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# High-Throughput Tensile Testing Reveals Stochastic Properties in Additively Manufactured Steel

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**High-Throughput Tensile Testing Reveals Stochastic  
Properties in Additively Manufactured Steel**

**by**

Bradley C. Salzbrenner

B.S. in Mechanical Engineering, Northern Arizona University, 2010

THESIS

Submitted in Partial Fulfillment of the  
Requirements for the Degree of

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**Mechanical Engineering**

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## DEDICATION

This thesis is dedicated to my mother and father,

**Shirley and Richard Salzbrenner**

who taught me the value of hard work and perseverance.

Without your love and support this work would not have been possible.

Thanks for all you have done for me!

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# **High-Throughput Tensile Testing Reveals Stochastic Properties in Additively Manufactured Steel**

by

**Bradley C Salzbrenner**

**B.S. in Mechanical Engineering, May, 2010**

**M.S. in Mechanical Engineering, May 2017**

## **Abstract**

An adage within the Additive Manufacturing (AM) community is that “complexity is free”. Complicated geometric features that normally drive manufacturing cost and limit design options are not typically problematic in AM. While geometric complexity is usually viewed from the perspective of part design, this advantage of AM also opens up new options in rapid, efficient material property evaluation and qualification. This Thesis demonstrates how 100’s of miniature tensile bars can be produced and tested for comparable cost and in comparable time to a few conventional tensile bars. With this technique, it is possible to evaluate the stochastic nature of mechanical behavior and capture the statistical nature of mechanical properties. As a proof of concept, the technique is demonstrated on a precipitation hardened stainless steel alloy, commonly known as 17-4PH, produced by two commercial AM vendors using a laser powder bed fusion process, also commonly known as selective laser melting. Using two different commercial powder bed platforms, the vendors produced material that exhibited slightly lower strength and markedly lower ductility compared to wrought sheet. After demonstrating vendor to vendor variability, one vendor was chosen to produce 1000’s of tensile samples to explore within-build and between-build variability. Such a large dataset is seldom available in conventional materials evaluation and revealed rare defects that were only present in ~2% of the population. Worst-case failures were associated with residual porosity. To address the deleterious effect of porosity, the study

examined a hot isostatic pressing process that collapsed a vast majority of the internal voids. Lastly, hardness testing which is an alternative high-throughput material evaluation technique was used to make a comparison between strength values obtained by tensile tests to those approximated by hardness testing. It is shown that hardness testing can be an appropriate technique for estimating the strength of wrought 17-4PH, but has a non-conservative error in strength estimations for AM 17-4PH.

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## **Chapter 1 – Introduction**

Additive manufacturing (AM), also known as rapid prototyping or 3D printing, is a process for rapidly building an object layer by layer. This process can involve different materials like polymers, steels and even ceramics [1, 2] and is best suited for geometries with features that do not conform to orthonormal structures or shapes. The AM process of interest in the current study is powder bed fusion, defined in ISO/ASTM 52900 [3]. Variations of powder bed fusion are also known by common names such as Selective Laser Sintering [4], Direct Metal Laser Sintering [5], Selective Laser Melting [6], and Electron Beam Melting [7], as well as proprietary names such as Direct Metal Printing (3D Systems, Inc.) or LaserCUSING® (Concept Laser GmbH). In these processes, a layer of unfused powder is placed on the build tray and locally fused through the directed application of an external heat source, typically a laser or electron beam. Sequential layers are built-up and fused together in this layer-by-layer process. The use of a bed of packed powder distinguishes this process from other common metal AM processes such as Laser Engineered Net Shaping [8] or Direct Metal Deposition [9] in which the powder is dynamically injected into the focal point of the laser.

### **Why Additive Manufacturing**

AM can have a profound impact on innovation by decreasing on the time between concept, design, build and testing and also eliminating the costly and lengthy time spent machining parts. There are three unique uses of AM: 1) tooling/fixturing, 2) visual prototypes and mass mocks, and 3) service hardware. The only area of use that requires good mechanical properties is service hardware.

The prototyping phase of any design can take months because of the slow turn-around of conventional machining practices. Most AM parts can have an automated price quote, be built in a matter of hours and delivered within one week [10]. However, the full benefit of this rapid turn-around cycle cannot be fully realized unless all elements of the design-production-qualification route are streamlined.

