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Allison R. Jones, Student Dr. Susan K. Frazier, Major Professor Dr. Terry A. Lennie, Director of Graduate Studies

# OUTCOMES ASSOCIATED WITH BLOOD COMPONENT TRANSFUSION IN ADULT TRAUMA PATIENTS

# DISSERTATION

A dissertation submitted in partial fulfillment of the requirements for the degree of Doctor of Philosophy in the College of Nursing at the University of Kentucky

By Allison Roenker Jones

Lexington, Kentucky

Director: Dr. Susan K. Frazier, Associate Professor of Nursing

Lexington, Kentucky

2015

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#### ABSTRACT OF DISSERTATION

# OUTCOMES ASSOCIATED WITH BLOOD COMPONENT TRANSFUSION IN ADULT TRAUMA PATIENTS

The purpose of this dissertation was to evaluate outcomes associated with blood component (BC) transfusion in adult trauma patients. Specific aims were to: 1) explore the relationship between traumatic injury, hemorrhage, and BC transfusion, focusing on consequences of the component storage lesion through presentation of a conceptual model; 2) systematically review research literature comparing outcomes of massively transfused major trauma patients based on ratios of BCs received; 3) evaluating the relationship between type of blood transfusion trauma patients received (whole blood versus BCs) and mortality likelihood after controlling for demographic and clinical variables; 4) evaluating the relationship between volume and ratio of BCs transfused to trauma patients and development of inflammatory complications (ICs) after controlling for demographic and clinical variables.

Specific aim one was addressed through the development of a conceptual model, depicting the current state of knowledge regarding the storage lesion, and short-/longterm outcomes of traumatic injury, hemorrhage, and blood transfusion. The second specific aim was addressed through a systematic review of studies that grouped critically injured, massively transfused patients based on ratios of BCs they received, and compared clinical outcomes among groups. Findings from this analysis revealed increased survival likelihood with massive transfusion of BCs in a 1:1:1 (packed red blood cells [PRBCs], fresh frozen plasma [FFP], platelets [PLTs]) fashion. The third specific aim involved a secondary analysis of the National Trauma Data Bank to evaluate the relationship between type of transfusion trauma patients received (whole blood versus BCs) and mortality. Patients who received BCs experienced a higher mortality likelihood compared with those who received whole blood. The fourth specific aim was addressed through a secondary analysis of the Inflammation and Host Response to Injury Trauma-Related Data Base, to evaluate the relationship between volume and ratio of BCs transfused and development of ICs in patients with major trauma. Findings revealed that total transfused volume of PRBCs, injury severity, and comorbidities were associated with development of ICs. There were no differences in time to complication between PRBCs:FFP or PRBCs:PLTs ratio groups.

KEYWORDS:	Blood Transfusion, Blood Component, Trauma, Ratio, Stor	age Lesion
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March 23, 2015

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# OUTCOMES ASSOCIATED WITH BLOOD COMPONENT TRANSFUSION IN ADULT TRAUMA PATIENTS

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This dissertation is dedicated to my parents, Kenneth and Janice Roenker.

You have lived lives dedicated to education and service to others. Thank you for not only teaching me the value of education and the importance of giving of yourself, but for inspiring me to combine them into a passion and profession.

I could never properly express my gratitude for all you have done and all of your support, but I sincerely hope that this dissertation serves as a token of my appreciation and makes you proud.

All my love, Al.

#### **ACKNOWLEDGMENTS**

This work would not have been possible without the guidance and support of my committee. Dr. Susan Frazier, your constant encouragement, enthusiasm, and excitement for this dissertation have helped me realize my greatest potential and envision the endless possibilities this research provides for future endeavors. You have allowed me to see research as something impactful and meaningful. Thank you. Dr. Terry Lennie, I want to thank you for continuously challenging me throughout this process, and for being determined to make this work the best it could be. Dr. Debra Moser, I thank you for your honesty and your drive to help me succeed. Dr. Patricia "Mama" Howard, thank you for providing constant support in both my bedside and academic nursing careers; your endless encouragement and aid in all areas has been invaluable. Dr. Heather Bush, you are my statistics hero! You made a topic I found extremely difficult completely understandable – you have a gift, and I thank you for sharing it. You have all allowed me to continue to push myself in new directions, and I look forward to the next steps.

To my husband, Pete, I don't know that I could ever truly express my gratitude for every kind of support you have offered over the past five years. You have loved me unconditionally and tolerated me when I least deserved it. We have been blessed with an amazing opportunity to grow personally and professionally together through our graduate programs, and I wouldn't trade these years for anything. I love you, and I am so excited to see what is to come.

To my parents, I would not have been able to complete this degree or survive the past five years without your constant encouragement and support. I cannot express to you what this has meant to me. To Anne, thank you for allowing me to continue to be your baby sister. You keep me in touch with my lighter side and remind me that my crazy is a good kind of crazy. I love you for that. To Christy, I love so much being able to share the highs and lows that is a love of medicine and research with you. It is something I never anticipated, but have so much enjoyed developing this relationship with you. To my Brady man, my Reese cup, my Peach, and my Bubs – the greatest blessing of this journey has been the time it has allowed me to spend with you during your first few years in this world. You've helped me to play and laugh and be a child again when I most needed it. I could never thank you enough. Finally, to my amazing in-laws, Matt, Kevin, the Hicks, Jones, and Kamuf families, you have loved and supported me more than I could have asked for throughout this process. I am eternally grateful for your presence in my life.

To all of my friends and extended family – I could not begin to name everyone, but you know who you are. You've understood when I've missed events, bought me dinner after a rough week, cheered me on through every step of the way.

Dr. Jennifer Hatcher, you have been a tremendous mentor, both personally and professionally. Though I will say a simple thank you here, I believe you know how grateful I am for everything you've done. Ride or die!

To all of the incredible nurses I've known and had the honor of working with, inside and outside of my graduate work, we have developed intense friendships in the most unique of environments. Thank you for sharing your experiences and your lives with me.

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#### **CHAPTER ONE**

# Introduction

Trauma affects people of all ages, and remains one of the top five leading causes of death in the United States.(1) Uncontrolled hemorrhage is a major cause of death, and is preventable in many situations.(2) The standard of treatment for patients with trauma who experience exsanguination includes infusion of crystalloid fluid and either whole blood or blood components (packed red blood cells [PRBCs], fresh frozen plasma [FFP], and platelets [PLTs]). Due to economic and logistic reasons, transfusion of a combination of blood components rather than whole blood transfusion is the clinical standard of care for patients with trauma in the civilian setting.(3) According to the National Blood Collection and Utilization Report of 2011, 10% of PRBCs and 4% of PLTs were used by emergency and trauma services in United States hospitals.(4) However, less than 1% of all transfusions across the United States were whole blood transfusions, highlighting the lack of whole blood transfusions in the civilian setting.(4)

Transfusion is associated with trauma outcomes indirectly and directly.(5, 6)

Indirectly, the volume of blood transfused, and the ratio of blood components are a proxy measure of injury severity; patients with more severe injury typically require more blood volume, and blood components to replace circulatory volume and factors necessary for coagulation.(7) Directly, transfusion is associated with morbidity and mortality by a number of mechanisms associated with the storage lesion, a combination of biological, chemical, and morphological changes in preserved blood components. Broad consequences of the storage lesion in transfused patients include vasoconstriction, systemic inflammation, acidosis, electrolyte imbalances, and decreased tissue

oxygenation.(8-11) The effects of the storage lesion only worsen over time; thus, patients after trauma who receive older stored components, especially in large volume, may experience increased risk of morbidity and mortality.

Consequences of the storage lesion were not recognized until the 1980s, and since that time there has been an ever-growing interest in the subject. Half of all studies published about the PRBC storage lesion were published either during or after 2009.(12) A plethora of evidence exists about mechanisms that produce the cellular morphology and breakdown that occurs with the storage lesion.(13-17) However, the association between the storage lesion and subsequent outcomes has not been fully explored. Recent data provided evidence to support an association between blood volume, ratio of components transfused and increased risk of complications and mortality.(18-22) As a result, current component transfusion practice for all patients is under scrutiny.(17, 23)

In recent years, investigators have initiated studies to test transfusion of fresh whole blood in patients after trauma to reduce morbidity and mortality that resulted from the storage lesion.(23) These studies were spurred by a growing body of evidence that suggested transfusion of blood components in a 1:1:1 fashion, or 1 unit of PRBCs to 1 unit of FFP to 1 unit of PLTs, was beneficial for survival in trauma patients receiving massive transfusion (≥ 10 units PRBCs in 24 hours).(24-26) The rationale for the potential survival benefit was that this combination of transfused components closely mimicked whole blood. However, researchers contended that this benefit may not exist in patients who do not require large volume transfusion.(27)

Thus, trauma patients are exposed to increased risk of complications and mortality when transfused with stored blood components, (28, 29) and evidence to support current

transfusion strategies is lacking. Furthermore, limited research exists which evaluated the relationship between component storage lesion and both short-term and long-term patient outcomes after trauma. Therefore, the purpose of this dissertation was to evaluate the relationship between current trauma transfusion practices and associated outcomes in patients transfused after trauma.

Though the mechanisms of the storage lesion are described in the literature, the relationship between the storage lesion and patient outcomes following trauma and transfusion have not been elucidated. Chapter Two is a paper that presents a conceptual model developed from the current state of the science; the model describes the association of traumatic injury, hemorrhage, and blood component transfusion with short and long term outcomes. In this model, patient outcomes for each of the three components of trauma physiology and management (injury, hemorrhage, and transfusion) are separated into those occurring in the short-term (within 30 days), and those in the long-term (beyond 30 days). Specific emphasis was placed on the consequences of the storage lesion found in erythrocytes and platelets, the combined effects of biological and chemical breakdown that occurs with storage, and the evidence about outcomes associated with the storage lesion.

As the storage lesion impacts each stored unit of erythrocytes and platelets, outcomes for patients who are massively transfused must be considered. Chapter Three contains a systematic review of the literature focused on retrospective, observational investigations performed in civilian and military level I trauma centers, in which outcomes of patients who required massive transfusion after trauma were compared based on the ratio of components transfused. Based on the findings of this systematic

review, transfusion of components in a 1:1:1 ratio demonstrated a significant survival benefit for those patients who required massive transfusion after trauma. However, evidence about the association of transfusion ratio with clinical outcomes (e.g. hospital length of stay or rates of organ failure) was inconclusive.

Chapter Four reported the findings of a secondary analysis of the 2009 National Trauma Data Bank data set. The purpose of this study was to evaluate the relationship between transfusion type (whole blood versus components) and mortality risk in adult patients after major trauma. In this analysis, the type of transfusion was an independent predictor of mortality. Those patients who received components demonstrated a 3-fold risk of mortality likelihood compared with those who received whole blood. This finding is intriguing, though, as transfusion of whole blood in the civilian clinical setting is rare, whereas component transfusion is the standard of care for resuscitation.

Chapter Five reported a secondary analysis of the Inflammation and Host Response to Injury database. The purposes of this analysis were to evaluate: 1) the prevalence of inflammatory complications in blunt trauma patients who received blood transfusions, 2) the relationship between transfusion variables (volume and ratio of components) and the likelihood of an inflammatory complication (e.g. organ failure, pneumonia, septicemia), and 3) the relationship between transfusion variables and time to development of inflammatory complication in adult patients after major trauma.

Inflammatory complications included organ failure, septicemia, catheter-related bloodstream infections, urinary tract infections, pneumonia, acute respiratory distress syndrome, and nosocomial infections. Patients included in the analysis received all three components (PRBCs, FFP, and PLTs). The ratios of both PRBC:FFP and PRBC:PLT

were calculated and presented in decimal notation (instead of 3:4, recorded as 0.75 or 0.8). Total transfused volume was converted to units based on average volume of each component.

Findings from this analysis revealed that the vast majority of patients (86%) developed at least one inflammatory complication. Results from a logistic regression determined that the presence of comorbidities and total transfused volume of PRBCs in the first 24 hours of hospitalization were independent predictors of inflammatory complications. There were no significant findings with other transfusion variables. Cox proportional hazard model analysis revealed the total transfused volume of PRBCs and injury severity were independent predictors of time to development of inflammatory complications. There were no differences in time to complications for PRBC:FFP or PRBC:PLT ratio groups.

Chapter Six concludes with an overall summary of findings from the manuscripts in the dissertation and the conclusions developed from these. In this chapter, I present future directions for research and implications for nursing care in this large and important patient population.

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#### **CHAPTER TWO**

Consequences of Blood Component Transfusion in Patients with Trauma:

# A Conceptual Model

# Synopsis

Blood component transfusion is frequently required in resuscitation of patients with major trauma. Packed red blood cells and platelets experience breakdown and chemical changes during storage (known as the storage lesion) that lead to an inflammatory response once transfused to patients. The pathophysiology associated with the storage lesion, and the relationship between the storage lesion and outcomes of transfused trauma patients are discussed. Outcomes related to trauma, hemorrhage, and component transfusion are presented in a conceptual model, grouped according to those occurring in the short-term (≤ 30 days) and the long-term (> 30 days).

#### Introduction

Unintentional injury remains one of the leading causes of death for people of all ages in the United States.(1) The most common cause of death for trauma patients within the first 48 hours of injury is exsanguination, accounting for 30-40% of traumatic deaths.(2) Such bleeding, or massive hemorrhage, is defined as either: 1) the loss of blood equal to the circulating volume of the patient within 24 hours; or 2) the loss of half of the circulating volume within three hours.(3) Management of hemorrhage includes fluid replacement and/or transfusion of whole blood or blood components, which include packed red blood cells (PRBCs), fresh frozen plasma (FFP) and platelets (PLTs).

The physiological and clinical consequences of traumatic injury, subsequent hemorrhage, and transfusion include those that occur within 30 days of injury, or short-term, and those occurring beyond the initial 30-day timeframe, or long-term. Short-term consequences of injury, hemorrhage, and subsequent blood transfusion, primarily mortality and major complications during hospitalization, have been described in the trauma literature, especially among trauma patients who received massive transfusion.(4-7) Long-term physiological and psychological consequences of traumatic injury have also been the subject of a multitude of research studies, ranging from rehabilitation (physiological) to post-traumatic stress disorder and depression (psychological) related to major traumatic injury.(8-12) However, the mechanisms connecting trauma, hemorrhage, and transfusion with short- and long-term outcomes have not been thoroughly synthesized.

## **Blood Transfusion and Potential Consequences**

Approximately 1-3% of trauma patients require transfusion of blood components to stabilize their hemodynamic state.(13) In addition, 2% of these patients will require massive transfusion of blood products (10 units or more of PRBCs in a 24 hour period).(3, 14) Transfusion of blood components, in combination with the immediate effects of the trauma, often has multiple detrimental effects for the recipients, resulting in increased risk of morbidity and mortality.

Marik and Corwin (15) performed a systematic review of 45 observational studies of critically ill patients, 10 of which included trauma patients. Using pooled data from these studies, they found PRBC transfusion independently predicted nosocomial infection, with nearly double the likelihood of infection compared to those not transfused (Odds ratio [OR] 1.8, 95% CI 1.5 - 2.2). Additionally, these investigators found that PRBC transfusion independently predicted mortality (OR 1.7, 95% CI 1.4 - 1.9), and development of acute respiratory distress syndrome (OR 2.5, 95% CI 1.6 - 3.3). Other investigators have found similar associations between transfusion of PRBCs and adverse clinical outcomes, such as transfusion-related acute lung injury,(16, 17) acute kidney injury,(18) and thromboembolic events.(19)

Current blood bank practices include rotation of PRBCs near their expiration date to trauma centers more likely to use them before expiration, thus reducing waste.(20) However, predictable and identifiable morphological, biochemical, and functional alterations occur in blood components, known collectively as the storage lesion, which are associated with physiological consequences in those receiving the transfusion (Tables 1).(21, 22) While evidence exists to support associations between patients who received

blood transfusions after trauma and physiological consequences, the underlying pathophysiological mechanisms connecting blood transfusions with these outcomes remain unclear; investigators suggested that these outcomes may be the result of the inflammatory response initiated by transfusion.(23) Thus, the purpose of this paper is to:

1) discuss the relationship between the elements of the storage lesion and consequences of blood transfusions in trauma patients; and 2) to present a conceptual model of the short- and long-term consequences of trauma, hemorrhage, and blood transfusion in this population.

## Pathophysiology of Trauma

Traumatic injuries are categorized as blunt or penetrating depending on the mechanism of the insult. Penetrating injuries (i.e. stabbing or gunshot wound), are typically fairly isolated injuries specific to the tissues in the path of the instrument or projectile; these injuries tend to have more severe physiological impact, associated with a five-fold increase in mortality likelihood (OR 5.4, 95% CI 2.4-12.0, p < 0.01).(24)

Patients with penetrating injuries have a higher likelihood of requiring blood transfusion, particularly massive transfusion.(25-27) In contrast, blunt injuries (i.e. motor vehicle accidents) result in more broadly distributed damage. No matter the mechanism, endothelial disruption and blood loss stimulate a coagulation cascade with the intent of reduction in hemorrhage. In addition, blood is shunted to the brain, heart, and lungs to ensure survival.

Inflammatory cytokines (i.e. interleukin-6 and tumor necrosis factor-alpha) stimulate the recruitment of white blood cells to the site of injury, and initiate a 3-phase response that consists of acute inflammation, repair, and remodeling. Vasoconstriction

occurs immediately after injury to restrict blood loss; this response transitions to vasodilation with increased vascular permeability to increase perfusion, remove foreign bodies, and deliver inflammatory and repair molecules. Vasodilation produces edema, erythema, and in conjunction with release of histamine, bradykinin, and serotonin, causes acute pain.

The initial vasoconstriction after trauma results not only from the injury itself, but also from what is known as the lethal triad, a combination of hypothermia, acidosis, and coagulopathy commonly associated with trauma. Investigators of an 8-year study reported no survivors among those patients who presented to the emergency department with the lethal triad.(28) Thus, understanding the combined effects of the pathophysiology of traumatic injury and the lethal triad is critical to caring for this population.

## Hypothermia

Nearly one fifth of traumatic injuries (18%) occurred outside of the home,(29) with trauma patients exposed to the elements for an indefinite period of time; hypothermia may result. Investigators estimated that 13% of trauma patients presented with an admission temperature less than 35°C (95°F),(30) while less than 1.6% had an admission temperature of less than 32°C (89.6°F).(31) Core temperature below 37°C (98.6°F) alter the hemoglobin molecule structure, and produce an increased affinity for oxygen,(32) which results in less oxygen released at the metabolically active cell, and subsequent cellular hypoxia.(32) Persistent hypothermia can result in alterations in cardiac conduction, decreased respiratory rate, and reduced myocardial contractility.(33) Furthermore, investigators found hypothermia independently predicted mortality in

trauma patients, with an increased likelihood of death of nearly 20% (OR 1.19, 95% CI 1.05 - 1.35, p = 0.008).(34) While hypothermia alone may affect the status and outcome of the patient, it is rarely independent of other complications in patients with trauma.

#### Acidosis

In patients after trauma, clinicians generally attributed acidosis to the production of lactic acid, a metabolite of energy production mechanisms, glycolysis and oxidative phosphorylation.(35) However, trauma can increase the rate of glycolysis to 2 to 3 times more than oxidative phosphorylation due to physiological stress, which results in rapid production of lactate, and subsequent systemic acidosis. In the period immediately following injury, acidosis contributes to development of coagulopathy. Cosgriff and colleagues (36) compared patients who developed coagulopathy with those who did not, and found that a significantly greater proportion of those who developed coagulopathy had a pH < 7.1 compared to those who did not develop coagulopathy (78% vs 32%, p = 0.0004). At a pH below 7.1, patients experienced reduced platelet count and platelet dysfunction, increased fibrinogen breakdown, and impaired plasma protease function. (35) Consequences of persistent acidosis extended beyond the resuscitation period and included decreased cardiac contractility, and reduced renal perfusion. (33) For patients who experienced continued increased lactate levels after traumatic injury, unresolved hemorrhage was the primary suspected mechanism.(33) Investigators examined the association between acidosis and coagulopathy, and found that at a pH of 6.8, thrombus formation time increased by more than 160% compared to thrombus formation times measured at a pH of 7.4.(37) Thus, the pH level and coagulopathic state

directly impact each other, and if unresolved, produce deadly outcomes in patients with trauma.

## **Coagulopathy**

Following activation of the coagulation cascade, patients experience an increase in fibrinolytic activity, and plasminogen activation to balance the degree of coagulation.(38) Patients who experienced widespread tissue damage also developed a systemic coagulation response due to thrombin release. (38, 39) Infusion of crystalloids and blood components during resuscitation may result in a dilutional coagulopathy, especially those patients who received massive transfusion (10 or more units of PRBCs in 24 hours). Unfortunately, coagulopathy after trauma was associated with a 35% increase in likelihood of mortality (Figure 2.).(40) Hypothermia, which is closely associated with coagulopathy, also decreased platelet function. (33) Hypothermia associated with coagulopathy occurs when core temperature was below 33°C (91.4°F).(39) Dirkmann and colleagues (41) reported significant increase in thrombus formation time (approximately 145 seconds) at a pH of  $7.26 \pm 0.04$  with concommitant hypothermia (33°C or 91.4°F), compared to a control (approximately 115 seconds at 36°C or 96.8°F) and pH of 7.36, p < 0.05) which highlights the interplay of the lethal triad. Investigators concluded that for patients with devastating injury, this combination required aggressive resuscitation measures to correct coagulopathy and stop ongoing hemorrhage. (35, 42)

#### Assessment of coagulation

Standard measures of coagulation include prothrombin time (PT), activated partial thromboplastin time (aPTT), and the International Normalized Ratio (INR). While these measures reflect the ability to coagulate and initiate hemostasis, the logistics of

measurement require a prolonged waiting period for results (typically around 30 minutes or more), which can delay treatment. Patients after trauma present a unique challenge in the immediacy of their coagulation needs; therefore, clinicians and researchers have begun using thromboelastography to guide resuscitation.

Thromboelastography (TEG) is a measure of the viscoelastic changes that occur during coagulation and lysis of whole blood (Table 2, Figure 3.).(38, 43) TEG measures the time for thrombus generation, the strength of the thrombus, as well as lysis of the thrombus.(43, 44) Investigators found strong correlations between TEG values and traditional coagulation values in patients after trauma (e.g. PT, aPTT), which provided evidence to support the use of TEG for resuscitation.(45) TEG results are completed within 20 minutes, which provides clinicians with data upon which to base resuscitation.(45, 46) The primary drawbacks to TEG are the cost of the testing, and the time required (approximately two days) for personnel training in TEG use and interpretation.(46, 47) Overall, evidence to support the use of TEG in the trauma setting as a guide for resuscitation continues to grow.(48-50)

#### **Historical Perspective of Transfusion**

Transfusion originally involved transfusion of whole blood. With World War II, the United States military found it necessary to preserve and send blood to the front lines for resuscitation of injured soldiers.(51) Thus, scientists developed procedures to preserve blood components like albumin and plasma proteins for transfusion. In the 1950s, the development of the cell separator led to the transfusion of blood components like packed red blood cells and fresh frozen plasma, which currently remains the standard in civilian

practice. Discussion of transfusion of stored components necessitates understanding of the associated storage lesion.

## The Storage Lesion of Cellular Components

Changes associated with the storage lesion include: 1) cellular and morphological changes, 2) oxygenation and energy changes, 3) biochemical changes, 4) release of microparticles, and 5) increase in inflammatory mediators. The storage lesion develops in a predictable fashion over time, such that the longer the component is stored, to the greater degree of disruption to cellular integrity is detected (Table 3).

