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By Voon Joe Chong

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PREPARATION AND EVALUATION OF NOVEL ANTIBACTERIAL DENTAL RESIN
COMPOSITES

For the degree of Master of Science in Biomedical Engineering

Is approved by the final examining committee:

DONG XIE

Chair

SUNGSOO NA

JILIANG LI

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PREPARATION AND EVALUATION OF NOVEL ANTIBACTERIAL DENTAL
RESIN COMPOSITES

A Thesis
Submitted to the Faculty
of
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by
Voon Joe Chong

In Partial Fulfillment of the
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of
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ABSTRACT

Chong, Voon Joe. M.S.B.M.E., Purdue University, May 2012. Preparation and Evaluation of Novel Antibacterial Dental Resin Composites. Major Professor: Dr. Dong Xie.

Both quaternary ammonium bromide (QAB) and furanone derivatives were synthesized, characterized and formulated into dental resin composites for improved antibacterial properties. Compressive strength (CS) and *S. mutans* viability were used to evaluate the mechanical strength and antibacterial activity of the restoratives. The effects of chain length, loading, saliva and aging on CS and *S. mutans* viability were investigated.

Chapter 2 describes how we studied and evaluated the formulated antibacterial resin composites by incorporating the synthesized QAB-containing oligomers into the formulation. The results show that all the QAB-modified resin composites showed significant antibacterial activity and mechanical strength reduction. Increasing chain length and loading significantly enhanced the antibacterial activity but dramatically reduced the CS as well. The 30-day aging study showed that the incorporation of the QAB accelerated the degradation of the composite, suggesting that the QAB may not be well suitable for development of antibacterial dental resin composites or at least the QAB loading should be well controlled.

Chapter 3 describes how we studied and evaluated the formulated antibacterial resin composite by incorporating the synthesized furanone derivative into the formulation. The results show that the modified resin composites showed a significant antibacterial activity without substantially decreasing the mechanical strengths. With 5 to 30% addition of the furanone derivative, the composite kept its original CS unchanged

but showed a significant antibacterial activity with a 16-68% reduction in the *S. mutans* viability. Further, the antibacterial function of the new composite was found not to be affected by human saliva. The aging study indicates that the composite may have a long-lasting antibacterial function.

In summary, we have developed a novel QAB- and furanone-containing antibacterial system for dental restoratives. Both QAB- and furanone-modified resin composites have demonstrated significant antibacterial activities. The QAS-modified experimental resin composite may not be well suitable for development of antibacterial dental resin composites due to its accelerated degradation in water unless the QAB loading is well controlled. The furanone-modified resin composite shows nearly no reduction in mechanical strength after incorporation of the antibacterial furanone derivative. It appears that the furanone-modified resin composite is a clinically attractive dental restorative that can be potentially used for long-lasting restorations due to its high mechanical strength and permanent antibacterial function.

1. INTRODUCTION

1.1 Background

Long-lasting restoratives and restoration are clinically attractive because they can reduce patients' pain and expense as well as the number of their visits to dental offices. In dentistry, both restorative materials and oral bacteria are believed to be responsible for the restoration failure. Secondary caries is found to be the main reason to the restoration failure of dental restoratives including resin composites and glass-ionomer cements. Secondary caries that often occurs at the interface between the restoration and the cavity preparation is primarily caused by demineralization of tooth structure due to invasion of plaque bacteria (acid-producing bacteria) such as *Streptococcus mutans* (*S. mutans*) in the presence of fermentable carbohydrates. To make long-lasting restorations, the materials should be made antibacterial. Although numerous efforts have been made on improving antibacterial activities of dental restoratives, most of them have been focused on release or slow-release of various incorporated low molecular weight antibacterial agents such as antibiotics, zinc ions, silver ions, iodine and chlorhexidine. Yet release or slow-release can lead or has led to a reduction of mechanical properties of the restoratives over time, short-term effectiveness, and possible toxicity to surrounding tissues if the dose or release is not properly controlled. Materials containing quaternary ammonium salt (QAS) groups have been studied extensively as an important antimicrobial material and used for a variety of applications due to their potent antimicrobial activities. These materials are found to be capable of killing bacteria that are resistant to other types of cationic antibacterials. The examples of the QAS-containing materials as antibacterials for dental restoratives include incorporation of a methacryloyloxydodecyl pyridinium bromide as an antibacterial monomer into resin composites, use of methacryloxyethyl cetyl ammonium chloride as a component for antibacterial bonding agents, and incorporation of quaternary

ammonium polyethylenimine nanoparticles into resin composites. All these studies found that the QAS-containing materials did exhibit significant antibacterial activities. Recently furanone derivatives have been found to have strong antitumor and antibacterial functions. In this thesis, we would like to study the antibacterial effect of both QAB and furanone derivatives on dental resin composites.

1.2. Hypothesis and Objectives

It is our hypothesis that incorporating newly synthesized QAB and/or furanone derivative into experimental dental resin composite would provide a novel route for formulation of novel antibacterial dental restoratives.

The objectives of the study in this thesis were: (1) to synthesize and characterize QAB-containing oligomers and/or furanone derivative; (2) to formulate the resin composites with either QAB or furanone derivatives; (3) to evaluate the mechanical strengths of the formed restoratives; and (4) to evaluate the antibacterial activity of the formulated restoratives.

Chapter 2 mainly describes the synthesis, characterization, formulation and evaluation of the QAB oligomer-constructed resin composites. Chapter 3 mainly describes the synthesis, characterization, formulation and evaluation of the resin composites composed of the furanone derivative. The effects of chain length, loading, saliva and aging on CS and *S. mutans* viability were also investigated.

2. SYNTHESIS AND EVALUATION OF A NOVEL ANTIBACTERIAL DENTAL RESIN COMPOSITE WITH QUATERNARY AMMONIUM SALTS

2.1 Abstract

The novel quaternary ammonium bromide (QAB)-containing oligomers were synthesized and applied for developing an antibacterial resin composite. Compressive strength (CS) and *S. mutans* (an oral bacteria strain) viability were used to evaluate the mechanical strength and antibacterial activity of the formed composites. All the QAB-modified resin composites showed significant antibacterial activity and mechanical strength reduction. Increasing chain length and loading significantly enhanced the antibacterial activity but dramatically reduced the CS as well. The 30-day aging study showed that the incorporation of the QAB accelerated the degradation of the composite, suggesting that the QAB may not be well suitable for development of antibacterial dental resin composites or at least the QAB loading should be well controlled, unlike its use in dental glass-ionomer cements. The work in this study is beneficial and valuable to those who are interested in studying antibacterial dental resin composites.

2.2 Introduction

Long-lasting restoratives and restoration are clinically attractive because they can reduce patients' pain and expense as well as the number of their visits to dental offices [1-4]. In dentistry, both restorative materials and oral bacteria are believed to be responsible for the restoration failure [2]. Secondary caries is found to be the main reason to the restoration failure of dental restoratives including resin composites and glass-ionomer cements [1-4]. Secondary caries that often occurs at the interface between the restoration and the cavity preparation is primarily caused by demineralization of tooth structure due

to invasion of plaque bacteria (acid-producing bacteria) such as *Streptococcus mutans* (*S. mutans*) in the presence of fermentable carbohydrates [4]. To make long-lasting restorations, the materials should be made antibacterial. Although numerous efforts have been made on improving antibacterial activities of dental restoratives, most of them have been focused on release or slow-release of various incorporated low molecular weight (MW) antibacterial agents such as antibiotics, zinc ions, silver ions, iodine and chlorhexidine (CHX) [5-9]. Yet release or slow-release can lead or has led to a reduction of mechanical properties of the restoratives over time, short-term effectiveness, and possible toxicity to surrounding tissues if the dose or release is not properly controlled [5-9].

Materials containing quaternary ammonium salt (QAS) or phosphonium salt (QPS) groups have been studied extensively as an important antimicrobial material and used for a variety of applications due to their potent antimicrobial activities [10-14]. These materials are found to be capable of killing bacteria that are resistant to other types of cationic antibacterials [15]. The examples of QAS-containing materials as antibacterials for dental restoratives include incorporation of a methacryloyloxydodecyl pyridinium bromide (MDPB) as an antibacterial monomer into resin composites [12], use of methacryloxyethyl cetyl ammonium chloride (DMAE-CB) as a component for antibacterial bonding agents [16, 17], and incorporation of quaternary ammonium polyethylenimine (PEI) nanoparticles into composite resins [18, 19]. All these studies found that QAS-containing materials did exhibit significant antibacterial activities. In this study, we proposed to synthesize the novel QAS-containing oligomers for developing antibacterial dental resin composites.

The objective of this study was to synthesize new quaternary ammonium salt (QAS)-containing oligomers, incorporate them to dental resin composites, and evaluate the effects of these new oligomers on the mechanical strength and antibacterial activity of the formed composites.

2.3 Experiments

2.3.1 Materials

Bromoethane, bromohexane, bromododecane, bromohexadecane, 2-dimethylaminoethanol (DMAE), 2-hydroxyethyl methacrylate (HEMA), 1,2,4,5-benzenetetracarboxylic dianhydride (BTCDA), 3,3',4,4'-benzophenonetetracarboxylic dianhydride (BPTCDA), 4,4'-(4,4'-isopropylidenediphenoxy)-bis(phthalic anhydride) (IPDPBisPA), triethylene glycol dimethacrylate (TEGDMA), bisphenol A glycerolate dimethacrylate (BisGMA), dl-camphoroquinone (CQ), 2-(dimethylamino)ethyl methacrylate (DMAEMA), pyridine, N,N'-dicyclohexylcarbodiimide (DCC), N-methylpyrrolidone (NMP) and hexane were used as received from VWR International Inc (Bristol, CT) without further purifications. The untreated glass fillers for Herculite XRV (0.7 microns) were used as received from Sybron Dental Specialties (Newport Beach, CA).

2.3.2. Synthesis of The Polymerizable Oligomers Bearing Quaternary Ammonium Bromide (QAB)

The polymerizable oligomer bearing quaternary ammonium bromide (QAB) was synthesized via three steps: synthesis of the hydroxyl group-containing QAB, coupling the QAB onto the oligomer, and introduction of the methacrylate groups onto the oligomer. Briefly, (1) to a flask containing DMAE (0.01 mol) in methanol, bromododecane (0.013 mol) was added. The reaction was run at room temperature overnight. After most of methanol was removed, the mixture was washed with hexane 3 times. The formed 2-dimethyl-2-dodecyl-1-hydroxyethylammonium bromide (or namely B12) was purified by dissolving in methanol and washing with hexane several times before drying in a vacuum oven; (2) to a flask containing BPTCDA (0.01 mol) in NMP, B12 (0.013 mol) was added in the presence of pyridine. After the reaction was run at 60 °C for 4 h, the mixture was precipitated from hexane, followed by washing with hexane 3 times; (3) the purified product BPDQAB (0.01 mol, an adduct of BPTCDA and B12) in

NMP was used to react with HEMA (0.013 mol) in the presence of DCC (0.013 mol) and pyridine (1.5% by weight of HEMA). After the reaction was run at room temperature overnight, the mixture was precipitated with hexane, followed by washing with hexane several times. The purified oligomer BPDQABDMA (an adduct of BPDQAB and HEMA) was then dried in a vacuum oven at room temperature prior to use. The other two oligomers, BDQABDMA (an adduct of BDQAB and HEMA) and IPDPDQABDMA (an adduct of IPDPDQAB and HEMA), were synthesized the same as shown above. The structures of three starting dianhydrides, TEGDMA and BisGMA as well as the synthesis scheme are shown in Figure 2.1.

2.3.3 Characterization

The chemical structures of the synthesized oligomers were characterized by Fourier transform-infrared (FT-IR) spectroscopy and nuclear magnetic resonance (NMR) spectroscopy. The proton NMR (^1H NMR) spectra were obtained on a 500 MHz Bruker NMR spectrometer (Bruker Avance II, Bruker BioSpin Corporation, Billerica, MA) using deuterated dimethyl sulfoxide and chloroform as solvents and FT-IR spectra were obtained on a FT-IR spectrometer (Mattson Research Series FT/IR 1000, Madison, WI).

