UVT. The relationships developed varied from study to study. Consequently, it can be said that relationships between effluent water quality parameters and UVT as well as UVT seasonal variations may be considered to be plant specific.

2.5. Mechanism of Pathogen Inactivation and Survival

The inactivation of microorganisms by UV light results from the absorption of the radiation by the deoxyribonucleic acid (DNA) of the microorganism (Pfeifer et al., 2005; Reed, 2010). Such absorption distorts the double helix arrangement of the DNA, which disables the microorganism's capability to reproduce (Harris et al., 1987). Although the microorganism still possesses metabolic functions after the exposure to UV light, it cannot reproduce; therefore, the microorganism is incapable of infecting a host. It is well known that the level of pathogen inactivation is affected by the UV intensity delivered to the pathogens and the amount of time the pathogens were exposed to the UV radiation. Calculation of UV dose is shown in Equation 2.4.

$$D = I \times t \tag{2.4}$$

 $D = UV Dose (mJ/cm^2)$

I = UV Intensity
$$(mW/cm^2)$$

t = Exposure time (seconds)

Microorganisms are capable of surviving UV light exposure by repairing the damage caused by the light or by hiding from it. Certain microorganisms have developed mechanisms to repair the damage caused by UV radiation (Harris et al., 1987). The mechanism typically taking place in wastewater applications is known as photoreactivation (Harris et al., 1987; Knudson, 1985; Small & Greimann, 1977). Photorepair capabilities of microorganisms vary within strains and typically take place when low UV doses are applied to the water being disinfected (Oguma et al., 2002; Whitby et al., 1984). However, it is known that photorepair capabilities of microorganisms can be eliminated by increasing the UV dose delivered in the reactor (Knudson,

1985). In particularly, UV doses higher than 21 mJ/cm² have been found to be sufficient to eliminate the repair capabilities of E. coli (Kashimada et al., 1996).

As previously mentioned, wastewater particles are highly porous. Such porosity translates in larger surface areas which allows wastewater particles to provide microorganisms' with shelter from UV light (Loge et al., 1999; Qualls et al., 1985), thus hide from UV light exposure. Studies show that the degree to which microorganisms attach to particles varies depending on the type of the upstream treatment processes (Emerick et al. 1999). In their study, Emerick et al. (1999) calculated the percentage of wastewater particles containing at least one attached microorganism for samples collected from different treatment systems. The study was performed for two particle size classes: (i) particles with average diameter between 11 and 80 μ m, and (ii) particles with average diameter greater than 80 μ m. In addition to the degree of attachment, it was also found that different treatment processes produce treated water with different effluent UVT's (Emerick et al. 1999).

As previously mentioned, the concentration of wastewater particles is a concern in UV disinfection systems since particles are capable of scattering UV light and sheltering microorganisms. However, particle concentration may not be the only concern when it comes to assessing the degree of microorganism survival. In addition to the particle concentration, the size of the particles also plays an important role when studying the degree of microorganism attachment (Jolis et al. 2001; Madge & Jensen, 2006; Qualls et al., 1983). Studies show that larger particles are more effective sheltering microorganisms from UV light since it has been found that particles greater than 20 µm can shelter a larger number of microorganisms when compared to smaller particles (Madge & Jensen, 2006). Similarly, particles smaller than 10 µm have been found to be too small to provide a significant level of microorganism protection (Qualls et al., 1983). Jolis et

al. (2001) studied the effects of filtration on the microorganism's inactivation to analyze the impacts of wastewater particles in the level of inactivation achieved. Jolis et al. (2001) reported that much higher UV doses were needed to obtain the similar inactivation levels for unfiltered samples when compared to filtered samples. Indicating that wastewater particles play an important role in the degree of microorganism protection.

In addition to the concentration and size of the particles, the composition of such particles can determine whether the sheltering effect of particles is successful or not (Azimi et al., 2012; Liao et al., 2002; Yuan & Farnood 2010). It is known that most of the particles in secondary treated effluents are generated through biological treatment processes (Azimi et al., 2012). Such particles, also referred to in the literature as bioflocs, are typically composed of two layers consisting of a compact core and a shell (Liao et al., 2002). Yuan & Farnood (2010) found that the outer shell is formed of loose/porous material while the core is dense and non-porous. Yuan & Farnood (2010) arrived at the previous conclusion by comparing the shear strength of cores and Continuing the same two-layered approach, Azimi et al. (2012) later found that shells. microorganisms embedded inside the core are more resistant to UV light than the microorganisms attached to the loose shell. Azimi et al. (2012) arrived at that conclusion when tests revealed that smaller particles composed mostly of core were more effective at sheltering microorganisms from UV light when compared to larger particles with highly porous characteristics. Thus, affirming that not only the size of the particle matters, but the density and the composition of the particle may also play a major role in the effectiveness of wastewater particles sheltering microorganism.

2.6. Measurements of Pathogen's Response to UV Light

Laboratory bench-scale tests can be performed to study any microorganism's response to a given UV light intensity exposure (UV dose). A bench-scale collimated beam (CB) apparatus can be used to determine any microorganism's inactivation as a function of UV dose under well controlled conditions. The CB unit measures the microorganism's response to UV radiation by delivering UV light to a mixed test sample located in a petri dish (USEPA, 2003). The results obtained from performing CB tests can be displayed in dose-response curves which are used to evaluate the performance of continuous flow UV systems through validation and to perform kinetic studies of the microorganism's response to UV light. Furthermore, one can study the effects that water quality has on microbial inactivation by developing several dose-response curves for varying water qualities and kinetically comparing them (USEPA, 2003). Additionally, dose-response curves can be used to determine the minimum UV dose needed to inactivate a targeted microorganism for a given UVT. The minimum UV dose needed can then be used as a controlling parameter to size full-scale systems.

A proper correction of the intensity output of the lamp(s) used during any CB test is essential to obtain accurate results. Because of that, several correction factors must be applied to the intensity reading taken by the radiometer of the CB apparatus prior to starting the experiment. The correction factors are used to calculate the average intensity across the test sample located in the petri dish (Bolton & Linden, 2003; USEPA, 2003). The procedures and calculations needed to obtain the average intensity across the test samples used in this research study are shown in Section 3.2.2.

2.6.1. Understanding Microorganism's Response to UV Light

An example of a representative dose-response curve can be seen in Figure 2.3. Doseresponse curves are obtained by plotting the microorganism's response data (y-axis) with respect to the UV doses (x-axis) used during the CB test. Microorganism response data can be plotted using either: (i) microbial inactivation, and/or (ii) surviving microbial counts. Figure 2.3 will be used to introduce the standard behavior and shape of the dose-response curves found across the literature.



Figure 2.3. Typical dose-response curve relationship developed with a CB apparatus for wastewaters (Source: Emerick et al., 1999).

At low UV doses, microorganisms tend to follow exponential response to UV light when adequate mixing conditions are present (Emerick et al., 2000; Hassen et al., 2000; Mounaouer & Abdennaceur 2012; USEPA, 2003). Proper mixing conditions are achieved using a magnetic stirrer to constantly stir the sample that is being exposed to UV light (Kuo et al., 2003). By providing adequate mixing, the dispersed microorganisms receive a constant amount of UV intensity, thus yielding initial exponential response to UV light translating in a first-order kinetic behavior (Emerick et al., 2000). As UV dose increases, the initial exponential behavior eventually evolves into a tailing response, when this occurs, deviations from first-order kinetic inactivation take place (Emerick et al., 1999; Madge & Jensen, 2006; Qualls et al., 1985). Tailing response develops when the inactivation slows down at higher doses.

A microorganism embedded in a particle will be exposed to a limited amount of UV light, thus receiving less UV intensity for a given exposure time. Due to that, embedded microorganisms need longer exposure to UV light (higher UV dose) to achieve similar inactivation rates that disperse microorganisms are capable of achieving at lower UV doses. It is believed that the tailing behavior of dose-response curves starts occurring once the majority of the dispersed microorganisms are inactivated and inactivation of embedded microorganisms starts taking place at higher doses (Emerick et al., 2000). Ever since the tailing behavior of the curves has been associated with particles shielding microorganisms, studies have tried to relate the degree of tailing to the suspended particle count and/or concentration present in the water by performing CB tests with filtered and unfiltered samples (Darby et al., 1993; Emerick et al., 2000; Jolis et al., 2001).

As UV dose continues to increase, the microorganisms' tailing response evolves into a plateau. This plateau region defines the surviving microorganism concentration to the UV light, also known as residual (Emerick et al., 1999). The residual microorganism concentration is hypothesized to be caused by one or combination of the following: (i) particles sheltering microorganism from UV light (Azimi et al., 2012), (ii) association of dispersed microorganisms (Blatchley et al., 2001), and/or (iii) possible accuracy issues of enumeration techniques experienced at low microbial concentrations (Mamane, 2008).

2.6.2. Kinetic Modeling of Microorganism's Response to UV Light

Since the initial section of the dose-response curve behaves as first-order kinetics, and it is believed that the majority of the microorganism present in solution are found in a dispersed state (Qualls et al., 1985), a simple first-order kinetic model has been widely used in previous studies to describe microbial response to UV light (Hassen et al., 2000; Jolis et al., 2001; Madge & Jensen, 2006; Qualls et al., 1985). The first-order kinetic model found in the literature is shown in Equation 2.5.

$$\frac{N}{N_o} = e^{-kD} \tag{2.5}$$

N = Concentration of microorganisms after exposure to UV light (MPN/100ml)

- N_o = Concentration of microorganisms before exposure to UV light (MPN/100ml)
- D = UV dose (mJ/cm^2)
- k = First-order rate constant (cm^2/mJ)

If the above model is used, deviations between the experimental data and the model occur once the inactivation rate slows down and tailing behavior takes place (Emerick et al., 1999; Madge & Jensen, 2006; Qualls et al., 1985). In an attempt to study the dose-response curves using this model, some studies omit the data points associated with the non-linear portions of the curve as done by Jolis et al. (2001) and Madge & Jensen (2006), thus developing rate constants that are only dependent on the linear section of the dose-response curves.

Since the first-order model can only explain the initial section of the dose-response curves, the application of this model poses challenges when studying the tailing effect. Additionally, a proper study of water quality impacts on UV disinfection efficiency cannot be done using this approach since the complete behavior of the dose-response curves cannot be modeled correctly. Modifications of this simple first-order kinetic model have been found in the literature (Emerick et al., 2000; Hassen et al., 2000). These modifications strive to incorporate the tailing behavior while still utilizing a first-order kinetic approach to the kinetic analyses.

Modifications have been made to the first-order model by adding a parameter that represents the initial microbial reduction at the contact of water with UV radiation (Hassen et al., 2000). By doing this, the tailing behavior can be modeled. However, the entire set of data of the dose-response curve cannot be successfully modeled at once. To successfully model the entire set of data using this modification of the first-order kinetics, Hassen et al. (2000) broke up the data in two different sets: (i) initial exponential response, and (ii) tailing behavior. The same formula is used to model both sets of data. However, the kinetic parameters used in the model vary from set to set for a single dose-response curve. Equation 2.6 shows the model used by Hassen et al. (2000).

$$\frac{N}{N_o} = A e^{-kD} \tag{2.6}$$

N = Concentration of microorganisms after exposure to UV light (MPN/100ml)

- N_o = Concentration of microorganisms before exposure to UV light (MPN/100ml)
- A = Initial microbial reduction at the contact of water with UV radiation
- $D = UV \text{ dose } (mJ/cm^2)$
- k = First-order rate constant (cm^2/mJ)

Similarly to the previous modeling approach, a proper study of the effects of water quality on UV disinfection performance cannot be accomplished using this approach since the data has to be broken up in separate data sets. Other modifications have been made to the first-order kinetic
model with the intention of using a single equation to explain the behavior of the entire set of data. This model was developed by Emerick et al. (2000) and has been utilized in other data analysis efforts found in the literature (Mounaouer & Abdennaceur, 2012). The model developed by Emerick et al. (2000) is displayed in Equation 2.7.

$$N_t = N_D e^{-kD} + \frac{N_P}{kD} (1 - e^{-kD})$$
(2.7)

 N_t = Total concentration of microorganism at given UV dose (MPN/100ml)

N_D = Initial concentration of disperse microorganisms (MPN/100ml)

 N_P = Total number of particles containing at least one microorganism before exposure of UV Light (MPN/100ml)

$$D = UV \text{ dose } (mJ/cm^2)$$

k = First-order rate constant (cm^2/mJ)

This model proposed by Emerick et al. (2000) describes the complete behavior of the doseresponse curves using a single equation. This model relies on the measurement of the number of wastewater particles sheltering at least one microorganism before exposure to UV light (N_P). Particle size distribution and in situ hybridization tests were performed by Emerick et al. (2000) to calculate N_P. These tests are tedious to perform and would have to be repeated from site to site, adding more uncertainty to the kinetic modeling study. Additionally, this model cannot be used to develop meaningful relationships with overall water quality since the rate constant of the model depends on the particle counts, not other water quality characteristics of the sample such UVT or UVA. Other models can be found in the literature, however, the three introduced by this literature review are the most commonly used in studies to explain the dose-response curve behavior. Effort should be put into developing simple models to describe the full set of dose-response curve data that can be related to common water quality parameters to easily study the impacts that water quality has on the rate of microorganism inactivation by UV light.

2.7. Fouling of UV Disinfection Systems

Fouling in a UV disinfection system is the accumulation of water constituents on the external surface of the quartz sleeves. Fouling of the quartz sleeves is considered to be one of the main limitations of UV disinfection systems (Blatchley et al., 1996; Wait & Blatchley, 2010). Constituents deposited on the quartz sleeves have the ability to absorb the UV light emitted by the UV lamps, thus reducing the transmission of UV light to the water being treated (Blatchley et al. 1996; Peng et al., 2005; USEPA, 2003). By reducing the UV light transmitted through the quartz sleeves, less UV intensity is delivered to the microorganisms, henceforward fouling has a negative impact on UV performance.

Deposition of fouling material on the quartz sleeves is thought to be caused by the transition of the quartz's sleeve surface from a smooth silica-based to a rough one (Lin et al., 1999b). It is important to note that the quartz sleeve's length is longer than the UV lamps to be able to fully protect the lamp from debris. The UV lamp is then located in the middle section of the quartz sleeves. Because of this, the end sections of the quartz sleeves will receive less irradiation when compared to the middle section, where the UV lamp is located. These end sections are also referred to as the non-irradiated zones of the quartz sleeves, while the middle section is known as the irradiated zone. It has been found in the literature that fouling material will have different characteristics depending on the zone of the quartz sleeve (Lin et al., 1999b). These findings are explained in the following sections. Several studies were found in the literature regarding the different types of fouling materials, the mechanism of fouling material accumulation onto the quartz sleeves, rate of fouling formation, and the removal of fouling material (Lin et al., 1999a and b; Nessim & Gehr, 2006; Sheriff & Gehr, 2001).

2.7.1. Nature of Fouling Material

Fouling can be caused by both inorganic and organic constituents present in the water being treated (Gehr & Sehnaoui, 2001). Inorganic fouling is mainly caused by deposition of metal ions with inverted solubility such iron, aluminum, calcium and magnesium onto the surface of the quartz (Lu et al., 2012; Wait et al., 2004). Metals with inverted solubility become less soluble with an increase of temperature. Due to the high temperatures taking place in the quartz sleeve's surface, metals with inverted solubility tend to precipitate onto the quartz sleeves (Gehr & Sehnaoui, 2001; Nessim & Gehr, 2006; Wait et al., 2004). This type of fouling is typically caused by a mechanism referred to as heat-induced precipitation and it is more predominant in the irradiated zone of the quartz sleeve (Gehr & Sehnaoui 2001, Lin et al., 1999b; Wait et al., 2004). Sodium and potassium are other metal ions that are commonly found in inorganic fouling material. However, they do not follow a heat-induced precipitation mechanism since they do not have inverted solubility.

Total organic carbon (TOC) measured in fouling material revealed that organics also have the ability to deposit onto the quartz sleeves, thus causing organic fouling formation. However, data indicates that UV radiation appears to limit the deposition of organics since higher TOC concentrations were found in the non-irradiated zone versus the irradiated zone of quartz sleeves (Lin et al., 1999b). Lin et al. (1999b) concluded that this phenomenon is due to absorption of UV light by organic matter deposited on the irradiated zone of the quartz sleeve. Organic fouling is typically caused by a mechanism referred to as sedimentation of particles (Gehr & Sehnaoui 2001, Lin et al., 1999b; Wait et al., 2004) and tends to occur in systems with high colloidal particle concentrations (Lin et al., 1999a).

The chemical composition of the fouling material has been found to be affected by the aqueous species present in the water (Gehr & Sehnaoui, 2001; Lin et al., 1999a; Sheriff & Gehr, 2001; Wait & Blatchley, 2010). As result, chemical treatment processes prior to UV disinfection have the ability to influence the chemical composition of the fouling material (Lin et al., 1999a). Overall, studies agree that rapid fouling will take place in the event that high hardness concentrations (350 mg/L as CaCO₃) and/or iron concentrations over 1 mg/L are present in the water being treated based on experimental observations performed by Blatchley et al. (1996). Fouling material composition has been studied by removing it from the quartz sleeves through scraping or by acid-washing them (Blatchley et al., 1996; Lin et al., 1999 a & b). X-ray diffraction analysis revealed that the majority of the fouling material accumulated on the surface of quartz sleeves has an amorphous structure (Blatchley, et al., 1996; Lin et al., 1999a).

2.7.2. Intensity Monitoring and Fouling Material Removal

Intensity loss through the quartz sleeves can be studied by locating a UV intensity measuring device inside the reactor and monitoring the intensity drop with respect to time (Gehr & Wright, 1998). Several studies found that fouling material caused the UV intensity transmitted through the quartz sleeves to decrease rapidly during the initial hours of operation of the system (Lin et al., 1999b; Sheriff & Gehr, 2001). Lin et al. (1999b) reported that UV intensity

transmission through the quartz sleeves decreased in almost 80% during the initial 23 hours of operation while fouling material followed zero-order accumulation behavior.

Some of the studies that monitor the intensity loss through the quartz sleeves were performed using synthetic water in laboratory settings (Sheriff & Gehr, 2001). Due to that, it could be argued that the scenarios used to develop the models may not be a representation of real world situations experienced in WWTP. An example of that would be using dissolved iron concentrations over 5 mg/L (Sheriff & Gehr, 2001), a concentration which is likely not to be experienced in a WWTP effluent. A different approach to modeling is to attempt to explain the fouling material accumulation on the quartz sleeves (Lin et al., 1999c; Wait & Blatchley, 2010). These models attempt to explain fouling material accumulation based on correlating the transport mass or concentration of fouling constituents present in the water being treated (Lin et al., 1999c; Wait & Blatchley, 2010). Such approach may pose challenges when applying these models since water characteristics vary from site to site. Effort should be put into developing a simple model that can be easily applicable to a variety of sites with different water compositions and fouling mechanisms.

Fouling material deposited onto the quartz sleeves can be removed through cleaning (Gehr & Sehnaoui, 2001; Wait et al., 2004). Cleaning methods are divided into two types (Nessim & Gehr, 2006): (i) mechanical wiping, and (ii) combination of mechanical and chemical wiping. Mechanical methods simply wipe the quartz sleeves while a combination of mechanical and chemical and chemical use chemicals such nitric or phosphoric acid during the cleaning process. Previous cleaning efforts found in the literature show that the use of chemicals for cleaning is more effective than mechanical methods without chemicals (Oliver, 2002).

CHAPTER 3. SYSTEM DESCRIPTION AND METHODOLOGY

The pilot system and the CB apparatus used as well as the methodologies developed to assess the adequacy of UV disinfection for wastewater applications in Fargo, ND are presented in this chapter.

3.1. Pilot System

A pilot system was loaned from Trojan Technologies, Ontario, Canada to perform this research study. The pilot system was operated for the following purposes:

- 1. To evaluate the fouling tendency of quartz sleeves;
- 2. To evaluate the effectiveness of several cleaning methods for the quartz sleeves and the intensity sensor;
- To perform a qualitative analysis of the fouling material composition accumulated on the surface of the quartz sleeves;
- 4. To evaluate the impact of flow rate and seasonal water quality variations on fouling formation; and
- 5. To evaluate the impacts of fouling and flow rate variations on UV disinfection of E. coli.

3.1.1. Pilot System Description and Setup

The pilot system was located inside the ERF. The system consisted of a UV reactor, a control panel, and an intensity sensor. A detailed diagram of the TrojaUVLogic unit and the setup of the pilot system inside the ERF are shown in Figures 3.1 and 3.3, respectively.



Figure 3.1. TrojanUVLogic pilot unit diagram (Source: Trojan Technologies).

The TrojanUVLogic stainless steel L-shaped UV reactor housed 2 UV lamps enclosed in quartz sleeves. The stop plate and the sanitary end plate supported the quartz sleeves in place inside the reactor's chamber. The pilot unit was equipped with both inlet and outlet sampling ports located in the inlet and outlet flanges. The control panel of the unit provided power supply to the lamps and to the intensity sensor as well as displaying the intensity readings obtained by the sensor. The control panel also allowed for monitoring of the alarm system. The alarm system would go off and the unit would shut down if high temperatures were to occur inside the chamber. The intensity sensor was mounted inside the wall of the UV reactor. General specifications of the pilot unit used can be found in Table 3.1.

Table 3.1. Pilot	system	specifications.
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Lamp Type	LPHO
Number of Lamps	2
Nominal Lamp Length (cm)	68
Effective Lamp Length (cm)	57
Chamber Diameter (cm)	15
Effective Chamber Volume (gal)	2.6
Maximum Operating Pressure (psi)	150
Record Setpoint System	Intensity Setpoint
Intensity Sensor Type	Photodiode
System Power (kVA)	0.33

A relationship between flow and hydraulic retention time (HRT) was developed for the pilot unit based on the effective volume, retention time and flow formula. Such relationship is shown in Figure 3.2. The effective volume of the reactor was calculated using the diameter of the pilot chamber and the effective lamp length.



Figure 3.2. HRT and flow relationship for the TrojanUVLogic pilot unit.

Influent water to the ERF was used as water supply to the pilot system. Once again, it is important to note that influent water to the ERF is diverted from the Fargo's WWTP before reaching the disinfection treatment unit. As indicated in Figure 3.3, influent water to the ERF was pumped through the pilot unit using a submersible pump located in the influent basin of the ERF. Prior to entering the pilot unit, the water was pumped through a strainer to remove large debris that could potentially block or damage the unit. Flow entering the reactor was monitored using an Endress+Hauser Promag flow meter located between the pump and the pilot unit.



Figure 3.3. Pilot system setup inside the ERF.

3.1.2. Pilot System Operation and Control

The pilot system was operated from April 4th to October 23rd of 2016. The pilot unit was run for several days at a time before system maintenance took place. This report uses the term "cycle" as the amount of days the pilot unit was used without performing system maintenance. Each cycle lasted a minimum of three and a maximum of seven days to allow the quartz sleeves to collect enough fouling material so the intensity loss experienced through the quartz sleeves was significant. Proper maintenance of the system was performed at the end of each cycle. Maintenance included cleaning of the strainer, the quartz sleeves, and the intensity sensor.

The intensity inside the pilot unit was recorded both automatically and manually. Automatic measurements of the intensity maintained inside the pilot unit were performed by connecting the readings from the UV intensity sensor to the Fargo's WWTP SCADA monitoring system. The SCADA system was programed to document one intensity reading every hour. Manual measurements of the intensity were taken every twenty-four hours by recording the intensity reading displayed in the control panel. The influent flow to the pilot unit was varied at the beginning of every cycle and it was maintained constant throughout the cycle. Intensity readings collected during each cycle were used to study the behavior of the intensity loss experienced in the unit as fouling took place for varying operational conditions (flow and water quality).

Out of all the cycles, six of them were used as test runs to study the disinfection performance of the pilot system. The six test runs were performed from September 19th to October 23rd of 2016. A performance test was carried out every twelve to twenty-four hours during each test run to allow for the UV intensity experienced inside the pilot unit to vary from test to test. Each performance test involved a calculation of the E. coli inactivation achieved by the reactor for

a given flow, intensity output, and water quality. Specific information regarding the sample collection that took place during each performance test is addressed in Section 3.1.4. The range of flows (with their respective detention times for the pilot unit) used to perform the test runs are shown in Table 3.2.

