

Associations between red blood cell and platelet transfusions  
and the development of idiopathic pneumonia syndrome

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**Abstract**

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**Objective:** Blood transfusions are common during hematopoietic stem cell transplant (HSCT) and may contribute to lung injury. We examined the associations between receipt of red blood cell (RBC) and platelet (PLT) transfusions and the occurrence of Idiopathic Pneumonia Syndrome (IPS). **Design:** Retrospective case-control study. **Setting:** Fred Hutchinson Cancer Research Center, 1997 – 2001. **Patients:** 914 individuals hospitalized for allogeneic HSCT after myeloablative conditioning and transfused allogeneic blood components at their physicians' discretion. **Measurements:** Each IPS case was matched to 2 controls on days-from-transplant. We estimated associations between RBC and PLT transfusions and IPS by post-transplant day 120 using conditional logistic regression models adjusted for IPS risk factors (age, disease indicating transplant, and dose of TBI) and a composite sum of "other" blood components transfused. Timing of transfusions relative to myeloid engraftment and PLT ABO-blood group (match vs. mismatch) were included as potential interaction terms. **Main Results:** The 77 IPS cases (8.4%) received a median of 2 RBC and 4 PLT units in the week prior to IPS onset, compared to 0 and 1 unit, respectively, among controls. In adjusted analyses, each additional PLT unit transfused in the prior week was associated with 33% higher IPS risk (odds

ratio 1.33, 95% confidence interval 1.14 – 1.55,  $p < 0.001$ ). Recent transfusions of RBCs and PLTs were each statistically associated with greater risk of IPS when examined without the other; only PLTs retained this significance when both exposures were included in the model. The RBC and PLT associations were similar for subjects developing IPS before or after engraftment and the PLT association was unaffected by ABO-mismatch. **Conclusions:** PLT transfusions, and possibly RBC transfusions, are associated with an increased risk of IPS in the first 120 days after myeloablative HSCT.

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## INTRODUCTION

Red blood cell (RBC) and platelet (PLT) transfusions are among the most common therapies administered to hematopoietic stem cell transplant (HSCT) recipients.<sup>1,2</sup> While lifesaving in some settings, transfusions are also associated with adverse outcomes in critically ill patients including death,<sup>3-6</sup> nosocomial infections,<sup>3,7</sup> and acute lung injury (ALI).<sup>8,9</sup> After receipt of HSCT, the development of ALI of noninfectious origin is termed Idiopathic Pneumonia Syndrome (IPS). IPS is defined as widespread noninfectious alveolar injury leading to abnormal pulmonary physiology that is not due to cardiac or renal dysfunction.<sup>10</sup> IPS typically occurs within 120 days of HSCT and is associated with 60-80% mortality.<sup>11-14</sup> Recognized clinical risk factors for IPS include myeloablative conditioning, age over 40 years at time of transplant, severe (grade III-IV) acute graft-versus-host-disease (GVHD), high dose total body irradiation (TBI; greater than 12 Gray), and acute leukemia or myelodysplastic syndrome as indication for transplant.<sup>10-18</sup> Although blood component transfusion is an established risk factor for ALI in critically ill and injured patients,<sup>5,6,9,19-21</sup> the association between blood component transfusions and development of IPS after HSCT has not previously been examined.

In this study, we examined the associations between receipt of RBC and PLT transfusions and subsequent development of IPS after HSCT. We also investigated whether the associations between transfusions and IPS were modified by the timing of transfusion relative to neutrophil engraftment, or by receipt of ABO blood group major- or minor-mismatched PLT units.

## METHODS

### **Cohort description, eligibility, and definitions**

We performed a study of patients who underwent myeloablative allogeneic HSCT at the Fred Hutchinson Cancer Research Center (FHRC) between December 1997 and 2001. The cohort

of 1100 myeloablative and nonmyeloablative HSCT recipients was previously studied to identify risk factors for IPS;<sup>13</sup> however, blood component transfusions were not specifically examined. The present analysis was restricted to the subgroup of 917 individuals receiving myeloablative conditioning regimens, from which 95% of IPS cases were identified, in order to compare patients with more homogeneous baseline IPS risk factors and transfusion needs. Patients were observed until post-transplant day 120, during which time it was expected that the large majority of IPS cases would be ascertained.<sup>12,15</sup> Three patients were excluded due to withdrawal of consent or imprisonment, leaving 914 available for analysis. All required data elements for this study were present within a rigorously validated research database, including the type, timing, and ABO blood type of all blood component transfusions.<sup>13</sup> This study was approved by the FHCRC Institutional Review Board.

We defined ABO blood group mismatch according to the Puget Sound Blood Center protocol (Seattle, WA), considering a transfused unit to be a match only if identical to both HSCT recipient and stem cell donor blood type.<sup>22-24</sup> A minor mismatch was defined as possible isoagglutinin (anti-ABO) antibody in the transfused product against the HSCT donor or recipient cells. A major mismatch represents possible isoagglutinin antibody in the transfusion recipient against the transfused cells. Actual isoagglutinin antibody titers were not available. We defined the time of myeloid engraftment as the first of three consecutive days on which the patient had an absolute neutrophil count greater than 500 per cubic millimeter.<sup>25</sup>

## Exposures

Patients were transfused blood products at their medical providers' discretion. All blood components transfused to cohort members prior to transplant were prepared by the Puget Sound Blood Center according to Food and Drug Administration regulations. RBC components were prepared using U.S. standard centrifugation while PLT units could be prepared via single-donor apheresis or by pooling 4-6 donors' whole blood-derived PLT-rich plasma concentrates.

