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Michael T. Grispo University of Nebraska-Lincoln, mgrispo@gmail.com

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Gene Duplication and the Evolution of Hemoglobin Isoform Differentiation in Birds

by

Michael T. Grispo

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### Gene Duplication and the Evolution of Hemoglobin Isoform Differentiation in Birds

Michael Thomas Grispo, M.S.

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Adviser: Jay F. Storz

The majority of bird species co-express two functionally distinct hemoglobin (Hb) isoforms in definitive erythrocytes: HbA (the major adult Hb isoform, with  $\alpha$ -chain subunits encoded by the  $\alpha^{A}$ -globin gene) and HbD (the minor adult Hb isoform, with  $\alpha$ chain subunits encoded by the  $\alpha^{D}$ -globin gene). The  $\alpha^{D}$ -globin gene originated via tandem duplication of an embryonic  $\alpha$ -like globin gene in the stem lineage of tetrapod vertebrates, which suggests the possibility that functional differentiation between the HbA and HbD isoforms may be attributable to a retained ancestral character state in HbD that harkens back to a primordial, embryonic function. To investigate this possibility and to examine other aspects of the evolution of the avian  $\alpha$ -like globin genes, in collaborative effort with the Roy E. Weber lab, Joana Projecto-Garcia, Chandrasekhar Nataraja, and Hideaki Moriyama, we conducted a combined analysis of protein biochemistry and sequence evolution to characterize the structural and functional basis of Hb isoform differentiation in birds. The main objectives were: (1) to characterize the O<sub>2</sub>binding properties of HbA and HbD in species that are representative of several major avian lineages; (2) to gain insight into the possible structural basis of the observed functional differentiation between the HbA and HbD isoforms; and (3) to determine whether functional differentiation between the HbA and HbD isoforms is primarily attributable to post-duplication substitutions that occurred in the  $\alpha^{A}$ - and  $\alpha^{D}$ -globin gene

lineages, or whether the differentiation is attributable to substitutions that occurred in the single-copy, pre-duplication ancestor of the  $\alpha^D$ - and  $\alpha^E$ -globin genes, in which case the distinctive properties of HbD may represent a retained ancestral character state that is shared with embryonic Hb. Functional experiments involving purified HbA and HbD isofoms from 12 different bird species confirmed that HbD is characterized by a consistently higher O<sub>2</sub>-affinity in the presence of allosteric effectors such as organic phosphates and Cl<sup>-</sup> ions. In the case of both HbA and HbD, analyses of oxygenation properties under the two-state Monod-Wyman-Changeux allosteric model revealed that the pH-dependence of Hb-O<sub>2</sub> affinity stems from changes in the O<sub>2</sub> association constant of deoxy (T-state) Hb. Ancestral sequence reconstructions indicated that the replacement substitutions that distinguish the avian  $\alpha^A$ - and  $\alpha^D$ -globin genes occurred exclusively on post-duplication branches of the gene family phylogeny, suggesting that the observed functional differences between the HbA and HbD isoforms are not attributable to the retention of an ancestral (pre-duplication) character state in the  $\alpha^D$ -globin gene.

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### **CHAPTER 1**

### **1.1 Introduction**

Hemoglobin (Hb) is one of the most extensively studied proteins in terms of structurefunction relationships, and comparative studies of Hbs from nonhuman animals have made important contributions to this knowledge base (Dickerson and Geis 1983; Perutz 1983; Bunn and Forget 1986; Weber and Fago 2004). Despite this detailed understanding, a number of vexing questions about Hb function continue to challenge comparative biochemists and physiologists. One such question concerns the functional and adaptive significance of co-expressing multiple, structurally distinct Hb isoforms (isoHbs; Perutz 1983; Weber 1990, 1995, 2000; Ingermann 1997; Weber et al. 2000a). All or most vertebrate species express functionally distinct isoHbs during different stages of pre-natal development, and in many groups it is also common to coexpress different isoHbs during postnatal life. The majority of birds and nonavian reptiles coexpress two functionally distinct isoHbs in definitive erythrocytes: HbA (the major adult isoHb, with  $\alpha$ -chain subunits encoded by the  $\alpha^{A}$ -globin gene) and HbD (the minor adult isoHb, with  $\alpha$ chains encoded by the  $\alpha^{D}$ -globin gene). HbD typically accounts for ~10-30% of total Hb in circulating erythrocytes, and available evidence indicates that it is generally characterized by an elevated O<sub>2</sub>-affinity relative to HbA (Vandecasserie et al. 1973; Oberthür et al. 1983; Hiebl et al. 1988, 1989; Weber et al. 1988; Nothum et al. 1989; Tamburrini et al. 2000; Sanna et al. 2007).

Insights into the physiological division of labor between the HbA and HbD isoforms may help to explain why the duplicated  $\alpha^A$ - and  $\alpha^D$ -globin genes have been retained in the majority of birds and nonavian reptiles. Since the HbA and HbD isoforms exhibit consistent differences in O<sub>2</sub>-binding properties, regulatory changes in intraerythrocytic isoHb stoichiometry could provide a mechanism for modulating blood-O<sub>2</sub> affinity in response to changes in O<sub>2</sub> availability or changes in internal metabolic demand (Lutz 1980). For example, in birds that experience transitory hypoxia during ascent to high altitudes, it has been suggested that the co-expression of multiple isoHbs with graded O<sub>2</sub>-affinities may expand the permissible range of arterial O<sub>2</sub> tensions for pulmonary/tissue O<sub>2</sub> transport (Hiebl *et al.* 1988; Weber *et al.* 1988). It is also possible that the physiological benefits of Hb heterogeneity are unrelated to O<sub>2</sub>-binding properties. If isoHbs with different isoelectric points co-occur in the same erythrocytes, then Hb heterogeneity may enhance solubility (and hence, corpuscular Hb concentration), thereby increasing the O<sub>2</sub>-carrying capacity of the blood (Perutz *et al.* 1959; Riggs 1976, 1979). IsoHbs with different charges would also indirectly influence the distribution of protons and other ions across the red cell membrane by altering the Donnan equilibrium, and this could play an important role in the allosteric regulation of Hb-O<sub>2</sub> affinity and cellular metabolism (Nikinmaa 2001; Jensen 2004). These considerations led Ingermann (1997:369) to conclude that it "…seems unlikely that the presence of electrophoretically distinguishable Hb multiplicity represents selectively neutral variations in Hb structure and function."

Available evidence suggests that the tetrapod common ancestor possessed three tandemlylinked gene duplicates that encode the  $\alpha$ -chain subunits of the  $\alpha_2\beta_2$  Hb tetramer: 5'- $\alpha^E$ - $\alpha^D$ - $\alpha^A$ -3' (Hoffmann and Storz 2007; Hoffmann *et al.* 2010; Storz *et al.* 2011a). In the stem lineage of tetrapods, the  $\alpha^E$ - and  $\alpha^A$ -globin genes originated via tandem duplication of an ancestral  $\alpha$ -like globin gene, and  $\alpha^D$ -globin originated subsequently via tandem duplication of the proto  $\alpha^E$ -globin gene (Hoffmann and Storz 2007). In tetrapod vertebrates, the  $\alpha^E$ -globin gene is exclusively expressed in larval/embryonic erythroid cells and the  $\alpha^A$ -globin gene is expressed in definitive erythroid cells during later stages of prenatal development and postnatal life. In birds and nonavian reptiles that have been studied to date, the  $\alpha^D$ -globin gene is expressed in both primitive and definitive erythroid cells (Cirotto *et al.* 1987; Alev *et al.* 2009; Storz *et al.* 2011b). HbD does not appear to be expressed in the definitive erythrocytes of crocodilians (Weber and White 1986, 1994; Grigg *et al.* 1993), and the  $\alpha^D$ -globin gene has been inactivated or deleted independently in amphibians and mammals (Hoffmann and Storz 2007; Hoffmann *et al.* 2008; Hoffmann *et al.* 2010).

Given that HbA and HbD share the same  $\beta$ -chain subunits, functional differences between the two isoHbs must be attributable to amino acid substitutions in the  $\alpha^A$ - and/or  $\alpha^D$ -globin genes. In light of what is known about the phylogenetic history of the  $\alpha$ -like globin genes in tetrapods (Hoffmann and Storz 2007; Hoffmann et al. 2010), functional differentiation between the HbA and HbD isoforms may be attributable to post-duplication substitutions that occurred in the  $\alpha^{A}$ globin and/or  $\alpha^{D}$ -globin gene lineages (Figure 1A,B,C), they could be attributable to substitutions that occurred in the single-copy, pre-duplication ancestor of the  $\alpha^{E}$ - and  $\alpha^{D}$ -globin genes (Figure 1D), or they could be attributable to a combination of pre- and post-duplication substitutions (Figure 1E,F). Since embryonic and adult-expressed Hbs exhibit a number of consistent functional differences (Brittain 2002), the scenarios depicted in Figure 1D,E,F suggest the possibility that HbA/D isoform differentiation may be attributable to a retained ancestral character state in HbD that harkens back to a primordial, embryonic function. To investigate this possibility and to examine the functional evolution of the  $\alpha$ -like globin genes, in collaborative effort with the Roy E. Weber lab, Joana Projecto-Garcia, Chandrasekhar Natarajan, and Hideaki Moriyama, we conducted a combined analysis of protein biochemistry and sequence evolution to characterize the structural and functional basis of Hb isoform differentiation in birds. The main objectives were: (1) to characterize the  $O_2$ -binding properties of HbA and HbD in species that are representative of several major avian lineages; (2) to gain insight into the possible structural basis of the observed functional differentiation between the HbA and HbD isoforms; and (3) to determine whether functional differentiation between the HbA and HbD isoforms is primarily attributable to post-duplication substitutions or the retention of ancestral character states shared by HbD and embryonic Hb. Functional experiments involving purified HbA and HbD isofoms from 12 different bird species confirmed that HbD is characterized by a consistently higher O<sub>2</sub>affinity in the presence of allosteric effectors such as organic phosphates and Cl<sup>-</sup> ions. Results of

the comparative sequence analysis revealed that isoHb differentiation is attributable to roughly equal numbers of post-duplication amino acid substitutions that occurred in the  $\alpha^A$ - and  $\alpha^D$ -globin genes.

### **1.2 Materials and Methods**

### **1.2.1 Experimental Measures of Hemoglobin Function**

To characterize the nature of isoHb differentiation in birds, I have collaborated with the Roy E. Weber lab and Joana Projecto-Garcia, and they performed all the experimental measurements of hemoglobin function for this study. They measured O<sub>2</sub>-binding properties of purified HbA and HbD isoforms from a total of 12 avian species representing each of 6 orders: griffon vulture, Gyps fulvus (Accipitriformes: Accipitridae); greylag goose, Anser anser (Anseriformes: Anatidae); amazilia hummingbird, Amazilia amazilia (Apodiformes: Trochilidae); green-and-white hummingbird, Amazilia viridicauda (Apodiformes: Trochilidae); violet-throated starfrontlet, Coeligena violifer (Apodiformes: Trochilidae); giant hummingbird, Patagona gigas (Apodiformes: Trochilidae); great-billed hermit, Phaethornis malaris (Apodiformes: Trochilidae); common pheasant, Phasianus colchicus (Galliformes: Phasianidae); rook, Corvus frugilegus (Passeriformes: Corvidae); house wren, Troglodytes aedon (Passeriformes: Troglodytidae); rufous-collared sparrow, Zonotrichia capensis (Passeriformes: Emberizidae); and ostrich, Struthio camelus (Struthioformes: Struthionidae). Hbs from a disproportionate number of species were examined in Apodiformes and Passeriformes because these are the two most speciose orders of birds (together accounting of nearly half of all avian species diversity), and because both orders have been underepresented in previously published studies of avian Hb function.