2.3.4 Sample Preparation for Mechanical and Strength Tests

The experimental resin composites were formulated with a two-component system (liquid and powder) [20]. The liquid was formulated with the newly synthesized oligomer, BisGMA, TEGDMA, CQ and DMAEMA. The synthesized oligomer, BisGMA and TEGDMA were mixed in a ratio of oligomer/BisGMA/TEGDMA = 30/35/35 (oligomer = 30%) unless specified. CQ (1.0% by weight) and DMAEMA (2.0%) were added for photo-initiation. The untreated glass Herculite XRV (0.7 microns) powders were used as fillers and treated with γ -(trimethoxysilyl)propyl methacrylate, following the published protocol [21]. A filler level at 75% (by weight) was used throughout the study.

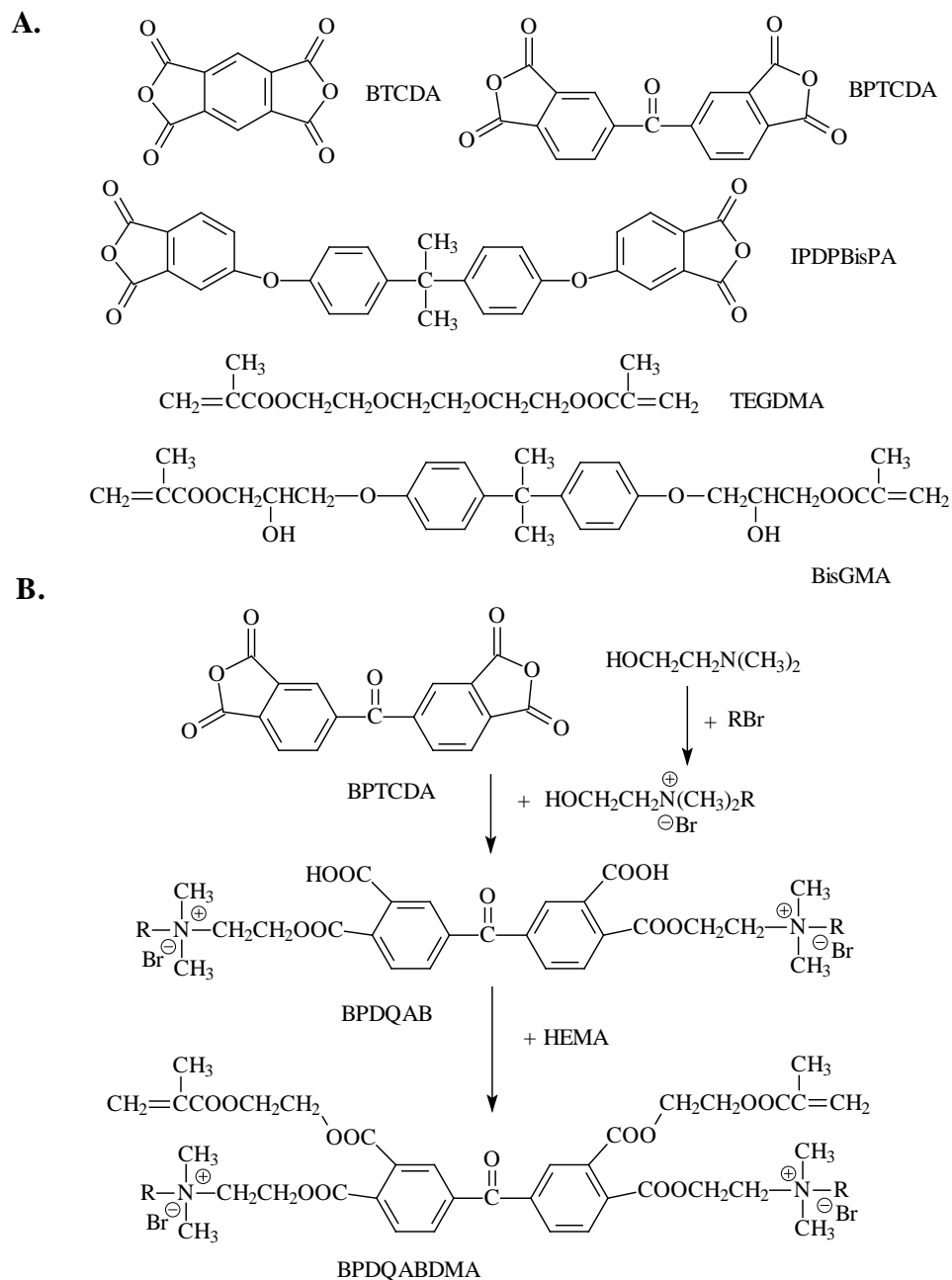


Figure 2.1 Structures and synthesis scheme: A. Structures of BTCDA, BPTCDA, IPDPBisPA, TEGDMA and BisGMA; B. Synthesis scheme for preparation of the polymerizable quaternized oligomer BPDQABDMA

Specimens were fabricated by thoroughly mixing the liquid with the treated fillers at room temperature according to the published protocol [20, 21]. Briefly, the cylindrical specimens were prepared in glass tubing with dimensions of 4 mm in diameter by 8 mm

in length for compressive strength (CS), 4 mm in diameter by 2 mm in length for diametral tensile strength (DTS), and 4 mm in diameter by 2 mm in depth for antibacterial tests. The rectangular specimens were prepared in a split Teflon mold with dimensions of 3 mm in width by 3 mm in thickness by 25 mm in length for flexural strength (FS) test. All the specimens were exposed to blue light (EXAKT 520 Blue Light Polymerization Unit, EXAKT Technologies, Inc., Oklahoma City, OK) for 2 min, followed by removing from the mold or conditioned in distilled water at 37 °C for 24 h prior to testing, unless specified.

2.3.5 Strength Measurements

CS, DTS and FS tests were performed on a screw-driven mechanical tester (QTest QT/10, MTS Systems Corp., Eden Prairie, MN), with a crosshead speed of 1 mm/min. The FS test was performed in three-point bending with a span of 20 mm between supports. Six to eight specimens were tested to obtain a mean value for each material or formulation in each test. CS was calculated using an equation of $CS = P/\pi r^2$, where P = the load at fracture and r = the radius of the cylinder. DTS was determined from the relationship $DTS = 2P/\pi dt$, where P = the load at fracture, d = the diameter of the cylinder, and t = the thickness of the cylinder. FS was obtained using the expression $FS = 3Pl/2bd^2$, where P = the load at fracture, l = the distance between the two supports, b = the breadth of the specimen, and d = the depth of the specimen.

2.3.6 MIC Test for Synthesized QAB

The minimal inhibitory concentration or MIC of the synthesized QAB was determined following the published protocol with a slight modification [22]. Briefly, colonies of *S. mutans* (UA159) were suspended in 5 ml of Tryptic soy Broth (TSB) prior to MIC testing. Two-fold serial dilutions of the synthesized QAB were prepared in TSB, followed by placing in 96-well flat-bottom microtiter plates with a volume of 250 µl per well. The final concentration of the QAB ranged from 1.563 to 2×10^4 µg/ml. The

microtiter plate was then inoculated with *S. mutans* suspension (cell concentration = 5×10^5 CFU/ml) and incubated at 37 °C for 48 h prior to MIC testing. The absorbance was measured at 595 nm via a microplate reader (SpectraMax 190, Molecular Devices, CA) to assess the cell growth. Chlorhexidine (CHX) and dimethylsulfoxide were used as positive and negative controls, respectively. Triple replica was used to obtain a mean value for each QAB.

2.3.7 Antibacterial Test for the Formed Cements

The antibacterial test was conducted following the published procedures [23]. *S. mutans* was used for evaluation of antibacterial activity of the studied cements. Briefly, colonies of *S. mutans* (UA159) were suspended in 5 ml of Tryptic soy Broth (TSB), supplemented with 1% sucrose, to make a suspension with 10^8 CFU/ml of *S. mutans*, after 24 h incubation. Specimens pretreated with ethanol (10 sec) were incubated with *S. mutans* in TSB at 37 °C for 48 h under anaerobic condition with 5% CO₂. After equal volumes of the red and the green dyes (LIVE/DEAD BacLight bacterial viability kit L7007, Molecular Probes, Inc., Eugene, OR, USA) were combined in a microfuge tube and mixed thoroughly for 1 min, 3 µl of the dye mixture was added to 1 ml of the bacteria suspension, mixed by vortexing for 10 sec, sonicating for 10 sec as well as vortexing for another 10 sec, and kept in dark for about 15 min, prior to analysis. Then 20 µl of the stained bacterial suspension was analyzed using a fluorescent microscope (Nikon Microphot-FXA, Melville, NY, USA). Triple replica was used to obtain a mean value for each material.

2.3.8 Statistical Analysis

One-way analysis of variance (ANOVA) with the post hoc Tukey-Kramer multiple-range test was used to determine significant differences of mechanical strength and antibacterial tests among the materials in each group. A level of $\alpha = 0.05$ was used for statistical significance.

2.4 Results and Discussion

2.4.1 Characterization

The characteristic peaks (cm^{-1}) from the FT-IR spectra shown in Figure 2.2 for DMAE, bromododecane, B12, BPTCDA and BPDQAB (an adduct of an adduct of BPTCDA and B12) are listed in Table 2.1. The appearance of both peaks at 3600-3200 and 1632 for $=\text{N}^+=$ groups and disappearance of the peaks at 1040 and 776 for C-N groups confirmed the formation of B12. The disappearance of the peaks at 1855 and 1782 for anhydrides as well as appearance of a broad peak at 3600-2600 for $-\text{COOH}$, a relatively sharp peak at 3320 for $=\text{N}^+=$ and strong peaks at 2924 and 2854 for $-\text{CH}_3$ and $-\text{CH}_2$ groups confirmed the formation of BPDQAB.

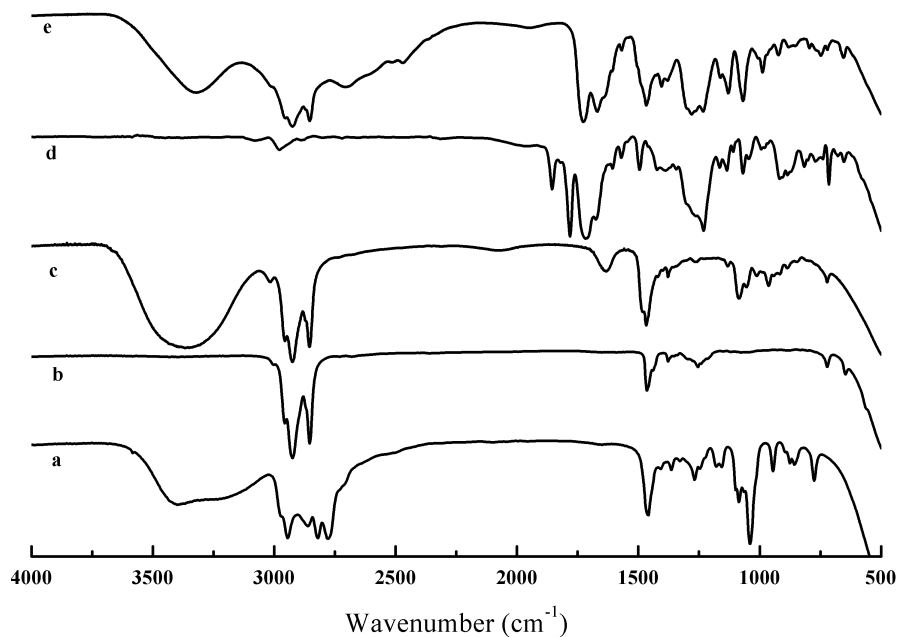


Figure 2.2 FT-IR spectra for DMAE, bromododecane, B12, BPTCDA and BPDQAB (an adduct of BPTCDA and B12): (a) DMAE; (b) bromododecane; (c) B12; (d) BPTCDA and (e) BPDQAB

The characteristic peaks (cm^{-1}) from the FT-IR spectra shown in Figure 2.3 for HEMA, BPDQAB, BPDQABDMA, BDQABDMA and IPDPDQABDMA are listed in Table 2. The disappearance of the peak at 3428 ($-\text{OH}$ from HEMA) as well as appearance of the peaks at 3600-3200 for $=\text{N}^+=$, 2923 and 2853 for $-\text{CH}_2-$ and $-\text{CH}_3$ and 1647 for $\text{C}=\text{C}$ groups confirmed the formation of three polymerizable quaternized oligomers.

