

# METRIC PROJECTIONS ONTO SUBSPACES OF FINITE CODIMENSION

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**1. Introduction.** Let  $E$  be a real normed vector space and let  $S$  be a subset of  $E$ . For  $x$  in  $E$ , we denote by  $T(x)$  the set of nearest points in  $S$  to  $x$ , i.e.  $T(x) = \{y \text{ in } S: \|x - y\| = \text{dist}(x, S)\}$ . If  $T(x)$  is nonvoid for all  $x$  in  $E$ , then  $S$  is an  $E$ -subset. If  $T(x)$  contains at most one point for  $x$  in  $E$ , then  $S$  is a  $U$ -subset. If  $S$  is both an  $E$ -subset and a  $U$ -subset, then  $S$  is an  $EU$ -subset. (An  $EU$ -subset is often called a *Chebyshev* set. Our terminology is that of [3].) The set-valued mapping  $T$  is called the *metric projection onto  $S$* . In case  $S$  is an  $EU$ -subset, we regard  $T$  as a point-valued mapping.

The principal result of this paper is the following (which will follow from the slightly stronger Theorem 3):

**THEOREM.** *If  $X$  is an infinite compact Hausdorff space and  $P$  is an  $EU$ -space of finite codimension greater than one in  $C(X)$ , then the metric projection onto  $P$  is discontinuous.*

This result is somewhat surprising since the first example of a discontinuous metric projection onto an  $EU$ -subset was given only recently [7; 87]. Other examples have since been given by Holmes and Kripke [6] and by Cheney and Wulbert [3].

Throughout this paper we are concerned with metric projections onto subspaces of finite codimension. In §2, we take  $E$  to be a space of the type  $C(X)$ , for  $X$  a compact Hausdorff space. Other results on this topic are found in [10] and [5]. Besides the theorem stated above, we obtain (Theorem 1) a characterization of those finite codimensional  $E$ -subspaces which have the property that  $T(f)$  is finite dimensional for every  $f$  in  $C(X)$ .

In §3, we are concerned with spaces of the type  $L_1(S, \Sigma, \mu)$  where  $(S, \Sigma, \mu)$  is a  $\sigma$ -finite measure space. We characterize the  $U$ -subspaces of finite codimension and also characterize those  $EU$ -subspaces of finite codimension for which the metric projection is continuous and those for which the metric projection is linear.

In dealing with a space of the type  $C(X)$ , we identify the dual space  $C(X)^*$  with the space  $rca(X)$  of regular Borel measures on  $X$ . For  $\mu$  in  $C(X)^*$ ,  $\mu^+$ ,  $\mu^-$  denote, respectively, the positive and negative parts of  $\mu$  and  $\text{supp}(\mu)$  denotes the support of  $\mu$  [4].

For  $P$  a subspace of a normed vector space  $E$  with dual  $E^*$  and  $T$  the metric projection onto  $P$ ,  $P^\perp = \{x^* \text{ in } E^*: x^*(x) = 0 \text{ for all } x \text{ in } P\}$  and  $P^0 = \{x \text{ in } E: 0 \text{ is in } T(x)\}$ . A subset  $S$  of  $E$  is *boundedly compact* if  $S \cap \{y: \|x - y\| \leq r\}$  is compact for all  $x$  in  $E$  and  $r > 0$ .

We state the following known results here for convenience.

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**THEOREM A.** ([11], also see [2]) *Let  $P$  be a subspace of  $E$ . Then  $P^0 = \cup \{W_f : f \text{ in } P^\perp, \|f\| = 1\}$ , where  $W_f = \{x \text{ in } E: f(x) = \|x\|\}$ . Also, for each  $x \text{ in } E$ ,  $T(x) = (x + P^0) \cap P$ .*

**THEOREM B.** [10] *Let  $P$  be an  $E$ -subspace of finite codimension in  $C(X)$ . Then each  $\mu$  in  $P^\perp$  attains its supremum on the unit sphere of  $C(X)$ , and therefore  $\text{supp } (\mu^+) \cap \text{supp } (\mu^-) = \phi$ .*

**THEOREM C.** [3] *Let  $P$  be an  $EU$ -subspace of finite codimension in  $E$ . Then the metric projection onto  $P$  is continuous if and only if  $P^0$  is boundedly compact.*

We shall prove a result (Theorem 2) slightly stronger than Theorem C.

We denote the set-theoretic difference of the sets  $A$  and  $B$  by  $A \setminus B$ . Throughout,  $N$  denotes the set of positive integers. The cardinality of a set  $A$  is denoted by  $\text{card } (A)$ . If  $A$  is contained in a topological space, its closure is denoted by  $\text{Cl}A$ .

**2. Spaces of the type  $C(X)$ .** Throughout this section,  $X$  denotes a compact Hausdorff space and  $P$  denotes a closed subspace of finite codimension in the real space  $C(X)$ .