The current engineering design paradigm is based on design for manufacture and ensuring the part/s can be produced by conventional methods (milling, turning, casting, etc). AM allows this paradigm to be completely inverted by allowing the design to be based purely on functional requirements. Using design optimization software, engineers can disregard the restrictions from conventional manufacturing methods and ensure the functional requirements of the part are fulfilled, like meeting necessary weight and loading limitations [11]. Not only can design optimization software ensure parts will be strong enough for a desired application, but it can also have economic implications by ensuring the minimum amount of material is used for a given part [12]. This extends to environmental benefits by reducing the amount of waste.

Most small volume production runs using conventional manufacturing methods are expensive and time consuming. Tool paths need to be defined for CNC machining and custom molds need to be developed for injection molding. AM requires no expertise and needs only a computer model to build a part. Whether 1 or 100 parts will be built by AM, the cost remains approximately the same. In addition to geometric flexibility, the ability to build an essential component within days is what makes AM so attractive.

## **Current State of AM**

AM is currently used in a wide variety of industries including biomedical, aerospace, automotive and consumer goods. In the biomedical field AM has been applied to the fabrication of medical implants, tissue scaffolds and surgical models. In aerospace, Optomec has recently used an AM process called laser engineered net shaping to create components for jet engines, helicopters and satellites [13]. In the automotive industry, luxury vehicle manufacturers are using AM to test ergonomics as well as build functional components like drive shafts and gear box components. These applications often involve small production runs and complex geometries; parts are generally not used in high consequence applications due to their inconsistent mechanical performance.

Inconsistency, intrinsic in every manufacturing process, drives the need for quality control principles [14]. As emerging manufacturing processes mature, they evolve towards greater efficiency and consistency. While metal additive manufacturing has been developed over the past several decades, variability continues to be a notable challenge [15, 16]. Early focus in AM maturation has prioritized achieving the desired shape of geometric features. However, there is an increasing awareness of variability in performance metrics beyond form and finish. To combat this variability, most current high-reliability AM components are developed under the premise of point-based process qualification. In point-based qualification, all controllable process variables are 'locked down' once functional requirements are satisfied, and the component is qualified only for those conditions. Any time a single parameter is altered, the process must be re-qualified which can be a time consuming and costly process. Hence the need for rapid qualification approaches.

Some of the most common defects that arise in metal powder bed additive manufacturing which give rise to stochastic performance include voids, channels bridging many layers [17], and partially melted and sintered particles [18]. For laser powder bed fusion, the type, size, and spatial distribution of defects is dependent on a number of factors, including: powder chemistry, particle size distribution, printer atmosphere, laser power, traverse rate, hatch pattern, part thickness, and build orientation [19-22]. Many of these parameters can vary within a build, between builds, or from vendor to vendor, leading to a lack of consistency in material performance.

### **High Throughput Testing**

The development of complementary techniques that can rapidly qualify manufactured parts, either in-process or immediately post-process, is beneficial to capitalize on the agility of AM [23]. Moreover, these techniques must be capable of evaluating statistically-relevant populations of properties which vary due to aleatory process changes, or explore effects of intentional process changes (e.g. combinatorial studies).

Hardness measurements are quick and easy and are widely used as a first indication of mechanical properties [24]. This aligns well with the agility of AM but unlike tensile testing, hardness tests lack the ability to determine critical properties like toughness and ductility [25]. Surface preparation for hardness testing is crucial [26] to providing accurate data which adds an extra and time consuming step. Hardness indentations can also sample porosity that is inherent to current AM parts which would lead to erroneously low hardness, and therefore, false estimations of strength.



**An efficient method to destructively evaluate the stochastic material properties on a build-by-build basis is a necessary element to include within a suite of in-process and post-process non-destructive and destructive evaluations.** Like any destructive evaluation technique, the tensile test can only be used to infer quality of adjacent features under the assumption of similitude. For this reason, the tensile test can complement other diagnostics to develop model-based correlations for reliability.

Conventional tensile testing is costly, time consuming, and not well suited for obtaining large data sets. To take full advantage of the quick turn-around time inherent to AM, a new high-throughput tensile testing approach was developed. This new approach provides an extensive assessment of stochastic variability in mechanical properties. With this new approach, hundreds of high-fidelity tensile tests can be performed in a similar time and for similar cost to a few conventional tests.