All patients received Cytomegalovirus (CMV)-safe transfusions prior to transplant, while only CMV-seronegative patients received CMV-safe units after transplant. One hundred milliliters of plasma was removed from all ABO-mismatched PLT units at the time they were ordered for a specific patient. For all analyses, blood transfusions were grouped as RBC, PLT, and “other” which included cryoprecipitate, plasma, and rarely, whole blood or granulocytes. We considered only RBC and PLT units administered before the first day of IPS development as units of exposure because the temporal relationship of transfusion relative to IPS onset was unknown for units administered on the day a patient developed IPS. Transfusion requirements varied throughout the post-transplant period. Based on prior data suggesting that immunomodulatory pathways linking transfusion to lung injury may result in delayed onset,<sup>26,27</sup> we defined primary exposures *a priori* as blood transfusions received in the 7 days prior to IPS onset among cases, and the 7 corresponding days post-transplant among controls.

## Outcome

As previously described by Fukuda *et al*, each patient’s IPS status was determined via abstraction of clinical, radiographic, microbiologic, and histopathologic reports.<sup>13</sup> Two physicians, blinded to the number and timing of blood component transfusions, assigned IPS determinations using standard IPS definitions. IPS was defined using the 1993 National Heart, Lung, and Blood Institute workshop criteria which include multi-lobar infiltrates on chest imaging, signs or symptoms of pneumonia, abnormal pulmonary physiology characterized by increased alveolar-arterial oxygen difference or new/increased restrictive ventilatory abnormality, and absence of active lower respiratory tract infection by bronchoscopic lavage, lung biopsy, or autopsy.<sup>28</sup> IPS was excluded if lavage cultures grew  $10^4$  or more colony-forming units of bacteria per milliliter, grew a lung pathogen in the setting of compatible radiographic findings, or met European Organization for Research and Treatment of Cancer and Mycoses Study Group criteria for fungal pneumonia.<sup>29,30</sup> Patients with non-diagnostic lavage who responded to

antimicrobial therapy and those with suspected fluid overload responsive to diuretics were not considered to have IPS. The date of IPS onset is the first day on which both abnormal chest imaging and pulmonary physiology were noted.

### **Statistical Analysis**

Each IPS case was matched on days-from-transplant to two controls sampled from the set of patients under study at the time of IPS occurrence. To describe levels of exposure among cases and controls, RBC transfusions were categorized as zero, 1-2, or more than 2 units transfused during the seven days prior to the matched day. As PLT transfusion is more common after HSCT, they were categorized as 0, 1-2, 3-4, or >4 transfusions in the prior seven days. Because transfusion dependence varies throughout the transplant process, with the majority of transfusions received prior to myeloid engraftment, it was hypothesized that rates of IPS would vary both by time-from-transplant and transfusion amounts. We therefore determined crude incidence rates of IPS for the entire cohort by strata jointly defined by transfusion amount and time-since-transplant. Time was divided into weekly intervals for the first three weeks, 3 week intervals through weeks 4-12, then all remaining time (36 days) beyond week 12 was included in one interval. Incidence rates for IPS were then adjusted for time-from-transplant by indirect standardization.<sup>31</sup> (1) we first determined the expected number of IPS cases for each transfusion stratum if the corresponding person-time observed was divided equally over each day from 0 to 120; (2) we then calculated adjusted stratum-specific incidence rates as the number of expected events divided by observed person-time for each joint category of transfusion amount and time interval.

For the case-control analysis, crude and adjusted Mantel-Haenszel odds ratios with 95% confidence intervals were calculated separately for each RBC and PLT category. A score test tested the null hypothesis of no linear trend between transfusion levels and IPS risk. RBC and PLT sums for the week of interest were then modeled as separate continuous linear exposures

in a conditional logistic regression model adjusted linearly for sums of other components transfused that week and identified confounders.<sup>32</sup> Based on prior studies, the following factors were included as potential confounders: age at transplant ( $\leq 40$  years versus  $>40$ ), TBI dose (0, 12, or  $>12$  Gray), original disease (chronic myelogenous leukemia/nonmalignant disease, acute leukemia/myelodysplastic syndrome, or other malignancy), stem cell source (bone marrow or peripheral blood), and the weekly transfusion total of any non-RBC and non-PLT blood component.<sup>10-18,33-35</sup> We considered presence of grade III-IV acute GVHD as a possible mediator of transfusion-related lung injury<sup>10,12,36</sup> and therefore did not adjust for it; in sensitivity analysis, adjustment for grade III-IV acute GVHD did not change our results. Three primary conditional logistic regression models were examined: one including only the number of RBC transfusions administered as the main exposure, a second examining only the number of PLT transfusions administered as the main exposure, and a third model including both RBCs and PLTs as exposures.