**DEFINITION.** Let  $T$  be the metric projection onto a subspace  $Q$  in the space  $E$ . Then  $Q$  is an *EF-subspace* if  $T(x)$  is a nonvoid finite dimensional set for every  $x$  in  $E$ .

*EF*-subspaces played an important role in [1].

We have the following

**THEOREM 1.** *Let  $P$  be an  $E$ -subspace. Then  $P$  is an *EF*-subspace if and only if  $X \setminus \text{supp } (\mu)$  is finite for every  $\mu$  in  $P^\perp \setminus \{0\}$ .*

*Proof.* Let  $T$  be the metric projection onto  $P$ . ( $\Leftarrow$ ) Assume  $X \setminus \text{supp } (\mu)$  is finite for every  $\mu$  in  $P^\perp \setminus \{0\}$ . For any  $f$  in  $C(X)$  and  $p$  in  $T(f)$ ,  $f - p$  is in  $P^0$  and  $T(f - p) = T(f) - p$ . Hence it suffices to show that  $T(f)$  is finite-dimensional for  $f$  in  $P^0$ . We may assume that  $\|f\| = 1$ . There exists (Theorem A)  $\mu$  in  $P^\perp$  such that  $\|\mu\| = 1$  and  $\int_X f d\mu = 1$ . Then  $f = 1$  on  $\text{supp } (\mu^+)$  and  $f = -1$  on  $\text{supp } (\mu^-)$ . Let  $p$  be in  $T(f)$ . Since  $\|f - p\| = 1$ ,  $p \geq 0$  on  $\text{supp } (\mu^+)$  and  $p \leq 0$  on  $\text{supp } (\mu^-)$ . However,  $\int_X p d\mu = 0$ , so that  $p$  vanishes identically on  $\text{supp } (\mu)$ . Thus  $T(f) \subseteq \{h \text{ in } C(X): h \text{ vanishes on } \text{supp } (\mu)\}$ , and this set is finite-dimensional because  $X \setminus \text{supp } (\mu)$  is finite.

( $\Rightarrow$ ) Let  $n$  be the codimension of  $P$ . Suppose  $X \setminus \text{supp } (\mu)$  is infinite for some nonzero  $\mu$  in  $P^\perp$ . We can assume that  $\|\mu\| = 1$ . Let  $U_1$  be a non-void open subset of  $X$  such that  $\text{Cl}U_1 \subseteq X \setminus \text{supp } (\mu)$  and such that  $X \setminus (\text{supp } (\mu) \cup \text{Cl}U_1)$  is infinite. Then let  $U_2$  be a non-void open subset such that  $\text{Cl}U_2 \subseteq X \setminus (\text{supp } (\mu) \cup \text{Cl}U_1)$  and such that  $X \setminus (\text{supp } (\mu) \cup \text{Cl}U_1 \cup \text{Cl}U_2)$  is infinite. Continuing in this fashion, we obtain a sequence  $\{U_1, U_2, \dots\}$  of non-void open sets such that  $\text{Cl}U_i \subseteq X \setminus \text{supp } (\mu)$  for each  $i$  in  $N$ , and such that  $\text{Cl}U_i \cap$

$ClU_i = \phi$ , for  $i, j$  in  $N$ ,  $i \neq j$ . For each  $i$  in  $N$  let  $h_i$  be in  $C(X)$  such that  $\|h_i\| = 1$ ,  $h_i$  is 1 on  $\text{supp } (\mu^+)$ ,  $h_i$  is  $-1$  on  $\text{supp } (\mu^-)$ , and such that  $h_i$  vanishes on  $ClU_i$ . The existence of  $h_i$  is ensured by Theorem B. Let  $h = \sum_1^\infty (\frac{1}{2})^i h_i$  in  $C(X)$ . Then  $h$  is 1 on  $\text{supp } (\mu^+)$  and  $h$  is  $-1$  on  $\text{supp } (\mu^-)$ . Since, also,  $\|h\| = 1$ ,  $h$  is in  $P^0$ . Also, for each  $i$  in  $N$ ,  $M_i = \max \{|h(x)|: x \text{ in } ClU_i\} < 1$ . For each  $i$  in  $N$  let  $p_i$  be a non-zero function in  $C(X)$  which vanishes outside  $U_i$ . For each  $k$  in  $N$ , choose a non-zero linear combination  $q_k$  of functions  $p_{kn+1}, p_{kn+2}, \dots, p_{kn+n}$  such that  $\|q_k\| \leq 1 - M_i, i = kn + 1, kn + 2, \dots, kn + n$ , and such that  $q_k$  is in  $P$ . This is possible since  $P^\perp$  is  $n$ -dimensional and since  $p_i$  vanishes on  $\text{supp } (\mu)$ , for each  $i$  in  $N$ . Now  $\|h - q_k\| = 1$  for all  $k$  in  $N$ . Therefore the infinite linearly independent set  $\{q_1, q_2, \dots\}$  is contained in  $T(h)$  so that  $T(h)$  is infinite dimensional.