Table 3.2. I	Flow	and	HRT	s)	used	during	cvcl	es.
				~ /			- /	

Flow (gpm)	10	15	20	25	35
HRT (s)	15.4	10.3	7.7	6.2	4.4

3.1.3. Pilot System Cleaning

The quartz sleeves, the intensity sensor, and the lamps were removed at the end of each cycle for routine maintenance and cleaning with the goal of recovering the initial intensity output of the UV lamps. Several cleaning methods were tested to assess their effectiveness in recovering the initial intensity output. The quartz sleeves were cleaned using a 10% phosphoric acid solution while scraping with Kimtech Kim-wipes. Two different methods were used to clean the intensity sensor. The first method is the same one utilized to clean the quartz sleeves. The second method involved the use of a 10% nitric acid solution and a cotton swab. The surface of the intensity sensor as well as the quartz sleeves were rinsed with DI water prior to placing them back inside the pilot unit. An air compressor was used to remove the material accumulated in the strainer. Following the air compressor, the strainer was rinsed with a hose prior to attaching it back to the system.

3.1.4. Pilot System Sample Collection

To adequately assess the performance of the pilot unit, the following data was collected during each performance test (detailed information regarding E. coli and UVT sampling preparation and analysis is addressed in Section 3.3):

- Grab sample of the E. coli entering the unit (N₀);
- Grab sample of the E. coli exiting the unit (N);
- Flow rate measurement;
- Intensity sensor reading; and
- Grab sample tested for UVT of the influent water to the pilot unit.

A series of water quality parameters were sampled two to three times per week throughout the 2016 disinfection season. Water quality parameters were sampled throughout the season for three different purposes: (i) monitor seasonal effluent water quality variations, (ii) study the impacts of water quality changes on UVT, and (iii) study whether water quality had an impact on the rate of intensity loss experienced in the pilot unit. A one liter grab sample was collected in triplicate from the influent sampling port of the pilot unit, allowing ten minutes between each sample collection. Samples collected were tested immediately after collection for the following: Turbidity, TSS, COD, UVT, UVA, temperature, iron, and pH. Collected water quality samples were analyzed at the Fargo WWTP and water treatment plant (WTP) laboratories following the procedures and methodologies addressed in Sections 3.3.2 and 3.3.3.

The fouling material accumulated onto the surface of the quartz sleeves was collected when desired prior to cleaning them at the end of each cycle. A qualitative analysis of the fouling material was performed to study the distribution of the components forming it. By knowing the components forming the fouling material, one can attempt to assess the possible fouling mechanism(s) taking place at the Fargo WWTP. Kim-wipes damped in DI water were used to remove the majority of the fouling material by scraping it from the quartz sleeves. Removed material was placed in a clean plastic bottle containing 500 ml of DI water, preserved with nitric acid and stored in a refrigerator prior to testing. Fouling samples were transported to the Fargo WTP for analysis. Analysis procedures of the fouling material are addressed in Section 3.3.4.

3.2. Collimated Beam Apparatus

A CB apparatus was loaned from Trojan Technologies for this study. The CB was used to study the impact of water quality on UV disinfection by performing several CB tests at varying water qualities. Procedures specified in "Ultraviolet Disinfection Guidance Manual" (USEPA, 2003) were followed for CB intensity calibration and sample testing.

3.2.1. Collimated Beam Apparatus Configuration

The CB unit utilized a single LPLO mercury lamp as a source of UV light. The UV lamp was enclosed in a box suspended parallel to ground level. The box had ventilation channels to prevent it from overheating. A round tube, also known as collimating tube, extended downward from the box and it was used to achieve collimation of UV light. The inside of the collimating tube was painted black to prevent light reflection from the walls of the tube (Bolton & Linden, 2003). A diagram of the CB apparatus used for this study including its dimensions is shown in Figure 3.4.

The CB unit was also equipped with a magnetic stirrer. The magnetic stirrer was used to mix the wastewater sample while the sample was exposed to UV light to provide equal exposure

to all microorganisms in suspension (Bolton & Linden, 2003). To prevent UV light from exiting the collimating tube when desired, an opaque sheet was located between the collimating tube and the petri dish to block the beam of UV light exiting the tube while sample preparation was taking place.



Figure 3.4. Diagram of the CB used in this study.

3.2.2. Collimated Beam Intensity Calibration Procedure

Several correction factors have to be determined and applied to the intensity output of the UV lamp prior to performing a CB test. Before calculating the correction factors, the LP lamp of the CB apparatus was turned on and allowed to stabilize for a period of 60 minutes. After 60

minutes had passed, the UV light intensity at the surface of the test sample was measured using a calibrated radiometer (International Light IL1700 model, equipped with a diffuser and UV254 filter). It is important to note that the intensity measurement taken by the radiometer measures the intensity of the UV light at the surface of the sample. As mentioned in Chapter 2, absorption and scattering taking place in the test sample will reduce the measured UV intensity at the surface of the sample as the UV rays travel through it. Due to that, to properly perform a CB test, the average UV intensity across the test sample needs to be calculated (Bolton & Linden, 2003). Several correction factors were applied to the intensity reading taken by the radiometer to obtain the average intensity through the test sample. The correction factors used were: (i) petri factor, (ii) water factor, (iii) divergence factor, and (iv) reflection factor.

Petri factor is defined as the ratio of the average intensity across the sample surface to the intensity measured at the center of the sample surface (Bolton & Linden, 2003). To determine the petri factor, UV intensity at the center of the UV beam and every 0.5 cm in the x and y directions for a distance of 3 cm were measured using a radiometer.

The water factor is related to the absorption and/or scattering of UV light by the sample. In this study, a grab sample containing 1 liter of influent water to the pilot unit was collected. The bottle containing the grab sample was mixed for a period of five minutes on a magnetic stirrer prior to performing a measurement of the sample UVA using a spectrophotometer. Separately, fifty millimeters of the same sample were placed in a petri dish to measure the sample's depth. The UVA and the sample's depth were then used to calculate the water factor using Equation 3.1. Equation 3.1 was derived by Morowitz et al. (1950).

$$WF = \frac{1 - 10^{-UVA \times d}}{\ln(10) \times UVA \times d}$$
(3.1)

WF = Water Factor

UVA = Absorbance (cm^{-1})

d = Sample depth (cm)

Following the water factor, the divergence factor was calculated. The divergence factor takes into account the divergence of the UV light as it travels from the lamp to the surface of the test sample and through the sample (USEPA, 2003). At this point, the opaque sheet was used to block the UV light coming out of the collimating tube. The magnetic stirrer and the petri dish containing the 50 millimeter sample were placed right under the collimating tube. The distance between UV lamp and sample's surface was measured and Equation 3.2 was used to calculate the divergence factor.

$$DF = \frac{L}{L+d} \tag{3.2}$$

DF = Divergence Factor

L = Distance between UV lamp and sample's surface (cm)

d = Sample depth (cm)

It is important to note that not all the UV light exiting the collimating tube penetrates through the sample. Due to refractive index changes between different mediums as light travels from air to water, a small percentage of the beam of light is reflected off the sample's surface (Bolton & Linden, 2003). For a normal incident light, the fraction of light reflected is given by the Fresnel law. Based on the Fresnel law and the types of media (air and water), the reflection factor for the CB test is 0.975 and it represents the portion of light entering the test sample (Bolton & Linden, 2003).

Once all the previous factors (petri, water, divergence and reflection) were measured, the average germicidal irradiance through the test sample can be calculated using Equation 3.3.

$$GI = I \times PF \times WF \times DF \times RF \tag{3.3}$$

- GI = Average germicidal irradiance through the wastewater sample (mW/cm^2)
- I = Intensity measured by the radiometer (mW/cm^2)
- PF = Petri Factor
- WF = Water Factor
- DF = Divergence Factor
- RF = Reflection Factor

3.2.3. Collimated Beam Test Procedure

Upon calculation of the average germicidal irradiance through the test sample, the exposure times needed to achieve certain UV doses were calculated using Equation 2.4. Exposure times were calculated to obtain UV doses ranging from 0 to 80 mJ/cm² based on the average germicidal irradiance calculated for the particular CB test and the desired UV dose. Fifty millimeters of sample were placed in petri dishes prior to begin testing. These petri dishes were placed under the UV light for the calculated exposure times to obtain the desired UV doses. A chronometer was used to accurately measure the time lapsed during each sample's exposure to UV light.

3.2.4. Collimated Beam Sample Analysis

As indicated throughout the previous sections, 1 liter of influent water to the pilot unit was collected to perform each CB test. The following data was collected during each CB test performed in this study:

- E. coli count prior to UV light exposure (N_o);
- E. coli count upon different UV light exposures (N); and
- Water quality: UVT, turbidity, TSS, COD, and iron measurements of the 1 liter grab sample.

The above water quality parameters were recorded to assess the impact of water quality on UV the disinfection of E. coli. Information regarding E. coli and water quality sampling preparation and analysis is addressed in Section 3.3.

3.3. Sample Preparation and Analysis

E. coli samples were collected throughout the disinfection season to assess the performance of UV disinfection (pilot unit and CB apparatus) for varying conditions (intensity output, flow rate, and water quality). In addition to E. coli sampling, water quality sampling took place two to three times per week to study the seasonal water quality variations experienced the Fargo effluent, and whether these variations had any impacts in the rate of intensity loss through the quartz sleeves. Furthermore, water quality sampling was performed to identify the water quality parameter(s) that has/have the biggest impact on the loss of UVT of the Fargo's WWTP effluent. The following sections contain the different methods to analyze both the water quality conditions and the E. coli enumeration in this research study.

3.3.1. Microorganism Enumeration

All UV irradiated samples were stored in sterilized containers. The sterilized containers containing the irradiated samples were kept in a dark environment with a temperature of 4 degrees Celsius for a maximum holding time of one hour prior to enumerating the positive surviving E. coli colonies. E. coli incubation and enumeration performed in this study followed the Enzyme Substrate Test Method (Standard Methods 9223B) using the Colilert-18/Quanti-Tray/2000 testing procedure. Test values were reported using a MPN chart for positive colonies. All UV dose exposures (pilot unit and CB tests) were performed in duplicate. The geometric mean was used to obtain a single positive surviving E. coli count for each UV dose exposure.

3.3.2. Recorded Quality Parameters from SCADA

The Fargo WWTP was functioning while this project was conducted during the disinfection season of 2016. Because of that, the plant's SCADA system was used to record certain continuously monitored parameters by the plant. These recordings were taken at the plant's effluent measuring station. These parameters included turbidity, temperature, and pH. A HACH 1720E online meter was used to measure the turbidity, a Siemens SitransTF2 online meter was used to measure yate temperature, and a HACH PC1RIA was used to measure pH.

3.3.3. Water Quality Sampling and Reason for Analysis

In addition to the parameters recorded through the SCADA system, additional effluent samples were taken at the times indicated in Sections 3.1.4 and 3.2.4. As already mentioned in previous sections, these parameters included: turbidity, TSS, COD, iron, and UVT.

COD is a measurement of the oxygen required to oxidize soluble and particulate organic matter in water. Effluents reporting high concentrations of COD will negatively affect UV light's propagations through absorption of UV light. To accurate measure the COD concentration of dissolved substances alone, samples collected were filtered through a 0.45 µm pore size filter. COD analysis performed in this study was done in filtered and unfiltered grab samples following the Closed Reflux, Colorimetric Method (Standard Methods 5220D) using the HACH 8000 testing procedure. A HACH DR5000 spectrophotometer and a HACH DRB200 digestion block were used to properly follow the test procedure at the Fargo WWTP.

TSS measurements include all particles suspended in a sample that will not pass through a filter with a pore size of 1.2 μ m. Suspended particles negatively affect UV light's propagation since particles may scatter light and reduce the depth of light penetration in the sample. TSS analysis performed in this study followed the Total Suspended Solids Dried at 103-105 °C Method (Standard Methods 2540D) using a filtration unit, an oven, and a desiccator following the USGS I-3765-85 testing procedure at the Fargo WWTP.

Turbidity is the cloudiness of a fluid sample. Turbidity is caused by individual particles that often times are invisible to the naked eye. In a similar fashion than TSS, cloudiness of a sample reduces the penetration of the UV light into it, thus affecting UV light's depth of propagation. In addition to measuring unfiltered turbidity through the SCADA system, turbidity was also measured for filtered samples following the Nephelometric Method (Standard Methods 2130B) using a HACH 2100P portable turbidity meter at the Fargo WWTP. Grab samples were filtered through 1.2 and 0.45 μ m pore size filters to distinguish what contributed to the turbidity of the Fargo effluent (suspended particles, colloidal particles, or dissolved substances).

Iron concentration is a parameter that is not monitored at the Fargo WWTP on a regular basis. Iron concentrations were only monitored between the months of May through October. Although iron is not a parameter currently tested at the Fargo WWTP, it was decided to include it in this research study since iron affects the performance of UV disinfection systems through fouling of quartz sleeves following a heat-induced precipitation mechanism due to iron's inverted solubility. The role of iron on fouling deposition may be more pronounced for the Fargo WWTP than other plants since the city applies ferrous salts in its sewer system for odor control purposes. It was expected that some of the iron added throughout the collection system may make its way through the plant and end up in the effluent in dissolved or particulate forms accelerating fouling material deposition, thus hindering UV performance. Iron concentrations were measured for both filtered and unfiltered samples. Dissolved iron concentrations were calculated by filtering the grab samples through 0.45 µm pore size filter and measuring the iron concentration. Both total and dissolved iron analyses followed the Inductively Coupled Plasma-Atomic Emission Spectrometry Method (Standard Methods 6010C) at the Fargo WTP. Some of the iron samples collected were analyzed at the Fargo WWTP using a HACH DR/890 Colorimeter following the HACH 8000 testing procedure. Samples were preserved with nitric acid if not analyzed for iron immediately after collection.

UVT was never measured at the Fargo WWTP prior to this study. As mentioned in Chapter 2, unfiltered UVT measurements of a grab sample cannot distinguish between absorbed and scattered UV light. Because of that, UVT was also measured for filtered samples to assess the individual impacts that particles and dissolved substances have on the loss of UV Intensity. By doing that, one can analyze which phenomenon (absorption or scattering) affects UV Intensity loss the most. The filtration procedure involved filtering effluent samples through a series of filteres

(1.2 and 0.45 µm pore size filters) and taking UVT measurements prior and after filtration. UVT was measured with a HACH DR5000 spectrophotometer following the HACH 10243 Method at the Fargo WWTP. UVA was calculated using the relationship between UVT and UVA shown in Equation 2.3. The filtration procedure and the sample composition present in each type of sample are shown in Table 3.3.

Sample Type	Sample Composition	Reason for UVI loss
Unfiltered Effluent Sample	Suspended Particles Colloidal Particles Dissolved Substances	Combination of absorption (dissolved substances) and scattering (colloidal and suspended particles)
GFC Filtered Sample (filter pore size 1.2 µm)	Colloidal Particles Dissolved Substances	Combination of absorption (dissolved substances) and scattering (colloidal particles)
0.45 µm pore size filter Filtered Sample	Dissolved Substances	Absorption alone (dissolved substances)

Table 3.3. Filtration procedure and sample classification.

3.3.4. Fouling Material Analysis

Fouling material was removed from the quartz sleeves as stated in Section 3.1.4. Fouling material was analyzed for cation composition. Fouling material analysis took place at the Fargo WTP following the Inductively Coupled Plasma-Atomic Emission Spectrometry Method (Standard Methods 6010C). Fouling samples were preserved with nitric acid if not analyzed immediately after collection.

CHAPTER 4. RESULTS AND DISCUSSION

The results of this study regarding the evaluation of UV irradiation as an adequate method of wastewater disinfection for the Fargo WWTP are presented in the following sections. The intensity and fouling study are provided in Section 4.1. The effects of flow variations and intensity impacts on E. coli inactivation are addressed in Section 4.2. A study of the seasonal water quality variations and impacts of water quality on UVT are provided in Section 4.3. A study pertaining the impacts of UVT on UV disinfection efficiency of E. coli can be found in Section 4.4. Discussion of the results is provided within each section of this chapter. The data collected and the results obtained from this study will be made available to the management of the Fargo WWTP to assist the design process and the operation strategies of the Fargo WWTP UV disinfection system.

4.1. Evaluation of Fouling of the Quartz Sleeves and Its Impact on UV Intensity

This section of the report touches on the challenges experienced while operating the pilot system as well as addressing the results for fouling material removal and analysis, the model simulation of the intensity loss behavior, and the effects of temperature and flow variations on the rate of intensity loss experienced in the pilot unit. The pilot system and the methodologies mentioned under Section 3.1 were used to obtain the results for the following sections.

4.1.1. Initial Test Cycles

Changes if UV light intensity in the pilot unit during the initial eight operation cycles are presented in Figure 4.1. Raw data for these eight cycles, taking place from April 4th to May 21st

of 2016, can be found in Appendix A, Table A.1. The duration of the cycles was determined based on the intensity readings collected during each cycle. It was decided to end the cycles when 70 to 90% of the intensity experienced at the beginning of the cycles was lost. Operational conditions, such as flow rates, water temperature, and influent water quality parameters for these initial eight cycles are shown in Table 4.1.

Parameter	C1	C2	C3	C4	C5	C6	C7	C8
Flow Rate (gpm)	25.0	24.8	23.7	24.8	23.0	14.9	14.7	15.7
Water Temperature (°C)	14.5	14.9	15.5	16.1	16.3	15.5	17.3	18.1
COD (mg/L)	54.3	54.7	53.0	42.7	49.5	34.0	56.3	68.0
TSS (mg/L)	14.9	19.7	15.3	14.0	14.1	18.4	14.1	19.9
Turbidity (NTU)	10.5	12.8	12.1	10.1	9.2	12.4	10.9	14.8
UVT (%)	54.4	51.3	54.1	54.6	54.6	53.6	51.7	50.6
pН	7.3	7.1	7.3	7.2	7.3	7.2	7.1	7.2

Table 4.1. Operational conditions for initial eight cycles.



Figure 4.1. UV intensity behavior of the initial eight cycles of the study.

As seen in Figure 4.1, in each cycle, UV intensity in the pilot unit dropped considerably during the first several hours and the rate of intensity drop decreased with time. Similar behavior was experienced in previous studies performed by Sheriff & Gehr (2001) and Lin et al. (1999a). In addition to rapid intensity loss, initial intensity was not recovered from previous cycles upon cleaning of the quartz sleeves and the sensor lens. The fact that the initial intensity output was not recovered could be associated to either ineffective cleaning (quartz sleeve and/or sensor lens) or malfunctioning of the equipment (UV lamps and/or sensor).

4.1.2. Improved Sensor Cleaning and Intensity Monitoring through SCADA

Several attempts were carried out during the initial weeks of June to investigate the reason why the initial intensity output was not being recovered. The first and second attempt consisted of replacing the UV lamps and quartz sleeves for the backup equipment that came with the pilot system. The initial intensity was still not recovered after these first attempts, leading towards the conclusion that the initial intensity output was not recovered due to issues with the intensity sensor.

The intensity sensor used until that point of the study was removed from the unit and observed visually. Upon visual inspection, the sensor appeared to be in working order. However, a picture of the sensor obtained with a special magnifying camera (shown in Figure 4.2) indicated that the surface of the sensor was not cleaned properly since fouling material was still accumulated on it upon cleaning it. Pictures of the sensor were taken in London, Ontario by Trojan Technologies.



Figure 4.2. Magnified picture of the fouled UV intensity sensor.

Prior to discovering that improper cleaning of the sensor was taking place, the sensor was cleaned using 10% phosphoric acid, DI water, and Kim-wipes, which had proven successful for quartz sleeve cleaning. However, due to the shape of the sensor's lens, the Kim-wipes were not able to remove the fouling material accumulated. From that point on, the cleaning method of the sensor's lens was changed to using a stronger chemical (10% nitric acid) in combination with DI water, and a cotton swab. Although inadequate sensor cleaning posed a significant operational concern at the beginning of this study, similar operational challenges have not been found in the literature.

In addition to changing the sensor's lens cleaning method, the UV intensity sensor was connected to the Fargo WWTP SCADA system. The SCADA system allows for a more controlled monitoring of the intensity behavior since the system can be programed to record a reading as frequent as desired. The SCADA system was programed to record a reading every hour. The intensity data collected during the first four cycles monitored with the SCADA system is shown in Figure 4.3. Raw data for these four cycles, taking place from June 15th to July 13st of 2016, can

be found in Appendix A, Table A.2. Similar to previous intensity monitoring efforts, the quartz sleeves and the intensity sensor were only cleaned at the end of each cycle. Operational conditions, such as flow rates, water temperature, and influent water quality parameters for these cycles are shown in Table 4.2.

Parameter	С9	C10	C11	C12
Flow Rate (gpm)	16.0	25.9	24.8	23.8
Water Temperature (°C)	19.5	19.3	19.0	19.4
COD (mg/L)	51.8	46.7	57.0	68.5
TSS (mg/L)	15.6	14.3	17.2	18.4
Turbidity (NTU)	9.3	8.7	8.3	14.7
UVT (%)	54.3	54.1	51.2	46.1
Total Iron (mg/L)	0.73	0.71	0.72	0.86
pH	7.3	7.4	7.2	7.4

Table 4.2. Operational conditions for the initial four cycles monitored through SCADA.



Figure 4.3. UV intensity behavior of the initial four cycles monitored with the SCADA system.

The data displayed in Figure 4.3 indicates that cleaning of the intensity sensor was now effective since the initial intensity output of the lamps was recovered upon cleaning. It is important to point out that the lower UV intensity at the beginning of the last two cycles were likely due to the drop of influent UVT as shown in Table 4.2. When water quality worsens, the amount of UV intensity propagated from the quartz sleeves to the intensity sensor decreases since more absorption and scattering are taking place. Because of that, a decrease in the initial intensity experienced in cycles #3 and #4 was not caused by ineffective cleaning, rather by water quality conditions.

It was intended to simulate the fouling process using an existing model or with a new model developed, and calibrate the model using the pilot data. However, intensity data displayed in Figure 4.3 are affected by combined fouling of both UV lamp sleeves and the sensor's lens. Due to that, the data shown in Figure 4.3 cannot be used to model the behavior of the intensity over time caused by accumulation of fouling material on the quartz sleeves alone since fouling material was accumulated on the sensor's lens while the intensity data is recorded.

4.1.3. Separating Sensor Fouling from the Intensity Data

The remaining cycles of this pilot study were monitored by the SCADA system. However, in an effort to eliminate the impact of sensor fouling on studying the quartz sleeve fouling, discrete UV intensity readings were taken every 24 hours after cleaning the sensor. By removing the fouling material from the sensor before collecting a reading, the intensity data collected was only affected by quartz sleeve fouling. In addition to taking an intensity reading after cleaning the sensor, a reading was also taken before. By doing this, the amount of intensity recovered through sensor cleaning can be calculated. The amount of intensity recovered by cleaning the sensor's lens can be used to represent the intensity loss caused by fouling material accumulated on the sensor.

Intensity readings collected before and after cleaning the sensor's lens for the 13th cycle (C13) shown in this report are displayed in Table 4.3. The cumulative intensity loss associated to quartz sleeve fouling and sensor's lens fouling are also shown in Table 4.3. There were a total of eleven cycles whose UV intensity was monitored by measuring it before and after cleaning the sensor's lens. C13 was the second cycle ran while performing discrete intensity readings before and after cleaning the sensor. The intensity data collected from the first cycle ran while performing discrete readings was not used to perform this analysis since heavy storm events occurred during that week, which significantly varied the influent water quality experienced during the cycle.

Time	UV Intensity before sensor cleaning	UV Intensity after sensor cleaning	Cum. Intensity Loss due to Sensor Fouling	Cum. Intensity Loss due to Sleeve Fouling
(Hours)	(mW/cm^2)	(mW/cm^2)	(mW/cm^2)	(mW/cm^2)
0	13.00	13.00	0.00	0.00
22	8.56	9.71	1.15	3.29
45	5.52	6.19	1.82	6.81
68	4.18	4.74	2.38	8.26
90	3.25	3.45	2.58	9.55

Table 4.3. Comparison of intensity loss associated to sleeve fouling and sensor fouling for C13.