Two factors were considered as potential multiplicative-scale interaction terms in conditional logistic regression models. First, it is hypothesized that transplanted stem cell engraftment stimulates endothelial inflammation.<sup>37,38</sup> Engraftment may prime neutrophils to induce lung injury once exposed to one or more blood transfusions. As a result, we examined whether the associations between PLT and RBC transfusions and IPS varied by myeloid engraftment status. Forty patients were missing an engraftment day and thus we assumed did not engraft before death. Second, limited inventories commonly necessitate transfusing HSCT recipients with minor, major, or bidirectionally ABO-mismatched PLTs. As prior studies demonstrate decreased survival and more frequent acute GVHD in individuals receiving ABO-mismatched HSCT,<sup>39,40</sup> we also examined whether the association between PLT transfusion and IPS varied by receipt of major- or minor-mismatched PLT transfusions. A patient's weekly transfusion total was classified as mismatched if at least one of the units received was

mismatched. Fifty-five patients lacked ABO blood group records, resulting in 859 patients available for the interaction term analysis.

Reported p-values are two-sided and based on the Wald statistic unless otherwise stated. Statistical analyses were performed using Stata 12 (StataCorp LP, College Station, TX) statistical software.

## **RESULTS**

### **Baseline characteristics**

Patients in the full cohort were predominantly male and self-reported as Caucasian (Table 1). Fifty-six percent were transplanted for acute leukemia or myelodysplastic syndrome, 39% for chronic leukemia or nonmalignant indication, and 5% for other malignancies such as multiple myeloma. Approximately two-thirds of HSCT recipients were given grafts from bone marrow source, the remainder from peripheral blood stem cells. Conditioning therapy included TBI for 58% of patients. One quarter developed severe acute GVHD. Of the 914 patients at risk, 77 (8.4%) developed IPS. IPS onset occurred between days 4 and 106, with half of all cases occurring by day 22 and 70% of cases in the first 30 days after transplant (Figure 1).

### **Distribution of RBC, PLT, and other transfusions**

Approximately one third of PLTs transfused to the total cohort were collected from the donor via apheresis and two-thirds from whole blood with multi-donor pooling. The median number of transfusions each individual received was 9 PLT units (interquartile range (IQR) 4-19) and 10 RBC units (IQR 5-18). The probability of having received at least one RBC unit by day 30 was 88%, and at least one PLT unit was 99%. Fifty percent of all RBC transfusions were administered by post-transplant day 24, and 75% by day 67. On average, patients were transfused RBC on 5 separate days (range 0-34 days). The median weekly sum of RBC transfusions was 2 units between days 9-20 post transplant and was zero during other times. In

comparison, fifty percent of all PLT transfusions were administered by day 19 post-HSCT, and 75% by day 56. On average, each patient received PLT transfusions on 8 days (range 0-85 days). The median weekly sum of PLT transfusions ranged from 1 to 3 units between days 5-24 after transplant, and was zero at other times. The correlation between mean weekly sum of RBC and PLT transfusions started at 0.40 in the first week and increased steadily through 0.75 the final observation week (Figure 2).

Throughout the observation period, 17 patients received granulocyte infusions and 6 received whole blood, representing 0.48% and 0.07% of all transfusions, respectively. Each of these patients also received RBC and PLT transfusions and none subsequently developed IPS. Fresh frozen plasma was transfused to 178 total recipients, 5 of whom subsequently developed IPS. Only 1 of these 5 received no RBC or PLT in the week prior to IPS onset. In total, 4 units of cryoprecipitate were transfused to 3 patients, 2 of whom developed IPS after also receiving RBC and PLT transfusions in the prior 7 days. Among the 859 patients with complete blood donor ABO data, 403 (47%) received at least one minor-mismatched unit and 480 (56%) received at least one major-mismatched unit while at risk for IPS.

### **Idiopathic Pneumonia Syndrome incidence rates**

The full cohort was observed for a total of 94,373 person-days. The overall incidence rate was 8.16 IPS cases per 10,000 person-days of observation, starting low in the first week, peaking in weeks 2 and 3, then trending downwards thereafter (Table 2A). Within any one time interval, crude incidence rates generally trended upwards across increasing strata of RBC and PLT transfusions (Tables 2A and 2B, respectively), a trend that persisted after adjustment for time-since-transplant (Table 3).

### **Results from the case control study**

The 77 patients who developed IPS received a median of 2 RBC units, 4 PLT units, and zero “other” units in the week prior to IPS onset (Table 4). By comparison, patients selected as controls received a median of 0 RBC units, 1 PLT unit, and 0 “other” units during the corresponding week. The percentage of cases who were transfused RBC, PLT, either RBC or PLT, and other components during the week was 77%, 78%, 90%, and 14%, respectively, in contrast to 46%, 58%, 65%, and 1%, respectively, of controls. Crude and adjusted odds ratios tended to increase as the number of transfusions received in the prior week increased (score test for trend: crude RBC  $p=0.0001$ , PLT  $p<0.0001$ ; adjusted RBC  $p=0.004$ , PLT  $p=0.002$ ) (Table 5).