#### **Packed Red Blood Cells**

## Cellular and Morphological Changes

During storage, PRBCs experience cell shape and membrane integrity alterations, with change from the normal smooth, flexible disc to spherical cells with sharp protrusions, called spheroechinocytes.(52, 53) Karon and colleagues (54) found that these morphological changes occurred rapidly, and early in the storage period, with 9.5% of cells deemed abnormal (i.e. spheroechinocytes) by day seven of storage. Due to their new shape and lack of flexibility, spheroechinocytes are unable to pass through the microvasculature, because the protrusions are more likely to cause the cells to adhere to the endothelium.(55, 56) Anniss and Sparrow (57) found that the number of adherent red blood cells increased significantly from  $69 \pm 10$  cells per mm<sup>2</sup> on the first day of storage to  $128 \pm 11$  cells per mm<sup>2</sup> on storage day 42 (p < 0.05).(57) Consequently, transfused PRBCs have significantly reduced flow through the microcirculation, with less oxygen delivered to metabolically active cells; these abnormal erythrocytes also obstruct the

microcirculation, and may result in cellular ischemia, and tissue and organ dysfunction.(55, 58)

#### Oxygenation and Energy Changes

During storage, biochemical changes result in a reduction in 2,3-diphosphoglycerate (2,3-DPG), a byproduct of glycolysis in the red blood cell.(21) A reduction in 2,3-DPG produces a left shift of the oxyhemoglobin dissociation curve; thus, the beta chain of the hemoglobin molecule will have greater affinity for the oxygen molecule, and will not release oxygen at the cell. Almac and Ince reported that the 2,3-DPG concentration fell below detectable levels within two weeks of preservation.(59) The increased oxygen affinity in transfused PRBCs will continue until the recipient produces adequate 2,3-DPG levels. However, 2,3-DPG levels in transfused patients return to normal within the first few days after transfusion.(60, 61) Stan and colleagues found that 2,3-DPG levels reached more than 60% of normal value (measured at 72 hours) within 24 hours of transfusion completion.(60) However, for patients who received massive transfusion, replacement of their entire blood volume, lower levels of 2,3-DPG transfused in large volumes of stored components may significantly reduce cellular oxygen concentration for several hours after transfusion completion.

Erythrocyte metabolism continues after initiation of the storage and preservation process, as the supernatant, or the fluid in which the cells are stored, contains glucose. In the absence of oxygen, erythrocytes convert to anaerobic metabolism of glucose to produce adenosine triphosphate, or ATP.(62) Investigators recently reported a 1 to 2-fold increase in ATP levels during the first two weeks of storage for PRBCs, with levels falling below their initial levels by the end of the 42-day storage period.(63) This initial

rise in ATP levels was attributed to release of ATP in response to a hypoxic state, where ATP acted as a vasodilator in vivo.(64) Krager and colleagues examined 40 units of leukocyte-depleted whole blood, and described a change in ATP levels from an average of 3.5 + 0.4  $\mu$ mol/g of hemoglobin (Hb) on day 1 to an average of 2.3 + 0.4  $\mu$ mol/g Hb on day 42.(65) With continued anaerobic metabolism and limited stores of glucose available, the finite amount of ATP found in the stored components gradually decreased during storage. ATP depletion was associated with cellular alterations, as the ATP pump within the cell membrane failed, and resulted in sodium accumulation within the cell; this lead to stiffened cellular structure due to swelling, and loss of phospholipid membrane through formation of microvesicles (small pieces of the membrane that break off). (66) The combination of altered cellular chemistry and structure resulted in decreased oxygenation ability through increased oxygen affinity and inability to travel through microvasculature. Extended tissue oxygen deprivation may result in organ damage and/or dysfunction, especially to those tissues most sensitive to hypoxia, myocardial and cerebral tissues, for example.(67)

#### **Biochemical Changes**

Anaerobic metabolism results in by-products, that include lactic acid, and excess hydrogen ions from breakdown of fatty acids for additional energy, both ultimately lead to a reduced intracellular pH level following persistent hypoxia.(67) With decreased ATP available for essential functions, like the sodium-potassium pump, cells accumulate sodium as the pump fails; this results in cellular edema, weakening of the cell membrane, and cellular internal structures, such as the mitochondria.(67) Extracellular potassium concentrations also increase with failure of the sodium-potassium pump. Investigators

found significant increases in plasma potassium levels during storage, from  $5.16 \pm 1.2$  mmol/l on day 0 to  $35.1 \pm 4.6$  mmol/l on day 28 (p < 0.005).(68) Two developments characterize irreversible cellular damage, cessation of mitochondrial function, and the loss of membrane function.(67) Irreversible damage such as this occurs in approximately 50% of PRBCs by day 21 of storage.(53) Once transfused, damaged red blood cells may succumb to apoptosis, or be removed from the circulation similar to normal processing of old red blood cells, thereby decreasing the overall effectiveness of the transfused unit.

#### Release of Microparticles

After the cell membrane is no longer intact, intracellular fluid and cellular contents leak into the extracellular space. Several substances escape from cells with cellular structure breakdown; these include microvesicles containing plasma membrane components, lipids, free hemoglobin, free iron, and cytokines. Chaudhary and Katharia (68) found a significant increase in plasma hemoglobin during storage (day 0 0.017 ± 0.01 g/dL, day 28 0.077 ± 0.06 g/dL, p < 0.005). Release of microvesicles is associated with decreased endothelial-derived nitric oxide, which is a potent vasodilator and antioxidant,(52) and induction of a hypercoagulable state.(69) Free hemoglobin and free iron contributed to oxidative stress in the cells, with subsequent free radical production, which may initiate tissue damage once transfused.(52) Longer storage time is associated with greater release of microvesicles.(69) Thus, given that trauma centers receive older stored PRBCs, the concentration of microvesicles is likely substantively elevated.

#### Increase in Inflammatory Mediators

The storage lesion is also associated with an increased release of inflammatory mediators. Kor and colleagues (58) found cytokine and other proinflammatory mediator

concentrations rose throughout the duration of storage in 22 units of leukocyte-reduced PRBCs. Their findings suggested that despite the removal of white blood cells, some proinflammatory molecules remained in stored PRBCs. Ubiquitin, a protein found in all eukaryotic cells, related to inflammation through inhibition of tumor necrosis factoralpha, is also released with the breakdown of the cell membrane.(70, 71) Patel, Proctor and Majetschak (71) found a significant increase in ubiquitin levels during storage, ranging from  $113 \pm 33$  ng/mL on day 0 to  $2170 \pm 268$  ng/mL on day 42 (p < 0.001). Thus, through transfusion of PRBCs, patients experience an inflammatory reaction and subsequent adverse outcomes.

#### **Platelets**

#### Cellular and Morphological Changes

Although similarities exist between PRBC and PLT storage lesion effects, our current understanding about the PLT storage lesion lacks in comparison to that of PRBCs. Storage of PLTs stimulated a process that mimicked PLT activation in vivo,(72) which ultimately resulted in membrane breakdown and alteration of the cell shape, changing the cell from a smooth, plate-shaped structure to a rounded cell with spiny protrusions.(73) Through PLT activation, membrane breakdown, and exposure of phosphatidylserine (a phospholipid found on the inner portion of the platelet cell membrane), PLTs may develop procoagulant properties, whereby they become capable of thrombin generation, and premature cell death while in storage or soon after transfusion.(74) Investigators reported a minimal decrease in the efficacy of transfused PLTs, but determined that structurally altered PLTs are removed from circulation more

rapidly than non-altered PLTs, due to stimulation of the apoptosis cell death pathway via structural change.(73)

The most effective method of PLT storage remains controversial, with the primary challenge centered on the balance between maintenance of cellular integrity and reduction in risk of bacterial contamination. Current standards include storage of PLTs at room temperature with continuous agitation for 5 days. (73, 75) Previous standards, however, included refrigeration of PLTs during storage, which was intended to decrease likelihood of bacterial contamination. (73) Change in these standards was based on research findings that determined cold storage temperature was associated with premature PLT removal from the circulation via the liver; thus, cold storage reduced PLT circulation time.(76) Researchers evaluated PLTs stored at 4°C (39.2°F) for at least 48 hours prior to transfusion in mice, and found that approximately 50% of the transfused PLTs were cleared from circulation within 2 hours.(77) Recently, investigators suggested that refrigerated PLTs might be more beneficial to trauma patients, as they are somewhat activated prior to transfusion, and therefore contributed to hemostasis more rapidly. (76) Thus, while PLTs experienced morphological changes and activation during storage, they remained effective, albeit for a shorter period of time.

#### Oxygenation and Energy Changes

Like PRBCs, anaerobic metabolism during PLT storage often leads to depletion of ATP, and consequently, breakdown of the plasma membrane and leak of the intracellular contents into the extracellular fluid.(74) Investigators described a statistically significant loss of PLTs when ATP levels fell below 2  $\mu$ ml/10<sup>11</sup> PLTs (p < 0.001).(78) PLTs survived for a maximum of 9-10 days in vivo before apoptotic cell death

(programmed death). However, an alternative form of cell death may occur. Investigators suggested that stored PLTs experienced increased necrotic cell death, which was associated with ATP depletion during storage. Necrotic cell death included destruction of the plasma membrane, and edema of the cell and cell organelles, which subsequently lead to cell lysis.(74) No matter the cause of cell death during storage, the result is the same, breakdown of the cell, and activation of a procoagulant state.

#### Biochemical Changes

PLT necrosis during storage resulted from initiation of one of many death activation pathways (e.g. hypoxia), each culminated with the cessation of mitochondrial function and ATP depletion.(74) A major consequence of this, the increased production of reactive oxygen species, is associated with the destruction of organelles, the plasma membrane, and ultimately the cytoskeleton.(74) PLTs also reverted to anaerobic metabolism in the absence of glucose, with subsequent accumulation of lactic acid, hydrogen ions, and consequent decreased pH. Depletion of ATP also resulted in failure of ATP-dependent processes, which led to electrolyte imbalances in PLTs similar to those in PRBCs.(74) Thus, multiple sources of cellular injury are described during PLT storage.

## Release of Microparticles

PLT activation includes expression of membrane receptors, which triggered release of microparticles.(79) Investigators suggested that these microparticles have procoagulant properties, which makes them beneficial to recipients, but may also stimulate an immune response.(79) In addition, PLTs contain three types of granules with proinflammatory properties; these include alpha granules (primarily proteins, i.e. P-selectin), dense granules (contain small molecules, i.e. serotonin or ATP), and lysosomal

granules (contain degradative enzymes).(79) The mechanisms by which microparticles trigger inflammation have yet to be fully elucidated.

## Increase in Inflammatory Mediators

Storage may stimulate PLT activation, which resulted in necrotic cell death; these effects worsen over storage time. PLT activation also triggered development and release of inflammatory mediators (thromboxane and prostaglandins), which stimulated an inflammatory response in the recipient.(79) Investigators also suggested that transfusion of PLTs after necrotic cell death exacerbated recipient inflammation.(74) Furthermore, PLT transfusion commonly stimulated inflammation, with receipt of "foreign" PLTs from a donor.(79) Inaba and colleagues reported that trauma patients who received apheresis PLTs stored for 4 days and 5 days were approximately 20% more likely to experience development of complications when compared with those who received apheresis PLTs stored  $\leq$  3 days (p < 0.001).(80) However, investigators currently lack a full understanding of the mechanisms responsible for this relationship.

# Short-term and Long-term Consequences of Stored Component Transfusion \*Reperfusion Injury\*

One of the more immediate consequences of transfusion of stored blood components is reperfusion injury. Reperfusion injury occurs when tissues deprived of adequate circulation subsequently receive oxygen and nutrients with restored circulation. In highly oxygen-dependent tissues such as the brain, damage can occur after mere seconds or minutes of oxygen deprivation.(81) Under normal circumstances, the body both produces and sufficiently processes reactive oxygen species (ROS) with the use of antioxidants available to the tissues. With reperfusion injury, when oxygen is restored to

hypoxic tissues, the result is an over-production of ROS that the body is ill-equipped to manage due to a limited supply of antioxidants.(81) In addition, nitric oxide (a vasodilator produced by endothelial cells in normal conditions, but produced by smooth muscle cells in a state of hypoxia) levels decreased, as the cells are now provided with adequate oxygen and circulation is restored.(82)

Once released from the mitochondria, these ROS cause additional irreversible cellular damage in addition to the initial hypoxic insult.(81) When reperfusion injury occurs, it stimulates an inflammatory response, where neutrophils are recruited to the site of injury.(83) In recent years, the focus of reperfusion injury and its associated outcomes has included areas such as cardiac arrest and resuscitation, hypoxic-ischemic brain injury, or stroke.(81) Kunimatsu and colleagues (84) reported that ROS development was greatest in the first 15 minutes following reperfusion in patients with "global brain ischemia". In spite of our current understanding about reperfusion injury, little research exists about the consequences of reperfusion injury after trauma and subsequent transfusion.

#### Vasoconstriction

Traumatic injury induces vasoconstriction to shunt blood to vital organs, and reduce blood loss at the site of injury. Furthermore, traumatic injury associated with environmental exposure induces vasoconstriction to preserve body heat. To restore blood volume, blood or blood components and intravenous fluids infusion is the current standard for resuscitation. Blood and fluid warmers increase the temperature of infused fluids; however, these warmers may not increase the temperature of infused fluids to that of body temperature. Thus, patients hypothermic due to exposure are infused with cold or

cool fluids in typically large volumes, which further stimulates vasoconstriction, and subsequent reduction of circulation to metabolically active tissues. In addition, patients after trauma are likely to receive older stored blood components with greater acidity, which will exacerbate vasoconstriction.

#### Inflammation

One of the consequences of the storage lesion is morphological alteration of the shape of both PRBCs and PLTs, and the release of microparticles (MPs) from these cells; these MPs stimulate an inflammatory response. PLTs play a significant role in the immune response, thus transfusion of PLTs with foreign antibodies also generates an inflammatory response. (79) Such response can lead to a transfusion-related reaction; signs normally associated with such reactions (i.e. fever, rash, erythema) occur within minutes of transfusion. In fact, PLT transfusions induced approximately three times more adverse effects than PRBC transfusions; PLT-associated adverse events occurred in one out of every 1,030 PLT transfusions. (85)

MPs consist of small pieces of plasma membrane expelled by cells of all types when stimulated by a stressor or trigger (e.g. initiation of cell death pathways); once the trigger initiates this process, the number of microparticles released only increased.(86) Microparticles are shed from cells on a regular basis, and are capable of expression of surface markers of their cell origin.(86) In other words, these MPs released from PLTs are able to impact inflammatory reactions, among other cellular activities, just as PLTs do.(86, 87) Investigators suggested that microparticles from PLTs suppressed immune response after transfusion; thus further contributing to the development of

complications.(88) The extent of the relationship between microparticles and clinical complications after transfusion has yet to be fully elucidated.

# Impaired immune reaction

The consequences of traumatic injury and resuscitation include hypothermia, acidosis, and decreased metabolic activity, with greater energy expenditure. Initially, an inflammatory response is stimulated; however, after this initial response there is initiation of an anti-inflammatory state as healing begins.(83) Xiao and colleagues investigated leukocyte gene expression in patients after trauma, measured immediately following injury, first within 12 hours of injury, then on days 1, 4, 7, 14, 21, and 28.(89) They found that patients with severe trauma experienced a change in more than 80% of the leukocyte gene expression within 28 days of injury. In addition, the most notable change in gene expression occurred within the first 12 hours following injury. Of the genes in which expression increased the most, 80% were involved with inflammation or innate immunity; of those that were notably inhibited, 90% were associated with antigen presentation and activation of T cells. The investigators also reported that over 50% of the genes examined had not returned to normal after 28 days. In some cases, these continued anti-inflammatory responses, when combined with endothelial damage and other factors such as comorbidities, lead to complications like acute respiratory distress syndrome or multiple organ failure. (83) Consequently, patients experienced an increased possibility of infection via open wound, through invasive procedure, or development of inflammatory complications during hospitalization. The prolonged effects of this impaired immune reaction remain unknown.

# Clinical complications

In a recent meta-analysis, investigators evaluated studies comparing outcomes of patients based on transfusion of aged stored blood, defined as anywhere from 9 to 42 days in storage. (90) They found that in seven of 21 studies, receipt of older PRBC transfusions was associated with development of complications during hospitalization, that included pneumonia, deep vein thrombosis, acute respiratory distress syndrome, multiple organ dysfunction syndrome, renal failure, sepsis, acute renal failure, and sepsis. The overall estimate of increased risk included increase in risk of multiple organ dysfunction syndrome (OR 2.26, 95% CI 1.56-3.25) and pneumonia (OR 1.17, 95% CI 1.08-1.27). The relationship between blood component transfusion and complications, and the mechanisms by which the storage lesion affects these outcomes remains unclear. However, evidence such as that presented here suggested that detrimental effects are associated with transfusion of older components. With current blood bank practices, older units of blood components are sent to trauma centers; thus, patients transfused following major trauma may experience a greater risk of complications. Few investigators have examined long-term effects of transfusion. Possibilities for future research of such outcomes include, but are not limited to chronic organ dysfunction, cognitive dysfunction, physical or mental disability, awareness of disability, hospital readmission, rehabilitation requirements, and return to baseline status.

# **Evidence-Based Conceptual Model**

Consequences of physical trauma and hemorrhage have been thoroughly examined in the healthcare literature. Currently, knowledge about transfusion after trauma and the storage lesion focuses primarily on short-term, clinical complications

likely to develop during hospitalization. For those patients who survive past their hospitalization, however, there is a lack of knowledge and evidence about the potential long-term consequences of the storage lesion and transfusion following traumatic injury. Thus, the conceptual model presented here (Figure 1.) was developed as a representation of the current state of knowledge about the relationship between traumatic injury, hemorrhage, and transfusion of blood components with both short- and long-term consequences. Areas on the top half of the model denote short-term consequences, and those on the bottom denote the long-term consequences. As indicated by the area at the bottom, right-hand corner of the figure, the opportunities for exploration of long-term transfusion consequences are essentially unlimited and untouched.

### Conclusion

Trauma affects a significant portion of the population annually, with severely injured patients in need of transfusion of blood components and fluid for resuscitation. Current standards of blood component preservation support extended storage periods, which are associated with deleterious effects on the cells, the storage lesion. The short-and long-term consequences of trauma and short-term consequences of hemorrhage have been well described; further research is required to determine the extent of long-term consequences of hemorrhage, paired with the consequences of stored component transfusion.

Table 2.1a Global Consequences of the Storage Lesion for Packed Red Blood Cells

Storage Lesion	Storage Lesion Effects	<b>Physiological Consequences After</b>
Alterations		Transfusion
Cellular and	Irreversible change	Difficulty moving through
Morphological	from smooth, easily	smaller blood vessels
Changes	deformable discs to	• Unable to oxygenate tissues
	spheroechinocytes (less	adequately
	flexible, spherical cells	Reperfusion injury due to
	with protrusions)	release of free radicals
	• Development of	Inflammation due to
	microvesicles with	spheroechinocytes adhering
	procoagulant properties	blood vessels
Oxygenation and	• Reduction in 2,3-DPG	• Left shift in oxyhemoglobin
Energy Changes	Impaired ability to	dissociation curve
	carry/deliver oxygen	Impaired circulation and
	• Decrease in ATP	inadequate tissue oxygenation
		Failure of ATP pump; cellular
		swelling

Table 2.1a, Cont.

Biochemical Changes	• Decrease in ATP	• Failure of ATP pump;		
	Switch from aerobic	electrolyte imbalance of		
	metabolism to	transfused supernatant		
	anaerobic metabolism	(increased potassium); increased		
		lactate		
		• Excess hydrogen ions, build up		
		of lactic acid, decrease in pH		
		Irreversible cellular damage		
Release of	Breakdown of	• Increase in free hemoglobin, free		
Microparticles	phospholipid	iron, cytokines, plasma		
	membrane;	membrane		
	microparticles released	Vasoconstriction; induction of		
	into supernatant	hypercoagulable state, tissue		
		damage, and inflammation		
Increase in	• Due to breakdown of	Increase in ubiquitin and		
Inflammatory	cellular structure and	cytokine levels throughout		
Mediators	leaking of cellular	storage		
	contents	Induction of inflammatory		
		reaction		

<sup>2,3-</sup>DPG = 2,3-diphosphoglycerate; ATP = adenosinetriphosphate

**Table 2.1b Global Consequences of the Storage Lesion for Platelets** 

Storage Lesion	Storage Lesion Effects	Physiological Consequences
Alterations		After Transfusion
Cellular and	Irreversible change	Difficulty moving through
Morphological	from smooth, easily	smaller blood vessels
Changes	deformable plate-	Membrane breakdown;
	shaped cells to	exposure of phosphatidylserine;
	spheroechinocytes (less	potentially early cell death
	flexible, spherical cells	Development of procoagulant
	with protrusions)	properties
	Platelet activation	
	• Development of	
	microvesicles	
Oxygenation and	Switch from aerobic	Breakdown of plasma
Energy Changes	metabolism to	membrane, leaking of
	anaerobic metabolism	intracellular contents
	• Decrease in ATP	Potential cellular death due to
		membrane breakdown
		Build up of lactic acid, excess
		hydrogen ions, drop in pH
Biochemical Changes	• Decrease in ATP	Failure of ATP pump;
		electrolyte imbalance; cellular
		swelling

Table 2.1b, Cont.

Release of	Expression of	Release of microparticles with
Microparticles	membrane receptors;	procoagulant and pro-
	phospholipid	inflammatory properties
	membrane breakdown	
Increase in	Platelet activation;	Development and release of
Inflammatory	breakdown in cellular	inflammatory mediators
Mediators	structure	

ATP = adenosinetriphosphate

Table 2.2 Thromboelastography Measures and Correlations to Traditional Coagulation Testing  $(44,\!45)$ 

TEG	Measures	Correlation to	Normal	Transfusion	P Value
Value		Traditional	Range	Recommendation	
		Coagulation			
		Tests			
R	Coagulation	PT	5-10 min	> 10 min: FFP,	PT: <
time*	factors (time to	аРТТ		cryoprecipitate	0.001
	initial fibrin	PLT			aPTT: <
	formation)				0.001
					PLT:
					0.12
K	Fibrinogen,	PT	1-3 min	> 3 min: FFP,	PT: <
time**	platelet number	аРТТ		cryoprecipitate	0.001
	(time for	PLT			aPTT: <
	thrombus to				0.001
	reach 20 mm				PLT:
	thrombus				0.02
	strength)				

Table 2.2, Cont.

$\alpha$ angle	Fibrinogen,	PT	53° – 72°	< 53°: platelets	PT: <
	platelet number	аРТТ		with or without	0.001
	(angle from	PLT		cryoprecipitate	aPTT: <
	baseline to				0.001
	slope of tracing				PLT: <
	– indicates				0.001
	thrombus				
	formation)				
MA	Platelet	PT	50 – 70	< 50 mm: platelets	PT: <
	function	аРТТ	mm		0.001
	(maximum	PLT			aPTT: <
	amplitude of				0.001
	tracing)				PLT: <
					0.001
G	Coagulation	PT	5.3 – 12.4	N/A	PT: 0.005
value	cascade	аРТТ	dynes/cm <sup>2</sup>		aPTT: -
		PLT			0.19
					PLT:
					0.03

Table 2.2, Cont.

