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# On the Configuration Spaces of Lens Spaces

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UNIVERSITY OF MIAMI

ON THE CONFIGURATION SPACES OF LENS SPACES

By

Kyle Evans-Lee

A DISSERTATION

Submitted to the Faculty  
of the University of Miami  
in partial fulfillment of the requirements for  
the degree of Doctor of Philosophy

Coral Gables, Florida

May 2015

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UNIVERSITY OF MIAMI

A dissertation submitted in partial fulfillment of  
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ON THE CONFIGURATION SPACES OF LENS SPACES

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On the Configuration Spaces of Lens Spaces

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The configuration space  $F_2(M)$  of ordered pairs of distinct points in a manifold  $M$ , also known as the deleted square of  $M$ , is not a homotopy invariant of  $M$ : Longoni and Salvatore produced examples of homotopy equivalent lens spaces  $M$  and  $N$  of dimension three for which  $F_2(M)$  and  $F_2(N)$  are not homotopy equivalent. We study the natural question whether two arbitrary 3-dimensional lens spaces  $M$  and  $N$  must be homeomorphic in order for  $F_2(M)$  and  $F_2(N)$  to be homotopy equivalent. Among our tools are the Cheeger–Simons differential characters of deleted squares, Massey products of their universal covers, and the Reidemeister torsion of compactified deleted squares.

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# Contents

<b>1</b>	<b>Introduction</b>	<b>1</b>
<b>2</b>	<b>Homology calculations</b>	<b>5</b>
2.1	Lens spaces . . . . .	5
2.2	Squares of lens spaces . . . . .	6
2.3	Deleted squares . . . . .	6
<b>3</b>	<b>Geometric realization</b>	<b>11</b>
3.1	Singular representative . . . . .	11
3.2	Resolution of singularities . . . . .	12
3.3	Properties of the resolution . . . . .	17
<b>4</b>	<b>Cheeger–Simons characters</b>	<b>19</b>
4.1	Definition of $\mathbf{cs}$ . . . . .	20
4.2	Squares of lens spaces . . . . .	22
4.3	Deleted squares of lens spaces . . . . .	25
4.4	Homotopy equivalence of deleted squares . . . . .	26

<b>5</b>	<b>Massey products</b>	<b>31</b>
5.1	Universal covers of deleted squares . . . . .	31
5.2	Definition of Massey products . . . . .	35
5.3	Calculations . . . . .	36
5.4	Naturality . . . . .	38
<b>6</b>	<b>Torsion and deleted squares</b>	<b>41</b>
6.1	Torsion of an acyclic chain complex . . . . .	42
6.2	Reidemeister torsion of a finite CW complex . . . . .	44
6.3	Reidemeister torsion of lens spaces . . . . .	45
6.4	Reidemeister torsion of deleted squares . . . . .	46
6.5	Proof of theorem 6.0.1 . . . . .	48
<b>7</b>	<b>Appendices</b>	<b>49</b>
7.1	Maple code . . . . .	49
	<b>Bibliography</b>	<b>57</b>



# Chapter 1

## Introduction

The configuration space  $F_n(M)$  of ordered  $n$ -tuples of pairwise distinct points in a manifold  $M$  is a much studied classic object in topology. Until a few years ago, it was conjectured that homotopy equivalent manifolds  $M$  must have homotopy equivalent configuration spaces  $F_n(M)$ . Much had been done towards proving this conjecture until in 2004 Longoni and Salvatore [12] found a counterexample using the non-homeomorphic but homotopy equivalent lens spaces  $L(7, 1)$  and  $L(7, 2)$ . They proved that the configuration spaces  $F_2(L(7, 1))$  and  $F_2(L(7, 2))$  are not homotopy equivalent by showing that their universal covers have different Massey products: all of the Massey products vanish for the former but not for the latter.

This result prompted a natural question pertaining specifically to lens spaces: does the homotopy type of configuration spaces distinguish all lens spaces up to homeomorphism? This question was studied by Miller [13] for two-point configuration spaces, also known under the name of deleted squares. Miller extended the Massey product calculation of [12] to arbitrary lens spaces; however, comparing the resulting Massey products turned out to be too difficult and the results proved

to be inconclusive.

We study the above question using Cheeger–Simons flat differential characters [4]. With their help, we obtain new algebraic restrictions on possible homotopy equivalences between deleted squares of lens spaces. In particular, these restrictions allow for a much easier comparison of the Massey products, producing multiple examples of pairs of homotopy equivalent lens spaces whose deleted squares are not homotopy equivalent, see Section 5.4. Some of these pairs have non-vanishing sets of Massey products on both of their universal covers. It remains to be seen if our techniques are sufficient to answer the question in general, see also [6].

Here is a general outline of what is to come. The bulk of the work deals with Cheeger–Simons flat differential characters. Given a lens space  $L(p, q)$ , denote by  $X_0$  its configuration space  $F_2(L(p, q))$ . We study the Cheeger–Simons character  $\mathbf{cs}$  which assigns to each representation  $\alpha : \pi_1(X_0) \rightarrow SU(2)$  a homomorphism  $\mathbf{cs}(\alpha) : H_3(X_0) \rightarrow \mathbb{R}/\mathbb{Z}$ . This homomorphism is obtained by realizing each of the generators of  $H_3(X_0)$  by a continuous map  $f : M \rightarrow X_0$  of a closed oriented 3-manifold  $M$  and letting  $\mathbf{cs}(\alpha)$  of that generator equal the Chern–Simons function of the pull-back representation  $f^*\alpha$ .

The realization problem at hand is known to have a solution due to an abstract isomorphism  $\Omega_3(X_0) = H_3(X_0)$ , however, explicit realizations  $f : M \rightarrow X_0$  have to be constructed by hand. Using the naturality of  $\mathbf{cs}$ , we reduce this task to a somewhat easier problem of realizing homology classes in  $H_3(L(p, q) \times L(p, q))$  and solve it by finding a set of generators realized by Seifert fibered manifolds. The Chern–Simons theory on such manifolds is sufficiently well developed for us to be able to finish the calculation of  $\mathbf{cs}$ . This calculation leads to the following theorem, which constitutes the main technical result.

**Theorem.** *Let  $p$  be an odd prime and assume that the deleted squares  $X_0$  and  $X'_0$  of lens spaces  $L(p, q)$  and  $L(p, q')$  are homotopy equivalent. Then there exists a homotopy equivalence  $f : X'_0 \rightarrow X_0$  such that, with respect to the canonical generators of the fundamental groups, the homomorphism  $f_* : \pi_1(X'_0) \rightarrow \pi_1(X_0)$  is given by a scalar matrix  $\text{diag}(\alpha, \alpha)$ , where  $\pm q' = q\alpha^2 \pmod{p}$ .*

The homotopy equivalence  $f : X'_0 \rightarrow X_0$  of this theorem lifts to a homotopy equivalence  $\tilde{f} : \tilde{X}'_0 \rightarrow \tilde{X}_0$  of the universal covering spaces of the type studied by Longoni and Salvatore [12] and Miller [13]. The homotopy equivalence  $\tilde{f}$  naturally possesses equivariance properties made explicit by the knowledge of the induced map  $f_*$  on the fundamental groups. We use these properties to reduce the comparison problem for the Massey products on  $\tilde{X}'_0$  and  $\tilde{X}_0$  to an algebraic problem in certain cyclotomic rings arising as cohomology of  $\tilde{X}_0$  and  $\tilde{X}'_0$ . This is still a difficult problem, which is solved in individual examples with the help of a computer.

In the final chapter we consider the Reidemeister torsion of a compactified version of a deleted square,  $\bar{X}_0 = L(p, q) \times L(p, q) - \text{Int } N(\Delta)$ . This object naturally arises in the first few chapters as a deformation retract of  $X_0$ . We begin the chapter by developing the necessary theory then calculate the Reidemeister torsion of  $\bar{X}_0$ . Using the above theorem as well as the calculations from the previous chapters we can conclude the following theorem:

**Theorem.** *Let  $p$  be an odd prime and consider a pair of lens spaces  $L(p, q)$  and  $L(p, q')$ . If the manifolds*

$$L(p, q) \times L(p, q) - \text{Int } N(\Delta) \quad \text{and} \quad L(p, q') \times L(p, q') - \text{Int } N(\Delta)$$

*are simple homotopy equivalent then the lens spaces  $L(p, q)$  and  $L(p, q')$  are homeomorphic.*

# Chapter 2

## Homology calculations

This section covers some basic homological calculations for lens spaces, their squares, and their deleted squares which are used later in the paper.

### 2.1 Lens spaces

Let  $p$  and  $q$  be relatively prime positive integers such that  $p > q$ . Define the lens space  $L(p, q)$  as the orbit space of the unit sphere  $S^3 = \{(z, w) \mid |z|^2 + |w|^2 = 1\} \subset \mathbb{C}^2$  by the action of the cyclic group  $\mathbb{Z}/p$  generated by the rotation  $\rho(z, w) = (\zeta z, \zeta^q w)$ , where  $\zeta = e^{2\pi i/p}$ . This choice of  $\rho$  gives a canonical generator  $1 \in \pi_1(L(p, q)) = \mathbb{Z}/p$ . The standard CW-complex structure on  $L(p, q)$  consists of one cell  $e_k$  of dimension  $k$  for each  $k = 0, 1, 2, 3$ . The resulting cellular chain complex

$$0 \longrightarrow \mathbb{Z} \xrightarrow{0} \mathbb{Z} \xrightarrow{p} \mathbb{Z} \xrightarrow{0} \mathbb{Z} \longrightarrow 0$$

has homology  $H_0(L(p, q)) = \mathbb{Z}$ ,  $H_1(L(p, q)) = \mathbb{Z}/p$ ,  $H_2(L(p, q)) = 0$  and

$$H_3(L(p, q)) = \mathbb{Z}.$$

## 2.2 Squares of lens spaces

Given a lens space  $L = L(p, q)$ , consider its square  $X = L \times L$  and give it the product CW-complex structure with the cells  $e_i \times e_j$ . The homology of  $X$  can easily be calculated using this CW-complex structure:

$$\begin{aligned} H_0(X) &= \mathbb{Z}, & H_1(X) &= \mathbb{Z}/p \oplus \mathbb{Z}/p, & H_2(X) &= \mathbb{Z}/p, \\ H_3(X) &= \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}/p, & H_4(X) &= \mathbb{Z}/p \oplus \mathbb{Z}/p, \\ H_5(X) &= 0, & H_6(X) &= \mathbb{Z}. \end{aligned}$$

This calculation also provides us with explicit generators in each of the above homology groups. For instance, the two infinite cyclic summands in  $H_3(X)$  are generated by  $e_0 \times e_3$  and  $e_3 \times e_0$  and are realized geometrically by the two factors of  $L$  in  $L \times L$ . The summand  $\mathbb{Z}/p$  is generated by the homology class of the cycle  $e_2 \times e_1 + e_1 \times e_2$ , see Hatcher [9, page 272]. It is a cycle because  $\partial(e_2 \times e_1 + e_1 \times e_2) = p \cdot e_1 \times e_1 - e_1 \times p \cdot e_1 = 0$ , and its  $p$ -th multiple is a boundary  $\partial(e_2 \times e_2) = p \cdot e_1 \times e_2 + e_2 \times p \cdot e_1 = p(e_2 \times e_1 + e_1 \times e_2)$ . A non-singular geometric realization of this homology class will be constructed in Section 3.

## 2.3 Deleted squares

Let  $\Delta \subset X = L \times L$  be the diagonal and call  $X_0 = X - \Delta$  the *deleted square* of  $L$ . It is an open manifold, which contains as a deformation retract the compact

manifold  $X - \text{Int } N(\Delta)$ , where  $N(\Delta)$  is a tubular neighborhood of  $\Delta \subset X$ . The normal bundle of  $\Delta$  is trivial because it is isomorphic to the tangent bundle of  $L$  and the manifold  $L$  is parallelizable. Therefore, the boundary of  $X - \text{Int } N(\Delta)$  is homeomorphic to  $L \times S^2$ .