Blood samples were obtained according to methods described by Weber et al. (1988) and Nothum et al. (1989). Washed red cells were frozen at -70°C, and were subsequently thawed by adding two volumes of distilled water and 1/3 volume of 1 M Tris/HCl buffer, pH 7.5. For each individual specimen, Hb isoform composition was characterized by means of alkaline polyacrylamide gel electrophoreses and/or thin-layer isoelectric focusing (PhastSystem, GE Healthcare Biosciences, Piscataway, NJ). Depending on the species, the HbA and HbD isoforms were separated by fast protein liquid chromatography (FPLC), DEAE anion-exchange chromatography, CM-Sepharose cation exchange-exchange chromatography, and/or preparative electrofocusing, as previously described (Hiebl et al. 1988; Weber et al. 2002, 2004). The separate isoHbs were further stripped of organic phosphates by passing the samples through a mixed bed resin column (MB-1 AG501-X8; BioRad, Hercules, CA) using FPLC. Hb solutions were then saturated with carbon monoxide, dialyzed at 5°C for at least 24 hr against three changes of CO-saturated 0.01 M Tris/HCl buffer, pH 7.5, containing 0.5 mM EDTA. In cases where partial oxidation (metHb formation) was evident, Hb was reduced by adding sodium dithionite, followed by dialysis against Tris buffer containing EDTA, as described by Weber et al. (1988). For the Hbs of the rufous-collared sparrows, house wrens, and the five hummingbird species, 10 mM HEPES as the dialysis buffer was used.

 $O_2$ -equilibrium curves for purified HbA and HbD isoforms were measured using a modified gas diffusion chamber coupled to cascaded Wösthoff pumps for mixing pure N<sub>2</sub> (99.998%), O<sub>2</sub>, and atmospheric air. Changes in the absorbance spectra of thin-layer Hb solutions (4 µl) were measured in conjunction with stepwise changes in the partial pressure of O<sub>2</sub> (*P*O<sub>2</sub>) inside the chamber. Values of *P*<sub>50</sub> (the *P*O<sub>2</sub> at which heme is 50% saturated) and *n*<sub>50</sub> (Hill's cooperativity coefficient at 50% saturation) were interpolated from linear plots of log [*Y*/(*Y*-1)] vs. log *P*O<sub>2</sub> for at least 4 values of *Y* (fractional saturation) between 0.25 and 0.75. To assess variation in the sensitivity of Hb-O<sub>2</sub> affinity to allosteric effectors (ligands that alter Hb-O<sub>2</sub> affinity by reversibly binding to sites remote from the active site), O<sub>2</sub>-equilibrium curves of Hbs were measured by being suspended in 0.10 M NaHEPES buffer, in the absence of added effectors ('stripped'), in the presence of inositol hexaphosphate (IHP, at IHP/Hb tetramer ratio, 2.0), in the presence of 0.10 M Cl<sup>-</sup> ions (added as potassium chloride, KCl), and in the presence of both effectors ([Heme], 0.3 mM, unless otherwise specified). IHP is a chemical analog of inositol pentaphosphate (IPP), which is the most potent allosteric effector molecule in avian red cells (Brygier and Paul 1976; Lutz 1980).

In the case of pheasant HbA and HbD, a detailed analysis of allosteric interactions was conducted based on measurements of  $O_2$  equilibria that included extremely high and extremely low saturation values. This allowed the Weber lab to analyze the data in terms of the two-state Monod-Wyman-Changeux (MWC) allosteric model (Monod *et al.* 1965), which relates Hb-O<sub>2</sub> saturation (*Y*) to the partial pressure of  $O_2$  (*P*), the  $O_2$  association constants for 'R-state' oxyHb and 'T-state' deoxyHb ( $K_R$  and  $K_T$ , respectively), the allosteric constant (*L*), and the number of interacting  $O_2$  binding sites (*q*):

$$Y = \frac{LK_T P \{1 + K_T P\}^{(q-1)} + K_R P \{1 + K_R P\}^{(q-1)}}{L(1 + K_T P)^q + (1 + K_R P)^q}$$

This equation was fit to the data in the form  $\log (Y/1-Y)$  versus  $\log P$  (end-weighting) and parameters were estimated using the curve-fitting procedure described by Weber *et al.* (1995). In separate analyses, values of q were estimated from the data or were fixed at 4, as applies to tetrameric Hb. The two-state MWC parameters derived for q=4 were used to calculate the intrinsic Adair constants that characterize the affinities of 4 successive heme oxygenation steps (Adair 1925; Ferry and Green 1929):

$$k_1 = (K_{\rm R} + LK_{\rm T})/(1 + L)$$
  
 $k_2 = (K_{\rm R}^2 + LK_{\rm T}^2)/(K_{\rm R} + LK_{\rm T})$ 

$$k_{3} = (K_{R}^{3} + LK_{T}^{3})/(K_{R}^{2} + LK_{T}^{2})$$
$$k_{4} = (K_{R}^{4} + LK_{T}^{4})/(K_{R}^{3} + LK_{T}^{3})$$

The half-saturation value,  $P_{50}$ , was calculated as the  $PO_2$  at log (Y/1-Y) = 0, and the median  $PO_2$ ,  $P_m$ , was calculated as:

$$P_m = \left\{\frac{1}{K_R}\right\} \left[\frac{L+1}{Lc^q+1}\right]^{1/q}$$

where  $c = K_T/K_R$  (Imai 1982). The maximum slope of the log-log plot,  $n_{max}$ , was calculated by first solving for  $PO_2$  in the equation:

$$\frac{d^2 \{ log\left[\frac{S}{1-S}\right] \}}{d \{ log(PO_2) \}^2} = 0$$

and then using that value to calculate  $d(\log[Y/(1-Y))/d(\log PO_2))$ . The free energy of cooperativity,  $\Delta G$ , was calculated as:

$$\Delta G = \frac{RT \ln \{(L+1)(Lc^{q}+1)\}}{(Lc+1)(Lc^{q-1}+1)}.$$

The  $O_2$ -binding data are not strictly comparable to those of some previously published studies because some workers measured  $O_2$  equilibria using ionic buffers that alter the proton and anion sensitivity of Hb- $O_2$  affinity (Weber 1992). Nonetheless, measurements of  $O_2$ -binding properties for HbA and HbD should be internally consistent within a given study, so it is possible to compare relative levels of isoHb differentiation among studies. Thus, for the purpose of making broad-scale comparisons of isoHb differentiation among species, I surveyed published studies of avian Hbs and compiled measures of the difference in log transformed  $P_{50}$  values between HbA and HbD in the presence and absence of IHP.

### **1.2.2 Molecular Modeling**

In collaborative effort with Hideaki Moriyama, we built homology-based structural models of pheasant HbA and HbD isoforms using SWISS-MODEL (Arnold *et al.* 2006). For the molecular dynamics modeling, Hideaki Moriyama used deoxyhemoglobin (Proten Data Bank ID, 2HHB) as a template to maintain consistency with the results of Riccio *et al.* (2001) and Tamburrini *et al.* (2000). The root-mean square deviations between the templates and models of the  $\alpha^A$ ,  $\alpha^D$ , and  $\beta$  chains were less than 0.08, 0.09, and 0.10 Å, respectively. These structures were used to calculate surface potentials using the PBEQ solver found on the CHARMM GUI server (Jo *et al.* 2008). I used the Swiss Institute of Bioinformatics ExPASy proteomics server (Gasteiger *et al.* 2003) to estimate the isoelectric point (*pI*) of the observed and reconstructed  $\alpha$ -chain globin structures. Finally, Hideakie Moriyama conducted molecular dynamics simulations to predict O<sub>2</sub> and IHP binding energies using AutoDock Vina (Trott and Olson 2010). The search box for IHP (3HXN) was 25Å cubic centered on the  $\alpha$ -chain dyad cleft, and that for O<sub>2</sub> (1DN2) was 5 Å cubic centered on the O<sub>2</sub>-binding heme iron.

### 1.2.3 Taxon Sampling for the Molecular Evolution Analysis

The phylogenetic survey of amino acid divergence among the avian  $\alpha$ -like globin genes included a total of 54 species, including 10 of the 12 species that were used as subjects for the experimental studies of Hb function (Table 1). In collaboration with Chandrasekhar Natarajan, he and I cloned and sequenced the  $\alpha^{A}$ -,  $\alpha^{D}$ -, and/or  $\alpha^{E}$ -globin genes from 28 of the bird species, and the remaining sequences were retrieved from public databases. 98 homologous  $\alpha$ -like globin sequences from species that are representative of the other main tetrapod lineages (amphibians, nonavian reptiles, and mammals) as well as teleost fish (Table 2) were also included in the analysis.

### **1.2.4 Molecular Cloning and Sequencing**

Genomic DNA was isolated from frozen liver tissues using the DNeasy kit and RNA was isolated from frozen whole blood or frozen pectoral muscle using the RNeasy kit (Qiagen, Valencia, CA). Chandrasekhar Natarajan and I designed paralog-specific PCR primer combinations for the  $\alpha^{E}$ -,  $\alpha^{D}$ -, and  $\alpha^{A}$ -globin genes by using multispecies alignments of orthologous sequences from available avian genome assemblies (Hoffmann *et al.* 2010; Hoffmann *et al.* 2011). For each species, the  $\alpha^{E}$ -globin gene was PCR-amplified from genomic DNA using the Invitrogen Taq Polymerase Native kit (Invitrogen, Carlsbad, CA) and the following thermal cycling protocol: 94°C (10 min) initial denaturing, (94°C [30 s], 54°C - 62.5°C [30 s] 72°C [1 min]) for 34 cycles, followed by a final extension at 72°C (7 min). The  $\alpha^{D}$ - and  $\alpha^{A}$ -globin genes were PCR-amplified from genomic DNA, as described above, or cDNAs were amplified from RNA using the QIAGEN OneStep RT-PCR Kit (Qiagen, Valencia, CA). Reverse transcriptase (RT) PCR reactions were conducted according to the following thermal cycling protocol: 50°C (30 min) followed by a 94°C (15 min) initial denaturing, (94°C [30 s], 55°C [30 s] 72°C [1 min]) for 34 cycles, followed by a final extension at 72°C (3 min).

For some species, Chandrasekhar Natarajan sequenced the  $\alpha^{A}$ - and  $\alpha^{D}$ -globin coding regions by using RACE (rapid amplification of cDNA ends). Primers were designed in the conserved exonic regions of the  $\alpha^{A}$ - and  $\alpha^{D}$ -globin genes and the first strand synthesis was carried out using SuperScript<sup>TM</sup> II Reverse Transcriptase (Invitrogen, Carlsbad, CA). Both 5' and 3' RACE were performed according to the manufacturer's protocol. Sequences of all PCR, RT-PCR and RACE primers are provided in Table 3.

PCR products were electrophoretically separated on a 1.2% agarose gel (100 volts), and were then excised and eluted from the gel following the protocol in the QIAquick Gel Extraction Kit (Qiagen, Valencia, CA). PCR amplicons were cloned into pCR4-TOPO vector (Invitrogen, Carlsbad, CA), which was then used to transfect One Shot TOP10 Chemically Competent *E. coli* cells (Invitrogen, Carlsbad, CA). Positive clones were sequenced on an ABI 3730XL highthroughput capillary DNA analyzer (Applied Biosystems, Foster City, CA) using internal T7/T3 primers. All sequences were deposited in GenBank under the accession numbers: JQ405307-JQ405317, JQ405319-JQ405326, JQ697045-70, JQ405318, and JQ824132.