LY 30	Fibrinolysis	N/A	0-3%	> 3%: tranexamic	N/A
††	(thrombus lysis			acid	
	at 30 minutes				
	following MA)				

R time = Reaction time; K time = Kinetic time; MA = Maximum amplitude; G value = calculated value of clot strength; LY30 = clot lysis at 30 minutes; PT = prothrombin time; aPTT = activated partial thromboplastin time; PLT = platelet count

**Table 2.3 Blood Component Storage** (91)

Component	Storage	Maximum	Preservatives	Additives
	Method	Storage Time		
Packed red	Refrigerated	42 days	Anticoagulant –	One of the
blood cells			some	following:
			combination of	Adsol®,
			citrate,	Nutricel®,
			phosphate,	Optisol®,
			dextrose, and/or	SOLX®
			adenine	
Fresh frozen	Frozen	1 year; if	Anticoagulant	N/A
plasma		thawed, must be		
		used		
		immediately		
Platelets	Room	5 days	Anticoagulant	One of the
	temperature,		(apheresis only)	following:
	with constant			InterSol®,
	agitation			Isoplate <sup>TM</sup>

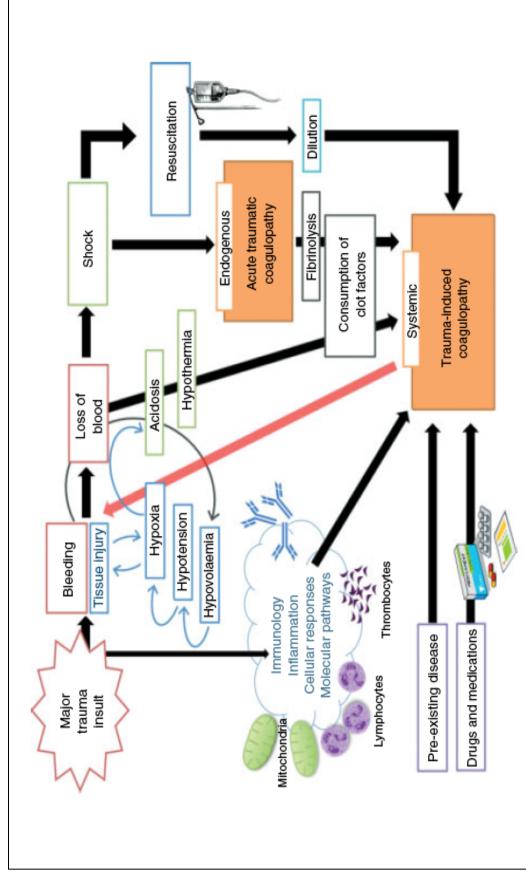


Figure 2.1 Effects Leading to Trauma-Induced Coagulopathy

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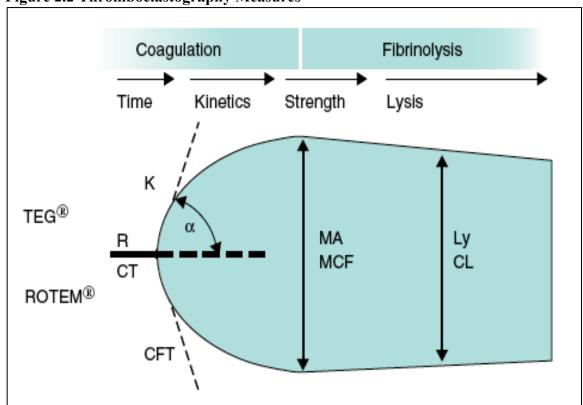


Figure 2.2 Thromboelastography Measures

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# Figure 2.3 Conceptual Model of Trauma, Hemorrhage, and Transfusion

Organ damage, vessel disruption, flow/vasodilation in areas of injury stimulating factors and repair cells, III) Activation of coagulation with cascade, release and migration of inflammatory markers, release of embolization of fat, amputation II) Activation of inflammatory pain, edema, increased blood skeletal injury with potential initiation of coagulation IV) Death

(8ysb 0€ ≥)

Short-term Consequences



 II) Physical disability, disfigurement Tissue scarring, permanent organ damage or organ loss, chronic pain atrophy, amputation

III) Cognitive disability – memory, attention, executive function;

traumatic stress disorder, alterations in injury/mechanism of injury/recovery – depression, chronic anxiety, post-Psychological issues due to personality

(sygb 0£ <)

Long-term Consequences

ability or inability to return to work, IV) Decreased quality of life due to injury or complications, decreased impaired ability to tolerate social situations

subsequent, inadequate tissue perfusion, left shift in oxyhemoglobin curve with reduced release of baroreceptors, hypotension, sympathetic fibers Loss of circulatory volume, activation of vasoconstriction, increased respiratory rate, metabolism, development of lactic acidosis oxygen to cells and subsequent anaerobic decreased oxygen carrying capacity with stimulate activated, tachycardia,

breakdown of glycogen stores from liver, release renin, glucagon, 7) systemic inflammation due to hypothermia, pallor/diaphoresis, decreased level decreased peristalsis, diversion of blood flow to I) Organ dysfunction – 1) reduced glomerular filtration rate and decreased urinary output, 2) vital organs 5) metabolic/respiratory acidosis, of consciousness, 3) immune suppression, 4) epinephrine, norepinephrine, corticosteroids, of fatty acids, 6) release of hormones -

cellular oxygen delivery, organ dysfunction due microvasculature coagulation and reduced III) Exhaustion of coagulation factors and development of, DIC\*, ATC\*\*, to infarction and organ failure widespread cellular hypoxia IV) Death

Hemorrhage

Not extensively studied for I) Chronic organ, tissue those who survive resuscitation dysfunction

 Reperfusion injury due to excess oxygen available to tissues, release of free radicals

II) Vasoconstriction, impaired circulation, decreased tissue

microparticles; Transfusion-related acute reaction with rash III) Inflammation – internal due to change in shape of RBC to sphere-shaped cells with protrusions and release of or erythema, etc.

IV) Impaired immune reaction

acute respiratory distress syndrome, multiple organ failure, infection, anoxic/hypoxic tissues, electrolyte imbalances, V) Clinical complications – thrombotic complications. dysrhythmias, acute renal failure, sepsis

 Storage lesion effects (worse over time): 1) cellular ATP††, impaired ability to carry/deliver oxygen and due to cellular break down - lipids, cytokines, free cellular structure break down, altered pH level and electrolyte imbalance, 4) release of microparticles dissociation curve, 3) biochemical changes due to morphological changes, 2) decrease in 2,3-DPG† iron, 5) increased ubiquitin and release of decreased energy, shift in oxyhemoglobin proinflammatory immunomodulators

 Increased circulating volume, increased blood III) Increased hemoglobin and RBCs, increased pressure, decreased heart rate

coagulation factors

IV) Decreased body temperature due to infusion of cold/acidic fluids, increased acidosis due to preservatives, decreased metabolic activity

**Fransfusion** 

Not extensively studied

Disseminated Intravascular Coagulation  $\uparrow = 2.3$ -diphosphoglycerate

<sup>\*\* =</sup> Acute Traumatic Coagulopathy †† = adenosine triphosphate

# Figure Legend

- Figure 2.1 Effects Leading to Trauma-Induced Coagulopathy
- Figure 2.2 Thromboelastography Measures
- Figure 2.3 Conceptual Model of Trauma, Hemorrhage, and Transfusion

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### **CHAPTER THREE**

Association of Blood Component Ratio with Clinical Outcomes in Patients After Trauma and Massive Transfusion: A Systematic Review

# **Synopsis**

**Objective:** To systematically review studies that compared clinical outcomes of patients after trauma that required massive transfusion (10 or more units of packed red blood cells in 24 hours) based on the ratio of blood components received.

**Summary Background Data:** Hemorrhage is the primary preventable cause of death in trauma patients. Volume resuscitation includes infusion of crystalloids and transfusion of blood components (packed red blood cells, platelets, fresh frozen plasma). However, the most effective ratio of massively transfused components in trauma patients has yet to be determined.

**Methods:** PubMed, CINAHL, and MedLine (Ovid) were searched for studies published between 2007 and 2014. We systematically reviewed selected studies using an adapted 9-item instrument to assess bias in observational studies. Patient outcomes were determined and compared based on ratio of components transfused.

**Results:** Twenty-one studies were included in the analysis; only two were prospective, the remaining 19 were retrospective. The average bias score for the studies was  $2.86 \pm 1.39$  out of a maximum of 16, indicating low risk for bias among the investigations. The most common sources of potential bias were lack of data about primary outcomes and adverse events. Transfusion of components in a 1:1:1 fashion was associated with a

survival benefit during hospitalization, but findings were equivocal for clinical outcomes such as ventilator days and length of stay.

**Conclusions:** Transfusion of blood components in a 1:1:1 ratio is associated with improved survival in patients with trauma who required massive transfusion. The effect of component ratio on other clinical outcomes requires further investigation.

### Introduction

Trauma is the fifth leading cause of death for people of all ages;(1) deaths attributed to unintentional traumatic injury totaled more than 187,000 individuals in the United States in 2011.(2) Trauma hemorrhage was responsible for approximately 50% of all trauma mortality in 2013,(3) and half of trauma deaths that occurred within the first 24 hours after injury.(4, 5) Traumatic hemorrhage was often complicated by coagulopathy, which subsequently worsened the hemorrhage. Recent evaluation of a large trauma database revealed that based on international normalized ratio (INR) and partial thromboplastin time (PTT) values, 42% (INR) and 21% (PTT) of trauma patients, respectively, were coagulopathic on arrival to the emergency department (ED).(6) Coagulopathy has been associated with a 4-fold increase in risk of mortality in trauma patients.(7)

In 2014, investigators reported findings from the Clinical Randomization of an Antifibrinolytic in Significant Haemorrhage-2 (CRASH-2) clinical trial, which included outcomes of more than 27,000 trauma patients from 40 countries; they found that approximately 50% of these trauma patients required transfusion of at least one unit of packed red blood cells (PRBCs) for stabilization.(8) In 2011, trauma and emergency patients received 10.2% of all PRBC transfusions, and 4.4% of all platelet (PLT) transfusions given in American hospitals.(9) According to a recent study of multiple trauma centers, investigators found that approximately 14% of trauma patients who required transfusion received massive transfusion (MT) of blood components.(10) MT has been typically defined as transfusion of 10 or more units of PRBCs in a 24-hour period.(3, 10) Those patients who required MT after trauma hemorrhage were eight times

more likely to develop infection during hospitalization, compared to similarly injured patients who were not transfused (OR 7.97, 95% CI 2.3-27.5, p < 0.001).(11) Trauma patients who received MT also required an average of 5 days longer in the intensive care unit and a 14-day longer hospital length of stay, when compared with those similarly injured, but not transfused.(11) Thus, patients who required MT after trauma are a highly vulnerable population.

Facilities with Level I trauma centers may use a MT protocol to guide management of severe hemorrhage. MT protocols commonly include policies to facilitate the rapid release and delivery of blood components to the patient. Protocols specify those who can activate the protocol, volume of blood components and ratio of components to be released and administered, required laboratory testing prior to and during implementation of the protocol, and outcomes to be evaluated. (12) However, not all trauma centers have these. In 2010, investigators found that although 85% of trauma centers had a MT protocol in place, the majority (65%) of these had been in place for less than five years.(13) Unfortunately, of those facilities with protocols, there was a serious lack of consistency in the number of units, types of components released, and ratio of components to be administered with activation of the protocol. To date, evidence-based practices for MT have not been comprehensively evaluated and synthesized. Thus, the aim of this review was to systematically analyze studies where outcomes were compared based on ratios of blood components administered to adult trauma patients who required MT at Level I or major trauma centers in both military and civilian institutions.

### Methods

We searched PubMed, CINAHL, and MedLine (Ovid) using the key words blood, massive transfusion, emergency, trauma, and ratio, and included studies that: 1) were published in English between 2007 and 2014; 2) were performed at military or civilian Level I or major trauma centers; 3) focused solely on adult trauma patients who received massive transfusion as defined by the investigator; and 4) compared outcomes of groups based on the ratio of blood components administered. The initial search resulted in a total of 664 articles. Examination of title and abstract for relevance, and exclusion of duplicates resulted in 33 articles for inclusion. Hand search and further evaluation of the studies for inclusion limited the review to 21 published studies (Figure 1).

We evaluated the risk of bias in the reviewed studies using a 9-item instrument based on Viswanathan and Berkman,(14) who identified indicators of bias in observational studies (Table 1.). Each published study was evaluated independently by two reviewers for 9 items that evaluated for risk of bias; four items were scored 0 to 1; three items were scored 0 to 2; two items were scored 0 to 3. Item scores were summed for a total score, which ranged from 0 to 16; higher scores indicated greater risk for bias. Scores from the two independent reviewers agreed in 98% of cases. Discrepancies in scoring were discussed until 100% agreement was reached.

# **Quality of Studies**

This review included 21 studies (Table 2.). Only two of the included investigations (15, 16) were prospective; all others were retrospective medical record or database reviews.(17-35) Seven studies (32%) used multicenter data for their analyses.(16, 20, 22, 27, 30, 32, 33) The majority of studies were performed in the United

States (62%)(15, 16, 18-21, 23, 25-27, 30, 31, 33) and in civilian trauma centers (57%).(15, 16, 18-21, 23, 25-27, 30, 31) Studies performed outside of the United States included those from Germany;(22, 32) Australia,(28) and Japan.(35) Three studies were performed in Combat Support Hospitals in Iraq;(17, 24, 34) the investigators of a fourth military study did not disclose the location or source of their data collection, but identified the patients as injured in combat.(29)

In general, the risk of bias for these studies was low. The scores for these studies ranged from 0 to 4 with a mean score of  $2.86 \pm 1.39$  (Table 2). Military studies had a higher risk of bias, with a mean score of  $3.75 \pm 0.5$ . The most common sources of potential bias were lack of reporting of primary outcomes like mortality and length of stay, and adverse events like sepsis and acute respiratory distress syndrome, with only five investigations reporting appropriate primary outcomes (22, 30, 32, 33, 35) and five reporting suitable adverse events.(16, 22, 32, 33, 35)

The mean sample size of these studies was 424 ± 280 participants, with a range of 21 to 1,250 participants; therefore, adequate sample sizes were available for the majority of studies. A mixture of blunt and penetrating trauma patients were included among the majority of studies, with a larger portion of the study population in military studies involving penetrating injuries.(17, 24, 34) Sperry and colleagues (16) and Brown and colleagues (33) included only patients with blunt trauma. Investigators for 2 studies did not report the proportions of blunt versus penetrating trauma.(26, 29) Evidence exists to suggest that blunt trauma patients experienced different mechanisms of tissue and organ injury compared to those with penetrating trauma, with the probability of transfusion likely differing between the groups.(36, 37) Thus, comparison of findings among studies

in this review involving a mixture of patients who suffered blunt and penetrating injuries is limited, as it is highly likely that the extent of their injuries and course of treatment varied.

While the majority of investigators (81%) used the same definition of MT, 10 or more units of PRBCs in a 24-hour timeframe, (15, 17-20, 23-27, 29-35) investigators for nearly one fifth of studies (18%) defined MT differently; thus, meta-analysis was not possible. Definitions ranged from a minimum of 5 (28) to 10 (22) units of PRBCs during a period defined either by the hours following injury (e.g. the first 12 hours (16)), the hours following admission (ranging from 4 (28) to 6 (21)), or the patient location (between ED admission and transfer to the intensive care unit).(22) Thus, variation existed among investigators in the MT definition.

Investigators for 7 studies accounted for survival bias,(18, 20, 22, 23, 27, 30, 32) the concept that certain patients may have been more likely to die earlier than others because they did not live long enough to receive the treatments necessary for survival. These provisions included: exclusion of patients who died within a certain timeframe, i.e. following admission to the emergency room;(20, 27, 30, 32) exclusion based on the location of a patient when death occurred;(23) death occurring prior to admission to the intensive care unit;(22) and death prior to receipt of surgical intervention for injuries.(18) In contrast, some investigators argued that the first six hours of treatment were the most crucial to survival, as this was when coagulopathy correction occurred; thus, patients who died in this timeframe should have been included to inform the process of resuscitation and determine best practice.(21, 24)

The use of biological variables among investigators to either characterize their sample, or control for confounding variables in their analyses ranged from none (19) to 12 measures.(28) The most commonly used physiological measure was blood pressure, which was measured in 17 investigations.(15, 17, 18, 20, 22-24, 26-28, 30-35) Other biological variables less commonly used included: hemoglobin,(17, 21-24, 27-29, 32, 34, 35) blood pH,(16, 20, 21, 24, 28, 30) temperature,(16, 17, 20, 21, 24, 28-30, 33, 34) heart rate,(17, 20, 24, 28-32, 34) respiratory rate,(28, 34) coagulation factors,(17, 20-25, 27-35) base excess/deficit,(16, 17, 20-25, 29-35) lactate,(21, 28, 31, 33, 35) platelet count,(17, 20, 22, 24, 25, 27, 28, 30-32, 34, 35) white blood cell count,(22) fibrinogen,(27, 28) and bicarbonate level.(28) Such variation limited the ability to compare patients and the corresponding findings from the analyses.

In summary, there was a low risk of bias among the studies included in the review. However, comparison of findings across studies remained difficult due to several factors. Inclusion of both blunt and penetrating trauma patients in the majority of studies posed a challenge, as mechanisms of injury and the subsequent course of treatment and recovery may differ between the injury groups. Though the majority of investigators used the same MT definition, roughly 20% did not. Existing controversy surrounding survival bias analysis techniques further limits comparison of findings given the removal of patients from certain analyses based on early death. Finally, biological measures were not consistently reported among investigators; thus, there was an incomplete clinical picture of patients related to transfusion requirements and clinical outcomes.

### Results

### **Ratio of Blood Components Administered**

Prior research evidence suggested that whole blood was superior to blood components for resuscitation of trauma patients, and that transfusion of a 1:1:1 ratio of PRBCs to FFP to PLTs was the closest approximation to whole blood, and was superior to the use of single components, or multiple components in a different ratio for resuscitation.(38-40) The ratio of blood components administered was used in these studies to group patients, and evaluate outcomes associated with the ratio of units of FFP to PRBC units, or units of PLTs to PRBCs. None of the MT protocols reported in these studies included prescription for transfused component ratio. The methods by which investigators chose ratio groupings for comparison purposes was largely arbitrary, with only five investigators citing former studies,(19, 24, 33-35) and investigators for two studies referred to their ratio groupings as clinically relevant without definition.(15, 27)

Investigators categorized ratios as high, medium, or low, used a numerical range, or included both strategies. A low ratio of FFP to PRBC ranged from < 1:1.5 (25, 31-33, 35) to < 1:18 (15) indicating that for every unit of FFP, 1.5 to 18 units of PRBCs were administered. The medium range included values between > 1:8 to  $\leq$  1:3,(26)  $\geq$  1:18 to  $\leq$  1:12,(15) and  $\leq$  1:1.5 to  $\geq$  1:2;(35) this, again, translated into administration of 1 unit of FFP for every 1.5 to 18 units of PRBCs. There was obvious overlap with the low ratio group in some categorization schemas. The high ratio ranged from  $\geq$  1:2,(25, 31, 32) to  $\geq$  1:12 to  $\leq$  1:6,(15) or  $\leq$  1:2,(35) which would indicate that for every unit of FFP,  $\leq$  2 to 12 units of PRBCs were administered. The high ratio was considered the closest to the 1:1 ratio intended to mimic whole blood; however, the variability in this definition was quite

large. Investigators for two military studies defined a low ratio as < 1:4,(29) or 1:8;(17) the high ratio was defined as > 1:2, and 1:1.4, respectively, indicating that for every unit of FFP, the patient received anywhere from 1.4 to 8 units of PRBCs. Given the wide range in definition of ratio groups and administration of components, comparison of findings among patients is limited.

The categorization of PLT:PRBC ratios was similar to that of FFP:PRBCs. Low ratios, the closest to the 1:1:1 ratio, ranged from < 1:18 (15) to < 1:2,(20) with one group of investigators using > 1:20 (30) as a low ratio category, which indicated that for each unit of PLTs, the patient received 2 to 18 units of PRBCs. Thus, these categorization strategies were also inconsistent. Few investigators used a medium range for PLT:PRBC ratios.(15, 24, 27, 30) Of those investigators who reported a medium ratio range, ratio values included > 1:18 to < 1:12,(15) 1:16 to < 1:8,(24) > 1:4 to 1:1,(27) and 1:2, meaning that patients received 1 to 18 units of PRBCs for each unit of PLTs received.(30) High ratios were equally as varied, and were defined as anywhere from > 1:1(27) to > 1:12 to < 1:6, indicating that patients received 1 to 12 units of PRBCs for every unit of PLTs.(15) Inaba and colleagues (15) were the only investigators to include a highest ratio group, which consisted of a ratio > 1:6, which indicated that patients received more than 1 unit of PLTs for every 6 units of PRBCs transfused. As a result of the wide variety of ratio groupings and the lack of consistency in the methods by which groups were chosen, comparisons of outcomes based on component ratio was not possible.

### MT and Outcomes

# Association of component ratio and mortality

Mortality was evaluated at 6,(22, 27, 31-35) 12,(15, 33) and 24 hours,(15, 16, 22, 24, 25, 27, 28, 30, 32-35) 30 days, (19, 22, 24, 27, 28, 30, 32, 34) and/or at hospital discharge.(15-18, 20, 21, 23, 25-29, 31, 32, 35) For the purpose of our review of findings, the mortality results from the latest point in hospitalization are presented and summarized from investigators who analyzed this outcome at multiple times. Ten of the 17 investigators (59%) who focused on FFP:PRBC ratios ultimately concluded there was significant survival benefit when the FFP:PRBC ratio neared 1:1; the decrease in mortality ranged from 4% to 64%.(17-20, 22, 23, 26, 27, 32, 33) (See Figure 2.) In contrast, investigators for seven studies (41%) found no difference in mortality based on FFP:PRBC ratio groups.(16, 21, 25, 28, 29, 31, 35) Holcomb and colleagues (20) found that a FFP:PRBC ratio of > 1:2 was associated with a 20% higher 30-day survival among a mixture of military and civilian patients; while Borgman and colleagues (17) identified an average mortality reduction of 46% when military patients received a ratio closer to 1:1 compared with those who received a ratio further from 1:1. In contrast, Van and colleagues found no difference in mortality based on component ratio in military trauma patients.(29)

Investigators who studied the association of PLT:PRBC reported similar findings; investigators for seven of the eight studies (88%) concluded that there was superior survival for both military and civilian patients who received PLT:PRBC ratio closest to 1:1.(15, 19, 20, 24, 27, 30, 33) (See Figure 3.) Differences in mortality rates between ratio groups were less dramatic than those reported for FFP:PRBC ratios, and ranged

from 8%(33) to 49%.(15) Only one group of investigators did not find a significant difference in mortality between high and low ratio groups.(34) Interestingly, this study included only combat casualties. These investigators reported an inability to perform time-dependent analyses, and a lack of data to permit control of crystalloid infusion volume; thus, the difference in findings may be a function of lack of control for confounding variables.(34) In summary, administration of blood components close to 1:1:1 for PRBCs:FFP:PLTs was significantly associated with reduced mortality at 6,(22, 27, 32, 33) 12,(15, 33) and 24 hours,(15, 22, 24, 27, 30, 32, 33) 30 days,(19, 22, 24, 27, 30, 32) and at hospital discharge in the majority of these studies.(15, 17, 18, 20, 23, 26, 27, 32)

# Association of component ratio and clinical outcomes

Clinical outcomes in addition to mortality were evaluated by investigators for 15 of 21 studies (71%).(16, 17, 20, 22-25, 27-30, 32-35) Investigators in 9 studies focused on outcomes for those receiving FFP:PRBC high and low ratios;(16, 17, 22, 23, 25, 28, 29, 32, 35) three other studies were focused on PLT:PRBC ratios,(24, 30, 34) and another three studies were focused on patients who received both FFP:PRBC and PLT:PRBC ratios.(20, 27, 33) The most frequently reported outcomes among these 15 investigations included multiple organ failure,(16, 17, 20, 22, 24, 25, 30, 32-34) intensive care unit (ICU) length of stay, alternatively reported as ICU-free days,(16, 20, 22, 23, 28, 30, 32, 33, 35) hospital length of stay, alternatively reported as hospital-free days,(16, 20, 22, 28, 30, 32, 33, 35) and ventilator days or hours, alternatively reported as ventilator-free days or hours.(16, 20, 22, 27, 28, 30, 32)

Investigators for 3 studies found significant differences in rates of multiple organ failure between a high ratio (closer to 1:1) and a low ratio (two FFP:PRBC studies, one PLT:PRBC study).(22,30,34) Those in the low ratio groups experienced an average multiple organ failure rate of 27%, compared to those in the high groups who experienced an average rate of 47%.(22, 30, 34) Investigators for 4 studies found significant differences between FFP:PRBC ratio groups, with those who received high ratios experiencing hospital lengths of stay averaging 15.5 days longer than those in the low ratio groups.(16,22,32,33) Holcomb and colleagues reported a greater average of hospital-free days for those who received a combination of high FFP:PRBC and high PLT:PRBC ratios (6  $\pm$  8 days) compared with those who received high FFP:PRBC but low PLT:PRBC ratios (3  $\pm$  6 days), and for those who received a combination of low FFP:PRBC with high PLT:PRBC (5  $\pm$  8 days) compared with those who received low FFP:PRBC but low PLT:PRBC ratios (3  $\pm$  7 days, p < 0.001 across all groups).(20)

The average ICU length of stay reported in days was  $15.5 \pm 4.4$  for high ratio groups and  $14.1 \pm 6.3$  days for low ratio groups; average ICU-free days numbered  $7.5 \pm 3.5$  days for high ratio groups and  $5.5 \pm 3.5$  days for low ratio groups. However, findings related to ICU length of stay related to ratio groups in individual studies were mixed. Investigators for four studies reported a greater length of stay averaging 4.6 days longer for those who received a high FFP:PRBC ratio (closer to 1:1) compared with those who received a low ratio,(16, 22, 28, 32) and one investigator for another study reported greater length of stay for those in the high PLT:PRBC ratio group versus the low (high 18 days, low 15 days, p < 0.01).(33) However, more ICU-free days (shorter length of stay) were reported for patients who received high ratios for both FFP:PRBC and PLT:PRBC

(average 5 ICU-free days) compared with low (average 3 ICU-free days),(20) as well as for those who received high PLT:PRBC only (high, average 10 ICU-free days vs low, average 8 ICU-free days).(30)

Findings related to ventilation time were similarly varied. Investigators in 7 studies reported significant differences in ventilation duration between high and low ratio groups. The average number of ventilator days was  $12 \pm 3.6$  for those in high ratio groups and  $7.8 \pm 5.6$  days for those in low ratio groups;(16,22,28) patients in the high ratio groups experienced an average of  $9.5 \pm 2.9$  ventilator-free days compared to an average of  $6 \pm 2.9$  ventilator-free days for those in low ratio groups.(20,27,30,32) Four of the 15 investigations (27%) identified no difference between the ratio groups for any clinical outcome evaluated.(17, 24, 25, 35)

Therefore, while administration of components in a 1:1:1 fashion may be more beneficial to survival, those who do survive could experience greater complication rates, hospital/ICU length of stay, and greater ventilator days. Greater complication rates and lengths of stay may be due to the severity of illness and/or the presence of comorbidities further complicating severity of illness. Alternatively, shorter lengths of stay and ventilation times for those in the low ratio groups may be the result of early deaths due to clinical complications or severe illness. Further research is required to fully understand this relationship.