As seen in Table 4.3, the intensity loss through the quartz sleeves was much higher than the intensity loss through the sensor when they were allowed to foul for the same period of time. Based on the data displayed in Table 4.3 it could be said that fouling material accumulates at a faster rate on the quartz sleeves than on the surface of the sensor's lens since the UV intensity is lost at a faster rate through the quartz sleeves. This would later be confirmed through modeling of the intensity data. C13 took place in between August 1st and August 5th of 2016. C13 was run with a flow rate of 25 gpm. Operational conditions such as water temperature, and influent water quality parameters for C13 are shown in Table 4.4.

Parameter	8/2/2016	8/4/2016	Average
Water Temperature (°C)	21.3	21.3	21.3
COD (mg/L)	46.0	47.0	46.5
TSS (mg/L)	15.3	17.0	16.2
Turbidity (NTU)	9.2	10.5	9.8
UVT (%)	56.1	55.1	55.6
Total Iron (mg/L)	0.7	0.6	0.67
рН	7.3	7.4	7.3

Table 4.4. Operational conditions for C13.

The intensity data recorded after cleaning the sensor for C13 is shown in Figure 4.4. Once again, it is important to note that the since the intensity data displayed in Figure 4.4 was collected after cleaning the sensor's lens, it is not affected by combined fouling.



Figure 4.4. UV intensity behavior for C13.

As seen in Figure 4.4, intensity readings after cleaning the sensor's lens during C13 display similar exponential decrease as seen in earlier cycles. Prior to further investigating why the fouling material accumulated at a faster rate on the quartz sleeves than on the sensor's lens or modeling the intensity behavior, a sample of the fouling material deposited on the surface of the quartz sleeves was removed and tested to study its composition.

4.1.4. Fouling Material Analysis

To determine the nature of the quartz sleeve fouling, fouling material was collected from one of the quartz sleeves at the end of C14, which took place between June 13th and June 19th of 2016. An ICP-OES test was performed in this sample at the Fargo WTP laboratory to identify the major cations in the fouling material and their respective abundance. Based on the tests results, a percentage distribution of the cations forming the fouling material was calculated. Figure 4.5 is a diagram representing the distribution of the metal ions deposited on the surface of the sleeve. Results obtained from the test displayed in Figure 4.5 can be found in Appendix A, Table A.3.



Figure 4.5. Distribution of the cations forming the fouling material.

Fouling material analysis showed that over half of the metal ion distribution (54 percent) is formed by cations which are known to have inverted solubility (calcium, iron, and magnesium). Previous research efforts found in the literature obtained similar fouling composition when analyzing the fouling material accumulated on the quartz sleeves (Blatchley et al., 1996; Gehr & Sehnaoui, 2001; Lin et al., 1999a). Such studies indicated that fouling material was mostly found in an inorganic form with high concentrations of metals salts known to have inverted solubility. The fact that over half of the ions forming the fouling material have inverted solubility lead to the hypothesis that the majority of the fouling material was accumulated onto the sleeves through a heat-induced precipitation mechanism due to the temperature difference experienced at the quartz sleeve-water interface.

A picture of a fouled quartz sleeve and a clean one is shown in Figure 4.6 to compare the difference between the two. The picture was taken at the end of C14.



Figure 4.6. Visual comparison between fouled and clean quartz sleeve at the end of C14.

As seen in Figure 4.6, the majority of the fouling material is accumulated on the middle section of the quartz sleeve, also known as the irradiated section. Similar observations have been found in other research efforts. Previous studies found that fouling material deposited under a heat-induced precipitation mechanism tends to accumulate on the irradiated zone of the quartz sleeves (Lin et al., 1999b). Visual inspection of the quartz sleeves support the hypothesis that heat-induced precipitation may have been the main fouling mechanism facilitating the accumulation of fouling material on the quartz sleeves.

4.1.5. Impact of Temperature on Fouling Formation

To test the hypothesis that majority of fouling was caused by heat-induced precipitation of metal salts, water was allowed to flow through the pilot unit while the lamps were turned off during a full cycle. By turning the lamps off, the temperature experienced in the quartz sleeve-water interface should be the same as the temperature of the water flowing through the unit and no fouling caused by heat-induced precipitation should occur. To allow proper measurements of the intensity through the quartz sleeves, the UV lamps were turned on when an intensity reading was required (every 24 hours). C15 was used to measure the behavior of the UV intensity when the

quartz sleeves were at the same temperature than the water. C15 took place in between August 15st and August 20th of 2016. C15 was run with a flow rate of 25 gpm. Operational conditions such as water temperature and influent water quality parameters for C15 are shown in Table 4.5.

Parameter	8/16/2016	8/18/2016	Average
Water Temperature (°C)	21.8	21.2	21.5
COD (mg/L)	46.0	48.0	47.0
TSS (mg/L)	22.3	15.0	18.7
Turbidity (NTU)	12.4	9.1	10.7
UVT (%)	53.2	53.7	53.5
Total Iron (mg/L)	0.9	0.7	0.78
pH	7.1	7.0	7.0

Table 4.5. Operational conditions for C15.

Similar to previous intensity monitoring efforts, a reading of the intensity was obtained before and after cleaning the sensor. Intensity data collected before and after cleaning the sensor was used to measure the intensity loss associated to quartz sleeve fouling and sensor fouling separately. Such intensity measurements for this particular cycle are shown in Table 4.6.

Time	UV Intensity before sensor cleaning	UV Intensity after sensor cleaning	Cum. Intensity Loss due to Sensor Fouling	Cum. Intensity Loss due to Sleeve Fouling
(Hours)	(mW/cm^2)	(mW/cm^2)	(mW/cm^2)	(mW/cm^2)
0	14.45	14.65	0.00	0.00
22	13.09	13.62	0.53	1.03
45	11.58	12.15	1.10	2.50
68	11.30	12.19	1.99	2.46
90	11.38	11.97	2.58	2.68

Table 4.6. Comparison of intensity loss associated to sleeve fouling and sensor fouling for C15.

Based on the data displayed in Table 4.6, it could be concluded that the sensor's lens and the quartz sleeves fouled at similar rates when the lamps were turned off. However, as discussed in Section 4.1.3, the sensor's lens fouled at a much slower rate than the quartz sleeves when the lamps were turned on. The different fouling rates experienced when the lamps were on was caused by the heat released from the UV lamps. When the lamps are on, the quartz of the sleeves and the quartz of the sensor will be at a higher temperature than the water flowing through the reactor. However, since the quartz sleeves are much closer to the source of UV light than the sensor's lens, the quartz of the sleeves were subjected to much higher temperatures. Taking into consideration that over half of the metal salts forming the fouling material were deposited through a heat-induced precipitation mechanism, more fouling material will deposit on the quartz sleeves than the sensor since the quartz sleeves are at a higher temperature than the sensor which results in a larger amount of intensity lost due to quartz sleeve fouling than to sensor fouling for the same hours of operation. The intensity data recorded after cleaning the sensor for C15 is shown in Figure 4.7.



Figure 4.7. UV intensity behavior for C15.

As seen in Figure 4.7, the intensity loss through the quartz sleeves occurred at a much slower rate in comparison to C13, when the lamps were on (Figure 4.4). Additionally, the exponential intensity drop suffered when the lamps were on was not experienced when the lamps were off. This conclusion coincides with the work done by Lin (et al. 1999a). In their studies, Lin (et al. 1999a) concluded that the intensity was lost at a slower rate when the temperature of the sleeves decreased. Intensity readings collected during C13 and C15 are shown in Figure 4.8. Intensity readings displayed in Figure 4.8 have been normalized to facilitate comparison between cycles.



Figure 4.8. Intensity behavior with UV lamps off versus on.

The two cycles displayed in Figure 4.8 were ran at the same flow rate and experienced similar water qualities (see Tables 4.4 and 4.5 for water quality comparison between cycles). Meaning, that although both cycles did not take place simultaneously, the only difference between both sets of intensity data is the temperature that the quartz sleeves experienced. As seen in Figure
4.8, such temperature difference experienced between the cycles resulted in an additional 57% loss of intensity through the quartz sleeves by the end of the cycle when the lamps were on.

Similar to C14, a visual inspection of the quartz sleeves was performed at the end of the cycle when the lamps were off (C15). A picture of a fouled quartz sleeve and a clean one is shown in Figure 4.9 to compare the difference between the two. A picture of the fouled quartz sleeve (top sleeve in the figure) and the clean one taken at the end of C15 is shown in Figure 4.9.



Figure 4.9. Visual comparison between fouled and clean quartz sleeve at the end of C15.

Unlike when the lamps were on, the fouling material accumulated on the surface of the quartz sleeves when the lamps were off was evenly distributed across the entire sleeve, not just located on the irradiated section of the quartz sleeves. Although fouling material accumulation on the quartz sleeves was significantly reduced by decreasing their operating temperature, fouling material was still found on the quartz sleeves at the end of C15. Indicating, that while heat-induced precipitation may be the main fouling mechanism, it is not the only mechanism facilitating fouling material accumulation in the UV system at the Fargo WWTP.

4.1.6. Model Simulation of Intensity Loss and Impact of Flow Rate and UVT

The following sections address the development of a model to simulate the UV intensity drop in the pilot system and to determine the sleeve fouling rate under different operational conditions.

4.1.6.1. Model Development

The Beer-Lambert law was modified to simulate UV intensity loss due to accumulation of fouling materials on the quartz sleeves over time. As mentioned in Section 2.3, the Beer-Lambert law states that the absorbance of light in a homogeneous solution is directly proportional to the length of the sample and the concentration of the solution in which the light passes through. A mathematical representation of the Beer-Lambert law is shown in Equation 2.1. Using the definition of absorbance (shown in Section 2.4) the Beer-Lambert law can be re-written as follows.

$$A = \ln\left(\frac{I_o}{I}\right) = \varepsilon Lc \tag{4.1}$$

$$I = I_o e^{-(\varepsilon L c)} \tag{4.2}$$

Where A represents the degree of absorbance of the UV intensity as UV light travels through the medium; I_o is the incident light intensity to the medium and I is the exiting light intensity of the medium; L is the path length, which was treated as a constant since the distance between the quartz sleeves and the intensity sensor remained unchanged in the pilot system; ε is the molar extinction coefficient of the absorbing material which was also considered to be a constant since fouling material or materials that cause UV attenuation were considered not changed; and c is the concentration of the solution. Because most of the UV intensity loss over a period of pilot system operation is caused by accumulation of fouling materials on the quartz sleeves over time, c is treated as amount of fouling material on the quartz sleeves. It is further assumed that fouling material accumulation on the quartz sleeves occurred at a constant rate, or c=k't. With the above mentioned conditions and assumptions, Equation 4.2 can be rewritten as,

$$I = I_o e^{-(kt)} \tag{4.3}$$

Where,

t = Time of operation (hours)

k = $\varepsilon Lk'$ = rate constant of intensity loss caused by fouling material (hours⁻¹)

As explained in Section 4.1.3, the quartz sleeves and the intensity sensor foul at different rates when the lamps are on. Because of that, the model developed to explain the intensity behavior caused by combined fouling must account for the different intensity loss rates originated from quartz sleeve and sensor fouling. The different intensity loss rates were accounted by adding separate terms to Equation 4.3 representing the rate of intensity attenuated by fouling accumulated onto the sensor and onto the quartz sleeves. The model accounting for the different intensity loss rates originated from the two different types of fouling is shown in Equations 4.4.

$$I = I_0(e^{-(k_{sleeve}t)} \times e^{-(k_{sensor}t')})$$
(4.4)

 k_{sleeve} = Intensity loss rate constant for quartz sleeve fouling (hours⁻¹)

 k_{sensor} = Intensity loss rate constant for sensor's lens fouling (hours⁻¹)

The parameter t in Equation 4.4 was used to represent the time elapsed between quartz sleeve cleanings and t' was the time elapsed between intensity sensor cleanings. At the beginning of every cycle both t and t' were set to zero since both the sensor and the quartz sleeves are cleaned.

As water flows through the pilot unit, *t* increased cumulatively since the quartz sleeves were never cleaned while the cycle is taking place. However, the parameter t' was zeroed every time the intensity sensor's lens was cleaned.

Several steps were taken prior to modeling a full cycle displaying intensity behavior caused by combined fouling. Before modeling combined fouling, the principles put forth by the Beer-Lambert law were used to model the intensity behavior caused by quartz sleeve fouling and sensor fouling separately. Upon modeling the intensity data, the potential impacts of flow rate and UVT on the rates of intensity loss obtained were studied by developing relationship between the intensity loss rates and UVT and/or flow rate. By doing that, one can study the individual impacts of flow rate and UVT on the k_{sleeve} and the k_{sensor} prior to attempting modeling combined fouling. Sections 4.1.6.2 and 4.1.6.3 introduce the steps taken and the results obtained when modeling k_{sleeve} and the k_{sensor} individually. Lastly, 4.1.6.4 applies to knowledge gained when modeling k_{sleeve} and the k_{sensor} individually to a full set of intensity data affected by combined fouling.

4.1.6.2. Model Simulation to determine k_{sleeve}

The data used to model the intensity drop caused by quartz sleeve fouling corresponds to the cycles whose intensity readings were taken right after cleaning the sensor. The duration, average flow rate and average UVT conditions of these cycles are displayed in Table 4.7. Flow for each cycle was monitored through the plant's SCADA system. Additionally, water quality was monitored by collecting grab samples from the influent water to the pilot unit and analyzing them for UVT while the cycles were running. The cycles that suffered from significant water quality variations while the intensity data was being recorded were excluded from modeling. No UVT data was recorded while C22 took place.

Cycle	Duration		Flow	UVT
Number	mm/dd-mm/dd	Hours	gpm	%
C16	08/01-08/05	90	25.3	55.6
C17	08/29-09/02	93	26.5	53.0
C18	09/06-09/09	69	34.8	54.3
C19	09/09-09/14	116	11.4	54.7
C20	09/19-09/23	87	17.2	53.3
C21	09/23-09/27	86	27.1	52.7
C22	09/29-10/03	82	34.4	-
C23	10/04-10/09	107	11.2	52.5

Table 4.7. Operational conditions for cycles 16 through 23.

It is important to note that during the 8 cycles displayed in Table 4.7, the intensity data was recorded both before cleaning the sensor and after cleaning the sensor. However, since this section is attempting to model quartz sleeve fouling, only the intensity data collected after cleaning the sensor was used for modeling purposes. Since the intensity data used for this particular modeling effort was only collected after cleaning the sensor, the data will not be affected by sensor's lens fouling. Because of that, the expression of the Beer-Lambert law shown in Equation 4.4 can be simplified since k_{sensor} is equal to zero (simplification shown in Equation 4.5).

$$I = I_0 e^{-(k_{sleeve}t)} \tag{4.5}$$

The intensity data collected for the eight cycles displayed in Table 4.7 was simulated using Equation 4.5 by adjusting I_o and k_{sleeve} using a non-linear least squares procedure. The target

function used for model calibration was the following: $min\Sigma (I_{(experimental data)} - I_{(model)})^2$. The intensity data collected after cleaning the sensor for the cycles displayed in Table 4.7 can be found in Appendix B, Table B.1. Modeling results of the impact of quartz sleeve fouling on UV intensity loss are shown in Figures 4.10 through 4.17. The root-mean-square error (RMSE) and the coefficient of variation of RMSE (CVRMSE) for the cycles shown in Figures 4.10 through 4.17 can be found in Table Appendix B, Table B.2. The RMSE represents the sample's standard deviation of the difference between the modeled results and the experimental data and the CVRMSE represents the coefficient of variation of the RMSE. The CVRMSE was calculated to facilitate comparison between data sets. Both parameters can be used to evaluate the accuracy of the model when explaining the experimental results.



Figure 4.10. Modeled UV intensity data collected after cleaning the sensor's lens during C16.



Figure 4.11. Modeled UV intensity data collected after cleaning the sensor's lens during C17.



Figure 4.12. Modeled UV intensity data collected after cleaning the sensor's lens during C18.



Figure 4.13. Modeled UV intensity data collected after cleaning the sensor's lens during C19.



Figure 4.14. Modeled UV intensity data collected after cleaning the sensor's lens during C20.



Figure 4.15. Modeled UV intensity data collected after cleaning the sensor's lens during C21.



Figure 4.16. Modeled UV intensity data collected after cleaning the sensor's lens during C22.



Figure 4.17. Modeled UV intensity data collected after cleaning the sensor's lens during C23.

As seen in Figures 4.10 through 4.17, the modified Beer-Lambert law, Equation 4.5, successfully explains the intensity attenuated over time caused by quartz sleeve fouling. The fact that the model is successful at explaining the experimental data verifies the assumption that fouling material accumulates at a constant rate onto the surface of the quartz sleeves. This deduction agrees with the findings of by Lin et al. (1999b). Based on a study of buildup of fouling materials on the quartz sleeves, Lin et al. (1999b) found that the fouling material deposited on the quartz sleeves followed a zero-order accumulation. The k_{sleeve} values obtained for the modeled cycles are displayed in Table 4.8.

Cycle	k(sleeve)	
Number	hours ⁻¹	
C16	0.0152	
C17	0.0162	
C18	0.0172	
C19	0.0120	
C20	0.0185	
C21	0.0128	
C22	0.0085	
C23	0.0136	

Table 4.8. k_{sleeve} obtained from modeling cycles 16-23.

Single-parameter linear relationships were developed between flow, UVT, and the $k_{(sleeve)}$ to study the impact flow rate and UVT on the rate of intensity loss caused by quartz sleeve fouling for each cycle. The relationships developed between flow, UVT, and the k_{sleeve} obtained from modeling are shown in Figures 4.18 and 4.19.



Figure 4.18. Impact of flow on k_{sleeve} .



Figure 4.19. Impact of UVT on k_{sleeve} .

As seen in Figures 4.18 and 4.19, the behavior of k_{sleeve} for each cycle is independent of flow rate and UVT for the operational conditions used during this study. Due to that, it can be said that the main fouling mechanism experienced at the Fargo WWTP (heat-induced precipitation) was also found to be independent of flow rate and UVT for the operational conditions experienced during this study. This could be due to the fact that the higher temperatures causing heat-induced precipitation may overcome the impact of flow and UVT on the intensity loss rates experienced in the sleeves. This conclusion disagrees with previous work found in the literature which indicated that UV intensity was lost at a faster rate when the concentration of the fouling causing constituents in the water increased (Sheriff & Gehr, 2001). In their study, Sheriff & Gehr (2001) observed that UV intensity was lost at a faster rate when dissolved iron concentrations in the treated water were increased from 1.2 to 10.8 mg/L. It could be argued that the concentration of dissolved iron, one of the main contributors to fouling at the Fargo WWTP, was maintained fairly constant during the modeled cycles. Indicating that although k_{sleeve} did not vary with respect with flow rate or UVT for this particular study, k_{sleeve} may have suffered larger variations if the dissolved iron concentrations were to have varied significantly between cycles.

4.1.6.3. Model Simulation to determine k_{sensor}

To determine k_{sensor} , Equation 4.4 was applied to the intensity data collected before cleaning the sensor's lens during the cycles 16 through 23. Those same cycles were already modeled in the previous section using the intensity data collected after cleaning the sensor's lens to obtain k_{sleeve} . The k_{sleeve} values for each modeled cycle were used to determine k_{sensor} . Operational parameters, such flow rate, cycle duration, and UVT for cycles 16 through 23 are shown in Table 4.7 (Section 4.1.6.3). The intensity data collected before cleaning the sensor's lens for the cycles displayed in Table 4.7 can be found in Appendix B, Table B.1. Modeling results of intensity data are shown in Figures 4.20 through 4.27. The RMSE and the CVRMSE for the cycles shown in Figures 4.20 through 4.27 can be found in Table Appendix B, Table B.2.



Figure 4.20. Modeled UV intensity data collected before cleaning the sensor's lens during C16.



Figure 4.21. Modeled UV intensity data collected before cleaning the sensor's lens during C17.



Figure 4.22. Modeled UV intensity data collected before cleaning the sensor's lens during C18.



Figure 4.23. Modeled UV intensity data collected before cleaning the sensor's lens during C19.



Figure 4.24. Modeled UV intensity data collected before cleaning the sensor's lens during C20.



Figure 4.25. Modeled UV intensity data collected before cleaning the sensor's lens during C21.



Figure 4.26. Modeled UV intensity data collected before cleaning the sensor's lens during C22.



Figure 4.27. Modeled UV intensity data collected before cleaning the sensor's lens during C23.

As seen in Figures 4.20 through 4.27, the modified Beer-Lambert law, Equation 4.4, successfully explains the intensity attenuated over time caused by combined fouling. The k_{sensor} values obtained for the modeled cycles are displayed in Table 4.9. As seen in Table 4.9, unlike with the k_{sleeve} values obtained in the previous modeling effort, k_{sensor} does suffer a significant variation from cycle to cycle.

Cycle	k (sleeve)	k(sensor)
Number	hours ⁻¹	hours ⁻¹
C16	0.0152	0.0048
C17	0.0162	0.0078
C18	0.0172	0.0075
C19	0.0120	0.0125
C20	0.0185	0.0119
C21	0.0128	0.0116
C22	0.0085	0.0012
C23	0.0136	0.0122

Table 4.9. k_{sensor} and k_{sleeve} obtained from modeling cycles 16-23.

The intensity rate constants obtained from modeling confirmed the previous statement made in Section 4.1.3 since the values obtained for k_{sleeve} are larger than the ones obtained for k_{sensor} (except for C19). The statement made in Section 4.1.3 mentioned that UV intensity was lost at a faster rate through the quartz sleeves than through the intensity sensor. The fact that intensity is lost at a faster rate through the quartz sleeves means that fouling material accumulates at a faster rate onto the quartz sleeves than to the sensor's lens, which is likely to occur due to the higher temperatures experienced at the surface of the quartz sleeves when compared to the sensor.

Single-parameter linear relationships were developed between flow rate, UVT, and k_{sensor} to study the impact of flow and UVT on the rate of intensity loss caused by sensor fouling. The relationships developed between flow, UVT, and the k_{sensor} obtained from modeling are displayed in Figures 4.28 and 4.29.



Figure 4.28. Impact of flow on k_{sensor} .

As seen in Figure 4.28, the rate of intensity loss is reduced when flow is increased for the operational conditions used in this study. This phenomenon could be explained based on the degree of turbulence generated under different flow rates. Higher degree of turbulent flow may have been generated at higher flow rates. Due to that, the higher degrees of turbulent flow generated at higher flows may release some of the particles that are loosely attached to the sensor's lens. By detaching the constituents, fouling material deposited onto the sensor's lens at a slower rate when flow is increased, which translates in a decrease of the rate of intensity loss.



Figure 4.29. Impact of UVT on *k*_{sensor}.

As seen in Figure 4.29, the rate of intensity loss is reduced when UVT increases for the operational conditions used in this study. This phenomenon could be explained by the fact that fouling formation on the sensor's lens is a concentration dependent process since intensity is lost at a faster rate when lower UVTs are experienced. Information regarding the impact of flow rate

and UVT on the rate of fouling material accumulation on the intensity sensor was not found in previous literature.

4.1.6.4. Model Simulation of Intensity Affected by Combined Fouling

As mentioned in Section 4.1.2 the UV intensity monitored through the SCADA system displayed combined fouling since the sensor's lens was never cleaned at any point during the cycle's duration. It was also discovered in Section 4.1.3 that the accumulation of fouling material on the quartz sleeves and the sensor's lens did not occur at the same rate. Because of that, before attempting to model the impact of combined fouling on the intensity loss, efforts were put into understanding the impact of quartz sleeve and sensor's lens fouling on the intensity loss separately. Information gained through modeling the impact of quartz sleeve and sensor's lens fouling on the intensity loss fouling separately was used to model the intensity loss caused by combined fouling.

The cycles whose intensity was monitored through the SCADA system and display combined fouling were used for this modeling effort. The duration, average flow rate and average UVT conditions of these cycles are displayed in Table 4.10. Flow for each cycle was monitored through the plant's SCADA system. Water quality was monitored by collecting grab samples from the influent water to the pilot unit and analyzing them for UVT while the cycles were running. The cycles that suffered from significant water quality variations while the intensity data was being recorded were excluded from modeling.