This thesis demonstrates the high-throughput testing methodology and ensuing analysis of stochastic mechanical properties in a precipitation hardenable stainless steel, commonly known as alloy 17-4PH produced by laser powder bed fusion. Precipitation-hardening stainless steels such as 17-4PH have been developed to provide high toughness and strength while maintaining the benefit of corrosion resistance [27]. Precipitation-hardening alloys are particularly amenable to AM because the mechanical properties can be controlled via post-deposition heat-treatment. While some stainless steel alloys made by additive manufacturing have been shown to have average properties that can be comparable to wrought [28] or cast [29] product, generally these previous studies involve only a few measurements with very limited detail regarding the *variability* in mechanical properties.

This thesis has five primary objectives: (1) Introduce the reader to the high-throughput tensile testing methodology that was used to collect the data in chapters 3-5; (2) demonstrate the efficacy of high-throughput testing to extract statistical distributions of mechanical properties of nominally-identical material produced by independent commercial vendors; (3) Identify the defects associated with lower-tail worst-case performance and statistical outliers; (4) evaluate a standard method of hot isostatic pressing to help improve material performance by collapsing internal porosity; and (5) compare material properties to those obtained using an alternative high-throughput method like hardness indentation.

## Chapter 2 – Test Methods and Materials

### Material and Specimen Design

Tensile specimens were fabricated from precipitation-hardened 17-4PH, also known as Alloy 630 or AMS 5604 (UNS number S17400). While 17-4PH is the commonly used name for this alloy in wrought form, similar casting variations include CB7Cu-1 (UNS J92180) and AMS 5342-5344 (UNS J92200). This alloy was produced from powder feedstock directly using a powder bed fusion processes at two vendors using different commercial systems. Vendor 1 utilized a ConceptLaser Mlab printer and Vendor 2 utilized a 3DSystems ProX™ 300 printer. Both machines employ a laser-based powder bed fusion process to produce a three-dimensional metallic object. Both vendors produced net-shaped tensile bars with the tensile axis parallel to the vertical build direction. This resulted in the individual print layers arranged in a laminate structure perpendicular to the tensile axis. The layer thickness of parts manufactured by the Concept Laser Mlab printer was 20 $\mu\text{m}$  and by the 3DSystems ProX™ 300 printer was 40 $\mu\text{m}$ . Aside from the build orientation and specimen geometry, no additional constraints were placed on the process parameters such as laser power, traverse rate, powder chemistry, feedstock source, etc. Instead, each vendor utilized their proprietary expertise to select appropriate conditions that would achieve nominal 17-4PH components. In this way, the present study is not intended to be a systematic assessment of process parameter effects on resulting properties, but rather an illustration of the variation that can be observed when requesting nominally identical manufactured components. After printing, the AM tensile specimens were solution treated (1037°C/1 hr/air cool) and subsequently aged to the H900 condition (482°C/1 hour/air cool). To

complement the population of AM tensile bars, geometrically-equivalent tensile specimens were also electro-discharge machined from 1 mm thick commercially-produced wrought sheet supplied in Condition A and heat treated to the same nominal H900 condition. Samples were machined with the tensile axis parallel to the rolling direction.

The nominal tensile bar geometry is shown in Figure 1. The nominal width and thickness of the specimen gauge section were both 1mm. The nominal gauge length was 4 mm. The geometry deviates from ASTM E8 standard geometries [30] to eliminate unprintable horizontal overhang features and facilitate a compact, cost-efficient test sample volume. The unusual 45-degree wedge-shaped end sections of the rectangular dog-bone tensile bars not only eliminated overhang issues, but also facilitated self-alignment in the open-face grips. A layout of 120 tensile samples was recommended to the vendors as shown in Figure 1. While Vendor 1 produced this exact arrangement (Figure 1b), Vendor 2 added protective structural sidewalls to prevent the print wiper/roller from bending the vertical tensile bars. Vendor 1 was able to avoid bending without the protective barriers, presumably due to differences in the print roller. The ProX™ machine utilizes a rigid roller and the MLab™ machine utilizes a more compliant wiper.