### **Discussion**

MT protocols are still fairly new among trauma centers, but may be beneficial in guiding treatment of critically injured patients. Evidence exists to support a decrease in mortality,(41, 42) length of stay,(42) and ventilator days (42) with the use of MT

protocols in resuscitation of trauma patients. Though guidelines from the American College of Surgeons are available to aid practitioners in their methods of transfusion and development of MT protocols, (43) no standardized MT protocol is used across trauma centers. As a result, protocols lack consistency in the physiological requirements for activation and cessation of component delivery, the clinicians who may activate them or stop delivery of components, and the amounts of components delivered to the bedside upon activation. Furthermore, protocols do not prescribe ratios of components to be transfused, only the amounts released from the blood bank upon activation. Some investigators argue, however, that the treatment prescribed by MT protocols may not be applicable for all massively hemorrhaging patients; (44) thereby removing the need for consistency among protocols and allowing clinician discretion in administration of components as needed.

The most effective ratios of components transfused during MT for survival and outcomes benefits remains controversial as well. Our review suggested transfusion of components in a 1:1:1 fashion may produce superior survival in trauma patients, but could also result in prolonged lengths of stay and increased complications. Neal and colleagues (45) concluded that the evidence to support a 1:1:1 ratio of components was "impressive", and recommended the use of a 1:1:1 ratio in resuscitation practices.

Investigators have found similar results in patients with both blunt and penetrating trauma, with those receiving closer to a 1:1:1 ratio experiencing better outcomes.(37) The same group of investigators suggested a more beneficial effect of the 1:1:1 ratio in male trauma patients compared with female, possibly as a result of inherent hormonal differences.(47) Mixed findings existed in the current analysis with regards to the three

military studies, potentially the result of different injury patterns and treatment methods, as compared to those experienced by civilian patients. Thus, further investigation is warranted to determine best practice in general in patients with trauma, and more specifically between the genders and in military versus civilian populations.

Studies included in this review were primarily retrospective or observational, with minimal bias issues. Two of the greatest sources of bias were in the reporting of primary outcomes and adverse events. This may be due to the wide range of outcomes and adverse events patients transfused after trauma experienced during hospitalization. The third source of bias was a lack of control of confounding variables in analyses, found in 12 (57%) of the studies. This could be the result of limited data availability or missing data given the nature of retrospective studies, and the chaotic atmosphere surrounding trauma resuscitation. Alternatively, some investigators may have chosen to exclude confounding factors for unknown reasons.

In either case, a lack of confounding factors in over half of the studies greatly impaired comparison of findings. For example, Riskin and colleagues (47) included 10 variables in their prediction model, including transfusion-related variables, age, and injury characteristics. Similarly, Perkins and colleagues included 12 variables much like those used by Riskin et al., but these investigators added laboratory coagulation values and body temperature.(24) In contrast, Gunter and colleagues used only three regression variables: Trauma Related Injury Severity Score, age, and the intra-operative ratio of transfused components.(19) More consistency among investigators in control of confounding variables in analyses is critical for valid findings and interpretations.

Another factor to be considered is the effect of survival bias. Survival bias presents major issues in data analysis and associated findings, especially in trauma resuscitation literature. (48) Though exclusion of patients prior to a certain time point (e.g. the first 30 minutes following admission) provides a more homogeneous sample, this also eliminates a specific type of patient/experience that may be vital to understanding the population and phenomenon of interest. Investigators suggest the use of time-dependent analyses such as Cox proportional hazards models to help reduce survival bias, using timeframes in which no patients died (e.g. total transfused volume in the first 24 hours following injury).(49) Use of such techniques may lead to alternate findings compared to more traditional techniques like logistic or linear regression. In fact, several investigators cited in this study found conflicting results in their own analyses, noting a survival benefit initially, but finding no survival benefit once adjusting for survival bias.(25, 31) Other investigators note the importance of including confounding factors related to transfusion but not involving the amount or ratio of components transfused that may be associated with patient survival, such as changes in hospital protocol calling for reduced crystalloid infusion or mandating earlier transfusion of certain components. (48)

Though the findings in this review support 1:1:1 transfusion, it should be emphasized that all studies reviewed were retrospective or observational, and not all investigators found an increased survival benefit with this combination of components. In fact, it is due to the nature of studies such as these and the issues with survival bias that the Canadian National Advisory Committee on Blood and Blood Products determined in 2011 that the evidence was not sufficient to warrant changes in their massive transfusion treatment recommendations to include a 1:1:1 transfusion ratio.(50) Randomized clinical

trials are thus required to determine best practice for this population; however, these are often time-sensitive and consume a tremendous amount of resources. Though few studies of this nature have been performed in patients with trauma who require massive transfusion due to economic, ethical, and logistic challenges, investigators believe it is possible despite these obstacles.(51, 52)

### Conclusion

The majority of evidence in our review supported transfusion of packed red blood cells, fresh frozen plasma, and platelets in a 1:1:1 fashion in massively hemorrhaging patients after trauma for superior survival. Clinical outcome benefits remain equivocal and require further investigation. Randomized controlled trials are necessary to adequately address transfusion practice in this population.

# Acknowledgments

None

**Table 3.1 Bias Assessment Instrument\*** 

Criteria	Scoring Options
Inclusion/exclusion criteria across groups	Not applicable (0)
	No, does not vary across groups (0)
	Partially varies across groups (1)
	Cannot determine (2)
	Yes, varies across groups (3)
Selection of appropriate comparison group	Not applicable (0)
	Not inappropriate (0)
	Cannot determine (1)
	Yes, inappropriate (2)
Valid/reliable measures used across groups	Yes, valid/reliable measures used (0)
	Cannot determine or not reported (1)
	No, valid/reliable measures not used (2)
Length of follow-up across groups	Not applicable (0)
	No, not different; remedied through
	analysis (0)
	Yes, different or cannot determine (1)
Important outcomes missing from results	No, none missing (0)
	Cannot determine (1)
	Yes, some missing (2)
Important harms or adverse events missing	No, none missing (0)
from results	Not applicable to this study (0)
	Yes, some missing (1)
Results believable	Yes, believable (0)
	No, not believable (1)
Attempts to balance groups	Not applicable (0)
	Yes, accounts for imbalance statistically (0)
	No or cannot determine (1)
Confounding variables missing from	No, taken into account (0)
design	Partially – some included (1)
	Cannot determine (2)
	Yes, not accounted for or not identified (3)

<sup>\*</sup> Instrument based on Viswanathan M, Berkman ND. Development of the RTI item bank on risk of bias and precision of observational studies. J Clin Epidemiol. 2012 Feb; 65(2):163-78.

**Table 3.2 Description of Included Studies (n = 21)** 

Citation	Setting	MT Protocol/Definition	Sample	Methods	Findings	Quality Score
Borgman et al. (2007)	Unidentified Combat Support Hospital, Iraq	- MT definition: 10 ≥ units PRBC or fresh whole blood within 24 hours from admission - FFP:PRBC ratio groups chosen based on median ratios determined by bootstrapping technique: low (1:8), medium (1:2.5), high (1:1.4) - No MT protocol identified	- Trauma patients admitted to combat support hospital who received a MT - N = 246; 94% penetrating injuries; 3 female patients; median age 24 years; 28% mortality	Retrospective review of admissions in the Joint Theater Trauma Registry from between November 2003 – September 2005	- Mortality of low, medium, and high groups were 65%, 34%, and 19%, respectively (p < 0.001) - Low plasma ratio group did not receive platelets; platelets only used in 27% of patients - Median time of death measured in hours from admission to the hospital was 2 hours in the low group (IQR 1-4), 4 hours in the medium group (IQR 2-16), and 38 hours in the high group (IQR 4-155) (p < 0.001) - FFP:PRBC ratio independently associated with overall survival (OR 8.6, 95% CI 2.1 – 35.2, p = 0.003), as was base deficit (OR 0.89, 95% CI 0.84 – 0.95, p < 0.001)	3
Duchesne et al. (2008)	Charity Hospital, New Orleans, LA	- MT definition: > 10 units PRBC in first 24 hours of admission - FFP:PRBC ratio groups: patients grouped into those who received < 10 units PRBC and those who received > 10 units PRBC during the first 24 hours following injury; further divided into those receiving FFP:PRBC ratio of 1:1 and 1:4 - Protocol activated by trauma surgeon; calls for 6 units PRBC, 6 FFP, 6 platelets, and 10 of cryoprecipitate	- Adult trauma patients who required surgical intervention; Patients with ≤ 10 units PRBC (N = 250): 31 ± 13, 85% male, 58% penetrating injuries, 17% died; Patients with > 10 units PRBC (n = 135): 33 ± 11, 86% male, 72% penetrating injuries, 56% died	Retrospective review of admissions to trauma intensive care unit between January 2002 and December 2006	- In patients who received > 10 units PRBC, significant difference in mortality in those patients with a 1:4 ratio versus those with a 1:1 ratio (87.5% vs 26%, p = 0.0001)	4

Table 3.2, Cont.

Gunter et al. (2008)	Vanderbilt University Medical Center, Nashville, TN	- MT: ≥ 10 PRBC in first 24 hours - Groups separated for analysis based on FFP:PRBC ratio: 0:1 – 1:2.9; 1:3 – 1:1.49; 1:1.5 – 0.9:1; ≥ 1:1 - 24-hr blood product use calculated; patients separated into groups based on FFP:PRBC and PLT:PRBC ratios intraoperatively and for first 24 hours after admission; outcomes evaluated for those with FFP:PRBC ratio of ≥ 2:3 and PLT:PRBC ratio of ≤ 1:5; cut-points chosen based on previous studies - Attending trauma surgeon activates Trauma Exsanguination Protocol (TEP) - Protocol includes blood product ratio of: 10 units PRBC, 4 units AB negative FFP, 2 units PLT	- Pre-TEP: n = 140, median age: 36 years (24-50), 76% male, 61% penetrating injuries; Post-TEP: n = 119, median age: 30 (24-43), 75% male, 48% penetrating injuries	Retrospective review of post-TEP protocol initiation admissions between Feb. 1, 2009 and July 31, 2007; Comparison cohort of pre-TEP admissions selected from trauma admissions between August 1, 2004 and January 31, 2006	- Both FFP:PRBC and PLT:PRBC ratios higher (closer to 1:1) for survivors - Patients with intraoperative and 24-hr FFP:PRBC ratio ≥ 2:3 more likely to survive -Patients who did not achieve a PLT:PRBC ratio of ≥ 1:5 less likely to survive - Lowest 30-d mortality was intraoperative FFP:PRBC ratio between 1:1.5 − 1:1.01; significantly less than mortality rates for all other ratio ranges (p < 0.001)	4
Holcomb et al. (2008)	16 level-I trauma centers in the United States	- MT definition: ≥ 10 units PRBC in 24 hours - Patients categorized by FFP:PRBC ratio and PLT:PRBC ratio - FFP:RBC ratio groups included low (<1:2) and high (≥ 1:2) - PLT:PRBC ratio groups included low (<1:2) and high (≥ 1:2) - Patients further grouped based on both FFP:PRBC and PLT:PRBC (1: high-high; 2: high-low; 3: low-high; and 4: low-low) - No protocols specified for any of the 16 centers	- Patients who arrived from the scene of the trauma, and who received at least 1 unit PRBC in the emergency department, irrespective of mechanism of injury (N = 467); - Excluded those who died within 30 minutes of arrival (n = 1) leaving a total of 466 MT patients for analysis - Mean age 39 ± 18; 76% male; 65% blunt injury	- Retrospective multi- center review of transfused trauma patients admitted between July 2005 and June 2006	- A FFP:PRBC ratio of ≥ 1:2 was associated with improved 30-day survival (40.4% vs 59.6%, p < 0.01) - A PLT:PRBC ratio of ≥ 1:2 was associated with improved 30-day survival as well (40.1% vs 59.9%, p < 0.01) - Group 1 (high-high ratios) had increased survival compared with the other 3 groups at 6 and 24 hours (p < 0.001) - Those who received high PLT:PRBC and FFP:PRBC ratios had increased ventilator-free, ICU-free, and hospital-free days (p < 0.001) compared to those who received low PLT:PRBC and FFP:PRBC ratios	4

Table 3.2, Cont.

Kashuk et al. (2008)	Denver Health Medical Center (DHMC), Denver, CO	- MT definition: > 10 units PRBC in first 6 hours after admission - FFP:PRBC ratio divided into 1:1, 1:2, 1:3, 1:4, and ≥ 1:5 - No formal protocol in place at time of review	- All patients undergoing massive transfusion; N = 133; mean age 34.9 ± 17.1; overall mortality 56%; death from penetrating trauma 41%; death from blunt trauma 59%	- Retrospective review of trauma center's registry and transfusion registry maintained by blood bank including patients admitted to DHMC from 2001 to 2006	- Multiple logistic regression significant independent variables ( <i>p</i> < 0.05, OR 95%): PRBC units transfused at 6 hours (OR = 1.248, 1.038-1.051), ED temperature < 34° (OR = 15.491, 1.376 – 174.396), age > 55 years (OR = 40.531, 5.315 – 309.077) **instead of a linear correlation, followed a U-shaped relationship with death as an end point - Median FFP:PRBC ratio for all survivors was 1:2, and for non-survivors, 1:4 -> 80% of transfusion requirements were completed within the first 6 hours after ED admission	3
Maegele et al. (2008)	70 hospitals in Germany contributing to the Trauma Registry of the Deutsche Gesellschaft für Unfallchirurgie (TR-DGU)	- MT definition: minimum of 10 units PRBC between ER arrival and ICU admission - Ratio definitions: Group 1 (PRBC:FFP – ONLY STUDY TO REVERSE RATIO) > 1:1; Group 2 (PRBC:FFP 0.9- 1.1 or 1:1); Group 3 (PRBC:FFP < 0.9 No protocol identified	- Primary admissions to the trauma center; patients with ISS ≥ 16, who met definition of MT (N = 713) - 69.7% male; mean age 40.1 18; mortality Group 1 45.9%, Group 2 36%, Group 3 30.4%; blunt injury Group 1 92.3%, Group 2 87.7%, Group 3 97.4%	- Retrospective review of data collected from TR- DGU from 2002 to 2006	- All mortality rates (6 hr, 24 hr, 30-day) were highest among Group 1 (PRBC:FFP > 1.1) - Acute (< 24 hr) and 30-day mortality were lowest in patients who had a PRBC:FFP ratio < 0.9	1

Table 3.2, Cont.

Sperry	Seven	- MT	- Patients required ≥ 8	- Prospective cohort	- No statistically significant	2
et al.	institutions	definition: $\geq 8$	units PRBC within the	study: Inflammation	differences found in	
(2008)	across the	units PRBC in	first 12 hours after injury,	and the Host Response	mortality between those	
` ′	US	first 12 hours	blunt mechanism of	to Injury Large Scale	receiving the high ratio and	
		after injury	injury, presence of pre-	Collaborative Program	those receiving the low	
		- Ratio	hospital or emergency	supported by the	- Those who received a	
		definitions for	department systolic	National Institute of	high ratio had a reduced	
		FFP:PRBC	hypotension or an	General Medical	blood transfusion	
		were: high (≥	elevated base deficit, any	Sciences; patients	requirement at 12 and 24	
		1:1.5), low (<	body region exclusive of	admitted between	hours post-injury (p =	
		1:1.5)	the brain with an	November 2003 –	0.001)	
		- Low ratio	abbreviated injury score	March 2007	-Survival curves overall	
		group further	$\geq$ 2, between ages of 16		were not statistically	
		broken down:	and 90		significant; however, the	
		1:2 (1:1.51 –	- $N = 415$ ; median age 41		mortality rate at day 1 post-	
		1:2.5), 1:3 to	(IQR 25-54); 76.5% male		injury was significantly	
		1:4 (1:2.51 –	in the high group, 68.4%		lower in the high ratio	
		1:4.5), and $\leq$	male in the low group;		group (3.9% vs 12.8%, p =	
		1:5 (≤1:4.51)	overall mortality 33.5%;		0.012)	
		<ul> <li>No protocol</li> </ul>	median amount units		- Those who received a	
		identified	blood transfused in first		high FFP:PRBC ratio had a	
			12 hours for entire cohort		52% decrease in the	
			14 units (IQR 14-22)		likelihood of mortality (HR	
					0.48, p = 0.002, 95%  CI  0.3	
					- 0.8); variation of the	
					model to include only	
					patients who received	
					between 4-8 units of blood	
					did not reach significance,	
					indicating that the effect of	
					the ratio may only apply to	
					those being massively	
					transfused	

Table 3.2, Cont.

Duchesne et al. (2009)	Unidentified Level-I urban trauma center in the United States	- MT definition: ≥ 10 units PRBC within 24 hours - Patients grouped according to ratio of FFP:PRBC (1:1, 1:2, 1:3, 1:4) - no designation of "high" or "low" groups - MT protocol: 6 units FFP and 6 units PRBC available in the trauma bay; protocol activated by trauma surgeon	- Patients with initial trauma induced coagulopathy diagnosed on arrival to the emergency department, who required FFP and > 10 units PRBC in the OR during initial surgical intervention - N = 135; mean age 35; 88.7% male; mean ISS 21.7; 68.7% penetrating trauma; 1:1 group – 46 (34.1%), 1:2 group – 26 (19.2%), 1:3 group – 20 (14.8%), 1:4 group – 43 (31.8%)	- Retrospective review of trauma patients admitted between January 2001 to December 2007	- 31% of population diagnosed with trauma-induced coagulopathy on arrival  - No significant differences in demographic or clinical variables among groups - Patients in 1:4 group experienced 13 day increase in Trauma ICU length of stay compared with 1:1 group (p < 0.01) - Overall mortality per transfusion group: 1:1 – 28.3%; 1:2 – 38.5%; 1:3 – 40.0%; 1:4 – 51.2% - Overall mortality differences statistically significant between 1:1 group (28.2%) and 1:4 group (51.1%) (p = 0.03) - Mortality in operating room for 1:1 group was 8.7% vs 34.9% in 1:4 group (p < 0.01); mortality was similar between these groups after arrival to Trauma ICU - Patients in the 1:3 and 1:4 ratio groups were 3.76 (p = 0.03, 95% CI 1.18-11.9) and 4.17 (p < 0.01, 95% CI 1.48 – 11.7) more likely to die in the operating room; risk of dying was not significantly different between groups after Trauma ICU admission	3
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Table 3.2, Cont.

Perkins et al. (2009)	US Army Combat Support Hospital at Ibn Sina Hospital in Baghdad, Iraq	- MT definition: ≥ 10 units of blood within 24 hours - Patients grouped based on ratio of PLT:PRBC; low ratio (< 1:16), medium (1:16 to < 1:8), and high (≥ 1:8) - MT protocol in place to guide resuscitation; not specified further	- Patients who received ≥ 10 of blood within 24 hours; excluded those who received fresh whole blood - N = 464; Median age 27-28; > 95% male, median ISS 20 - 21, > 90% penetrating trauma; 214 patients were in the low ratio group, 154 in the medium, and 96 in the high	- Retrospective review of trauma patients admitted between January 2004 – December 2006	- Survival at 24 hours was 64%, 87% and 95% in the low, medium, and high ratio groups respectively ( $\chi^2$ , p < 0.001 for low versus medium and high PLT ratio group comparisons, $\chi^2$ , p = 0.04 for medium versus high PLT ratio group comparisons); most deaths in the first 24 hours occurred within the first 6 hours after admission - 30-day survival differed between low (42.7%), medium (60%), and high (75%) groups as well (p < 0.001, log rank comparison) - Variables independently associated with decreased mortality at 24 hours included: FFP ratio (OR, 0.94, p < 0.001, 95% CI 0.91 – 0.96), PLT ratio (OR, 0.82, p = 0.002, 95% CI 0.72 – 0.93)	4
Snyder et al. (2009)	University of Alabama- Birmingham Hospital, Birmingham, AL	-MT: ≥ 10 units of PRBC during the first 24 hours of admission - No ratio groups pre-defined - No protocol in place	- All trauma patients massively transfused (N = 134); Survivors (n = 67) - mean age 36.8, 64.2% male, 40.3% blunt injury; Non-survivors (n = 67) - mean age 41.6, 77.6% male, 38.8% blunt injury	- Retrospective chart review of admissions between January 2005 and January 2007;to calculate ratio of blood products used in resuscitation; formula used: FFP:PRBC ratio = F/(B+C) where C represents total auto-transfused cell saver units	- 68% of 24-hour totals were given in the first 6 hours of admission; 92% in the first 12 hours - First PRBC unit given at a median of 18 mins; first FFP unit given at a median of 93 mins - Patients in high-ratio group had a significantly lower risk of death compared with lowratio group (RR, 0.37; 95% CI 0.22-0.64) - Majority of deaths for lowratio group occurred within the first 6 hours of admission; majority of deaths for high-ratio group occurred after initial 24 hours	3

Table 3.2, Cont.

Teixeira et al. (2009)	Los Angeles County + University of Southern California Medical Center, Los Angeles, CA	- MT: ≥ 10 units PRBC during the initial 24 hours after admission - Four groups of FFP:PRBC ratios: Low (≤ 1:8), Medium (> 1:8 and ≤ 1:3), High (> 1:3 and ≤ 1:2), Highest (> 1:2) - No MT protocol in place during study period	- Trauma patients receiving MT without severe head injury; N = 383; mean age 32 ± 15; 87% male;	- Retrospective study using Trauma Registry and the Blood Bank Database, between 2000- 2005	- Mean units FFP per patient increased 83% from 2000 to 2005 (not statistically significant, <i>p</i> = 0.07) - FFP:PRBC second most important predictor of outcome after GCS on admission (Adj OR = 0.02, 95% CI 0.01 – 0.07, R² = 0.47, ROC = 0.86) - Increased likelihood of death if the FFP:PRBC ratio ≤ 1:3 - Mean ratio for survivors was 1:2.1 compared with 1:3.7 for non-survivors ( <i>p</i> < 0.001)	4
Zink et al. (2009)	16 level-I trauma centers across the US	- MT: ≥ 10 units PRBC in the first 24 hours after injury - Ratio definitions for both FFP:PRBC and PLT:PRBC were: Low (< 1:4), Medium (≥ 1:4 to 1:1), High (≥ 1:1) - No protocol specified	- All trauma patients age 16 and older who received any PRBCs within 24 hours of admission; excluded those who died within 30 minutes of arrival - N = 452; mean age for FFP:PRBC patients ranged from 28.3 - 36; for PLT:PRBC patients ranged from 34-36; > 70% male for both FFP:PRBC and PLT:PRBC groups; overall mortality 41%	- Retrospective review of trauma patient records from those injured between July 2005 and June 2006	- Patients who received a FFP:PRBC ratio of < 1:1 within the first 6 hours after admission required a median of 18 units of PRBC throughout the first 24 hours; those who received a ratio of ≥ 1:1 only required a median of 13 units PRBC (p < 0.001) - No significant differences seen in respiratory outcomes based on ratio of FFP:PRBC or PLT:PRBC	4
Inaba et al. (2010)	Los Angeles County + University of Southern California Medical Center, Los Angeles, CA	- MT: ≥ 10 units PRBC during initial 24 hours after admission - aPLT:PRBC Ratio definitions defined: Low (< 1:18), Medium (≥ 1:18 to < 1:12), High (≥ 1:12 to < 1:6), and Highest (≥ 1:6) - No protocol identified	- All trauma patients receiving a PRBC transfusion; N = 657; 12.6% ≥ 55 years; 83.6% male; 54.8% penetrating trauma; Low ratio N = 171; Medium ratio N = 77; High ratio N = 249; Highest ratio N = 160	- Prospective study; 9-year observation period ending December 2008	- As the aPLT ratio increased, a step-wise decrease in mortality was seen (72.1% to 33.1%, adj. $p < 0.001$ ) - aPLT:PRBC ratio independently associated with improved survival at 24 hours (OR 0.92, CI 0.89-0.95), ( $R^2 = 0.54$ ) - Highest ratio group 14.2% 12-hr mortality vs Low ratio group 48.2% 12-hr mortality (adj. $p < 0.001$ )	3

Table 3.2, Cont.