The multivariable conditional logistic regression analysis adjusted for confounders and weekly sum of non-PLT transfusions estimated that odds of IPS were 33% greater per additional PLT unit transfused in the prior 7 days (OR 1.33, 95% confidence interval (CI) 1.14-1.55,  $p<0.001$ ) (Table 6). The association between each unit of RBCs transfused and the development of IPS adjusted for non-PLT blood transfusions and identified confounders (OR 1.22, 95% CI 1.06-1.40,  $p=0.006$ ) decreased when also adjusted for weekly sum of PLT transfusions (OR 1.04, 95% CI 0.88-1.22,  $p=0.7$ ).

While the likelihood ratio  $p$ -value for the interaction term of RBC transfusions given before versus after engraftment was statistically significant ( $p=0.008$ ), neither RBC given before nor after engraftment were found to be associated with IPS outcome in adjusted models (Table 7). The timing of transfusion relative to neutrophil engraftment was not observed to modify the association between PLT transfusion and IPS development ( $p$ -value for interaction term 0.3). Likewise, receipt of out-of-group PLT transfusions, represented as both minor and major ABO-mismatch, did not modify the association between PLT transfusion and IPS ( $p$ -values 0.98 and 0.95, respectively).

## DISCUSSION

The purpose of our study was to determine the degree to which RBC and PLT transfusions predispose patients receiving an allogeneic HSCT after myeloablative conditioning to IPS. We found both RBC and PLT transfusion to be extremely common, with 96% and 99% of patients transfused with these respective blood components within 120 days after HSCT. After adjusting for confounders, receipt of RBC and PLT components were associated with an estimated 22% and 30% higher IPS risk, respectively, per additional unit transfused in the prior week. The collinear relationship between RBC and PLT transfusions made it difficult to examine their independent effects on IPS development while controlling for one another, but there was a suggestion that PLT transfusions may be more determinative of IPS risk.

There are several hypothesized mechanisms linking transfusion to lung injury.<sup>26,41-43</sup> One theory postulates that passively transferred donor antibodies interact with the recipient's human leukocyte antigen (HLA I, II) or human neutrophil antigen (HNA) resulting in immune activation and subsequent lung injury.<sup>43-52</sup> However, while passive transfusion of cognate anti-leukocyte antibody alone can cause lung injury,<sup>53</sup> it is not always sufficient.<sup>54-56</sup> The proposed "two hit" hypothesis suggests that biologically active substances that accumulate in stored blood components,<sup>57-59</sup> which could include anti-leukocyte antibodies,<sup>60</sup> prime neutrophils and produce lung injury in the setting of an underlying inflammatory insult.<sup>35,61,62</sup> Conceivably, patients treated with HSCT may enter an inflammatory state due to the toxic effects of conditioning regimens, engraftment, and/or other immune factors, making them susceptible to transfusion-mediated lung injury.

Engraftment syndrome comprises tissue injury and endothelial inflammation occurring as transplanted cells engraft and may, like IPS, be mediated by systemic inflammation.<sup>37,38</sup> We hypothesized that due to the presence of circulating neutrophils and systemic inflammation, transfusing blood components after engraftment would result in greater IPS risk. In our case-control study, the association between RBC/PLT transfusion and IPS did not meaningfully differ

by engraftment status of the transfusion recipient. These findings may imply that transfusion of bioactive substances is sufficient to result in lung injury in the absence of primed lymphocytes.

The relationship between receipt of ABO-mismatched blood component transfusion and IPS has not been previously investigated. Approximately one third of HSCT recipients are given a minor, major, or bidirectionally ABO-mismatched transplant,<sup>63</sup> which has been associated with shorter survival in some studies.<sup>39,40</sup> We hypothesized *a priori* that IPS would be more likely among transfused patients receiving ABO blood group mismatched PLT. Out-of-group PLT transfusions were frequently administered to our population of HSCT recipients; however, the association between PLT transfusion and IPS development did not significantly differ according to PLT major- or minor-ABO mismatch status. At FHCRC, ABO-mismatched PLT transfusions are routinely plasma volume-reduced before transfusion. It is possible that either ABO-mismatched PLT transfusions do not contribute importantly to IPS risk, or that the related risk is abrogated by reducing plasma-suspended inflammatory mediators. To optimize allocation of limited blood supplies, it will be important to validate this finding while considering anti-ABO antibody titers and component plasma volume, which were not available for this analysis.

Our study has noteworthy limitations. First, the cohort was transplanted 10-15 years ago at a single institution. It is possible that conditioning and treatment strategies during HSCT have changed since 2001 in a way that blood component exposure is now more or less important. For example, in 2006 the American Association of Blood Banks recommended instituting specific strategies to reduce Transfusion-related acute lung injury (TRALI),<sup>64</sup> which is defined as ALI occurring within 6 hours of a transfusion.<sup>26</sup> Unfortunately, our data did not allow us to determine the timing of blood component transfusion relative to IPS onset when both occurred the same day. Therefore it is not known how often IPS represents TRALI and unclear how our results would be impacted by current mitigation techniques.