We wish to compute (co)homology of deleted squares. To this end, consider the homology long exact sequence of  $(X, \Delta)$ . The maps  $i_* : H_k(\Delta) \rightarrow H_k(X)$  induced by the inclusion of the diagonal are necessarily injective, hence the long exact sequence splits into a family of short exact sequences,

$$0 \longrightarrow H_k(\Delta) \longrightarrow H_k(X) \longrightarrow H_k(X, \Delta) \longrightarrow 0.$$

Calculating  $H_k(X, \Delta)$  from these exact sequences is immediate except for  $k = 3$ . By composing the inclusion  $\Delta \subset L \times L$  with the projection on the two factors, we see that the map  $i_* : H_3(\Delta) \rightarrow H_3(X)$  is of the form  $i_*(1) = (1, 1, a)$  with respect to the generators of  $H_3(X) = \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}/p$  described in Section 2.2. Therefore,  $H_3(X, \Delta) = \mathbb{Z} \oplus \mathbb{Z}/p$ . Using the Poincaré duality isomorphism  $H^{6-k}(X - \Delta) = H_k(X, \Delta)$  we then conclude that

$$\begin{aligned} H^0(X_0) &= \mathbb{Z}, & H^1(X_0) &= 0, & H^2(X_0) &= \mathbb{Z}/p \oplus \mathbb{Z}/p \\ H^3(X_0) &= \mathbb{Z} \oplus \mathbb{Z}/p, & H^4(X_0) &= \mathbb{Z}/p, & H^5(X_0) &= \mathbb{Z}/p. \end{aligned}$$

To compute homology of  $X_0$ , one can repeat the above argument starting with the cohomology long exact sequence of  $(X, \Delta)$ , or simply use the universal

coefficient theorem. The answer is as follows :

$$\begin{aligned} H_0(X_0) &= \mathbb{Z}, & H_1(X_0) &= \mathbb{Z}/p \oplus \mathbb{Z}/p, & H_2(X_0) &= \mathbb{Z}/p, \\ H_3(X_0) &= \mathbb{Z} \oplus \mathbb{Z}/p, & H_4(X_0) &= \mathbb{Z}/p, & H_5(X_0) &= 0. \end{aligned}$$

**2.3.1 Lemma.** *The homomorphism  $H_3(X_0) \rightarrow H_3(X)$  induced by the inclusion  $i : X_0 \rightarrow X$  is an isomorphism  $\mathbb{Z}/p \rightarrow \mathbb{Z}/p$  on the torsion subgroups.*

*Proof.* Let  $i : X_0 \rightarrow X$  be the inclusion map. We will show that  $i_* : H_3(X_0) \rightarrow H_3(X)$  is injective, which will imply the result because  $H_3(X_0) = \mathbb{Z} \oplus \mathbb{Z}/p$ ,  $H_3(X) = \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}/p$ , and all homomorphisms  $\mathbb{Z}/p \rightarrow \mathbb{Z}$  are necessarily zero.

Apply the excision theorem to the pair  $(X, X_0)$  with  $U = X - N(\Delta)$  to obtain  $H_*(X, X_0) = H_*(X - U, X_0 - U) = H_*(N(\Delta), \partial N(\Delta))$ . Using the Thom isomorphism  $H_*(N(\Delta), \partial N(\Delta)) = H_{*-3}(\Delta)$ , we conclude that  $H_2(X, X_0) = 0$ ,  $H_3(X, X_0) = \mathbb{Z}$  and  $H_4(X, X_0) = \mathbb{Z}/p$ . In particular, the long exact sequence

$$H_4(X_0) \xrightarrow{i_*} H_4(X) \xrightarrow{j} H_4(X, X_0) \xrightarrow{\delta} H_3(X_0) \xrightarrow{i_*} H_3(X)$$

of the pair  $(X, X_0)$  looks as follows

$$\mathbb{Z}/p \xrightarrow{i_*} \mathbb{Z}/p \oplus \mathbb{Z}/p \xrightarrow{j} \mathbb{Z}/p \xrightarrow{\delta} \mathbb{Z} \oplus \mathbb{Z}/p \xrightarrow{i_*} \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}/p$$

We wish to show that  $\delta = 0$ . Since there are no non-trivial homomorphisms  $\mathbb{Z}/p \rightarrow \mathbb{Z}$ , the map  $\delta$  must send a generator of  $\mathbb{Z}/p$  to  $(0, k) \in \mathbb{Z} \oplus \mathbb{Z}/p$  for some  $k \pmod{p}$ . Then  $\ker \delta = \text{im } j = \mathbb{Z}/m$ , where  $m = \text{gcd}(p, k)$ . By the first



isomorphism theorem applied to  $j : \mathbb{Z}/p \oplus \mathbb{Z}/p \rightarrow \mathbb{Z}/p$  with  $\ker j = \text{im } i_*$  we have

$$(\mathbb{Z}/p \oplus \mathbb{Z}/p) / \text{im } i_* = \mathbb{Z}/m,$$

which is only possible if  $m = p$ . But then  $\gcd(p, k) = p$  which implies that  $k = 0 \pmod{p}$  and hence  $\delta = 0$ .  $\square$

Recall that we have a canonical isomorphism  $H_3(X) = \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}/p$  given by the choice of generators  $e_0 \times e_3$ ,  $e_3 \times e_0$  and  $e_2 \times e_1 + e_1 \times e_2$ .

**2.3.2 Lemma.** *One can choose generators in  $H_3(X_0) = \mathbb{Z} \oplus \mathbb{Z}/p$  so that the homomorphism  $i_* : H_3(X_0) \rightarrow H_3(X)$  sends  $(1, 0) \in H_3(X_0)$  to  $(1, 1, 0) \in H_3(X)$ , and  $(0, 1) \in H_3(X_0)$  to  $(0, 0, 1) \in H_3(X)$ .*

*Proof.* It follows from the proof of Lemma 2.3.1 that the homomorphism  $\delta : H_4(X, X_0) \rightarrow H_3(X_0)$  in the homology long exact sequence of the pair  $(X, X_0)$  is zero. Therefore, that sequence takes the form

$$0 \rightarrow H_3(X_0) \xrightarrow{i_*} H_3(X) \rightarrow H_3(X, X_0) \rightarrow H_2(X_0) \xrightarrow{i_*} H_2(X) \rightarrow 0.$$

Since both  $H_2(X_0)$  and  $H_2(X)$  are isomorphic to  $\mathbb{Z}/p$ , the homomorphism  $i_* : H_2(X_0) \rightarrow H_2(X)$  must be an isomorphism. According to Lemma 2.3.1, the homomorphism  $i_* : H_3(X_0) \rightarrow H_3(X)$  is an isomorphism on the torsion subgroups. Factoring out the torsion, we obtain the short exact sequence

$$0 \longrightarrow H_3(X_0)/\text{Tor} \xrightarrow{i_*} H_3(X)/\text{Tor} \longrightarrow \mathbb{Z} \longrightarrow 0$$

To describe the image of  $i_*$  in this sequence, consider the projection of  $X = L \times L$  onto its first factor. The restriction of this projection to  $X_0 \subset X$  is a fiber bundle  $\pi : X_0 \rightarrow L$  with fiber a punctured lens space. Since  $L$  is parallelizable,  $\pi$  admits a section  $s : L \rightarrow X_0$  obtained by pushing the diagonal  $\Delta \subset X$  off in the direction of the fiber. The group  $H_3(X_0)/\text{Tor}$  is then generated by  $s_*([L])$  which is sent by  $i_*$  to  $[\Delta] = (1, 1)$ . The statement now follows.  $\square$

# Chapter 3

## Geometric realization

In this section, we will realize the homology class  $[e_2 \times e_1 + e_1 \times e_2] \in H_3(X)$  geometrically by constructing a closed oriented 3-manifold  $M$  and a continuous map  $f : M \rightarrow X$  such that

$$f_* [M] = [e_2 \times e_1 + e_1 \times e_2] \in H_3(X),$$

where  $[M]$  is the fundamental class of  $M$ . Finding a pair  $(M, f)$  like that is a special case of the Steenrod realization problem. In the situation at hand, this problem is known to have a solution [16], which is unfortunately not constructive. Exhibiting an explicit  $(M, f)$  will therefore be our task.

### 3.1 Singular representative

Let us consider the CW-subcomplex  $Y \subset X$  obtained from the 3-skeleton of  $X = L \times L$  by removing the cells  $e_0 \times e_3$  and  $e_3 \times e_0$ . Note that  $Y$  contains two

cells,  $e_1 \times e_2$  and  $e_2 \times e_1$ , in dimension three, and that all other cells of  $Y$  have lower dimensions. One can easily see that the cycle  $e_1 \times e_2 + e_2 \times e_1$  generates  $H_3(Y) = \mathbb{Z}$  and that the map  $H_3(Y) \rightarrow H_3(X)$  induced by the inclusion takes this generator to  $[e_1 \times e_2 + e_2 \times e_1] \in H_3(X)$ .

The CW-complex  $Y$  is easy to describe: it is obtained by attaching solid tori  $D^2 \times S^1$  and  $S^1 \times D^2$  to the core torus  $S^1 \times S^1$  via the maps

$$\begin{aligned} \partial D^2 \times S^1 &\rightarrow S^1 \times S^1, & (z, w) &\rightarrow (z^p, w), \\ S^1 \times \partial D^2 &\rightarrow S^1 \times S^1, & (z, w) &\rightarrow (z, w^p), \end{aligned}$$

where we identified  $D^2$  with the unit disk in complex plane. Note that  $Y$  is not a manifold unless  $p = 1$  (in which case it is the 3-sphere with its standard Heegaard splitting). Our next step will be to find, for every  $p \geq 2$ , a closed oriented 3-manifold  $M$  and a continuous map  $g : M \rightarrow Y$  which induces an isomorphism  $g_* : H_3(M) \rightarrow H_3(Y)$ . The desired map  $f : M \rightarrow X$  will then be the composition of  $g$  with the inclusion  $i : Y \rightarrow X$ .

## 3.2 Resolution of singularities

The manifold  $M$  will be obtained by resolving the singularities of  $Y = (D^2 \times S^1) \cup_{S^1 \times S^1} (S^1 \times D^2)$ . We start by removing tubular neighborhoods of  $\{0\} \times S^1 \subset D^2 \times S^1$  and  $S^1 \times \{0\} \subset S^1 \times D^2$  from the two solid tori to obtain

$$Y_0 = (A \times S^1) \cup_{S^1 \times S^1} (S^1 \times A),$$

where  $A$  is an annulus depicted in Figure 3.1. We will resolve the singularities of  $Y_0$  and then fill in the two solid tori to obtain  $M$ .

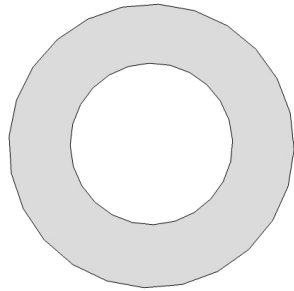


Figure 3.1: Annulus  $A$

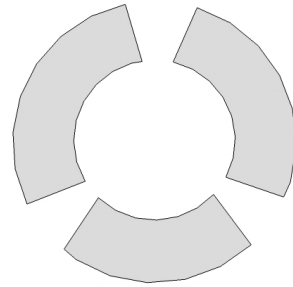


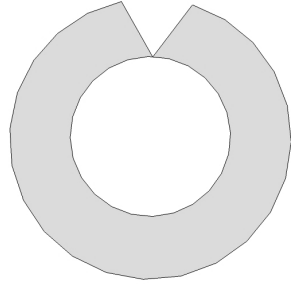
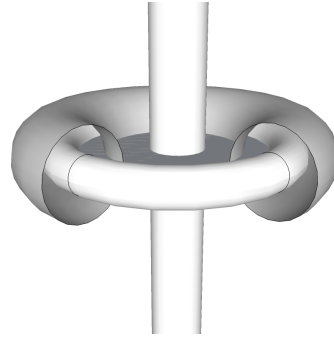
Figure 3.2: Cuts in  $A$  ( $p = 3$ )

Inside of  $Y_0$ , one of the two boundary circles of  $A$ , say the inner one, is glued to an  $S^1$  factor in the torus  $S^1 \times S^1$  by the map  $z \rightarrow z^p$  of degree  $p$ , forming a surface which is singular (unless  $p = 2$ , when the surface is the Möbius band). The boundary of this singular surface is a circle. Make  $p$  cuts in  $A$  as shown in Figure 3.2. For each of the resulting rectangles  $A_i$ ,  $i = 0, \dots, p - 1$ , identify the endpoints of its inner side with each other to obtain a circle  $C_i$ . The resulting spaces  $\bar{A}_i$  are shown in Figure 3.3.

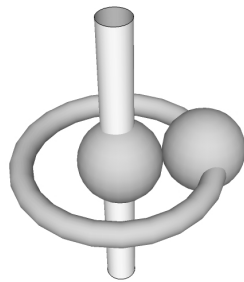
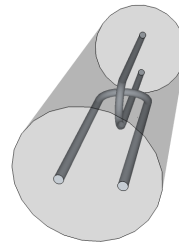
Next, for each  $\bar{A}_i \times S^1$ , take a copy of  $S^1 \times \bar{A}_i$  coming from the other side, and glue the two together into

$$W_i = (S^1 \times \bar{A}_i) \cup_{S^1 \times S^1} (\bar{A}_i \times S^1)$$

by identifying the two tori,  $C_i \times S^1$  and  $S^1 \times C_i$ , via matching the factor  $C_i$  of

Figure 3.3:  $\bar{A}_i$ Figure 3.4:  $W_i$ 

the former with the factor  $S^1$  of the latter, and vice versa. The resulting space  $W_i$  is a copy of  $S^3$  equipped with the standard genus-one Heegaard splitting, from which the cores of the two solid tori have been removed. Additionally, there are two slits cut along the annuli that run along the solid tori as shown in Figure 3.4. Note that the only singularity in  $W_i$  is the point where the two slits meet. Shown in Figure 3.5 is the complement of  $W_i$  in the 3-sphere, the two slits depicted as 2-spheres.