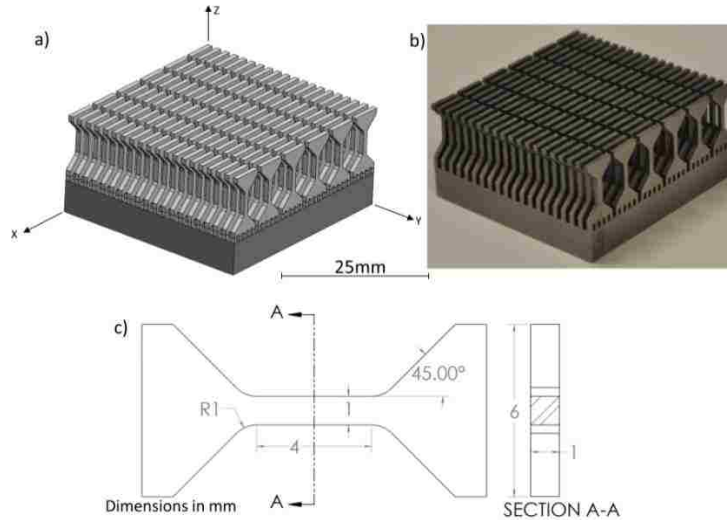


Figure 1: a) Build array of 120 tensile specimens. b) Printed array of 120 tensile specimens. c) tensile specimen geometry.

The chemical composition of each material was measured by inductively coupled plasma mass spectroscopy. Light elements including carbon, nitrogen, oxygen, and sulfur were measured using LECO combustion analysis. Table 1 lists measured compositions. Industrial alloy specification limits for investment cast and wrought sheet 17-4PH are also included for reference. While the composition was generally consistent with the 17-4PH alloy, the AM parts exhibited elevated copper, oxygen, and nitrogen.

Table 1: Chemical Composition of 17-4. "NS"=None Specified:

Composition	Carbon	Manganese	Silicon	Phosphorus	Sulfur	Chromium	Nickel	Niobium	Copper	Oxygen	Tantalum	Aluminum	Tin	Nitrogen	Molybdenum	Iron
<b>Wrought Specification AMS5604</b>	0.07 Max.	1.00 Max.	1.00 Max.	0.04 Max.	0.03 Max.	15.00-17.5	3.00 - 5.00	0.45 Max.	3.00 - 5.00	NS	NS	NS	NS	NS	0.05 Max.	Balance
<b>Wrought Sheet</b>	0.042	0.51	0.21	.026	<0.001	15.65	4.67	0.28	3.24	0.0036	0	0	0	0.023	0.28	
<b>Cast Specification AMS5344</b>	0.06 Max.	0.70 Max.	0.50 - 1.00	0.025 Max.	0.025 Max.	15.50 - 16.70	3.60 - 4.60	0.15 - 0.40	2.80 - 3.50	NS	0.05 Max.	0.05 Max.	0.02 Max.	0.05 Max.	NS	
<b>Vendor 1</b>	0.012	0.24	0.38	0.019	0.003	16.64	4.24	0.3	4.05	0.100	0	0	0	0.056	0.045	
<b>Vendor 2</b>	0.021	0.46	0.62	.023	0.006	16.51	4.53	0.29	4.08	0.083	0	0	0	0.15	0.17	