Another potential limitation relates to research involving clinical syndromes like IPS. By definition, IPS encompasses a heterogeneous mix of clinical diagnoses (i.e. drug-induced

pneumonitis, engraftment syndrome, diffuse alveolar hemorrhage) and histopathologic correlates (i.e. interstitial pneumonitis, diffuse alveolar damage).<sup>10</sup> Blood component transfusions might differentially modify mechanistic pathways specific to each lung injury phenotype. Our analyses were insensitive to phenotype-specific associations, which may diminish our ability to detect interactions and limit the generalizability of our findings. Finally, given the observational nature of the data, the results could be biased by residual confounding-our findings could be consistent with the conclusion that transfusions contribute directly to IPS pathogenesis, or alternatively that transfusions act as a marker of severe illness and are indirectly correlated with IPS. Adjusting for the known IPS clinical risk factors accounts for some portion of this indication bias, but possibly not all of it.

In conclusion, PLT transfusions were associated with greater risk of IPS in the first 120 days after myeloablative allogeneic HSCT. RBCs may also contribute, but collinearity between these frequent exposures did not allow an unequivocal assessment of their independent effects. Future work should seek to confirm these results using updated IPS criteria in the current era of transplant and transfusion practices and identify potentially modifiable blood donor and blood component processing characteristics (such as donor gender and component storage time) that contribute to IPS risk.

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## TABLES

**Table 1. Characteristics of 914 myeloablative hematopoietic stem cell recipients and grafts**

| <u>Patient characteristics</u>                | <u>Value</u> |           |
|---|--------------|-----------|
| Age in years, median (IQR)*                   | 41           | (31 – 49) |
| Less than 40, n (%)                           | 434          | (47)      |
| 40 or older, n (%)                            | 480          | (53)      |
| Male, n (%)                                   | 502          | (55)      |
| Race, in order of frequency, n (%)            |              |           |
| White   | 743          | (82)      |
| Asian/Pacific Islander                        | 51           | (6)       |
| Hispanic                                      | 50           | (6)       |
| Black   | 13           | (1)       |
| Native American                               | 13           | (1)       |
| Other   | 33           | (4)       |
| Cytomegalovirus seropositive, n (%)           | 442          | (49)      |
| <br><b><u>Transplant characteristics</u></b>  |              |           |
| Stem cell sources, n (%)                      |              |           |
| Bone marrow                                   | 598          | (65)      |
| Peripheral blood                              | 311          | (34)      |
| Both of the above                             | 5            | (1)       |
| Second transplant, n (%)                      | 21           | (2)       |
| Stem cell donor, n (%)                        |              |           |
| Related, HLA matched                          | 428          | (47)      |
| Related, HLA mismatched                       | 55           | (6)       |
| Unrelated                                     | 431          | (47)      |
| Recipient CMV negative, donor positive, n (%) | 134          | (15)      |
| Dose of total body irradiation, n (%)         |              |           |
| No irradiation                                | 385          | (42)      |
| 12 Gray                                       | 256          | (28)      |
| More than 12 Gray                             | 273          | (30)      |
| Methotrexate used, n (%)                      | 874          | (96)      |
| Acute graft-versus-host disease, n (%)        |              |           |
| None  | 145          | (16)      |
| Grade I-II                                    | 537          | (59)      |
| Grade III-IV                                  | 229          | (25)      |
| Days to engraftment, n = 879†, median (IQR)*  | 19           | (16 – 23) |

\* IQR indicates interquartile range

† Data was missing for 1% or less of records unless noted

**Table 2A. Incidence rates of Idiopathic Pneumonia Syndrome by red blood cell transfusion levels and time since transplant**

| Week  | Number of red blood cell transfusions (prior 7 days) |       |      |           |       |      |           |       |      |           |      |      |
|-------|--|-------|------|-----------|-------|------|-----------|-------|------|-----------|------|------|
|       | Overall  |       |      | 0         |       |      | 1 - 2     |       |      | >2        |      |      |
|       | No.* cases   | Time* | IR*  | No. cases | Time  | IR   | No. cases | Time  | IR   | No. cases | Time | IR   |
| 1     | 1  | 6376  | 1.6  | 1         | 3811  | 2.6  | 0         | 1522  | 0    | 0         | 1043 | 0    |
| 2     | 21   | 6262  | 33.5 | 5         | 2403  | 20.8 | 9         | 2168  | 41.5 | 7         | 1691 | 41.4 |
| 3     | 15   | 6077  | 24.7 | 2         | 2342  | 8.5  | 6         | 2409  | 24.9 | 7         | 1326 | 52.8 |
| 4-6   | 20   | 17307 | 11.6 | 4         | 12881 | 3.1  | 10        | 3344  | 29.9 | 6         | 1082 | 55.5 |
| 7-9   | 10   | 16637 | 6    | 4         | 12807 | 3.1  | 3         | 3170  | 9.5  | 3         | 660  | 45.5 |
| 10-12 | 6  | 15918 | 3.8  | 2         | 11469 | 1.7  | 2         | 3673  | 5.5  | 2         | 776  | 25.8 |
| >12   | 4  | 25796 | 1.6  | 0         | 21076 | 0    | 2         | 3922  | 5.1  | 2         | 798  | 25   |
| TOTAL | 77   | 94373 | 8.2  | 18        | 66789 | 2.7  | 32        | 20208 | 15.8 | 27        | 7376 | 36.6 |

\* "No." indicates number of cases; Time is measured in person-days; "IR" indicates incidence rate, per 10,000 person-days