Figure 3.5: Complement of  $W_i$  in  $S^3$ Figure 3.6:  $I \times D^2$  with tangle removed

Blow up the singular point of  $W_i$  into a 3-ball to obtain a non-singular space  $\widetilde{W}_i$  which maps back to  $W_i$  by collapsing the 3-ball into a point. The space  $\widetilde{W}_i$  can

be viewed as a subset of  $S^3$  whose complement is obtained from the complement of  $W_i$  shown in Figure 3.5 by pulling apart the two 2-spheres touching each other in a single point. Turning  $\widetilde{W}_i$  inside out with respect to one of the two 2-spheres makes it into

$$[0, 1] \times S^2 = ([0, 1] \times D^2) \cup ([0, 1] \times D^2)$$

with a tubular neighborhood of the clasp tangle removed from one copy of  $[0, 1] \times D^2$ , see Figure 3.6.

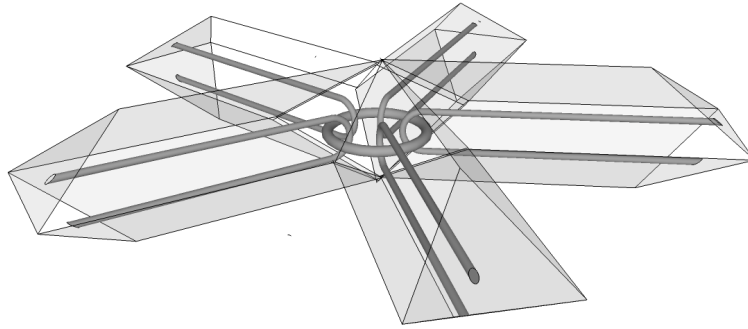


Figure 3.7: Identified Tangle Clasps ( $p = 5$ )

The spaces  $\widetilde{W}_i$  need to be glued back together. We begin by gluing together the  $p$  copies of  $[0, 1] \times D^2$  with the clasp tangles removed, see Figure 3.7. After identifying the remaining faces intersecting the tangles, we obtain a handlebody of genus  $(p - 1)$ . The tangles form a two-component link which lies inside this handlebody as shown in Figure 3.8.

Note that the boundary of this handlebody is mapped into a single point in

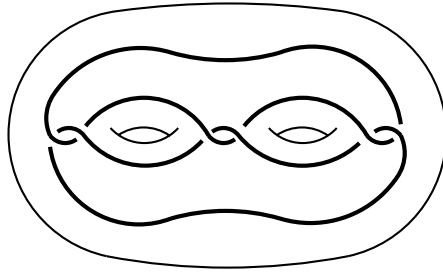


Figure 3.8: The link in a handlebody of genus  $(p - 1)$  ( $p = 3$ )

$Y_0$  hence we can make it into a closed 3-manifold by attaching another handlebody via an arbitrary homeomorphism  $h$  of the boundaries, and map this second handlebody into the same point. We choose  $h$  so that the resulting 3-manifold is the 3-sphere. Now, filling in the solid tori that were removed from  $Y$ , we obtain a closed manifold  $M$  whose surgery description is shown in Figure 3.9.

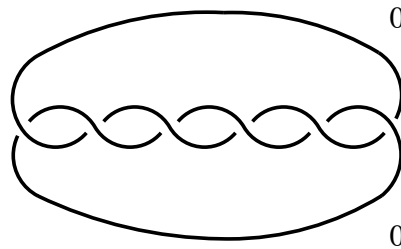


Figure 3.9: Surgery description of  $M$  ( $p = 3$ )

The  $(2, 2p)$  torus link in Figure 3.9 may be right-handed (as shown) or left-handed. We will not attempt to pinpoint its handedness because changing it simply reverses the orientation of the manifold  $M$ , and whether the fundamental class of  $M$  realizes the class  $[e_1 \times e_2 + e_2 \times e_1] \in H_3(X)$  or its negative will not matter for the applications we have in mind.



### 3.3 Properties of the resolution

We will next calculate the maps induced by the geometric realization  $f = g \circ i : M \rightarrow Y \rightarrow X$  on the first and third homology groups.

The manifold  $M$  is obtained by Dehn surgery on the  $(2, 2p)$  torus link shown in Figure 3.9. The meridians of this link, which will be denoted by  $\alpha_1$  and  $\alpha_2$ , generate the cyclic factors in the group  $H_1(M) = \mathbb{Z}/p \oplus \mathbb{Z}/p$ . On the other hand, it follows easily from the description of  $Y$  in Section 3.1 that  $H_1(Y) = \mathbb{Z}/p \oplus \mathbb{Z}/p$ , with generators the circle factors of the core torus  $S^1 \times S^1 \subset Y$ . We will next describe the map  $g_* : H_1(M) \rightarrow H_1(Y)$  in terms of these generators.

Up to isotopy, the curve  $\alpha_1$  can be thought to wrap once around one meridian of one of the excised tubes in the tangle clasp, see Figure 3.6, and  $\alpha_2$  wrap around the other tube. This corresponds precisely to wrapping once around each of the excised tori in  $A_1 \times S^1$ . One can further isotope these curves off of the excised tori and directly onto the meridian and the longitude of the core torus in  $A_1 \times S^1$ . This is precisely the core torus in  $Y$ . The map  $g$  will then map  $\alpha_1$  and  $\alpha_2$  to the standard generators in  $H_1(Y) = \mathbb{Z}/p \oplus \mathbb{Z}/p$ .

Keeping in mind that the inclusion  $i : Y \rightarrow X$  obviously induces an isomorphism in the first homology, we obtain the following result.

**3.3.1 Proposition.** *The map  $f_* : \mathbb{Z}/p \oplus \mathbb{Z}/p \rightarrow \mathbb{Z}/p \oplus \mathbb{Z}/p$  induced by the geometric realization  $f = g \circ i : M \rightarrow Y \rightarrow X$  sends the generators  $\alpha_1$  and  $\alpha_2$  to the standard generators of  $H_1(X)$ .*

We now consider how  $f$  acts on  $H_3(M)$ . Since  $M$  is a closed orientable 3-manifold, we know that  $H_3(M) = \mathbb{Z}$ .

**3.3.2 Proposition.** *The homomorphism  $f_* : H_3(M) \rightarrow H_3(X)$  induced by the map  $f = g \circ i : M \rightarrow Y \rightarrow X$  is a homomorphism  $\mathbb{Z} \rightarrow \mathbb{Z}/p$  taking  $[M] \in H_3(M)$  to plus or minus the generator  $[e_1 \times e_2 + e_2 \times e_1] \in H_3(X)$ .*

*Proof.* Following the calculation in Section 3.1, all we need to show is that  $g_* : H_3(M) \rightarrow H_3(Y)$  is an isomorphism  $\mathbb{Z} \rightarrow \mathbb{Z}$ . We will accomplish this by constructing a map  $\pi : Y \rightarrow S^3$  such that the composition  $\pi \circ g : M \rightarrow S^3$  has degree  $\pm 1$ .

Recall that  $Y$  is obtained from its 2-skeleton by attaching 3-cells  $e_1 \times e_2$  and  $e_2 \times e_1$ . Let  $\pi : Y \rightarrow S^3$  be the map that collapses all of  $Y$  but one of these two 3-cells to a point  $p \in S^3$ . The pre-image under  $\pi$  of any point in  $S^3$  other than  $p$  contains exactly one point, with the local degree  $\pm 1$ . Since the map  $g : M \rightarrow Y$  is a homeomorphism away from the codimension-one singular set of  $Y$ , the same is true about the map  $\pi \circ g$ . This means that  $\pi \circ g$  is a map of degree  $\pm 1$  between closed orientable manifolds  $M$  and  $S^3$ .  $\square$

# Chapter 4

## Cheeger–Simons characters

In this section, we will study an invariant of CW-complexes derived from the differential characters of Cheeger and Simons [4]. For a given CW-complex  $X$ , our invariant will take the shape of a map

$$\mathbf{cs}_X : \mathcal{R}(X) \rightarrow \mathrm{Hom}(H_3(X), \mathbb{R}/\mathbb{Z}), \quad (4.1)$$

where  $\mathcal{R}(X)$  is the  $SU(2)$ -character variety of  $\pi_1(X)$ . The map  $\mathbf{cs}$  should be viewed as an extension of the Chern–Simons invariant of 3-dimensional manifolds to manifolds of higher dimensions. We will first give the definition of  $\mathbf{cs}_X$  and then proceed to evaluate it explicitly for manifolds  $X$  which are squares and deleted squares of lens spaces.

## 4.1 Definition of $\mathbf{cs}$

All manifolds in this section are assumed to be smooth, compact and oriented. Two closed  $n$ -manifolds  $M_1$  and  $M_2$  are said to be oriented cobordant if there exists an  $(n+1)$ -manifold  $W$  such that  $\partial W = -M_1 \sqcup M_2$ , where  $-M_1$  denotes  $M_1$  with reversed orientation. The manifold  $W$  is then called an oriented cobordism from  $M_1$  to  $M_2$ . The oriented cobordism classes of closed  $n$ -manifolds form an abelian group with respect to disjoint union. This group is denoted by  $\Omega_n$  and called the  $n$ -th cobordism group.

Given a CW-complex  $X$ , consider the pairs  $(M, f)$ , where  $M$  is a closed  $n$ -manifold, not necessarily connected, and  $f : M \rightarrow X$  a continuous map. Two such pairs,  $(M_1, f_1)$  and  $(M_2, f_2)$ , are said to be cobordant if there is an oriented cobordism  $W$  from  $M_1$  to  $M_2$  and a continuous map  $F : W \rightarrow X$  which restricts to  $f_1$  on  $M_1$  and to  $f_2$  on  $M_2$ . The equivalence classes of the pairs  $(M, f)$  form an abelian group  $\Omega_n(X)$  called the  $n$ -th oriented cobordism group of  $X$ . These groups, which are functorial with respect to continuous maps of CW-complexes, give rise to a generalized homology theory called the oriented cobordism theory.

There is a natural homomorphism  $\Omega_n(X) \rightarrow H_n(X)$  defined by  $(M, f) \mapsto f_*[M]$ , where  $[M]$  is the fundamental class of  $M$ . This homomorphism is known to be an isomorphism for all  $n \leq 3$ , see for instance Gordon [8, Lemma 2]. We will use this fact to construct our invariant (4.1).

For any representation  $\alpha : \pi_1 X \rightarrow SU(2)$ , we wish to define a homomorphism  $\mathbf{cs}_X(\alpha) : H_3(X) \rightarrow \mathbb{R}/\mathbb{Z}$ . Given a homology class  $\zeta \in H_3(X)$ , use the surjectivity of the map  $\Omega_3(X) \rightarrow H_3(X)$  to find a geometric representative  $f : M \rightarrow X$  with

$f_*[M] = \zeta$ . Define

$$\mathbf{cs}_X(\alpha)(\zeta) = \mathbf{cs}_M(f^*\alpha), \quad (4.2)$$

where

$$\mathbf{cs}_M(f^*\alpha) = \frac{1}{8\pi^2} \int_M \text{tr} \left( A \wedge dA + \frac{2}{3} A \wedge A \wedge A \right)$$

is the value of the Chern-Simons functional on a flat connection  $A$  with holonomy  $f^*\alpha$ .