This completes the proof.

We can easily give an example of an  $EF$ -subspace  $P$  which is not an  $EU$ -subspace. We work with the sequence space  $l_\infty = C(X)$ , where  $X$  is the Stone-Ćech compactification of  $N$ . Let  $m_1 = (0, 0, \frac{1}{2}, \frac{1}{4}, \dots)$  and let  $m_2 = (0, 0, \frac{1}{3}, \frac{1}{9}, \dots)$ . Then  $m_1, m_2$  are in  $l_1$ . Since  $l_1^* = l_\infty$ , we will consider elements in  $l_\infty$  as acting as functionals on  $l_1$ . Define  $P_i = \{x \text{ in } l_\infty : x(m_i) = 0\}, i = 1, 2$ , and let  $P = P_1 \cap P_2$ . Then  $P$  is a weak\*-closed subspace of codimension 2 in  $l_\infty$ . Hence it is an  $E$ -subspace. By Theorem 1,  $P$  is an  $EF$ -subspace. However it is not an  $EU$ -subspace by Proposition 6 of [10]. It is clear that, in a similar manner, subspaces of all finite codimensions may be constructed which are  $EF$ -subspaces but not  $EU$ -subspaces.

**DEFINITION.** Suppose  $F$  is a mapping from a topological space  $Y$  into the set of subsets of  $Y$ . Then  $F$  is *upper semi-continuous* [8] if  $\{y \text{ in } Y : F(y) \subseteq U\}$  is open whenever  $U$  is open.

For results concerning upper semi-continuity and other continuity conditions on set-valued metric projections, see [1] and the references cited there.

**THEOREM 2.** *Let  $E$  be a normed vector space and let  $Q$  be an  $E$ -subspace of finite codimension in  $E$ . Let  $T$  be the metric projection onto  $Q$ . Then  $Q^0$  is boundedly compact if and only if  $T$  is upper semi-continuous, and  $T(x)$  is compact for each  $x$  in  $E$ .*

*Proof.*  $(\Rightarrow)$  Assume  $Q^0$  is boundedly compact. Then  $T(x) = (x + Q^0) \cap Q$  (Theorem A) is clearly compact for each  $x$  in  $E$ . Suppose  $T$  is not upper semi-continuous. Then there exists an open set  $U, x_0$  in  $E$ , and a sequence  $\{x_n\}$  converging to  $x_0$  such that  $T(x_0) \subseteq U$  but  $T(x_n) \not\subseteq U$  for all  $n$  in  $N$ . For  $n$  in  $N$ , define  $S_n = x_n - T(x_n)$ . Then  $S_n \subseteq Q^0$ . Since  $T(x_n) \not\subseteq U, S_n \not\subseteq x_n - U$ . For each  $n$  in  $N$  chose  $w_n$  in  $S_n \setminus (x_n - U)$ . Since  $\{w_n\}$  is a bounded sequence in  $Q^0$ , it has a subsequence  $\{w_{n_i}\}$  which converges to, say,  $w$  in  $Q^0$ . We show that  $w$  is not in  $x_0 - U$ . Suppose, on the contrary, that  $w$  is in  $x_0 - U$  and let  $\epsilon > 0$  be such that  $\{y: \|w - y\| < 2\epsilon\} \subseteq x_0 - U$ . Now choose  $n$  in  $N$  such that  $\|x_0 - x_n\| < \epsilon$  and such that  $\|w_n - w\| < \epsilon$ . Then

$$\|w - w_n + x_n - x_0\| \leq \|w_n - w\| + \|x_n - x_0\| < 2\epsilon.$$

Hence  $w_n - x_n + x_0$  is in  $x_0 - U$  so that  $w_n - x_n$  is in  $-U$ . Thus  $w_n$  is in  $x_n - U$ . But this contradicts the choice of  $w_n$ . Therefore  $w$  is not in  $x_0 - U$ . Now we show that  $x_0 - w$  is in  $T(x_0)$ . Now, for each  $i$  in  $N$ ,  $x_{n_i} - w_{n_i}$  is in  $T(x_{n_i})$  so that