**Table 2B. Incidence rates of Idiopathic Pneumonia Syndrome by platelet transfusion levels and time since transplant**

| Week  | Number of platelet transfusions (prior 7 days) |       |      |           |       |      |           |       |      |           |      |      |           |      |      |
|-------|--|-------|------|-----------|-------|------|-----------|-------|------|-----------|------|------|-----------|------|------|
|       | Overall  |       |      | 0         |       |      | 1 - 2     |       |      | 3 - 4     |      |      | >4        |      |      |
|       | No.* cases                                     | Time* | IR*  | No. cases | Time  | IR   | No. cases | Time  | IR   | No. cases | Time | IR   | No. cases | Time | IR   |
| 1     | 1  | 6376  | 1.6  | 1         | 3457  | 2.9  | 0         | 2008  | 0    | 0         | 547  | 0    | 0         | 364  | 0    |
| 2     | 21   | 6262  | 33.5 | 2         | 307   | 65.2 | 3         | 2745  | 10.9 | 8         | 1970 | 40.6 | 8         | 1240 | 64.5 |
| 3     | 15   | 6077  | 24.7 | 0         | 1247  | 0    | 2         | 2084  | 9.6  | 4         | 1473 | 27.2 | 9         | 1273 | 70.7 |
| 4-6   | 20   | 17307 | 11.6 | 5         | 12322 | 4.1  | 3         | 2459  | 12.2 | 1         | 1125 | 8.9  | 11        | 1401 | 78.5 |
| 7-9   | 10   | 16637 | 6    | 5         | 13665 | 3.7  | 1         | 1686  | 5.9  | 2         | 662  | 30.2 | 2         | 624  | 32.1 |
| 10-12 | 6  | 15918 | 3.8  | 3         | 12466 | 2.4  | 1         | 1975  | 5.1  | 1         | 874  | 11.4 | 1         | 603  | 16.6 |
| >12   | 4  | 25796 | 1.6  | 1         | 22254 | 0.5  | 1         | 2136  | 4.7  | 1         | 788  | 12.7 | 1         | 618  | 16.2 |
| TOTAL | 77   | 94373 | 8.2  | 17        | 65718 | 2.6  | 11        | 15093 | 7.3  | 17        | 7439 | 22.9 | 32        | 6123 | 52.3 |

\* "No." indicates number of cases; Time is measured in person-days; "IR" indicates incidence rate, per 10,000 person-days

**Table 3. Cumulative incidence\* of Idiopathic Pneumonia Syndrome by levels of red blood cell and platelet transfusions, adjusted for time-from-transplant**

| Number of transfusions<br>(prior 7 days) | Red blood cells |          | Platelets |          |
|--|-----------------|----------|-----------|----------|
|  | Crude           | Adjusted | Crude     | Adjusted |
| 0  | 2.70            | 3.26     | 2.59      | 5.88     |
| 1-2                                      | 15.84           | 13.27    | 7.29      | 9.26     |
| >2 (RBC <sup>†</sup> only)               | 36.61           | 35.18    | -NA-      | -NA-     |
| 3-4 (PLT <sup>†</sup> only)              |                 |          | 22.85     | 16.60    |
| >4 (PLT only)                            |                 |          | 52.56     | 34.99    |

\* Incidence rates, reported per 10,000 person-days

† RBC indicates red blood cells; PLT indicates platelets

**Table 4. Sum of red blood cell, platelet, and other blood transfusions in the 7 days prior to Idiopathic Pneumonia Syndrome onset among 77 cases and 154 controls matched on days-from-transplant**

| <b>Measure</b> | <b>Red blood cell</b> |                       | <b>Platelet</b>    |                       | <b>Other components</b> |                       |
|----------------|-----------------------|-----------------------|--------------------|-----------------------|-------------------------|-----------------------|
|                | <b><u>Case</u></b>    | <b><u>Control</u></b> | <b><u>Case</u></b> | <b><u>Control</u></b> | <b><u>Case</u></b>      | <b><u>Control</u></b> |
| Mean (SD)      | 2.7 (2.6)             | 1.6 (2.3)             | 4.5 (4.4)          | 2.1 (2.8)             | 1.2 (5.2)               | 0.1 (0.9)             |
| Median (IQR)   | 2 (3)                 | 0 (2)                 | 4 (6)              | 1 (3)                 | 0 (0)                   | 0 (0)                 |
| Min - Max      | 0 - 12                | 0 - 12                | 0 - 20             | 0 - 21                | 0 - 40                  | 0 - 9                 |

**Table 5. Percent of Idiopathic Pneumonia Syndrome cases and matched controls exposed to red blood cell or platelet transfusions in the prior 7 days**

| <u>Exposure</u> | <u>Number of units</u> | <u>Cases (N=77)</u> | <u>Controls (N=154)</u> | <u>Crude OR* (95% CI)</u> | <u>Adjusted OR* (95% CI)</u> |
|-----------------|------------------------|---------------------|-------------------------|---------------------------|------------------------------|
| Red blood cells | 0                      | 23.4                | 53.3                    | -Ref-                     | -Ref-                        |
|                 | 1-2                    | 41.6                | 27.3                    | 3.47 (1.69 – 7.11)        | 3.39 (1.53 – 7.52)           |
|                 | >2                     | 35.1                | 19.5                    | 4.10 (1.90 – 8.85)        | 2.59 (1.12 – 6.00)           |
| Platelets       | 0                      | 22.1                | 40.9                    | -Ref-                     | -Ref-                        |
|                 | 1-2                    | 14.3                | 23.4                    | 1.13 (0.48 – 2.69)        | 1.22 (0.46 – 3.22)           |
|                 | 3-4                    | 22.1                | 19.5                    | 2.10 (0.93 – 4.74)        | 2.11 (0.83 – 5.35)           |
|                 | >4                     | 41.6                | 16.2                    | 4.74 (2.12 – 10.61)       | 4.01 (1.62 – 9.91)           |