Cycle	Duratio	Flow	UVT	
Number	mm/dd-mm/dd	Hours	gpm	%
C24	06/15-06/22	168	16.9	53.7
C25	06/23-06/30	167	26.2	55.6
C26	06/30-07/07	144	25.2	51.2
C27	07/07-07/13	144	23.7	40.8
C28	07/19-07/26	166	33.3	57.2
C29	09/02-09/06	91	30.2	54.3
C30	09/09-09/14	120	34.1	54.7

Table 4.10. Operational conditions for cycles 24 through 30.

It is important to note that during the 7 cycles displayed in Table 4.10, the sensor's lens was never cleaned while the intensity data was collected. Because of that, the expression of the Beer-Lambert law shown in Equation 4.4 can be simplified since t' is equal to t (simplification shown in Equations 4.6-4.8).

$$I = I_0(e^{-(k_{sleeve}t)} \times e^{-(k_{sensor}t)})$$
(4.6)

$$I = I_0 e^{-((k_{sleeve} + k_{sensor}) \times t)}$$

$$(4.7)$$

$$I = I_0 e^{-(k_{total}t)} \tag{4.8}$$

The intensity data collected for the cycles displayed in Table 4.10 was modeled using the expression of the Beer-Lambert law shown in Equation 4.7 by adjusting k_{sleeve} , k_{sensor} , and I_o using a non-linear least squares procedure. Since modeling the impact of quartz sleeve fouling on the intensity loss revealed that k_{sleeve} was not affected by flow rate or UVT, it was decided to adjust a common value of k_{sleeve} to all 7 cycles simultaneously. In doing this, a single value for k_{sleeve} will be obtained upon modeling cycles C24 through C30. However, since modeling the impact of sensor's lens fouling on the intensity loss revealed that k_{sensor} is affected by flow rate

and UVT, it was decided to model the intensity data by adjusting k_{sensor} for each individual cycle. Consequently, $k_{(total)}$ was calculated by adding the obtained values of $k_{(sensor)}$ and $k_{(sleeve)}$ through modeling. In addition to k_{sensor} , I_o was also adjusted for each individual cycle.

The intensity data collected during the cycles displayed in Table 4.10 can be found in Appendix B, Table B.3-B.9. Modeling results of the intensity data affected by combined fouling without sensor cleaning are shown in Figures 4.30 through 4.36. The root-mean-square error (RMSE) and the coefficient of variation of RMSE (CVRMSE) for the cycles shown in Figures 4.30 through 4.36 can be found in Table Appendix B, Table B.10.



Figure 4.30. Modeled UV intensity data collected during C24.



Figure 4.31. Modeled UV intensity data collected during C25.



Figure 4.32. Modeled UV intensity data collected during C26.



Figure 4.33. Modeled UV intensity data collected during C27.



Figure 4.34. Modeled UV intensity data collected during C28.



Figure 4.35. Modeled UV intensity data collected during C29.



Figure 4.36. Modeled UV intensity data collected during C30.

As seen in Figures 4.30 through 4.36, the modified Beer-Lambert law, Equation 4.7, successfully explains the intensity attenuated over time caused by combined fouling. Once again, the values obtained for $k_{(sleeve)}$ are larger than $k_{(sensor)}$ for each cycle, indicating that fouling

material deposits at a faster rate onto the surface of the quartz sleeve than the sensor. The k_{sensor} and k_{sleeve} values for the modeled cycles are displayed in Table 4.11.

Cycle	k(sleeve)	k(sensor)	
Number	hours ⁻¹	hours ⁻¹	
C24	0.0143	0.0038	
C25	0.0143	0.0015	
C26	0.0143	0.0021	
C27	0.0143	0.0067	
C28	0.0143	0.0025	
C29	0.0143	0.0050	
C30	0.0143	0.0005	

Table 4.11. k_{sensor} and k_{sleeve} obtained from modeling cycles 24-30.

4.2. Impacts of Fouling and Flow Rate Variations on UV Disinfection of E. coli

In this section of the thesis, results obtained from studying E. coli inactivation in the pilot unit are presented and discussed. Six runs were carried out to study the impacts of flow rate, influent water quality and fouling on the E. coli inactivation efficiency. Influent flow rates were controlled at 10 to 35 gpm.

4.2.1. E. coli Inactivation in the Pilot Scale UV Disinfection Unit

Performance runs took place towards the end of the disinfection season (September 19th to October 23rd). Six performance runs were completed during that period of time. Operational conditions, such flow rate and UVT for these runs are shown in Table 4.12. As seen in Table 4.12, UVT remained fairly constant during this period of the study.

Parameter	R1	R2	R3	R4	R5	R6
Flow Rate (gpm)	15	25	35	10	20	15
UVT (%)	54.3	53.7	53.8	54.1	52.4	53.5

Table 4.12. Operational conditions experienced during the performance runs.

It is important to note that as flow increases, the HRT experienced inside the pilot unit will decrease. Because of that, an increase of flow through the pilot unit should decrease the UV dose delivered to the microorganisms. Thus, increasing the surviving microorganisms upon UV light's exposure. Furthermore, a decrease in performance of UV systems will also result from a loss of intensity through the quartz sleeves due to fouling accumulation on them. UV intensity data collected after cleaning the sensor's lens during the 6 performance runs displayed in Table 4.12 is shown in Figure 4.37. Raw data for these 6 runs can be found in Appendix C, Table C.1.



Figure 4.37. Intensity loss through the quartz sleeves experienced during performance runs.

Intensity readings obtained during these performance runs display similar exponential decrease as seen in earlier cycles. It was intended that runs lasted for a period of 4 to 5 days with daily influent and effluent samples taken for E. coli analysis. It is important to note that run #6 only displays 2 intensity measurements instead of 4 or 5. This run had to be terminated short because there were no more available materials to perform additional E. coli tests that could be used for this study on site.

E. coli counts obtained during each performance tests are shown in Figure 4.38 as percent surviving E. coli. Percent surviving E. coli was calculated for each performance test by dividing the E. coli count exiting the unit by the E. coli count entering the unit and multiplying it by 100. As mentioned in Section 3.1.2, each performance test was conducted in duplicate and an individual E. coli count was obtained from applying the geometric mean to the E. coli counts achieved during each performance test. E. coli data displayed in Figure 4.38 is shown Appendix C, Tables C.2-C.7.



Figure 4.38. Percent surviving E. coli counts experienced during performance tests.

As seen in Figure 4.38, the percent of surviving E. coli increased with respect increasing flow rate through the pilot unit. As already mentioned in Section 3.1.1, an increase in flow rate translates in a decrease in the time the microorganisms are exposed to UV light. Moreover, the percent of surviving E. coli also increased with an increase of the hours of operation of every run. That is because the UV intensity also decreased with time since the quartz sleeves were not cleaned during the runs (seen in Figure 4.37). All in all, the data displayed in Figure 4.38 confirms the expectations that the percent of surviving E. coli would increase with respect to decreasing hydraulic retention time and UV intensity through the quartz sleeves.

4.2.2. Model Development for E. coli Inactivation Achieved in the Pilot Unit

The HRT and the UV intensity recorded during each performance test was used to estimate the Apparent UV Dose (D_a) experienced in the reactor at the time of the test using Equation 2.4. The HRT was calculated based on the flow rate and HRT relationship developed for the pilot unit shown in Figure 3.2. The D_a experienced in the pilot unit varied for each performance test since the flow rate was different during each performance run, and each performance test was conducted at different UV intensity outputs. The experimental E. coli survival data obtained for different D_a 's is shown in Figure 4.39. E. coli survival data displayed in Figure 4.39 can be found in Appendix C, Tables C.2-C.7.



Figure 4.39. E. coli survival experienced in the pilot unit.

A kinetic study of the E. coli survival in the pilot unit was performed for the six performance runs. Several models were tested using D_a as a parameter to describe the E. coli survival experienced in the pilot unit. The E. coli survival data was modeled by adjusting the model's rate constants using a non-linear least squares method procedure. Upon modeling, the first-order plug flow model was found to be most successful when interpreting the experimental data. Derivation of the first-order plug flow model used to interpret the experimental data is shown in Equations 4.9 through 4.12.

$$\frac{dN}{dt} = -KNI \tag{4.9}$$

Where N represents the E. coli count; t represents the detention time calculated for a given flow rate; I represents the UV intensity reading taken after cleaning the sensor; and K is the firstorder rate constant. Intensity of UV radiation decreases with time due to fouling material accumulation on the surface of the quartz sleeves. However, since hydraulic detention time in the pilot unit is only 4.4 to 15.4 seconds, intensity change can be considered negligible in this period. Therefore, I was assumed to be constant in solving this rate equation.

$$\int_{N_o}^{N} \frac{1}{N} dN = -KI \int_{0}^{t} dt$$
(4.10)

$$ln\left(\frac{N}{N_o}\right) = -KIt \tag{4.11}$$

$$\frac{N}{N_o} = e^{-(kD_a)} \tag{4.12}$$

N = E. coli count after UV exposure (MPN/100ml)

 $N_o = E.$ coli count prior to UV exposure (MPN/100ml)

- $D_a = Apparent UV dose (mJ/cm^2)$
- k = First-order rate constant (cm^2/mJ)

The E. coli experimental data displayed in Figure 4.39 was simulated using Equation 4.12.

Modeling results of the E. coli survival experienced in the pilot units are shown in Figure 4.40.



Figure 4.40. First-order plug flow kinetic model explaining the E. coli experimental data obtained during performance runs.

As seen in Figure 4.40, the first-order plug flow model describes the microbial data well. As mentioned in Chapter 2, first-order models have been widely used in previous studies to describe microbial response to UV light (Mounaouer & Abdennaceur, 2016; Jolis et al., 2001; Severin et al., 1984).

4.2.3. Impact of Influent E. coli count on the Disinfection Performance of the Pilot Unit

Unlike in the water treatment industry, wastewater discharge regulations do not set standards for log inactivation achieved, rather, standards are set on final microorganisms count. As previously mentioned in this report, the Fargo's WWTP E. coli discharge standards have been set to a value of 126 MPN/100ml. Since discharge standards are set on a final microorganism count, influent microorganism count will be one of the main parameters controlling the performance of the UV system. Having said that, the performance of this pilot unit may suffer if installed in facilities whose range of influent of E. coli counts (N_0) is rather large. The first-order model introduced in Section 4.2.2 was used to perform scenario analysis to study the impact of N_0 the disinfection performance of the pilot unit.

To estimate the impact of N_o , a simulation was performed using the first-order plug flow kinetic model to calculate the required D_a needed to achieve proper disinfection (N = 126 MPN/100ml) based on N_o variations. Influent E. coli counts were varied from 4,000 to 25,000 MPN/100ml and the apparent dose needed to achieve proper disinfection was calculated. Results from this simulation are shown in Table 4.13, and Figure 4.41. The different N_o 's used to perform this simulation were a representation of the initial E. coli concentration experienced during the 2016 disinfection season at the Fargo WWTP.

No	N/No	Required D _a
4000	0.0315	69.3
6000	0.021	77.4
10000	0.0126	87.6
15000	0.0084	95.7
20000	0.0063	101.5
25000	0.00504	105.9

Table 4.13. Impact of N₀ on the D_a needed to achieve Fargo's disinfection discharge standards.



Figure 4.41. Impact of N₀ on D_a needed to achieve disinfection discharge standards.

As seen in Table 4.13 and Figure 4.41, the influent microorganism count has a significant impact in the D_a required to achieve proper disinfection. Having said that, if a similar model were to be used for a full-scale system, the system's operational procedures would have to be planned in a way to ensure D_a is maintained large enough that influent water containing high E. coli counts can still be properly disinfected at all times.

4.3. Impact of Influent Water Quality Change on UV Transmittance

The pilot system influent water was sampled and analyzed for various water quality parameters through the period of this research. Results of these tests are analyzed in this section to study the seasonal water quality variations and potential impact of water quality changes on UV disinfection, with a focus on relationship between UVT and other water quality parameters. All the effluent water quality data collected can be found under Appendix D.

4.3.1. Impact of Water Quality on UVT

Since UVT was never monitored at the Fargo WWTP before this study, it was not clear which phenomenon (scattering or absorption) would have the greatest impact on the UV intensity loss at the plant. Because of this, it is convenient to understand what was the major cause of UV intensity loss at the Fargo WWTP before studying the seasonal variations of the water quality parameters monitored during the 2016 disinfection season. UVT measurements of unfiltered effluent samples are affected by a combination of scattering (caused by both suspended and colloidal particles) and absorption (mostly caused by dissolved substances). However, the scattering component of the UVT loss can be eliminated if the sample is filtered through a 0.45 µm pore size filter. Such filter size is capable of removing all particles present in solution (suspended and colloidal). Thus, allowing to study the effect of dissolved substances on the UV intensity loss. In a similar way, when an effluent sample is filtered through a 1.2 μ m pore size filter, the impact of suspended particles on the filtered UVT is eradicated and the combined effect of dissolved substances and colloidal particles on UV intensity loss can be studied. Furthermore, the individual impact of suspended particles on UV intensity loss can be studied based on the UVT measurements obtained for unfiltered samples and 1.2 µm pore size filtered samples.

The UVT measurements of all collected samples (both unfiltered and filtered) can be found in Appendix D, Tables D1-D2. UVT was measured 64 times for unfiltered samples, and 57 times for 1.2 μ m and 0.45 μ m filtered samples. The average and standard deviation of the UVT for each sample type is displayed in Table 4.14. Once the average UVT for each sample type is known, one can calculate the percent of UV intensity loss that is associated with each sample type.

Sample	Sample		UVT		Percent of
Туре	Composition	# of Samples	Average	St. Deviation	UVI Loss
Effluent	Suspended Particles Colloidal Particles Dissolved Substances	64	53.1%	3.28%	46.9%
1.2 μm Filtered Effluent	Colloidal Particles Dissolved Substances	57	60.0%	3.80%	40.0%
0.45 μm Filtered Effluent	Dissolved Substances	57	62.7%	4.06%	37.3%

Table 4.14. Effects of absorption and scattering on UV Intensity loss.

As seen in Table 4.14, removal of suspended particles from solution only resulted in a 6.9% increase in the average UVT of the sample. Moreover, the removal of colloidal particles resulted in a 2.7% increase of the average UVT. A similar UVT percent increase associated with the removal of suspended solids from solution has been found in previous work (Certificate of Analysis – Trojan, 2013). In their study performed in Minnesota, filtration of suspended particles only accounted for a 5% increase in overall UVT.

Based on the UVT and the percent of UV intensity loss data displayed in Table 4.14, the UV Intensity loss associated to dissolved substances, suspended particles, and colloidal particles can be calculated. First, the percent of UV intensity loss associated to unfiltered samples can be
found by subtracting 53.1% from 100%. As shown in Table 4.14, this measurement came out to be 46.9% and it represents the total UV intensity loss in Fargo due to a combination of absorption and scattering. Based on this measurement and the percent UVT increase experienced after each filtration, one can calculate the percent of UV intensity loss associated with each component of the water separately. Upon performing these calculations, it was found that a large portion of the UV intensity loss in Fargo was caused by absorption of UV light by dissolved substances (79% of the loss). The remaining UV intensity loss, 21%, was caused by particles. Within the UV intensity loss due to particles, suspended particles had a larger impact (15% of the loss) when compared to colloidal particles (6% of the loss) due to their overall larger size. It was assumed that the majority of the UV intensity loss caused by particles was due to scattering. The UV intensity loss associated to scattering and absorbance found in this study coincides with previous efforts found in the literature (Qualls et al., 1983). In their study, Qualls et al. (1983) found that 88% of the UVT loss was caused by absorption and 12% by scattering. Similar to this study, Qualls et al. (1983) arrived at this conclusion by measuring the absorbance of filtered and unfiltered samples.

4.3.2. Seasonal Variations of Common Water Quality Parameters

Seasonal variations of COD are shown in Figure 4.42 and 4.43. Seasonal water quality data analyzed for COD in total and dissolved form did not follow a clear seasonal trend throughout the 2016 disinfection season. Total and dissolved COD were tested 63 and 52 times respectively during the months of March through October. Dissolved COD analysis did not start until April 28^{th} since the 0.45 µm pore size filters were not available on site until that date. Total and dissolved COD varied throughout the season with an average ratio of 1.7:1 (total:dissolved).



Figure 4.42. Seasonal variations of total COD.



Figure 4.43. Seasonal variations of dissolved COD.

A total of 63 TSS analyses were completed in between March 8th through October 22nd. Variation of TSS is shown in Figure 4.44. As seen in Figure 4.44, TSS shows a slight decreasing trend through the 2016 disinfection season.



Figure 4.44. Seasonal variations of TSS.

A total of 64 turbidity measurements were conducted between March 8th and October 22nd. Results of these analyses are shown in Figure 4.55. Unlike with the previous parameters, turbidity does show a clear decreasing trend throughout the 2016 disinfection season as seen in Figure 4.45.



Figure 4.45. Seasonal variations of Turbidity.

Total and dissolved iron were tested 54 and 19 times respectively during the months of June through October. Seasonal variations of iron are shown in Figures 4.46, and 4.47.



Figure 4.46. Seasonal variations of Total Iron.



Figure 4.47. Seasonal variations of Dissolved Iron.

Besides UVT, iron was the only parameter tested during this research that is not monitored at the Fargo WWTP on a regular basis. Iron was monitored during the 2016 disinfection season since previous studies found in the literature indicated that it is one of the main components of fouling material in UV systems installed in wastewater treatment facilities (Lin et al., 1999b; Lu et al., 2012; Nessim & Gehr, 2006; Sheriff & Gehr, 2001; Wait et al., 2004). It was hypothesized that the role of iron on fouling deposition would have been more pronounced the Fargo WWTP than other plants since the city applies ferrous salts in its sewer system for odor control purposes. However, as indicated in Section 4.1, although intensity was lost at a fast rate through the quartz sleeves, fouling material analysis displayed similar percentage of metal salts distribution than those found in the literature (Blatchley et al., 1996; Gehr & Sehnaoui, 2001; Lin et al., 1999a). Indicating that the impact of iron on the rate intensity loss through the quartz sleeves at the Fargo WWTP may not have been as significant as it was initially expected.

The pH data collected for this study is shown in Figure 4.48. The pH of the water did not suffer seasonal variations and it was maintained within 7.6 and 6.8.



Figure 4.48. Seasonal variations of pH.

Temperature data collected during study is shown in Figure 4.49. Water temperature was monitored from April through October of 2016. Water temperature suffered the expected seasonal changes, colder in the winter months and warmer in the summer months with a transitional period in May and early June.



Figure 4.49. Seasonal variations of water temperature.

4.3.3. Seasonal Variations of UVT

Seasonal variations of UVT are shown in Figure 4.50. A total of 64 UVT measurements were conducted between March 8th and October 24th.



Figure 4.50. Seasonal variations of UVT.

UVT was never monitored at the Fargo WWTP before. Due to that, UVT variations experienced during the 2016 season cannot be compared to data collected in previous years. The first thing that needs to be pointed out is that the UVT measurements taken at the Fargo WWTP effluent are lower than the ones reported in other studies for trickling filter plants (Emerick et al., 1999; Gilley et al., 2008; Loge et al., 1999), which reported UVT values ranging in the sixties. Secondly, the slight increase of water quality throughout the disinfection season (decrease of turbidity, TSS, and iron) did not translate in a consistent increase of the effluent UVT. As seen in Figure 4.50, significant daily UVT variations were experienced in this study. Although no seasonal variation in terms of average UVT was identified during the 2016 disinfection season, the degree of fluctuation of the UVT data collected did vary with the seasons. The average UVT and the standard deviation were divided in three different data sets representing spring, summer, and fall months. Additionally, the seasonal variations of UVT divided in the three periods: spring, summer, and fall; are shown in Figure 4.51.

Season	Average UVT (%)	St. Deviation
Overall	53.1	3.32
Spring (March-May)	52.8	2.79
Summer (June-August)	52.9	4.04
Fall (September-October)	54.0	1.87

Table 4.15. Average and standard deviation of UVT experienced during different seasons.



Figure 4.51. UVT behavior displayed by seasons.

Although the average of the UVT between all sets of data is only within 1.1%, the standard deviation of the summer data is significantly larger when compared to other seasons. The two lowest UVT measurements (39.5% sampled in 07/27/2016, and 40.2% sampled in 07/13/2016) were experienced during the summer months. Both UVT measurements were taken after major storm events had occurred. Additionally, the plant's flow was significantly higher during those dates. Thus, there could be a relationship between precipitation, the plant's flow, and the water quality.

To study the impact of precipitation on the plant's flow, precipitation data was retrieved from a monitoring station located close to the Fargo WWTP through the Record of Climatological Observations (National Oceanic & Atmospheric Administration). Precipitation data obtained for the month of July can be found in Appendix D, Figure D.1. In addition to the precipitation data, the plant's flow during the month of July is also shown in Table D.4. Before starting the analysis, it is important to point out that the average flow experienced at the Fargo's WWTP during the 2016 disinfection season was 13.23 MGD. However, when the two lowest UVT's were experienced, the plant's flow had risen to 15.4 MGD in July 13th and 15.83 MGD in July 27th and rain events of over 1 inch of precipitation were experienced at the monitoring station one or two days prior to the UVT measurement. Indicating that the short-term adverse UVT impacts may be associated to significant storm events taking place in Fargo.

The fact that the plant's flow increases when significant storm events take place could be associated to the possible infiltration and inflow occurring throughout the Fargo's sanitary collection system. When flow increases significantly, the HRT of the treatment processes decreases. Due to that, water spends less time in the treatment units, thus not allowing proper settlement of wastewater particles to take place. This improper settlement causes the UVT of the effluent to drop since parameters such TSS or turbidity increase in concentration in the effluent. WWTP personnel in charge of operating the future UV disinfection system in Fargo must be familiar with the effects that large storm events have on UVT to adjust the operational parameters of the UV disinfection system accordingly. Although UVT did suffer from major storm events, the impacts were short-term and the water quality recovered to its normal conditions within a day or two.

Single-parameter regression relationships were developed between water quality parameters shown in Section 4.3.2 and UVT as a way to identify the parameters that have the greatest impact on UVT change. The two best single-parameter regression relationships developed in this study are shown in Figures 4.52 and 4.53.



Figure 4.52. Single-variable linear relationship between dissolved COD and UVT.



Figure 4.53. Single-variable linear relationship between turbidity and UVT.

As seen in Figures 4.52 and 4.53, UVT variations were found to be closely related to the effluent concentrations of COD and turbidity. COD (both in total and dissolved form) was the parameter that had the largest impact on UVT, followed by turbidity. Although total COD also correlated well with UVT, it is not shown since dissolved COD correlated slightly better. It is not surprising to see that the individual water quality parameter that has the biggest impact on UVT is dissolved COD since it was found that 79% of the total UV intensity loss is caused by dissolved substances. Turbidity concentrations also correlated well with UVT variations. Once again, it is not surprising to see turbidity is one the parameters closely related to particles in solution. The knowledge attained through the UVT study will be transferred to the Fargo's WWTP personnel. Based on this knowledge, the plant's management may modify their operational procedures to reduce the concentration of the parameters that affect UVT the most in hopes of increasing UV disinfection performance with time.

4.4. Relationship between UV Dose and E. coli Inactivation Rate

E. coli disinfection efficiency in response to UV dose and water quality changes are studied using the CB method. Results obtained from this study are presented in this section. A secondorder kinetic model was successfully used to describe the relationship between E. coli inactivation and UV dose.

4.4.1. Collimated Beam Tests

Four CB tests were performed throughout the 2016 disinfection season at varying water qualities. The water quality conditions experienced during each test are shown in Table 4.16.

Parameter	T1	T2	Т3	T4
Date	05/03/2016	05/18/2016	06/07/2016	07/27/216
UVT (%)	52.5	54.5	56.6	39.5
Turbidity (NTU)	9.11	9.07	8.04	13.5
TSS (mg/L)	15.5	13.2	10	19.5
Total COD (mg/L)	52	43	57	65
Dissolved COD (mg/L)	30	24	36	43
Iron (mg/L)	0.76	0.98	0.70	1.2

Table 4.16. Water conditions during each CB test.