$$\|w_{n_i}\| \leq \|x_{n_i} - q\|, \quad \text{for all } q \text{ in } Q.$$

Taking the limit as  $i \rightarrow \infty$ , we get

$$\|w\| \leq \|x_0 - q\|, \quad \text{for all } q \text{ in } Q.$$

Therefore,  $x_0 - w$  is in  $T(x_0)$ . But  $w$  is not in  $x_0 - U$  so that  $x_0 - w$  is not in  $U$ . This is impossible since  $T(x_0) \subseteq U$ . Thus  $T$  is upper semi-continuous. ( $\Leftarrow$ ) Suppose  $T(x)$  is compact for each  $x$  in  $E$  and  $T$  is upper semi-continuous, but  $Q^0$  is not boundedly compact. Let  $\{x_n\}$  be a bounded sequence in  $Q^0$  without a convergent subsequence. Since the space  $E/Q$  is finite dimensional and since  $\{x_n + Q\}$  is bounded in  $E/Q$ ,  $\{x_n + Q\}$  has a convergent subsequence and we assume, without loss of generality, that  $x_n + Q \rightarrow x + Q$ . For each  $n$  in  $N$ , choose  $q_n$  in  $T(x_n - x)$ . Then

$$\|x_n - q_n - x\| = \text{dist}(x_n - x, Q) \rightarrow 0.$$

Therefore,  $x_n - q_n \rightarrow x$ . Now  $T(x_n - q_n) = T(x_n) - q_n$  so that  $T(x_n - q_n)$  contains  $-q_n = (x_n - x - q_n) + (x - x_n)$ . By the upper semi-continuity of  $T$ , we may, by passing to a subsequence if necessary, find, for each  $n$  in  $N$ ,  $z_n$  in  $T(x)$  such that

$$\|(x_n - x - q_n) + (x - x_n) - z_n\| < 1/n.$$

Since  $T(x)$  is compact, we may, without loss of generality, assume that  $\{z_n\}$  converges to, say,  $z$  in  $T(x)$ . We have

$$\begin{aligned} \|(x - z) - x_n\| &\leq \|(x_n - x - q_n) + (x - x_n) - z_n\| \\ &\quad + \|z - z_n\| + \|x_n - x - q_n\| \rightarrow 0. \end{aligned}$$

Hence  $x_n \rightarrow x - z$ , which contradicts the assumption that  $\{x_n\}$  has no convergent subsequence. Thus  $Q^0$  is boundedly compact.

This completes the proof.

We remark that the proof given above is a modification of the proof of Theorem C given in [3].

**THEOREM 3.** *Suppose  $P$  is an EF-subspace of finite codimension greater than 1 and let  $T$  be the metric projection onto  $P$ . If  $X$  is infinite, then  $T$  is not upper semi-continuous.*

*Proof.* Suppose  $P^0$  is boundedly compact. Define, for  $x, y$  in  $X$ ,  $x \sim y$  if and only if  $h(x) = h(y)$  for all  $h$  in  $P^0$ . Then  $\sim$  is an equivalence relation and the quotient space  $Y = X/\sim$  is a compact Hausdorff space. Under the

natural identification,  $C(X) \supseteq C(Y) = \{f \text{ in } C(X) : x \sim y \text{ implies } f(x) = f(y)\}$ . Now  $P^0$  separates the points of  $Y$ . We want to show that  $P^0$  actually contains enough extreme points of the unit sphere of  $C(X)$  (i.e. functions  $e$  in  $C(X)$  such that  $|e| = 1$ ) to separate the points of  $Y$ . Let  $x, y$  be points of  $X$  belonging to different  $\sim$ -equivalence classes. By definition of the relation  $\sim$ , there exists a function  $h$  in  $P^0$  such that  $h(x) \neq h(y)$ . We may assume that  $\|h\| = 1$ . By Theorem A, there exists  $\mu$  in  $P^\perp$  such that  $\int_x h \, d\mu = 1 = \|\mu\|$ . Thus  $h = 1$  on  $\text{supp } (\mu^+)$  and  $h = -1$  on  $\text{supp } (\mu^-)$ . By Theorem 1,  $X \setminus (\text{supp } (\mu^+) \cup \text{supp } (\mu^-))$  is a finite set. It is also open and therefore each of its points is isolated. It is now clear that we may choose a function  $e$  in  $C(X)$  such that  $|e| = 1$ ,  $e$  agrees with  $h$  on  $\text{supp } (\mu^+) \cup \text{supp } (\mu^-)$ , and such that  $e(x) \neq e(y)$ . Since  $\int_x e \, d\mu = \int_x h \, d\mu = 1$ ,  $e$  is in  $P^0$ , and we have proved that  $P^0$  contains enough extreme points of the unit sphere of  $C(X)$  to separate the points of  $Y$ . However the distance between two distinct extreme points is two. Therefore, since  $P^0$  is boundedly compact, it contains only finitely many extreme points. From these facts, we see that  $Y$  is finite. Since  $X$  is infinite, there exists an infinite  $\sim$ -equivalence class  $E \subseteq X$ . Clearly  $E$  is both open and closed. We now show that if  $\mu$  is in  $P^\perp \setminus \{0\}$ , then  $\mu(E) \neq 0$ . To do this, suppose  $\mu(E) = 0$ . Since, by Theorem 1,  $X \setminus \text{supp } (\mu)$  is finite,  $E \cap \text{supp } (\mu^+)$  and  $E \cap \text{supp } (\mu^-)$  must both be non-void. By Theorem B,  $\text{supp } (\mu^+) \cap \text{supp } (\mu^-) = \phi$ , and therefore there exists a function  $h$  in  $C(X)$  such that  $\|h\| = 1$ ,  $h = 1$  on  $\text{supp } (\mu^+)$ , and  $h = -1$  on  $\text{supp } (\mu^-)$ . Hence  $\int_x h \, d\mu = \|\mu\|$  and therefore  $h$  is in  $P^0$ . However  $h$  is not constant on  $E$ , which is impossible since  $E$  is a  $\sim$ -equivalence class. Thus we have proved that  $\mu(E) \neq 0$  for  $\mu$  in  $P^\perp \setminus \{0\}$ . Now let  $\mu_1, \mu_2$  be linearly independent measures in  $P^\perp$  and let  $\mu = \mu_1(E)\mu_2 - \mu_2(E)\mu_1$ . Then  $\mu$  is in  $P^\perp \setminus \{0\}$  but  $\mu(E) = 0$ , and so we reach a contradiction. Thus  $P^0$  is not boundedly compact, and therefore  $T$  is not upper semi-continuous by Theorem 2.