Table 2.1 The characteristic peaks from the FT-IR spectra shown in Figure 2.2

Material	The characteristic peaks (cm^{-1})
DMAE	3399 (O-H stretching), 2944 (C-H stretching on $-\text{CH}_2-$), 2861 (C-H stretching on $-\text{CH}_3$), 2820 and 2779 (C-H stretching on $-\text{N}(\text{CH}_3)_2$), 1459, 1364 and 1268 (C-H deformation on $-\text{CH}_2-$), 1090 (O-H deformation), and 1040 as well as 776 (C-N deformation).
Bromododecane	2924 (C-H stretching on $-\text{CH}_2-$), 2854 (C-H stretching on $-\text{CH}_3$), 1465, 1377 and 1255 (C-H deformation on $-\text{CH}_2-$), and 722 as well as 647 (C-Br deformation);
B12	3600-3200 ($=\text{N}^+=$ stretching), 2917 (C-H stretching on $-\text{CH}_2-$), 2850 (C-H stretching on $-\text{CH}_3$), 1632 ($=\text{N}^+=$ deformation), 1470 (C-H deformation on $-\text{CH}_2-$), and 1090 as well as 730 (O-H deformation);
BPTCDA	2910 ($=\text{C}-\text{H}$ stretching on phenyl groups), 1855 and 1782 (two $-\text{C}=\text{O}$ stretching on five-membered ring acid anhydride), 1717 ($-\text{C}=\text{O}$ stretching on ketone), 1610 and 1570 ($-\text{C}=\text{C}-$ stretching on phenyl groups), 1495 ($-\text{C}=\text{O}$ deformation vibration), 1231 (other vibrations on five-membered ring acid anhydrides), and 1388, 1135, 1069, 917, 715 and 625 ($-\text{C}=\text{C}-$ and $-\text{C}-\text{H}$ stretching, out-of-plane and other vibrations on phenyl groups)
BPDQAB	3320 ($=\text{N}^+=$ stretching), 3600-2600 (O-H stretching on $-\text{COOH}$), 2924 (C-H stretching on $-\text{CH}_2-$), 2854 (C-H stretching on $-\text{CH}_3$), 1726 and 1669 ($-\text{C}=\text{O}$ stretching on esters), 1587 ($-\text{C}=\text{O}$ stretching on ketone), 1467 ($-\text{C}=\text{O}$ deformation vibration), and 1388, 1135, 1069, 917, 715 and 625 ($-\text{C}=\text{C}-$ and $-\text{C}-\text{H}$ stretching, out-of-plane and other vibrations on phenyl groups)

The characteristic chemical shifts (ppm) from the ^1H NMR spectra shown in Figure 2.4 for DMEA, bromododecane, B12, BPTCDA and BPDQABDMA are listed in Table 2.3. The appearance of all the new peaks in the spectrum, especially at 5.82 and 6.25 for carbon-carbon double bond and 7.82-8.40 for phenyl groups confirmed the successful attachment of HEMA and B12 onto the BPTCDA.

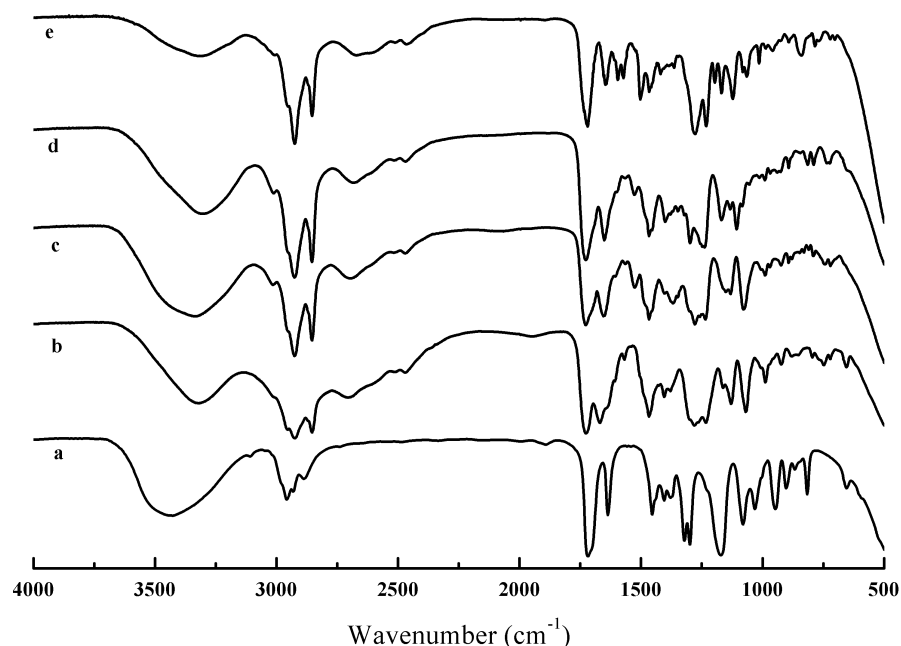


Figure 2.3 FT-IR spectra for HEMA, BPDQAB, BPDQABDMA (an adduct of BPDQAB and HEMA), BDQABDMA (an adduct of BDQAB and HEMA) and IPDPQABDMA (an adduct of IPDPQAB and HEMA): (a) HEMA; (b) BPDQAB; (c) BPDQABDMA; (d) BDQABDMA and (e) IPDPQABDMA

Table 2.2 The characteristic peaks from the FT-IR

Material	The characteristic peaks (cm ⁻¹)
HEMA	3428 (O-H stretching), 2957 (C-H stretching on -CH ₂ -), 2889 (C-H stretching on -CH ₃), 1719 (-C=O stretching on ester), and 1637 (-C=C stretching)
BPDQAB	3320 (=N ⁺ = stretching), 3600-2600 (O-H stretching on -COOH), 2924 (C-H stretching on -CH ₂ -), 2854 (C-H stretching on -CH ₃), 1726 and 1669 (-C=O stretching on esters), 1587 (-C=O stretching on ketone), 1467 (-C=O deformation vibration), and 1388, 1135, 1069, 917, 715 and 625 (-C=C-and -C-H stretching, out-of-plane and other vibrations on phenyl groups)
BPDQABDMA	3328 (=N ⁺ = stretching), 2924 (C-H stretching on -CH ₂ -), 2854 (C-H stretching on -CH ₃), 1726 (-C=O stretching on esters), 1647 (C=C stretching on methacrylates), 1587 (-C=O stretching on ketone), 1467 (-C=O deformation vibration), and 1388, 1135, 1069, 917, 715 and 625 (-C=C-and -C-H stretching, out-of-plane and other vibrations on phenyl groups);
BDQABDMA	Similar to BPDQABDMA
IPDPQABDMA	Similar to both BPDQABDMA and BDQABDMA.

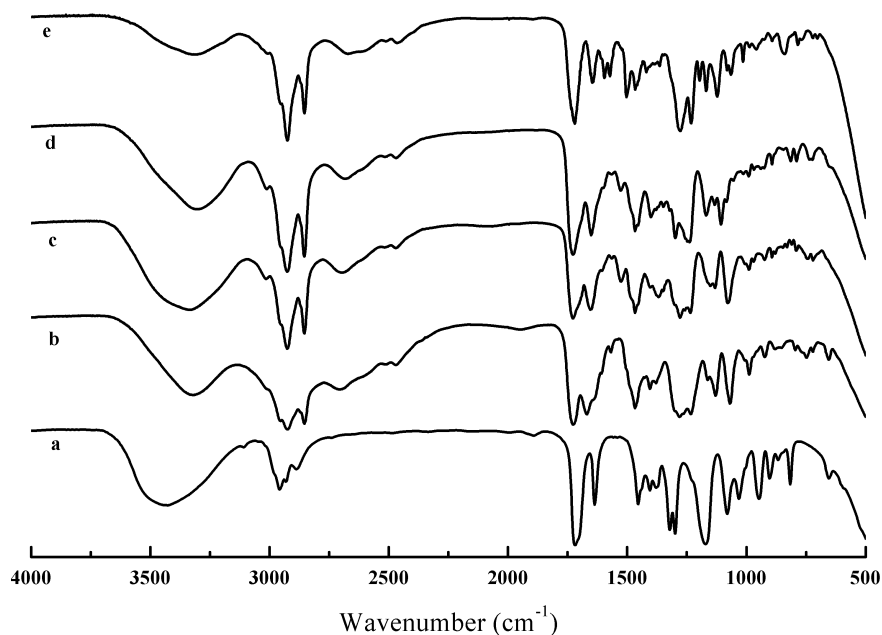


Figure 2.4 ^1H NMR spectra for DMAE, bromododecane, B12, BPTCDA and BPDQABDMA: (a) DMAE; (b) bromododecane; (c) B12; (d) BPTCDA and (e) BPDQABDMA

Table 2.3 The characteristic chemical shifts from ^1H NMR spectra shown in Figure 2.4

Material	The characteristic chemical shifts (ppm)
DMEA	4.40 (-OH), 3.42 (-CH ₂ OH), 2.30 (-CH ₂ N-) and 2.10 (H ₃ CN-)
Bromododecane	3.51 (-CH ₂ Br), 1.80 (-CH ₂ CH ₂ Br), 1.38 (-CH ₂ -, all) and 0.89 (-CH ₃)
B12	5.25 (-OH), 3.82 (-CH ₂ OH), 3.40 (-CH ₂ N(CH ₃) ₂), 3.08 (H ₃ CN-), 1.55 (-CH ₂ CH ₂ N(CH ₃) ₂), 1.25 (-CH ₂ - all) and 0.89 (-CH ₃);
BPTCDA	7.85-8.40 (-H, all from the phenyl groups) and 2.50 (TMS)
BPDQABDMA	7.85-8.40 (-H, all from the phenyl groups), 5.82 and 6.25 (=C-, from methacrylates) and all the other chemical shifts similar to those shown on B12

2.4.2 Evaluations

Table 2.4 shows the code, description and MIC of the synthesized QAB. The MIC values ranged from 1.563 to $2 \times 10^4 \mu\text{g/ml}$ for B16 to B2.

Figure 2.5 shows the effect of the substitute chain length on the synthesized oligomers on CS and *S. mutans* viability of the experimental resin composite. The mean CS value (MPa) was in the decreasing order of B2 > B6 > B12 > B16, where there were no statistically significant differences between B2 and B6, between B6 and B12, and between B12 and B16 ($p > 0.05$). Increasing the substitute chain length on the oligomer decreased the CS values of the resin composite. The mean *S. mutans* viability was in the decreasing order of B2 > B6 > B12 > B16, where all the resin composites were significantly different from each other ($p < 0.05$).

Figure 2.6 shows the effect of different oligomers on CS and *S. mutans* viability of the resin composite. The mean CS value (MPa) of the dry resin composite was in the decreasing order of A > B > C > D, where there were no statistically significant differences among B, C and D ($p > 0.05$). The mean CS value (MPa) of the wet resin composite (the composite after conditioning in distilled water for 24 h) was in the decreasing order of A > D > C > B, where there were no statistically significant differences among B, C and D ($p > 0.05$). The mean *S. mutans* viability was in the decreasing order of A > D > C > B, where there were no statistically significant differences between B and C and between C and D ($p > 0.05$).

Figure 2.7 shows the effect of the oligomer loading on CS and *S. mutans* viability. Both mean CS value (MPa) and *S. mutans* viability were in the decreasing order of 10% > 20% > 30% > 50% > 70%, where all the resin composites were significantly different from each other in either category ($p < 0.05$).