No UV light inhibitor was added to the water to artificially modify the UVT to a desired value. The UVT's used to perform the CB tests were caused by naturally occurring substances and/or particles present in the effluent. As seen in Table 4.16, test #1 and #2 were performed with UVT's of 52.5 and 54.5% respectively (representing average effluent water quality conditions). Test #3 was used to represent best water quality conditions with an UVT of 56.5% while test #4 was used to represent worst water quality conditions with an UVT of 39.5%. Tests #1, #3, and #4 were performed with UV doses of 0, 5, 10, 20, 40, and 80 mJ/cm² while test #2 was performed with UV doses of 0, 5, 7.5, 10, 30, and 80 mJ/cm². The surviving E. coli counts obtained for each CB test performed are shown in Table 4.17.

Dose	T1	T2	T3	T4
(mJ/cm^2)	(MPN/100mL)	(MPN/100mL)	(MPN/100mL)	(MPN/100mL)
0	8,369	4,950	7,053	16,737
5	869	286	263	4,385
7.5	-	99	-	-
10	112	85	68	1,159
20	80	-	42	-
30	-	28	-	239
40	40	-	15	115
80	8	10	7	66

Table 4.17. Counts of surviving E. coli under different UV dose.

As seen in Table 4.17, initial E. coli counts varied significantly from test to test. Test #4, which was used to represent worst-case water quality conditions (UVT of 39.5%) coincides with the highest initial E. coli count of the four CB tests. Turbidity and TSS were also the highest during test #4. That particular test took place on the day after a significant storm event had occurred. Because of that, a larger amount of wastewater particles may have ended up in the plant's effluent due to the reduced HRT through the plant. As mentioned in Chapter 2, E. coli are capable of attaching to wastewater particles (Loge et al., 1999; Qualls et al., 1985). The fact that the reduction of the HRT hinders settling of wastewater particles in upstream treatment processes could explain the fact that during rain events, E. coli survival through upstream treatment increases due to their attachment capabilities to wastewater particles.

E. coli data displayed in Table 4.17 was used to develop surviving E. coli counts dose-response curves for this research study. These dose-response curves are shown in Figure 4.54.



Figure 4.54. Surviving E. coli dose-response curves.

The dose-response curves shown in Figure 4.54 display similar characteristics of those found in previous research studies by Emerick et al. (1999), linear microorganism response at low doses and slowdown of the microorganism's response at higher UV doses. It is essential to highlight once again the importance of designing a UV disinfection system around a minimum or design UV dose capable of disinfecting the water for worst-case water quality conditions to meet disinfection discharge standards. The impact of water quality on the UV dose needed to meet discharge standards can be studied by analyzing carefully the dose-response curves displayed in Figure 4.55.

The E. coli data displayed in Figure 5.55 is the same as in Figure 4.54. However, the range of the y-axis has been reduced to be able to identify the UV dose needed to meet discharge

standards for each CB test. The red arrows shown in Figure 4.55 highlight such dose for each test performed. Additionally, the UV doses obtained for each test are shown in Table 4.18.



Figure 4.55. Determination of UV dose that meets disinfection discharge standards for each CB test.

Table 4.18. Determination of design UV dose from the CB tests performed.

Parameter	T1	T2	T3	T4
UV Dose (mJ/cm ²)	9.9	7.1	8.5	38.3

As seen in Figure 4.55 and Table 4.18, the UV dose needed to meet discharge standards increases significantly when water quality worsens, highlighting once again the importance of performing a CB for worst-water quality conditions expected to be experienced on site. As seen in Table 4.18, the design UV dose of the future disinfection system based on water quality conditions must be equal or greater than 38.3 mJ/cm².

4.4.2. Model Development for E. coli Inactivation Achieved in the Collimated Beam

Although the dose-response curves shown in Figures 4.55 can be used to determine the design UV dose for the full-scale system based on water quality conditions, the curves cannot be used to perform a proper kinetic study of the E. coli's response to UV light. Log inactivation dose-response curves were developed using the surviving E. coli count data displayed in Table 4.17 to study kinetic behavior. The E. coli log inactivation dose-response curves developed are shown in Figure 4.56. Log inactivation data shown in Figure 4.56 can be found in Appendix E, Table E.1.



Figure 4.56. E. coli log inactivation dose-response curves.

The log E. coli inactivation dose-response curves shown in Figure 4.56 are not straight lines. At higher UV doses, E. coli inactivation rate decreased. This tailing phenomenon was observed by many researchers (Emerick et al., 1999; Madge & Jensen, 2006; Qualls et al., 1985). Since the dose-response curves exhibit tailing response, the first-order model widely applied to relate inactivation rate to UV dose cannot be used in this study to explain the full set of experimental results. As mentioned in Chapter 2, it has been hypothesized that tailing of the dose-response curves is likely due to the sheltering effect of wastewater particles present in the sample subjected to UV light (Azimi et al., 2012; Emerick et al., 2000). Because of the sheltering and shading effects, not all the microorganisms are exposed to the same intensity of UV light. That is the reason why dispersed microorganisms tend to experience exponential first-order kinetics at low UV doses followed by a slowdown of the rate of inactivation at higher UV doses (Emerick et al., 2000).

Kinetic studies performed on the log inactivation dose-response curves resulted in a second-order batch model successfully explaining the non-linearity of the curves. Derivation of the second-order batch model used to interpret the experimental data is shown in Equations 4.13 through 4.15.

$$\frac{dN}{dt} = -KN^2I \tag{4.13}$$

Where N represents the E. coli count; t represents the amount of time the microorganisms were exposed to UV light; I represents the average germicidal irradiance across the petri dish during each test; and K is the second-order rate constant. The intensity of UV radiation, I, was assumed to be constant in solving this rate equation.

$$\int_{N_o}^{N} \frac{1}{N^2} dN = -KI \int_{0}^{t} dt$$
 (4.14)

$$\frac{N}{N_o} = \frac{1}{1 + KN_o D} \tag{4.15}$$

- $N_o = E.$ coli count without any exposure to UV light (MPN/100ml)
- N = E. coli count after exposure to a given UV dose D (MPN/100ml)
- D = UV dose (mJ/cm^2)
- k = Second-order kinetic rate constant ($100mlcm^2/MPNmJ$)

The E. coli experimental data displayed in Table 4.17 was simulated using Equation 4.15. E. coli inactivation data was modeled by adjusting the second-order rate constant (k) using a nonlinear least squares method procedure. Modeling results of the E. coli inactivation achieved with the CB apparatus are shown in Figure 4.57. The second-order rate constant values obtained from modeling each CB test are shown in Table 4.19.

Table 4.19. Second-order rate constants obtained from modeling the experimental data.

CB Tost	Second-Order Rate Constant		
CDIESt	(100mlcm ² /MPNmJ)		
T1	0.000661		
T2	0.001088		
Т3	0.001312		
T4	0.000125		



Figure 4.57. Use of second-order approach to model dose-response curves.

As seen in Figure 4.57, the kinetic model is successful at explaining the tailing response of the dose-response curves for any given water quality conditions. Utilization of a simple second-order kinetic model to explain the response of E. coli to UV light has not been found in previous research studies. Instead, previous literature studies focus on the development of modified first-order kinetic models to explain the dose-response curve behavior.

4.4.3. Impact of Water Quality and Influent E. coli count on Rate of Inactivation

Several research efforts found in the literature are capable of modeling the tailing response of the dose-response curves by making modifications of the first-order kinetic equation (Emerick et al., 2000; Hassen et al., 2000). However, as mentioned in Chapter 2, these modifications often result in complicated models. Additionally, due to the complexity of such approaches, clear relationships between water quality conditions and rate of inactivation cannot usually be made.

In addition to modeling the E. coli inactivation behavior correctly, the second-order kinetic model proposed in this study can also be successfully related to water quality conditions. As seen in Figure 4.58, an exponential relationship exists between the second-order kinetic rate constant *(k)* of the second-order kinetic model (shown in Table 4.19) and UVT.



Figure 4.58. UVT and second-order rate kinetic constant relationship.

This relationship can be used to predict the impact that UVT has on the rate of the inactivation of the reaction. Higher UVT's will yield larger second-order kinetic rate constants, thus increasing the rate of the inactivation response of E. coli to UV light. In addition to UVT affecting the rate of the reaction, the use of a second-order model also accounts for the impact of the initial E. coli count on the inactivation rate, which means that the performance of UV light is also controlled by initial E. coli count. The model shown in Equation 4.15 and the relationship displayed in Figure 4.58, allows for calculation of the desired UV dose needed to disinfect an

effluent based on three key parameters: (i) E. coli discharge standard count, (ii) initial E. coli count, (iii) and UVT of the water being treated.

CHAPTER 5. CONCLUSIONS AND RECOMMENDATIONS

The conclusions drawn from this research conducted at the Fargo WWTP and future research recommendations to further assess the applicability of UV disinfection for wastewater applications in Fargo, ND are addressed in this chapter.

5.1. Conclusions from Research

The final conclusions drawn from the results obtained for this study are shown in the following sections.

5.5.1. Conclusions drawn from Intensity and Fouling Studies

- Heat-induced precipitation of metal salts was the main mechanism by which fouling material deposits on the quartz sleeves. This was proven by performing fouling material analysis, comparing the intensity loss rates experienced in the quartz sleeves and the sensor, and testing the effects of quartz sleeve temperature on intensity behavior.
- UV intensity drop over time due to fouling material accumulation was successfully modeled by the application of the Beer-Lambert law with the assumption that fouling material accumulation on the quartz sleeves occurred at a constant rate.
- Upon modeling, it was found that under the experimental conditions of this study, the intensity loss rate of the quartz sleeves was not affected by flow rate and UVT. However, intensity loss rate of the sensor, which was significantly lower than the one experienced in the quartz sleeve, was affected by flow rate and UVT. Indicating, that the fouling mechanisms experienced in this study may not be limited to heat-induced precipitation.

5.5.2. Conclusions drawn from the Disinfection Achieved in the Pilot Unit

- E. coli inactivation rate achieved in the pilot system used for this research study was directly related to the UV intensity maintained inside the chamber and the hydraulic residence time of the water being treated.
- A first-order plug flow kinetic model was successfully used to interpret the E. coli inactivation data of the pilot system. The proposed kinetic model can be used to calculate the UV dose required to meet effluent discharge standards based on initial microorganism count.

5.5.3. Conclusions drawn from the Water Quality and UVT Studies

- It was found that most of the UVT loss (79% of the loss) was caused by absorption of UV light from dissolved substances. The remaining portion of the UVT loss (21%) was triggered by the scattering of UV light from particles present in the water (both suspended and colloidal). Within the UVT loss due to scattering, suspended particles were more successful at scattering UV light when compared to colloidal particles due to their overall larger size.
- UVT variation was found closely related to the concentration of COD. In addition to COD, turbidity correlated well with UVT. However, the relationships developed between COD concentrations and UVT are stronger than the one developed with turbidity.
- No seasonal variation in terms of average UVT was identified. However, significant short term UVT variations were found during the summer season caused by large storm events.

5.5.4. Conclusions drawn from the Collimated Beam Study

- Tailing, which represents the slowdown of the inactivation rate at higher UV doses, can be observed in the dose-response curves developed in this study. Due to the impacts of tailing on the inactivation rate, a first-order kinetic model cannot be used to successfully explain the behavior of the dose-response curves. Instead, a second-order kinetic model was successfully applied to explain the experimental data obtained with the CB apparatus.
- In addition to explaining the behavior or the dose-response curves correctly, the secondorder model was able to account for the impact of initial microorganism count on the E. coli inactivation rate. Furthermore, the second-order model's reaction rate constant was found to be exponentially affected by UVT. The higher the UVT, the larger the rate constant, the faster the inactivation rate occurs.

5.2. Research Recommendations

This section of the report presents several areas of improvement to further evaluate the application of UV disinfection for wastewater applications in North Dakota. Such opportunities include:

 Monitor iron concentrations at different locations throughout the Fargo sanitary sewer system during two different scenarios: (i) normal operating conditions (ferrous being added throughout the system), and (ii) ferrous not being added. Comparison of iron concentrations obtained under both scenarios would ultimately allow a study of the impact of ferrous addition on the iron concentrations experienced in the influent and effluent of the Fargo WWTP. Furthermore, the individual impact of iron in fouling material formation can be more accurately assessed by studying the different fouling rates that would have been experienced under both operational scenarios.

- Since both calcium and iron formed the majority of the fouling material deposited onto the quartz sleeves, it would have been productive to incorporate calcium sampling and testing to study the combined role of calcium and iron on fouling material formation and rate of intensity lost through the quartz sleeves.
- Improve understanding of fouling material composition by incorporating total organic carbon and total carbon tests to the fouling material analyses. Incorporating these tests to the fouling material analyses would allow to estimate the percentage of fouling material associated to organic and inorganic constituents. By knowing the distribution of organic and inorganic fouling material one could provide a more accurate conclusion of the type of fouling mechanism taking place at the Fargo WWTP.
- As mentioned in Section 4.1.5, heat-induced precipitation may not be the only fouling mechanism promoting the deposition of fouling material on the quartz sleeves. Due to that, a study could have been performed to investigate what other fouling mechanism may be contributing to fouling material formation at the Fargo WWTP.
- Previous studies found in the literature suggest that the UVT experienced in plant's effluents is affected by the type of upstream treatment processes (Emerick et al., 1999). A parallel study could have been done between the Moorhead WWTP (treatment type: activated sludge) and the Fargo WWTP (treatment type: trickling filter) to test the impacts of upstream treatment type conditions on effluent UVT.

• Further investigate the reason why E. coli inactivation behaved as second-order when exposed to UV light under the CB apparatus but it behaved as first-order when exposed to UV light in the pilot unit.

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APPENDIX A. INTENSITY AND FOULING DATA

Table A.1.	Intensity	data c	ollected	for i	nitial	eight	test cycles	5.
						<u> </u>	-	

Cycle	Hours of Operation	Flow	UV Intensity	
Number	(hours)	(gpm)	(mW/cm ²)	
	0	25.0	8.37	
	13	25.0	5.36	
	39	25.0	3.45	
C1	58	25.0	3.37	
CI	82	25.0	2.64	
	106	25.0	2.6	
	131	25.0	2.07	
	154	25.0	1.78	
	183	25.3	7.19	
	204	24.3	5.36	
	230	24.9	3.33	
	252	25.2	2.56	
C2	278	25.6	1.7	
	299	25.1	1.62	
	325	24.8	1.7	
	348	26.8	1.21	
	371	21.2	0.85	
	394	23.8	2.76	
	401	24.5	2.43	
	418	22.4	1.99	
	423	25.4	2.11	
C3	445	23.5	1.7	
	473	23.0	1.3	
	496	22.0	1.13	
	515	25.3	1.01	
	541	23.4	0.89	
	544	25.0	3.29	
	546	26.3	3.29	
C4	562	24.5	2.48	
	586	22.4	1.48	
	610	23.7	1.21	
	634	25.3	0.97	

Cycle	Hours of Operation	Flow	UV Intensity
Number	(hours)	(gpm)	(mW/cm^2)
	663	25.2	0.81
C4	684	25.4	0.65
	707	25.3	0.65
	712	25.5	2.23
C5	732	22.0	1.74
	755	21.5	1.3
	778	15.8	1.42
	812	14.6	0.85
C6	833	13.8	0.65
Cu	853	14.9	0.56
	877	15.2	0.48
	899	15.1	0.44
	905	15.1	1.38
	923	15.1	0.97
	947	13.9	0.56
	971	15.3	0.77
$\mathbf{C7}$	1002	14.5	0.56
C/	1019	14.6	0.52
	1020	15.6	0.48
	1043	13.9	0.44
	1066	14.5	0.4
	1091	15.1	0.32
	1120	15.0	1.01
	1122	16.5	0.85
C8	1147	16.2	0.65
0	1172	15.8	0.52
	1191	15.5	0.32
	1216	15.2	0.32

Table A.1. Intensity data collected for initial eight test cycles (continued).
Cumulative Time of Operation	Time of Operation of per Cycle	Flow	Intensity
(Hours)	(Hours)	(gpm)	(mW/cm^2)
0	0	20.0	13.62
1	1	16.3	13.22
2	2	16.1	12.49
3	3	16.0	11.87
4	4	15.9	10.94
5	5	15.7	11.04
6	6	16.0	10.53
7	7	15.8	9.80
8	8	15.8	10.01
9	9	15.7	9.70
10	10	15.2	9.60
11	11	15.1	9.60
12	12	15.1	9.49
13	13	14.7	9.28
14	14	14.8	9.18
15	15	14.7	8.87
16	16	14.4	8.25
17	17	14.0	8.77
18	18	13.5	8.66
19	19	13.4	8.35
20	20	13.6	7.84
21	21	16.4	8.15
22	22	16.0	7.63
23	23	15.9	7.11
24	24	15.9	7.42
25	25	15.8	7.42
26	26	15.6	7.42
27	27	15.6	7.53
28	28	15.4	7.22
29	29	15.9	6.80

30

31

15.7

15.6

6.39

6.70

30

31

Cumulative Time of Operation	Time of Operation of per Cycle	Flow	Intensity
(Hours)	(Hours)	(gpm)	(mW/cm^2)
32	32	15.4	6.49
33	33	15.2	6.49
34	34	14.2	6.18
35	35	13.9	6.08
36	36	14.0	5.98
37	37	13.8	5.77
38	38	13.7	5.67
39	39	13.7	5.67
40	40	13.6	5.36
41	41	13.4	5.15
42	42	13.3	5.36
43	43	13.2	5.15
44	44	13.3	5.05
45	45	18.8	5.05
46	46	18.6	4.74
47	47	18.6	4.53
48	48	18.5	4.22
49	49	18.1	3.91
50	50	18.0	3.60
51	51	18.2	3.39
52	52	18.2	3.39
53	53	18.0	3.70
54	54	18.0	3.60
55	55	17.8	3.50
56	56	18.0	3.39
57	57	17.6	3.50
58	58	17.5	3.50
59	59	17.4	3.39
60	60	17.3	3.29
61	61	17.1	3.60
62	62	17.3	3.50
63	63	17.2	3.60

Cumulative Time of Operation	Time of Operation of per Cycle	Flow	Intensity
(Hours)	(Hours)	(gpm)	(mW/cm^2)
64	64	16.9	3.50
65	65	16.6	3.50
66	66	16.7	3.50
67	67	16.7	3.50
68	68	16.8	3.39
69	69	16.5	3.39
70	70	16.5	3.29
71	71	16.7	3.19
72	72	16.6	3.08
73	73	16.7	2.88
74	74	16.6	2.88
75	75	16.7	2.88
76	76	16.7	2.67
77	77	16.6	2.77
78	78	16.2	2.77
79	79	16.4	2.77
80	80	16.2	2.57
81	81	16.3	2.57
82	82	16.5	2.57
83	83	15.9	2.67
84	84	16.2	2.67
85	85	16.0	2.77
86	86	15.9	2.77
87	87	16.0	2.67
88	88	15.9	2.57
89	89	15.4	2.67
90	90	15.5	2.67
91	91	15.4	2.46
92	92	15.5	2.67
93	93	15.6	2.57
94	94	15.2	2.46
95	95	15.7	2.57
96	96	15.5	2.46

Cumulative Time of Operation	Time of Operation of per Cycle	Flow	Intensity
(Hours)	(Hours)	(gpm)	(mW/cm^2)
97	97	15.6	2.46
98	98	15.8	2.57
99	99	15.7	2.46
100	100	15.4	2.46
101	101	15.4	2.36
102	102	15.3	2.26
103	103	15.6	2.15
104	104	15.6	1.95
105	105	15.3	1.74
106	106	15.3	1.74
107	107	15.2	1.95
108	108	15.6	2.15
109	109	15.1	2.15
110	110	14.7	2.15
111	111	14.6	2.15
112	112	14.5	2.15
113	113	14.4	2.15
114	114	14.6	2.15
115	115	14.6	2.05
116	116	17.0	2.05
117	117	16.8	2.05
118	118	17.0	2.05
119	119	17.1	2.15
120	120	17.2	1.95
121	121	17.0	1.84
122	122	16.9	1.64
123	123	17.0	1.53
124	124	16.8	1.02
125	125	16.9	0.81
126	126	17.0	0.81
127	127	16.9	0.91
128	128	16.9	0.91
129	129	16.7	1.02

Cumulative Time of Operation	Time of Operation of per Cycle	Flow	Intensity
(Hours)	(Hours)	(gpm)	(mW/cm^2)
130	130	16.7	1.12
131	131	16.8	1.12
132	132	16.5	1.12
133	133	16.6	1.22
134	134	16.3	1.33
135	135	16.4	1.43
136	136	16.4	1.43
137	137	16.4	1.53
138	138	16.3	1.53
139	139	16.4	1.53
140	140	16.3	1.53
141	141	16.4	1.53
142	142	16.5	1.53
143	143	16.5	1.43
144	144	16.6	1.43
145	145	16.4	1.33
146	146	16.3	1.43
147	147	15.8	1.43
148	148	15.8	1.53
149	149	15.8	1.53
150	150	16.0	1.53
151	151	16.2	1.43
152	152	16.0	1.43
153	153	15.7	1.43
154	154	15.6	1.43
155	155	15.6	1.43
156	156	15.3	1.33
157	157	15.6	1.43
158	158	15.5	1.53
159	159	15.4	1.43
160	160	15.4	1.53
161	161	15.0	1.53
162	162	15.4	1.53

Cumulative Time of Operation	Time of Operation of per Cycle	Flow	Intensity
(Hours)	(Hours)	(gpm)	(mW/cm^2)
163	163	15.4	1.53
164	164	15.3	1.43
165	165	15.5	1.43
166	166	15.5	1.33
167	167	15.7	1.33
168	168	15.3	1.22
192	0	25.9	13.42
193	1	26.5	13.01
194	2	26.2	13.11
195	3	26.2	12.90
196	4	26.3	11.77
197	5	26.4	11.46
198	6	26.1	10.53
199	7	26.2	9.80
200	8	25.9	10.11
201	9	25.9	10.11
202	10	25.8	10.42
203	11	26.1	10.42
204	12	25.9	10.32
205	13	25.8	10.32
206	14	25.8	10.22
207	15	25.7	10.11
208	16	26.0	10.01
209	17	25.4	9.80
210	18	25.5	9.49
211	19	25.0	9.18
212	20	24.8	8.46
213	21	25.1	8.67
214	22	24.7	8.46
215	23	26.4	8.36
216	24	26.8	8.15
217	25	26.2	8.05
218	26	26.7	7.73

Cumulative Time of Operation	Time of Operation of per Cycle	Flow	Intensity
(Hours)	(Hours)	(gpm)	(mW/cm^2)
219	27	26.0	7.32
220	28	26.2	7.11
221	29	26.5	6.91
222	30	26.4	6.60
223	31	26.3	6.29
224	32	26.4	6.18
225	33	26.4	6.18
226	34	26.2	6.29
227	35	26.4	6.18
228	36	26.3	6.29
229	37	26.9	6.18
230	38	26.3	6.08
231	39	26.6	6.18
232	40	26.3	6.08
233	41	26.6	5.98
234	42	26.7	5.87
235	43	26.7	5.77
236	44	26.9	5.67
237	45	26.4	5.46
238	46	26.9	5.25
239	47	27.1	5.15
240	48	26.6	5.15
241	49	26.5	4.94
242	50	26.5	4.84
243	51	26.4	4.63
244	52	26.3	4.84
245	53	26.4	4.74
246	54	26.4	4.63
247	55	26.3	4.63
248	56	25.9	4.43
249	57	26.6	4.43
250	58	26.0	4.43
251	59	25.8	4.43

Cumulative Time of Operation	Time of Operation of per Cycle	Flow	Intensity
(Hours)	(Hours)	(gpm)	(mW/cm^2)
252	60	25.8	4.43
253	61	25.7	4.32
254	62	25.8	4.22
255	63	25.8	4.22
256	64	25.8	4.01
257	65	25.8	4.22
258	66	25.1	4.22
259	67	25.3	4.12
260	68	25.2	4.12
261	69	25.1	4.01
262	70	25.2	3.91
263	71	25.4	3.81
264	72	24.8	3.70
265	73	24.5	3.60
266	74	24.7	3.50
267	75	24.5	3.39
268	76	24.4	3.29
269	77	24.3	3.39
270	78	24.5	3.29
271	79	24.3	3.08
272	80	24.1	3.08
273	81	24.0	2.98
274	82	23.9	3.08
275	83	24.0	2.98
276	84	24.1	2.98
277	85	23.2	2.98
278	86	23.5	2.98
279	87	23.5	2.98
280	88	22.9	2.98
281	89	22.7	2.98
282	90	22.5	2.98
283	91	23.1	2.88
284	92	22.9	2.88