**4.1.1 Proposition.** *The above definition results in a well-defined map  $\mathbf{cs}_X : \mathcal{R}(X) \rightarrow \text{Hom}(H_3(X), \mathbb{R}/\mathbb{Z})$ .*

*Proof.* Different choices of  $A$  and different choices of  $\alpha$  within its conjugacy class are known to preserve  $\mathbf{cs}_M(f^*\alpha) \pmod{\mathbb{Z}}$ . Since the map  $\Omega_3(X) \rightarrow H_3(X)$  is injective, for any two choices of  $f_1 : M_1 \rightarrow X$  and  $f_2 : M_2 \rightarrow X$  there exists a cobordism  $W$  from  $M_1$  to  $M_2$  and a continuous map  $F : W \rightarrow X$  restricting to  $f_1$  and  $f_2$  on the two boundary components. In particular, the pull back representation  $F^*\alpha : \pi_1 W \rightarrow SU(2)$  restricts to representations  $f_1^*\alpha : \pi_1 M_1 \rightarrow SU(2)$  and  $f_2^*\alpha : \pi_1 M_2 \rightarrow SU(2)$  on the fundamental groups of the two boundary components. This makes  $(W, F^*\alpha)$  into a flat cobordism of pairs  $(M_1, f_1^*\alpha)$  and  $(M_2, f_2^*\alpha)$ . Since the Chern-Simons functional is a flat cobordism invariant [2], we conclude that  $\mathbf{cs}_{M_1}(f_1^*\alpha) = \mathbf{cs}_{M_2}(f_2^*\alpha)$ , making  $\mathbf{cs}_X(\alpha)$  well-defined. That  $\mathbf{cs}_X(\alpha)$  is a homomorphism follows easily from the fact that a geometric representative for a sum of homology classes can be chosen to be a disjoint union of geometric representatives of the summands.  $\square$

**4.1.2 Proposition.** *For any continuous map  $h : Y \rightarrow X$  the following diagram commutes*

$$\begin{array}{ccc}
\mathcal{R}(X) & \xrightarrow{\mathbf{cs}_X} & \mathrm{Hom}(H_3(X), \mathbb{R}/\mathbb{Z}) \\
\downarrow h^* & & \downarrow h^* \\
\mathcal{R}(Y) & \xrightarrow{\mathbf{cs}_Y} & \mathrm{Hom}(H_3(Y), \mathbb{R}/\mathbb{Z})
\end{array}$$

*Proof.* Given a representation  $\alpha : \pi_1 X \rightarrow SU(2)$  and a homology class  $\zeta \in H_3(Y)$ , we wish to show that  $\mathbf{cs}_Y(h^*\alpha)(\zeta) = \mathbf{cs}_X(\alpha)(h_*\zeta)$ . Choose a geometric representative  $f : M \rightarrow Y$  for the class  $\zeta$  so that  $f_*[M] = \zeta$  then the composition  $h \circ f : M \rightarrow Y \rightarrow X$  will give a geometric representative for the class  $h_*\zeta$  because  $(h \circ f)_*[M] = h_*(f_*[M]) = h_*\zeta$ . The formula now follows because one can easily see that both sides of it are equal to  $\mathbf{cs}_M(f^*h^*\alpha)$ .  $\square$

**Remark.** An equivalent way to define the invariant (4.2) is by pulling back the universal Chern–Simons class  $\mathbf{cs} : H_3(BSU(2)^\delta) \rightarrow \mathbb{R}/\mathbb{Z}$ , see for instance [10, Section 5]. To be precise, let  $SU(2)^\delta$  stand for  $SU(2)$  with the discrete topology and  $BSU(2)^\delta$  for its classifying space. Every representation  $\alpha : \pi_1 X \rightarrow SU(2)$  gives rise to a continuous map  $X \rightarrow BSU(2)^\delta$  which is unique up to homotopy equivalence. Then  $\mathbf{cs}_X(\alpha) : H_3(X) \rightarrow \mathbb{R}/\mathbb{Z}$  is the pull back of the universal Chern–Simons class  $\mathbf{cs}$  via the induced homomorphism  $H_3(X) \rightarrow H_3(BSU(2)^\delta)$ .

## 4.2 Squares of lens spaces

Given a lens space  $L = L(p, q)$ , consider its square  $X = L \times L$  and let  $1 \in \pi_1(L) = \mathbb{Z}/p$  be the canonical generator. Since  $\pi_1(X) = \mathbb{Z}/p \oplus \mathbb{Z}/p$  is abelian, every representation  $\alpha : \pi_1(X) \rightarrow SU(2)$  can be conjugated to a representation  $\alpha(k, \ell) : \mathbb{Z}/p \oplus \mathbb{Z}/p \rightarrow U(1)$  sending the canonical generators of the fundamental

groups of the two factors to  $\exp(2\pi ik/p)$  and  $\exp(2\pi i\ell/p)$  with  $0 \leq k, \ell \leq p-1$ . Among these abelian representations, the only ones conjugate to each other are  $\alpha(k, \ell)$  and  $\alpha(p-k, p-\ell)$ , via the unit quaternion  $j \in SU(2)$ . Therefore, the character variety  $\mathcal{R}(X)$  consists of  $(p^2+1)/2$  points for  $p$  odd and  $(p^2+4)/2$  points for  $p$  even. For each of these representations, we wish to compute the map:

$$\mathbf{cs}(\alpha(k, \ell)) : H_3(X) \rightarrow \mathbb{R}/\mathbb{Z}.$$

Since  $\mathbf{cs}(\alpha(k, \ell))$  is a homomorphism, it will be sufficient to compute it on a set of generators of  $H_3(X) = \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}/p$ , see Section 2.2.

We begin with the infinite cyclic summands in  $H_3(X)$ , realized geometrically by embedding  $L$  into  $X$  as the factors  $L \times e_0$  and  $e_0 \times L$ .

**4.2.1 Proposition.** *The values of  $\mathbf{cs}(\alpha(k, \ell))$  on the above free generators of  $H_3(X)$  are  $-k^2r/p$  and  $-\ell^2r/p$ , where  $r$  is any integer such that  $qr = -1 \pmod{p}$ .*

*Proof.* With respect to the aforementioned embedding, the representation  $\alpha(k, \ell)$  pulls back to representations  $\pi_1(L) \rightarrow SU(2)$  sending the canonical generator to  $\exp(2\pi ik/p)$  and  $\exp(2\pi i\ell/p)$ , respectively. The Chern–Simons invariants of such representations were computed explicitly in Kirk–Klassen [10, Theorem 5.1].  $\square$

The torsion part of  $H_3(X)$  is generated by the class  $[e_2 \times e_1 + e_1 \times e_2]$  which was realized, up to a sign, by a continuous map  $f : M \rightarrow X$  in Section 3. A surgery description of the manifold  $M$  is shown in Figure 3.9. We wish to compute the Chern–Simons function on  $M$  for the induced representations  $f^*\alpha(k, \ell) : \pi_1(M) \rightarrow SU(2)$ .

**4.2.2 Lemma.** *The manifold  $M$  is Seifert fibered over the 2-sphere, with the unnormalized Seifert invariants  $(p, -1)$ ,  $(p, -1)$ , and  $(p, 1)$ .*

*Proof.* The Seifert fibered manifold with the unnormalized Seifert invariants  $(p, -1)$ ,  $(p, -1)$ , and  $(p, 1)$  can be obtained by plumbing on the diagram shown in Figure 4.1.

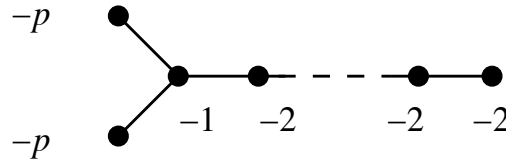


Figure 4.1: Plumbing diagram

One can easily see that blowing down the chain of  $p$  vertices framed by  $-1$  and  $-2$  results in the framed link shown in Figure 3.9.  $\square$

**4.2.3 Proposition.** *The function  $\mathbf{cs}(\alpha(k, \ell))$  takes value  $\pm 2k\ell/p$  on the generator  $[e_2 \times e_1 + e_1 \times e_2] \in H_3(X)$ .*

*Proof.* This can be done using Auckly's paper [1] and the fact that the manifold  $M$  is Seifert fibered, see Lemma 4.2.2. To be precise, consider the standard presentation

$$\langle h, x_1, x_2, x_3 \mid h \text{ central}, x_1^p = h, x_2^p = h, x_3^p = h^{-1}, x_1 x_2 x_3 = 1 \rangle$$

of the fundamental group of  $M$ , where  $x_1$ ,  $x_2$  and  $x_3$  are meridians of the circles in 4.1, framed respectively by  $-p$ ,  $-p$  and  $p$ . One can easily check that the representation  $\alpha(k, \ell)$  acts on the generators as follows :

$$h \rightarrow 1, \quad x_1 \rightarrow e^{2\pi i k/p}, \quad x_2 \rightarrow e^{2\pi i \ell/p}, \quad x_3 \rightarrow e^{-2\pi i(k+\ell)/p}.$$



Therefore,  $\alpha(k, \ell) = \omega(0, k, \ell, k + \ell)[1, 1, j]$  in Auckly's terminology on page 231 of [1], and the Chern–Simons function for this representation computed on page 232 of [1] equals

$$-\frac{k^2}{p} - \frac{\ell^2}{p} + \frac{(k + \ell)^2}{p} = \frac{2k\ell}{p}.$$

Since  $M$  realizes  $[e_2 \times e_1 + e_1 \times e_2]$  up to sign, the result follows.  $\square$

### 4.3 Deleted squares of lens spaces

We will next compute the map  $\mathbf{cs}_{X_0} : \mathcal{R}(X_0) \rightarrow \text{Hom}(H_3(X_0), \mathbb{R}/\mathbb{Z})$  for deleted squares  $X_0$  of lens spaces. Since the inclusion  $i : X_0 \rightarrow X$  induces an isomorphism of fundamental groups, every  $SU(2)$  representation of  $\pi_1(X_0)$  is the pull back via  $i$  of a representation  $\alpha(k, \ell) : \pi_1(X) \rightarrow SU(2)$ . Choose the generators in  $H_3(X_0) = \mathbb{Z} \oplus \mathbb{Z}/p$  as in Lemma 2.3.2 so that the map  $i_* : H_3(X_0) \rightarrow H_3(X)$  has the property that  $i_*(1, 0) = (1, 1, 0)$  and  $i_*(0, 1) = (0, 0, 1)$  with respect to the canonical basis of  $H_3(X) = \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}/p$ .

**4.3.1 Proposition.** *Let  $r$  be any integer such that  $qr = -1 \pmod{p}$ . Then the values of  $\mathbf{cs}_{X_0}(\alpha(k, \ell)) : H_3(X_0) \rightarrow \mathbb{R}/\mathbb{Z}$  on the above generators of  $H_3(X_0)$  are given by the formulas*

$$\mathbf{cs}_{X_0}(i^*\alpha(k, \ell))(1, 0) = -r(k^2 + \ell^2)/p \quad \text{and} \quad \mathbf{cs}_{X_0}(i^*\alpha(k, \ell))(0, 1) = \pm 2k\ell/p.$$

*Proof.* This follows from the naturality property of the Chern–Simons map, see

Proposition 4.1.2, and the calculation of  $\mathbf{cs}_X$  in Propositions 4.2.1,

$$\begin{aligned} \mathbf{cs}_{X_0}(i^*\alpha(k, \ell))(1, 0) &= \mathbf{cs}_X(\alpha(k, \ell))(1, 1, 0) \\ &= \mathbf{cs}_X(\alpha(k, \ell))(1, 0, 0) + \mathbf{cs}_X(\alpha(k, \ell))(0, 1, 0) = -r(k^2 + \ell^2)/p \end{aligned}$$

and in Proposition 4.2.3,

$$\mathbf{cs}_{X_0}(i^*\alpha(k, \ell))(0, 1) = \mathbf{cs}_X(\alpha(k, \ell))(0, 0, 1) = \pm 2k\ell/p.$$

□

From now on, we will choose generators in  $\pi_1(X_0) = \mathbb{Z}/p \oplus \mathbb{Z}/p$  so that  $i_* : \pi_1(X_0) \rightarrow \pi_1(X)$  is the identity map, and denote  $i^*\alpha(k, \ell) : \pi_1(X_0) \rightarrow SU(2)$  by simply  $\alpha(k, \ell)$ .

## 4.4 Homotopy equivalence of deleted squares

Let  $X_0$  and  $X'_0$  be the deleted squares of lens spaces  $L(p, q)$  and  $L(p', q')$ , respectively. Suppose that  $X_0$  and  $X'_0$  are homotopy equivalent via a homotopy equivalence  $f : X'_0 \rightarrow X_0$ . Since  $f_* : \pi_1 X'_0 \rightarrow \pi_1 X_0$  is an isomorphism, we immediately conclude that  $p = p'$ . Using the canonical isomorphisms  $\pi_1 X_0 = \mathbb{Z}/p \oplus \mathbb{Z}/p$  and  $\pi_1 X'_0 = \mathbb{Z}/p \oplus \mathbb{Z}/p$ , the isomorphism  $f_* : \pi_1 X'_0 \rightarrow \pi_1 X_0$  is given by a matrix

$$f_* = \begin{pmatrix} \alpha & \gamma \\ \beta & \delta \end{pmatrix} \in GL(2, \mathbb{Z}/p). \quad (4.3)$$

In particular, for any representation  $\alpha(k, \ell) : \pi_1 X_0 \rightarrow SU(2)$ , we have  $f^* \alpha(k, \ell) = \alpha(k', \ell')$ , where

$$k' = \alpha k + \beta \ell \quad \text{and} \quad \ell' = \gamma k + \delta \ell. \quad (4.4)$$

Next, the naturality property of Proposition 4.1.2 implies that we have a commutative diagram

$$\begin{array}{ccc} \mathcal{R}(X_0) & \xrightarrow{\text{cs}_{X_0}} & \text{Hom}(H_3(X_0), \mathbb{R}/\mathbb{Z}) \\ \downarrow f^* & & \downarrow f^* \\ \mathcal{R}(X'_0) & \xrightarrow{\text{cs}_{X'_0}} & \text{Hom}(H_3(X'_0), \mathbb{R}/\mathbb{Z}) \end{array}$$

With respect to the generators of  $H_3(X_0) = \mathbb{Z} \oplus \mathbb{Z}/p$  and  $H_3(X'_0) = \mathbb{Z} \oplus \mathbb{Z}/p$  chosen as in Lemma 2.3.2, the isomorphism  $f_* : H_3(X'_0) \rightarrow H_3(X_0)$  can be described by a matrix

$$f_* = \begin{pmatrix} \varepsilon & 0 \\ a & b \end{pmatrix}, \quad (4.5)$$

where  $\varepsilon = \pm 1$  and  $b$  is a unit in the ring  $\mathbb{Z}/p$ . The commutativity of the above diagram means exactly that, for any choice of  $k$  and  $\ell$ , one has the commutative diagram

$$\begin{array}{ccc} H_3(X'_0) & \xrightarrow{\text{cs}_{X'_0}(\alpha(k', \ell'))} & \mathbb{R}/\mathbb{Z} \\ f_* \downarrow & & \downarrow = \\ H_3(X_0) & \xrightarrow{\text{cs}_{X_0}(\alpha(k, \ell))} & \mathbb{R}/\mathbb{Z} \end{array}$$

where the integers  $k', \ell'$  are given by the equations (4.4) and the isomorphism  $f_*$  by the equation (4.5).