Mitra et al. (2010)	Alfred Emergency & Trauma Centre, Victoria, Australia	- MT: ≥ 5 units PRBC transfused within the first 4 hours - FFP:PRBC ratio groups: >1:1.5, >1:2.5 to 1:1.5, >1:3.5 to 1:2.5, ≤ 1:3.5 - MT protocol: 4 units PRBCs and 4 units (600 mL) of FFP (1:1 ratio) - was not audited or formally used during study period	- All trauma patients who presented to the emergency department and received MT (N = 331) - Mean age 42.1 ±19.3, 86.4% blunt trauma, 68.3% suffered head injuries, 29.9% mortality	- Retrospective review of patient records from the Alfred Hospital database from July 2004 – August 2008	- No significant differences in mortality among the ratio groups - The FFP:PRBC ratio in the first 4 hours was not significantly associated with overall mortality	4
Van et al. (2010)	No location specified; protocol approved by Institutional Review Board at Brooke Army Medical Center	- MT: > 10 units PRBC in 24 hours; one unit fresh whole blood (FWB) equivalent to one unit PRBC and one unit FFP - Groups based on FFP:PRBC ratio: low (<1:4), mid (1:4 to 1:2), high (>1:2) - No protocol identified	-All patients with isolated extremity injuries who received at least 1 unit PRBC (N = 703) - MT: mean age 25.7, heart rate 117, temperature 97.6, base deficit 8.0; Non-MT: mean age 25.8, heart rate 99, temperature 98.2, base deficit 3.7 - MT mortality 17.2% (low ratio), 8.5% (mid ratio), 6.9% (high ratio)	- Retrospective review of database of combat-injured patients from March 3003 to June 2008	- No significant differences in mortality rates among both the MT and non-MT ratio groups - In non-MT population, those who received a low ratio had a significantly lower incidence of pulmonary embolus than those in the mid and high groups (1.4 vs 6.0 and 1.4 vs 6.7, respectively, p < 0.05)	4
Holcomb et al. (2011)	22 Level I Trauma Centers across the United States	- MT: ≥ 10 units PRBC within 24 hours of admission; apheresis platelet unites were converted to pooled PLT (1 aPLT unit = 6 pooled PLT units) - Ratio definitions for PLT:PRBC groups: Low (>1:20), Medium (1:2), and High (1:1) - No protocol identified	- All patients admitted directly to the emergency department from the scene of injury who received at least one unit of PRBC in the emergency department (N = 643); excluded those who received MT and died within 60 minutes of arrival - Mean age 39 ± 18; 75% male; 66% blunt trauma; mean ISS 33 ± 16; 30 day survival 60%; 24 hour survival 72%; 6 hour survival 83% - Overall survival by group: 50% (Low); 55% (Medium); 69% (High)	- Retrospective multicenter institutional review of trauma patients admitted between July 2005 and June 2006	- Increased PLT ratios were associated with improved survival at 6 hours, 24 hours, and 30 days ( <i>p</i> < 0.001 for all groups) -70% of those who died within 30 days of admission died within the first 24 hours following admission; 50% died within the first 6 hours of admission - Median transfusion rates were clinically similar across the three groups indicating that patients were bleeding at approximately the same rate - Patients in the low and medium ratio groups experienced a higher risk of mortality compared with those in the high ratio group (RR = 2.81, 95% CI 1.36-5.8, <i>p</i> = 0.005 and RR 3.13, 95% CI 1.52-6.45, <i>p</i> = 0.002 respectively)	1

Table 3.2, Cont.

Magnotti	Presley	- MT: $\geq$ 10 units of	- Patients admitted to	Retrospective	- 59% low-ratio 24-hr	3
et al.	Memorial	PRBC during the first	the trauma center after	review of the	mortality; 38% high-	
(2011)	Trauma	24 hours of admission	trauma activation (N =	resuscitation	ratio 24-hr mortality	
	Center	- FFP:PRBC Ratio	103); mean age 38;	registry at PMTC	- 6-hr mortality was	
	(PMTC),	definitions: Low (<	69% male; 63% blunt	between March	10% in high-ratio	
	Shelby	1:2), High (> 1:2)	trauma; Survivors n =	2008 and December	patients vs 48% in	
	County, TN	- Rapid Infusion	57; Non-survivors n =	2007	low-ratio group (p <	
		System protocol:	46; High-Ratio n = 66;		0.002); cause of death	
		anesthesiologist will	Low-Ratio $n = 37$		within the first 6 hours	
		receive 10 units each			was uncontrolled	
		of PRBC, FFP, and			hemorrhage	
		PLT			- After those deaths	
					that occurred within	
					the first 6 hours, both	
					groups (high- and low-	
					ratio) were clinically	
					similar from 6 to 24	
					hours	

Table 3.2, Cont.

Peiniger	116 trauma centers	- MT: > 10	- Patients admitted to the	Retrospective	- 80% of patients presented	1
et al.	contributing to the	units of	hospital directly from	analysis of all	with signs of coagulopathy	-
(2011)	Trauma Registry of	PRBC	scene of injury who were	trauma patients	on admission to the	
(====)	the Deutsche	during the	> 16 years of age who	admitted	emergency department	
	Gesellschaft für	first 24 hours	suffered severe injury (ISS	between 2002	- Mortality rate was lower	
	Unfallchirurgie	of admission	> 16 and received MT	and 2008	across all time points in the	
	(TR-DGU)	- FFP:PRBC	prior to ICU admission (N		High group compared to	
	()	ratio groups:	= 1,250); excluded those		the Low group, regardless	
		High (>1:2),	who died within first hour		of presence or absence of	
		Low (< 1:2)	after admission; broken		TBI	
		- No protocol	down into those with		- High FFP:PRBC (>1:2)	
		identified	traumatic brain injury		ratio found to have survival	
			(TBI) and those without;		benefit in those who	
			mean age 42 + 16 years;		suffered a TBI (OR 0.48,	
			72% male; mean ISS 42 +		95% CI 0.29-0.81, p =	
			15; 90% blunt trauma		0.006), but not in those	
			- Overall mortality for		who did not suffer a TBI	
			those with TBI: Low		- Those in the High ratio	
			group 62%, High group		group experienced a greater	
			46%		amount of ventilator-free	
			- Overall mortality for		days compared with those	
			those without TBI: Low		in the Low ratio group; this	
			group 48%, High group		was true for both TBI and	
			27%		non-TBI groups (TBI group	
					– High ratio: 6 ± 9 days vs	
					Low ratio: $4 \pm 8$ days, $p =$	
					0.006; non-TBI group –	
					High ratio: $13 \pm 12$ days vs	
					Low ratio: $9 \pm 11$ days, $p <$	
					0.001)	
					- Those in the High ratio	
					group also experienced	
					significantly longer ICU	
					and hospital length of stay	
					compared with those in the	
					Low ratio group; again, this	
					was true for both TBI and	
					non-TBI groups (TBI group	
					- High ratio: 18 ± 21 days	
					vs Low ratio: $13 \pm 19$ days,	
					p < 0.001; non-TBI group –	
					High ratio: $19 \pm 20$ days vs Low ratio: $15 + 19$ days, p	
					Low ratio: 15 $\pm$ 19 days, p   < 0.001)	
	1				~ U.UU1)	

Table 3.2, Cont.

Brown	7 institutions	- MT: > 10	- Patients who suffered blunt	Retrospective	- Higher ratio of	0
et al.	contributing to the	units of PRBC	trauma only, pre-hospital	analysis of	FFP:PRBC was	
(2012)	Inflammation and	during the first	hypotension (SBP < 90	patients	associated with	
	Host Response to	24 hours of	mmHg) or elevated base	admitted	reduction in mortality	
	Injury Large Scale	admission	deficit (> 6 mEq/L), blood	between 2003-	(HR 0.19, 95% CI	
	Collaborative	- FFP:PRBC	transfusion required within	2010	0.06 - 0.56, p < 0.01	
	Program (Supported	ratio groups:	first 12 hours following		- Higher ratio of	
	by the National	High (> 1:1.5)	admission, and any body		PLT:PRBC also	
	Institute of General	and Low	region except the head with		associated with	
	Medical Sciences,	(1:1.51-2.5)	and AIS score of > 2;		independent	
	National Institutes of	- PLT:PRBC	between the ages of 18-90		reduction in mortality	
	Health, United	ratio groups:	- N = 604; Overall mortality		(HR 0.02, 95% CI	
	States)	High (> 1:9)	at 6-hours 9%, 12-hours 12%,		0.01 - 0.50, p = 0.03	
		and Low (<	24-hours 13%; High		- When compared	
		1:9)	FFP:PRBC group: mean age		with those who	
		- A 6-pack of	43 <u>+</u> 20; 72% male; mean ISS		received a Low	
		PLT was	40 <u>+</u> 16; 6-hour mortality 4%;		FFP:PRBC ratio,	
		counted as 1	Low FFP:PRBC group: mean		those who received a	
		unit	age 43 + 18; 70% male; mean		High ratio of	
		<ul> <li>No specific</li> </ul>	ISS $35 \pm 15$ ; 6-hour mortality		FFP:PRBC	
		protocol	10%; High PLT:PRBC group:		experienced a	
		identified;	mean age 44 <u>+</u> 18; 65% male;		reduction of both 6-	
		"Standard	mean ISS 36 ± 16; 6-hour		hour (HR 0.19, 95%	
		operating	mortality 2%; Low		CI 0.03 - 0.86, p =	
		procedures"	PLT:PRBC group: mean age		0.03) and 24-hour	
		developed and	43 <u>+</u> 19; 72% male; mean ISS		mortality (HR 0.25,	
		followed by all	36 <u>+</u> 15; 6-hour mortality		95% CI 0.06 – 0.95, p	
		institutions	10%		= 0.04)	

Table 3.2, Cont.

Cap et	Ibn Sina	- MT: ≥ 10 units	- Combat casualties admitted	Retrospective	- Those in the High group	4
al.	Hospital –	of PRBC within	directly from scene of injury	review of	received more overall	
(2012)	United	24 hours of	(N = 414); Overall mortality	admissions	blood products (PRBC,	
	States	admission	27%; Low group: age* 26	between January	FFP, aPLT,	
	combat	- aPLT:PRBC	(21-31) 28; 99% male; ISS*	2004 and	cryoprecipitate) compared	
	support	ratio groups: Low	20 (12-28) 23; 93%	December 2006	with the Low group ( $p <$	
	hospital in	$(\leq 1:10)$ and High	penetrating injury; 24-hour		0.01), but also received	
	Baghdad,	(> 1:10); one	survival 78%; 30-day survival		products earlier than those	
	Iraq	aPLT unit was	71%; High group: age* 26		in the Low group	
		equal to 5 units	(21-31) 28; 93% male; ISS*		- 24-hour survival	
		(range 4-6) of	25 (18-32) 24; 89%		significantly greater in the	
		whole blood PLT	penetrating trauma; 24-hour		High group compared to	
		- No protocol	survival 90%; 30-day survival		the Low group (90% vs	
		identified	81%		78% ( $p = 0.02$ ); no	
					significant differences	
					between the groups at 30-	
					days (81% vs 71%, <i>p</i> = 0.08)	
					- Most deaths occurred	
					within the first 6 hours	
					following admission –	
					31% of those in the High	
					group vs 68% of those in	
					the Low group	
					- Airway deaths (15.4% vs	
					3.1% Low, $p < 0.05$ ) and	
					multi-organ failure	
					syndrome (46.2% vs	
					16.5%  Low, p < 0.05)	
					more commonly occurred	
					in the High group	
					compared with the low	
					group	
					- Those in the Low group	
					experienced a higher	
					mortality likelihood both	
					at 24 hours (HR 4.25,	
					95% CI 1.25 – 14.48, p =	
					0.02) and 30 days (HR	
					2.32, 95% CI 1.11 – 4.84,	
					p = 0.025) compared to	
					those in the High group	

Table 3.2, Cont.

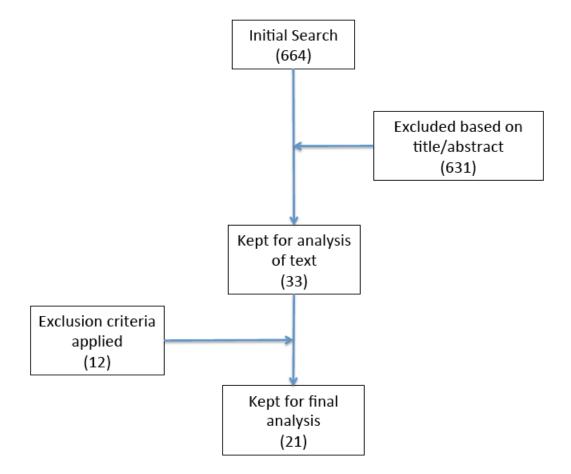
Kudo et al. (2013)	Tohoku University Hospital Emergency Center, Sendai, Japan	- MT: ≥ 10 units of PRBC within 24 hours of hospitalization - Ratios were calculated based on amount of components received within the first 6 hours of admission - FFP:PRBC ratio groups: High group (≥ 1:1.5), Middle (1:2 − 1:1.5), Low (< 1:2) - No protocol identified	- Trauma patients who required MT, patients who received interventions for hemostasis, surgery or transarterial embolization (N = 21); Overall mortality 33%; age** 60 (36.5-64.5); 67% male; ISS** 25 (17.0-34.0); 19% blunt injury; High group: 44% mortality; age** 61 (27.5-65.0); 44% male; ISS** 32 (20.5-34.0); 100% blunt injury; Middle group: 17% mortality; age** 56 (36.3-86.3); 83% male; ISS** 24.5 (15.5-27.3); 83% blunt injury; Low group: 33% mortality; age** 59.0 (35.8-61.8); 83% male; ISS** 24.5 (15.8-39.8); 83% blunt injury	Retrospective review of admissions between October 2006 and September 2009	- No significant differences among the groups for mortality (Log-Rank: <i>p</i> = 0.555), ICU-free days at 30 days ( <i>p</i> = 0.4), or hospital-free days at 60 days ( <i>p</i> = 0.42) - Only one patient (High group) developed sepsis; no patients developed acute respiratory distress syndrome	0
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<sup>\* =</sup> data presented as: median (IQR) mean

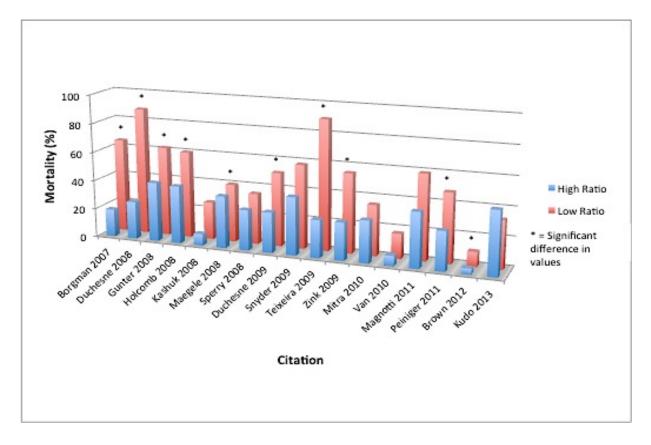
\*\* = data presented as median (IQR)

MT = massive transfusion; PRBC = packed red blood cells; FFP = fresh frozen plasma; PLT = platelets; aPLT = apheresis platelets;
IQR = interquartile range; OR = odds ratio; CI = confidence interval; ED = emergency department; HR = hazard ratio; ICU = intensive care unit; ISS = Injury Severity Score; RR = risk ratio

**Figure 3.1 Article Selection** 









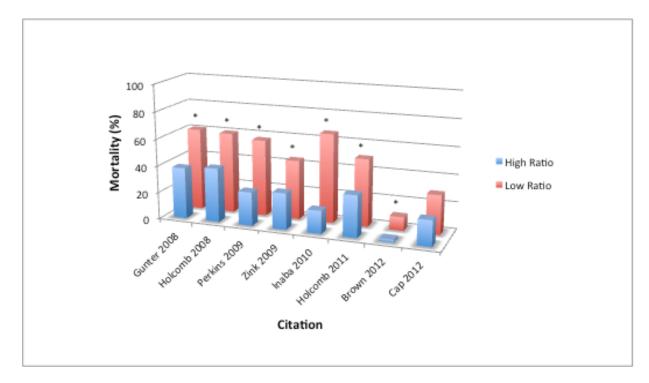


Figure Legend

**Figure 3.1 Article Selection** 

Figure 3.2 FFP:PRBC Mortality by Ratio Group

Figure 3.3 PLT:PRBC Mortality by Ratio Group

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#### **CHAPTER FOUR**

Increased Mortality in Adult Trauma Patients Transfused with Blood Components

Compared with Whole Blood

Jones, A.R., & Frazier, S.K. (2014). Increased Mortality in Adult Trauma Patients Transfused with Blood Components Compared with Whole Blood. *J Trauma Nurs; Jan-Feb 21*(1): 22-29.

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This work was supported in part by a Centre grant to the University of Kentucky College of Nursing from NIH, NINR, 1P20NR010679. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institute of Nursing Research or the National Institutes of Health.

# Synopsis

Hemorrhage is a preventable cause of death among trauma patients, and management often includes transfusion, either whole blood or a combination of blood components (packed red blood cells, platelets, fresh frozen plasma). We used the 2009 National Trauma Data Bank to evaluate the relationship between transfusion type and mortality in adult major trauma patients (n = 1745). Logistic regression analysis identified three independent predictors of mortality: Injury Severity Score, emergency transfer time, and type of blood transfusion, whole blood or components. Transfusion of whole blood was associated with reduced mortality; thus, may provide superior survival outcomes in this population.

#### Introduction

Trauma is the leading cause of death for individuals aged 1-44 years.(1) Exsanguination is the primary cause of preventable death;(2-5) traumatic hemorrhage accounts for 35% of pre-hospital deaths, and more than 40% of deaths that occur within the first 24 hours after injury.(5) Transfusion of whole blood or blood components is the primary means of managing hemorrhagic shock that results from traumatic injury, in conjunction with effective control of bleeding. Yet, there is a lack of evidence to support the most appropriate type of trauma resuscitation transfusion, whole blood or blood components, which includes packed red blood cells (PRBCs), platelets (PLTs), and fresh frozen plasma (FFP).

Approximately 5 million individuals receive blood or component transfusions in the United States each year, with nearly 24 million units transfused annually.(6, 7) An average transfusion of PRBCs requires approximately three pints of donated blood, and a single trauma patient may require up to 100 units of PRBCs during resuscitation.(6) In 2011, whole blood transfusions accounted for only 0.15% of total transfusions; thus, blood components are the primary choice for transfusion.(8) In addition, 10.2% of all PRBC transfusions and 4.4% of all PLT transfusions were used by the Trauma/Emergency Department (ED) services.(8) The average cost of a unit of PRBCs was \$225.42 and a unit of apheresis PLTs was \$535.17.(8) Thus, transfusion consumes increasingly scarce financial resources and requires the continuing generosity of millions of donors annually.

An association between blood transfusion and greater mortality has been previously reported.(9, 10) Kapan and colleagues found that in patients who required

damage control surgery for trauma, transfusion volume was an independent predictor of mortality; those who died received 3 more units of blood than those who survived, in spite of similar injury severity score (ISS).(11) Cripps and colleagues found that in trauma patients who required activation of the massive transfusion protocol, those who died received 8 more units of PRCs, and the ratio of PRCs to FFP was also significantly greater (1.47/1.94).(12) However, those who died had a significantly higher admission ISS and a lower Glasgow coma score; thus, those who died were more severely injured. In a recent review of trauma transfusion practices over 6 years, Kutcher and colleagues identified a decrease in the volume of crystalloids infused, in conjunction with an attempt to emulate whole blood, using the combination of PRCs, FFP and platelet infusion; each reduction of 0.1 in the ratio of PRC/FFP was associated with a 6% reduction in mortality.(13) Thus, there is evidence to support the importance of not only the volume of transfusion, but also the type of blood transfused in trauma patient outcomes.

Researchers recently suggested that the transition from transfusion of whole blood to blood components during trauma resuscitation occurred without sufficient evidence to support this ubiquitous change in practice.(14) The transition to component transfusion occurred as longer storage times were achieved, and was intended to enhance the efficient use of a scarce resource, blood. Spinella and colleagues advocated for the use of whole blood transfusion after observing that transfusion of warm, fresh whole blood for treatment of hemorrhagic shock was associated with improved survival at both 24 hours (whole blood - 96%, components - 88%, p = 0.018) and 30 days (whole blood - 95% components - 82%, p = 0.002), when compared to those transfused with multiple blood components.(15) Improved survival with whole blood transfusion was attributed to lack

of anticoagulants and additives that are inherent to stored blood components.(15) Similarly, Nessen and colleagues found that infusion of fresh whole blood was an independent predictor of survival in those injured in combat (~ 90% reduction in likelihood of mortality), when compared with those who received multiple blood components, in spite of higher ISS, and lower admission blood pressure and core body temperature.(16)

Although management of hemorrhage focuses on control of blood loss and replacement of circulating volume, best practices related to transfusion have yet to be established. Thus, the aim of this study was to examine the association of type of blood transfusion, whole blood or blood components, with mortality in adult major trauma patients. We hypothesized that those who received whole blood would have a decreased likelihood of mortality compared to those who were transfused a combination of blood components in the management of their hemorrhage after trauma.

#### Methods

We performed a secondary data analysis of the 2009 National Trauma Data Bank (NTDB) data set.(17) Five hundred sixty-seven facilities from across the United States voluntarily submitted data from all trauma patient admissions in 2008 to form the database (n = 627,664).(18) All data were de-identified prior to distribution of the data set.

#### Sample

We included those patients who were aged 18-45 years, had ISS greater than 25 indicating critical injury, were admitted to the hospital after care in the ED, and who received blood transfusion, either whole blood or blood components, as part of their

emergency care. Patients were excluded from the analyses when they were dead on arrival to the emergency department, or were discharged to home after ED care and not admitted to the hospital.

#### Measures

## Sociodemographic Variables

Sociodemographic variables included in the analyses were age, gender, and ethnicity. Due to small numbers of minorities in the database, ethnicity was classified as Caucasian or other. Older age ( $\geq$  55 years of age) is a risk factor for mortality in trauma victims because of pre-existing comorbidities, and decreased physiological response to injury.(19) Thus, we excluded those over age 45 years of age. We controlled gender in our analyses, as there is evidence to support gender differences in trauma survival and recovery, although this issue continues to be debated.(20-24)

# **Injury Severity**

The ISS is a measure of trauma severity.(25) Scores are based on the extent of physiologic damage in the three most severely injured areas of the body (head/neck, face, chest, abdominal/pelvic, extremities/pelvic girdle, external) using the Abbreviated Injury Scale.(25) These scores are then squared and summed to achieve the ISS. Totals for the ISS range from to zero to 75, with higher scores indicating more severe injury. A typical cutpoint used in the literature to identify more severely injured patients is an ISS of 15 or greater, with a score of 25 indicating a critical state of injury.(26-30) The NTDB reports three versions of the ISS: the raw score reported by the hospital attending physicians, the score calculated in the database from the reported AIS scores, and the score that is

calculated from International Classification of Diseases, Ninth Revision (ICD-9) codes.(31)

For this analysis, the ISS calculated from the ICD-9 codes was used as this was deemed the most valid for comparison purposes. The ISS is positively, although not linearly, correlated to mortality. Although higher ISS scores translate to higher likelihood of mortality for the trauma patient, given the scoring system of the ISS based on AIS scores, it is possible to have greater mortality rates for lower ISS scores (i.e. higher mortality for patients with an ISS of 16 versus 17) due to the physiologic location of the injury.(25, 32) The ISS has equivocal evidence to support the reliability and validity(33, 34) of the measure, and there are few evaluations of the psychometric properties. However, this measure continues to be widely used in trauma practice, and it is an accepted standard for injury severity measurement.(32, 35)

# Emergency Medical System (EMS) Transfer Time

EMS transfer time was defined as the time from dispatch of emergency medical services to the time the patient arrived at the ED measured in minutes. We controlled the EMS transfer time because there is evidence that prolonged time to definitive treatment is associated with greater blood loss, hypothermia, and acidosis, thereby increasing risk for mortality.(36)

## Transfer from Another Facility

Patients were dichotomized into those admitted directly to the ED from EMS transfer and those transferred to a trauma center ED from another clinical facility. We controlled for this variables, as this may produce delay in definitive treatment.(37)

#### **Blood Product Administration**

Blood products were categorized as administration of PRBCs and PLTs in combination, or whole blood transfusion (WBT). Data were not available for transfusion of fresh frozen plasma; thus, this component was not included in this analysis.