Table 2.4 Codes, description, MIC values of the synthesized QAB

Code	QAB ¹	Chain length	MIC (µg/ml) ²
B2	2-Dimethyl-2-ethyl-1-hydroxyethylammonium bromide	2	20,000
B6	2-Dimethyl-2-hexyl-1-hydroxyethylammonium bromide	6	1,000
B12	2-Dimethyl-2-dodecyl-1-hydroxyethylammonium bromide	12	25
B16	2-Dimethyl-2-hexadecyl-1-hydroxyethylammonium bromide	16	1.563

¹All the QAS were freshly synthesized and water-soluble; ²MIC values were measured as described in the text.

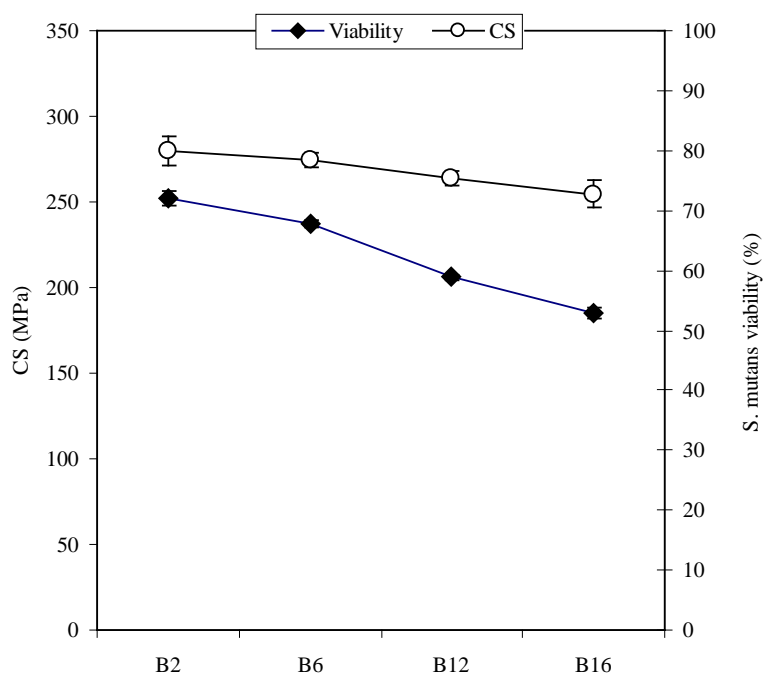


Figure 2.5 Effect of the substitute chain length on the synthesized QAB on CS and *S. mutans* viability of the resin composite: B2, B6, B12 and B16 represent the substitute chain length on the synthesized QAB (see codes and description in Table 1). The composite was composed of BPDQABDMA/BisGMA/TEGDMA at a ratio of 20:40:40 (by weight or BPDQABDMA = 20%). Specimens were tested directly for CS and incubated with *S. mutans* for 48 h for antibacterial testing

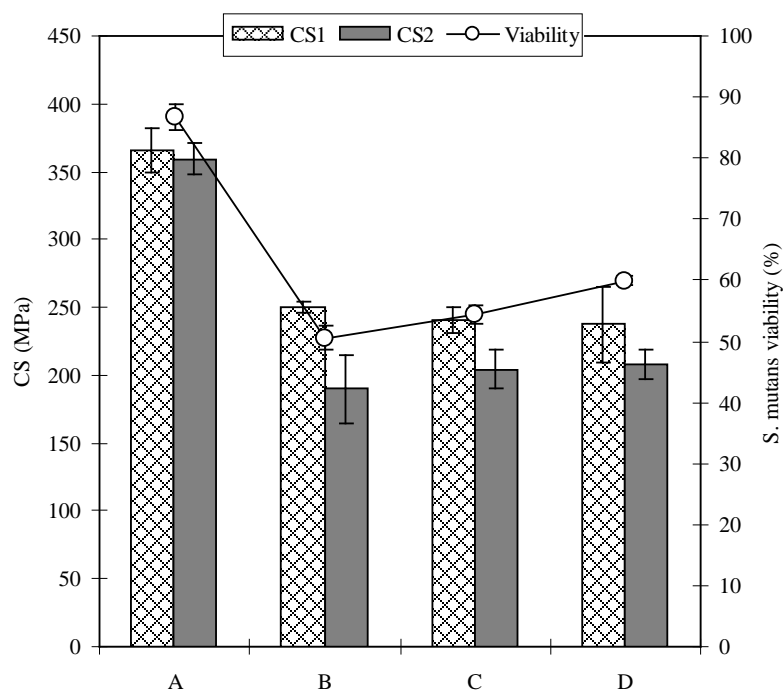


Figure 2.6 Comparison among the resin composites having different QAB-containing oligomers via CS and *S. mutans* viability testing: A, B, C and D stand for the resin composites composed of BisGMA/TEGDMA = 50/50 (by weight), BDQABDMA/BisGMA/TEGDMA = 30/35/35, BPDQABDMA/BisGMA/TEGDMA = 30/35/35 and IPDPDQABDMA/BisGMA/TEGDMA = 30/35/35, respectively. CS1 and CS2 represent the CS for day and wet resin composites. QAB = B12. Specimens were tested directly for CS and incubated with *S. mutans* for 48 h for antibacterial testing.

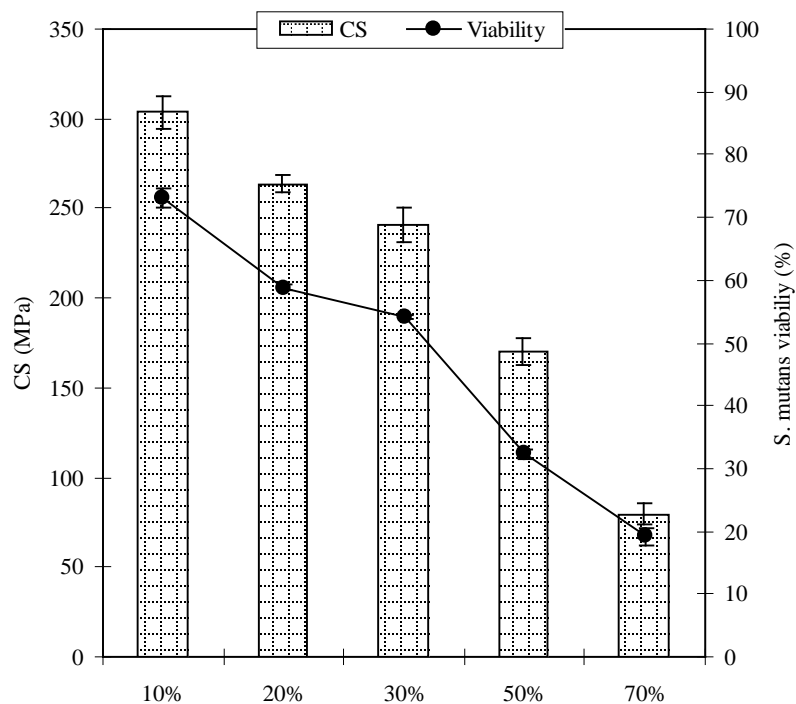


Figure 2.7 Effect of the QAB loading on CS and *S. mutans* viability: BPDQABDMA = 10, 20, 30, 50 and 70%, where BisGMA/TEGDMA = 50/50. QAB = B12. Specimens were tested directly for CS and incubated with *S. mutans* for 48 h for antibacterial testing

Figure 2.8 shows the effect of aging of both unmodified and QAB-modified resin composites on CS and *S. mutans* viability. The mean CS value (MPa) was in the decreasing order: (A) Unmodified composite: 1 d > 7 d > 30 d, where there were no statistically significant differences between 1 d and 7 d ($p > 0.05$); (B) QAB-modified composite: 1 d > 7 d > 30 d, where all were significantly different from each other ($p < 0.05$). The mean *S. mutans* viability values were statistically the same within 30 days for either unmodified or QAB-modified composite ($p < 0.05$).

Table 2.5 shows the property comparison of the unmodified and modified resin composites. These properties include yield strength (YS), compressive modulus (M), CS, diametral tensile strength (DTS), flexural strength (FS) and antibacterial activity.

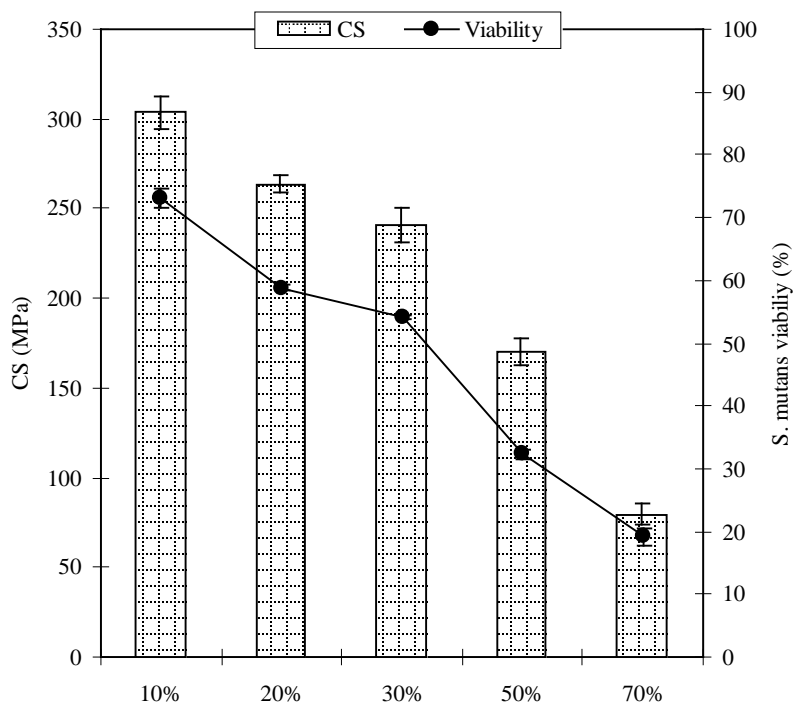


Figure 2.8 Effect of aging on CS and *S. mutans* viability: BPDQABDMA = 30%; BisGMA/TEGDMA = 50/50; QAB = B12. The specimens were conditioned in distilled water for 1 day, 7 days and 30 days, followed by direct testing for CS and incubating with *S. mutans* for 48 h for antibacterial testing

Table 2.5 Comparison of properties of the unmodified and modified resin composites.

Material ¹	YS ² [MPa]	M ³ [GPa]	UCS ⁴ [MPa]	DTS ⁵ [MPa]	FS	Viability (%)
RC	155.8 (11) ^{a,6}	7.16 (0.33) ^b	365.5 (15) ^c	63.7 (1.6) ^d	114.6(8.7)	86.8 (2.2)
RC (24h)	153.7 (6.2) ^a	7.09 (0.15) ^b	359.5 (12) ^c	64.7 (2.7) ^d	112.8(10)	
QAB-RC	125.1 (5.2)	6.19 (0.06)	240.9 (9.2)	45.5 (2.8)	83.5(5.6)	
QAB-RC (24h)	69.1 (3.6)	3.82 (0.07)	204.4 (14)	34.4 (4.7)	70.6(8.5) ^c	54.3 (1.5)

¹RC and QAB-RC stand for the dry specimens of unmodified and QAB-modified resin composites, whereas RC (24h) and QAB-RC (24h) represent the wet specimens after conditioning in distilled water at 37 °C for 24 h; ²YS = CS at yield; ³M = compressive modulus; ⁴UCS = ultimate CS; ⁵DTS = diametral tensile strength; ⁶Entries are mean values with standard deviations in parentheses and the mean values with the same superscript letter were not significantly different (p > 0.05). Specimens for bacterial viability test were directly tested after incubating with *S. mutans* for 48 h.