\*Odds ratios adjusted for age, radiation dose, disease indicating transplant, and strata of “other” transfusions

†Score test for trend: red blood cells p = 0.0001, platelets p<0.0001 in crude analyses; red blood cells p = 0.0042, platelets p=0.0016 in adjusted analyses

**Table 6. Multivariable conditional logistic regression estimates of Idiopathic Pneumonia Syndrome risk according to red blood cell and/or platelet transfusions received in the prior 7 days**

| <b><u>Predictor</u></b> | <b>Separate Model<sup>*</sup></b> |                                    |                       | <b>Combined Model<sup>†</sup></b> |                                    |                       |
|-------------------------|-----------------------------------|------------------------------------|-----------------------|-----------------------------------|------------------------------------|-----------------------|
|                         | <b><u>OR<sup>‡</sup></u></b>      | <b><u>(95% CI<sup>‡</sup>)</u></b> | <b><u>p-value</u></b> | <b><u>OR<sup>‡</sup></u></b>      | <b><u>(95% CI<sup>‡</sup>)</u></b> | <b><u>p-value</u></b> |
| Red blood cell sum      | 1.22                              | (1.06 – 1.40)                      | 0.006                 | 1.04                              | (0.88 – 1.22)                      | 0.669                 |
| Platelet sum            | 1.30                              | (1.16 – 1.47)                      | <0.001                | 1.33                              | (1.14 – 1.55)                      | <0.001                |

\*Models adjusted for confounders and 7 day sum of non-platelet, non-red blood cell transfusions

†Models included confounders and 7 day sum of red blood cell, platelet, and other transfusions

‡OR indicates odds ratio; CI indicates confidence interval

**Table 7. Conditional logistic regression estimates of transfusion-related Idiopathic Pneumonia Syndrome risk according to engraftment and ABO mismatch status**

| <u>Transfusion type</u>     | <u>Weekly sum of units</u> | <u>OR</u> <sup>*†</sup> | <u>(95% CI)</u> <sup>*</sup> | <u>p value</u> <sup>‡§</sup> |
|-----------------------------|----------------------------|-------------------------|------------------------------|------------------------------|
| Red blood cell <sup>†</sup> | Pre-engraftment            | 0.90                    | 0.74 – 1.10                  | 0.301                        |
|                             | Post-engraftment           | 0.54                    | 0.10 – 2.84                  | 0.471                        |
| Platelet <sup>†</sup>       | Pre-engraftment            | 1.25                    | 1.00 – 1.55                  | 0.047                        |
|                             | Post-engraftment           | 1.54                    | 0.30 – 7.81                  | 0.602                        |
|                             | All plasma-matched         | 1.35                    | 1.13 – 1.61                  | 0.001                        |
|                             | 1 or more minor mismatch   | 1.05                    | 0.27 – 4.09                  | 0.939                        |
|                             | All cell-matched           | 1.39                    | 1.10 – 1.74                  | 0.006                        |
|                             | 1 or more major mismatch   | 1.03                    | 0.30 – 3.58                  | 0.961                        |