## Mortality

Mortality was defined as death during the hospital stay for trauma, and was determined and reported by the attending physician.

## **Statistical Analyses**

Sample characteristics were analyzed using descriptive statistics, independent ttests and  $\chi^2$  as appropriate to describe the entire sample, and to compare those receiving
blood components (PRBCs, PLTs, PRBCs/PLTs) with those who received whole blood,
respectively. We used logistic regression to test the hypothesis that those transfused with
whole blood would have a decreased likelihood of mortality compared to patients
transfused with blood components after controlling for age, gender, and ISS.

Demographic variables age, gender, and ISS were entered into the first regression block;
EMS transfer time and transfer of the patient from another facility were entered into the
second block. The blood product transfusion type, whole blood or components, was
entered into the third block. An alpha level of 0.05 was set a priori to determine
significance, and all analyses were performed using PASW, release 20.0 (SPSS, Inc.,
Chicago, IL).

#### **Results**

## **Characteristics of the Participants**

Participants (n = 1745) included in this analysis were primarily male (72%), Caucasians (63%), aged  $29 \pm 8$  years with an Injury Severity Score of  $35 \pm 13$  indicating critical injury (Table 1.).(26-29) Heart rate (HR) and systolic blood pressure (SBP) were available from both the EMS and emergency department records. Participants had a mean EMS HR of  $99 \pm 26$  and a mean emergency department HR of  $101 \pm 26$ . Mean EMS SBP for the sample was  $122 \pm 28$ , with a mean emergency department SBP of  $127 \pm 30$ . The average EMS transfer time for these patients was  $19 \pm 16$  minutes, with a median time of 14 minutes. Only 21% of patients were transferred from another facility. Twenty-six percent of these patients died during hospitalization for their traumatic injuries. Ninety-five percent of participants received blood components (n = 1,662); only 5% received whole blood (n = 83); this demonstrated the ubiquitous nature of component transfusion.

Those receiving whole blood and those receiving blood components were compared with independent t tests or  $\chi^2$  analyses based on the level of measurement (Table 1). Patients who received whole blood were 2 years younger than those who received components (whole blood -  $27 \pm 8$  years, components -  $29 \pm 8$  years, p = 0.01), and the proportion of females who received blood components was significantly greater than the proportion who received whole blood (components - 29%, whole blood -17%, p = 0.02). While a statistically significant difference in mean SBP was detected among the transfusion groups when measured in the emergency department, this difference was not clinically significant (whole blood -  $112 \pm 29$  mmHg, components -  $120 \pm 32$  mmHg, p = 0.036). There were no other differences between the groups.

# **Mortality Predictors**

We used logistic regression to determine independent predictors of mortality (Table 2). The model fit was evaluated using the Omnibus Tests of Model Coefficients and the Hosmer-Lemeshow test; these analyses determined that we identified a significant model (p < 0.001) with acceptable model fit (p = 0.318), respectively. Data were entered into the regression in blocks to control potential confounding variables and evaluate their relationship to mortality.

The regression revealed three independent predictors of mortality: the ISS, EMS transfer time and type of transfusion, whole blood or components (Table 2). With each one-unit increase in ISS, patients were 14% more likely to die (OR 1.014, 95% CI 1.005 - 1.024, p = 0.004), and for each minute increase in EMS time, patients experienced a 1.2% decrease in likelihood of mortality (OR 0.987, 95% CI 0.975 - 0.999, p = 0.035). After controlling for age, gender, EMS transfer time and transfer from another facility, those patients who were transfused with blood components were 3.2 times more likely to die when compared with those who received whole blood (OR 3.164, 95% CI 1.314 - 7.618, p = 0.010). Thus, our hypothesis was supported by the analysis.

#### Discussion

We found that in this large sample of adult trauma patients, the type of transfusion, whole blood or blood component, was an independent predictor of mortality; those patients who received blood component transfusion were 3 times more likely to die when compared with those who received whole blood transfusion, even though ISS was identical. Other independent predictors of mortality were the ISS and the EMS transfer

time. Mortality risk increased 14% for each one unit increase in ISS, and decreased by 1% for each additional minute of EMS transfer time.

Similar to our finding, other investigators have found that transfusion of whole blood produced superior survival compared to component transfusion. Combat patients who received whole blood had twice the likelihood of 30-day survival compared to those receiving blood components (OR 2.15, 95% CI 1.21-3.8, p = 0.016). Seghatchian and Samama concluded that fresh whole blood was superior to stored component transfusion with a 1:1:1 ratio (PRBCs:FFP:PLTs) in the prevention of coagulopathy in trauma patients, and Makley and colleagues found that transfusion of whole blood averted an inflammatory response produced by crystalloid resuscitation in animals after trauma.(38, 39) In contrast, Ho and Leonard found no difference in 30-day mortality in patients who received whole blood compared with components for massive transfusion, defined as  $\geq$  10 units; however, only one fourth of these patients were treated for traumatic injuries, patients were older than ours (mean age  $52 \pm 20$  years), and diagnoses included gastrointestinal bleeding, cardiothoracic surgery and other types of surgery.(40) Thus, pre-existing comorbidities may have produced a confounding effect on mortality.

There is evidence that the age of blood components may have a significant effect on survival after transfusion. Current blood bank practices include the rotation of older PRBCs to trauma centers with high patient volume to reduce waste, as these centers are more likely to transfuse these components before they reach expiration and must be discarded.(41) Although the storage life of PRBCs is 42 days, there are predictable and identifiable morphological, biochemical, and functional alterations that occur and

produce a "storage lesion"; the older the age of stored components, the greater the changes.

Morphological alterations found with storage lesion include change from the normal smooth, deformable disc-shape erythrocytes that easily bend to flow through the microcirculation, to a spheroechinocyte, a sphere-shaped cell with protrusions, which is rigid and more likely to adhere to the endothelium of the microcirculation.(42, 43) Release of submicron-sized fragments of the cellular membrane and hemoglobin, known as microparticles, is also a component of the storage lesion.(44) These microparticles stimulate inflammation, have procoagulant activity, and contain hemoglobin, which is a scavenger of nitric oxide, an endothelium-derived relaxing factor.

Biochemical alterations found with the storage lesion are associated with continued cellular metabolism after donation, and include reduction in 2,3 diphosphoglycerate (2,3 DPG),(45) which is important in the release of oxygen from the hemoglobin molecule, decreased cellular pH,(46) increased lactate (46) and intracellular potassium,(47) increased release of ubiquitin, an immune modulating protein,(48) and collection of lipids, cytokines and free iron released from hemolyzed cells.(46) The global consequences of the storage lesion after transfusion are rapid destruction of spheroechinocytes, reduced microcirculatory blood flow, altered coagulation, reduction in tissue oxygen delivery, ineffective endothelial vasoregulation,(45) impaired immune response, and systemic inflammation.

There are also significant differences in 24-hour survival of erythrocytes after transfusion; packed cells stored for 25-35 days demonstrated double the degree of hemolysis when compared with those stored for less than 10 days (11% versus 22%,  $p \le$ 

0.05).(49) Unfortunately, we were unable to determine whether the storage lesion in the infused PRBCs was an independent predictor of mortality, as age of the transfused products was not available in the data set. This is an important focus for continued research.

We also found that the ISS was an independent predictor of mortality. This is not surprising, and provides additional evidence for the construct validity of the ISS.

Numerous other investigators have also found the ISS to be a predictor not only for mortality, but also adverse outcomes and complications in the trauma population.(50-56)

Dutton, Lefering, and Lynn found higher ISS to be predictive of both an increased risk for transfusion and an increased volume of transfused blood or blood components.(57)

Similar findings were reported in a systematic review performed, indicating injury severity as an important predictor of the need for transfusion in the trauma population.(58) As those included in our analysis had the statistically same ISS, the need for transfusion should have been statistically equivalent based on this evidence.

The third independent predictor of mortality was the EMS transfer time with each additional minute of transfer time associated with a 1% decrease in mortality likelihood. The impact of transport time on the likelihood of mortality is equivocal, with data supporting increased and decreased likelihood, as well as no impact on mortality. Longer transport times are often associated with pre-hospital interventions. Recent evidence from the Prospective Observational Multicenter Massive Transfusion (PROMMT) group identified a 16% reduction in the likelihood of mortality in trauma patients who received intravenous fluid administration (59); Bernard and colleagues found that rapid sequence intubation in the pre-hospital setting was associated with

improved functional outcome in adults patients with severe traumatic brain injury.(60)

Thus, pre-hospital interventions that require time have been shown to improve survival.

We consider this to be a viable hypothesis for our finding.

In contrast, Gonzalez and colleagues found that longer EMS transport times were associated with higher mortality in rural trauma patients.(36) Johnson and colleagues identified a significant survival benefit in those trauma patients transported by private vehicle compared with EMS transport after controlling for ISS; thus, shorter transport time predicted greater likelihood of survival.(61) However, McCoy and colleagues found no association between transport time and mortality in nearly 20,000 patients with blunt and penetrating trauma(62), and The Resuscitation Outcomes Consortium Investigators also found no association between EMS activation time, on-scene, transport or total EMS time and mortality.(63) Thus, this variable is more complex than just the time in minutes and requires more systematic investigation, with consideration of the pre-hospital care administered.

Our study was limited in several ways. First, the NTDB dataset provided retrospective data and contains limited variables for analysis. For example, transfusion of blood components or whole blood is available as a dichotomous yes-no variable, but quantification of the units of each component or units of whole blood transfused was not available. Furthermore, while EMS and ED heart rate and systolic blood pressure were available for analysis, these variables are not supported by prior research evidence as independent predictors of transfusion requirement or as adequate indicators of hemorrhage status; thus, they were not included in the regression.(64, 65) In addition, the validity of the data could not be evaluated and the accuracy of data entry is uncertain.

Errors in the database are possible because of the multiple hospitals, institutions, and data entry personnel who contributed to the database. We studied only a subset of younger patients in our analyses; thus, older patients may have different responses.

#### Conclusion

We found that transfusion of whole blood rather than blood components produced superior survival in adult trauma patients from the NTDB. The inclusion of a geographically diverse sample increases the generalizability of our findings. The current practice of ubiquitous component administration in the trauma population requires further study to ensure that optimal trauma outcomes are achieved in those who receive transfusion. Our findings also support the construct validity of the ISS, a common instrument, used in clinical practice and research to quantify severity of injury. Unfortunately, our transport time finding adds to the ambiguity about mortality and prehospital care, and demonstrates the need for further systematic evaluation of this complex issue.

**Table 4.1 Characteristics of the Participants (n = 1745)** 

Variable	Total Sample	Whole blood	Blood	P
	n = 1745	n = 83	components	value
			n = 1662	
Age in years	29 <u>+</u> 8	27 <u>+</u> 8	29 <u>+</u> 8	0.01
Gender Male	1253 (72%)	69 (83%)	1184 (71%)	0.02
Ethnicity				
Caucasian	1105 (63%)	47 (57%)	1058 (64%)	0.20
Injury Severity				
Score	35 ± 13	39 <u>+</u> 17	35 <u>+</u> 13	0.13
EMS* Transfer				
Time in minutes	19 <u>+</u> 16	15 <u>+</u> 11	19 <u>+</u> 16	0.15
Transferred from				
Other Facility				
(Yes)	367 (21%)	15 (18%)	352 (21%)	0.50
Mortality	446 (26%)	17 (21%)	429 (26%)	0.27

Values are mean  $\pm$  standard deviation or frequency (proportion)

Groups compared using independent t tests for continuous variables and Chi square or Fishers Exact test for categorical variables

<sup>\*</sup> Emergency Medical Services

**Table 4.2 Independent Predictors of Mortality In Adult Trauma Patients (n = 1745)** 

Variable (Reference	β	<b>Exp β</b>	95% CI	P
group)				value
Age	009	.991	.975 – 1.008	0.32
Gender (Male)	274	.760	.554 – 1.044	0.09
Injury Severity Score	.014	1.014	1.005 – 1.024	0.004
EMS* Time	013	.987	.975 – 0.999	0.035
Transfer (No)	124	.883	.569 – 1.370	0.58
Blood Product (Whole)	1.152	3.164	1.314 – 7.618	0.01

Logistic regression analysis
For categorical variables, comparison group in parentheses
\* Emergency Medical Services

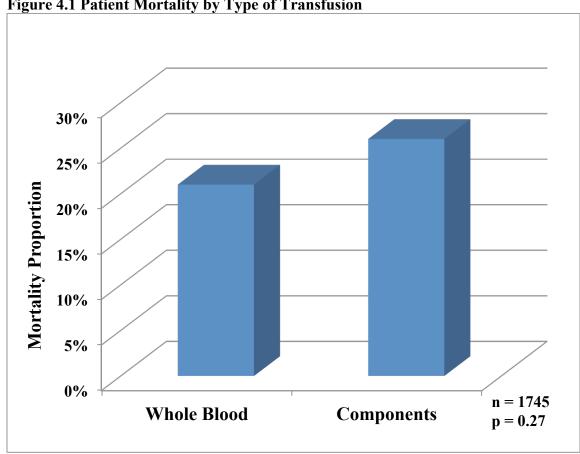


Figure 4.1 Patient Mortality by Type of Transfusion

Proportions compared with Chi Square analysis

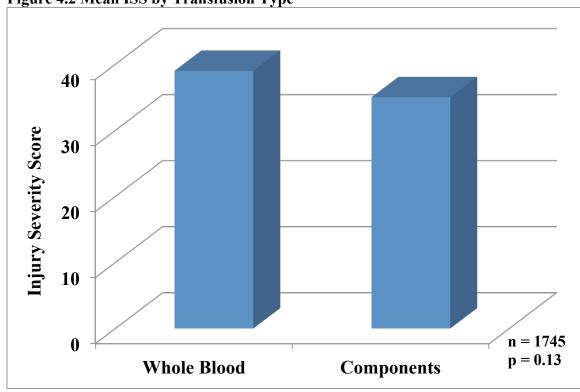


Figure 4.2 Mean ISS by Transfusion Type

Scores compared using independent t tests

Figure Legend

**Figure 4.1 Patient Mortality by Type of Transfusion** 

Figure 4.2 Mean ISS by Transfusion Type

#### **CHAPTER FIVE**

Predictors of Inflammatory Complications in Patients Who Received Component Transfusion After Trauma

# **Synopsis**

Transfusion of blood components is associated with increased risk of in-hospital complications and mortality. Patients experience a decrease in physiologic reserve paired with release of anti-inflammatory and proinflammatory mediators following traumatic injury, making them subject to potential development of inflammatory complications. Findings from a secondary analysis of the Inflammation and Host Response to Injury Trauma-Related Database are presented (n = 1,656). Findings revealed that the total transfused volume of packed red blood cells in the first 24 hours following hospital admission was associated with development of inflammatory complications, as was the presence of comorbidities and injury severity.

#### Introduction

Five million individuals die from trauma annually worldwide according the World Health Organization; (1) traumatic hemorrhage accounts for 35% of pre-hospital deaths, and more than 40% of deaths within the first 24 hours after injury.(2) Trauma induces physiological mechanisms intended to halt blood loss. However, these mechanisms may be ineffective; continued bleeding will ultimately lead to hemorrhagic shock, "a failure of adequate tissue perfusion due to lack of circulating blood volume".(3) A recent investigation demonstrated that hemorrhagic shock associated with trauma resulted in microcirculation alterations for up to 72 hours after restoration of adequate circulating volume, as a consequence of tissue hypoperfusion, inflammation, and resuscitation strategies.(4) These strategies included crystalloid infusion and transfusion of blood or blood components. However, blood transfusion volume and ratio of components in the transfusion (i.e. packed red blood cells [PRBCs] to fresh frozen plasma [FFP] to platelets [PLTs]) are independently associated with mortality; (5, 6) in fact, administration of blood components was associated with triple the likelihood of mortality compared with those who received whole blood (Odds ratio [OR] 3.164, Confidence interval [CI] 1.314 – 7.618, p = 0.01) in civilian patients after trauma.(7)

There is a plethora of evidence to support an association between transfusion of blood components with an increased risk of complications (anywhere from a 37% (8) to over 3400%) (9) and mortality (ranging from 5% (10) to almost 80% (8)).(8-10)

Although still controversial, some investigators concluded that there is a survival benefit when receiving blood components in a 1:1:1 ratio of PRBCs, FFP, and PLTs. This ratio is thought to mimic the composition of whole blood, which has been associated with

improved survival in high volume transfusion.(11-18) In addition, Kutcher and colleagues found that a reduction in volume of infused crystalloid, in conjunction with emulation of whole blood using a ratio of 1:1:1 for PRBCs, FFP and PLTs infusion, produced a 6% decrease in mortality for each reduction of 0.1 in the ratio.(19)

Current standard of practice for blood banks includes rotation of older stored components to trauma centers to reduce waste, as there are established storage times after which these components must be discarded.(20) However, stored components (PRBCs and PLTs) experience a storage lesion, which results in morphological, functional, and biochemical alterations of erythrocytes and platelets.(21, 22) The global consequences of the storage lesion are rapid destruction of abnormal erythrocytes known as spheroechinocytes, reduced microcirculatory blood flow, coagulopathy, reduction in tissue oxygen delivery, ineffective endothelial vasoregulation, impaired immune response, and systemic inflammation.(23-26)

The physiological response to traumatic injury includes the release of proinflammatory cytokines (e.g. tumor necrosis factor-∝ [TNF-∝], interleukin-6 [IL-6]) in reaction to injury to tissues, and anti-inflammatory cytokines to balance the proinflammatory cytokines, and return the body to a state of homeostasis.(27) The systemic inflammatory response to trauma is the result of damage-associated molecular patterns, which are secreted by activated immune cells that include neutrophils.(28) Cellular destruction and release of mitochondria and cellular peptides stimulate a particularly rigorous immune reaction, as they are interpreted to be foreign molecules.

Current published theories propose that release of proinflammatory cytokines in the phase immediately following traumatic injury may be associated with detrimental clinical outcomes.(28) In patients with severe traumatic injury, especially those receiving transfusions of blood or blood components, inflammation may become exacerbated and prolonged. Xiao and colleagues (29) examined leukocyte gene expression in patients following trauma, and found that expression was up-regulated for cytokines including IL-6 and IL-10, and down-regulated for cytokines such as T cell regulators, beginning within 12 hours of trauma and remaining throughout 28 days following injury.

In the same study, investigators also compared genomic expression patterns of whole blood leukocytes for patients who experienced a complicated (development of organ failure and/or infection in addition to recovery > 14 days, no recovery, or death) versus uncomplicated (recovery < 5 days) recovery.(29) Their findings revealed that patients with an uncomplicated recovery experienced a return to baseline in their leukocyte gene expression within 2 weeks of injury; in patients with complicated and/or prolonged recovery, early leukocyte gene expression changes were greater, and changes observed immediately following injury had not returned to baseline by 28 days postinjury. Thus, genomic expression and release of proinflammatory and anti-inflammatory cytokines occurs in the first 24 hours post-injury, and increased expression is prolonged in those with more complicated recovery, including the development of inflammatory complications during hospitalization (e.g. acute respiratory distress syndrome, acute renal failure, nosocomial infections, surgical site infections, sepsis, and ventilator-associated pneumonia).

Infection after trauma is common. Investigators found that 52% of trauma patients developed an infection while in critical care; those who presented to the emergency department (ED) with shock (defined using base deficit > 12 mEq/L) developed

infectious complications more rapidly compared to those with hemodynamic stability (median 3.5 days versus 5 days, p = 0.01).(30) Other investigators found infectious complications were associated with a prolonged length of stay in patients after trauma, ranging from a median of 13 days for urinary tract infection/acute cystitis (Interquartile Range [IQR] 7-24 days) to a median of 27 days for both sepsis (IQR 17-41) and surgical infections (IQR 17-41).(31) These same investigators found a significant increase in the relative risk for mortality associated with complications, including cardiovascular events (33%), renal failure (24%), ARDS (16%), and sepsis (13%) ( $p \le 0.05$ ).(31) Recently, median hospital charges for patients after trauma with an infectious or incisional complication were 70% greater than the costs for those without these complications (with infection - median \$171,376 (IQR \$83,980 – \$310,104); without infection - median \$50,980 (\$26,398 – \$94,631), (p < 0.001).(32)

Thus, inflammatory and infectious complications increased not only patient length of stay and likelihood of mortality, but also costs for both patient and hospital.

Furthermore, transfusion of blood components is associated with additional inflammatory activation and increased complications and mortality.(9, 33) Therefore, the purpose of this study was to evaluate the relationship of transfusion-related variables (volume and ratio of transfused components) to development of inflammatory complications in trauma patients.

The aims of this study were: 1) to evaluate the prevalence of inflammatory complications (organ failure, nosocomial infections, Acute Respiratory Distress Syndrome [ARDS], pneumonia, central line associated blood stream infection [CLABSI], urinary tract infection [UTI], sepsis or septic shock) developed during hospitalization in

an adult major trauma population; 2) to determine whether blood transfusion volume and ratio of components transfused within the first 24 hours following admission to the ED predicted development of inflammatory complications during hospitalization; 3) to determine whether blood transfusion volume and ratio of components transfused within the first 24 hours following admission to the ED predicted time to diagnosis of inflammatory complications; 4) to determine whether inflammatory markers measured within the first 24 hours following admission to the ED and receipt of blood transfusion predicted development of inflammatory complications during hospitalization.

#### Methods

We performed a secondary analysis using the Inflammation and Host Response to Injury Glue Grant trauma database (TRDB).(34) The data available through the TRDB contained de-identified human data prospectively collected from eight Level I trauma institutions across the United States. This dataset included data from over 1,600 patients after blunt trauma hospitalization (up to 28 days), who were enrolled between 2003 to 2009. Vital signs available for analysis included temperature, heart rate, mean arterial pressure, and respiratory rate. The values were recorded as the highest and lowest values in association with calculation of the Acute Physiology and Chronic Health Evaluation (APACHE) II score, which is based on assessment of vital signs and laboratory values in the first 24 hours of hospitalization. This instrument is used to quantify severity of illness in critical patients, and to estimate requirements for hospital resources, determine nursing staffing patterns, and predict mortality.(35) As the NISS is a more widely accepted and used instrument for categorizing trauma patients, APACHE II scores were not included in the analysis.

Transfusion data were recorded at 6-hour intervals beginning from the time of ED admission. Pre-hospital crystalloids and blood volume received prior to patient admission to a tertiary care center were collected as well. Demographic variables age, sex, and ethnicity were collected on patient admission to the ED. The New Injury Severity Score was computed after the patient was admitted to the hospital, and was based on injuries the patient sustained.(36)

#### Sample and setting

We included patients between 18 and 65 years of age, who received transfusion of blood components within the first 24 hours following injury, and with an Injury Severity Score (ISS) of  $\geq$  15, which indicated severe injury. We excluded participants above the age of 65 due to likelihood of multiple comorbidities, and decreased physiologic reserve that may have limited survival.(37) Participants were also excluded if they died within the 24 hours following admission to reduce survival bias. A small subset of patients was recruited by the TRDB investigators for evaluation of inflammatory biomarkers following admission in addition to collection of other clinical variables.

We determined that a logistic regression would have at least 80% power to detect an odds ratio of 1.5 when the sample size was 250, with the assumption that the rate of complications at the mean of the explanatory variable was 50%, and that there was moderate correlation among the variables included in the model. After exclusion criteria were applied, our sample included 1,656 patients. We then further selected those who received transfusion of all three major components (PRBCs, PLTs, and FFP) in the first 24 hours.