By evaluating on the generators  $(1, 0) \in H_3(X'_0)$  and  $(0, 1) \in H_3(X'_0)$  and

using Proposition 4.3.1, we easily conclude that the commutativity of the above diagram is equivalent to the following equations holding true for any choice of integers  $k$  and  $\ell$ :

$$-\varepsilon r(k^2 + \ell^2) \pm 2ak\ell = -r'((\alpha k + \beta\ell)^2 + (\gamma k + \delta\ell)^2) \pmod{p} \quad (4.6)$$

$$\pm 2bk\ell = 2(\alpha k + \beta\ell)(\gamma k + \delta\ell) \pmod{p} \quad (4.7)$$

**4.4.1 Proposition.** *If the deleted squares of lens spaces are homotopy equivalent then the lens spaces themselves are homotopy equivalent. The latter homotopy equivalence is orientation preserving if and only if  $\varepsilon = 1$  in (4.5).*

*Proof.* Set  $k = 1$  and  $\ell = 0$  in equations (4.6) and (4.7) to obtain  $-\varepsilon r = -r'(\alpha^2 + \gamma^2) \pmod{p}$  and  $2\alpha\gamma = 0 \pmod{p}$  and consequently  $-\varepsilon r = -r'(\alpha + \gamma)^2 \pmod{p}$ . Multiplying the latter equation by  $qq'$  and keeping in mind that  $qr = -1 \pmod{p}$  and  $q'r' = -1 \pmod{p}$  we conclude that

$$\varepsilon q' = q(\alpha + \gamma)^2 \pmod{p}.$$

Therefore,  $L(p, q)$  and  $L(p, q')$  are homotopy equivalent via a homotopy equivalence which is orientation preserving if and only if  $\varepsilon = 1$ .  $\square$

**4.4.2 Proposition.** *Assume that  $p$  is an odd prime, and that the deleted squares  $X_0$  and  $X'_0$  of lens spaces  $L(p, q)$  and  $L(p, q')$  are homotopy equivalent via a homotopy equivalence  $f : X'_0 \rightarrow X_0$ . Then the matrix (4.3) of the induced homomorphism  $f_* : \pi_1(X'_0) \rightarrow \pi_1(X_0)$  with respect to the canonical bases of the fundamental groups is of the form*

$$\begin{pmatrix} \alpha & 0 \\ 0 & \pm\alpha \end{pmatrix} \text{ or } \begin{pmatrix} 0 & \alpha \\ \pm\alpha & 0 \end{pmatrix} \text{ with } \varepsilon q' = q\alpha^2 \pmod{p},$$

and the matrix (4.5) of the induced homomorphism  $f_* : H_3(X'_0) \rightarrow H_3(X_0)$  is of the form

$$\begin{pmatrix} \varepsilon & 0 \\ 0 & \pm\alpha^2 \end{pmatrix}.$$

*Proof.* Setting first  $k = 1, \ell = 0$  and then  $k = 0, \ell = 1$  in equation (4.7) results in equations  $\alpha\gamma = 0 \pmod{p}$  and  $\beta\delta = 0 \pmod{p}$ . Since the determinant  $\alpha\delta - \beta\gamma$  of the matrix (4.3) is a unit in  $\mathbb{Z}/p$ , we readily conclude that there are only two options for the matrix (4.3), one with  $\alpha = \delta = 0$  and the other with  $\beta = \gamma = 0$ .

Let us first consider the case of  $\beta = \gamma = 0$ . Plugging these values in equation (4.6) and evaluating at  $k = 1, \ell = 0$  and then at  $k = 0, \ell = 1$  results in two equations,  $\varepsilon r = r'\alpha^2 \pmod{p}$  and  $\varepsilon r = r'\delta^2 \pmod{p}$ . These imply that  $\alpha^2 = \delta^2 \pmod{p}$  and therefore  $\delta = \pm\alpha$ . That  $b = \pm\alpha^2$  now follows by plugging  $\beta = \gamma = 0$  in equations (4.7). The case of  $\alpha = \delta = 0$  is treated similarly.

That  $a = 0$  follows by setting first  $k = 1, \ell = 1$ , and then  $k = 1, \ell = -1$ , in equation (4.6).  $\square$

**Remark.** By composing the homotopy equivalence  $f : X'_0 \rightarrow X_0$  with the homeomorphism  $g : X_0 \rightarrow X_0$  given by  $g(x, y) = (y, x)$  if necessary, one may assume that the induced homomorphism  $f_* : \pi_1(X'_0) \rightarrow \pi_1(X_0)$  is given by the matrix

$$\begin{pmatrix} \alpha & 0 \\ 0 & \pm\alpha \end{pmatrix}. \tag{4.8}$$

That the sign in this matrix is actually plus, as we claimed in the theorem of the introduction, will follow from an argument in the next section.

**Remark.** The techniques of this section could also be applied to homotopy equivalences between the squares of lens spaces, with the diagonal left intact. One can easily see that these techniques produce no new algebraic restrictions, which should not be surprising since the squares of any two lens spaces  $L(p, q)$  and  $L(p, q')$  are known to be not just homotopy equivalent but diffeomorphic to each other; see Kwasik–Schultz [11].

# Chapter 5

## Massey products

In this section, we will combine our results with the Massey product techniques of Longoni and Salvatore [12] and Miller [13] to obtain further obstructions to the existence of homotopy equivalences  $f : X'_0 \rightarrow X_0$  of deleted squares.

### 5.1 Universal covers of deleted squares

The approach taken by Longoni and Salvatore was to lift  $f$  to a homotopy equivalence  $\tilde{f} : \tilde{X}'_0 \rightarrow \tilde{X}_0$  of the universal covering spaces and study its effect on the triple Massey products in cohomology. We begin in this section by computing the cohomology ring  $H^*(\tilde{X}_0)$  of a deleted square together with its natural  $\mathbb{Z}[\pi_1(X_0)]$  module structure.

To describe the universal covering space  $\tilde{X}_0$ , we will follow [12] by first observing that the universal covering space of the square  $X = L \times L$  of a lens space  $L = L(p, q)$  is the product  $S^3 \times S^3$  on which  $(m, n) \in \pi_1(X) = \mathbb{Z}/p \oplus \mathbb{Z}/p$  acts

by the formula

$$\tau_{m,n}((z_1, z_2), (z_3, z_4)) = ((\zeta^m z_1, \zeta^{qm} z_2), (\zeta^n z_3, \zeta^{qn} z_4)), \quad \zeta = e^{2\pi i/p}.$$

The orbit of the diagonal  $\Delta \subset S^3 \times S^3$  under this action consists of the disjoint 3-spheres

$$\Delta_k = \{((z_1, z_2), (z_3, z_4)) \mid (z_1, z_2) = (\zeta^k z_3, \zeta^{qk} z_4)\}, \quad k \in \mathbb{Z}/p,$$

embedded into  $S^3 \times S^3$ . Their removal from  $S^3 \times S^3$  results in the so called orbit configuration space

$$\tilde{X}_0 = \{((z_1, z_2), (z_3, z_4)) \mid (z_1, z_2) \neq (\zeta^k z_3, \zeta^{qk} z_4) \text{ for any } k \in \mathbb{Z}/p\},$$

which is the universal covering space of  $X_0$ . In short,

$$\tilde{X}_0 = (S^3 \times S^3) \setminus \left( \bigsqcup \Delta_k \right).$$

**5.1.1 Lemma.** *The only non-trivial reduced cohomology groups of  $\tilde{X}_0$  are  $H^2(\tilde{X}_0) = \mathbb{Z}^{p-1}$ ,  $H^3(\tilde{X}_0) = \mathbb{Z}$  and  $H^5(\tilde{X}_0) = \mathbb{Z}^{p-1}$ . The cup-product with a generator of  $H^3(\tilde{X}_0)$  provides an isomorphism  $H^2(\tilde{X}_0) \rightarrow H^5(\tilde{X}_0)$ .*

*Proof.* This follows from the Leray–Serre spectral sequence of the bundle  $\tilde{X}_0 \rightarrow S^3$  obtained by projecting  $\tilde{X}_0 \subset S^3 \times S^3$  onto the first factor. The fiber of this bundle is the 3-sphere with  $p$  punctures, which is homotopy equivalent to a one-point union of  $p-1$  copies of  $S^2$ . The bundle admits a section forcing the spectral sequence to collapse. Since there is no extension problem involved, the cohomology



ring  $H^*(\tilde{X}_0)$  splits as a tensor product.  $\square$

Longoni and Salvatore [12] provided an explicit set of generators in  $H^2(\tilde{X}_0)$  using the Poincaré duality isomorphism

$$H^2\left(S^3 \times S^3 \setminus \left(\bigsqcup \Delta_k\right)\right) = H_4\left(S^3 \times S^3, \bigsqcup \Delta_k\right).$$

The generators  $a_0, \dots, a_{p-1}$  are defined as the Poincaré duals of the sub-manifolds

$$A_k = \{((z_1, z_2), (\zeta^s z_1, \zeta^{qs} z_2)) \in S^3 \times S^3 \mid s \in [k-1, k]\} \subset S^3 \times S^3,$$

and the only relation they satisfy is  $a_0 + a_1 + \dots + a_{p-1} = 0$ .

**5.1.2 Lemma.** *The fundamental group  $\pi_1(X_0) = \mathbb{Z}/p \oplus \mathbb{Z}/p$  acts on the generators  $a_k$  by the rule  $\tau_{m,n}^*(a_k) = a_{k+m-n}$ , where the indices are computed mod  $p$ .*

*Proof.* The action of  $\pi_1(X_0)$  on the sub-manifolds  $A_k$  was computed in Miller [13, Section 2.1] to be  $\tau_{m,n}(A_k) = A_{k+n-m}$ . Passing to the Poincaré duals  $a_k$  in the commutative diagram

$$\begin{array}{ccc} H^2(\tilde{X}_0) & \xrightarrow{\tau_{m,n}^*} & H^2(\tilde{X}_0) \\ \text{PD} \downarrow & & \text{PD} \downarrow \\ H_4(S^3 \times S^3, \bigsqcup \Delta_k) & \xleftarrow{\tau_{m,n}} & H_4(S^3 \times S^3, \bigsqcup \Delta_k), \end{array}$$

where PD stands for the Poincaré duality isomorphism, and using the fact that the inverse of  $\tau_{m,n}$  is  $\tau_{-m,-n}$  gives the desired formula.  $\square$

We now wish to describe the behavior with respect to the above action of the homomorphism  $\tilde{f}^*$  induced on the second cohomology of the universal covers by a homotopy equivalence  $f : X'_0 \rightarrow X_0$ .