### **1.2.5 Prediction of Functionally Divergent Sites**

To nominate candidate sites for functional divergence between the avian  $\alpha^A$ - and  $\alpha^D$ -globin sequences, residue positions were identified that were highly conserved within each paralogous clade, but which differed between the two clades. Such sites were termed 'constant-but-different' (CBD) sites by Gribaldo *et al.* (2003) and were termed 'type II' divergent sites by Gu (2001). My analysis of functional divergence was based on a total of 92 sequences (47 avian  $\alpha^A$ -globin sequences and 45 avian  $\alpha^D$ -globin sequences). To quantify the conservation of physicochemical properties at each residue position within the separate sets of  $\alpha^A$ - and  $\alpha^D$ -globin sequences, I calculated site-specific entropy values (Shannon 1948):  $H_i = -\sum_j^i p_j \log_b p_j$ , where  $p_j$  is the frequency of a particular physicochemical state at site *j*, and *b*=8 such that calculated values fall within the interval (0, 1). In addition to considering single-residue insertions or deletions, I considered 8 possible physicochemical states for each residue position: hydrophobic (Ala, Val, Ile, Leu), hydrophilic (Ser, Thr, Asn, Gln), sulfur-containing (Met, Cys), glycine (Gly), proline (Pro), acidic (Asp, Glu), basic (His, Lys, Arg), and aromatic (Phe, Trp, Tyr). I identified CBD sites as residue positions that were highly conserved within each set of orthologous sequences ( $H_i$ <0.5) but which exhibited a consistent physicochemical difference between the  $\alpha^A$ - and  $\alpha^D$ -globin sequences. CBD sites do not necessarily represent fixed amino acid differences between the  $\alpha^A$ - and  $\alpha^D$ -globin paralogs, since a given site could be variable for an interchangeable set of isomorphous residues within each set of orthologous sequences.

#### **1.2.6 Ancestral Sequence Reconstruction**

To infer the phylogenetic distribution of amino acid substitutions that contributed to functional differentiation between the avian HbA and HbD isoforms, I reconstructed ancestral sequences at four separate nodes in the phylogeny of  $\alpha$ -like globin genes: (1) the single-copy proto- $\alpha$  globin gene in the stem lineage of tetrapod vertebrates, (2) the single-copy ancestor of the  $\alpha^{E}$ - and  $\alpha^{D}$ -globin paralogs in the stem lineage of tetrapods, (3) the ancestral  $\alpha^{D}$ -globin in the stem lineage of birds, and (4) the ancestral  $\alpha^{A}$ -globin in the stem lineage of birds. To reconstruct ancestral  $\alpha$ -chain sequences, I applied the maximum likelihood approach of Yang *et al.* (1995) using the WAG + F model of amino acid substitution (Cao *et al.* 1994; Whelan and Goldman 2001) as implemented in PAML 4.4 (Yang 2007). Amino acid sequences were aligned using the default parameters in Muscle (Edgar 2004). The ancestral sequence reconstruction was based on a phylogeny of  $\alpha$ -like globin sequences from a representative set of mammals, birds, nonavian reptiles, and amphibians, and the tree was rooted with  $\alpha$ -globin sequences from teleost fishes. Ancestral states of individual sites were reconstructed independently, and I restricted the analysis to sites that had posterior probabilities  $\geq 0.8$  for a given residue or physicochemical property.

In the phylogeny of  $\alpha$ -like globin genes, ( $\alpha^A$  ( $\alpha^D$ ,  $\alpha^E$ )), site-specific amino acid differences between the avian  $\alpha^A$ - and  $\alpha^D$ -globin sequences could be attributable to substitutions on (*i*) the branch leading to  $\alpha^A$ , (*ii*) the post-duplication branch leading to  $\alpha^D$ , and/or (*iii*) the pre-duplication branch leading to the single copy ancestor of  $\alpha^D$  and  $\alpha^E$  (Figure 1). Accordingly, I identified all amino acid substitutions that distinguish the avian  $\alpha^A$ - and  $\alpha^D$ -globin paralogs and, after reconstructing ancestral states at relevant nodes of the phylogeny, I mapped the observed substitutions onto the branches mentioned above. Mapping charge-changing substitutions onto branches of the phylogeny also allowed us to reconstruct the causes of divergence in isoelectric point (*pI*) between the HbA and HbD isoforms.

### **1.3 Results**

#### 1.3.1 Relative Abundance of HbA and HbD

Eleven of the bird species included in this study expressed two main Hb isoforms that were clearly referable to HbA and HbD. The griffon vulture, *Gyps fulvus*, expressed three isoHbs, one of which is clearly identifiable as HbD, and the other two incorporated the products of duplicated  $\alpha^{A}$ -globin genes (HbA and HbA'). The species examined generally expressed the HbA and HbD isoforms in a ~3:1 ratio, which is consistent with results from previous studies (Table 4). The pheasant represented the sole exception to this pattern, as HbD was present at a higher concentration than HbA (69% vs. 31%). HbD expression has been secondarily lost in representatives of 6 avian orders (Ciconiiformes, Columbiformes, Coraciiformes, Cuculiformes, Psittaciformes, and Sphenisciformes; Table 4), and parsimony-based character-state mapping (using the phylogeny of Hackett *et al.* 2008) suggests that each of these losses occurred independently.

### **1.3.2 Functional Properties of Avian HbA and HbD Isoforms**

O<sub>2</sub>-equilibrium measurements revealed consistent functional differences between the HbA and HbD isoforms, as HbD was generally characterized by a higher  $O_2$  affinity (lower  $P_{50}$ ) in the presence of IHP (two-fold molar excess over heme) and in the presence of IHP +  $0.1 \text{ M Cl}^{-1}$ (Table 5). This pattern of isoHb differentiation is consistent with previously published results for avian Hbs (Table 6). HbD was generally characterized by a higher instrinsic  $O_2$  affinity than HbA, but there were several exceptions. In the griffon vulture, HbD showed a much lower  $O_2$ affinity than HbA in the absence of effectors but a higher affinity in the presence of IHP (Table 5). Likewise, in 4 of the 5 hummingbird species that Joana Project-Garcia examined (amazilia hummingbird, green-and-white hummingbird, violet-throated starfrontlet, and great-billed hermit), the HbA isoform exhibited a slightly higher intrinsic  $O_2$  affinity than HbD. In all cases these differences in  $O_2$  affinity were reversed in the presence of IHP (Table 5). Vandecasserie *et* al. (1973) also reported that the HbA isoforms of mallard duck, pheasant, and turkey had higher intrinsic  $O_2$  affinities than the co-expressed HbD isoforms, and again, this was reversed in the presence of anionic effectors (Table 6). Contrary to the results reported by Vandecasserie et al. (1973), the  $O_2$ -equilibrium measurements on pheasant Hbs revealed a lower  $O_2$  affinity in HbA than in co-expressed HbD in the presence and in the absence of anionic effectors - as found in most other species that express both isoforms (Tables 5 and 6). Whereas  $O_2$ -binding experiments from the Weber lab were carried out using zwitterionic HEPES buffer, those by Vandecasserie et al. (1973) were carried out using an ionic Tris-HCl buffer that may perturb the measurements of O<sub>2</sub> affinity by reducing the concentration of free anionic effectors in a pH-dependent manner (Weber 1992). The results from this study and those of Vandecasserie et al. (1973) are in agreement that the O<sub>2</sub> affinity of HbA is lower than that of HbD in the presence of anionic effectors, the state that is most relevant to *in vivo* conditions.

The O<sub>2</sub> affinities of pheasant HbA and HbD were modulated by pH in a similar fashion, as estimated Bohr factors were virtually identical for both isoHbs ( $\varphi = \Delta \log P_{50}/\Delta pH = -0.43$  at 25°C and pH 7.0-7.5). The Bohr factor was slightly reduced at 37°C ( $\varphi$  for HbA = -0.37), in

accordance with the temperature-dependence of proton dissociation, but was strongly increased in the presence IHP ( $\varphi = -0.63$  at 37°C), which is consistent with the induction of basic proton binding groups by this anionic effector (Gill *et al.* 1980). When stripped of allosteric effectors, HbA and HbD exhibited similar cooperativity coefficients ( $n_{50} \sim 2.0$  at 37°C and pH 7.0 - 7.5) that increased in the presence of IHP ( $n_{50} \sim 2.6$ ). The mixture of purified HbA and HbD isoforms exhibited  $P_{50}$  values that were intermediate to those of the individual isoHbs at physiological pH (Figure 2), which indicates the absence of functionally-significant intracellular interaction between the two isoforms. This lack of interaction, in conjunction with the observed symmetry of  $O_2$ -binding curves (reflected by the correspondence between  $n_{max}$  and  $n_{50}$  values and between  $P_m$ and  $P_{50}$  values; Table 7), justifies the quantification of the allosteric interactions of both isoforms in terms of shifts in  $P_{50}$  values (Wyman 1964).

Extended Hill plots for the HbA and HbD isoforms (Figure 3) and estimates of the MWC parameters (Table 7) elucidate the allosteric control mechanisms that underlie the observed hetero- and homotropic effects. When measured at the same pH, extended Hill plots for HbA and HbD are almost superimposed, revealing nearly identical association constants in the deoxygenated and oxygenated states ( $K_T$  and  $K_R$ , which can be interpolated from the intercepts of the lower and the upper asymptotes of the extended Hill plots with the vertical line at log  $PO_2 =$  0; Figure 3A). Thus, both isoHbs are characterized by similar free energies of Hb cooperativity ( $\Delta G = \sim 8.4$  and  $\sim 8.0$  for HbA and HbD, respectively, with q= free at pH  $\sim 7.5$ ; Table 7). Whereas increased proton activity (decreased pH) decreases O<sub>2</sub> affinity by lowering  $K_T$  more than  $K_R$ , such that both effectors raise  $\Delta G$ . The effect of IHP on both  $K_T$  and  $K_R$  (Figure 3B), indicates that this effector binds to the deoxy as well as the oxy structures. Similar effects of IHP have been documented in human and fish Hbs, although physiological levels of the autochthonous phosphate effectors (DPG and ATP, respectively) primarily modulate  $K_T$  (Tyuma *et al.* 1973; Weber *et al.* 1987). As shown in

Figure 3B, increased temperature lowers  $K_{\rm R}$  more than  $K_{\rm T}$ , thereby decreasing the free energy of heme-heme cooperativity.

The allosteric T-state  $\rightarrow$ R-state transitions of pheasant HbA and HbD and their dependence on modulating factors are further illustrated by the Adair association constants ( $k_{1.4}$ ) for the four successive oxygenation steps. In stripped HbA and HbD at pH ~7.5, the similar  $k_1$ and  $k_2$  constants and the marked increases in  $k_3$  and  $k_4$  indicate that the allosteric transition occurs only after binding the second and third O<sub>2</sub> molecules (Figure 4). This also applies at lowered pH (~7.0), where lower  $k_1$  and  $k_2$  values show that proton binding additionally reduces the affinities for binding the 1<sup>st</sup> and 2<sup>nd</sup> O<sub>2</sub> molecules. In the presence of IHP, the even lower values of  $k_1$ ,  $k_2$ , and  $k_3$  combined with a drastically increased  $k_4$  (Figure 4) indicate that IHP suppresses the affinities of unliganded hemes for the 1<sup>st</sup>, 2<sup>nd</sup>, and 3<sup>rd</sup> O<sub>2</sub> molecules but has little effect on the affinity of the remaining unliganded heme. This indicates that IHP-binding delays the Tstate $\rightarrow$ R-state transition in quaternary structure until the final oxygenation step.

### 1.3.3 Insights into the Evolutionary Origins of Hb Isoform Differentiation

Comparison of avian  $\alpha^A$  and  $\alpha^D$  sequences yielded a Poisson-corrected amino acid divergence of 35.6%. 39 total candidate sites were identified that may contribute to functional divergence between the avian  $\alpha^A$ - and  $\alpha^D$ -globin genes (Figure 5), 33 of which are CBD sites (both paralogs having  $H_i$  values <0.50). The remaining 6 sites were more variable in one or both sets of orthologous sequences (Table 8). Ancestral sequence reconstructions revealed that roughly equal numbers of substitutions occurred on the post-duplication branches leading to  $\alpha^A$ - and  $\alpha^D$ -globin. Substitutions at 11 sites were consistent with the scenario depicted in Figure 1A, substitutions at 12 sites were consistent with Figure 1B, and substitutions at 5 sites were consistent with Figure 1C. None of the divergent sites between the  $\alpha^D$ - and  $\alpha^A$ -globin sequences were consistent with the scenarios depicted in Figure 1D, E, or F. The pattern was similar when considering the complete set of 39 substitutions (including sites for which ancestral state reconstructions had posterior probabilities <0.8; Table 8). The sole exception is that the inferred history of substitution at site  $\alpha$ 9 was consistent with the scenario depicted in Figure 1D.