This completes the proof.

In case  $P$  is an  $EU$ -subspace, upper semi-continuity of  $T$  is equivalent to continuity. Thus we have the result mentioned earlier:

**THEOREM 4.** *If  $X$  is an infinite compact Hausdorff space and  $P$  is an  $EU$ -subspace of finite codimension greater than one in  $C(X)$ , then the metric projection onto  $P$  is discontinuous.*

We remark that  $EU$ -subspaces of finite codimension greater than one in spaces of the type  $C(X)$  are not at all rare. For example, A. L. Garkavi [5] has shown that if  $X$  is a compact metric space with the property that its isolated points are dense, then  $C(X)$  contains  $EU$ -subspaces of all finite codimensions.

**3. Spaces of the type  $L_1(S, \Sigma, \mu)$ .** In this section,  $(S, \Sigma, \mu)$  denotes a  $\sigma$ -finite measure space, i.e.  $S$  is a non-void set,  $\Sigma$  is a  $\sigma$ -algebra of subsets of  $S$ , and  $\mu$  is a  $\sigma$ -finite measure defined on  $\Sigma$ . If  $a$  in  $\Sigma$  is such that  $0 < \mu(a) < \infty$  and such that  $B$  in  $\Sigma$ ,  $B \subseteq a$  implies  $\mu(B) = 0$  or  $\mu(B) = \mu(a)$ , then  $a$  is an *atom*

[4]. It is well known that a measurable function is constant a.e. on an atom. For  $B$  in  $\Sigma$ ,  $at B$  denotes the set  $\{a \text{ in } \Sigma: a \text{ is an atom and } a \text{ is contained in } B\}$ . Since  $(S, \Sigma, \mu)$  is  $\sigma$ -finite,  $at S$  is countable. We call the set  $B$  in  $\Sigma$  *purely atomic* if  $\mu(B \setminus \cup \{a: a \text{ in } at B\}) = 0$ .

Throughout this section,  $P$  denotes a closed subspace of finite codimension in  $L_1(S, \Sigma, \mu)$ . We identify the dual  $L_1(S, \Sigma, \mu)^*$  with  $L_\infty(S, \Sigma, \mu)$ . For  $f$  in  $L_\infty(S, \Sigma, \mu)$ , the set  $\text{crit}(f)$  is defined, up to a set of measure zero, by:  $\text{crit}(f) = \{s \text{ in } S: |f(s)| = \|f\|\}$ . Finally, if  $h$  is in  $L_1(S, \Sigma, \mu)$ ,  $\text{supp}(h)$  is defined, up to a set of measure zero, by  $\text{supp}(h) = \{s \text{ in } S: h(s) \neq 0\}$ .

**THEOREM 5.** *The subspace  $P$  is a  $U$ -space if and only if, for each  $f$  in  $P^\perp \setminus \{0\}$ , the following conditions hold: (i)  $\text{crit}(f)$  is purely atomic; (ii) if  $A \subseteq \text{crit}(f)$  and  $0 < \text{card}(at A) = k < \infty$ , then the set of restrictions  $P^\perp \upharpoonright A$  is  $k$ -dimensional.*

*Note.* Condition (ii) implies that  $\text{card}(at \text{crit}(f))$  does not exceed the codimension of  $P$ .