**5.1.3 Proposition.** *Let  $f : X'_0 \rightarrow X_0$  be a homotopy equivalence such that the induced homomorphism  $f_* : \pi_1(X'_0) \rightarrow \pi_1(X_0)$  is given by the matrix (4.8) with  $\varepsilon q' = q\alpha^2 \pmod{p}$ . Then the sign in the matrix (4.8) is a plus and the following diagram commutes*

$$\begin{array}{ccc} H^2(\tilde{X}_0) & \xrightarrow{\tilde{f}^*} & H^2(\tilde{X}'_0) \\ \tau_{\alpha m, \alpha n}^* \downarrow & & \downarrow \tau_{m, n}^* \\ H^2(\tilde{X}_0) & \xrightarrow{\tilde{f}^*} & H^2(\tilde{X}'_0). \end{array}$$

*Proof.* The fundamental group acts by deck transformations on the universal cover giving rise to the commutative diagram

$$\begin{array}{ccc} \pi_1(X'_0) & \xrightarrow{\tau'} & \text{Aut}(H^2(\tilde{X}'_0)) \\ f_* \downarrow & & \downarrow \text{Ad}(\tilde{f}^*) \\ \pi_1(X_0) & \xrightarrow{\tau} & \text{Aut}(H^2(\tilde{X}_0)) \end{array}$$

where  $\tau(m, n) = \tau_{m, n}$  and similarly for  $\tau'$ . The commutativity of this diagram implies, in particular, that  $f_*(\ker \tau') = \ker \tau$ . However, according to Lemma 5.1.2, the kernels of both  $\tau$  and  $\tau'$  are the diagonal subgroups of  $\mathbb{Z}/p \oplus \mathbb{Z}/p$ , therefore, the matrix (4.8) must be diagonal.  $\square$

According to Lemma 5.1.2, the diagonal subgroup  $\mathbb{Z}/p \subset \mathbb{Z}/p \oplus \mathbb{Z}/p$  of  $\pi_1(X_0)$  acts trivially on  $H^2(\tilde{X}_0)$  thereby giving rise to an effective action of the quotient group  $\mathbb{Z}/p$  on  $H^2(\tilde{X}_0)$ . Let  $t = (1, 0) \in \mathbb{Z}/p \oplus \mathbb{Z}/p$  be a generator of this group, and consider the cyclotomic ring  $\mathbb{Z}[t]/(N)$ , where  $N = 1 + t + \dots + t^{p-1}$ .

**5.1.4 Corollary.** *The homomorphism  $\varphi : H^2(\tilde{X}_0) \rightarrow \mathbb{Z}[t]/(N)$  of abelian groups defined on the generators by the formula  $\varphi(a_{k+1}) = t^k$  is an isomorphism of  $\mathbb{Z}[t]$ -modules.*

Using this module structure, the result of Proposition 5.1.3 can be re-stated as follows.

**5.1.5 Corollary.** *Any homotopy equivalence  $f : X'_0 \rightarrow X_0$  induces an isomorphism  $\tilde{f}^* : H^2(\tilde{X}_0) \rightarrow H^2(\tilde{X}'_0)$  which makes the following diagram commute*

$$\begin{array}{ccc} H^2(\tilde{X}_0) & \xrightarrow{\tilde{f}^*} & H^2(\tilde{X}'_0) \\ t^\alpha \downarrow & & \downarrow t \\ H^2(\tilde{X}_0) & \xrightarrow{f^*} & H^2(\tilde{X}'_0). \end{array}$$

## 5.2 Definition of Massey products

Let  $L = L(p, q)$  be a lens space and  $\tilde{X}_0$  the universal covering space of its deleted square  $X_0$ . It follows from Lemma 5.1.1 that for any cohomology classes  $x, y, z \in H^2(\tilde{X}_0)$  their pairwise cup-products vanish, giving rise to the Massey products  $\langle x, y, z \rangle$ . The precise definition is as follows. Choose singular cochains  $\bar{x}, \bar{y}$  and  $\bar{z}$  representing the cohomology classes  $x, y$  and  $z$ , respectively. Since  $x \cup y = y \cup z = 0$  there exist singular cochains  $Z$  and  $X$  such that  $dZ = \bar{x} \cup \bar{y}$  and  $dX = \bar{y} \cup \bar{z}$ , and we define  $\langle x, y, z \rangle$  to be the cohomology class of the cocycle  $Z \cup \bar{z} - \bar{x} \cup X$ . Since the choice of  $Z$  and  $X$  is not unique,  $\langle x, y, z \rangle$  is only well defined as a class in  $H^*(\tilde{X}_0)/\langle x, z \rangle$ , where  $\langle x, z \rangle$  is the ideal generated by  $x$  and  $z$ . The class  $\langle x, y, z \rangle$  obviously has degree 5.

### 5.3 Calculations

From now on, we will work with  $\mathbb{Z}/2$  coefficients. Assuming that  $p$  is an odd prime, we will use results of Section 5.1 to identify both  $H^2(\tilde{X}_0; \mathbb{Z}/2)$  and  $H^5(\tilde{X}_0; \mathbb{Z}/2)$  with the additive group of the cyclotomic field

$$R = (\mathbb{Z}/2)[t] / (1 + t + \dots + t^{p-1}),$$

the latter via the cup-product with the canonical generator of  $H^3(\tilde{X}_0; \mathbb{Z}/2)$ . The Massey product can then be viewed as a multi-valued ternary operation

$$\mu : R \times R \times R \longrightarrow R \tag{5.1}$$

whose value  $\mu(x, y, z) = \langle x, y, z \rangle$  on a triple  $(x, y, z)$  is only well defined up to adding an arbitrary linear combination of  $x$  and  $z$ .

The Massey products (5.1) were calculated by Miller [13, Theorem 3.33] for all  $L(p, q)$  such that  $0 < q < p/2$  using the intersection theory of [12]. The theorem below summarizes Miller's calculation. To state it, we need some definitions.

For any interval  $(a, b) \subset \mathbb{R}$  denote by  $(a, b)_{S^1}$  its projection to the circle  $S^1$  viewed as the quotient of  $\mathbb{R}$  by the subgroup  $p\mathbb{Z}$ . Given  $j$  and  $k$  in  $\mathbb{Z}/p$ , we say that  $k$  is an interloper of  $j$ , denoted by  $k \prec j$ , if

$$\begin{cases} jq \in (0, q)_{S^1} \text{ and } k \in [j, p], \text{ or} \\ -jq \in (0, q)_{S^1} \text{ and } k \in [0, j]. \end{cases}$$

By definition, integers  $j$  such that neither  $jq$  nor  $-jq$  belong to  $(0, q)_{S^1}$  have no

interlopers.

**5.3.1 Theorem.** *The Massey product structure (5.1) is completely determined by the linearity and the Massey products  $\langle t^k, t^\ell, t^j \rangle$  on the monomials which, before factoring out the ideals, obey the following relations:*

- $\langle t^{k+n}, t^{\ell+n}, t^{j+n} \rangle = t^n \cdot \langle t^k, t^\ell, t^j \rangle$

- $\langle t^k, t^\ell, t^j \rangle = \langle t^j, t^\ell, t^k \rangle$

- Assuming  $j \neq 0$ ,

$$\langle 1, 1, t^j \rangle = \begin{cases} t + \dots + t^j, & \text{if } -jq \in (0, q)_{S^1} \\ t^j + \dots + t^{p-1}, & \text{if } jq \in (0, q)_{S^1} \\ 0, & \text{otherwise} \end{cases}$$

- Assuming  $j \neq 0$  and  $k \neq 0$ ,

$$\langle t^k, 1, t^j \rangle = \begin{cases} t^k + t^j, & \text{if } k \prec j \text{ and } j \prec k \\ t^k, & \text{if } k \prec j \text{ and } j \not\prec k \\ t^j, & \text{if } j \prec k \text{ and } k \not\prec j \\ 0, & \text{otherwise} \end{cases}$$

**Example.** Let  $L = L(5, 2)$ . The condition  $\pm 2j \in (0, 2)_{S^2}$  is only satisfied when  $j = 2$  and  $j = 3$ . In the former case,  $-2j = -4 = 1 \in (0, 2)_{S^1}$ , and in the latter  $2j = 6 = 1 \in (0, 2)_{S^1}$ . The interlopers of  $j = 2$  are  $k = 0, 1, 2$ , and those of  $j = 3$  are  $k = 3, 4, 0$ . According to the above theorem,  $\langle 1, 1, t^2 \rangle = t + t^2$ ,  $\langle 1, 1, t^3 \rangle = t^3 + t^4$ ,  $\langle t, 1, t^2 \rangle = t$ ,  $\langle t^4, 1, t^3 \rangle = t^4$ , up to the indeterminacy.

## 5.4 Naturality

Let  $f : X'_0 \rightarrow X_0$  be a homotopy equivalence between deleted squares of lens spaces as in Section 4.4. Using identifications of  $H^2(\tilde{X}_0; \mathbb{Z}/2)$  and  $H^2(\tilde{X}'_0; \mathbb{Z}/2)$  with the cyclotomic ring  $R$ , we will view the corresponding Massey products as ternary operations  $\mu : R \times R \times R \rightarrow R$  and  $\mu' : R \times R \times R \rightarrow R$ . Since Massey products are natural with respect to homotopy equivalences, the following diagram

$$\begin{array}{ccc} R \times R \times R & \xrightarrow{\mu} & R \\ \tilde{f}^* \times \tilde{f}^* \times \tilde{f}^* \downarrow & & \downarrow \tilde{f}^* \\ R \times R \times R & \xrightarrow{\mu'} & R \end{array}$$

must commute up to indeterminacy in the Massey products. Note that the map  $\tilde{f}^*$  in this diagram is an isomorphism of abelian groups which, according to Corollary 5.1.5, makes the following diagram commute

$$\begin{array}{ccc} R & \xrightarrow{\tilde{f}^*} & R \\ t \downarrow & & \downarrow t^\beta \\ R & \xrightarrow{\tilde{f}^*} & R \end{array}$$

where  $\alpha \cdot \beta = 1 \pmod{p}$ . Taking all of the above into account, we conclude that the homomorphism  $\tilde{f}^*$  is uniquely determined by the polynomial  $\tilde{f}^*(1) \in R$  and, for any choice of  $k, \ell \pmod{p}$ , satisfies the relation

$$\begin{aligned} \mu' \left( t^{\beta k} \tilde{f}^*(1), \tilde{f}^*(1), t^{\beta \ell} \tilde{f}^*(1) \right) &= \tilde{f}^* \left( \mu(t^k, 1, t^\ell) \right) \\ &+ (a \cdot t^{\beta k} + b \cdot t^{\beta \ell}) \cdot \tilde{f}^*(1) \text{ for some } a, b \in \mathbb{Z}/2. \end{aligned} \tag{5.2}$$

Writing  $\tilde{f}^*(1) \in R$  as a polynomial with undetermined coefficients and using the Massey product formulas of Theorem 5.3.1, one can attempt solving this system of equations using a computer. The Maple worksheet we used can be found at <http://www.math.miami.edu/~saveliev/Massey.mw>, see appendix.

**Example.** As a warm up exercise, let  $qq' = 1 \pmod{p}$  and consider the homeomorphism  $L(p, q') \rightarrow L(p, q)$  sending  $(z_1, z_2)$  to  $(z_2, z_1)$ . It gives rise to a homeomorphism  $f : X'_0 \rightarrow X_0$  of deleted squares and a homeomorphism  $\tilde{f}$  of their universal covers. The map  $\tilde{f}^*$  can be found using the commutative diagram

$$\begin{array}{ccc} H^2(\tilde{X}_0) & \xrightarrow{\tilde{f}^*} & H^2(\tilde{X}'_0) \\ \text{PD} \downarrow & & \text{PD} \downarrow \\ H_4(S^3 \times S^3, \bigsqcup \Delta_k) & \xrightarrow{\tilde{h}_*} & H_4(S^3 \times S^3, \bigsqcup \Delta_k), \end{array}$$

where PD is the Poincaré duality isomorphism and  $h$  is the inverse of  $f$ . Explicitly,

$$\begin{aligned} \tilde{h}(A_k) &= \tilde{h}(\{((z_1, z_2), (\zeta^s z_1, \zeta^{qs} z_2)) \in S^3 \times S^3 \mid s \in [k-1, k]\}) \\ &= \{((z_2, z_1), (\zeta^{qs} z_2, \zeta^s z_1)) \in S^3 \times S^3 \mid s \in [k-1, k]\} \\ &= \{((z_2, z_1), (\zeta^t z_2, \zeta^{q't} z_1)) \in S^3 \times S^3 \mid t \in [q(k-1), qk]\} \\ &= A_{q(k-1)+1} + \dots + A_{qk}. \end{aligned}$$

Using the identification of  $a_{k+1}$  with  $t^k$ , the above formula becomes  $\tilde{f}^*(t^k) = t^{qk} + \dots + t^{q(k+1)-1}$  so in particular  $\tilde{f}^*(1) = 1 + t + \dots + t^{q-1}$ . We used our computer program to double check this answer for several prime  $p$  and several  $q$  and  $q'$  between 0 and  $p/2$ . In every example, the computer confirmed that  $\tilde{f}^*(1) = 1 + t + \dots + t^{q-1}$  is a solution of (5.2), and in fact a unique solution up to multiplication by a power of  $t$ .

**Example.** Lens spaces  $L(11, 2)$  and  $L(11, 3)$  provide the smallest example of non-homeomorphic lens spaces which are homotopy equivalent and whose deleted squares have universal covers with non-vanishing Massey products, see Theorem 5.3.1 and also Tables 3 and 4 in Miller [13] (there is a typo in Table 4: the  $(4, 0)$  entry should start at 4 and not 5). The computer found no non-zero solutions of (5.2), implying that the deleted squares of  $L(11, 2)$  and  $L(11, 3)$  are not homotopy equivalent. Paolo Salvatore has informed us that he obtained this result in 2010 using Miller's formulas.