Cumulative Time of Operation	Time of Operation of per Cycle	Flow	Intensity
(Hours)	(Hours)	(gpm)	(mW/cm^2)
285	93	25.8	2.88
286	94	26.0	2.88
287	95	25.5	2.77
288	96	25.2	2.67
289	97	25.3	2.67
290	98	25.1	2.57
291	99	24.5	2.46
292	100	24.8	2.46
293	101	24.8	2.26
294	102	24.7	2.15
295	103	24.4	2.05
296	104	24.1	2.05
297	105	23.6	2.05
298	106	23.9	2.15
299	107	24.0	2.05
300	108	23.5	2.15
301	109	23.0	2.15
302	110	23.2	2.15
303	111	22.8	2.15
304	112	22.5	2.15
305	113	24.5	2.15
306	114	24.8	2.15
307	115	24.8	2.15
308	116	28.5	2.26
309	117	29.0	2.15
310	118	28.5	2.15
311	119	28.0	2.15
312	120	27.8	2.05
313	121	28.3	2.05
314	122	28.2	2.05
315	123	28.1	1.95
316	124	27.9	1.95
317	125	28.6	1.84

Cumulative Time of Operation	Time of Operation of per Cycle	Flow	Intensity
(Hours)	(Hours)	(gpm)	(mW/cm^2)
318	126	28.2	1.74
319	127	27.8	1.74
320	128	28.0	1.64
321	129	27.0	1.74
322	130	27.5	1.74
323	131	26.8	1.74
324	132	27.1	1.84
325	133	26.8	1.95
326	134	26.8	1.84
327	135	26.0	1.84
328	136	26.5	1.95
329	137	27.0	1.84
330	138	26.9	1.84
331	139	26.9	1.84
332	140	26.7	1.84
333	141	26.9	1.74
334	142	26.9	1.74
335	143	26.5	1.74
336	144	26.6	1.74
337	145	26.8	1.74
338	146	24.8	1.74
339	147	27.4	1.64
340	148	27.2	1.64
341	149	27.0	1.64
342	150	27.3	1.53
343	151	27.2	1.53
344	152	27.4	1.53
345	153	26.8	1.53
346	154	26.5	1.43
347	155	26.3	1.53
348	156	26.6	1.53
349	157	26.5	1.53
350	158	26.7	1.53

Cumulative Time of Operation	Time of Operation of per Cycle	Flow	Intensity
(Hours)	(Hours)	(gpm)	(mW/cm^2)
351	159	26.2	1.53
352	160	26.5	1.53
353	161	26.3	1.64
354	162	26.2	1.53
355	163	26.6	1.53
356	164	25.7	1.53
357	165	25.6	1.53
358	166	25.8	1.53
359	167	25.9	1.43
359	0	25.5	12.20
360	1	25.4	11.25
361	2	25.1	10.94
362	3	25.0	10.42
363	4	25.0	10.22
364	5	25.4	10.11
365	6	24.9	9.91
366	7	24.7	9.91
367	8	25.1	9.80
368	9	24.7	9.70
369	10	24.9	9.60
370	11	24.5	9.49
371	12	24.4	9.18
372	13	24.7	9.28
373	14	24.6	9.29
374	15	24.2	9.18
375	16	24.0	8.97
376	17	24.1	8.87
377	18	24.4	8.66
378	19	23.8	8.56
379	20	24.0	8.25
380	21	28.2	7.84
381	22	28.4	7.63
382	23	28.3	7.43

Cumulative Time of Operation	Time of Operation of per Cycle	Flow	Intensity
(Hours)	(Hours)	(gpm)	(mW/cm^2)
383	24	28.4	7.32
384	25	27.8	7.22
385	26	28.0	7.01
386	27	27.7	6.60
387	28	28.2	6.18
388	29	27.1	5.98
389	30	27.6	5.87
390	31	27.8	5.56
391	32	28.0	5.77
392	33	27.6	5.67
393	34	27.2	5.67
394	35	27.8	5.67
395	36	28.0	5.56
396	37	27.1	5.56
397	38	27.2	5.56
398	39	27.7	5.56
399	40	26.8	5.46
400	41	26.9	5.46
401	42	27.1	5.36
402	43	27.3	5.36
403	44	27.1	5.25
404	45	27.1	5.15
405	46	27.5	4.94
406	47	27.0	4.84
407	48	27.5	4.74
408	49	27.6	4.74
409	50	27.4	4.63
410	51	27.8	4.63
411	52	27.5	4.53
412	53	27.1	4.43
413	54	27.5	4.43
414	55	27.3	4.43
415	56	27.4	4.32

Cumulative Time of Operation	Time of Operation of per Cycle	Flow	Intensity
(Hours)	(Hours)	(gpm)	(mW/cm^2)
416	57	27.2	4.22
417	58	27.4	4.12
418	59	27.4	4.12
419	60	26.9	4.01
420	61	26.8	4.01
421	62	27.1	3.91
422	63	27.0	3.91
423	64	26.3	3.81
424	65	26.4	3.81
425	66	26.4	3.81
426	67	26.0	3.81
427	68	26.2	3.70
428	69	26.4	3.70
429	70	26.3	3.60
430	71	26.3	3.50
431	72	23.4	3.39
432	73	24.3	3.39
433	74	24.5	3.29
434	75	24.5	3.19
435	76	24.6	3.08
436	77	25.7	3.08
437	78	25.7	3.08
438	79	26.0	2.98
439	80	25.6	2.98
440	81	26.2	2.88
441	82	25.9	2.88
442	83	25.4	2.88
443	84	25.5	2.77
444	85	25.5	2.77
445	86	25.8	2.77
446	87	25.3	2.67
447	88	25.1	2.67
448	89	25.2	2.67

Cumulative Time of Operation	Time of Operation of per Cycle	Flow	Intensity
(Hours)	(Hours)	(gpm)	(mW/cm^2)
449	90	24.9	2.67
450	91	25.0	2.67
451	92	24.9	2.67
452	93	24.7	2.67
453	94	24.9	2.67
454	95	24.8	2.57
455	96	24.7	2.57
456	97	24.4	2.36
457	98	24.7	2.36
458	99	24.4	2.36
459	100	24.3	2.36
460	101	24.0	2.26
461	102	23.9	2.26
462	103	24.0	2.15
463	104	24.0	2.15
464	105	23.8	2.05
465	106	24.0	1.95
466	107	23.8	1.95
467	108	23.3	1.95
468	109	23.0	1.84
469	110	23.2	1.84
470	111	23.3	1.84
471	112	22.6	1.74
472	113	22.9	1.74
473	114	22.5	1.74
474	115	22.7	1.74
475	116	22.9	1.74
476	117	22.3	1.64
477	118	22.6	1.53
478	119	23.2	1.53
479	120	25.1	1.53
480	121	24.8	1.43
481	122	24.9	1.43

Cumulative Time of Operation	Time of Operation of per Cycle	Flow	Intensity
(Hours)	(Hours)	(gpm)	(mW/cm^2)
482	123	25.0	1.43
483	124	24.5	1.43
484	125	25.1	1.43
485	126	24.9	1.33
486	127	24.4	1.33
487	128	24.6	1.22
488	129	24.1	1.22
489	130	23.6	1.22
490	131	23.6	1.22
491	132	23.1	1.22
492	133	23.1	1.33
493	134	23.1	1.33
494	135	23.1	1.33
495	136	22.2	1.33
496	137	22.7	1.33
497	138	22.4	1.33
498	139	22.7	1.33
499	140	22.0	1.33
500	141	21.8	1.33
501	142	21.1	1.33
502	143	21.6	1.33
503	144	22.3	1.22
504	145	21.9	1.22
505	146	22.0	1.22
506	147	21.6	1.33
507	148	22.2	1.33
508	149	21.9	1.33
509	150	22.0	1.22
510	151	21.6	1.22
511	152	21.2	1.22
512	153	21.4	1.22
513	154	21.0	1.22
514	155	20.4	1.22

Cumulative Time of Operation	Time of Operation of per Cycle	Flow	Intensity
(Hours)	(Hours)	(gpm)	(mW/cm^2)
515	156	21.2	1.22
516	157	20.6	1.22
517	158	20.3	1.22
518	159	19.9	1.22
519	160	19.9	1.22
520	161	19.6	1.22
521	162	19.3	1.22
521	0	25.0	10.01
522	1	25.6	9.91
523	2	25.2	9.49
524	3	25.3	9.28
525	4	25.3	9.08
526	5	25.2	8.67
527	6	25.4	8.36
528	7	25.7	8.05
529	8	25.1	7.53
530	9	25.3	6.70
531	10	25.2	6.49
532	11	25.1	6.29
533	12	25.4	5.98
534	13	24.9	5.98
535	14	24.6	5.87
536	15	24.7	5.87
537	16	24.7	5.87
538	17	24.4	5.77
539	18	24.6	5.77
540	19	23.9	5.67
541	20	23.8	5.56
542	21	24.3	5.56
543	22	23.9	5.56
544	23	24.0	5.46
545	24	23.9	5.46
546	25	24.1	5.15

Cumulative Time of Operation	Time of Operation of per Cycle	Flow	Intensity
(Hours)	(Hours)	(gpm)	(mW/cm^2)
547	26	24.4	4.74
548	27	24.1	4.63
549	28	24.2	4.32
550	29	24.7	4.22
551	30	24.4	4.12
552	31	24.2	4.22
553	32	24.7	4.12
554	33	24.0	4.12
555	34	24.4	4.01
556	35	24.1	3.91
557	36	23.9	3.81
558	37	24.0	3.81
559	38	24.0	3.70
560	39	23.9	3.70
561	40	23.6	3.70
562	41	24.3	3.81
563	42	23.9	3.81
564	43	23.6	3.81
565	44	24.1	3.81
566	45	23.6	3.81
567	46	23.6	3.81
568	47	24.0	3.81
569	48	23.4	3.60
570	49	23.3	3.29
571	50	23.5	3.19
572	51	23.8	3.19
573	52	23.3	3.19
574	53	23.5	3.19
575	54	23.8	3.08
576	55	23.7	2.98
577	56	23.6	2.88
578	57	23.5	2.77
579	58	23.5	2.67

Cumulative Time of Operation	Time of Operation of per Cycle	Flow	Intensity
(Hours)	(Hours)	(gpm)	(mW/cm^2)
580	59	23.5	2.46
581	60	23.3	2.36
582	61	23.7	2.36
583	62	23.9	2.26
584	63	23.7	2.15
585	64	24.4	2.05
586	65	24.3	1.84
587	66	24.2	1.74
588	67	23.9	1.84
589	68	23.4	1.95
590	69	23.1	2.05
591	70	23.0	2.05
592	71	22.9	2.05
593	72	22.8	2.05
594	73	22.9	2.05
595	74	23.1	1.95
596	75	22.9	1.95
597	76	22.9	1.95
598	77	22.8	1.95
599	78	23.1	1.95
600	79	22.7	1.95
601	80	22.8	1.84
602	81	22.8	1.84
603	82	23.2	1.84
604	83	23.2	1.74
605	84	23.0	1.74
606	85	23.0	1.74
607	86	22.8	1.74
608	87	24.8	1.74
609	88	24.5	1.64
610	89	24.7	1.64
611	90	24.4	1.53
612	91	24.6	1.53

Cumulative Time of Operation	Time of Operation of per Cycle	Flow	Intensity
(Hours)	(Hours)	(gpm)	(mW/cm^2)
613	92	24.7	1.33
614	93	24.2	1.33
615	94	24.1	1.33
616	95	23.9	1.43
617	96	24.4	1.43
618	97	24.3	1.43
619	98	24.0	1.53
620	99	23.8	1.53
621	100	23.8	1.53
622	101	24.1	1.53
623	102	23.7	1.53
624	103	23.7	1.53
625	104	24.0	1.33
626	105	23.1	1.33
627	106	23.5	1.12
628	107	23.4	1.02
629	108	23.5	0.81
630	109	23.3	0.71
631	110	23.3	0.71
632	111	23.2	0.71
633	112	23.8	0.71
634	113	23.3	0.71
635	114	22.5	0.81
636	115	22.6	0.81
637	116	22.5	0.91
638	117	22.9	0.91
639	118	22.4	1.02
640	119	22.4	1.02
641	120	23.1	1.02
642	121	22.8	1.02
643	122	22.4	1.02
644	123	22.3	1.02
645	124	22.0	1.02

Cumulative Time of Operation	Time of Operation of per Cycle	Flow	Intensity
(Hours)	(Hours)	(gpm)	(mW/cm^2)
646	125	22.3	1.02
647	126	22.1	0.71
648	127	24.5	0.50
649	128	24.4	0.40
650	129	24.3	0.40
651	130	24.5	0.29
652	131	24.3	0.29
653	132	24.2	0.29
654	133	24.0	0.29
655	134	23.7	0.40
656	135	23.9	0.40
657	136	24.1	0.40
658	137	23.8	0.40
659	138	23.8	0.40
660	139	22.8	0.40
661	140	22.3	0.50
662	141	22.5	0.50
663	142	22.8	0.60
664	143	23.0	0.60
665	144	23.1	0.60
666	145	22.5	0.60

Constituent	Concentration	Sample Volume	Percent Distribution
	(mg/L)	(L)	(%)
Calcium	3.62	0.5	26.1%
Iron	3.24	0.5	23.4%
Magnesium	0.679	0.5	4.9%
Manganese	0.031	0.5	0.2%
Potassium	3.99	0.5	28.8%
Sodium	2.3	0.5	16.6%
Total Hardness	11.8	0.5	_

Table A.3. Fouling material testing results for C14.

APPENDIX B. INTENSITY DATA FOR MODELING

Table B.1. UV intensity data for cycles 16-23. Intensity data collected after cleaning the sensor's lens was modeled to obtain k_{sleeve} and the intensity data collected before cleaning the sensor's lens was modeled to obtain k_{sesnor} .

Cycle Number	Time of Operation (t)	Time between Sensor Cleaning (t')	Flow	Intensity Before Sensor Cleaning	Intensity After Sensor Cleaning
1 (unit) et	(Hours)	(Hours)	gpm	mW/cm ²	mW/cm ²
	0	0	27.1	13.01	13.01
	22	22	26.8	8.56	9.71
C16	45	23	27.8	5.52	6.19
	68	23	23.8	4.18	4.74
	90	22	21.1	3.25	3.45
	0	0	26.1	14.14	14.14
	27	27	25.1	7.07	8.77
C17	47	20	30.3	5.74	6.49
	73	26	25.8	4.01	4.01
	93	20	25.0	3.00	3.70
	0	0	36.0	14.35	14.35
C19	25	25	35.0	7.79	9.60
C18	46	21	34.0	5.13	5.98
	69	23	34.3	4.12	4.71
	0	0	10.7	13.11	13.11
	25	25	11.0	6.91	9.49
C10	50	25	12.9	5.25	7.22
019	77	27	12.1	3.70	4.63
	99	22	12.0	3.29	4.11
	116	17	10.0	3.08	3.57
	0	0	27.3	14.66	14.66
	18	18	15.9	8.15	9.49
C20	43	25	17.3	4.74	6.18
	63	20	9.6	3.91	4.53
	87	24	15.7	3.08	3.49
	0	0	25.1	13.11	13.11
C21	13	13	24.8	8.53	9.39
	35	22	31.7	5.89	7.63

Table B.1. UV intensity data for cycles 16-23. Intensity data collected after cleaning the sensor's lens was modeled to obtain k_{sleeve} and the intensity data collected before cleaning the sensor's lens was modeled to obtain k_{sesnor} (continued).

Cycle Number	Time of Operation (t)	Time between Sensor Cleaning (t')	Flow	Intensity Before Sensor Cleaning	Intensity After Sensor Cleaning
	(Hours)	(Hours)	gpm	mW/cm ²	mW/cm ²
C21	61	26	25.3	4.94	5.77
C21	86	25	28.5	4.32	4.53
	0	0	35.1	11.87	11.87
	13	13	33.8	10.73	11.45
C22	25	12	34.8	8.77	9.18
	58	33	32.7	7.11	7.63
	82	24	35.5	5.35	5.87
	0	0	13.0	12.49	12.49
	15	15	9.8	7.52	10.32
C23	38	23	10.0	5.25	7.21
	61	23	10.3	4.84	5.25
	84	23	10.5	3.29	3.80
	107	23	13.5	2.98	3.38

Cuolo Numbor	Before Sens	Before Sensor Cleaning		or Cleaning
Cycle Nullibei	RMSE	CVRMSE	RMSE	CVRMSE
C16	0.21676	0.03140	0.25275	0.03407
C17	0.29296	0.04313	0.32632	0.0496
C18	0.30426	0.03879	0.33761	0.03900
C19	0.23029	0.03910	0.27529	0.03920
C20	0.45031	0.06521	0.48425	0.06313
C21	0.71805	0.09759	0.62753	0.07763
C22	0.36246	0.04135	0.41966	0.04562
C23	0.66320	0.10944	0.26613	0.03762

Table B.2. RMSE and CVRMSE for cycles C16 through C23.

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
0	0	20.0	13.6
1	1	16.3	13.2
2	2	16.1	12.5
3	3	16.0	11.9
4	4	15.9	10.9
5	5	15.7	11.0
6	6	16.0	10.5
7	7	15.8	9.8
8	8	15.8	10.0
9	9	15.7	9.7
10	10	15.2	9.6
11	11	15.1	9.6
12	12	15.1	9.5
13	13	14.7	9.3
14	14	14.8	9.2
15	15	14.7	8.9
16	16	14.4	8.3
17	17	14.0	8.8
18	18	13.5	8.7
19	19	13.4	8.4
20	20	13.6	7.8
21	21	16.4	8.1
22	22	16.0	7.6
23	23	15.9	7.1
24	24	15.9	7.4
25	25	15.8	7.4
26	26	15.6	7.4
27	27	15.6	7.5
28	28	15.4	7.2
29	29	15.9	6.8
30	30	15.7	6.4
31	31	15.6	6.7
32	32	15.4	6.5

Table B.3. UV intensity data collected during C24.

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
33	33	15.2	6.5
34	34	14.2	6.2
35	35	13.9	6.1
36	36	14.0	6.0
37	37	13.8	5.8
38	38	13.7	5.7
39	39	13.7	5.7
40	40	13.6	5.4
41	41	13.4	5.2
42	42	13.3	5.4
43	43	13.2	5.2
44	44	13.3	5.0
45	45	18.8	5.0
46	46	18.6	4.7
47	47	18.6	4.5
48	48	18.5	4.2
49	49	18.1	3.9
50	50	18.0	3.6
51	51	18.2	3.4
52	52	18.2	3.4
53	53	18.0	3.7
54	54	18.0	3.6
55	55	17.8	3.5
56	56	18.0	3.4
57	57	17.6	3.5
58	58	17.5	3.5
59	59	17.4	3.4
60	60	17.3	3.3
61	61	17.1	3.6
62	62	17.3	3.5
63	63	17.2	3.6
64	64	16.9	3.5
65	65	16.6	3.5
66	66	16.7	3.5

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
67	67	16.7	3.5
68	68	16.8	3.4
69	69	16.5	3.4
70	70	16.5	3.3
71	71	16.7	3.2
72	72	16.6	3.1
73	73	16.7	2.9
74	74	16.6	2.9
75	75	16.7	2.9
76	76	16.7	2.7
77	77	16.6	2.8
78	78	16.2	2.8
79	79	16.4	2.8
80	80	16.2	2.6
81	81	16.3	2.6
82	82	16.5	2.6
83	83	15.9	2.7
84	84	16.2	2.7
85	85	16.0	2.8
86	86	15.9	2.8
87	87	16.0	2.7
88	88	15.9	2.6
89	89	15.4	2.7
90	90	15.5	2.7
91	91	15.4	2.5
92	92	15.5	2.7
93	93	15.6	2.6
94	94	15.2	2.5
95	95	15.7	2.6
96	96	15.5	2.5
97	97	15.6	2.5
98	98	15.8	2.6
99	99	15.7	2.5
100	100	15.4	2.5

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
101	101	15.4	2.4
102	102	15.3	2.3
103	103	15.6	2.2
104	104	15.6	1.9
105	105	15.3	1.7
106	106	15.3	1.7
107	107	15.2	1.9
108	108	15.6	2.2
109	109	15.1	2.2
110	110	14.7	2.2
111	111	14.6	2.2
112	112	14.5	2.2
113	113	14.4	2.2
114	114	14.6	2.2
115	115	14.6	2.0
116	116	17.0	2.0
117	117	16.8	2.0
118	118	17.0	2.0
119	119	17.1	2.2
120	120	17.2	1.9
121	121	17.0	1.8
122	122	16.9	1.6
123	123	17.0	1.5
124	124	16.8	1.0
125	125	16.9	0.8
126	126	17.0	0.8
127	127	16.9	0.9
128	128	16.9	0.9
129	129	16.7	1.0
130	130	16.7	1.1
131	131	16.8	1.1
132	132	16.5	1.1
133	133	16.6	1.2
134	134	16.3	1.3

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
135	135	16.4	1.4
136	136	16.4	1.4
137	137	16.4	1.5
138	138	16.3	1.5
139	139	16.4	1.5
140	140	16.3	1.5
141	141	16.4	1.5
142	142	16.5	1.5
143	143	16.5	1.4
144	144	16.6	1.4
145	145	16.4	1.3
146	146	16.3	1.4
147	147	15.8	1.4
148	148	15.8	1.5
149	149	15.8	1.5
150	150	16.0	1.5
151	151	16.2	1.4
152	152	16.0	1.4
153	153	15.7	1.4
154	154	15.6	1.4
155	155	15.6	1.4
156	156	15.3	1.3
157	157	15.6	1.4
158	158	15.5	1.5
159	159	15.4	1.4
160	160	15.4	1.5
161	161	15.0	1.5
162	162	15.4	1.5
163	163	15.4	1.5
164	164	15.3	1.4
165	165	15.5	1.4
166	166	15.5	1.3
167	167	15.7	1.3
168	168	15.3	1.2

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
0	0	25.9	13.4
1	1	26.5	13.0
2	2	26.2	13.1
3	3	26.2	12.9
4	4	26.3	11.8
5	5	26.4	11.5
6	6	26.1	10.5
7	7	26.2	9.8
8	8	25.9	10.1
9	9	25.9	10.1
10	10	25.8	10.4
11	11	26.1	10.4
12	12	25.9	10.3
13	13	25.8	10.3
14	14	25.8	10.2
15	15	25.7	10.1
16	16	26.0	10.0
17	17	25.4	9.8
18	18	25.5	9.5
19	19	25.0	9.2
20	20	24.8	8.5
21	21	25.1	8.7
22	22	24.7	8.5
23	23	26.4	8.4
24	24	26.8	8.1
25	25	26.2	8.0
26	26	26.7	7.7
27	27	26.0	7.3
28	28	26.2	7.1
29	29	26.5	6.9
30	30	26.4	6.6
31	31	26.3	6.3
32	32	26.4	6.2

Table B.4. UV intensity data collected during C25.