All data were collected from Level I civilian trauma centers. The Level I designation is a reflection of the verification provided by the American College of Surgeons that denoted the ability of a facility to provide appropriate care for the most severely injured patients around the clock.(38, 39) Level I facilities typically serve large cities or metropolitan areas, and may provide higher level services to smaller hospitals in the surrounding areas as well, with provision for the transfer of critically injured patients to a higher level of care when necessary.(39)

#### Measures

# Sociodemographic Variables

Sociodemographic variables included in our analyses were age, sex, and ethnicity. Ethnicity was classified as Caucasian, African American, or other, due to small numbers of minorities other than African American. Data related to the hospital location consisted of de-identified site codes, thus limiting our ability to specify the region of the country in which the patient was treated. No information was available regarding education, pre-existing health conditions, insurance status, socioeconomic status, or employment.

#### Clinical Variables

Twenty-eight day survival was recorded as a dichotomous yes/no variable. Hospital length of stay in days was also recorded as full days. For those who survived beyond 28-days, the total hospital length of stay was documented. Vital signs were analyzed highest and lowest as recorded during the first 24 hours of hospitalization. Temperature was originally recorded in degrees Celsius then converted into degrees Fahrenheit. Glasgow Coma Score (GCS) total values were recorded in two ways, as the worst GCS experienced during the first 24 hours of hospitalization, and GCS while in the

ED. Laboratory values were also available to characterize the sample. Values recorded as part of the APACHE II data included hematocrit and arterial pH, again recorded as the highest and lowest values. Other laboratory values measured while the patient was in the ED were serum lactate, hemoglobin, international normalized ratio (INR), and base deficit. The volume of crystalloids infused prior to admission to the ED was also recorded and measured in milliliters (mL).

### Injury-Related Variables

*Mechanism*. Mechanism of injury was originally categorized as one of the following: fall, machinery, motor vehicle collision (MVC) – occupant, MVC – motorcyclist, MVC – cyclist, MVC – pedestrian, struck by or against, or other. Due to small numbers of those in categories of falls, machinery accidents, cyclists, pedestrian, and struck by or against, these patients were then grouped in the other category.

Injury Severity Score. The Injury Severity Score (ISS) is based on the Abbreviated Injury Scale (AIS), an instrument used to assign scores to the areas of the body most affected by injury.(40) The AIS is an ordinal scale with values assigned to each area of the body based on the most severe injury to that area; values ranged from 0 indicating no injury, to 6 indicating a fatal injury.(40, 41) The ISS is calculated by taking the three areas of the body most severely injured according to corresponding high AIS scores, squaring them, and summing them. ISS values range from 0 to 75, with higher numbers indicating more severe injury. Evidence supporting the reliability and validity of the ISS is equivocal.(42) Nonetheless, the ISS is commonly used in trauma centers and research studies to quantify the extent of physiologic injury.(43)

The version of the ISS found in the TRDB, the New ISS (NISS), was a modified version of the original instrument. The NISS was released in 1997, and has been shown to be a better predictor of mortality compared with the ISS.(36) The NISS uses the same range of scores as the original ISS, but the instrument evaluates the three most severe injuries sustained by the patient, regardless of the area of the body in which the injuries are found.(36) For our study, we included patients with a NISS score of 15 or higher, a cut point commonly used in trauma research to distinguish those with severe and critical injuries.(44-46) For analysis of specific aims 2-3, NISS was transformed into a dichotomous variable, with a score of  $\geq$  25 indicating severe/critical injury, and a score of  $\leq$  24 indicating mild/moderate injury.

#### Comorbid Burden

Comorbidities were indicated in the database with yes/no responses for each specific comorbid condition. Total number of comorbidities was calculated by summing the number of affirmative responses. Values ranged from 0 – 33. Comorbidities evaluated by the investigators included: hypertension which required medications, myocardial infarction, congestive heart failure, atrial and ventricular tachyarrhythmias, peripheral vascular disease, cerebrovascular disease, dementia, seizure disorder, previous traumatic brain injury, hemi- or paraplegia, Parkinson's disease, chronic obstructive pulmonary disease, rheumatologic disease, peptic ulcer disease, liver disease, diabetes, hypo- or hyperthyroidism, chronic renal dysfunction, history of malignancy, metastatic solid tumor, human immunodeficiency virus, acquired immunodeficiency syndrome, congenital or acquired coagulopathy, smoking, chronic alcoholism, intravenous drug use, homelessness, psychiatric disorder, solid organ transplant recipient, and chemotherapy or

radiation therapy in the last 30 days. Patients were dichotomized into those who had at least one comorbidity versus those who had none. With this variable, we controlled for comorbid burden in the analyses, as more complications and reduced survival are associated with a greater comorbid burden.(47)

### Transfusion-Related Variables

Total volume of blood components transfused included the total number of mL transfused in the first 24 hours after trauma. Total volume of PRBCs, FFP, and PLTs were available for analysis. PRBC volume was converted from mL to units based on the assumption that the average volume per unit of PRBCs is 300 mL.(48) FFP volume was converted to units using an average unit volume of 200 mL,(49) and PLT volume was converted based on an average volume of 50 mL.(50) Ratios of components were separated into the ratio of PRBC:FFP and PRBC:PLT, again calculated based on transfusion volume in the first 24 hours after admission, and presented in decimal notation (instead of 3:4, recorded as 0.75 or 0.8). For logistic regression and time-dependent analysis, the transformed ratio values were categorized as those between 0.5-1.5 and those outside of that range. We performed this transformation to determine the impact of the ratio moving away from 1:1 (absolute value of 1.0), as evidence exists to support a ratio of 1:1 as beneficial to survival and development of complications for trauma patients receiving component transfusion.(51)

### Inflammation

### **Complications**

Complications chosen for analysis included organ failure, ventilator-associated pneumonia (VAP), sepsis or bloodstream infection, catheter-related bloodstream

infection (CRBSI), urinary tract infection (UTI), acute respiratory distress syndrome (ARDS), and nosocomial infections (NIs). NIs included non-ventilator associated pneumonia, meningitis, sinusitis, endocarditis, acute cholecystitis, empyema, and pseudomembranous colitis. Diagnosis was based on pre-established criteria developed by the Glue Grant investigators. (52) Organ failure was based on the Denver score, (53, 54) where a total score of three or more indicated multiple organ failure. A score of one indicated presence of organ failure (pulmonary, cardiac, renal, or hepatic), but specific information pertaining to the failing organ was not available; thus, patients with a score of one or more were determined to have organ failure and were compared to those with a score of zero (no organ failure). Development of any inflammatory complications during hospitalization was categorized as a dichotomous variable in the dataset. Patients were considered to have developed inflammatory complications if at least one of the complications was diagnosed. Total number of complications was also calculated for those complications included in the analysis; values ranged from 0-7. Time to development of complication was calculated in the database as time from injury to time of diagnosis of a complication, measured in days. For patients with more than one complication during hospitalization, we used the time to first diagnosed complication.

#### **Statistical Analyses**

Initial Kolmogorov-Smirnov tests were used to determine the distribution of the data. Descriptive statistics including frequencies and percentages, medians (IQR), and means (standard deviations) were used to characterize the participants. Correlations of variables were evaluated to determine the presence of collinearity prior to logistic and

Cox regressions. An  $\alpha$  of .05 was set a priori to determine significance. All analyses were performed using PASW, release 22.0 (SPSS, Inc., Chicago, Illinois).

Specific aim 1 was to evaluate the prevalence of inflammatory complications (organ failure, NI, ARDS, VAP, CLABSI, UTI, or sepsis) developed during hospitalization in an adult major trauma population. Frequencies were used to analyze the prevalence of these outcomes among patients.

The second aim was to determine whether blood transfusion volume and ratio of components transfused within the first 24 hours following admission to the ED predicted development of inflammatory complications during hospitalization. We used a logistic regression with the dichotomous variable created to indicate those who developed a complication and those who did not. Age, gender, and presence of at least one comorbidity were entered into the first block; NISS (categorical) was entered into the second block, followed by transfusion variables in the third block (24-hour total units of PRBCs, 24-hour total units of PLTs, PRBC:PLT ratio [categorical], and PRBC:FFP ratio [categorical]. The 24-hour FFP total units were not included in the model, as this component does not develop the storage lesion. Investigators have suggested a protective mechanism women compared to men who have traumatic injury; thus, we controlled for gender in our analyses.(55-57)

To determine whether blood transfusion volume and ratio of components transfused within the first 24 hours following admission to the ED predicted time to diagnosis of inflammatory complications, a Cox proportional hazards model was used. Kaplan-Meier analysis and log-rank testing were used to compare time to complication for patients based on their ratio of PRBC:PLT units (0.5-1.5 versus other) and PRBC:FFP

units (0.5-1.5 versus other). For the Cox model, age, gender, and presence of at least one comorbidity were entered into the first block; NISS (categorical) was entered into the second block, followed by transfusion variables in the third block (24-hour total units of PRBCs, 24-hour total units of PLTs, PRBC:PLT ratio [categorical] and PRBC:FFP ratio [categorical]). The 24-hour FFP total units variable was again not included in the model.

The final aim was to determine whether inflammatory markers measured within the first 24 hours following admission to the ED and receipt of blood transfusion predict development of inflammatory complications during hospitalization. Due to the small number of patients with values available for the biomarkers (n = 17), these analyses were not performed.

#### **Results**

# **Characteristics of the Participants**

A total of 1,538 patients were included in these analyses (Table 1.). The majority were Caucasian (90%), males (68%), with an average age of  $39 \pm 14$ . Patients had an average of  $1 \pm 1$  comorbidity; one-third of patients had two or more comorbidities, and 39% had none. The three most commonly reported comorbidities were smoking (30%), chronic alcoholism (14%), and psychiatric disorders (11%). Patients were critically injured, with a median NISS of  $39 \pm 13$ ; 70% were involved in a MVC as either an occupant of the vehicle, or as a motorcyclist. Approximately a quarter of patients (28%) were transferred from another hospital, with a 90% 28-day survival rate. GCS on admission to the ED was  $8 \pm 6$ ; worst GCS recorded in the first 24 hours was  $5 \pm 4$ , which indicated significant loss of consciousness and cognitive impairment.

The lowest body temperature measured in the first 24 hours was an average of  $95.0 \pm 2.3$  °F, whereas the average highest temperature in the first 24 hours was  $100.4 \pm 1.4$  °F. Mean arterial pressure ranged from an average low of  $52 \pm 17$  mmHg to an average high of  $118 \pm 19$  mmHg in the first 24 hours of care. Heart rates were elevated, with an average low of  $77 \pm 21$  beats/minute (bpm), and an average high of  $137 \pm 21$  bpm. The average low pH for patients in the first 24 hours was  $7.2 \pm 0.1$ , with a mean first base deficit of  $-8.8 \pm 4.4$ ; the average high pH during the first 24 hours was  $7.4 \pm 0.1$ . On admission to the ED, the first median hemoglobin was  $11.6 \pm 2.6$  grams/deciliter (g/dL), and the first mean INR was  $1.4 \pm 0.7$ . In addition, patients had an average low hematocrit of  $24 \pm 6\%$  and a high of  $39 \pm 5\%$ .

Prior to ED admission, patients received an average of  $219 \pm 592$  mL of blood (not further specified) and a mean of  $2,178 \pm 2,236$  mL of crystalloid. In the first 6 hours, 92% of these patients received PRBCs, 50% received FFP, and 26% received PLTs (Table 2.). By the end of the first 24 hours, all patients had received PRBCs, whereas 65% received FFP, and 40% received PLTs. Average volume of PRBCs transfused in the first 6 hours was  $1,977 \pm 2,329$  mL or  $6.6 \pm 7.8$  units. FFP infusion was an average of  $705 \pm 1,102$  mL or  $3.5 \pm 5.5$  units, with an average volume of PLTs infusion of  $125 \pm 330$  mL or  $2.5 \pm 6.6$  units. Ratio of PRBC:FFP units at 6 hours were a mean of  $1.9 \pm 1.9$ , meaning that patients received 1.9 units of PRBCs per unit of FFP. Mean PRBC:PLT ratio at 6 hours was  $1.9 \pm 2.1$ , which indicated that patients received nearly 2 units of PRBC per unit of PLTs. At 24 hours after admission, an average of  $2,843 \pm 3,599$  mL PRBCs or  $9.5 \pm 12.0$  units were transfused. Total FFP administered by 24-hours after admission equaled  $1,195 \pm 1,899$  mL or  $6.0 \pm 9.5$  units, and  $242 \pm 486$  mL of PLTs or

 $2.5 \pm 6.6$  units were administered. Ratio of blood components for PRBC:FFP at the end of the first 24-hour period following admission were a mean of  $1.9 \pm 2.0$  units. This indicated that patients received about 2 units of PRBC per unit of FFP. Mean ratio of PRBC:PLT was  $2.6 \pm 2.9$ . In other words, patients received about 2.5 units of PRBCs for each 1 unit of PLTs. A total of 376 patients received all three components at the end of the first 24-hour period following admission.

Thirty-two percent of patients were discharged home with or without services; almost one third of patients (27%) were sent to an inpatient rehabilitation facility, and another 24% went to a skilled nursing facility. Of those who died (10% 28-day mortality), major cause of death included brain death (25%) and multiple organ failure (19%).

# **Prevalence of Complications**

Eighty-six percent of the sample developed at least one of the specified inflammatory complications (organ failure, VAP, CRBSI, UTI, sepsis, ARDS, or NI) (Table 3.). For those with organ failure, 76% had a score of one or greater and 36% developed multiple organ failure. Almost a third of patients developed VAP (27%). Only 3% of patients developed a CRBSI. Fourteen percent of patients developed a UTI, and 13% developed sepsis. Approximately a quarter of patients (24%) were diagnosed with ARDS. Almost half of the patients developed a nosocomial infection (45%). Time to diagnosis of first complication was a median of 5 days (IQR 2 – 8).

# **Inflammatory Complications Predictors**

Logistic regression was used to determine independent predictors of inflammatory complication (Table 4.). Variables were entered by blocks to control for confounding and

determine relationships with development of inflammatory complications. Age, gender, and presence of at least one comorbidity were entered first, followed by NISS (categorical) and transfusion variables (24-hour total units of PRBCs, 24-hour total units of PLTs, PRBC:PLT ratio [categorical], and PRBC:FFP ratio [categorical]. The Omnibus tests of model coefficients and the Hosmer-Lemeshow test were used to determine model fit; we developed a significant model (p < 0.001) with acceptable model fit (p = 0.241). We found two independent predictors of development of inflammatory complications, presence of comorbidities and the 24-hr total of PRBC units transfused. The presence of at least one comorbidity was associated with a 5-fold risk in development of inflammatory complications (OR 5.367, 95% CI 2.235-12.886, p < 0.001). With each additional unit of PRBCs transfused, risk of developing an inflammatory complication increased by 8% (OR 1.083, 95% CI 1.018 – 1.151, p = 0.011). Patient age was found to be protective for development of inflammatory complications, but was not statistically significant at the 0.05 level (p = 0.053).

# **Time to Complication Development**

Cox proportional hazards model was used to determine predictors of time to development of inflammatory complications (Table 5.). Variables were entered into the model based on their potential for confounding and to evaluate their relationship with timing to development of inflammatory complications. Age, gender, and presence of at least one comorbidity were entered first, followed by NISS (categorical) and transfusion variables (24-hour total units of PRBCs, 24-hour total units of PLTs, PRBC:PLT ratio [categorical], and PRBC:FFP ratio [categorical]. The Omnibus tests of model coefficients indicated that a significant model was identified (p < 0.001). Results of the Cox

regression revealed two independent predictors of time to complication development, NISS and 24-hr total PRBC units transfused. Those with severe or critical injury severity (NISS  $\geq$  25) experienced a 41% increase in the likelihood of developing an inflammatory complication compared to those with mild/moderate injury (NISS  $\leq$  24) (hazard ratio [HR] 1.409, 95% CI 1.034 – 1.920, p = 0.03). For each additional unit of PRBCs transfused, patients experienced a 1% increase in their likelihood of developing an inflammatory complication (HR 1.010, 95% CI 1.04 – 1.016, p = 0.001). A 20% increase in the risk of inflammatory complications was observed for those with male gender, but this was not statistically significant at the 0.05 level (p = 0.053).

Log-rank testing did not reveal any significant differences between either PRBC:FFP ratio groups or PRBC:PLT ratio groups in terms of time to development of complications (Figure 1.,2.). Median time to development of complications for the 24-hr PRBC:FFP ratio groups was 7 days for both ratio groups (p = 0.709). Median time to development of complications for those who received a 24-hr PRBCs:PLTs ratio between 0.5-1.5 was 8 days, compared to 6 days for those who were outside of this range (p = 0.282).

#### **Discussion**

A majority of patients transfused after traumatic injury (86%) developed at least one inflammatory complication during their hospitalization. Independent predictors of inflammatory complication were the presence of at least one comorbidity and the 24-hour total transfused volume of PRBC units. The 24-hour total of PRBCs transfused was also an independent predictor of time to development of inflammatory complications, as was

critical or severe injury as indicated by a NISS of 25 or more. Other transfusion-related variables were not predictive of time to complication development.

The 24-hr transfusion volume of PRBCs was an independent predictor of inflammatory complication development; thus the storage lesion in PRBCs must be considered as a potential mechanism. These effects include the eventual transition of cells to anaerobic metabolism and depletion of adenosinetriphosphate (ATP), a decrease in pH from lactic acid production and cellular edema with possible rupture due to failure of the ATP sodium-potassium pump within the cell membrane.(21) When cells experience a breakdown in membrane structure, the contents of the cell leak into the supernatant, or the preservative fluid. These contents include electrolytes, microparticles or pieces of phospholipid membrane, and proinflammatory mediators that circulate freely in the stored component.

The storage lesion worsens over time. The practice of transfusion of older stored components to patients with major trauma could result in worse outcomes.(20) However, data about the relationship between age of transfused PRBCs and adverse outcomes are equivocal.(58) Investigators suggested that patients who were injured severely enough to require transfusion of multiple units of PRBCs for resuscitation could experience exacerbated vasoconstriction after transfusion.(33) Thus, transfusion of older components would reduce circulation to tissues and lead to development of complications.(59) Others have suggested that over-transfusion of patients, or overloading them with components in order to restore circulating volume, resulted in worse outcomes, which would support our findings here.(33) Though the mechanisms that produced inflammatory complications

after trauma are not clear, our findings support this association. This requires further investigation.(8, 9)

The ratio of transfused components was not a predictor of inflammatory complications in this study. There is evidence to support transfusion of components in a 1:1:1 fashion for patients with major trauma who required 10 or more units of PRBCs in the first 24 hours. (60, 61) However, the optimal ratio for patients who require less transfused components has not been determined. Differences among patients and transfusion requirements may originate with the state of coagulation, where exsanguinating patients require more clotting factors through transfusion of FFP and PLTs compared to less severely injured patients.

The association between transfusion and inflammation in our patients supports the findings of other investigators. Cole and colleagues (30) analyzed a sample of 271 severely injured trauma patients (median ISS 29, IQR 21-36) with a median age of 35 (IQR 25-49) to determine rate of infection following critical care admission. These investigators found an overall an infection rate of 52%; those who developed infection received significantly greater volume of PRBCs in the first 24 hours of hospital care than those who did not develop an infection (median units 4, IQR 3.4-5.4, versus 3, IQR 2.1-3.7, p = 0.01). However, volume of PRBCs was not an independent predictor of infection in their analysis. These investigators also found a lower rate of infections than in our participants. This finding may be due to their smaller sample size or younger median age. Also, the investigators did not report the frequency of blood component transfusion among their sample, so it is possible that not all participants received transfusions, which could impact development of complications.

Other investigators evaluated the relationship between coagulopathy following trauma and development of infection during hospitalization. (62) They found that less than half of their sample developed an infection (45%). Of those who developed an infection, patients were older (median age 44 years, IQR 25-59 versus 32 years, IQR 24-42, p = 0.02) and more severely injured (median ISS 25, IQR 15-33 versus 10, IQR 5-18, p < 0.01) than those without infection. However, the investigators did not find any differences in coagulation between those who developed infection and those who did not, which supports the notion that the physical transfusion of components rather than the physiological need for transfusion based on presence of coagulopathy may be more intricately related to development of inflammatory complications.

The inflammatory response following trauma, in conjunction with transfusion of stored components with the storage lesion may play a role in the development of complications. One effect of the storage lesion is the leaking of intracellular contents including proinflammatory mediators into the supernatant or preservative fluid after breakdown in the phospholipid membrane. Transfusion of components containing extracellular proinflammatory mediators contributes to an inflammatory response in patients. Evidence exists to support an increase in in vivo proinflammatory mediators following injury as well, while suppression of genes that stimulate an anti-inflammatory response also occurs.(29) Imbalances in inflammatory cytokines can last for weeks, creating an opportunity for patients to succumb to inflammatory complications.

We found that the presence of at least one comorbid condition was independently associated with development of inflammatory complications. Smoking was the most frequently reported comorbidity. Bell, Bayt, and Zarzaur found no association between

smokers and complications after traumatic injury (smoker prevalence 9.7% versus nonsmoker prevalence 9.6%, p = 0.763).(63) Ferro and colleagues supported this finding with similar rates of sepsis, pneumonia, ARDS, and MODS among smokers and nonsmokers.(64) However, numerous prior investigations have clearly implicated cigarette smoking with up-regulation of proinflammatory cytokines and down-regulation of anti-inflammatory cytokines. Prior investigators suggested that cigarette smoking was associated with prolonged bone healing after fracture, and the risk for nonunion of fracture and wound infection.(65) However, recent investigators found that after trauma cigarette smokers were 85% less likely to die and 27% less likely to develop a major complication compared with nonsmokers.(63) Thus, evidence about an association between smoking and inflammatory complications after trauma is equivocal and the proposed protective mechanism requires further investigation.(66-69)

Cigarette smoking is often associated with chronic alcoholism and psychiatric disorders,(70) two common comorbidities of our participants. Yaghoubian and colleagues (71) found that a positive blood alcohol on admission after trauma was not associated with complications. Similarly, Zeckey and colleagues (72) reported that alcohol consumption was not an independent predictor of sepsis or systemic inflammatory response syndrome in patients after trauma. However, alcohol intoxication has been demonstrated to produce dysregulation of immune mechanisms after trauma with consequent suppression of proinflammatory cytokine release, reduced neutrophil recruitment, decreased phagocytosis, impaired chemotaxis, and suppressed oxidative burst capacity;(73) thus, the likelihood of infection is augmented. Individuals with chronic and acute alcohol intoxication who have peripheral vascular injury were

demonstrated to require more surgical interventions, have more infections, vascular complications, and longer hospital stays compared with those not exposed to alcohol.(74) Ray and colleagues found that alcohol use was predictive of poorer outcomes after hip fracture; deep tissue infection and mortality rate 26 times higher than non-alcohol users were evident.(75) Crutcher and colleagues found that alcohol use increased the risk for multiple complications that included pneumonia, pulmonary embolus, and urinary tract infection in individuals after spinal cord injury.(76) Thus, alcohol, trauma, and transfusion may increase the likelihood of greater morbidity and mortality.

Injury severity was the final predictor of complications. Injury provided opportunity for complications through breaks in skin integrity, and the release of antiand proinflammatory mediators, (29) as well as placement of invasive catheters, and exposure to multiple organisms found in the environment at the site of injury and the hospital during treatment. The NISS has been a significant predictor of both sepsis (OR 1.11, 95% CI 1.04-1.19, p = 0.0028) and severe systemic inflammatory response syndrome (OR 1.07, 95% CI 1.00-1.14, p = 0.04) in adult patients with major trauma.(77) In addition, the NISS has been associated with complicated recovery and development of MOF, where patients with uncomplicated recovery and no MOF were less injured compared to those who developed MOF (average NISS 32.6  $\pm$  1.8 versus 39.8  $\pm$  1.9, p = 0.01).(78) Therefore, our results support the construct validity of the NISS, and coincide with those in the existing trauma literature.(77, 79, 80)

#### Limitations

The findings of our study should be considered in light of several limitations.

First, the data provided through the TRDB were limited in that the investigators selected

the variables for data collection, thereby restricting our selection for analysis. One variable of particular interest that was not available in this database was the age of the transfused components. Other variables that would have supplemented our study are timing of component transfusion in relation to time of injury and levels of inflammatory cytokines for all patients. Though a small subset of patients had data available for cytokines, these data were inadequate for our analyses.