2.4.3 Discussion

Currently there is a growing interest in preventing or reducing biofilm formation in many biomedical areas. In preventive restorative dentistry, secondary caries is a critical issue and prevention of secondary caries plays a key role in long-lasting restorations [1-4]. Secondary caries is found to be the main reason to the restoration failure of dental restoratives [1-4]. Secondary caries that often occurs at the interface between the restoration and the cavity preparation is mainly caused by demineralization of tooth structure due to invasion of plaque bacteria (acid-producing bacteria) such as *S. mutans* in the presence of fermentable carbohydrates [4]. Therefore, preventing these bacteria from invasion to natural tooth is the key to long-lasting dental restorations when the microleakage or materials failure occurs at the interface. Quaternary ammonium salts (QAS) and their constructed materials represent a new trend of antimicrobial agents in biomedical applications [10, 13]. QAS can be incorporated in many ways, including mixing with fillers, copolymerizing with other monomers and grafting onto the polymer skeletons [10-14]. The advantage of using QAS is that they can kill the microorganisms by simple contact. The mechanism of QAS to kill bacteria is believed to disrupt the surface membrane of bacteria by changing membrane permeability or surface electrostatic balance [11, 18]. In this regard, we purposely synthesized the new QAB-containing oligomers, incorporated them into the resin composite and evaluated the CS and antibacterial activity of the formed composite.

It has been noticed that chain length on QAS has a significant effect on its antibacterial activity [11, 14]. Generally speaking, there are four main processes for QAS to kill bacteria and they are (1) adsorption onto the negatively charged bacterial cell surface; (2) penetrating through the cell wall; (3) binding to the cytoplasmic membrane; and (4) disrupting the cytoplasmic membrane [14]. It has also been found that both positive charge density and substitute chain length are the key to the biocidal ability, because the high positive charge density may enhance the driving force and the long substitute chain may strongly interact with the cytoplasmic membranes [14]. From Table 1, it is apparent that increasing the substitute chain length significantly increased the

biocidal activity of the synthesized QAB. The QAB with 16-carbon substitute chain (B16) was the highest in MIC whereas the one with 2-carbon chain (B2) was the lowest. In fact, the trend for the biocidal activity of the QAB in this study was similar to those described elsewhere [11, 14], i.e., the longer the substitute chain, the higher the biocidal activity. The same trend was also observed for the resin composites having the QAB-containing oligomers with different chain length. As shown in Figure 5, increasing the substitute chain length significantly decreased the *S. mutans* viability. However, the CS value was also decreased. The decrease in CS can be attributed to the fact that simply introducing the hydrocarbon CH_2 unit that does not contain any strong primary bonds such as $\text{C}=\text{C}$ bond or secondary bonds such as dipole-dipole or hydrogen bond could reduce mechanical strengths [24].

From the results in Figure 6, it is evident that introduction of the QAB significantly increased the antibacterial activity (or decreased the *S. mutans* viability) of the resin composite. As compared to the unmodified composite A, the QAB-modified B, C and D significantly killed the *S. mutans* from 31 to 42%. Meanwhile, their CS values were also significantly decreased with a reduction of 34-36% for dry composites and 35-47% for wet composites. The significant decrease in strength for the dry composite can be attributed to the introduction of the QAB. The QAB synthesized in this study is nothing but a quaternized salt with a long-chain hydrocarbon attached, which does not contribute any strength enhancement but rather reduces the amount of $\text{C}=\text{C}$ instead [24]. That is why a significant decrease in CS has been observed. Regarding the dry and wet composites, the unmodified composite A behaved very differently from the QAB-modified B, C and D. No change in CS was found for the composite A after 24 h in water. On the other hand, statistically significant differences were found between the dry and wet composites for either B, or C or D. This significant decrease in CS can be attributed to the hydrophilic nature of the QAB-modified composite. The QAB by nature is a quaternary ammonium salt (QAS) bearing both positive and negative charges, which absorb water [25]. Since water serves as a plasticizer in the material [26], the QAS-containing material behaves like a hydrogel more or less [27]. No wonder the QAB-

modified composites in this study showed decreased CS values after conditioning in water. Furthermore, the wet composite B seems to show more decrease in CS than either wet C or wet D, which may be attributed to the fact that B contains more QAB in one mole due to its lower molecular weight.

The effect of the oligomer loading on CS and antibacterial activity is shown in Figure 7. Apparently, the more the QAB-containing oligomer added the lower the CS value and the higher the antibacterial activity. With the oligomer increasing from 10 to 70%, the CS value and *S. mutans* viability were decreased from 17 to 78% and 16 to 78%, respectively. To keep the CS value close to 250 MPa and *S. mutans* viability close 50%, we chose the formulation with 30% of the QAB-containing oligomer to study the aging of the modified composite. We tested the CS and *S. mutans* viability of both unmodified and modified composites after conditioning in distilled water for 1 day, 7 days and 30 days. As shown in Figure 8, there was nearly no change in *S. mutans* viability for either unmodified or modified composites, suggesting that there might be no leachable from the modified composite. On the other hand, however, a dramatic decrease in CS (MPa) was observed for the modified composite with the results of 241 for 1 day, 183 for 7 days and 155 for 30 days. In contrast, statistically significant difference was found only between 1 day (335 MPa) and 30 days (302 MPa) for the unmodified composite. It is known that dental resin composites show a certain degree of degradation due to water sorption caused by two hydroxyl groups pendent on BisGMA and three $-\text{CH}_2\text{CH}_2\text{O}-$ units on TEGDMA (see structures in Figure 1A) [28]. The absorbed water can hydrolyze the silane bond that is used to couple resin with fillers, de-bond the resin-filler interface and thus reduce the mechanical strengths with time [28]. That may be why the unmodified composite showed a decrease in CS after conditioning in water for 30 days. Regarding the QAB-modified composite, the significant decrease in CS should be attributed to the hydrophilic nature of the QAB incorporated. As compared to two hydroxyl groups on BisGMA, two QAB groups attached to the newly synthesized oligomer would absorb water even more aggressively because of the ionic charges they carry [27, 28]. These ionic charges can accelerate the interfacial de-bonding. That may be why a dramatic

reduction in CS was observed. Unlike those QAS-modified dental glass-ionomer cements [29], the above negative effect to dental resin composites should be cautiously weighed while the positive effect of QAS is beneficial in reducing bacteria. In our previous work related to glass-ionomer cements, we found that QAS did not degrade the cement during the 30-day aging although it reduced the initial strength as well [29].

Finally, we compared YS, M, CS, DTS, FS and antibacterial activity between unmodified and modified composites. The QAB-modified composite was 20 and 55% in YS, 14 and 46% in modulus, 34 and 43% in CS, 29 and 46% in DTS and 27 and 37% in FS lower than the unmodified composite, respectively, in dry and wet states. On the other hand, however, the QAB-modified composite was much higher (37% higher) in antibacterial activity than the unmodified composite.

2.5 Conclusions

We have synthesized several novel QAB-containing oligomers and used them for formulation of antibacterial resin composites. All the QAB-modified composites showed significant antibacterial activity and mechanical strength reduction. It was found that increasing chain length and loading significantly enhanced the antibacterial activity but also dramatically reduced the CS. The 30-day aging study showed that the incorporation of the QAB accelerated the degradation of the composite, suggesting that the QAB may not be well suitable for development of antibacterial dental resin composites or at least the QAB loading should be well controlled, unlike its use in dental glass-ionomer cements. The authors believe that the work in this study is beneficial and valuable to those who are interested in studying antibacterial dental resin composites.

3. PREPARATION AND EVALUATION OF A NOVEL ANTIBACTERIAL DENTAL RESIN COMPOSITE

3.1 Abstract

A novel furanone-containing antibacterial resin composite has been prepared and evaluated. Compressive strength (CS) and *S. mutans* viability were used to evaluate the mechanical strength and antibacterial activity of the composites. The modified resin composites showed a significant antibacterial activity without substantially decreasing the mechanical strengths. With 5 to 30% addition of the furanone derivative, the composite kept its original CS unchanged but showed a significant antibacterial activity with a 16-68% reduction in the *S. mutans* viability. Further, the antibacterial function of the new composite was not affected by human saliva. The aging study indicates that the composite may have a long-lasting antibacterial function. Within the limitations of this study, it appears that the experimental antibacterial resin composite may potentially be developed into a clinically attractive dental restorative due to its high mechanical strength and antibacterial function.

3.2 Introduction

Long-lasting restoratives and restoration are clinically attractive because they can reduce patients' pain and expense as well as the number of their visits to dental offices [1-4]. In dentistry, both restorative materials and oral bacteria are believed to be responsible for the restoration failure [2]. Secondary caries is found to be the main reason to the restoration failure of dental restoratives including resin composites and glass-ionomer cements [1-4]. Secondary caries that often occurs at the interface between the restoration and the cavity preparation is primarily caused by demineralization of tooth structure due to invasion of plaque bacteria (acid-producing bacteria) such as *Streptococcus mutans* (*S.*

mutans) in the presence of fermentable carbohydrates [4]. To make long-lasting restorations, the materials should be made antibacterial. Although numerous efforts have been made on improving antibacterial activities of dental restoratives, most of them have been focused on release or slow-release of various incorporated low molecular weight antibacterial agents such as antibiotics, zinc ions, silver ions, iodine and chlorhexidine [5-9]. Yet release or slow-release can lead or has led to a reduction of mechanical properties of the restoratives over time, short-term effectiveness, and possible toxicity to surrounding tissues if the dose or release is not properly controlled [5-9]. Materials containing quaternary ammonium salt (QAS) groups have been studied extensively as an important antimicrobial material and used for a variety of applications due to their potent antimicrobial activities [10-14]. These materials are found to be capable of killing bacteria that are resistant to other types of cationic antibacterials [15]. The examples of the QAS-containing materials as antibacterials for dental restoratives include incorporation of a methacryloyloxydodecyl pyridinium bromide as an antibacterial monomer into resin composites [12], use of methacryloxyethyl cetyl ammonium chloride as a component for antibacterial bonding agents [16, 17], and incorporation of quaternary ammonium polyethylenimine nanoparticles into resin composites [18, 19]. All these studies found that the QAS-containing materials did exhibit significant antibacterial activities. However, our recent study found that incorporation of QAS into dental resin composites can significantly decrease mechanical strengths due to its strong hydrophilic characteristics, if the amount added is beyond a certain limit [20]. In addition, it has been reported that human saliva can significantly decrease the antibacterial activity of the QAS-containing restoratives, probably due to the electrostatic interactions between QAS and proteins in saliva [21-22]. Recently furanone derivatives have been found to have strong antitumor [23-24] and antibacterial functions [25]. Therefore, we would like to explore them in dental applications.

The objective of this study was to synthesize a new functional furanone derivative, incorporate it to dental resin composite, and evaluate its effect on mechanical as well as other properties and antibacterial activity of the formed composites.

3.3 Experiments

3.3.1 Materials

Bisphenol A glycerolate dimethacrylate (BisGMA), Bisphenol A ethoxylate dimethacrylate (BisEMA), urethane dimethacrylate (UDMA), triethylene glycol dimethacrylate (TEGDMA), dl-camphoroquinone (CQ), 2-(dimethylamino)ethyl methacrylate (DMAEMA), sulfuric acid, toluene, acryloyl chloride (AC), 3,4-dichloromalealdehydic acid (DA), ethyl acetate and sodium bicarbonate were used as received from Sigma-Aldrich Co. (Milwaukee, WI) without further purifications. The untreated glass fillers from Herculite XRV (0.7 microns) were used as received from Sybron Dental Specialties (Newport Beach, CA). Filtek P-60 resin composite was used as received from 3M ESPE (St. Paul, MN).

3.3.2 Synthesis of 5-acryloyloxy-3,4-Dichlorocrotonolactone (AD)

To a solution containing DA (0.5 mol) and toluene, AC (0.52 mol) in toluene was added. After the mixture was run at 90-100 °C for 3-4 h, toluene was removed using a rotary evaporator. The residue was then washed with sodium bicarbonate and distilled water, followed by extracting with ethyl acetate. The formed AD was obtained by completely removing ethyl acetate using the rotary evaporator before drying in a vacuum oven. The synthesis scheme is shown in Figure 3.1.

3.3.3 Characterization

The chemical structure of the synthesized AD and starting chemicals was characterized by Fourier transform-infrared (FT-IR) spectroscopy and nuclear magnetic resonance (NMR) spectroscopy. The proton NMR (¹HNMR) spectra were obtained on a 500 MHz Bruker NMR spectrometer (Bruker Avance II, Bruker BioSpin Corporation, Billerica, MA) using deuterated dimethyl sulfoxide and chloroform as solvents and FT-IR

spectra were obtained on a FT-IR spectrometer (Mattson Research Series FT/IR 1000, Madison, WI).