*Proof.* ( $\Rightarrow$ ) Suppose  $P$  is a  $U$ -space and let  $f$  be in  $P^\perp \setminus \{0\}$ . We may assume that  $\|f\| = 1$ . First suppose  $\text{crit}(f)$  is not purely atomic. Then there exists a set  $B$  in  $\Sigma$  such that  $0 < \mu(B) < \infty$ ,  $at B = \phi$ , and  $|f| = 1$  a.e. on  $B$ . Let  $B_1, B_2, \dots, B_{n+1}$  be pairwise disjoint subsets of  $B$  such that  $\mu(B_i) > 0$  for  $i = 1, 2, \dots, n + 1$ . Let  $f_i = f$  and let  $\{f_1, f_2, \dots, f_n\}$  be a basis for  $P^\perp$ . There exist numbers  $r_1, r_2, \dots, r_{n+1}$ , not all zero, such that

$$\sum_{i=1}^{n+1} r_i \int_{B_i} f_i d\mu = 0, \quad j = 1, 2, \dots, n.$$

We may assume that  $|r_i| < 1/\sum_{i=1}^{n+1} \mu(B_i)$ , for  $i = 1, 2, \dots, n + 1$ . Define  $p$  by:

$$p(x) = \begin{cases} r_i, & x \text{ in } B_i, \quad i = 1, 2, \dots, n + 1 \\ 0, & \text{otherwise.} \end{cases}$$

Then  $p$  is in  $P$ . Define  $h$  by:

$$h(x) = \begin{cases} f(x) / \sum_{i=1}^{n+1} \mu(B_i), & x \text{ in } B_i, \quad i = 1, 2, \dots, n + 1 \\ 0, & \text{otherwise.} \end{cases}$$

Then  $\|h\| = 1$  and  $\int_S hf d\mu = 1$ , so that  $h$  is in  $P^0$ . However,

$$\begin{aligned} \|h - p\| &= \int_S |h - p| d\mu = \sum_{i=1}^{n+1} \int_{B_i} |h - p| d\mu = \sum_{i=1}^{n+1} \int_{B_i} (fh - fp) d\mu \\ &= 1 - \sum_{i=1}^{n+1} \int_{B_i} r_i f d\mu = 1. \end{aligned}$$

Thus  $p$  is in  $T(h)$  and  $p \neq 0$ . Therefore the assumption that  $P$  is a  $U$ -space is contradicted. Hence  $\text{crit}(f)$  is purely atomic.

Now let  $A \subseteq \text{crit}(f)$  with  $0 < \text{card}(atA) = k < \infty$ . Suppose the dimension of  $P^\perp \upharpoonright A < k$ . Write  $atA = \{a_1, a_2, \dots, a_k\}$ . There exist  $r_1, r_2, \dots, r_k$ , not all zero, such that

$$\sum_{i=1}^k r_i g(a_i) = 0, \quad \text{for all } g \text{ in } P^\perp.$$

We can assume that  $|r_i| < \mu(a_i) / \sum_{i=1}^k \mu(a_i)$ , for all  $i = 1, 2, \dots, k$ . Define  $p$  in  $L_1(S, \Sigma, \mu)$  by:

$$p(x) = \begin{cases} r_i / \mu(a_i), & \text{if } x \text{ is in } a_i, \quad i = 1, 2, \dots, k. \\ 0, & x \text{ not in } A. \end{cases}$$

Then  $p$  is in  $P$ . Define  $h$  by:

$$h(x) = \begin{cases} f(a_i) / \sum_{i=1}^k \mu(a_i), & x \text{ in } a_i, \quad i = 1, 2, \dots, k \\ 0, & x \text{ not in } A. \end{cases}$$

Then  $h$  is in  $P^0$  and  $\|h\| = 1$ . However,

$$\begin{aligned} \|h - p\| &= \sum_{i=1}^k |h(a_i) - p(a_i)| \mu(a_i) = \sum_{i=1}^k f(a_i)(h(a_i) - p(a_i))\mu(a_i) \\ &= \sum_{i=1}^k \left[ \mu(a_i) / \sum_{i=1}^k \mu(a_i) \right] - \sum_{i=1}^k r_i f(a_i) = 1. \end{aligned}$$

Since  $p \neq 0$ , this contradicts the assumption that  $P$  is a  $U$ -subspace.

Therefore (i) and (ii) are satisfied.