Second, because the data were deidentified prior to release for secondary analysis, the validity could not be determined. Data entry by multiple personnel at each location lends itself to the possibility of errors. Finally, we restricted our analysis to patients between the ages of 18-65 to reduce the potential influence that older age and more comorbid conditions might introduce. However, our participants exhibited a number of comorbid conditions.

# Conclusion

A majority of our participants with major trauma developed inflammatory complications during their hospitalization. The volume of PRBCs transfused in the first 24 hours of hospitalization and the presence of comorbid conditions were independently associated with the development of complications. Although the likelihood that comorbid conditions are associated with complication has been previously hypothesized, clinicians often do not have access to these data at the time of management. The severity of injury was also a predictor of inflammatory complications. Enhanced understanding of the mechanisms that contribute to immune alterations after trauma and transfusion may provide clinicians with the ability to individualize patient management and monitoring for complications to produce improved outcomes.

Table 5.1 Characteristics of the Sample (N = 1,538)

Table 5.1 Characteristics of the Sample Characteristic	Mean (SD) or n (%)
Sex (male)	1,040 (68%)
Age (y)	39 ± 14
Ethnicity	
Caucasian	1,364 (89%)
African American	99 (7%)
Other*	67 (4%)
Number of Comorbidities	
0	598 (39%)
1	452 (29%)
2 or more	488 (32%)
Commonly Reported Comorbidities	
Smoking	457 (30%)
Chronic alcoholism	212 (14%)
Psychiatric disorder	170 (11%)
New Injury Severity Score	39 ± 13
Mechanism of Blunt Injury	
MVC - occupant	818 (53%)
MVC - motorcyclist	267 (17%)
Other**	453 (30%)
Pre-Hospital Blood (mL)	$219 \pm 592$
Pre-Hospital Crystalloids (mL)	2,178 ± 2,236

Table 5.1, Cont.

Low $95.0 \pm 2.3$ High $100.4 \pm 1.4$ MAP (mmHg) $52 \pm 17$ High $118 \pm 19$ Heart Rate (bpm) $77 \pm 21$ Low $77 \pm 21$ High $137 \pm 21$ Respiratory Rate (breaths/min) $12 \pm 4$ High $27 \pm 7$ pH $7.2 \pm .1$ High $7.4 \pm .1$ Hematocrit (%) $24 \pm 6$ High $39 \pm 5$ Hemoglobin (g/dL) $11.6 \pm 2.6$ INR $1.4 \pm .7$ Base Deficit $-8.8 \pm 4.4$ ED GCS $8 \pm 6$	Temperature (°F)	
High       100.4 ± 1.4         MAP (mmHg)       52 ± 17         High       118 ± 19         Heart Rate (bpm)       77 ± 21         High       137 ± 21         Respiratory Rate (breaths/min)       12 ± 4         High       27 ± 7         pH       Low         Low       7.2 ± .1         High       7.4 ± .1         Hematocrit (%)       24 ± 6         High       39 ± 5         Hemoglobin (g/dL)       11.6 ± 2.6         INR       1.4 ± .7         Base Deficit       -8.8 ± 4.4		95.0 + 2.3
MAP (mmHg)         Low       52 ± 17         High       118 ± 19         Heart Rate (bpm)       77 ± 21         Low       137 ± 21         Respiratory Rate (breaths/min)       12 ± 4         High       27 ± 7         pH		
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Heart Rate (bpm) $77 \pm 21$ High $137 \pm 21$ Respiratory Rate (breaths/min) $12 \pm 4$ High $27 \pm 7$ pH $7.2 \pm .1$ High $7.4 \pm .1$ Hematocrit (%) $24 \pm 6$ High $39 \pm 5$ Hemoglobin (g/dL) $11.6 \pm 2.6$ INR $1.4 \pm .7$ Base Deficit $-8.8 \pm 4.4$	Low	52 ± 17
Low $77 \pm 21$ High $137 \pm 21$ Respiratory Rate (breaths/min) $12 \pm 4$ High $27 \pm 7$ pH $7.2 \pm .1$ High $7.4 \pm .1$ Hematocrit (%) $24 \pm 6$ High $39 \pm 5$ Hemoglobin (g/dL) $11.6 \pm 2.6$ INR $1.4 \pm .7$ Base Deficit $-8.8 \pm 4.4$	High	118 ± 19
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Respiratory Rate (breaths/min)         Low $12 \pm 4$ High $27 \pm 7$ pH $7.2 \pm .1$ High $7.4 \pm .1$ Hematocrit (%) $24 \pm 6$ High $39 \pm 5$ Hemoglobin (g/dL) $11.6 \pm 2.6$ INR $1.4 \pm .7$ Base Deficit $-8.8 \pm 4.4$	Low	77 ± 21
Low $12 \pm 4$ High $27 \pm 7$ pH $7.2 \pm .1$ High $7.4 \pm .1$ Hematocrit (%) $24 \pm 6$ High $39 \pm 5$ Hemoglobin (g/dL) $11.6 \pm 2.6$ INR $1.4 \pm .7$ Base Deficit $-8.8 \pm 4.4$	High	137 ± 21
High $27 \pm 7$ pH $7.2 \pm .1$ High $7.4 \pm .1$ Hematocrit (%) $24 \pm 6$ High $39 \pm 5$ Hemoglobin (g/dL) $11.6 \pm 2.6$ INR $1.4 \pm .7$ Base Deficit $-8.8 \pm 4.4$	Respiratory Rate (breaths/min)	
pH $7.2 \pm .1$ High $7.4 \pm .1$ Hematocrit (%) $24 \pm 6$ High $39 \pm 5$ Hemoglobin (g/dL) $11.6 \pm 2.6$ INR $1.4 \pm .7$ Base Deficit $-8.8 \pm 4.4$	Low	12 ± 4
Low $7.2 \pm .1$ High $7.4 \pm .1$ Hematocrit (%) $24 \pm 6$ High $39 \pm 5$ Hemoglobin (g/dL) $11.6 \pm 2.6$ INR $1.4 \pm .7$ Base Deficit $-8.8 \pm 4.4$	High	27 ± 7
High $7.4 \pm .1$ Hematocrit (%) $24 \pm 6$ Low $24 \pm 6$ High $39 \pm 5$ Hemoglobin (g/dL) $11.6 \pm 2.6$ INR $1.4 \pm .7$ Base Deficit $-8.8 \pm 4.4$	рН	
Hematocrit (%) $24 \pm 6$ High $39 \pm 5$ Hemoglobin (g/dL) $11.6 \pm 2.6$ INR $1.4 \pm .7$ Base Deficit $-8.8 \pm 4.4$	Low	7.2 ± .1
Low $24 \pm 6$ High $39 \pm 5$ Hemoglobin (g/dL) $11.6 \pm 2.6$ INR $1.4 \pm .7$ Base Deficit $-8.8 \pm 4.4$	High	7.4 ± .1
High $39 \pm 5$ Hemoglobin (g/dL) $11.6 \pm 2.6$ INR $1.4 \pm .7$ Base Deficit $-8.8 \pm 4.4$	Hematocrit (%)	
Hemoglobin (g/dL) $11.6 \pm 2.6$ $INR                                   $	Low	24 ± 6
INR $1.4 \pm .7$ Base Deficit $-8.8 \pm 4.4$	High	39 ± 5
Base Deficit $-8.8 \pm 4.4$	Hemoglobin (g/dL)	11.6 ± 2.6
	INR	1.4 ± .7
ED GCS $8 \pm 6$	Base Deficit	-8.8 ± 4.4
1	ED GCS	8 ± 6

Table 5.1, Cont.

	T
APACHE II GCS	5 ± 4
Transfer From Another Hospital (yes)	433 (28%)
28-Day Patient Survival	1,387 (90%)
Primary Cause of Death	
Brain death	37 (25%)
Multiple organ failure	28 (19%)
Other***	86 (57%)
Non-Infectious Complications (yes)	655 (43%)
Number of Inflammatory Complications	2 ± 2
Disposition At Initial Hospital Discharge	
Home (with or without services)	490 (32%)
Inpatient rehab facility	408 (27%)
Skilled nursing facility	362 (24%)
Other †	278 (18%)

MVC = motor vehicle collision; mL = milliliters; °F = degrees in Fahrenheit; mmHg = millimeters of mercury; bpm = beats per minute; g/dL = grams per deciliter; mEq/L = milliequivalents per liter; ED = emergency department; APACHE = Acute Physiology and Chronic Health Enquiry II

<sup>\* =</sup> American Indian, Asian, Pacific Islander, Other

<sup>\*\* =</sup> Moving vehicle collision – cyclist, struck by or against, pedestrian; falls; machinery injuries; other \*\*\* = Hypovolemic shock, sepsis, hypoxia, cardiac dysfunction, severe head injury (trauma only), withdrawal of life sustaining therapy, other

<sup>† =</sup> Nursing home, residential facility, against medical advice, another acute care facility, death or other

**Table 5.2 Transfusion-Related Characteristics** 

Table 5.2 Transitusion-Related Characteristics			
Transfused in first 6 hours (yes)	Mean ± SD or n (%)		
PRBC	1,411 (92%)		
FFP	773 (50%)		
PLT	395 (26%)		
Transfusion in first 24 hours (yes)			
PRBC	1,536 (100%)		
FFP	1,000 (65%)		
PLT	618 (40%)		
Transfusion volume in first 6 hours			
PRBC (mL)	1,977 ± 2,329		
Units	$6.6 \pm 7.8$		
FFP (mL)	705 ± 1,102		
Units	$3.5 \pm 5.5$		
PLT (mL)	125 ± 330		
Units	$2.5 \pm 6.6$		
Transfusion volume in first 24 hours			
PRBC (mL)	2,843 ± 3,599		
Units	9.5 ± 12		
FFP (mL)	1,195 ± 1,899		
Units	$6.0 \pm 9.5$		
PLT (mL)	$242 \pm 486$		
Units	2.5 ± 6.6		

Table 5.2, Cont.

Ratios transfused components at 6 hours	
(units)	
PRBC:FFP	1.9 ± 1.9
PRBC:PLT	1.9 ± 2.1
Ratios transfused components at 24 hours	
(units)	
PRBC:FFP	$1.9 \pm 2.0$
PRBC:PLT	$2.6 \pm 2.9$

PRBC = packed red blood cells; PLT = platelets; FFP = fresh frozen plasma; mL = milliliters

Table 5.3 Inflammatory Complications (N = 1,538)

Table 3.5 Innammatory Complications (1) 1,550)			
Complication	N (%)		
Multiple organ failure	1,163 (76%)		
Ventilator-associated pneumonia	421 (27%)		
Acute respiratory distress syndrome	371 (24%)		
Urinary tract infection	222 (14%)		
Septicemia	197 (13%)		
Catheter-related bloodstream infection	41 (3%)		
Nosocomial Infection	691 (45%)		

Organ failure based on Denver maximum score, categorized as those with a score of 1 or greater<sup>81</sup>

**Table 5.4 Predictors of Development of Inflammatory Complications (n = 386)** 

Table 3.4 i redictors of Developine	iii oi iiiiii		· · · · · · · · · · · · · · · · · · ·	300)
Variable (Reference Group)	$oldsymbol{eta}$	Exp $\boldsymbol{\beta}$	95% CI	P
•	-			
Age (years)	.031	1.032	1.000 - 1.065	.053
2 0				
Sex (female)	.571	1.769	.821 - 3.812	.145
,				
Comorbidities (none)	1.680	5.367	2.235 - 12.886	< .001
, ,				
NISS (≤ 24)	.846	2.329	.648 - 8.366	.195
24-hour transfused vol. PRBC units	.079	1.083	1.018 - 1.151	.011
24-hour transfused vol. PLT units	.035	1.035	.943 – 1.136	.464
PRBC:FFP (outside 0.5-1.5)	.057	1.059	.478 - 2.344	.888
PRBC:PLT (outside 0.5-1.5)	300	.741	.297 - 1.850	.521
, in the second				

Omnibus Tests of Model Coefficients p < 0.001; Hosmer and Lemeshow p = 0.241; NISS = New Injury Severity Score; PRBC = packed red blood cells; PLT = platelets; FFP = fresh frozen plasma. Comparison group for categorical variables provided in parentheses.

**Table 5.5 Predictors of Time to Development of Inflammatory Complications (n = 643)** 

HR	95% CI	P
.999	.992 – 1.005	.739
1.197	.998 – 1.436	.053
1.163	.971 – 1.394	.101
1.409	1.034 – 1.920	.030
1.010	1.004 – 1.016	.001
1.003	.994 – 1.011	.533
1.068	.900 – 1.267	.451
.970	.809 – 1.164	.746
	.999 1.197 1.163 1.409 1.010 1.003 1.068	.999       .992 – 1.005         1.197       .998 – 1.436         1.163       .971 – 1.394         1.409       1.034 – 1.920         1.010       1.004 – 1.016         1.003       .994 – 1.011         1.068       .900 – 1.267

Omnibus Tests of Model Coefficients p < 0.001; NISS = New Injury Severity Score; PRBC = packed red blood cells; PLT = platelets; FFP = fresh frozen plasma. Comparison group for categorical variables provided in parentheses. Approximately 12% of patients were censored.

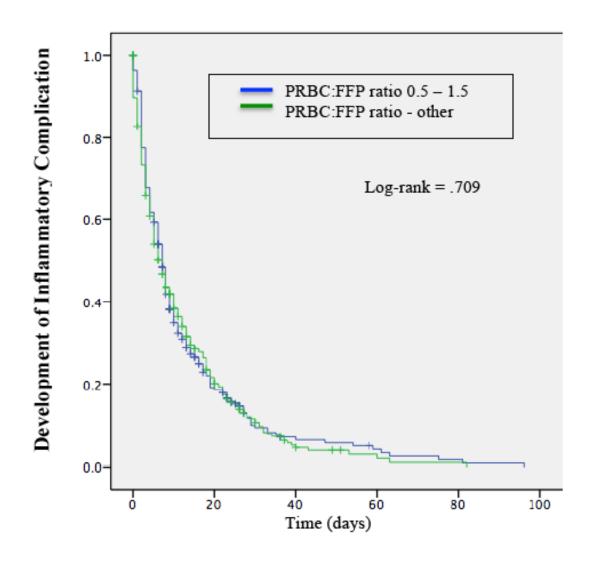


Figure 5.1 Time to development of complications by PRBC:FFP ratio group

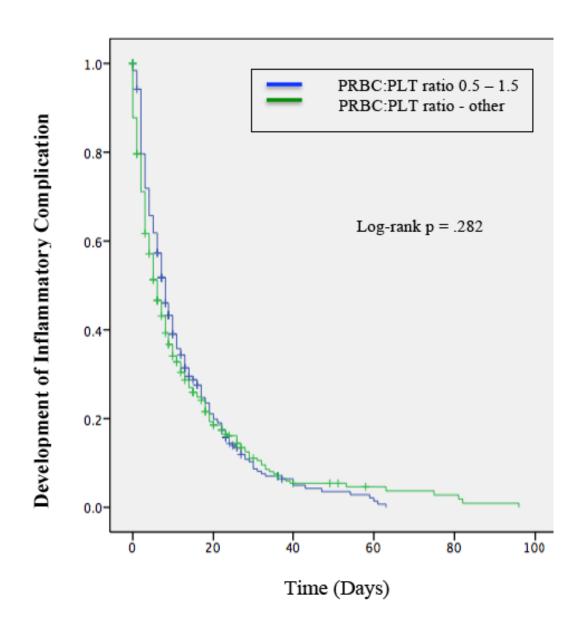


Figure 5.2 Time to development of complications by PRBC:PLT ratio group

# Figure Legend

Figure 5.1 Time to development of complications by PRBC:FFP ratio group

Figure 5.2 Time to development of complications by PRBC:PLT ratio group

#### **CHAPTER SIX**

#### Conclusion

The purpose of this dissertation was to evaluate outcomes associated with blood component transfusions in adult major trauma patients. Unintentional traumatic injury affects millions of people worldwide annually,(1) with many patients requiring transfusion of blood or blood components for resuscitation. Stored components experience biological and chemical alterations that result in a storage lesion.(2) Evidence exists to support an increased risk of complications and mortality in transfused trauma patients,(3) yet the relationship between the storage lesion and patient outcomes remains unclear.

The first manuscript presented a conceptual model of the current state of knowledge regarding short- and long-term outcomes associated with trauma, hemorrhage, and transfusion, focusing on the consequences of the storage lesion. The second manuscript, a systematic review of the literature, focused on outcomes for massively transfused trauma patients based on the ratios of blood components they received during resuscitation. The third manuscript presented findings from a secondary analysis of the 2009 National Trauma Data Bank (NTDB) data set, evaluating mortality likelihood for trauma patients who were transfused with whole blood compared with those who received blood components. The fourth manuscript presented findings from a secondary analysis of the Inflammation and Host Response to Injury Trauma Database (TRDB), in which the relationship between blood component transfusion and development of inflammatory complications was evaluated.

The short- and long-term outcomes of traumatic injury and subsequent hemorrhage have been thoroughly discussed in the literature.(4-7) However, a lack of evidence exists regarding both short- and long-term outcomes associated with the transfusion of blood components and the storage lesion, particularly in packed red blood cells (PRBCs) and platelets (PLTs). The second manuscript presented a conceptual model depicting the state of knowledge surrounding these issues, with specific focus on the pathophysiology of the storage lesion. Consequences of the storage lesion induce a systemic inflammatory response in the transfused patient, as well as vasoconstriction,(2) electrolyte imbalances, and acidosis,(8) and these effects only worsen with time. Particular attention to patient status following trauma and subsequent transfusion is indicated in anticipation of inflammatory reactions. Suggestions for future research in this area include prevention and development of specific inflammatory complications, hospital readmission rates, rehabilitation requirements, and cognitive dysfunction.

Due to the damaging effects associated with transfusion of stored components, a systematic review of the literature was performed to evaluate outcomes of trauma patients who required massive transfusion (at least 10 units of PRBCs in a 24-hour period) based on the ratio of components transfused. This review consisted of 21 studies that were primarily retrospective in nature, and included both military and civilian populations. Risk of bias was evaluated using a 9-item instrument adapted from Viswanathan & Berkman.(9) Overall, the studies were found to have low risk of bias, but there were issues related to reporting of adverse events and outcomes, and controlling of confounding factors in the analyses. The main finding from review of the studies was that transfusion of components in a 1:1:1 ratio, or 1 unit of PRBCs to 1 unit of PLTs to 1 unit

of fresh frozen plasma (FFP), produced superior survival in massively transfused trauma patients. This ratio closely resembles the composition of whole blood, which investigators suggest may be the reason for its survival benefit. Results regarding the relationship of this ratio with complications during hospitalization were inconclusive and require further investigation.

Findings from this systematic review led to an investigation of the NTDB to evaluate mortality likelihood for major trauma patients who received whole blood compared with those who received blood components. After controlling for age, gender, Injury Severity Score, emergency medical system transfer time, and transfer of the patient from another hospital, transfusion of blood components was found to be associated with a three-fold increase in mortality likelihood in trauma patients compared with transfusion of whole blood. However, whole blood transfusion is rare in the clinical setting outside of front-line military scenarios or in surgery. Our findings call into question the current standard of care for resuscitation of patients with major trauma, including the ubiquitous transfusion of blood components. The volume and age of the transfused stored components may have influenced these outcomes, thus further investigation is warranted.

To explore further the notion that transfusion of components in a 1:1:1 fashion may be beneficial to trauma patients in terms of development of complications, the third manuscript presented findings from a secondary analysis of the TRDB. This database included only blunt trauma patients; from this sample, those who were critically injured and required blood component transfusion in the first 24 hours following hospital admission were selected. The investigation yielded several important findings. First, the vast majority of patients developed at least one inflammatory complication. Second,

though the volume of PRBCs transfused in the first 24 hours of hospitalization was associated with development of inflammatory complications, total transfused volume of FFP and PLT in the first 24 hours of hospitalization and the ratios of transfused components were not. Third, presence of at least one comorbidity increased likelihood of complication development.

Two independent predictors of time to development of inflammatory complications were identified: the initial 24-hour total transfused volume of PRBCs and injury severity. Again, the total transfused volume of FFP and PLTs in the first 24 hours of care and the ratios of PRBCs:PLTs and PRBCs:FFP were not predictors of complications. Investigators have suggested that the timing of transfusions may have more impact than the volume or ratio of components transfused in massively transfused trauma patients,(10) providing an area of future inquiry for those non-massively transfused. It may also be the case that non-massively transfused trauma patients have different transfusion requirements based on their coagulopathic state.

Thus, the findings of this dissertation support transfusion of components in a 1:1:1 ratio for survival benefit in massively transfused trauma patients, but this may not apply to trauma patients requiring less than massive transfusion. Whole blood was found to be more beneficial for survival in major trauma patients, as well. However, this is not current standard of care for resuscitation, especially in the civilian setting. In blunt trauma patients, neither the volume of transfused components nor the ratio of transfused components was associated with development of inflammatory complications, despite over 85% of transfused patients developing at least one.

Limitations of this dissertation must be considered along with the findings. The studies included in the systematic review were primarily retrospective in nature, thereby restricting investigators' abilities to control for factors that may influence patient outcomes. There was also great variability in the analyses performed by investigators and the confounding factors for which they controlled, thus limiting the generalizability of findings. The secondary analyses performed using the NTDB and the TRDB data were limited in terms of the data available for analysis. The NTDB, for example, did not include any data related to transfusion of FFP or volume of components/whole blood transfused, thereby limiting the analysis and findings. Neither database had data pertaining to age of stored components. Given that both data sets contained large numbers of transfused trauma patients, this information could have greatly supplemented both analyses. Finally, as with any retrospective analysis, missing data or incorrect data due to entry error poses a challenge, and the nature of a secondary analysis of deidentified data does not allow for determination of data validity.

The most effective transfusion strategy for survival and development of complications in both massively and non-massively transfused trauma patients has yet to be determined. Further research is required to explore the consequences of the storage lesion in transfused trauma patients. Future studies focusing on outcomes in both the short-term and long-term may help guide evaluation and treatment of the trauma population.

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## **Chapter Six**

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## VITA

## Educational Background

Educational Background							
Year	Degree		Institution				
2012	Masters of Science in	Nursing	University of Kentucky, Lexington, KY				
2006	Bachelors of Science Nursing		University of Kentucky, Lexington, KY				
Profes	sional Positions Held						
Dates		Institutio	on and Location	Position			
May 2014 – Present		University of Kentucky		Graduate Research			
		College of Nursing,		Associate, Dr. Jennifer			
		Lexington, KY		Hatcher			
		Univers	ity of Kentucky	Graduate Research			
		College of Nursing,		Associate, Dr. Elizabeth			
		Lexingto	on, KY	Salt			
May 2011 – Dec 2011		University of Kentucky		Staff nurse			
		Chandler Medical Center					
		Emergency Department,					
		Lexingto	on, KY				
Aug 2	010 – May 2011	Univers	ity of Kentucky	Graduate Teaching			
		College	of Nursing,	Assistant, Family Health			
		Lexingto	on, KY	Promotion and			

## Communication Across the

Lifespan, NUR 861, 8.0

hours

Jan 2010 – Dec 2013	University of Kentucky	Graduate Re	esearch				
	College of Nursing,	Associate, I	Dr. Jennifer				
	Lexington, KY	Hatcher					
Jan 2010 – May 2010	Florence Crittenton Home,	Staff nurse					
	Lexington, KY						
May 2009 – April 2010	University of Kentucky	Staff nurse					
	Marketing Department and						
	Pediatric Triage, Lexington,						
	KY						
June 2006 – July 2009	University of Kentucky	Staff nurse					
	Chandler Emergency						
	Department, Lexington, KY						
Scholastic and Professional Honors							
Dorothy Luther Fellowship A	2014						
Emergency Nurses Association	2014						
Research Award							
Nominated by University of I	2014						
Dissertation Year Fellowship							
Sigma Theta Tau Internationa	2014						

Award

UK College of Nursing Alumni Association President's Award –	2013
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Outstanding MSN Student

Nominated by University of Kentucky College of Nursing faculty for 2013

the University of Kentucky Presidential Fellowship for the 2013

academic year

Pamela Stinson Kidd Scholarship 2011 and

2012

**Professional Publications** 

Jones, A.R. & Frazier, S.K. 2015. 20 things you didn't know about blood transfusion. *Journal of Cardiovascular Nursing*; 30(1): 8-12.

Jones, A.R. & Frazier, S.K. 2014. Increased mortality in adult patients with major trauma transfused with blood components compared with whole blood. *Journal of Trauma Nursing*; 21(1): 22-29.