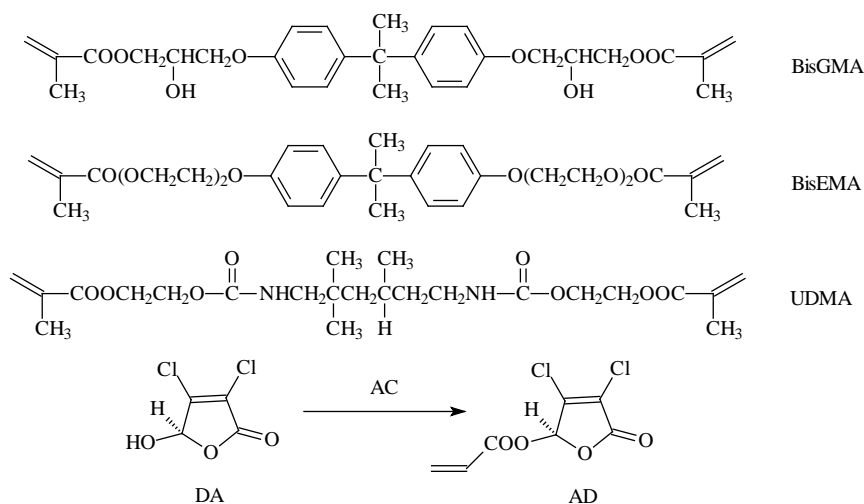


Figure 3.1 Schematic diagram for the structures of the oligomers used in the study and synthesis of AD from the reaction of DA with AC

3.3.4 Sample Preparation

The experimental resin composites were formulated with a two-component system (liquid and powder) [20]. The liquid was formulated with the newly synthesized monomer AD, BisGMA, UDMA, BisEMA, CQ (photo-initiator) and DMAEMA (activator). AD was mixed with a mixture of BisGMA, UDMA and BisEMA (BisGMA/UDMA/BisEMA = 1:1:1, by weight) in a ratio of AD/a mixture = 0, 5, 10, 20, 30, 40, 50 and 70% (by weight) unless specified. CQ (1.0% by weight) and DMAEMA (2.0%) were added for photo-initiation. TEGDMA was also used as reference in evaluating viscosities of the formulated liquids. The untreated glass Herculite XRV (0.7 microns) powders were used as fillers and treated with γ -(trimethoxysilyl)propyl methacrylate, following the published protocol [20]. A filler level at 75% (by weight) was used throughout the study unless specified.

Specimens were fabricated by thoroughly mixing the liquid with the treated fillers at room temperature according to the published protocol [20]. Briefly, the cylindrical specimens were prepared in glass tubing with dimensions of 4 mm in diameter by 8 mm in length for compressive strength (CS), 4 mm in diameter by 2 mm in length for diametral tensile strength (DTS), and 4 mm in diameter by 2 mm in depth for antibacterial tests. The rectangular specimens were prepared in a split Teflon mold with dimensions of 3 mm in width by 3 mm in thickness by 25 mm in length for flexural strength (FS) test. All the specimens were exposed to blue light (EXAKT 520 Blue Light Polymerization Unit, EXAKT Technologies, Inc., Oklahoma City, OK) for 2 min, followed by removing from the mold prior to testing.

3.3.5 Strength Measurements

CS, DTS and FS tests were performed on a screw-driven mechanical tester (QTest QT/10, MTS Systems Corp., Eden Prairie, MN), with a crosshead speed of 1 mm/min. The FS test was performed in three-point bending with a span of 20 mm between supports. Six to eight specimens were tested to obtain a mean value for each material or formulation in each test. CS was calculated using an equation of $CS = P/\pi r^2$, where P = the load at fracture and r = the radius of the cylinder. DTS was determined from the relationship $DTS = 2P/\pi dt$, where P = the load at fracture, d = the diameter of the cylinder, and t = the thickness of the cylinder. FS was obtained using the expression $FS = 3Pl/2bd^2$, where P = the load at fracture, l = the distance between the two supports, b = the breadth of the specimen, and d = the depth of the specimen.

3.3.6 Other Property Determinations

The viscosity of the formulated liquid was determined at 23 °C using a cone/plate viscometer (RVDV-II+CP, Brookfield Eng. Lab. Inc., MA, USA), as described elsewhere [26]. For exotherm measurement, the heat generated from the setting reaction of the resin composite was determined with a slightly modified ASTM F-451 procedure [26]. Briefly,

the composite paste was placed in a cylindrical Teflon mold with dimensions of 30 mm in diameter by 6 mm in height and covered with a Teflon plunger having holes for allowing the excessive paste to escape. A digital thermocouple (Fisher Scientific, Springfield, NJ) was inserted in the center of the resin composite and used to record the temperature change. The peak temperature was defined as the exotherm. The polymerization shrinkage was determined using an equation of $\% \text{ Shrinkage} = (1 - d_{\text{uncured}}/d_{\text{cured}}) \times 100$, where d_{cured} is the density of cured composite and d_{uncured} the density of uncured composite [27]. The densities of the uncured and cured composites were determined by weighing the uncured composite paste injected from a calibrated syringe which was used to determine the volume of the uncured paste and weighing the cured cylindrical specimen whose volume was measured in a calibrated buret in the presence of water, respectively. The mean values were averaged from three readings.

3.3.7 Antibacterial Test

The antibacterial test was conducted following the published procedures [20]. *S. mutans* was used to evaluate the antibacterial activity of the studied composites. Briefly, colonies of *S. mutans* were suspended in 5 mL of tryptic soy broth (TSB), supplemented with 1% sucrose, to make a suspension with 10^8 CFU/mL of *S. mutans*, after 24 h incubation. Specimens pretreated with ethanol (10 s) were incubated with *S. mutans* in TSB at 37°C for 48 h under 5% CO₂. After equal volumes of the red and the green dyes (LIVE/DEAD BacLight bacterial viability kit L7007, Molecular Probes, Inc., Eugene, OR, USA) were combined in a microfuge tube and mixed thoroughly for 1 min, 3 μ L of the dye mixture was added to 1 mL of the bacteria suspension, mixed by vortexing for 10 s, sonicating for 10 s as well as vortexing for another 10 s, and kept in dark for about 15 min, prior to analysis. Then 20 μ L of the stained bacterial suspension was analyzed using a fluorescent microscope (Nikon Microphot-FXA, Melville, NY, USA). Triple replica was used to obtain a mean value for each material.

3.3.8 Saliva Effect Test

Human saliva, obtained from a healthy volunteer, was centrifuged for 15 min at 12,000g to remove debris [21]. After the supernatant was filtered with a 0.45- μ m sterile filter, the filtrate was stored in a -20 °C freezer prior to testing. The sterilized composite specimen (refer to Section 2.3.4) was incubated in a small tube containing 1 ml of saliva at 37 °C for 2 h [21], followed by placing in 5 ml TSB supplemented with 1% sucrose. The rest of the procedures for antibacterial test are described in Section 2.3.4.

3.3.9 Aging of the Specimens

The specimens for both CS and antibacterial activity aging tests were conditioned in distilled water at 37 °C for 1 day, 3 days, 7 days and 30 days, followed by direct testing for CS (refer to Section 2.3.2 for details) and incubating with *S. mutans* for 48 h for antibacterial testing (refer to Section 2.3.4 for details).

3.3.10 Statistical Analysis

One-way analysis of variance (ANOVA) with the post hoc Tukey-Kramer multiple-range test was used to determine significant differences of mechanical strength and antibacterial tests among the materials or formulations in each group. A level of $\alpha = 0.05$ was used for statistical significance.

3.4 Results and Discussion

3.4.1 Characterization

Figure 3.2 shows the FT-IR spectra for DA, AC and AD. The characteristic peaks (cm^{-1}) are listed below: (a) DA: 3362 (O-H stretching on -OH), 1766 (C=O stretching on carbonyl group), 1644 (C=C stretching on internal C=C), 1332, 1237 and 949 (C-O-C

stretching on pseudo ester), 1451, 1026 and 778 (O-H deformation on pseudo -OH), 1279, 1118, 889 and 602 (C-O stretching on pseudo C-OH), 746 (C-Cl stretching); (b) AC: 1758 (C=O stretching on carbonyl group), 1610 (C=C stretching), 1395 and 1145 (C-H deformation on -C=C- group), 1284, 1074, 935 and 606 (C-O stretching on carbonyl group), 971 and 755 (C-H out of plane vibration on -C=C), 705 (C-Cl stretching); (c) AD: 1807 and 1764 (C=O stretching on carbonyl groups of both pseudo ester and acrylate), 1639 (C=C stretching on acrylate and internal C=C), 1500 (C-O-C deformation on newly formed ester), 1407 and 1137 (C-H deformation on C=C from acrylate), 1330 and 1232 (C-O-C stretching on pseudo ester), 1295, 1068, 934 and 608 (C-O stretching on carbonyl group), 985 (C-H out of plane vibration on -C=C), 889 (C-O stretching on newly formed ester), 804 and 670 (C-H vibration on newly formed C=C group), 745 (C-Cl stretching on Cl-C=C group). The disappearance of the peak at 3362 for pseudo hydroxyl group on DA and appearance of the new peaks at 1807, 1764, 1500, 804 and 670 for both carbonyl and C=C groups on acrylate confirmed the formation of AD.

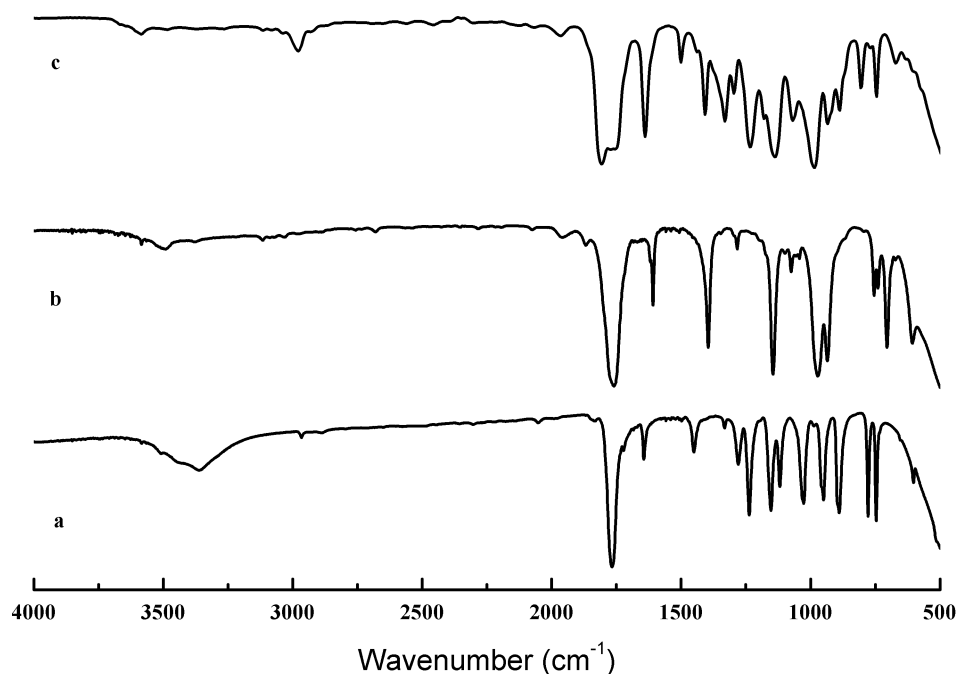


Figure 3.2. FT-IR spectra for DA, AC and AD: (a) DA; (b) AC and (c) AD

Figure 3.3 shows the ^1H NMR spectra for DA, AC and AD. The chemical shifts (ppm) are shown below: (a) DA: 6.25 (-CH) and 3.45 (-OH); (b) AC: 6.21, 6.05 and 5.82 ($\text{H}_2\text{C}=\text{CH}-$); (c) AD: 7.20 (-CH), 6.55, 6.30 and 6.15 ($\text{H}_2\text{C}=\text{CH}-$). The chemical shift at 2.50 shown in all the spectra was for solvent d-DMSO. The disappearance of the chemical shift at 3.45 (-OH) and all the chemical shifts towards a high field confirmed the formation of AD.