Charge-changing substitutions at 17 solvent-exposed residue positions account for the observed difference in net surface charge between HbA (mean pI = 8.67) and HbD (mean pI = 7.09). Substitutions at 8 sites were consistent with the scenario depicted in Figure 1A (71, 89, 90, 116, 117, 130, and 138), substitutions at 8 sites were consistent with the scenario depicted in Figure 1B (11, 30, 53, 68, 75, 82, 85, and 115), and substitutions at 2 sites were consistent with the scenario depicted in Figure 1C (8 and 15).

### 1.3.4 Insights into the Structural Basis of Hb Isoform Differentiation

The simulation-based autodocking experiments predicted a slightly lower O<sub>2</sub>-binding energy (and hence, higher O<sub>2</sub>-affinity) for the  $\alpha$ -chain heme groups of HbD relative to those of HbA: -0.5 kcal/mol vs. -0.4 kcal/mol, respectively. The molecular dynamics simulations also predicted that the 'additional'  $\alpha$ -chain phosphate binding site of HbD (*sensu* Tamburrini *et al.* [2000] and Riccio *et al.* [2001]) has a slightly lower IHP binding energy relative to that of HbA (Table 9), and that the bound IHP molecule is lodged more deeply in the  $\alpha$ -chain binding cleft of HbD (Figure 6). This isoform difference in the stereochemistry of IHP binding is mainly attributable to substitutions at three symmetry-related pairs of amino acid residues:  $\alpha^{D}$ 1-Met (which reduces electrostatic repulsion relative to  $\alpha^{A}$ 1-Val),  $\alpha^{D}$ 138-Glu (which increases electrostatic attraction relative to  $\alpha^{A}$ 138-Ala), and  $\alpha^{D}$ 134-Ala (which, relative to  $\alpha^{A}$ 134-Thr, reduces steric hindrance in the cleft between the  $\alpha_{1}$  and  $\alpha_{2}$  subunits).

### **1.4 DISCUSSION**

#### 1.4.1 IsoHb Composition of Avian Red Cells

In contrast to the variable patterns of Hb heterogeneity in fishes and other ectothermic vertebrate groups (Binotti *et al.* 1971; Weber and Jensen 1988; Weber 1990, 1996, 2000; Ingermann 1997; Weber *et al.* 2000b), the two-component HbA/HbD system of birds is remarkably consistent. A number of bird species are known to express three or four structurally distinct isoHbs in definitive erythrocytes (Saha and Ghosh 1965; Lee *et al.* 1976; Oberthür *et al.* 1983; Hiebl *et al.* 1988; Nothum *et al.* 1989), but in all such cases, HbA and HbD (i.e., tetrameric assemblies that incorporate the products of  $\alpha^A$ - and  $\alpha^D$ -globin, respectively) represent the two main isoforms. HbD expression has also been secondarily lost in a number of avian taxa (e.g., pigeons, parakeets, cuckoos, jays, herons, storks, and penguins; Saha and Ghosh 1965; Vandecasserie *et al.* 1973; Godovac-Zimmermann and Braunitzer 1984, 1985; Oberthür *et al.* 1986; Sultana *et al.* 1989; Tamburrini *et al.* 1993), the sister group to Aves.

#### 1.4.2 Structural and Functional Differentiation between HbA and HbD

It is difficult to pinpoint specific substitutions that may be responsible for isoHb differences in intrinsic  $O_2$ -affinity, although substitutions at intersubunit contact surfaces are good candidates: three of the CBD sites (114, 115, and 117) represent  $\alpha_1\beta_1$  'packing' contacts. Substitutions at intersubunit contacts often have the effect of increasing Hb- $O_2$  affinity by loosening constraints on the T-state quaternary structure, thereby shifting the allosteric equilibrium in favor of the high-affinity R-state (Perutz 2001). It is also possible that isoHb differences in intrinsic  $O_2$ -affinity are attributable to different combinations of substitutions in different species. Studies of isoHb differentiation in the tufted duck, common swift, Rüppell's griffon, and goshawk suggested that the higher O<sub>2</sub>-affinity of HbD may be attributable to the possession of  $\alpha^D$ 38-Gln or Thr instead of  $\alpha^A$ 38-Pro (Hiebl *et al.* 1987, 1988; Weber *et al.* 1988; Nothum *et al.* 1989; Abbasi and Lutfullah 2002; Lutfullah *et al.* 2005). In Hbs with  $\alpha$ 38-Gln or Thr, the R-state (oxy) structure is stabilized by two hydrogen bonds with  $\beta$ 97-His and  $\beta$ 99-Asp, whereas only the latter hydrogen bond is possible in the T-state. Thus, HbD with  $\alpha^D$ 38-Gln or Thr is more highly stabilized in the R-state, and the allosteric equilibrium is shifted in favor of this high-affinity quaternary structure. This structural mechanism may contribute to O<sub>2</sub>-affinity differences between HbA and HbD in the particular species mentioned above, but it does not provide a general explanation for the observed patterns of functional differentiation between avian HbA and HbD because the majority of bird species retain the ancestral Gln residue at this intersubunit contact site in both  $\alpha^A$ - and  $\alpha^D$ -globin.

In addition to the isoHb differences in intrinsic O<sub>2</sub>-affinity, HbD also exhibits a consistently higher O<sub>2</sub>-affinity in the presence of IHP (Tables 5 and 6). This indicates that HbD is less responsive to the inhibitory effects of IHP, a potent allosteric effector that preferentially binds and stabilizes the low-affinity T-state quaternary structure of the Hb tetramer. The uniform difference in IHP sensitivity between HbA and HbD is surprising because the main polyphosphate binding site is formed by a cluster of positively charged  $\beta$ -chain residues that line the interior of the central cavity (Arnone and Perutz 1974; Tamburrini *et al.* 2000). Since HbA and HbD share identical  $\beta$ -chain subunits (and thus share the same phosphate-binding sites), the observed isoform differences in IHP sensitivity must be attributable to one or more substitutions between  $\alpha^A$ - and  $\alpha^D$ -globin that do not directly affect the main phosphate-binding site. Experimental evidence suggests that an additional polyphosphate binding site is formed by seven residues from each  $\alpha$ -chain (sites 1, 95, 99, 134, 137, 138, and 141) which stabilize IHP via charge-charge interactions (Zuiderweg *et al.* 1981; Amiconi *et al.* 1985; Tamburrini *et al.* 2000; Riccio *et al.* 2001). Specifically,  $\alpha$ 99Lys and charged residues at the  $\alpha$ -chain amino- and carboxy

termini of avian HbA and HbD are predicted to form six salt bridges with the negatively charged phosphate groups of IHP (Tamburrini et al. 2000; Riccio et al. 2001). This additional phosphate binding site is hypothesized to serve as an 'entry/leaving site' which modulates Hb- $O_2$  affinity by enhancing phosphate uptake and transfer to the main oxygenation-linked binding site between the  $\beta$ -chain subunits (Zuiderweg et al. 1981; Tamburrini et al. 2000; Riccio et al. 2001). Of the seven  $\alpha$ -chain residues that comprise this additional phosphate binding site, four represent CBD sites that distinguish avian  $\alpha^A$ - and  $\alpha^D$ -globin sequences (1, 134, 137, and 138). The role of  $\alpha$ 1Val in this additional phosphate binding site is implicated by the fact that carbamylation of the  $\alpha$ -chain amino-termini produces a 40% reduction in IHP affinity (Zuiderweg et al. 1981). However, even though HbD exhibits a consistently higher O<sub>2</sub>-affinity than HbA in the presence of IHP (Tables 5 and 6), the molecular dynamics simulations predict that the additional phosphate binding site of HbD actually has a slightly lower IHP binding energy (and hence, higher IHP affinity) than that of HbA (Table 9). An alternative hypothesis suggested by results of the molecular dynamics simulations (Figure 6) is that IHP binding between the  $\alpha_1$  and  $\alpha_2$  subunits of HbD produces a second-order perturbation of quaternary structure that is propagated to the main phosphate binding site between the  $\beta$ -chain subunits.

#### 1.4.3 Evolutionary Origins of IsoHb Differentiation in Birds

Of the many amino acid substitutions that distinguish avian HbA and HbD, ancestral sequence reconstructions indicate that roughly equal numbers of amino acid substitutions occurred on the post-duplication branches leading to  $\alpha^A$ - and  $\alpha^D$ -globin. Model-based calculations of electrostatic surface potentials revealed the specific substitutions that are responsible for observed differences in net surface charge between the two isoHbs, and again, roughly equal numbers of these substitutions occurred on the post-duplication branches leading to  $\alpha^A$ - and  $\alpha^D$ -globin. These results indicate that the observed functional differences between the HbA and HbD

isoforms are not attributable to the retention of an ancestral character state from the single-copy, pre-duplication ancestor of the  $\alpha^{E}$ - and  $\alpha^{D}$ -globin genes. The fact that the  $\alpha^{A}$ - and  $\alpha^{D}$ -globin genes have been jointly retained in the majority of sauropsid lineages over the past ~400 million years suggests that the functionally distinct HbA and HbD isoforms have evolved an important physiological division of labor in blood-O<sub>2</sub> transport. The O<sub>2</sub>-affinity of HbA is more strongly modulated by allosteric effectors, suggesting that this isoform could play a more important role in regulating blood-O<sub>2</sub> affinity in response to transient changes in O<sub>2</sub> supply or demand, whereas the high-affinity HbD may make a more important contribution to blood-O<sub>2</sub> transport under conditions of arterial hypoxemia.

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## Appendix A.

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Order	Family	Species	Accession number
Accipitriformes	Accipitridae	Northern goshawk, Accipiter gentilis	P08850.2, P08849.1
Accipitriformes	Accipitridae	Black vulture, Aegypius monachus	P07417.2, P68059.1
Accipitriformes	Accipitridae	Rüppell's griffon, Gyps rueppellii	P08256.1, P08257.1
Accipitriformes	Accipitridae	White-headed vulture, Trigonoceps occipitalis	P19832.1, P68060.1
Anseriformes	Anatidae	Mallard duck, Anas platyrhynchos	P01988.2, P04442.1, K01942.1
Anseriformes	Anatidae	Graylag goose, Anser anser	P01989.2, P04238.1
Anseriformes	Anatidae	Bar-headed goose, Anser indicus	P01990.2, P04239.1
Anseriformes	Anatidae	Tufted duck, Aythya fuligula	P84790.2, P84791.1
Anseriformes	Anatidae	Canada goose, Branta canadensis	ACT80863.1, P04240.1
Anseriformes	Anatidae	Muscovy duck, Cairina moschata	P01987.2, P02003.1, P04243.2
Anseriformes	Anatidae	Andean goose, Chloephaga melanoptera	ACT80387.1, P07035.1
Apodiformes	Apodidae	Common swift, Apus apus	P15162.2, P15164.1
Apodiformes	Trochilidae	Speckled hummingbird, Adelomyia melanogenys	JQ697045, JQ697061
Apodiformes	Trochilidae	White-tufted sunbeam, Aglaeactis castelnaudii	JQ697046, JQ697062
Apodiformes	Trochilidae	Amazilia hummingbird, Amazilia amazilia	JQ697047, JQ697063
Apodiformes	Trochilidae	Green-and-white hummingbird, Amazilia viridicauda	JQ697048, JQ697064
Apodiformes	Trochilidae	Bronzy inca, Coeligena coeligena	JQ697049, JQ697065
Apodiformes	Trochilidae	Violet-throated starfrontlet, Coeligena violifer	JQ697050, JQ697066
Apodiformes	Trochilidae	Andean hillstar, Oreotrochilus estella	JQ697051, JQ405318
Apodiformes	Trochilidae	Black-breasted hillstar, Oreotrochilus melanogaster	JQ697052, JQ697067
Apodiformes	Trochilidae	Giant hummingbird, Patagona gigas	JQ697054, JQ697060
Apodiformes	Trochilidae	Great-billed hermit, Phaethornis malaris	JQ697053, JQ697059
Charadriiformes	Stercorariidae	South polar skua, Catharacta maccormicki	P82111.1, P82112.1