**Example.** We have used our methods to check that multiple pairs of lens spaces which are homotopy equivalent but not homeomorphic have non-homotopy equivalent deleted squares. Among these pairs are  $L(11, 2)$  and  $L(11, 4)$ ,  $L(13, 2)$  and  $L(13, 5)$ ,  $L(13, 5)$  and  $L(13, 6)$ ,  $L(17, 3)$  and  $L(17, 5)$ . All of these calculations weigh in for a positive answer to the question posed in the introduction, whether the homotopy type of deleted squares distinguishes lens spaces up to homeomorphism.



# Chapter 6

## Torsion and deleted squares

In this chapter, we deal with a different kind of deleted squares of lens spaces  $L = L(p, q)$ . These are obtained from  $X = L(p, q) \times L(p, q)$  by removing the interior of a tubular neighborhood of the diagonal rather than just the diagonal itself. This operation results in a compact manifold with boundary,

$$\bar{X}_0 = L(p, q) \times L(p, q) - \text{Int } N(\Delta),$$

which can be viewed as a natural compactification of the deleted square  $X_0$  studied in the first part of this thesis. Unlike  $X_0$ , the manifold  $\bar{X}_0$  has the structure of a finite CW complex and a well-defined Reidemeister torsion that comes with it. We use this torsion to prove the following result.

**6.0.1 Theorem.** *Let  $p$  be an odd prime and consider a pair of lens spaces  $L(p, q)$  and  $L(p, q')$ . If the manifolds*

$$L(p, q) \times L(p, q) - \text{Int } N(\Delta) \quad \text{and} \quad L(p, q') \times L(p, q') - \text{Int } N(\Delta)$$

are simple homotopy equivalent then the lens spaces  $L(p, q)$  and  $L(p, q')$  are homeomorphic.

The proof of this theorem is contained in the last section of this chapter. The sections that precede it contain a definition of the Reidemeister torsion and some calculations; our exposition follows closely Nicolaescu [14] and Ranicki [15].

## 6.1 Torsion of an acyclic chain complex

Let  $A$  be a commutative ring and  $A^*$  its group of units. A chain complex

$$\cdots \longrightarrow C_n \xrightarrow{\partial} C_{n-1} \longrightarrow \cdots \longrightarrow C_1 \xrightarrow{\partial} C_0 \longrightarrow 0$$

of finitely generated free  $A$ -modules with a choice of bases  $c$  will be referred to as a based chain complex. Given a finite acyclic based chain complex  $(C, c)$ , we define its torsion  $\tau(C, c) \in A^*$  as follows. Since  $C$  is acyclic and free, there exists a contraction map  $\eta : C \rightarrow C$ , that is, a collection of  $A$ -module homomorphisms  $\eta : C_i \rightarrow C_{i+1}$  such that  $\partial\eta + \eta\partial = \text{Id}$ . Consider the decomposition  $C = C_{\text{odd}} \oplus C_{\text{even}}$ . Then the maps

$$\partial + \eta = \begin{pmatrix} \eta & \partial & 0 & \cdots \\ 0 & \eta & \partial & \cdots \\ 0 & 0 & \eta & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{pmatrix} : C_{\text{even}} \rightarrow C_{\text{odd}}$$

and  $\partial + \eta : C_{\text{odd}} \rightarrow C_{\text{even}}$  are isomorphisms since both of their compositions are

of the form

$$\begin{pmatrix} 1 & 0 & 0 & \dots \\ \eta^2 & 1 & 0 & \dots \\ 0 & \eta^2 & 1 & \dots \\ \vdots & \vdots & \vdots & \ddots \end{pmatrix},$$

which is clearly an isomorphism. The torsion  $\tau(C, c) \in A^*$  is now defined by the formula

$$\tau(C, c) = \det(\partial + \eta : C_{\text{odd}} \rightarrow C_{\text{even}}) = \det(\partial + \eta : C_{\text{even}} \rightarrow C_{\text{odd}})^{-1}.$$

It is independent of the choice of contraction map  $\eta$ . In addition, if  $c'$  is a different choice of bases then

$$\tau(C, c) = \tau(C, c') \cdot \prod_{i=0}^n [c'/c]^{(-1)^i},$$

where  $[c'/c]$  stands for the determinant of the change of basis from  $c$  to  $c'$ .

Let  $C$  and  $C'$  be based chain complexes of free finitely generated  $A$ -modules. If the chain complexes  $C$  and  $C'$  are acyclic then so is the based chain complex  $C \otimes_A C'$ ; in particular, it has a well-defined torsion. The following properties of the Reidemeister torsion are proved in [7]:

1. Let  $\chi$  stand for the Euler characteristic of a free chain complex then

$$\tau(C \otimes_A C') = \tau(C)^{\chi(C')} \cdot \tau(C')^{\chi(C)}$$

2. For any short exact sequence  $0 \rightarrow C' \rightarrow C \rightarrow C'' \rightarrow 0$  of based acyclic

$A$ -modules, we have

$$\tau_C = \tau_{C'} \cdot \tau_{C''}.$$

## 6.2 Reidemeister torsion of a finite CW complex

Let  $X$  be a finite CW complex and  $C_*(X)$  its cellular chain complex. The free abelian groups  $C_n(X)$  have bases of  $n$ -cells, which are unique up to reversing orientation of the cells and changing their order.

The CW complex structure on  $X$  and specific choices of bases in  $C_*(X)$  lift to the universal cover  $\tilde{X} \rightarrow X$ , making  $\tilde{X}$  into an equivariant CW complex and  $C_*(\tilde{X})$  into a finitely generated chain complex of free  $\mathbb{Z}[\pi_1(X)]$  modules. Since the cells do not lift to  $\tilde{X}$  uniquely, this construction only determines the basis elements of  $C_*(\tilde{X})$  up to multiplication by  $\pi_1(X)$ .

The chain complex  $C_*(\tilde{X})$  is not acyclic. Our goal, therefore, will be to find a commutative ring  $A$  and a ring morphism  $\varphi : \mathbb{Z}[\pi_1(X)] \rightarrow A$  such that the finite chain complex

$$C(X; A) = A \otimes_{\mathbb{Z}[\pi_1(X)]} C(\tilde{X})$$

of free finitely generated  $A$ -modules is acyclic, that is,  $H_*(X; A) = 0$ . Given such a homomorphism  $\varphi : \mathbb{Z}[\pi_1(X)] \rightarrow A$ , we will define the Reidemeister torsion of  $X$  with respect to  $\varphi$  as

$$\tau_X = \tau(C(X; A), c) \in A^*/\varphi(\pm\pi_1(X)).$$

Since different choices of bases  $c$  are related by multiplication by an element of  $\varphi(\pm\pi_1(X))$ , the torsion  $\tau_X$  is well-defined. It is a theorem of Chapman [3] that  $\tau_X$

only depends of the homeomorphism type of  $X$  and not on a specific CW complex structure.

Applying property (2) of the Reidemeister torsion to the Mayer–Vietoris exact sequence gives the following gluing formula, see [7, Corollary 1.20].

**6.2.1 Proposition.** *Let  $X$ ,  $U$ , and  $V$  be finite CW complexes such that  $X = U \cup V$ , and suppose that the chain complexes  $C(X; A)$ ,  $C(U; A)$ ,  $C(V; A)$ , and  $C(U \cap V; A)$  are acyclic with respect to a ring morphism  $\varphi : \mathbb{Z}[\pi_1(X)] \rightarrow A$  and its pull backs to  $U$ ,  $V$ , and  $U \cap V$ . Then*

$$\tau_U \cdot \tau_V = \tau_X \cdot \tau_{U \cap V} \in A^*/\varphi(\pm\pi_1(X)).$$

### 6.3 Reidemeister torsion of lens spaces

Let  $L(p, q)$  be a lens space defined as in Section 2.1, and  $t \in \pi_1 L(p, q)$  a canonical generator so that  $\mathbb{Z}[\pi_1 L(p, q)] = \mathbb{Z}[\mathbb{Z}/p] = \mathbb{Z}[t]/(1 - t^p)$ . Choose  $A = \mathbb{C}$  and define  $\varphi_n : \mathbb{Z}[\mathbb{Z}/p] \rightarrow \mathbb{C}$  by sending the generator  $t$  to a primitive root of unity,

$$\varphi_n(t) = \zeta^n,$$

where  $\zeta = e^{2\pi i/p}$  and  $(n, p) = 1$ . The local coefficient system corresponding for  $\varphi_n$  will be denoted by  $\mathbb{C}_n$ .

Next, give  $L(p, q)$  the usual CW complex structure with one cell in each dimension  $i = 0, 1, 2, 3$ . Then its universal cover  $S^3$  has a  $\mathbb{Z}/p$  invariant CW complex structure with  $p$  cells in each dimension  $i = 0, 1, 2, 3$ , and the cellular chain complex of free finitely generated based  $\mathbb{Z}[\mathbb{Z}/p]$  modules takes the form

$$0 \longrightarrow \mathbb{Z}[\mathbb{Z}/p] \xrightarrow{1-t^r} \mathbb{Z}[\mathbb{Z}/p] \xrightarrow{N} \mathbb{Z}[\mathbb{Z}/p] \xrightarrow{1-t} \mathbb{Z}[\mathbb{Z}/p] \longrightarrow 0,$$

where  $N = 1+t+t^2+\dots+t^{p-1}$  and  $r$  is an integer such that  $rq = 1 \pmod{p}$ . Since  $\varphi_n(t) = \zeta^n$  is a primitive root of unity, we easily conclude that  $H_*(L(p, q); \mathbb{C}_n) = 0$  and that the torsion of  $L(p, q)$  with respect to  $\varphi_n$  equals

$$\tau_{L(p,q)} = \tau(C(L(p, q); \mathbb{C})) = (1 - \zeta^n)(1 - \zeta^{rn}).$$

## 6.4 Reidemeister torsion of deleted squares

Let  $X$  be the square of a lens space  $L(p, q)$ . Since  $H_*(L(p, q); \mathbb{C}_m) = H_*(L(p, q); \mathbb{C}_n) = 0$  for any  $m$  and  $n$  such that  $(m, p) = (n, p) = 1$ , we easily conclude that  $H_*(X; \mathbb{C}_{m,n}) = 0$  with respect to the ring morphism

$$\varphi_{m,n} : \mathbb{Z}[\pi_1 X] \longrightarrow \mathbb{C}$$

sending the canonical generators of  $\pi_1 X = \mathbb{Z}/p \oplus \mathbb{Z}/p$  to  $\zeta^m$  and  $\zeta^n$ , respectively. Since  $\chi(L(p, q)) = 0$ , it is immediate from Property (1) of the Reidemeister torsion that  $\tau_X = 1$ .

**6.4.1 Proposition.** *Let  $L(p, q)$  be a lens space and  $m$  and  $n$  any integers such that  $m$ ,  $n$  and  $m + n$  are relatively prime to  $p$ . Then the Reidemeister torsion of  $\bar{X}_0 = L(p, q) \times L(p, q) - \text{Int } N(\Delta)$  with respect to  $\varphi_{n,m}$  equals*

$$\tau_{\bar{X}_0} = (1 - \zeta^{m+n})(1 - \zeta^{(m+n)r}), \quad \text{where } qr = 1 \pmod{p}.$$

*Proof.* Split  $X = L(p, q) \times L(p, q)$  into a union  $X = \bar{X}_0 \cup (L(p, q) \times D^3)$  of two compact manifolds glued along their common boundary  $L(p, q) \times S^2$ . The ring homomorphism  $\varphi_{m,n}$  pulls back to the ring homomorphisms  $\mathbb{Z}[\pi_1(L(p, q) \times D^3)] \rightarrow \mathbb{C}$  and  $\mathbb{Z}[\pi_1(L(p, q) \times S^2)] \rightarrow \mathbb{C}$  both of which send the canonical generator of  $\pi_1(L(p, q))$  to  $\zeta^{m+n}$ . That  $m+n$  and  $p$  are relatively prime ensures that

$$H_*(L(p, q) \times D^3; \mathbb{C}_{m+n}) = 0 \quad \text{and} \quad H_*(L(p, q) \times S^2; \mathbb{C}_{m+n}) = 0,$$

and it follows from the Mayer–Vietoris exact sequence that  $H_*(\bar{X}_0; \mathbb{C}_{m,n}) = 0$  as well. The property (2) of the Reidemeister torsion can now be applied to the short exact sequence

$$\begin{aligned} 0 &\longrightarrow C_*(L(p, q) \times S^2; \mathbb{C}_{m+n}) \\ &\longrightarrow C_*(\bar{X}_0; \mathbb{C}_{m,n}) \oplus C_*(L(p, q) \times D^3; \mathbb{C}_{m+n}) \\ &\longrightarrow C_*(X; \mathbb{C}_{m,n}) \longrightarrow 0 \end{aligned}$$

to deduce that  $\tau_{\bar{X}_0} \cdot \tau_{L(p,q) \times D^3} = \tau_{L(p,q) \times S^2} \cdot \tau_X$ . Property (1) of the Reidemeister torsion implies that

$$\tau_{L(p,q) \times D^3} = \tau_{L(p,q)} \quad \text{and} \quad \tau_{L(p,q) \times S^2} = \tau_{L(p,q)}^2.$$