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
33	33	26.4	6.2
34	34	26.2	6.3
35	35	26.4	6.2
36	36	26.3	6.3
37	37	26.9	6.2
38	38	26.3	6.1
39	39	26.6	6.2
40	40	26.3	6.1
41	41	26.6	6.0
42	42	26.7	5.9
43	43	26.7	5.8
44	44	26.9	5.7
45	45	26.4	5.5
46	46	26.9	5.3
47	47	27.1	5.2
48	48	26.6	5.2
49	49	26.5	4.9
50	50	26.5	4.8
51	51	26.4	4.6
52	52	26.3	4.8
53	53	26.4	4.7
54	54	26.4	4.6
55	55	26.3	4.6
56	56	25.9	4.4
57	57	26.6	4.4
58	58	26.0	4.4
59	59	25.8	4.4
60	60	25.8	4.4
61	61	25.7	4.3
62	62	25.8	4.2
63	63	25.8	4.2
64	64	25.8	4.0
65	65	25.8	4.2
66	66	25.1	4.2

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
67	67	25.3	4.1
68	68	25.2	4.1
69	69	25.1	4.0
70	70	25.2	3.9
71	71	25.4	3.8
72	72	24.8	3.7
73	73	24.5	3.6
74	74	24.7	3.5
75	75	24.5	3.4
76	76	24.4	3.3
77	77	24.3	3.4
78	78	24.5	3.3
79	79	24.3	3.1
80	80	24.1	3.1
81	81	24.0	3.0
82	82	23.9	3.1
83	83	24.0	3.0
84	84	24.1	3.0
85	85	23.2	3.0
86	86	23.5	3.0
87	87	23.5	3.0
88	88	22.9	3.0
89	89	22.7	3.0
90	90	22.5	3.0
91	91	23.1	2.9
92	92	22.9	2.9
93	93	25.8	2.9
94	94	26.0	2.9
95	95	25.5	2.8
96	96	25.2	2.7
97	97	25.3	2.7
98	98	25.1	2.6
99	99	24.5	2.5
100	100	24.8	2.5

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
101	101	24.8	2.3
102	102	24.7	2.2
103	103	24.4	2.0
104	104	24.1	2.0
105	105	23.6	2.0
106	106	23.9	2.2
107	107	24.0	2.0
108	108	23.5	2.2
109	109	23.0	2.2
110	110	23.2	2.2
111	111	22.8	2.2
112	112	22.5	2.2
113	113	24.5	2.2
114	114	24.8	2.2
115	115	24.8	2.2
116	116	28.5	2.3
117	117	29.0	2.2
118	118	28.5	2.2
119	119	28.0	2.2
120	120	27.8	2.0
121	121	28.3	2.0
122	122	28.2	2.0
123	123	28.1	1.9
124	124	27.9	1.9
125	125	28.6	1.8
126	126	28.2	1.7
127	127	27.8	1.7
128	128	28.0	1.6
129	129	27.0	1.7
130	130	27.5	1.7
131	131	26.8	1.7
132	132	27.1	1.8
133	133	26.8	1.9
134	134	26.8	1.8

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
135	135	26.0	1.8
136	136	26.5	1.9
137	137	27.0	1.8
138	138	26.9	1.8
139	139	26.9	1.8
140	140	26.7	1.8
141	141	26.9	1.7
142	142	26.9	1.7
143	143	26.5	1.7
144	144	26.6	1.7
145	145	26.8	1.7
146	146	24.8	1.7
147	147	27.4	1.6
148	148	27.2	1.6
149	149	27.0	1.6
150	150	27.3	1.5
151	151	27.2	1.5
152	152	27.4	1.5
153	153	26.8	1.5
154	154	26.5	1.4
155	155	26.3	1.5
156	156	26.6	1.5
157	157	26.5	1.5
158	158	26.7	1.5
159	159	26.2	1.5
160	160	26.5	1.5
161	161	26.3	1.6
162	162	26.2	1.5
163	163	26.6	1.5
164	164	25.7	1.5
165	165	25.6	1.5
166	166	25.8	1.5
167	167	25.9	1.4

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
0	0	25.5	12.20
1	1	25.4	11.25
2	2	25.1	10.94
3	3	25.0	10.42
4	4	25.0	10.22
5	5	25.4	10.11
6	6	24.9	9.91
7	7	24.7	9.91
8	8	25.1	9.80
9	9	24.7	9.70
10	10	24.9	9.60
11	11	24.5	9.49
12	12	24.4	9.18
13	13	24.7	9.28
14	14	24.6	9.29
15	15	24.2	9.18
16	16	24.0	8.97
17	17	24.1	8.87
18	18	24.4	8.66
19	19	23.8	8.56
20	20	24.0	8.25
21	21	28.2	7.84
22	22	28.4	7.63
23	23	28.3	7.43
24	24	28.4	7.32
25	25	27.8	7.22
26	26	28.0	7.01
27	27	27.7	6.60
28	28	28.2	6.18
29	29	27.1	5.98
30	30	27.6	5.87
31	31	27.8	5.56
32	32	28.0	5.77

Table B.5. UV intensity data collected during C26.

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
33	33	27.6	5.67
34	34	27.2	5.67
35	35	27.8	5.67
36	36	28.0	5.56
37	37	27.1	5.56
38	38	27.2	5.56
39	39	27.7	5.56
40	40	26.8	5.46
41	41	26.9	5.46
42	42	27.1	5.36
43	43	27.3	5.36
44	44	27.1	5.25
45	45	27.1	5.15
46	46	27.5	4.94
47	47	27.0	4.84
48	48	27.5	4.74
49	49	27.6	4.74
50	50	27.4	4.63
51	51	27.8	4.63
52	52	27.5	4.53
53	53	27.1	4.43
54	54	27.5	4.43
55	55	27.3	4.43
56	56	27.4	4.32
57	57	27.2	4.22
58	58	27.4	4.12
59	59	27.4	4.12
60	60	26.9	4.01
61	61	26.8	4.01
62	62	27.1	3.91
63	63	27.0	3.91
64	64	26.3	3.81
65	65	26.4	3.81
66	66	26.4	3.81
Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
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(Hours)	Hours	gpm	mW/cm ²
67	67	26.0	3.81
68	68	26.2	3.70
69	69	26.4	3.70
70	70	26.3	3.60
71	71	26.3	3.50
72	72	23.4	3.39
73	73	24.3	3.39
74	74	24.5	3.29
75	75	24.5	3.19
76	76	24.6	3.08
77	77	25.7	3.08
78	78	25.7	3.08
79	79	26.0	2.98
80	80	25.6	2.98
81	81	26.2	2.88
82	82	25.9	2.88
83	83	25.4	2.88
84	84	25.5	2.77
85	85	25.5	2.77
86	86	25.8	2.77
87	87	25.3	2.67
88	88	25.1	2.67
89	89	25.2	2.67
90	90	24.9	2.67
91	91	25.0	2.67
92	92	24.9	2.67
93	93	24.7	2.67
94	94	24.9	2.67
95	95	24.8	2.57
96	96	24.7	2.57
97	97	24.4	2.36
98	98	24.7	2.36
99	99	24.4	2.36
100	100	24.3	2.36

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
101	101	24.0	2.26
102	102	23.9	2.26
103	103	24.0	2.15
104	104	24.0	2.15
105	105	23.8	2.05
106	106	24.0	1.95
107	107	23.8	1.95
108	108	23.3	1.95
109	109	23.0	1.84
110	110	23.2	1.84
111	111	23.3	1.84
112	112	22.6	1.74
113	113	22.9	1.74
114	114	22.5	1.74
115	115	22.7	1.74
116	116	22.9	1.74
117	117	22.3	1.64
118	118	22.6	1.53
119	119	23.2	1.53
120	120	25.1	1.53
121	121	24.8	1.43
122	122	24.9	1.43
123	123	25.0	1.43
124	124	24.5	1.43
125	125	25.1	1.43
126	126	24.9	1.33
127	127	24.4	1.33
128	128	24.6	1.22
129	129	24.1	1.22
130	130	23.6	1.22
131	131	23.6	1.22
132	132	23.1	1.22
133	133	23.1	1.33
134	134	23.1	1.33

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
135	135	23.1	1.33
136	136	22.2	1.33
137	137	22.7	1.33
138	138	22.4	1.33
139	139	22.7	1.33
140	140	22.0	1.33
141	141	21.8	1.33
142	142	21.1	1.33
143	143	21.6	1.33
144	144	22.3	1.22
145	145	21.9	1.22
146	146	22.0	1.22
147	147	21.6	1.33
148	148	22.2	1.33
149	149	21.9	1.33
150	150	22.0	1.22
151	151	21.6	1.22
152	152	21.2	1.22
153	153	21.4	1.22
154	154	21.0	1.22
155	155	20.4	1.22
156	156	21.2	1.22
157	157	20.6	1.22
158	158	20.3	1.22
159	159	19.9	1.22
160	160	19.9	1.22
161	161	19.6	1.22
162	162	19.3	1.22

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
0	0	25.0	10.01
1	1	25.6	9.91
2	2	25.2	9.49
3	3	25.3	9.28
4	4	25.3	9.08
5	5	25.2	8.67
6	6	25.4	8.36
7	7	25.7	8.05
8	8	25.1	7.53
9	9	25.3	6.70
10	10	25.2	6.49
11	11	25.1	6.29
12	12	25.4	5.98
13	13	24.9	5.98
14	14	24.6	5.87
15	15	24.7	5.87
16	16	24.7	5.87
17	17	24.4	5.77
18	18	24.6	5.77
19	19	23.9	5.67
20	20	23.8	5.56
21	21	24.3	5.56
22	22	23.9	5.56
23	23	24.0	5.46
24	24	23.9	5.46
25	25	24.1	5.15
26	26	24.4	4.74
27	27	24.1	4.63
28	28	24.2	4.32
29	29	24.7	4.22
30	30	24.4	4.12
31	31	24.2	4.22
32	32	24.7	4.12

Table B.6. UV intensity data collected during C27.

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
33	33	24.0	4.12
34	34	24.4	4.01
35	35	24.1	3.91
36	36	23.9	3.81
37	37	24.0	3.81
38	38	24.0	3.70
39	39	23.9	3.70
40	40	23.6	3.70
41	41	24.3	3.81
42	42	23.9	3.81
43	43	23.6	3.81
44	44	24.1	3.81
45	45	23.6	3.81
46	46	23.6	3.81
47	47	24.0	3.81
48	48	23.4	3.60
49	49	23.3	3.29
50	50	23.5	3.19
51	51	23.8	3.19
52	52	23.3	3.19
53	53	23.5	3.19
54	54	23.8	3.08
55	55	23.7	2.98
56	56	23.6	2.88
57	57	23.5	2.77
58	58	23.5	2.67
59	59	23.5	2.46
60	60	23.3	2.36
61	61	23.7	2.36
62	62	23.9	2.26
63	63	23.7	2.15
64	64	24.4	2.05
65	65	24.3	1.84
66	66	24.2	1.74

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
67	67	23.9	1.84
68	68	23.4	1.95
69	69	23.1	2.05
70	70	23.0	2.05
71	71	22.9	2.05
72	72	22.8	2.05
73	73	22.9	2.05
74	74	23.1	1.95
75	75	22.9	1.95
76	76	22.9	1.95
77	77	22.8	1.95
78	78	23.1	1.95
79	79	22.7	1.95
80	80	22.8	1.84
81	81	22.8	1.84
82	82	23.2	1.84
83	83	23.2	1.74
84	84	23.0	1.74
85	85	23.0	1.74
86	86	22.8	1.74
87	87	24.8	1.74
88	88	24.5	1.64
89	89	24.7	1.64
90	90	24.4	1.53
91	91	24.6	1.53
92	92	24.7	1.33
93	93	24.2	1.33
94	94	24.1	1.33
95	95	23.9	1.43
96	96	24.4	1.43
97	97	24.3	1.43
98	98	24.0	1.53
99	99	23.8	1.53
100	100	23.8	1.53

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
101	101	24.1	1.53
102	102	23.7	1.53
103	103	23.7	1.53
104	104	24.0	1.33
105	105	23.1	1.33
106	106	23.5	1.12
107	107	23.4	1.02
108	108	23.5	0.81
109	109	23.3	0.71
110	110	23.3	0.71
111	111	23.2	0.71
112	112	23.8	0.71
113	113	23.3	0.71
114	114	22.5	0.81
115	115	22.6	0.81
116	116	22.5	0.91
117	117	22.9	0.91
118	118	22.4	1.02
119	119	22.4	1.02
120	120	23.1	1.02
121	121	22.8	1.02
122	122	22.4	1.02
123	123	22.3	1.02
124	124	22.0	1.02
125	125	22.3	1.02
126	126	22.1	0.71
127	127	24.5	0.50
128	128	24.4	0.40
129	129	24.3	0.40
130	130	24.5	0.29
131	131	24.3	0.29
132	132	24.2	0.29
133	133	24.0	0.29
134	134	23.7	0.40

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
135	135	23.9	0.40
136	136	24.1	0.40
137	137	23.8	0.40
138	138	23.8	0.40
139	139	22.8	0.40
140	140	22.3	0.50
141	141	22.5	0.50
142	142	22.8	0.60
143	143	23.0	0.60
144	144	23.1	0.60
145	145	22.5	0.60
146	146	22.7	0.60
147	147	22.0	0.60

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
0	0	35.5	13.63
1	1	35.2	13.63
2	2	34.5	13.43
3	3	34.6	13.32
4	4	34.5	12.59
5	5	34.3	11.87
6	6	34.0	11.35
7	7	34.7	11.15
8	8	34.7	10.73
9	9	34.1	10.84
10	10	33.8	10.01
11	11	33.7	10.11
12	12	34.2	10.22
13	13	34.1	10.11
14	14	33.2	10.32
15	15	33.5	9.80
16	16	33.0	9.60
17	17	32.8	9.49
18	18	33.2	9.39
19	19	33.0	9.08
20	20	33.1	8.98
21	21	33.0	8.98
22	22	33.1	8.56
23	23	33.4	8.46
24	24	32.8	8.36
25	25	34.0	8.36
26	26	33.7	8.05
27	27	34.3	7.74
28	28	33.7	7.53
29	29	33.7	7.12
30	30	33.7	6.70
31	31	33.8	6.29
32	32	33.7	6.08

Table B.7. UV intensity data collected during C28.

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
33	33	33.8	6.08
34	34	33.6	5.98
35	35	33.3	6.08
36	36	33.2	5.98
37	37	33.3	6.19
38	38	33.5	6.39
39	39	32.8	6.08
40	40	32.9	6.08
41	41	32.9	6.08
42	42	33.0	6.08
43	43	32.7	5.98
44	44	29.1	5.77
45	45	28.3	5.67
46	46	30.4	5.57
47	47	31.2	5.26
48	48	31.3	4.64
49	49	30.8	4.12
50	50	34.7	4.22
51	51	34.9	4.33
52	52	34.6	4.22
53	53	34.4	4.22
54	54	34.6	4.22
55	55	34.6	4.02
56	56	34.8	3.91
57	57	34.7	4.02
58	58	33.8	3.91
59	59	34.4	4.02
60	60	33.8	4.02
61	61	33.5	4.02
62	62	33.5	4.02
63	63	33.1	4.02
64	64	33.1	4.12
65	65	32.7	4.02
66	66	32.7	4.01

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
67	67	33.3	3.91
68	68	33.0	3.91
69	69	33.1	3.71
70	70	33.2	3.60
71	71	33.4	3.60
72	72	33.6	3.60
73	73	33.9	3.81
74	74	35.0	3.71
75	75	35.1	3.71
76	76	35.4	3.60
77	77	35.0	3.50
78	78	34.8	3.19
79	79	34.8	2.98
80	80	34.9	2.98
81	81	34.5	2.88
82	82	34.4	2.88
83	83	34.3	2.88
84	84	34.4	2.98
85	85	34.1	2.88
86	86	34.0	2.98
87	87	33.9	2.98
88	88	33.8	2.88
89	89	34.1	2.88
90	90	34.2	3.09
91	91	33.6	2.88
92	92	33.4	2.77
93	93	33.5	2.77
94	94	33.4	2.67
95	95	33.8	2.67
96	96	33.6	2.57
97	97	34.2	2.67
98	98	33.6	2.67
99	99	33.9	2.67
100	100	33.8	2.57

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
101	101	33.8	2.57
102	102	33.7	2.67
103	103	34.5	2.46
104	104	34.2	2.36
105	105	34.3	2.36
106	106	34.1	2.46
107	107	33.9	2.36
108	108	33.9	2.36
109	109	33.8	2.36
110	110	33.8	2.36
111	111	33.4	2.36
112	112	33.1	2.36
113	113	33.1	2.36
114	114	33.8	2.36
115	115	33.1	2.36
116	116	33.2	2.26
117	117	33.1	2.26
118	118	33.3	2.15
119	119	33.8	2.15
120	120	33.3	1.95
121	121	33.3	1.95
122	122	33.3	1.95
123	123	33.7	2.05
124	124	33.5	2.05
125	125	34.3	2.05
126	126	34.2	1.95
127	127	33.9	1.95
128	128	34.0	1.95
129	129	33.3	1.95
130	130	33.3	1.95
131	131	33.2	1.95
132	132	33.3	1.84
133	133	33.3	1.84
134	134	33.6	1.84

Time of Operation (t)Time elapsed between Sensor Cleanings (t')		Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
135	135	33.1	1.84
136	136	33.0	1.84
137	137	32.9	1.84
138	138	33.1	1.84
139	139	33.0	1.74
140	140	33.0	1.74
141	141	33.0	1.64
142	142	33.0	1.64
143	143	33.0	1.64
144	144	33.0	1.53
145	145	33.0	1.53
146	146	33.0	1.53
147	147	33.0	1.43
148	148	33.0	1.43
149	149	33.0	1.33
150	150	33.0	1.33
151	151	33.0	1.33
152	152	33.0	1.33
153	153	33.0	1.33
154	154	34.2	1.33
155	155	34.1	1.53
156	156	33.9	1.43
157	157	33.9	1.43
158	158	33.8	1.33
159	159	33.5	1.53
160	160	33.7	1.53
161	161	33.5	1.53
162	162	33.8	1.53
163	163	33.1	1.53
164	164	33.1	1.53
165	165	33.3	1.53
166	166	33.2	1.53

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
0	0	36.2	13.63
1	1	35.3	13.43
2	2	35.5	13.52
3	3	35.4	13.11
4	4	35.4	12.70
5	5	35.4	11.87
6	6	35.4	11.35
7	7	34.9	10.94
8	8	34.9	10.52
9	9	34.9	10.63
10	10	34.0	10.11
11	11	34.1	9.90
12	12	34.5	10.01
13	13	34.9	9.59
14	14	34.6	9.59
15	15	34.6	9.49
16	16	34.0	9.39
17	17	34.0	8.97
18	18	34.0	8.66
19	19	34.1	8.97
20	20	33.8	8.77
21	21	33.8	8.56
22	22	33.9	8.35
23	23	34.8	8.04
24	24	34.5	8.04
25	25	34.1	7.84
26	26	34.7	7.73
27	27	34.4	7.53
28	28	34.6	7.42
29	29	34.3	7.32
30	30	35.1	7.22
31	31	27.5	7.11
32	32	28.1	6.80

Table B.8. UV intensity data collected during C29.

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
33	33	28.8	6.49
34	34	28.7	6.39
35	35	28.2	6.18
36	36	28.0	5.77
37	37	27.7	5.87
38	38	27.7	5.98
39	39	27.6	5.77
40	40	27.2	5.87
41	41	27.2	5.67
42	42	27.5	5.56
43	43	27.1	5.56
44	44	26.5	5.56
45	45	26.4	5.36
46	46	26.7	5.25
47	47	26.7	5.15
48	48	27.1	4.94
49	49	27.8	4.84
50	50	27.9	4.84
51	51	27.5	4.84
52	52	27.9	4.74
53	53	28.0	4.74
54	54	28.3	4.63
55	55	28.4	4.63
56	56	27.8	4.43
57	57	27.6	4.22
58	58	27.9	4.12
59	59	27.6	4.01
60	60	27.7	3.91
61	61	27.5	4.01
62	62	27.3	4.01
63	63	27.4	3.91
64	64	28.0	3.91
65	65	27.3	3.81
66	66	27.3	3.81

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
67	67	27.1	3.70
68	68	26.7	3.70
69	69	26.7	3.70
70	70	26.8	3.60
71	71	26.6	3.60
72	72	26.9	3.50
73	73	27.0	3.39
74	74	27.2	3.29
75	75	26.8	3.29
76	76	27.1	3.29
77	77	26.9	3.29
78	78	26.8	3.18
79	79	26.9	3.08
80	80	26.9	3.08
81	81	26.9	2.87
82	82	27.5	2.77
83	83	26.8	2.77
84	84	26.7	2.67
85	85	26.6	2.67
86	86	26.2	2.67
87	87	26.3	2.56
88	88	26.0	2.67
89	89	25.9	2.67
90	90	25.9	2.56
91	91	26.0	2.49

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
0	0	35.9	11.66
1	1	35.6	11.77
2	2	35.5	11.87
3	3	35.3	11.45
4	4	35.2	11.04
5	5	35.7	10.63
6	6	35.4	10.52
7	7	34.9	10.52
8	8	34.9	10.52
9	9	35.1	10.42
10	10	34.8	10.11
11	11	34.9	10.01
12	12	34.5	9.90
13	13	34.9	9.80
14	14	35.1	9.90
15	15	35.2	9.70
16	16	34.6	9.39
17	17	34.0	9.28
18	18	34.1	9.39
19	19	33.9	9.18
20	20	34.1	9.18
21	21	34.1	8.97
22	22	34.0	9.08
23	23	34.2	8.66
24	24	34.0	8.56
25	25	34.5	8.46
26	26	34.3	8.25
27	27	34.1	8.25
28	28	33.8	8.25
29	29	34.0	8.25
30	30	33.8	8.04
31	31	34.2	7.94
32	32	34.2	7.84

Table B.9. UV intensity data collected during C30.

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
33	33	34.2	7.53
34	34	34.1	7.22
35	35	34.1	7.11
36	36	34.3	6.91
37	37	34.1	6.91
38	38	34.2	6.80
39	39	34.2	6.80
40	40	34.7	6.80
41	41	34.3	6.80
42	42	34.2	6.49
43	43	33.8	6.49
44	44	34.0	6.49
45	45	34.3	6.29
46	46	33.6	6.18
47	47	33.7	6.08
48	48	34.0	5.77
49	49	34.2	5.67
50	50	34.1	5.56
51	51	34.0	5.56
52	52	34.7	5.56
53	53	34.1	5.56
54	54	33.9	5.56
55	55	33.9	5.46
56	56	33.8	5.46
57	57	34.0	5.15
58	58	34.4	4.84
59	59	34.0	4.74
60	60	33.9	4.63
61	61	34.3	4.63
62	62	34.2	4.63
63	63	34.2	4.63
64	64	33.8	4.43
65	65	33.5	4.53
66	66	33.5	4.43

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity	
(Hours)	Hours	gpm	mW/cm ²	
67	67	33.6	4.43	
68	68	33.9	4.43	
69	69	33.7	4.22	
70	70	34.3	4.16	
71	71	33.9	4.16	
72	72	33.8	4.12	
73	73	34.4	4.01	
74	74	34.3	4.01	
75	75	34.1	3.91	
76	76	33.7	3.81	
77	77	34.3	3.81	
78	78	34.3	3.50	
79	79	34.3	3.50	
80	80	34.0	3.29	
81	81	34.0	3.19	
82	82	33.6	3.18	
83	83	33.6	3.19	
84	84	33.7	3.08	
85	85	33.6	3.08	
86	86	33.8	3.08	
87	87	33.4	3.08	
88	88	33.1	2.98	
89	89	33.3	2.98	
90	90	33.4	2.98	
91	91	33.3	2.98	
92	92	33.3	2.98	
93	93	33.3	2.88	
94	94	33.7	2.88	
95	95	33.6	2.98	
96	96	33.3	2.98	
97	97	35.3	2.98	
98	98	34.6	2.98	
99	99	34.5	2.87	
100	100	34.4	2.98	

Time of Operation (t)	Time elapsed between Sensor Cleanings (t')	Flow	Intensity
(Hours)	Hours	gpm	mW/cm ²
101	101	34.5	2.87
102	102	34.1	2.87
103	103	34.1	2.67
104	104	34.3	2.67
105	105	34.0	2.67
106	106	34.3	2.46
107	107	33.7	2.57
108	108	34.0	2.57
109	109	34.3	2.36
110	110	33.7	2.36
111	111	33.5	2.36
112	112	33.7	2.36
113	113	33.3	2.25
114	114	33.2	2.36
115	115	33.4	2.36
116	116	33.6	2.36
117	117	33.4	2.20

Cycle Number	RMSE	CVRMSE
C24	0.53233	0.13878
C25	0.46144	0.10781
C26	0.29196	0.07475
C27	0.36308	0.13008
C28	0.52603	0.12226
C29	0.30866	0.05044
C30	0.19825	0.03479

Table B.10. RMSE and CVRMSE for cycles C24 through C30.

APPENDIX C. PILOT UNIT PERFORMANCE RUNS DATA

Table C.1. Intensity data collected after cleaning the sensor's lens during performance runs.