Cuculiformes
Falconiformes
Galliformes
Galliformes
Galliformes
Galliformes
Gruiformes
Opisthocomiformes
Passeriformes
Passeriformes
Passeriformes
Passeriformes
Pelecaniformes
Pelecaniformes
Pelecaniformes
Phoenicopteriformes
Phoenicopteriformes
Psittaciformes
Psittaciformes
Strigiformes

Columbiformes

Columbidae Cuculidae Falconidae Phasianidae Phasianidae Phasianidae Phasianidae Gruidae Opisthocomidae Corvidae Emberizidae Estrildidae Furnariidae Passeridae Sturnidae Sturnidae Troglodytidae Turdidae Pelecanidae Pelecanidae Phalacrocoracidae Phoenicopteridae Phoenicopteridae Psittacidae Psittacidae Strigidae

Rock pigeon, Columba livia Common cuckoo, Cuculus canorus Prairie falcon, *Falco mexicanus* Japanese quail, Coturnix japonica Chicken, Gallus gallus Wild turkey, Meleagris gallopavo Common pheasant, Phasianus colchicus Sandhill crane. Grus canadensis Hoatzin, Opisthocomus hoazin Hooded crow, Corvus cornix Rufous-collared sparrow, Zonotrichia capensis Zebra finch, *Taeniopygia guttata* Wren-like rushbird, Phleocryptes melanops Tree sparrow, Passer montanus Yellow-faced myna, Mino dumontii Common starling, Sturnus vulgaris House wren, Troglodytes aedon Common blackbird, Turdus merula Dalmatian pelican, Pelecanus crispus Great white pelican, Pelecanus onocrotalus Great cormorant, Phalacrocorax carbo Greater flamingo, Phoenicopterus roseus American flamingo, Phoenicopterus ruber Budgerigar, Melopsittacus undulatus Rose-ringed parakeet, Psittacula krameri Eurasian eagle owl, Bubo bubo

P21871.2, O12985.1, JQ405311 BAC57968, BAC57969.1 JO405314 P24589.2, P30892.1 NP 001004376, NP 001004375.1, NP 001004374.1 P81023.2, P81024.1, XP 003210789.1 P01995.1, P02002.1 JQ405312 JQ405310 JQ697055, JQ405319 JQ405316, JQ405315 XP 002196132.1, XP 002196147.1, NP 001191174.1 JQ405307, JQ405317 P07407.1, P07413.1, JO405308 JQ697056, JQ697069 P01997.1, P02004.1, JO405313 JQ405324, JQ697068 P14522.1, P14523.1 JQ405326, JQ405322 JQ405325, JQ824132 P10780.1, P10781.1, JO405309 JQ697057, JQ697070 P01984.2, JO405321 JO697058 P19831.1 JO405323, JO405320

Struthioniformes	Rheidae	Greater rhea, Rhea americana	P01982.1, P04241.1
Struthioformes	Struthionidae	Ostrich, Struthio camelus	P01981.1, P04242.1

Class	Order	Family	Species	Accession number
Actinopterygii	Beloniformes	Adrianichthyidae	Japanese medaka, Oryzias latipes	BAC20295.1, BAC06482.1
Actinopterygii	Characiformes	Characidae	Red-tailed brycon, Brycon cephalus	ABL89191.1
Actinopterygii	Cypriniformes	Cyprinidae	Common carp, Cyprinus carpio	BAB79237.1
Actinopterygii	Cypriniformes	Cyprinidae	Zebrafish, Danio rerio	NP_891985.1
Actinopterygii	Esociformes	Esocidae	Northern pike, Esox lucius	ACO13595.1
Actinopterygii	Gadiformes	Gadidae	Polar cod, Boreogadus saida	Q1AGS9.3
Actinopterygii	Gadiformes	Gadidae	Atlantic cod, Gadus morhua	ABV21551.1
Actinopterygii	Osmeriformes	Osmeridae	Rainbow smelt, Osmerus mordax	ACO08865.1
Actinopterygii	Perciformes	Osmeridae	Antartic fish, Pogonophryne scotti	P0C238.2
Actinopterygii	Perciformes	Osmeridae	Yellow perch, Perca flavescens	1XQ5_A
Actinopterygii	Pleuronectiformes	Osmeridae	Turbot, Scophthalmus maximus	ABJ98630.1
Actinopterygii	Salmoniformes	Osmeridae	Rainbow trout, Oncorhynchus mykiss	ACO08763.1
Actinopterygii	Salmoniformes	Osmeridae	Atlantic salmon, Salmo salar	CAA65949.1
Actinopterygii	Scorpaeniformes	Osmeridae	Sablefish, Anoplopoma fimbria	ACQ58238.1
Actinopterygii	Scorpaeniformes	Osmeridae	Kelp snailfish, Liparis tunicatus	P85081.1
Actinopterygii	Siluriformes	Osmeridae	Channel catfish, Ictalurus punctatus	NP_001188201.1
Actinopterygii	Tetraodontiformes	Osmeridae	Fugu rubripes, Takifugu rubripes	AAO61492.1
Actinopterygii	Tetraodontiformes	Osmeridae	Pufferfish, Tetraodon nigroviridis	CAG12202.1
Amphibia Amphibia	Anura	Pipidae Pipidae	African clawed frog, <i>Xenopus laevis</i> Western clawed frog, <i>Xenopus tropicalis</i>	P02012.2, NP_001081493.1 P06636.2, NP_001079749.1 NP_001079746.1 NP_988860.1, NP_001005092.1, NP_001135724.1, NP_001165373.1, NP_001107321.1,

Table 2. Accession numbers for  $\alpha$ -like globin sequences from nonavian vertebrates.

Amphibia	Anura	Ranidae	Bullfrog, Rana catesbeiana	NP_001015904.1, NP_001016009.1 P51465.2, ACO51559.1, P55267.2
Amphibia	Caudata	Ambystomatidae	Axolotl, Ambystoma mexicanum	P02015.2, AAK58843.1, BAD30048.1, BAD30049.1
Amphibia	Caudata	Salamandridae	Iberian ribbed newt, Pleurodeles waltl	P06639.4, P11896.1
Amphibia	Caudata	Salamandridae	Rough-skinned newt, Taricha granulosa	P02014.1, P10783.1
Mammalia	Carnivora	Canidae	Dog, Canis lupus	P60529.1
Mammalia	Carnivora	Felidae	Domestic cat, Felis catus	P07405.1
Mammalia	Carnivora	Ursidae	Giant panda, Ailuropoda melanoleuca	XP_002920201.1, XP_002920198.1
Mammalia	Cetacea	Delphinidae	Saddleback dolphin, Delphinus delphis	ACN44165.1
Mammalia	Cetacea	Delphinidae	Bottlenosed dolphin, Tursiops truncatus	P18978.1
Mammalia	Cetacea	Physeteridae	Sperm whale, Physeter catodon	P09904.1
Mammalia	Cetartiodactyla	Bovidae	Cattle, Bos taurus	NP_001070890.2, XP_001788743.1, XP_580707.3
Mammalia	Cetartiodactyla	Bovidae	Goat, Capra hircus	ACH86006.1, P13786.2
Mammalia	Cetartiodactyla	Suidae	Pig, Sus scrofa	XP_003481132.1, P02009.1
Mammalia	Chiroptera	Molossidae	Wrinkle-lipped free-tailed bat, Chaerephon plicatus	ACE60603.1
Mammalia	Chiroptera	Pteropodidae	Leschenault's rousette, Rousettus leschenaultii	ACE60609.1
Mammalia	Chiroptera	Phyllostomidae	California leaf-nosed bat, Macrotus californicus	P09839.1
Mammalia	Cingulata	Dasypodidae	Nine-banded armadillo, Dasypus novemcinctus	P01964.1, ACO83100.1
Mammalia	Didelphimorphia	Didelphidae	Gray short-tailed opossum, Monodelphis domestica	NP_001028158.1, XP_003341721.1
Mammalia	Diprotodontia	Macropodidae	Tammar wallaby, Macropus eugenii	P81043.3, AAX18654.1, AAX18653.1
Mammalia	Insectivora	Erinaceidae	Western European hedgehog, Erinaceus europaeus	P01949.1
Mammalia	Insectivora	Soricidae	European shrew, Sorex araneus	ACE73634.1, ACE73631.1
Mammalia	Insectivora	Talpidae	Coast mole, Scapanus orarius	ADJ17347.1

Mammalia	Insectivora	Talpidae	European mole, Talpa europaea	P01951.1
Mammalia	Lagomorpha	Leporidae	European hare, Lepus europaeus	3LQD_A
Mammalia	Lagomorpha	Leporidae	Rabbit, Oryctolagus cuniculus	NP_001075858.1, NP_001164886.1
Mammalia	Lagomorpha	Ochotonidae	Black-lipped pika, Ochotona curzoniae	ABO27190.1
Mammalia	Monotremata	Ornithorhynchidae	Platypus, Ornithorhynchus anatinus	XP_001517140.2, XP_001510395.1
Mammalia	Perissodactyla	Equidae	Horse, Equus caballus	P01958.2, NP_001108014.1
Mammalia	Perissodactyla	Rhinocerotidae	White rhinoceros, Ceratotherium simum	P01963.2
Mammalia	Perissodactyla	Tapiridae	Brazilian tapir, Tapirus terrestris	P01962.1
Mammalia	Primates	Hominidae	Human, Homo sapiens	NP_000508.1, NP_005323.1
Mammalia	Primates	Atelidae	Black-handed spider monkey, Ateles geoffroyi	P67817.2
Mammalia	Primates	Cebidae	White-tufted-ear marmoset, Callithrix jacchus	XP_002755769.1, ABZ80335.1
Mammalia	Proboscidea	Elephantidae	Asiatic elephant, Elephas maximus	ACV41393.1
Mammalia	Proboscidea	Elephantidae	African savanna elephant, Loxodonta africana	XP_003417852.1, XP_003417854.1
Mammalia	Rodentia	Cricetidae	Chinese hamster, Cricetulus griseus	XP_003501524.1, XP_003511891.1
Mammalia	Rodentia	Cricetidae	Deer mouse, Peromyscus maniculatus	ABN71059.1, ABN71228.1
Mammalia	Rodentia	Muridae	Norway rat, Rattus norvegicus	NP_001013875.1, NP_001166316.1
Reptilia	Crocodylia	Crocodylidae	Nile crocodile, Crocodylus niloticus	P01998.1
Reptilia	Crocodylia	Crocodylidae	American alligator, Alligator mississippiensis	P01999.2
Reptilia	Crocodylia	Crocodylidae	Spectacled caiman, Caiman crocodilus	0901255A, P02000.1
Reptilia	Sphenodontia	Sphenodontidae	Tuatara, Sphenodon punctatus	P10059.1, P10062.1
Reptilia	Squamata	Colubridae	Texas indigo snake, Drymarchon corais	P0C0U6.1, P0C0U7.1
Reptilia	Squamata	Iguanidae	Marine iguana, Amblyrhynchus cristatus	Gorr (1993)
Reptilia	Squamata	Iguanidae	Green anole, Anolis carolinensis	Hoffman et al. (2008)
Reptilia	Testudines	Cheloniidae	Loggerhead turtle, Caretta caretta	Q10732.1

Reptilia	Testudines	Emydidae	Western painted turtle, Chrysemys picta	P13273.1, P02005.1
Reptilia	Testudines	Emydidae	Red-eared slider turtle, Trachemys scripta	FG341498.1
Reptilia	Testudines	Testudinidae	Brazilian giant tortoise, Geochelone denticulata	AAM18964.1
Reptilia	Testudines	Testudinidae	Galápagos giant tortoise, Geochelone nigra	P83135.2
Reptilia	Testudines	Chelidae	Snake-necked turtle, Phrynops hilarii	P02006.1

Table 3. PCR, RT-PCR, and RACE primer sequences.