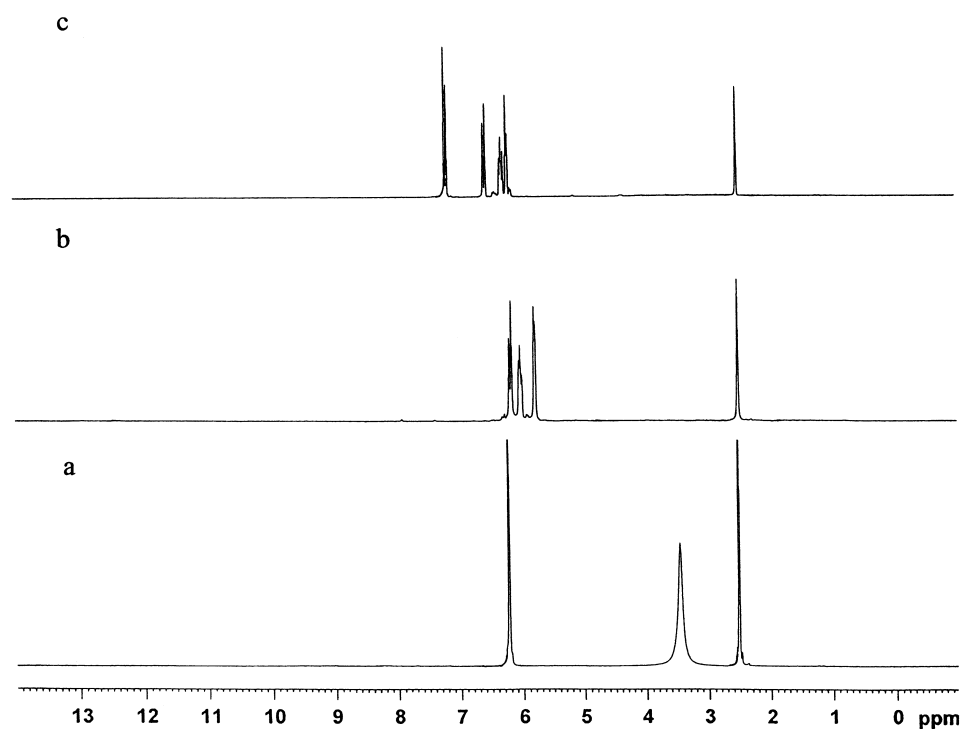


Figure 3.3 ^1H NMR spectra for DA, AC and AD: (a) DA; (b) AC and (c) AD

3.4.2 Evaluations

Table 3.1 shows the effects of different oligomer mixtures and filler loading on compressive strengths of the experimental resin composites and on viscosity of the resin liquid. For the effect of oligomer mixtures, the mean strength value was in the decreasing

order of: YS (MPa): BisGMA/UDMA > BisGMA/TEGDMA > BisGMA > BisGMA/UDMA/BisEMA > BisGMA/BisEMA; M (GPa): BisGMA/TEGDMA > BisGMA/UDMA > BisGMA > BisGMA/UDMA/BisEMA > BisGMA/BisEMA; CS (MPa): BisGMA/TEGDMA > BisGMA/UDMA/BisEMA > BisGMA/UDMA > BisGMA = BisGMA/BisEMA; Viscosity (cp): BisGMA >> BisGMA/UDMA > BisGMA/BisEMA > BisGMA/UDMA/BisEMA > BisGMA/TEGDMA. For the effect of filler loading, YS: 3.0 > 2.3 > 3.3 > 2.7; M: 3.0 > 3.3 > 2.7 > 2.3; CS: 3.0 > 3.3 > 2.7 > 2.3.

Table 3.1. Effects of different oligomer mixtures and filler loading on compressive strengths of the composites

	YS ³ [MPa]	M ⁴ [GPa]	CS [MPa]	Viscosity ⁵ (cp)
<i>Effect of oligomer¹</i>				
BisGMA/BisEMA	83.8 (7.7) ⁶	4.53 (0.06)	284.8 (15) ^e	528
BisGMA/TEGDMA	133.1 (9.2) ^a	7.01 (0.16) ^c	302.6 (18) ^e	345
BisGMA/UDMA	139.9 (12) ^a	6.88 (0.05) ^c	290.4 (16) ^e	882
BisGMA/UDMA/BisEMA	115.4 (6.1) ^b	5.89 (0.19) ^d	297.4 (15) ^e	478
BisGMA	118.5 (10) ^b	6.12 (0.07) ^d	285.6 (9.3) ^e	N/D
<i>Effect of filler loading²</i>				
2.3	108.2 (10) ^f	5.13 (0.34) ^g	275.9 (12) ⁱ	384
2.7	103.1 (4.0) ^f	5.18 (0.21) ^g	279.9 (12) ⁱ	384
3.0	121.5 (18)	6.14 (0.53) ^h	317.0 (13)	384
3.3	105.5 (6.1) ^f	6.05 (0.22) ^h	293.4 (8.4)	384

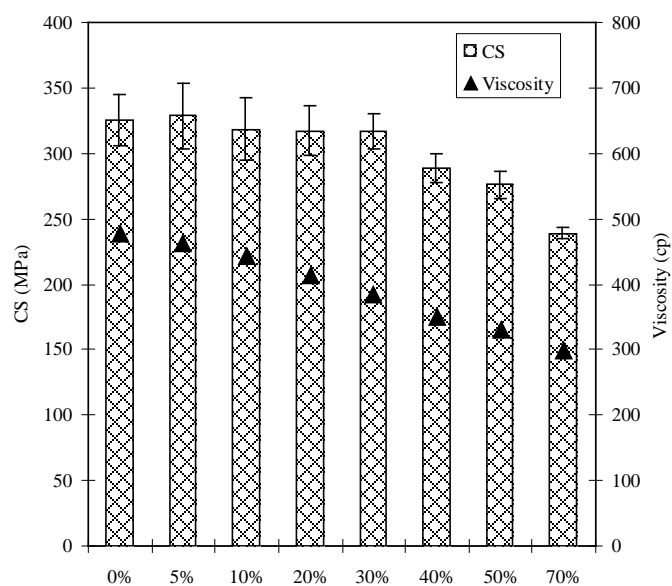
¹The liquid oligomers were mixed in 1:1 and 1:1:1 ratios (by weight) without AD addition; The filler/resin ratio = 3.0 or 75% (by weight). ²The resin was composed of AD, BisGMA, UDMA and BisEMA, where AD = 30% and BisGMA/UDMA/BisEMA = 1:1:1; ³YS = CS at yield; ⁴M = compressive modulus; ⁵Viscosity was determined from the liquid resin only and N/D = not determined due to the extremely high viscosity of BisGMA; ⁶Entries are mean values with standard deviations in parentheses and the mean values with the same letter in each category were not significantly different ($p > 0.05$). Specimens were directly used for CS testing.

Figure 3.4 shows the effect of the AD content on CS and *S. mutans* viability of the experimental composites. For CS (Figure 4a), the mean CS value (MPa) was in the decreasing order of 5% > 0% > 10% = 20% = 30% > 40% > 50% > 70%, where there were no statistically significant differences among 0%, 5%, 10%, 20% and 30% and between 40% and 50% ($p > 0.05$). The AD addition did not change the CS of the composites until reaching 40%. From 40% to 70%, CS decreased 11-27% of its original value. The viscosity value was in the decreasing order of 0% > 5% > 10% > 20% > 30% > 40% > 50% > 70%. For the *S. mutans* viability (Figure 4b), increasing the AD content significantly decreased the *S. mutans* viability. The mean viability values were decreased from 82 to 1% with 5 to 70% AD addition and all the values were significantly different from each other ($p < 0.05$).

Figure 3.5 shows the effect of human saliva on the *S. mutans* viability after culturing with the experimental composites. No statistically significant differences in the *S. mutans* viability were found between the experimental composites with and without human saliva treatment.

Figure 3.6 shows the effect of the composite aging in water on CS and *S. mutans* viability. For CS (Figure 6a), After 7-day aging in water, all the composites with AD addition showed no statistically significant differences in CS from each other ($p > 0.05$), regardless filler loading or aging time. For the *S. mutans* viability (Figure 6b), no statistically significant differences ($p > 0.05$) were found after 7-day aging at the same filler loading, although a slight increase was noticed.

a



b

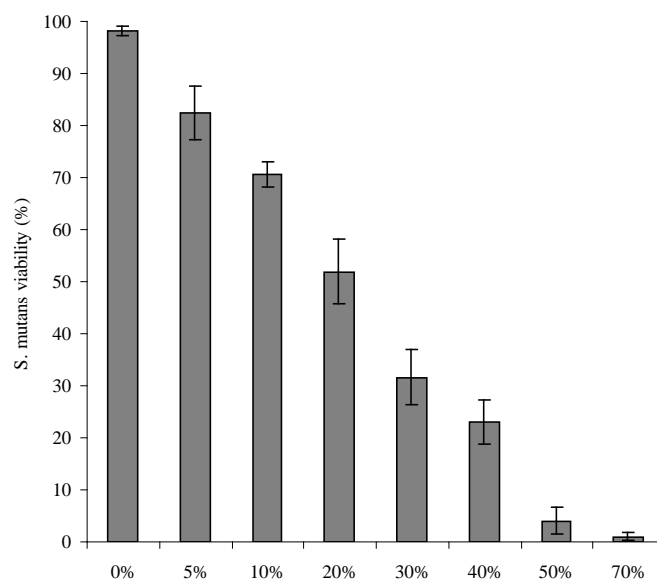


Figure 3.4 Effect of the AD content on the viscosity of the resin liquid formulations and CS as well as *S. mutans* viability of the experimental composites: a. Effect on CS and viscosity; b. Effect on the *S. mutans* viability. AD content (% by weight) = AD/(AD/BisGMA/UDMA/BisEMA), where BisGMA/UDMA/BisEMA = 1:1:1; The filler/resin ratio = 3.0 or 75% (by weight). For CS, specimens were directly used for the testing. For the *S. mutans* viability, specimens were incubated with *S. mutans* before antibacterial testing.

Table 3.2 shows a property comparison of the resin composites with and without AD addition. The mean values for yield strength (YS), compressive modulus (M), CS, diametral tensile strength (DTS) and flexural strength (FS) were in the decreasing order of: YS (MPa): 5% > 0% > 10% > 30%, where 0% and 5% were not statistically significantly different from each other ($p > 0.05$); M (GPa): 5% > 0% > 10% > 30%, where 0% and 5% were not statistically significantly different from each other ($p > 0.05$); CS (MPa): 0% = 5% = 10% = 30% ($p > 0.05$); DTS (MPa): 0% > 5% > 10% > 30%, where 0%, 5% and 10% were not statistically significantly different from one another; FS (MPa): 0% > 5% > 10% > 30%, where 5% and 10% were not statistically significantly different from each other ($p > 0.05$). The mean values for shrinkage, exotherm and *S. mutans* viability were in the decreasing order of: Shrinkage (%): 30% > 10% > 5% > 0%; Exotherm ($^{\circ}\text{C}$): 30% > 10% > 5% > 0%; *S. mutans* viability (%): 0% > 5% > 10% > 30%