( $\Leftarrow$ ) Suppose  $P$  is not a  $U$ -space. Then there exists  $h$  in  $P^0$ ,  $\|h\| = 1$ , and  $p$  in  $P$ ,  $p \neq 0$ , such that  $\|h \pm p\| = 1$ . There exists  $f$  in  $P^\perp$  such that  $\|f\| = 1$  and  $\int_S fh \, d\mu = 1$ . Suppose (i) and (ii) hold for  $f$ . Clearly  $\text{supp}(h) \subseteq \text{crit}(f)$ . We first show that  $\text{supp}(h) \supseteq \text{supp}(p)$ . Without loss of generality, we may assume that  $|p(a)| < |h(a)|$ , for every atom  $a \subseteq \text{supp}(h)$ . If  $\text{supp}(p) \not\subseteq \text{supp}(h)$ , then, letting  $\Sigma$  denote summation over the elements  $a$  in  $at(\text{supp}(h))$ , we have, for each  $r$  in  $[-1, 1]$ ,

$$\sum |h(a) - rp(a)| \mu(a) < 1.$$

But  $\text{sgn}(h(a) - rp(a)) = \text{sgn } h(a) = \text{sgn } f(a)$ , for  $a$  in  $at(\text{supp}(h))$  and hence

$$\sum (f(a)h(a) - rf(a)p(a))\mu(a) < 1, \quad r \text{ in } [-1, 1].$$

Thus,

$$1 - r \sum f(a)p(a)\mu(a) < 1, \quad r \text{ in } [-1, 1].$$

Therefore,

$$r \sum f(a)p(a)\mu(a) > 0, \quad r \text{ in } [-1, 1],$$

which is absurd. Thus  $\text{supp}(p) \subseteq \text{supp}(h)$ .

Let  $k = \text{card } (at \text{ supp } (h))$ . Then  $P^\perp \upharpoonright \text{supp } (h)$  is  $k$ -dimensional. But this leads to a contradiction because  $p(a) \neq 0$  for some  $a$  in  $at \text{ (supp } (h))$  and the following linear equations hold, for  $\{f_1^*, f_2^*, \dots, f_k^*\}$  a basis for  $P^\perp \upharpoonright \text{supp } (h)$  and  $\Sigma$  retaining its former meaning,

$$\sum f_i^*(a)p(a)\mu(a) = 0, \quad i = 1, 2, \dots, k.$$

This completes the proof.

We define  $A_p = \cup \{at \text{ crit } (f) : f \text{ in } P^\perp \setminus \{0\}\}$ . We have:

**THEOREM 6.** *Let  $P$  be an  $EU$ -subspace. Then the metric projection  $T$  onto  $P$  is continuous if and only if  $A_P$  is finite.*

*Proof.* ( $\Rightarrow$ ) Suppose  $T$  is continuous and  $A_P$  is infinite. Let  $\{a_1, a_2, \dots\}$  be distinct atoms in  $A_P$  and let, for  $i$  in  $N$ ,  $f_i$  be in  $P^\perp \setminus \{0\}$  such that  $\|f_i\| = 1$  and  $f_i = 1$  on  $a_i$ . For  $i$  in  $N$ , define  $h_i$  by:

$$h_i(x) = \begin{cases} 1/\mu(a_i), & x \text{ in } a_i \\ 0, & x \text{ not in } a_i. \end{cases}$$

Then, for each  $i$  in  $N$ ,  $\|h_i\| = 1$ , and  $\int_S h_i f_i d\mu = 1$ , so that  $h_i$  is in  $P^0$ . If  $i \neq j$ ,  $\|h_i - h_j\| = 2$  so that the bounded sequence  $\{h_1, h_2, \dots\}$  has no convergent subsequence. Thus  $P^0$  is not boundedly compact. But this is a contradiction because of Theorem C.

( $\Leftarrow$ ) Suppose  $A_P$  is finite. Then

$$P^0 \subseteq \{h \text{ in } L_1(S, \Sigma, \mu) : h \text{ vanishes outside } A_P\}$$

Since the latter set is obviously finite-dimensional,  $P^0$  is boundedly compact and  $T$  is continuous.

This completes the proof.

**COROLLARY 7.** *If  $atS$  is finite, then every metric projection onto a finite codimensional  $EU$ -subspace of  $L_1(S, \Sigma, \mu)$  is continuous.*

R. R. Phelps [9] pointed out that if  $atS = \phi$ , then there are no  $EU$ -subspaces of finite codimension in  $L_1(S, \Sigma, \mu)$ . On the other hand, it is not difficult to show that if  $atS \neq \phi$ , then there are  $EU$ -subspaces of every finite codimension not exceeding  $\text{card } (atS)$ . These can be chosen so as to have continuous metric projections. An example of a discontinuous metric projection onto an  $EU$ -subspace of codimension 2 in  $l_1$  was given in [3]. Their example can easily be modified to show the following.

**COROLLARY 8.** *If  $atS$  is infinite, then there is an  $EU$ -subspace of codimension 2 in  $L_1(S, \Sigma, \mu)$  with discontinuous metric projection.*

A metric projection onto an  $EU$ -subspace is always bounded and therefore continuous if linear. The converse is false as is shown by simple finite dimensional examples. We now prove the following.