 $\alpha^{A}$ -globin

HBA2.-14.F 5' - GGRCACCCGTGCTGGGGGCTG - 3' and HBA2.724.R 5' - TAACGGTACTTGGCRGTCAG - 3' HBA1.-88.ZF.F 5' - GTGATAAGATAAGGCTGGGAGG - 3' and HBA1.970.R 5' - GACACGTTGCTGCAGCAA - 3' HBAEX1F 5' - ATGGTGCTGTCYGCKGCTGACAAGA - 3 and HBAEX3R 5' - AACGGTACTTGGCRGTCAGCAC - 3'<sup>a</sup>

HBAEX2F 5' - AAGTGGGGGAAGTAGGTCTTGGT - 3' and HBAEX2R 5' - ACCAAGACCTACTTCCCCCACTT - 3'a

AAP 5' - GGCCACGCGTCGACTAGTACGGGIIGGGIIGGGIIG - 3'a

UAP 5' - CUACUACUACUAGGCCACGCGTCGACTAGTAC - 3'<sup>a</sup>

AUAP 5' - GGCCACGCGTCGACTAGTAC - 3'a

5AP 5' - CUACUACUAGGCCACGCGTCGACTAGTACGGGIIGGGIIGGGIIG - 3'a

 $\alpha^{D}$ -globin

PPHADF5' 5' - AACACGCAGGTTGTAGGC - 3'a

PPHADR3' 5' - CCAAGACCTACTTCCCCCACTTC - 3'a

NPHAD5' 5' - GAAGTGGGGGAAGTAGGTCTTGGTC - 3'a

NPHAD3' 5' - AACCTGCGTGTTGACCCCG - 3'a

NP2HAD5' 5' - CTTCTTGTCSTCGGCRGTCAGCAT - 3'a

HADEX1F 5' - ATGCTGACYGCCGASGACAAGAAG - 3' and HADEX3R 5' - ATCTGTACTTYTCAGCCAGCAC - 3'a

 $\alpha^{E}$ -globin

Hbe\_3\_F 5' - GGAGTGACCAATGAGTGTGGACAG - 3' and Hbe\_3\_R 5' - GAAAGCACAGAGACCATAG - 3' Hbe\_4\_F 5' - ACAACCTGCTCTGGGTGTTC - 3' and Hbe\_4\_R 5' - CCCTTTGGAGAAGAGCACAT - 3'

<sup>a</sup>RACE primers.

Order	Species	HbA%	HbD%	Reference
Accipitriformes	Northern goshawk, Accipiter gentilis	80	20	Hiebl et al. (1987b)
Accipitriformes	Black vulture, Aegypius monachus	81	19	Hiebl et al. (1987c)
Accipitriformes	Golden eagle, Aquila chrysaetos	65	35	Oberthür et al. (1983b)
Accipitriformes	Griffon vulture, Gyps fulvus	66	34	Present study <sup>a</sup>
Accipitriformes	Rüppell's griffon, Gyps ruppellii	73	27	Hiebl et al. (1988)
Accipitriformes	Kite, Milvus migrans	70	30	Saha and Ghosh (1965)
Accipitriformes	White vulture, Trigonoceps occipitalis	70	30	Hiebl et al. (1989)
Anseriformes	Mallard duck, Anas platyrhinchos	62	38	Saha and Ghosh (1965)
Anseriformes	Greylag goose, Anser anser	90	10	Hiebl et al. (1986)
		92	8	Present study
Anseriformes	Bar-headed goose, Anser indicus	88	12	Hiebl et al. (1986)
Anseriformes	Common pochard, Aythya ferina	78	21	Saha and Ghosh (1965)
Anseriformes	Tufted duck, Aythya fuligula	90	10	Lutfullah et al. (2005)
Anseriformes	Canada goose, Branta canadensis	89	11	Hiebl et al. (1986)
Anseriformes	Andean goose, Chloephaga melanoptera	78	22	Hiebl et al. (1987a)
Apodiformes	Common swift, Apus apus	86	14	Nothum et al. (1989b)
Apodiformes	Amazilia hummingbird, Amazilia amazilia	70	30	Present study
Apodiformes	Green-and-white hummingbird, Amazilia viridicauda	78	22	Present study
Apodiformes	Violet-throated starfrontlet, Coeligena violifer	81	19	Present study
Apodiformes	Giant hummingbird, Patagona gigas	81	19	Present study
Apodiformes	Great-billed hermit, Phaethornis malaris	61	39	Present study
Charadriiformes	South polar skua, Catharacta maccormicki	65	35	Tamburrini et al. (2000)
Ciconiiformes	Grey heron, Ardea cinerea	100	0	Oberthür et al. (1986)
Ciconiiformes	Cattle egret, Bubulcus ibis	100	0	Saha and Ghosh (1965)

Table 4. Relative concentrations of the two primary isoHbs (HbA and HbD) in the definitive erythrocytes of adult birds.

Ciconiiformes	White stork, Ciconia ciconia	100	0	Godovac and Braunitzer (1984)
Columbiformes	Rock pigeon, <i>Columba livia</i>	100	0	Sultana (1989)
Columbiformes	Spotted dove, <i>Streptopelia chinensis</i>	100	0	Saha and Ghosh (1965)
Columbiformes	Rufous turtle dove, <i>Streptopelia orientalis</i>	100	0	Saha and Ghosh (1965)
Columbiformes	Bengal green pigeon, <i>Terron phoenicoptera</i>	100	0	Saha and Ghosh (1965)
Coraciiformes	Bluejay, Coracias benghalensis	100	0	Saha and Ghosh (1965)
Cuculiformes	Greater coucal, <i>Centropus sinensis</i>	100	0	Saha and Ghosh (1965)
Cuculiformes	Indian cuckoo, Cuculus micropterus	100	0	Saha and Ghosh (1965)
Cuculiformes	Hawk cuckoo, Cuculus varius	100	0	Saha and Ghosh (1965)
Cuculiformes	Asian koel, Eudynamys scolopacea	100	0	Saha and Ghosh (1965)
Cathartiformes	Andean condor, Vultur gryphus	83	17	Bauer et al. (1985)
Galliformes	Gray quail, <i>Coturnix coturnis</i>	76	24	Saha and Ghosh (1965)
Galliformes	Grey partridge, Francolinus pondacerianus	75	25	Abbasi and Zaidi (1989)
Galliformes	Chicken, Gallus gallus	70	30	Saha and Ghosh (1965)
	-	71	29	Present study
		75	25	Weber et al. (2004)
Galliformes	Wild turkey, Meleagris gallopavo	~75	~25	Vandecasserie et al. (1973)
Galliformes	Guinea fowl, Numida meleagris	63	37	Saha and Ghosh (1965)
Galliformes	Pheasant, Phasianus colchicus	~75	~25	Braunitzer et al. (1982)
		31	69	Present study
Passeriformes	Common myna, Aeridotheres tristis	62	38	Saha and Ghosh (1965)
Passeriformes	Yellow eyed babbler, Chrysomma sinensis	68	33	Saha and Ghosh (1965)
Passeriformes	Magpie robin, Copsychus saularis	58	42	Saha and Ghosh (1965)
Passeriformes	Black drongo, Dicrurus macrocercus	63	36	Saha and Ghosh (1965)
Passeriformes	Hill myna, Gracula religiosa	69	31	Saha and Ghosh (1965)
Passeriformes	White throated munia, Lonchura malabarica	71	30	Saha and Ghosh (1965)
Passeriformes	Black headed munia, Lonchura malacca	69	29	Saha and Ghosh (1965)

Passeriformes	Spotted munia, Lonchura punctulata	71	29	Saha and Ghosh (1965)
Passeriformes	House sparrow, Passer domesticus	72	28	Saha and Ghosh (1965)
Passeriformes	Eurasian tree sparrow, Passer montanus	85	15	Schneeganss et al. (1985)
Passeriformes	Red vented bulbul, Pycnonotus cafer	76	24	Saha and Ghosh (1965)
Passeriformes	Red whiskered bulbul, Pycnonotus jocosus	70	30	Saha and Ghosh (1965)
Passeriformes	Hodgson's bush-chat, Saxicola insignis	70	31	Saha and Ghosh (1965)
Passeriformes	Common starling, Sturnus vulgaris	60	40	Oberthür et al. (1984)
Passeriformes	Common blackbird, Turdus merula	80	20	Nothum et al. (1989a)
Passeriformes	House wren, Troglodytes aedon	58	42	Present study
Passeriformes	Rufous-collared sparrow, Zonotrichia capensis	62	38	Present study
Pelecaniformes	Great cormorant, Phalacrocorax carbo	87	17	Huber et al. (1988)
Phoenicopteriformes	Greater flamingo, Phoenicopterus roseus	75	25	Sanna et al. (2007)
Phoenicopteriformes	American flamingo, Phoenicopterus ruber	75	25	Godovac and Braunitzer (1984)
Piciformes	Blue throated barbet, Megalaima asiatica	88	13	Saha and Ghosh (1965)
Piciformes	Lineated barbet, Megalaima lineata	83	17	Saha and Ghosh (1965)
Psittaciformes	Blue and yellow macaw, Ara ararauna	100	0	Godovac and Braunitzer (1985)
Psittaciformes	Blossom headed parakeet, Psittacula cyanocephala	100	0	Saha and Ghosh (1965)
Psittaciformes	Rose ringed parakeet, Psittacula krameri	100	0	Saha and Ghosh (1965)
Sphenisciformes	Emperor penguin, Aptenodytes forsteri	100	0	Tamburrini et al. (1994)
Struthioniformes	Greater rhea, Rhea americana	60	40	Oberthür et al. (1983a)
Struthioniformes	Ostrich, Struthio camelus	70	30	Oberthür et al. (1983a)
		74	23	Isaacks et al. (1980)
		79	21	Present study <sup>b</sup>

<sup>a</sup>Abbasi A, Weber RE, Braunitzer G, unpublished data

<sup>b</sup>Kirkegaard T, Weber RE, unpublished data

Table 5.O <sub>2</sub> affinities ( $P_{50}$ , torr) and cooperativity coefficients ( $n_{50}$ ) of purified HbA and HbD isoforms from 12 bird species.O <sub>2</sub>
equilibria were measured in 0.1 mM HEPES buffer at pH 7.4 (± 0.01) and 37°C in the absence (stripped) and presence of allosteric
effectors ([Cl <sup>-</sup> ], 0.1 M; [HEPES], 0.1 M; IHP/Hb tetramer ratio, 2.0. P <sub>50</sub> and n <sub>50</sub> values were derived from single O <sub>2</sub> equilibrium curves,
where each value was interpolated from linear Hill plots (correlation coefficient $r > 0.995$ ) based on 4 or more equilibrium steps
between 25 and 75 % saturation.