Run	Time of Operation	Flow	UVT	Intensity
Number	(Hours)	(gpm)	(%)	(mW/cm^2)
	0	15	56.6	13.17
	18	15	54.1	9.35
R1	43	15	55.9	6.01
	63	15	53.1	4.43
	87	15	52	3.45
	0	25	52	12.8
	13	25	53.7	9.18
R2	35	25	51.2	7.53
	61	25	54.7	5.61
	86	25	56.9	4.47
	0	35	52.8	11.6
D2	14	35	54.8	9
KJ	38	35	54.2	7.4
	62	35	53.5	5.73
	0	10	53.90	12.40
	16	10	53.60	9.88
R4	40	10	55.30	7.03
	64	10	54.10	5.20
	88	10	53.70	3.70
	0	20	51.9	12.15
D5	16	20	50.6	8.7
K.J	30	20	54.1	6.38
	40	20	53.0	4.71
D.6	0	15	52.7	12.45
K6	14	15	54.2	10.7

Darformanca	E. coli (MPN/100ml)				E. coli Survival
Test Number	Influent Count (N _o)	Influent Geo Mean	Effluent Count (N)	Effluent Geo Mean	(N/N _o)
PT1	11060	12536	17.9	15	1.2E-03
	14210		12.2		
DT^{γ}	3970	6253	86	121	1 0/F 02
112	9850		196.4		1.74L-02
DT2	9590	0464	54.4	109	1.14E.02
P15	9340	9404	214.3	108	1.14E-02
DT 4	17930	17072	195.6	376	0.105.00
P14	16640	17273	721.5		2.18E-02
DTC	5570	0740	1299.7	774	0.055.00
r15	13740	0/48	461.1	//4	0.03E-02

Table C.2. Influent and effluent E. coli data collected during the first performance run.

Table C.3. Influent and effluent E. coli data collected during the second performance run.

Parformanca	E. coli (MPN/100ml)				E. coli Survival
Test Number	Influent Count (N _o)	Influent Geo Mean	Effluent Count (N)	Effluent Geo Mean	(N/N _o)
DT1	7270	10139	85.2	129	1.27E-02
FII	14140		195.6		
DT7	5730	6079	501.2	441	7 25E 02
112	6450		387.3		7.2312-02
DT2	10470	0621	721.5	644	6 60E 02
FI3	8860	9031	574.8	044	0.09E-02
PT4	7540	7404	579.4	779	1.05E.01
	7270	/404	1046.2		1.031-01
DT5	9080	8020	2205.9	1500	1 74E 01
r I J	8800	0739	1158.8	1599	1./4E-01

Derformance		E. coli (MPN/100ml)					
Test Number	Influent Count (N _o)	Influent Geo Mean	Effluent Count (N)	Effluent Geo Mean	(N/N _o)		
PT1	7380	8952	1030.05	1113	1 24E-01		
111	10860	0752	1203.3	1115	1.2 12 01		
DT 2	7330	6971	1540	1684	2 45E 01		
F I Z	6440	0871	1842	1004	2.4312-01		
DT2	3730	2612	1551.6	1204	2 61E 01		
F15	3500	5015	1096.2	1304	5.01E-01		
DT4	8200	9524	2442	2586	2 02E 01		
r 14	8860	0324	2737.5	2380	3.03E-01		

Table C.4. Influent and effluent E. coli data collected during the third performance run.

Table C.5. Influent and effluent E. coli data collected during the fourth performance run.

Parformanca		E. coli Survival			
Test Number	Test NumberInfluentInfluentEffluentCountGeoCount (N) (N_0) Mean		Effluent Geo Mean	(N/N _o)	
PT1	22820	21881	8.2	7	3.20E-04
	20980		6.3		
DT^{γ}	12360	12037	31.1	44	3 40F 3
112	13540	12937	62	44	J.+0L-J
PT3	9900	12247	101.9	83	6 78E-03
115	15150	12247	67.7	05	0.761-05
DT/	10460	11/76	613.1	350	3 13E 02
1 14	12590	11470	209.8	339	5.15E-02
DT5	15000	12363	249.6	204	1.650.02
113	10190	12303	167	204	1.05E-02

Dorformanco		E. coli Survival			
Test Number	Influent Count (N _o)	Influent Geo Mean	Effluent Count (N)	Effluent Geo Mean	(N/N _o)
PT1	8600	5188	517.2	371	7.16E-02
DT2	10980	11006	444.8	417	2 50E 02
F12	12910	11900	391.2	41/	5.30E-02
DT3	6130	5140	1226.2	1261	2 45E 01
115	4310	5140	1297.6	1201	2.4512-01
DT/	16070	1/308	896.8	1040	7 33E 02
1 14	12740	14300	1226.2	1049	7.35E-02

Table C.6. Influent and effluent E. coli data collected during the fifth performance run.

Table C.7. Influent and effluent E. coli data collected during the sixth performance run.

Dorformonco		E. coli Survival			
Test Number	Influent Count (N _o)	Influent Geo Mean	Effluent Count (N)	Effluent Geo Mean	(N/N_o)
PT1	22820 24810	23794	69.6 21.3	39	1.64E-3
PT2	11530 9850	10657	613.1 449.4	525	4.93E-02

APPENDIX D. EFFLUENT WATER QUALITY DATA

Table D.1. Unfiltered water quality data.

Test	Test Date	Water Temperature	COD	TSS	Turbidity	UVT	рH
Number		(°C)	(mg/L)	(mg/L)	(NTU)	(%)	
Test #1	3/8/2016	14.6	55	17.5	12.8	50.6	-
Test #2	3/22/2016	14.4	52	12.5	10.5	53.9	-
Test #3	3/24/2016	14.4	54	11.0	11.5	51.4	-
Test #4	3/29/2016	14.7	53	16.0	10.6	53.7	7.07
Test #5	4/5/2016	14.3	49	16.5	11.1	54.5	7.25
Test #6	4/7/2016	14.4	50	12.0	8.4	56.1	7.30
Test #7	4/12/2016	14.0	63	14.0	10.7	54.0	7.34
Test #8	4/14/2016	15.2	55	17.0	11.8	53.0	7.22
Test #9	4/19/2016	15.2	57	24.5	11.6	51.6	7.08
Test #10	4/21/2016	15.2	53	15.0	11.8	53.2	7.06
Test #11	4/26/2016	14.2	54	19.5	15.1	49.0	7.28
Test #12	4/28/2016	14.9	54	15.0	12.2	55.0	7.37
Test #13	5/3/2016	16.0	52	15.5	12.0	53.2	7.29
Test #14	5/5/2016	16.1	35	13.5	9.6	55.8	7.18
Test #15	5/10/2016	16.3	41	13.0	8.8	54.7	7.18
Test #16	5/12/2016	16.3	58	15.2	9.5	54.4	7.35
Test #17	5/16/2016	13.3	28	19.5	11.8	54.8	7.23
Test #18	5/17/2016	16.5	31	22.5	14.0	53.5	7.24
Test #19	5/18/2016	16.7	43	13.2	11.3	52.6	7.07
Test #20	5/19/2016	16.8	49	17.5	9.5	55.3	7.17
Test #21	5/23/2016	17.6	56	14.5	12.2	52.3	7.06
Test #22	5/24/2016	17.7	61	11.0	7.9	55.1	7.18
Test #23	5/25/2016	17.5	44	16.5	12.0	52.4	7.13
Test #24	5/26/2016	17.6	85	12.0	12.6	42.6	7.02
Test #25	5/27/2016	18.1	64	19.0	15.5	51.6	7.05
Test #26	5/31/2016	18.0	72	20.8	14.0	49.5	7.33
Test #27	6/1/2016	17.3	62	9.6	8.2	51.7	7.44
Test #28	6/2/2016	17.7	66	22.4	12.1	54.9	7.51
Test #29	6/3/2016	17.7	52	11.6	9.6	56.0	7.37
Test #30	6/6/2016	17.4	55	16.4	9.1	54.8	7.61

Test	Test Date	Water Temperature	COD	TSS	Turbidity	UVT	рН
Number		(°C)	(mg/L)	(mg/L)	(NTU)	(%)	
Test #31	6/7/2016	18.0	67	10.0	8.1	55.3	7.45
Test #32	6/9/2016	18.6	52	16.8	11.8	52.2	7.27
Test #33	6/14/2016	19.6	55	14.0	6.8	55.0	7.28
Test #34	6/16/2016	19.0	56	18.8	11.2	53.5	7.30
Test #35	6/21/2016	19.3	49	14.0	9.2	53.9	7.33
Test #36	6/23/2016	19.9	47	15.7	10.0	54.9	7.31
Test #37	6/27/2016	18.9	36	10.0	7.8	56.3	7.59
Test #38	7/1/2016	19.0	57	17.2	8.3	51.2	7.23
Test #39	7/11/2016	19.3	55	26.4	19.5	52.0	7.05
Test #40	7/13/2016	19.6	82	10.3	9.9	40.2	7.66
Test #41	7/18/2016	20.1	61	20.0	14.1	51.7	7.12
Test #42	7/21/2016	20.8	45	12.0	8.9	57.4	7.18
Test #43	7/26/2016	20.9	39	9.0	6.6	57.0	7.19
Test #44	7/27/2016	20.7	65	19.3	13.3	39.5	7.08
Test #45	8/2/2016	21.3	46	15.3	9.2	56.1	7.25
Test #46	8/4/2016	21.3	47	17.0	10.5	55.1	7.35
Test #47	8/9/2016	21.4	48	23.7	9.6	52.2	7.31
Test #48	8/11/2016	21.2	44	14.0	9.6	50.6	6.97
Test #49	8/16/2016	21.8	46	22.3	12.4	53.2	7.08
Test #50	8/18/2016	21.2	48	15.0	9.1	53.7	6.99
Test #51	8/22/2016	21.1	50	18.3	6.3	53.7	7.15
Test #52	8/24/2016	21.3	46	10.0	8.1	55.7	7.07
Test #53	8/29/2016	21.3	60	23.6	10.8	52.7	7.17
Test #54	8/31/2016	21.3	52	8.0	8.3	53.3	6.82
Test #55	9/7/2016	21.3	46	10.3	9.2	54.3	7.02
Test #56	9/21/2016	21.1	47	20.7	8.5	55.9	7.61
Test #57	9/23/2016	20.9	69	18.4	8.7	50.8	7.31
Test #58	9/26/2016	20.2	49	12.0	8.7	54.7	7.47
Test #59	9/28/2016	20.7	36	15.6	4.7	56.9	7.5
Test #60	10/5/2016	20.1	55	13.6	10.2	53.6	7.09
Test #61	10/7/2016	19.2	60	14.4	8.1	54.1	7.54
Test #62	10/17/2016	19.9	44	14	13.4	51.9	7.25

Table D.1. Unfiltered water quality data (continued).

Table D.1. Unintered water quanty data (continued).	Table D.1.	Unfiltered	water quality	data (continued).
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Test	Test Date	Water Temperature	COD	TSS	Turbidity	UVT	pН
Number		(°C)	(mg/L)	(mg/L)	(NTU)	(%)	
Test #63	10/21/2016	19.3	73	10.4	8.9	52.4	7.32
Test #64	10/24/2016	18.8	_	-	9.3	55.1	7.24

		UVT		Turbidity		COD	
Test	Test Date	(0	%)	(N'	TU)	(m	g/L)
Number	i est Dute	1.2µm Filt.	0.45µm Filt.	1.2µm Filt.	0.45µm Filt.	1.2µm Filt.	0.45µm Filt.
Test #7	4/12/2016	57.4	-	2.91	-	-	I
Test #8	4/14/2016	59.1	-	1.98	-	-	-
Test #9	4/19/2016	55.1	60.6	2.16	1.07	-	I
Test #10	4/21/2016	58.8	62	1.73	1.02	-	-
Test #11	4/26/2016	55.6	56.4	1.71	1.07	-	-
Test #12	4/28/2016	60.9	63.4	1.68	0.94	-	34
Test #13	5/3/2016	59.9	60.9	1.47	0.9	-	30
Test #14	5/5/2016	61.7	63	1.3	0.95	-	11
Test #15	5/10/2016	60.8	62.1	1.4	0.82	-	6
Test #16	5/12/2016	58.7	59.8	1.25	0.9	-	53
Test #17	5/16/2016	64.1	65.5	1.35	0.75	-	14
Test #18	5/17/2016	62.5	65.4	1.82	0.84	-	27
Test #19	5/18/2016	59.8	62.4	2.87	1.21	-	24
Test #20	5/19/2016	60.7	63	1.87	0.99	-	34
Test #21	5/23/2016	61.4	64.2	1.81	1.03	-	29
Test #22	5/24/2016	60	62.8	1.54	0.94	-	38
Test #23	5/25/2016	59.7	61.6	2.61	1.2	-	27
Test #24	5/26/2016	50.1	53.6	1.95	1.11	-	83
Test #25	5/27/2016	60.1	62.5	1.4	0.93	-	53
Test #26	5/31/2016	59.4	63.6	1.57	0.67	-	37
Test #27	6/1/2016	56.8	60.3	1.69	0.73	-	46
Test #28	6/2/2016	62.6	65.7	1.54	0.66	-	43
Test #29	6/3/2016	62	70.6	1.48	0.98	-	39
Test #30	6/6/2016	63.2	64.7	1.49	0.8	-	45
Test #31	6/7/2016	62.7	63.7	1.87	0.95	-	43
Test #32	6/9/2016	60.5	61.8	1.47	0.85	-	36
Test #33	6/14/2016	61.5	62.1	1.54	0.67	-	45
Test #34	6/16/2016	61.4	64.3	1.04	0.64	-	33
Test #35	6/21/2016	59.4	62.9	1.14	0.82	-	35
Test #36	6/23/2016	62	65.4	1.24	0.72	-	33
Test #37	6/27/2016	63.3	70	1.31	0.78	-	15

Table D.2. Filtered water quality data.

		UVT		Turbidity		COD	
Test	Test Date		(%)	(NT)	U)	(m	g/L)
Number	1050 2000	1.2µm	0.45µm	1.2µm	0.45µm	1.2µm	0.45µm
		Filt.	Filt.	Filt.	Filt.	Filt.	Filt.
Test #38	7/1/2016	58.8	59.6	1.39	0.77	-	45
Test #39	7/11/2016	67	69.3	1.62	0.78	-	35
Test #40	7/13/2016	45.6	47.6	1.71	0.85	-	71
Test #41	7/18/2016	60.6	62.7	1.36	0.79	-	38
Test #42	7/21/2016	63.5	65.8	1.38	0.74	-	32
Test #43	7/26/2016	62.8	65.2	1.34	0.73	-	18
Test #44	7/27/2016	46	48.8	1.52	0.82	-	43
Test #45	8/2/2016	64.3	66.1	1.09	0.69	-	26
Test #46	8/4/2016	62.6	64.9	1.53	0.7	-	30
Test #47	8/9/2016	61.1	63	1.27	0.81	-	31
Test #48	8/11/2016	57.9	60.7	1.64	1.07	-	40
Test #49	8/16/2016	62.4	64.3	1.51	0.73	-	34
Test #50	8/18/2016	60.8	64.2	1.64	0.89	-	32
Test #51	8/22/2016	62.7	64.4	1.28	0.73	-	30
Test #52	8/24/2016	60.8	63.4	1.37	0.6	-	23
Test #53	8/29/2016	63.2	65.4	1.18	0.61	-	26
Test #54	8/31/2016	59.7	62.3	1.53	0.64	-	36
Test #55	9/7/2016	60.2	62.6	1.49	0.62	-	36
Test #56	9/21/2016	58	64.3	1.2	0.59	-	27
Test #57	9/23/2016	55.2	56.7	1.28	0.73	-	48
Test #58	9/26/2016	62.9	65.7	1.75	0.71	-	33
Test #59	9/28/2016	62.7	65.4	1.71	0.74	-	23
Test #60	10/5/2016	63	65.5	1.69	0.89	-	40
Test #61	10/7/2016	59.9	61.2	1.51	0.75	-	36
Test #62	10/17/2016	58.9	61	1.48	0.88	_	19
Test #63	10/21/2016	58.7	60.9	1.49	0.86	-	58
Test #64	10/24/2016	59.5	64	2.61	0.83	-	-

Table D.2. Filtered water quality data (continued).

Table D.3. Total and soluble iron monitoring.

Test		Iron	(mg/L)
Number	Test Date	Total	Soluble
Test #1	5/3/2016	0.76	0.371
Test #2	5/18/2016	0.986	0.291
Test #3	6/1/2016	0.833	-
Test #4	6/2/2016	1.18	-
Test #5	6/3/2016	0.83	-
Test #6	6/6/2016	1.01	-
Test #7	6/7/2016	0.7	0.195
Test #8	6/8/2016	1.22	-
Test #9	6/9/2016	0.81	-
Test #10	6/10/2016	0.96	-
Test #11	6/13/2016	1.06	-
Test #12	6/14/2016	0.738	0.188
Test #13	6/15/2016	0.73	-
Test #14	6/16/2016	0.91	-
Test #17	6/21/2016	0.64	-
Test #18	6/22/2016	1.08	-
Test #19	6/23/2016	0.941	0.206
Test #20	6/24/2016	0.75	-
Test #21	6/27/2016	0.751	0.167
Test #22	6/29/2016	0.85	-
Test #23	6/30/2016	0.8	-
Test #24	7/1/2016	0.72	0.21
Test #25	7/11/2016	1.24	0.17
Test #26	7/12/2016	0.75	-
Test #27	7/13/2016	0.593	0.264
Test #28	7/14/2016	0.41	-
Test #29	7/15/2016	0.6	0.07
Test #30	7/18/2016	0.673	0.17
Test #31	7/19/2016	0.47	-
Test #32	7/20/2016	0.54	-
Test #33	7/21/2016	0.51	0.18
Test #34	7/26/2016	0.56	-

Test	Test Data	Iron ((mg/L)	
Number	Test Date	Total	Soluble	
Test #35	8/2/2016	0.73	-	
Test #36	8/4/2016	0.6	0.23	
Test #37	8/9/2016	0.91	0.03	
Test #38	8/11/2016	0.61	0.21	
Test #39	8/16/2016	0.89	-	
Test #40	8/18/2016	0.61	0.22	
Test #41	8/22/2016	0.81	-	
Test #42	8/24/2016	0.64	0.21	
Test #43	8/29/2016	1.04	0.18	
Test #44	8/31/2016	0.59	0.21	
Test #45	9/7/2016	0.51	0.2	
Test #46	9/9/2016	0.5	0.19	
Test #47	9/12/2016	0.51	0.14	
Test #48	9/21/2016	0.89		
Test #49	9/23/2016	0.74	0.16	
Test #50	9/26/2016	0.5	0.17	
Test #51	9/28/2016	0.28	0.13	
Test #52	10/5/2016	0.63		
Test #53	10/7/2016	0.57		
Test #54	10/17/2016	0.76		
Test #55	10/21/2016	0.64		
Test #56	10/24/2016	0.63		

Table D.3. Total and soluble iron monitoring (continued).

U.S. Department of Commerce National Oceanic & Atmospheric Administration National Environmental Satellite, Data, and Information Service Elev: 900 ft. Latt: 46.925° N Lon: 96.811° W

Record of Climatological Observations These data are quality controlled and may not be identical to the original observations. Generated on 08/09/2016

National Centers for Environmental Information 151 Patton Avenue Asheville, North Carolina 28801

0400

Observation Time Temperature: Unknown Observation Time Precipitation:

Station: FARGO HECTOR INTERNATIONAL AIRPORT, ND US GHCND:USW00014914

																			2.00
				Temperature (F)			Precipitation				Evaporation		Soil Temperature (F)						
P r i m i n a r y	Y e a r	M o n t h	D a y	24 h at o	hrs. ending at observation O time b		24 Hour Amounts ending At Obs at observation time Time			At Obs Time		4 in depth			8 in depth				
				Max.	Min.	s e r v a t i o n	Rain, melted snow, etc. (in)	F I a g	Snow, ice pellets, hail (in)	F I a g	Snow, ice pellets, hail, ice on ground (in)	24 Hour Wind Moveme nt (mi) Amount of Evap. (in)	Ground Cover (see *)	Max.	Min.	Ground Cover (see *)	Max.	Min.	
	2016	7	1	75	45		0.00		0.0		0.0								
	2016	7	2	81	62		т		0.0		0.0								
	2016	7	3	83	61		т		0.0		0.0								
	2016	7	4	90	65		0.26		0.0		0.0								
	2016	7	5	80	60		0.00		0.0		0.0								
	2016	7	6	84	59		т		0.0		0.0								
	2016	7	7	80	63		0.43		0.0		0.0								
	2016	7	8	80	60		0.00		0.0		0.0								
	2016	7	9	83	57		1.37		0.0		0.0								
	2016	7	10	84	64		0.01		0.0		0.0								
	2016	7	11	74	62		2.11		0.0		0.0								
	2016	7	12	83	61		0.00		0.0		0.0								
	2016	7	13	76	63		0.07		0.0		0.0								
	2016	7	14	65	59		0.17		0.0		0.0								
	2016	7	15	78	52		т		0.0		0.0								
	2016	7	16	78	58		т		0.0		0.0								
	2016	7	17	82	62		т		0.0		0.0								
	2016	7	18	86	57		0.00		0.0		0.0								
	2016	7	19	88	66		т		0.0		0.0								
	2016	7	20	89	69		0.04		0.0		0.0								
	2016	7	21	90	67		0.01		0.0		0.0								
	2016	7	22	92	63		0.00		0.0		0.0								
	2016	7	23	84	70		0.15		0.0		0.0								
	2016	7	24	83	62		0.00		0.0		0.0								
	2016	7	25	91	57		0.00		0.0		0.0								
	2016	7	26	86	65		1.34		0.0		0.0								
	2016	7	27	79	63		0.02		0.0		0.0								
	2016	7	28	81	62		Т		0.0		0.0								
	2016	7	29	81	58		Т		0.0		0.0								
	2016	7	30	81	66		0.00		0.0		0.0								
	2016	7	31	85	66		0.00		0.0		0.0								
Summary				82	61		5.98		0.0										

The "flags in Preliminary indicate the data have not completed processing and qualitycontrol and may not be identical to the original observation Empty, or blank, cells indicate that a data observation was not reported.

*Ground Cover: 1=Grass; 2=Fallow; 3=Bare Ground; 4=Brome grass; 5=Sod; 6=Straw mulch; 7=Grass muck; 8=Bare muck; 0=Unknown

"s" This data value failed one of NCDC's quality control tests.

"T" values in the Precipitation category above indicate a TRACE value was recorded.

"A" values in the Precipitation Flag or the Snow Flag column indicate a multiday total, accumulated since last measurement, is being used.

Data value inconsistency may be present due to rounding calculations during the conversion process from SI metric units to standard imperial units.

Figure D.1. Precipitation data (Source: Record of Climatological Observations).

Data	Precipitation	Flow			
Date	inches	MGD			
7/11/16	2.11	22.54			
7/12/16		20.27			
7/13/16	0.07	15.54			
7/14/16	0.17	15.10			
7/15/16		14.98			
7/16/16		13.76			
7/17/16		14.00			
7/18/16		14.87			
7/19/16		14.55			
7/20/16	0.04	14.44			
7/21/16	0.01	15.41			
7/22/16		14.23			
7/23/16	0.15	12.94			
7/24/16		12.81			
7/25/16		14.38			
7/26/16	1.34	15.06			
7/27/16	0.02	15.83			
7/28/16		13.99			
7/29/16		13.86			
7/30/16		12.59			
7/31/16		12.97			
8/1/16		14.72			
8/2/16		13.84			
8/3/16		13.50			
8/4/16		13.50			

Table D.4. Precipitation and plant's flow for days prior to July 13th and July 27th of 2016.

APPENDIX E. CB TEST LOG INACTIVATION DATA

Dose (mJ/cm ²)	CBT1 Log Inactivation	CBT2 Log Inactivation	CBT3 Log Inactivation	CBT4 Log Inactivation
0	0.0	0.0	0.0	0.0
5	1.0	1.2	1.4	0.6
7.5	-	1.7	-	-
10	1.9	1.8	2.0	1.2
20	2.0	-	2.2	-
30	-	2.2	-	1.8
40	2.4	-	2.7	2.2
80	3.0	2.7	3.0	2.4

Table E.1. CB test log inactivation data.