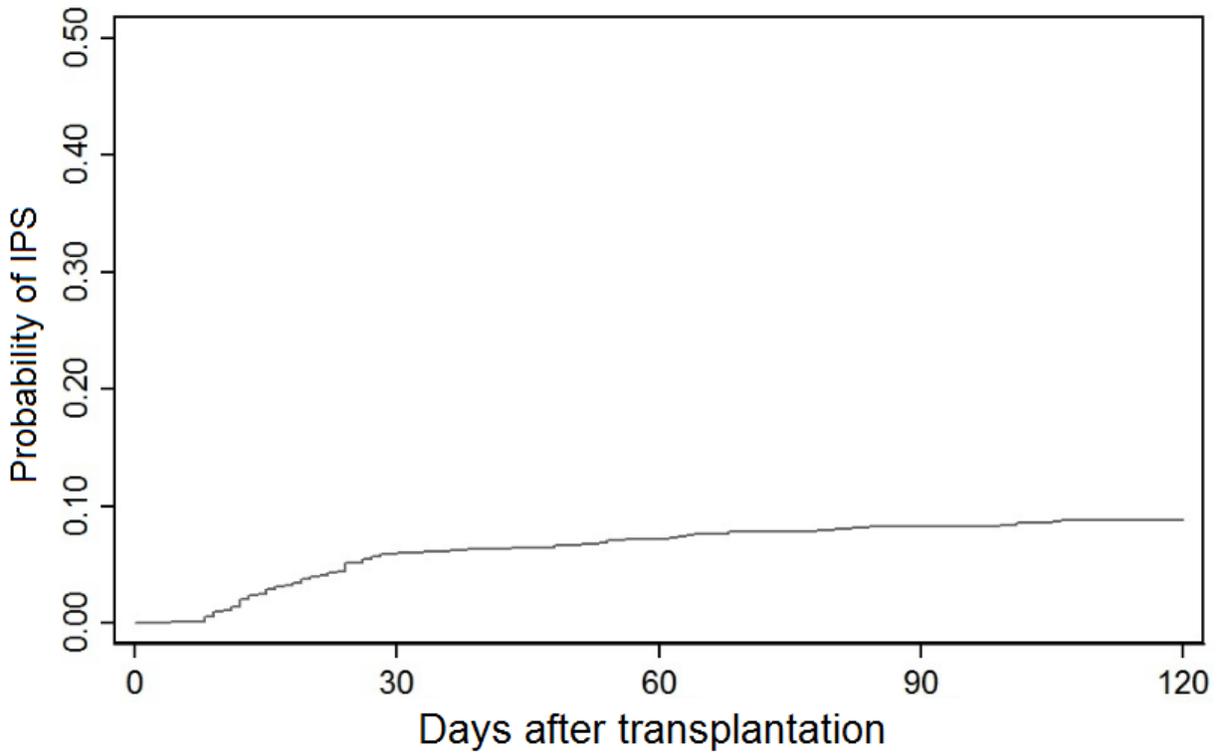
\*OR indicates odds ratio; CI indicates confidence interval

†Models adjusted for the sums of all other types of transfusions from the prior 7 days, as well as age, irradiation dose, and disease indicating transplant

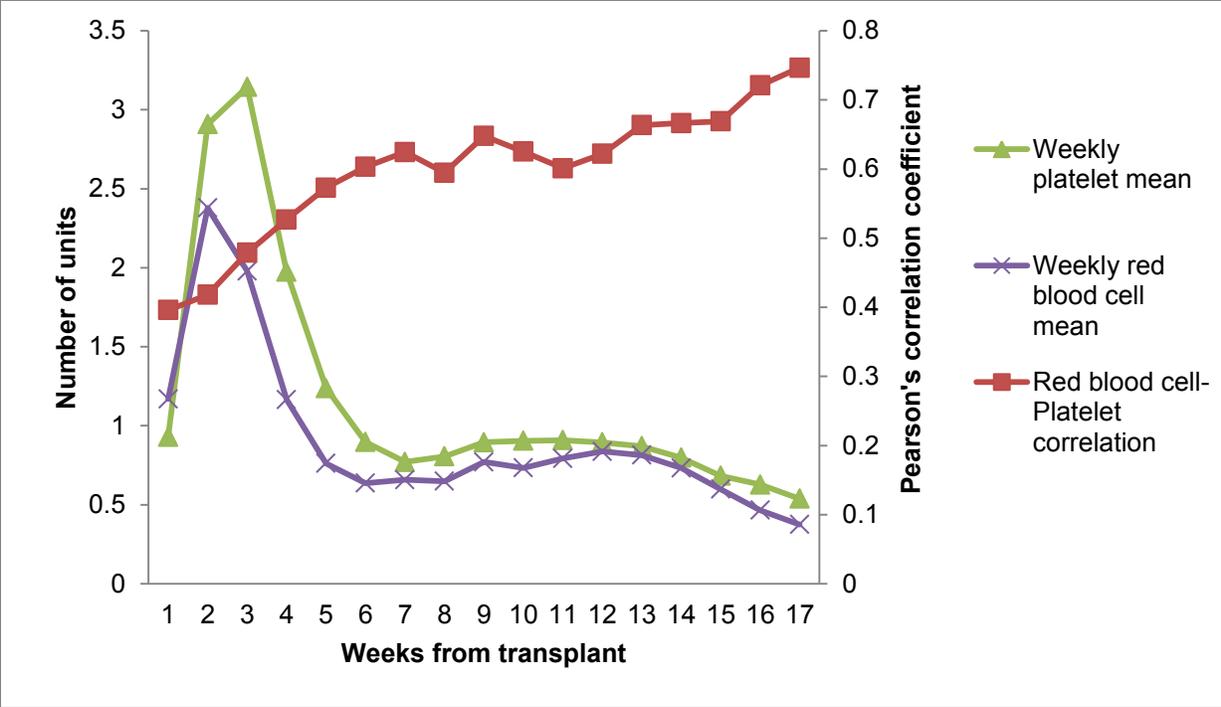
‡Reported p-values are based on the Wald test

§Likelihood ratio test p-values for engraftment interaction terms: red blood cell 0.008, platelet 0.304

## FIGURES



**Figure 1. Cumulative incidence of Idiopathic Pneumonia Syndrome.** Idiopathic Pneumonia Syndrome occurred within 120 days of transplant in 8.4% of 914 patients who underwent myeloablative allogeneic hematopoietic stem cell transplantation, 1997-2001.



**Figure 2. Mean weekly transfusion sums.** Mean weekly sums of red blood cell and platelet transfusions were greatest early after hematopoietic stem cell transplantation with increasing correlation throughout observation (range 0.40 – 0.75).