ACCIPITRIFORMES       HbA       0.30       6.46       1.62         Gyps fulvus       HbD       0.07       15.86       1.82         ANSERIFORMES       100       4.78       2.51         Anser anser       HbA       1.00       3.59       1.90         APODIFORMES       11.38       5.28       1.90		$\begin{array}{ccc} h_{50} & P_{50} \\ & 28.84 \\ 26.61 \\ & 43.95^{a} \\ 29.79^{a} \end{array}$	$     \begin{array}{r}       n_{50} \\       1.98 \\       1.99 \\       3.00^{a} \\       2.51^{a}     \end{array} $
Gyps fulvus       HbA       0.30       6.46       1.62         HbD       0.07       15.86       1.82         ANSERIFORMES       4.78       2.51         Anser anser       HbA       0.72       3.59       1.90         APODIFORMES       4.78       2.51       1.90         Amazilia amazilia       HbA       0.30       3.14       1.38       5.28       1.90	36.77 2	26.61 43.95 <sup>a</sup> 29.79 <sup>a</sup>	1.99 3.00 <sup>a</sup>
HbD       0.07       15.86       1.82         ANSERIFORMES       Anser anser       HbA       1.00       4.78       2.51         APODIFORMES       Amazilia amazilia       HbA       0.30       3.14       1.38       5.28       1.90	36.77 2	26.61 43.95 <sup>a</sup> 29.79 <sup>a</sup>	1.99 3.00 <sup>a</sup>
ANSERIFORMES         Anser anser       HbA       1.00       4.78       2.51         HbD       0.72       3.59       1.90         APODIFORMES       Amazilia amazilia       HbA       0.30       3.14       1.38       5.28       1.90	36.77 2	43.95 <sup>a</sup> 29.79 <sup>a</sup>	3.00 <sup>a</sup>
Anser anser       HbA       1.00       4.78       2.51         HbD       0.72       3.59       1.90         APODIFORMES       HbA       0.30       3.14       1.38       5.28       1.90	36.77 2	29.79 <sup>a</sup>	
HbD       0.72       3.59       1.90         APODIFORMES       Amazilia amazilia       HbA       0.30       3.14       1.38       5.28       1.90	36.77 2	29.79 <sup>a</sup>	
APODIFORMESAmazilia amaziliaHbA0.303.141.385.281.90	36.77 2		2.51 <sup>a</sup>
Amazilia amazilia         HbA         0.30         3.14         1.38         5.28         1.90	36.77 2		
	36.77 2	16 00.04	
HbD 0.30 3.36 1.70 4.79 2.08		2.16 29.84	2.42
110D 0.30 5.30 1.70 4.77 2.00	28.61 2	2.63 23.20	2.40
<i>Amazilia viridicauda</i> HbA 0.30 2.62 1.43 4.47 1.81	28.49 2	2.13 24.24	2.07
HbD 0.30 2.78 1.34 3.90 1.64	21.83 2	2.22 20.36	2.29
Coeligena violifer         HbA         0.30         2.12         1.29         3.74         1.65	23.55 1	1.96 19.12	1.70
HbD 0.30 2.48 1.40 3.65 1.80	17.70 2	2.30 17.01	2.46
Patagona gigas         HbA         0.30         2.52         1.46         4.14         1.63	29.97 2	2.28 25.86	2.49
HbD 0.30 2.45 1.41 3.19 1.97	17.44 2	2.24 16.56	2.56
Phaethornis malaris         HbA         0.30         2.83         1.39         4.70         1.83	37.00 2	2.27 28.13	2.04
HbD 0.30 3.06 1.62 5.02 2.11	26.03 2	2.47 24.92	2.72
GALLIFORMES			
Phasianus colchicusHbA0.085.621.86		$44.67^{a}$	2.31 <sup>a</sup>
HbD 0.11 5.54 1.73			
HbA $0.60$ $4.12^{b}$ $2.47^{b}$		29.51 <sup>b</sup>	2.55 <sup>b</sup>
HbD $0.60$ $3.50^{b}$ $2.38^{b}$		24.24 <sup>a,b</sup>	$2.46^{a,b}$
PASSERIFORMES			
Corvus frugilegus HbA 0.06 5.60 1.50			
HbD 0.04 4.15 1.46			

Troglodytes aedon	HbA HbD	0.30 0.30	2.80 1.58	1.48 1.47	4.57 2.67	1.91 1.92	33.90 22.59	1.98 2.39	25.87 16.28	2.11 2.36
Zonotrochia capensis	HbA	0.30	1.44	1.05	2.39	1.08	7.82	0.72	6.82	0.87
<b>STRUTHOEODMES</b>	HbD	0.30	0.87	1.18	1.30	1.26	6.64	1.00	4.20	0.92
STRUTHIOFORMES										
Struthio camelus	HbA	0.58			3.55	1.90			32.73 <sup>a</sup>	2.85
	HbD	0.58			2.63	1.75			$22.90^{a}$	2.44

<sup>a</sup>Saturating IHP/Hb4 ratio (>20)

<sup>b</sup>These values are taken from Table 3 (MWC parameters) refer to 25°C.

Species	$\Delta \log P_{50}$ (HbA - HbD)		T°C	pН	Buffer	Reference	
	Stripped/+KCl	+IHP					
ACCIPITRIFORMES							
Gyps fulvus	-0.37 <sup>a</sup>	0.06 <sup>a</sup>	37	7.4	0.1 M NaHepes/0.1 M KCl	Present study	
	-0.41 <sup>b</sup>	-	37	7.4	0.1 M NaHepes/0.1 M KCl	Present study	
Gyps ruppellii	$0.20^{a}$	~0.40 <sup>a</sup>	38	7.5	0.1 M NaHepes/0.1 M KCl	Weber et al. (1988)	
	$0.09^{b}$	~0.20 <sup>b</sup>	38	7.5	0.1 M NaHepes/0.1 M KCl	Weber et al. (1988)	
Trigonoceps occipitalis	0.10	0.06	38	7.5	0.1 M NaHepes/0.1 M KCl	Hiebl et al. (1989)	
ANSERIFORMES							
Anas platyrhinchos	-0.32	0.45 <sup>c</sup>	20	7.0	0.025 M TrisHCl/0.1 M NaCl	Vandecasserie et al. (1973)	
Anser anser	-	0.17	37	7.4	0.1 M NaHepes/0.1 M KCl	Present study	
Apus apus	0.23	0.54	38	7.5	0.02 M TrisHCl/0.1 M NaCl	Nothum et al. (1989)	
APODIFORMES							
Amazilia amazilia	-0.03	0.11	37	7.4	0.1 M NaHepes/0.1 M KCl	Present study	
Amazilia viridicauda	-0.03	0.12	37	7.4	0.1 M NaHepes/0.1 M KCl	Present study	
Coeligena violifer	-0.07	0.12	37	7.4	0.1 M NaHepes/0.1 M KCl	Present study	
Patagona gigas	0.01	0.24	37	7.4	0.1 M NaHepes/0.1 M KCl	Present study	
Phaethornis malaris	-0.03	0.15	37	7.4	0.1 M NaHepes/0.1 M KCl	Present study	
CHARADRIIFORMES							
Catharacta maccormicki	0.20	~0.15	37	7.5	0.1 M NaHepes /0.1 M NaCl	Tamburrini et al. (2000)	
GALLIFORMES							
Gallus gallus	0.14	0.61 <sup>c</sup>	20	7.0	0.025 M TrisHCl/0.1 M NaCl	Vandecasserie et al. (1973)	
	0.55	0.88	25	7.0	0.1 M NaHepes/0.1 M KCl	Weber et al. (2004)	

Table 6. O<sub>2</sub>-affinity differences between avian HbA and HbD isoforms in the absence of allosteric effectors ('stripped') and in the presence of IHP. IHP was present at saturating concentrations (IHP/Hb<sub>4</sub> ratio >20), except where indicated.

Meleagris gallopavo	-0.27	0.35 <sup>c</sup>	20	7.0	0.025 M TrisHCl/0.1 M NaCl	Vandecasserie et al. (1973)
Phasianus colchicus	-0.37	$0.20^{\circ}$	20	7.0	0.025 M TrisHCl/0.1 M NaCl	Vandecasserie et al. (1973)
	0.01	-	37	7.4	0.1 M NaHepes/0.1 M KCl	Present study
	0.07	0.09	25	7.5	0.1 M NaHepes/0.1 M KCl	Present study
PASSERIFORMES						
Corvus frugilegus	0.13	-	37	7.4	0.1 M NaHepes/0.1 M KCl	Present study
Troglodytes aedon	0.25	0.18	37	7.4	0.1 M NaHepes/0.1 M KCl	Present study
Zonotrichia capensis	0.22	0.07	37	7.4	0.1 M NaHepes/0.1 M KCl	Present study
PHOENICOPTERIFORMES						
Phoenicopterus roseus	0.24	~0.50	20	7.5	0.05 M TrisHCl/0.1 M NaCl	Sanna et al. (2007)
STRUTHIONIFORMES						
Struthio camelus	0.30	0.48 <sup>c</sup>	37	7.4	0.05 M TrisHCl/0.2 M NaCl	Oberthur et al. (1983)
	0.13	0.16	37	7.4	0.1 M NaHepes/0.1 M KCl	Present study

Table 7. Parameter estimates derived from O<sub>2</sub> equilibrium measurements of pheasant HbA and HbD under the two state Monod-Wyman-Changeux (MWC) allosteric model (cf. Figure 3). In separate analyses, the number of O<sub>2</sub> binding sites was freely estimated (q = free) or fixed at 4 (q = 4).

= 1100) 01 1		`1	,					1	1		1	
isoHb	T°C	pН	IHP/Hb <sub>4</sub>	$P_{50}$ torr	$n_{50}$	$n_{\rm max}$	$P_m$ torr	$K_T \text{ torr}^{-1} (\pm \text{ s.e.m.})$	$K_R \text{ torr}^{-1} (\pm \text{ s.e.m.})$	L	$\Delta G \text{ kJ mol}^{-1}$	q
HbA												
q=free												
1	25	7.487		4.13	2.60	2.63	3.89	$0.0663 \pm 0.0054$	$2.0777 \pm 0.3396$	$1.3 \times 10^{4}$	8.38	4.54
	25	7.050		7.12	2.61	2.65	6.73	$0.0316 \pm 0.0008$	$2.1798 \pm 0.1974$	$3.5 \times 10^4$	10.10	3.89
fixed q=4												
1	25	7.487		4.12	2.44	2.47	3.9	$0.0637 \pm 0.0046$	$2.4835 \pm 0.3419$	$8.8 \times 10^{3}$	8.74	4.00
	25	7.050		7.13	2.64	2.68	6.73	$0.0320 \pm 0.0007$	$2.0496 \pm 0.1014$	$3.6 \times 10^4$	9.99	4.00
HbD												
<i>q</i> =free												
9 1100	25	7.492		3.51	2.47	2.49	3.36	$0.0752 \pm 0.0042$	$2.0168 \pm 0.1706$	$4.2 \times 10^{3}$	7.99	4.36
	25	7.496	23.5	26.14	2.71	3.02	22.05	$0.0162 \pm 0.0008$	$1.2308 \pm 0.8497$	$7.5 \times 10^7$	10.20	5.49
	37	7.433		6.05	2.10	2.12	5.72	$0.0575 \pm 0.0046$	$1.0665 \pm 0.2236$	$1.7 \times 10^{3}$	7.070	4.10
fixed q=4	57	/		0.02	2.10	2.12	0.72	0.0070 = 0.0010	1.0000 = 0.2250	1.,,,10	1.070	
incu q=1	25	7.492		3.50	2.38	2.39	3.36	$0.0721 \pm 0.0034$	$2.1948 \pm 0.1497$	$3.0 \times 10^{3}$	8.20	4.00
	25	7.496	23.5	24.2	2.46	2.61	21.5	$0.0143 \pm 0.0010$	$2.7 \times 10^5 \pm 3.6 \times 10^{10}$	$1.1 \times 10^{27}$	11.70	4.00
	23 37	7.433		6.05	2.40	2.01	5.72	$0.0143 \pm 0.0010$ $0.0572 \pm 0.0037$	$1.1053 \pm 0.1196$	$1.6 \times 10^3$	7.12	4.00
	57	1.433		0.05	∠.00	2.10	$_{J.12}$	$0.0372 \pm 0.0037$	$1.1033 \pm 0.1190$	1.0710	1.12	4.00

Site	Ι	II	III	IV
1	V(0.628)	V(1.000)	V(0.628)	null
5	E(0.969)	A(0.650)	E(0.968)	E(0.876)
8	A(0.988)	T(1.000)	A(0.986)	K(0.998)
9	N(0.325)	N(1.000)	A(0.344)	L(1.000)
11	K(0.972)	K(1.000)	K(0.918)	Q(1.000)
12	A(0.953)	G(1.000)	A(0.892)	Q(1.000)
15	G(0.999)	S(0.996)	G(0.999)	E(1.000)
18	A(0.981)	G(0.996)	A(0.983)	A(0.999)
21	A(0.999)	A(1.000)	A(0.998)	Q(1.000)
28	A(1.000)	T(1.000)	A(1.000)	A(1.000)
30	E(0.998)	E(1.000)	E(0.999)	E(0.998)
50	P(0.630)	H(1.000)	P(0.630)	P(0.815)
53	A(0.999)	A(1.000)	A(0.998)	E(0.953)
57	A(0.859)	A(1.000)	A(0.859)	G(0.999)
67	G(0.998)	V(0.900)	G(1.000)	G(1.000)
68	E(0.997)	E(1.000)	E(0.996)	N(1.000)
71	K(0.690)	N(1.000)	K(0.881)	K(1.000)
72	H(0.947)	H(1.000)	H(0.943)	S(0.838)
75	D(0.843)	D(1.000)	D(0.772)	N(1.000)
77	S(0.480)	A(1.000)	S(0.517)	S(1.000)
78	G(0.994)	G(1.000)	G(0.993)	Q(1.000)
82	K(0.992)	K(1.000)	K(0.991)	E(1.000)
85	D(0.985)	D(1.000)	D(0.984)	N(1.000)
89	Y(0.991)	Q(1.000)	Y(0.997)	Y(1.000)
90	N(0.935)	K(1.000)	N(0.979)	N(1.000)
102	S(0.997)	G(1.000)	S(0.999)	S(1.000)

Table 8. Posterior probabilities constructed for each functionally divergent site of the four pertinent ancestral sequences.