**THEOREM 9.** *Let  $P$  be an  $EU$ -subspace with metric projection  $T$ . Then  $T$  is linear if and only if there exists  $f$  in  $P^\perp$  such that  $at(\text{crit}(f)) = A_P$ .*

*Proof.* It is known [6] that  $T$  is linear if and only if  $P^0$  is a subspace.

( $\Rightarrow$ ) Suppose  $at(\text{crit}(f)) \neq A_P$  for all  $f$  in  $P^\perp$ . Then there exists a finite set  $B \subseteq A_P$  such that  $at(\text{crit}(f)) \neq B$  for all  $f$  in  $P^\perp$ . To see this, suppose  $A_P$  is finite. Then we may take  $B = A_P$ . Suppose  $A_P$  is infinite. Then let  $B$  be any finite subset of  $A_P$  with cardinality greater than the codimension of  $P$ . Such a set satisfies the stated condition because of condition (ii) of Theorem 5. For each  $a$  in  $B$ , define  $h_a$  to be  $1/\mu(a)$  on  $a$  and 0 otherwise. Then each  $h_a$  is in  $P^0$ . Let  $h = \sum_{a \text{ in } B} h_a$ . Clearly  $\int_S hf \, d\mu < \|h\|$  for all  $f$  in  $P^\perp$  such that  $\|f\| = 1$ . Thus  $h$  is not in  $P^0$  and therefore  $P^0$  is not a subspace.

( $\Leftarrow$ ) Suppose there exists  $f$  in  $P^\perp$  such that  $at(\text{crit}(f)) = A_P$ . Let  $h_1, h_2$  be in  $P^0$ . Then  $h_1$  and  $h_2$  are supported on  $\cup\{a: a \text{ in } A_P\}$ . To show that  $h_1 + h_2$  is in  $P^0$ , it suffices to find  $g$  in  $P^\perp$  such that  $\|g\| = 1$  and  $g = \text{sgn}(h_1 + h_2)$  on  $\cup\{a: a \text{ in } A_P\}$ . Now the dimension of  $P^\perp \upharpoonright A_P$  is  $\text{card}(A_P)$  by Theorem 5. Thus there exists  $g$  in  $P^\perp$  such that  $g \upharpoonright A_P = \text{sgn}(h_1 + h_2)$ . Clearly  $\|g\| \geq 1$ . Suppose  $\|g\| > 1$ . By Proposition 2 of [10],  $g$  (as a functional on  $L_1(S, \Sigma, \mu)$ ) attains its supremum on the unit sphere of  $L_1(S, \Sigma, \mu)$ . Also, the set  $\{f \text{ in } L_1(S, \Sigma, \mu): \|f\| = 1 \text{ and } \int_S gf \, d\mu = \|g\|\}$  is a finite-dimensional [9; Theorem 1.4] extremal subset and therefore, using the Krein–Milman Theorem, contains an extreme point of the unit sphere of  $L_1(S, \Sigma, \mu)$ . Since each such extreme point vanishes outside an atom, we conclude that  $at(\text{crit}(g)) \not\subseteq A_P$ , which is absurd. Therefore  $\|g\| = 1$  and hence  $h_1 + h_2$  is in  $P^0$ . Since  $P^0$  is always closed under scalar multiplication,  $P^0$  is a subspace.

This completes the proof.

We conclude by giving an example of an  $EU$ -subspace of codimension 2 in  $l_1$  such that the metric projection  $T$  onto  $P$  is continuous but not linear. Let  $h_1$  be the sequence  $(1, 1, 0, 0, \dots)$  and  $h_2$  be  $(1, 0, 1, 0, 0, \dots)$ . Then  $h_1$  and  $h_2$  are in  $c_0$ . Since  $c_0^* = l_1$ , the subspace

$$P = \{a = (a_1, a_2, \dots) \text{ in } l_1 : a_1 + a_2 = a_1 + a_3 = 0\}$$

is weak\*-closed and therefore an  $E$ -subspace. Moreover  $P^\perp$  is the subspace of  $l_\infty$  spanned by  $h_1$  and  $h_2$ . Now  $l_1 = L_1(N, \Sigma, \mu)$  where  $N$  is the set of positive integers,  $\Sigma$  is the set of all subsets of  $N$ , and  $\mu$  is the counting measure. If  $\alpha h_1 + \beta h_2$  is in  $P^\perp \setminus \{0\}$ , then  $\text{crit}(\alpha h_1 + \beta h_2)$  is one of the sets:  $\{1, 2\}, \{1, 3\}, \{2, 3\}, \{1\}, \{2\}, \{3\}$ . By Theorem 5,  $P$  is a  $U$ -subspace. Since  $A_P = \{1, 2, 3\}$ ,  $T$  is continuous but not linear.

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