We know that  $\tau_X = 1$ , so the result follows from the calculation for lens spaces:

$$\tau_{\bar{X}_0} = \tau_{L(p,q)} = (1 - \zeta^{m+n})(1 - \zeta^{(m+n)r}).$$

□

## 6.5 Proof of theorem 6.0.1

Let  $p$  be an odd prime and suppose that  $\bar{X}_0 = L(p, q) \times L(p, q) - \text{Int } N(\Delta)$  is simple homotopy equivalent to  $\bar{X}'_0 = L(p, q') \times L(p, q') - \text{Int } N(\Delta)$  via a simple homotopy equivalence  $f : X'_0 \rightarrow X_0$ . It follows from a fundamental result of J. H. C. Whitehead, see for instance [14, Theorem 2.14], that the Reidemeister torsion of  $\bar{X}_0$  corresponding to  $\varphi_{m,n}$  and the Reidemeister torsion of  $\bar{X}'_0$  corresponding to the pull back of  $\varphi_{m,n}$  via the induced map  $f_* : \pi_1(\bar{X}'_0) \rightarrow \pi_1(\bar{X}_0)$  must match up to multiplication by elements of  $\varphi_{m,n}(\pm \pi_1(\bar{X}_0))$ . Since we proved in the previous chapter that the homomorphism  $f_*$  is given by the diagonal matrix  $\text{diag}(\alpha, \alpha)$  with  $q' = q\alpha^2 \pmod{p}$ , we easily conclude that, for any integer  $k = m + n \not\equiv 0 \pmod{p}$ , there is an integer  $s$  such that

$$(1 - \zeta^{\alpha k})(1 - \zeta^{\alpha kr}) = \pm \zeta^s (1 - \zeta^k)(1 - \zeta^{kr'}).$$

The same exact equations arise in the homeomorphism classification of lens spaces. By following any standard proof of that classification, see for instance [5, Theorem 11.37], we see that  $\alpha = \pm 1$  and  $q = \pm q'$ , or  $\alpha = \pm q'$  and  $q = \pm (q')^{-1}$ , which is to say that the lens spaces  $L(p, q)$  and  $L(p, q')$  are homeomorphic.



# Chapter 7

## Appendices

The Following is the Maple 17 code found in 5.4. Specifically it shows that the configuration spaces of  $L(7, 1)$  and  $L(7, 2)$  are not homotopy equivalent by showing the only solutions to the equations in 5.4 are trivial.

### 7.1 Maple code

```
restart;
with(LinearAlgebra);
with(Bits);
interface(rtablesize = 100);

p := 7;

'q' := 2;

q := 1;

msolve('q'^2 = alpha^4*q^2, p);
      {alpha = 3}, {alpha = 4}
alpha1 := 'mod'(3, p);

alpha2 := 'mod'(4, p);

Number_of_Massey_to_Check_rows := p;
```

```

                                7
Number_of_Massey_to_Check_cols := 1;
                                1

# This x[k] are the t^k from Theorem 7.1

for k from 0 to p-1 do x[k] := UnitVector(k+1, p) end do:

# This creates the Massey product table for the
# universal cover of the deleted square of L(p,q)
# using Miller's calculations

QCoveringPos:={}:
QCoveringNeg:={}:
for j from 0 to p-1 do
    if ((q*(j)) mod p < q) and ( 0< q*(j) mod p) then
        QCoveringPos:=QCoveringPos union {j}:
    elif ((-q*(j)) mod p < q) and ( 0< -q*(j) mod p) then
        QCoveringNeg:=QCoveringNeg union {j}:
    end if
end do;

QCovering:=QCoveringPos union QCoveringNeg:

MasseyProductpq := Matrix(p, p):

for i from 1 to p do
    for j from 1 to p do
        MasseyProductpq[i,j] := 0*x[0]
    end do
end do;

for j from 0 to p-1 do
    if (j in QCoveringPos) then
        MasseyProductpq[j+1,1]:=add(x[modp(b,p)],b=j..p);
    elif (j in QCoveringNeg) then
        MasseyProductpq[j+1,1]:=add(x[b],b=0..j);
    end if
end do;

for j from 0 to p-1 do
    if (j in QCoveringPos) then
        for i from j to p do
            MasseyProductpq[i mod p +1,j mod p +1]:=x[modp(i,p)]
        end do
    elif j in QCoveringNeg then
        for i from 0 to j do

```

```

                                MasseyProductpq[i+1,j+1]:=x[i]
                                end do
                                end if
end do;

Mu:= MasseyProductpq-Transpose(MasseyProductpq):

# This part of the code is optional. It shows the Massey product table
# for the universal cover of the deleted square of L(p,q)

Massey_Q := Matrix(p, (i, j)-> add(Mu(i, j)[1]*t^(1-1), 1 = 1 .. p) ):

# This creates the Massey product table for the universal cover
# of the deleted square of L(p,q') using Miller's calculations

qprime:='q':

QCoveringPos:={}:
QCoveringNeg:={}:
for j from 0 to p-1 do
    if ((qprime * j) mod p < qprime) and ( 0< qprime*(j) mod p) then
        QCoveringPos:=QCoveringPos union {j}:
    elif ((-qprime*(j)) mod p < qprime) and ( 0< qprime*(j) mod p) then
        QCoveringNeg:=QCoveringNeg union {j}:
    end if
end do;

QCovering:=QCoveringPos union QCoveringNeg:

MasseyProductpq := Matrix(p, p):

for i from 1 to p do
    for j from 1 to p do
        MasseyProductpq[i,j] := 0*x[0]
    end do
end do;

for j from 0 to p-1 do
    if (j in QCoveringPos) then
        MasseyProductpq[j+1,1]:=add(x[modp(b,p)],b=j..p);
    elif (j in QCoveringNeg) then
        MasseyProductpq[j+1,1]:=add(x[b],b=0..j);
    end if
end do;

for j from 0 to p-1 do

```

```

    if (j in QCoveringPos) then
      for i from j to p do
        MasseyProductpq[i mod p +1, j mod p +1] := x[modp(i,p)]
      end do

      elif j in QCoveringNeg then
        for i from 0 to j do
          MasseyProductpq[i+1, j+1] := x[i]
        end do
      end if
    end do;
    Muprime := MasseyProductpq-Transpose(MasseyProductpq):

# This part of the code is optional. It shows the Massey product table
# for the universal cover of the deleted square of L(p,q)

Massey_Q_prime := Matrix(p, (i, j)->
  add(Muprime(i, j)[1]*t^(1-1), 1 = 1 .. p) ):

# This creates the action of the fundamental group

T := Matrix(p, shape = Circulant[Transpose(x[p-1])]):

for k from 0 to p-1 do t[k] := T^k end do:

# This is the unit that corresponds to \tilde{f}*(1).
# We wish to show that this unit is 0.
# The vector of all zeroes is 0,
# and so is the vector of all ones because  $1 + t + t^2 + \dots + t^{p-1} = 0$ 

u := sum(a[l]*x[l], l = 0 .. p-1):

# This creates \tilde{f}*(1) for the two possible alphas
beta1 := (alpha1^(-1)) mod p:
beta2 := (alpha2^(-1)) mod p:

Falpha1 := Matrix(p, (i, j) -> Multiply(T^(beta1*(j-1)), u)[i]):
Falpha2 := Matrix(p, (i, j) -> Multiply(T^(beta2*(j-1)), u)[i]):

for i from 0 to p-1 do f1x[i] := Multiply(Falpha1, x[i]) end do:
for i from 0 to p-1 do f2x[i] := Multiply(Falpha2, x[i]) end do:

# This creates a table of the Massey products
# <\tilde{f}*t^i, \tilde{f}*1, \tilde{f}*t^j>

```

```

MasseyF1 := Matrix(p, (i, j)->
    add(
        add(
            add(
                a[m]*a[l]*a[s]*Multiply(t[s],
                Muprime(modp(beta1*(i-1)+m-s, p)+1, modp(beta1*(j-1)+l-s, p)+1)),
                s = 0 .. p-1),
            l = 0 .. p-1),
        m = 0 .. p-1) ):

MasseyF2 := Matrix(p, (i, j)->
    add(
        add(
            add(
                a[m]*a[l]*a[s]*Multiply(t[s],
                Muprime(modp(beta2*(i-1)+m-s, p)+1, modp(beta2*(j-1)+l-s, p)+1)),
                s = 0 .. p-1),
            l = 0 .. p-1),
        m = 0 .. p-1) ):

# This creates a table for \tilde f^* acting on <t^i,1,t^j>

F1Massey := Matrix(p, (i, j) -> Multiply(Falpha1, Mu(i, j))):
F2Massey := Matrix(p, (i, j) -> Multiply(Falpha2, Mu(i, j))):

# This creates variables corresponding to all the
# indeterminacies in the Massey products

Indeterminacy1 := Matrix(p, (i, j) -> c[i, j]*ConstantVector(1, p)
    + bi[i, j]*Multiply(Falpha1, x[i-1])
    + bj[i, j]*Multiply(Falpha1, x[j-1])):
Indeterminacy2 := Matrix(p, (i, j) -> c[i, j]*ConstantVector(1, p)
    + bi[i, j]*Multiply(Falpha2, x[i-1])
    + bj[i, j]*Multiply(Falpha2, x[j-1])):

# This is a table of all the polynomials that must be 0
# for the Massey products to be \tilde f^* functorial

Solve1 := MasseyF1-F1Massey+Indeterminacy1:
Solve2 := MasseyF2-F2Massey+Indeterminacy2:

```

```

# These are some necessary procedures to solve the system over Z_2.

#Inputs a set of polynomials and outputs all possible solutions over Z_2
SolveMod2 := proc (E::set(polynom), V::list(name):= [indets(E, name)[]])
  select(
    X-> ( VatX-> andmap(e -> Eval(e, VatX)mod 2 = 0, E)) (V=~ X),
    (N-> combinat:-permute([1$N, 0$N], N))(nops(V))
  )
end proc:
# Inputs a list of variables and returns the first p of those variables

Purify_List := proc (A::list)::list;
  local L := [];
  local i;
  for i to p do
    L := [op(L), A[i]]
  end do;
  L
end proc:

# Inputs a list of solutions and outputs a set
# of distinct solutions up to indeterminacy
Pure_Solutions := proc (A::list)::set;
  local S := {};
  local i;
  for i to nops(A) do
    S := 'union'(S, {Purify_List(A[i])})
  end do;
  S
end proc:

# This collects all equations together and solves them over Z_2.
# However, one usually only needs to check
# some of the p^3 equations in p unknowns

Solutions:={}:
for s from 0 to 2^p do
  for t from 0 to p-1 do
    a[t]:= Split(s, bits = p)[t+1]:
  end do:

```

```

for i to Number_of_Massey_to_Check_rows do
  for j to Number_of_Massey_to_Check_cols do
    IsSolution:={}:
    for k from 1 to p do
      IsSolution:=IsSolution union {Solve1[i,j][k]};
    end do;

    if nops(SolveMod2(IsSolution))= 0 then
      break:
    end if:

  end do:

  if nops(SolveMod2(IsSolution))= 0 then
    break:
  end if:
end do:
if nops(SolveMod2(IsSolution))> 0 then
  Solutions:=Solutions union {Split(s, bits = p)}:
end if:

end do;
Solutions_Alpha1:=Solutions;

unassign(a):
Solutions:={}:
for s from 0 to 2^p do
  for t from 0 to p-1 do
    a[t]:= Split(s, bits = p)[t+1]:
  end do:
  for i to Number_of_Massey_to_Check_rows do
    for j to Number_of_Massey_to_Check_cols do
      IsSolution:={}:
      for k from 1 to p do
        IsSolution:=IsSolution union {Solve2[i,j][k]};
      end do;

      if nops(SolveMod2(IsSolution))= 0 then
        break:
      end if:

    end do:

    if nops(SolveMod2(IsSolution))= 0 then
      break:
    end if:
  end do:
  if nops(SolveMod2(IsSolution))> 0 then
    Solutions:=Solutions union {Split(s, bits = p)}:
  end if:
end do:

```

```
        end if;

    end do;

    unassign(a);
    Solutions_Alpha2:=Solutions;

Solutions_Alpha1 := {[0, 0, 0, 0, 0, 0, 0], [1, 1, 1, 1, 1, 1, 1]}
Solutions_Alpha2 := {[0, 0, 0, 0, 0, 0, 0], [1, 1, 1, 1, 1, 1, 1]}
```



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