	106	L(0.996)	L(1.000)	L(0.977)	Q(1.000)		
	113	F(0.926)	H(1.000)	F(0.926)	L(0.999)		
	114	P(1.000)	P(1.000)	P(1.000)	G(1.000)		
	115	N(0.373)	S(1.000)	N(0.370)	K(0.998)		
	116	D(0.630)	A(0.996)	D(0.630)	E(0.861)		
	117	F(0.998)	L(1.000)	F(0.996)	Y(1.000)		
	124	A(0.800)	S(1.000)	A(0.891)	A(1.000)		
	125	L(0.710)	L(1.000)	L(0.435)	Y(0.825)		
	130	S(0.533)	C(1.000)	S(0.543)	S(0.997)		
	133	S(0.913)	G(1.000)	S(0.913)	A(0.998)		
	134	T(0.489)	T(1.000)	T(0.443)	A(0.997)		
	137	T(0.990)	T(1.000)	T(0.990)	A(1.000)		
	138	E(0.889)	A(1.000)	E(0.894)	E(1.000)		
Ι	Pre-duplication proto-a						
II	Ancestral avian $\alpha^A$						
III	Pre	Pre-duplication progenitor of $\alpha^D$ and $\alpha^E$					
IV	Ancestral avian $\alpha^D$						

Table 9. Predicted IHP binding affinities for the  $\alpha$ - and  $\beta$ -chain polyphosphate binding sites in homology-based models of pheasant HbA and HbD.

IHP binding site	IHP binding energy (kcal/mol)
α-chain <sup>a</sup>	-5.1
β-chain <sup>b</sup>	-6.1
$\alpha$ -chain <sup>a</sup>	-6.6
β-chain <sup>b</sup>	-6.2

<sup>a</sup>The 'additional' polyphosphate binding site is formed by seven charged residues at or near the N- and C-termini of the  $\alpha$ -chain subunits (sites 1, 95, 99, 134, 137, 138, and 141; Tamburrini *et al.* [2000]).

<sup>b</sup>The main polyphosphate binding site is formed by seven charged residues at or near the N- and C-termini of the  $\beta$ -chain subunits (sites 1, 2, 82, 135, 136, 139, and 143; Tamburrini *et al.* [2000]).

## Appendix B.

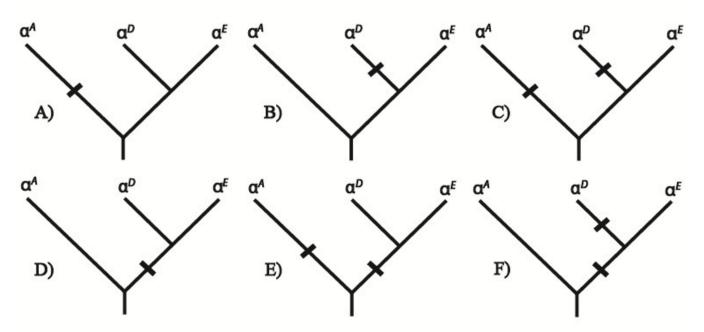


Figure 1. Hypothetical scenarios depicting the phylogenetic distribution of amino acid substitutions that are responsible for functional differentiation between the co-expressed HbA and HbD isoforms in birds. The phylogeny represented in each panel depicts the known branching relationships among the  $\alpha A$ -,  $\alpha D$ -, and  $\alpha E$ -globin genes. At any given site, fixed differences between the  $\alpha A$ - and  $\alpha D$ -globin genes could be attributable to (A) a substitution that occurred on the branch leading to  $\alpha A$ -globin, (B) a substitution that occurred on the post-duplication branch leading to  $\alpha D$ -globin and on the post-duplication branch leading to  $\alpha D$ -globin and on the post-duplication branch leading to  $\alpha D$ -globin, (D) a substitution that occurred on the pre-duplication branch leading to the single-copy progenitor of  $\alpha D$ - and  $\alpha E$ -globin, (E) substitutions that occurred on the pre-duplication branch leading to the single-copy progenitor of  $\alpha D$ - and  $\alpha E$ -globin, (E) substitutions that occurred on the pre-duplication branch leading to the single-copy progenitor of  $\alpha D$ - and  $\alpha E$ -globin, (E) substitutions that occurred on the pre-duplication branch leading to the  $\alpha D/\alpha E$  ancestor, or (F) substitutions that occurred on the pre-duplication branch leading to  $\alpha D$ -globin.

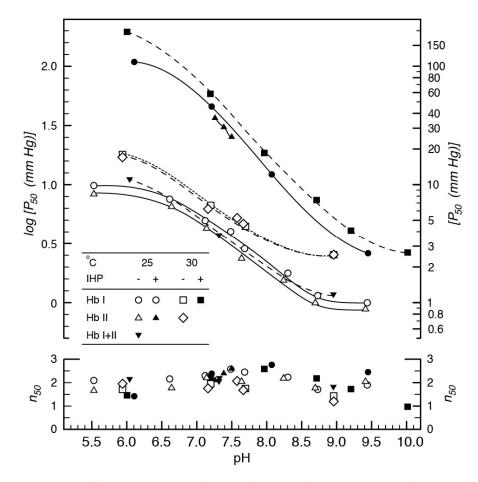


Figure 2. O2 affinity and cooperativity (P50 and n50, respectively) of pheasant HbA and HbD as a function of pH, temperature, and in the absence and presence of IHP (IHP/Hb4 ratio = 23.5). O2 equilibria were measured in 0.1 M NaHEPES buffer containing 0.1 M KCl. Heme concentration, 0.08 mM (HbA) and 0.11 mM (HbD) and 0.10 (HbA +D).

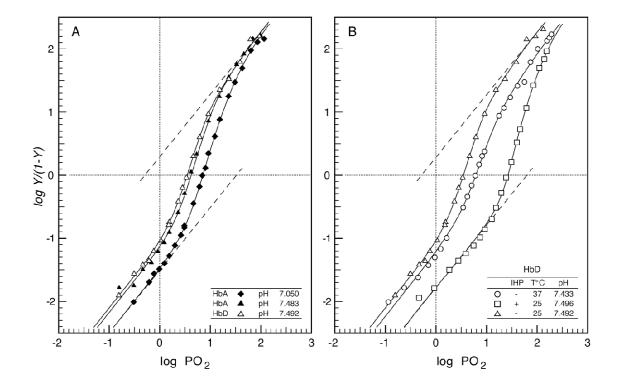


Figure 3. Extended Hill plots of O2 equilibria (where Y = fractional O2 saturation) for pheasant HbA and HbD. (A) HbA and HbD at  $25^{\circ}$ C; (B) HbD at 25 and 37°C and in the absence and presence of saturating IHP concentration (IHP/Hb ratio = 23.5). In each plot, the intercept of the lower asymptote with the horizontal line at logY/(Y-1) = 0 provides an estimate of KT, the O2 association constant of T-state deoxyHb, and the intercept of the upper asymptote with the same line provides an estimate of KR, the O2 association constant of R-state oxyHb. Heme concentration 0.60 (HbA and HbD); other conditions as described in the legend for Figure 2.

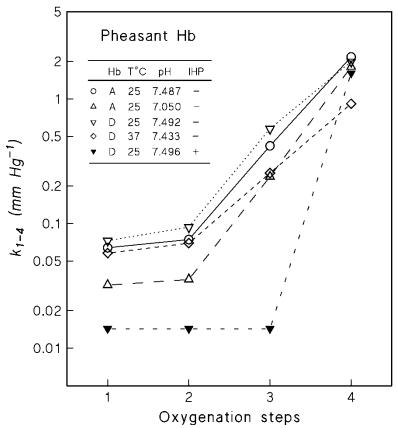


Figure 4. Adair constants (k1, k2, k3, and k4) for pheasant HbA and HbD as a function of temperature, pH, and the absence and presence of IHP (derived from data shown in Figure 2).

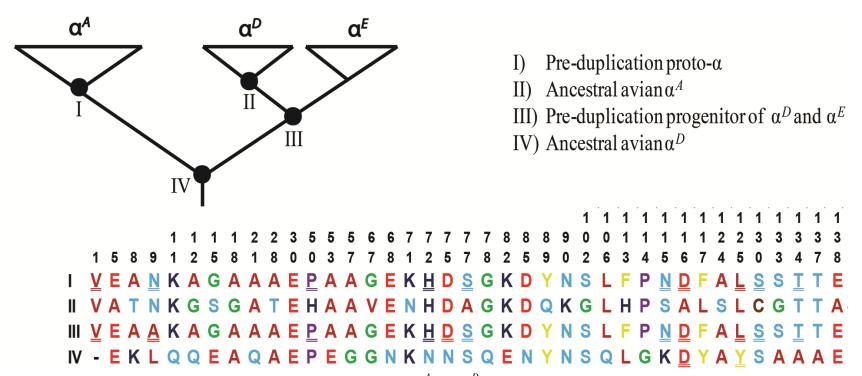


Figure 5. Reconstructed ancestral states of 39 sites that distinguish the  $\alpha^{A}$ - and  $\alpha^{D}$ -globin polypeptides. As shown in the inset phylogeny of  $\alpha$ -like globin genes, ancestral states for each of the 39 sites were reconstructed for 4 separate nodes in the tree. Amino acids are color coded by their distinct physicochemical properties, and are double underscored if the posterior probability < 0.8.

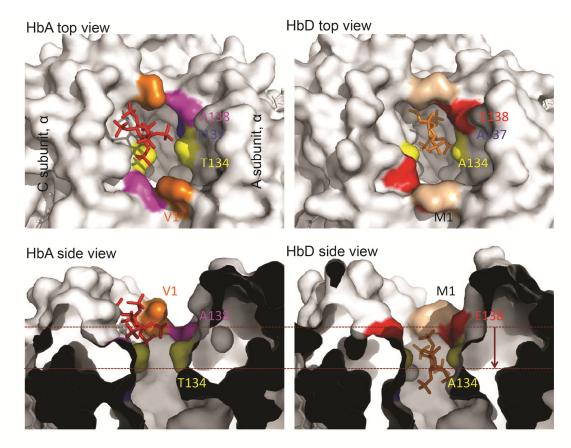


Figure 6. Homology-based structural models of pheasant HbA and HbD showing predicted differences in the stereochemistry of IHP binding between the N- and C-termini of the  $\alpha$ -chain subunits.