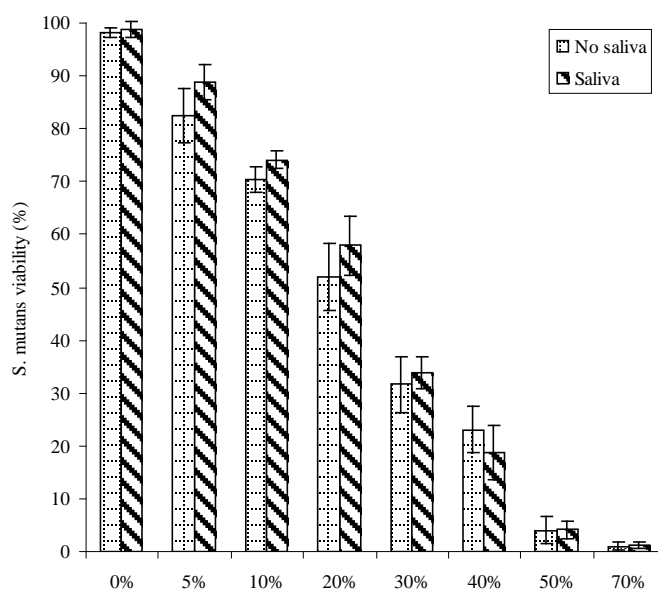
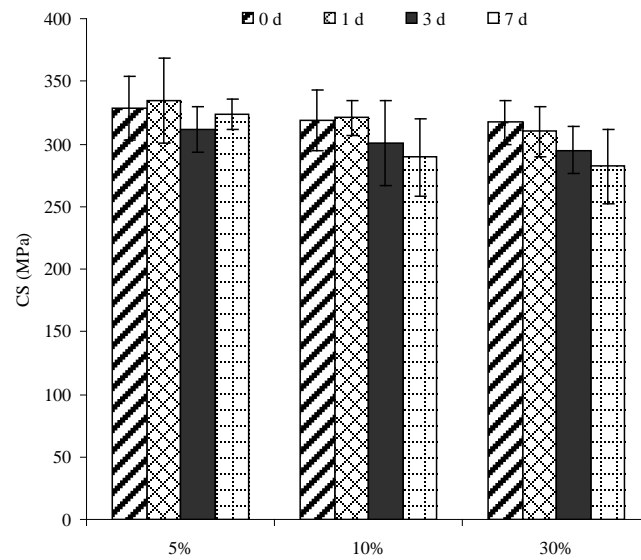


Figure 3.5 Effect of human saliva on the *S. mutans* viability after culturing with the composites: The formulations were the same as those described in Figure 4. Specimens were soaked in human saliva at 37 $^{\circ}\text{C}$ for 2 h, followed by incubating with *S. mutans* before antibacterial testing

a



b

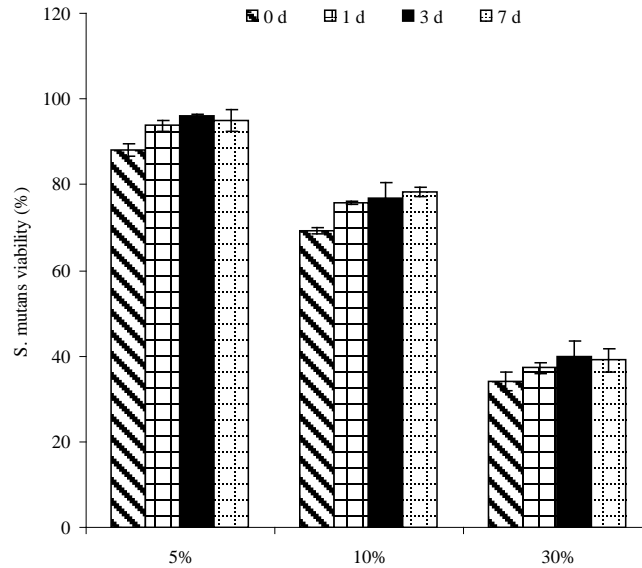


Figure 3.6 Effect of aging on CS and *S. mutans* viability of the experimental composites: a. Effect on CS and b. effect on the *S. mutans* viability. The formulations were the same as those described in Figure 4. Specimens were conditioned in distilled water at 37 °C for 1, 3 and 7 days prior to testing.

Table 3.2. Comparison of properties of the resin composites with and without AD addition¹

AD (wt %)	YS [MPa]	M [GPa]	CS [MPa]	DTS ² [MPa]	FS ³ [MPa]	Shrinkage (%)	Exotherm (°C)	Viability (%)
0	141.0 (6.7) ^a ₄	6.99 (0.04) ^b	325.1 (19) ^c	61.1 (1.9) ^d	123.9 (5.6)	2.09 (0.23)	2.7	98.3 (0.8)
5	151.2 (8.5) ^a	7.03 (0.07) ^b	328.6 (21) ^c	59.1 (2.1) ^d	106.9 (5.4) ^e	2.36 (0.15)	3.1	82.4 (5.2)
10	136.7 (5.4)	6.68 (0.12)	318.5 (22) ^c	55.8 (1.4) ^d	101.5 (5.6) ^e	3.23 (0.25)	3.5	70.5 (2.4)
30	115.4 (6.0)	5.89 (0.19)	317.0 (13) ^c	47.3 (5.4)	82.1 (3.9)	4.99 (0.32)	4.2	31.6 (9.0)
P-60 ⁵	157.2 (3.8)	7.44 (0.14)	348.5 (11)	71.2 (0.9)	139.3 (4.2)	1.34 (0.10)	2.3	97.2 (1.1)

¹The formulations were the same as those described in Figure 4. ²DTS = diametral tensile strength; ³FS = flexural strength; ⁴Entries are mean values with standard deviations in parentheses and the mean values with the same superscript letter were not significantly different (p > 0.05); ⁵P-60 = commercial resin composite. Specimens were directly used for all the property testing without aging.

3.4.3 Discussion

In preventive restorative dentistry, secondary caries is a critical issue and prevention of secondary caries plays a key role in long-lasting restorations [1-4]. So far most researchers have been focused on the studies related to release or slow-release of various incorporated low molecular weight (MW) antibacterial agents such as antibiotics, zinc ions, silver ions, iodine and chlorhexidine (CHX) [5-9]. Yet release or slow-release can lead or has led to a reduction of mechanical properties of the restoratives over time, short-term effectiveness, and possible toxicity to surrounding tissues if the dose or release is not properly controlled [5-9]. Recently research on killing bacteria by touch or simple contact has attracted a special attention [11-20]. Materials containing quaternary ammonium salt (QAS) groups have been studied extensively as an important antimicrobial material and used for a variety of applications due to their potent antimicrobial activities [10-19]. These materials are found to be capable of killing bacteria that are resistant to other types of cationic antibacterials [15]. However, our recent study found that incorporation of QAS into dental resin composites can significantly decrease mechanical strengths due to its strong hydrophilic characteristics, if the amount added is beyond a certain limit [20]. In addition, it has been reported that human saliva can significantly decrease the antibacterial activity of the QAS-containing restoratives, probably due to the electrostatic interactions between QAS and proteins in saliva [21-22]. Furanone-containing materials are reported to have a broad range of biological and physiological properties including antitumor, antibiotic, haemorrhagic and insecticidal activity [23-25], although the biological mechanism of these derivatives is still under investigation [25]. To explore the application of these compounds in dental research, we synthesized a photocurable furanone derivative and applied it to dental resin composites. The following discussion demonstrates how the newly synthesized AD was incorporated into a dental composite formulation and its effect on some mechanical, physical and antibacterial properties of the formed dental composite.

It is known that commercially available dental resin composites usually contain 70-80% inorganic fillers and 20-30% of a liquid mixture of BisGMA and TEGDMA or

BisGMA with one or two other oligomers including UDMA, BisEMA and TEGDMA [5]. In formulating the liquid for resin composites, viscosity is one of the very important criteria [5] because it determines what percentage of fillers can be incorporated and what properties the formed system might have. During the study, we found that the newly synthesized AD has a low viscosity (154 cp), which is just a little bit higher than that for TEGDMA (132 cp). To avoid having unexpected low viscosity values and mimic commercial resin composite systems, we decided to make a new formulation that contains AD while eliminating TEGDMA (commonly used in commercial resin composites) [5]. The oligomers including BisGMA, BisEMA and UDMA were used to formulate the liquid. While formulating the liquid, we also tested the corresponding CS of the formulated composite. As shown in Table 1, the mixture of BisGMA/UDMA/BisEMA showed a higher CS and appropriate viscosity value (478 cp). With this viscosity, the liquid mixture could be used to formulate with AD without changing the viscosity significantly, as compared to the liquid formulation used in commercial resin composites (BisGMA/TEGDMA = 345 cp). In fact, the measured viscosity values for the liquid formulations composed of AD, BisGMA, BisEMA and UDMA (BisGMA/UDMA/BisEMA = 1:1:1) were in the range of 463 to 298 cp corresponding to 5-70% AD addition (see Figure 4a). In order to determine how much fillers should be incorporated into the resin, we evaluated the effect of the filler content on CS and found that the filler/liquid ratio of 3.0 or 75% showed the highest YS, modulus and CS values (see Table 1). Thus we chose the mixture of BisGMA/UDMA/BisEMA and 75% filler to formulate the antibacterial resin composites.

Next, we evaluated the effect of the AD content on CS and *S. mutans* viability of the experimental composites. From the results in Figure 4a, increasing AD did not decrease the CS of the composites until reaching 40%. From 40 to 70%, CS decreased 11-27% of its original value. For the *S. mutans* viability (Figure 4b), increasing the AD content significantly decreased the *S. mutans* viability. The mean viability values were decreased from 82 to 1% with 5 to 70% AD addition. Apparently with 30% AD addition, the resin composite showed the best antibacterial properties without decreasing CS. In

other words, the resin composite with 30% AD seemed to be the optimal formulation for this new antibacterial dental composite.

Figure 3.5 shows the effect of human saliva on the *S. mutans* viability after culturing with the experimental composites. No statistically significant differences in the *S. mutans* viability were found between the composites with and without human saliva treatment. It has been noticed that saliva can significantly reduce the antibacterial activity of the QAS or PQAS-containing materials based on the mechanism of contact inhibition [21, 22]. The reduction was attributed to the interaction between positive charges on QAS or PQAS and amphiphilic protein macromolecules in saliva, thus leading to formation of a protein coating which covers the antibacterial sites on QAS or PQAS [21, 22]. Unlike QAS or PQASA, AD does not carry any charges. That may be why the AD-modified resin composites did not show any reduction in antibacterial activity after treating with saliva.

To mimic the resin composites in oral environment, the composites were aged in distilled water at 37 °C for 1, 3 and 7 days. The results in Figure 3.6 show that all the composites with AD addition showed no statistically significant changes in CS (Figure 3.5a), regardless filler loading or aging time. No statistically significant changes in the *S. mutans* viability were noticed either. This may indicate that most AD derivatives were firmly incorporated into the composites without noticeable leaching.

Finally we measured YS, M, CS, DTS, FS, shrinkage, exotherm and *S. mutans* viability of the resin composites with and without AD addition for all the specimens that we have. As shown in Table 2, the composites with 5-30% AD addition were 7-18% in YS, 0-15% in modulus, 0-2% in CS, 3-22% in DTS, 13-22% in FS and 16-68% in the *S. mutans* viability lower but 0.13-1.38 times in shrinkage and 0.14-0.56 times in exotherm higher than the composite without AD. All these changes can be attributed to the AD addition. Unlike those dimethacrylates used in dental resin composites, AD is a monoacrylate, which can lead to a decrease in strengths. With increasing AD, shrinkage

and exotherm were increased, which can be attributed to the increased quantities of carbon-carbon double bonds (C=C) from AD.

3.5 Conclusions

We have developed a novel furanone-containing antibacterial resin composite. The modified composite showed a significant antibacterial activity without substantially decreasing the mechanical strengths. Human saliva did not affect the antibacterial function of the new composite. The aging study indicates that the composite may have a long-lasting antibacterial function. Within the limitations of this study, it appears that the experimental resin composite may potentially be developed into a clinically attractive dental restorative due to its high mechanical strength and antibacterial function.

4. CONCLUSION

We have prepared and evaluated both QAB- and furanone-containing antibacterial dental resin composite systems. For QAB-modified resin composites, increasing chain length and loading significantly enhanced the antibacterial activity but dramatically reduced the CS. The long-term aging study showed that the incorporation of the QAB accelerated the degradation of the composite, suggesting that the QAB may not be well suitable for development of antibacterial dental resin composites or at least the QAB loading should be well controlled. For furanone-modified system, with 5 to 30% addition of the furanone derivative, the composite kept its original CS unchanged but showed a significant antibacterial activity with a 16-68% reduction in the *S. mutans* viability. The human saliva did not affect the antibacterial activity of the furanone-containing composites. The long-term aging study indicates that the furanone-modified composites may have a long-lasting antibacterial function, due to its unchanged CS and antibacterial properties.

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