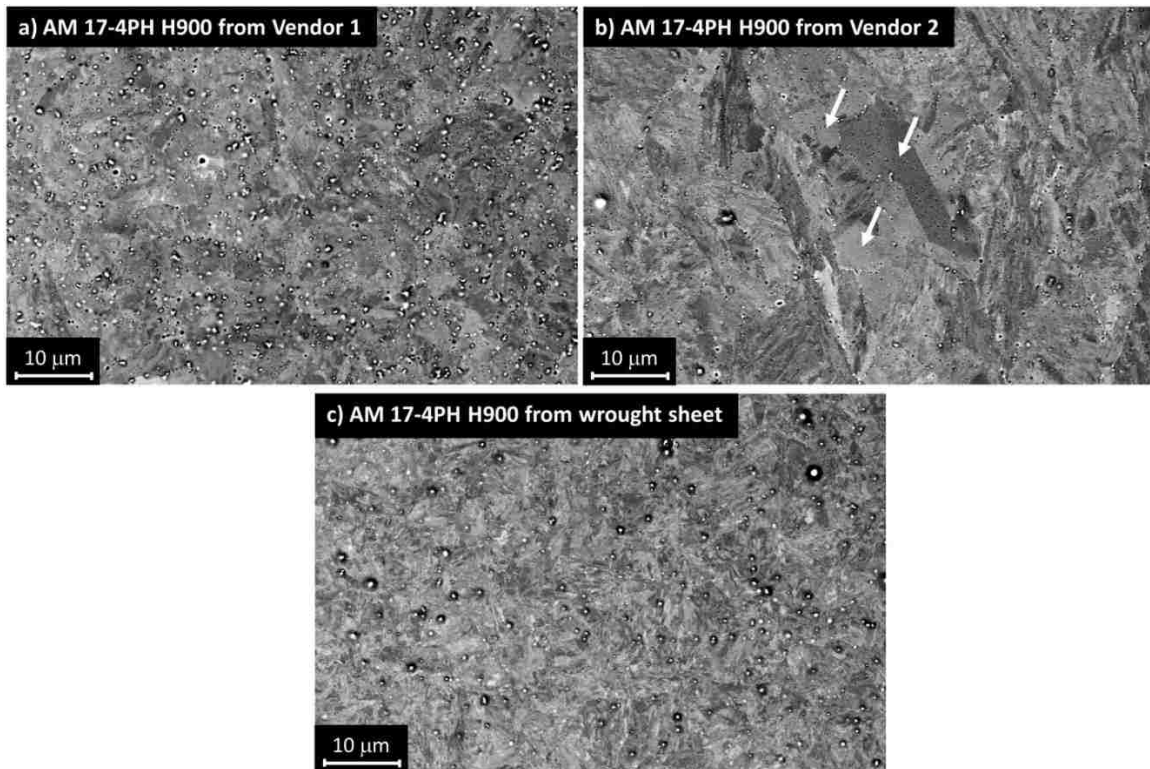
The tensile specimens in this study were produced in the final shape by the AM process with no post-process machining. Vendor 1 removed loose particles and improved the surface finish through a bead blasting process whereas Vendor 2 provided as-printed parts. The surface roughness of the tensile bars was measured using a Bruker ContourGT-I 3D Optical Microscope and are shown in Table 2.  $R_a$  is the average roughness of the sample and  $R_p$  is the distance from the average roughness to the highest feature over the inspected area (Figure 10). The rough surfaces of the AM material obscured accurate dimensional measurements and in the case of Vendor 2, appeared to be the origin of premature tensile failures, as addressed in detail in Chapter 3.

*Table 2: Surface roughness measurements of AM tensile bars from Vendor 1, Vendor 2, and wrought.*

	<b><math>R_a</math> (<math>\mu\text{m}</math>) (<math>\pm 0.1 \mu\text{m}</math>)</b>	<b><math>R_p</math> (<math>\mu\text{m}</math>) (<math>\pm 0.6 \mu\text{m}</math>)</b>
<b>Vendor 1</b>	5.6	36
<b>Vendor 2</b>	18	82
<b>Wrought</b>	0.05 (on as-rolled surface) 2.6 (on EDM surface)	6.6 (on as-rolled surface) 33 (on EDM surface)

Figure 2 shows representative backscatter electron micrographs of electropolished 17-4PH wrought sheet and AM material produced by Vendors 1 and 2. The wrought sheet microstructure (Figure 2c) heat-treated to the H900 condition shows a matrix of lath martensite with uniformly distributed equiaxed particles that appear bright in the image. These particles are associated with the MC-type Nb carbides expected in heat treated 17-4PH [31-33]. The as-heat-treated AM microstructures from both vendors (Figure 2a and b) show predominantly martensitic microstructures with similar dispersed submicron Nb-rich second phase as observed in the wrought material. The backscatter electron micrographs of both AM microstructures are largely devoid of solidification substructure due to the diffusional and martensitic transformations following solidification plus the

additional phase transformations occurring during the solution treating and age heat treatment thermal cycles. The microstructure of Vendor 2 (Figure 2b), shows grains without any intragranular contrast formation associated with lath martensite—these grains are denoted with arrows. These regions were identified using electron backscatter diffraction as austenite retained after heat treatment. X-ray diffraction indicated ~30% volume fraction of retained austenite in the Vendor 2 microstructure, consistent with prior reports of retained austenite present in AM 17-4PH by [34], [28], and [35]. The austenite phase is a lower strength phase that does not gain strength during an aging heat treatment.



*Figure 2: Backscatter electron micrographs of electropolished metallographic cross-sections showing similarity in microstructure between Vendor 1 and wrought, while Vendor 2 was markedly different with extensive retained austenite.*

The origin for the large fraction of retained austenite in Vendor 2 material is attributed in part to elevated nitrogen content (0.15 wt %). The Suutala diagram shown in Figure 3 is commonly used in welding metallurgy to predict solidification modes. The chrome/nickel ratio versus the phosphorus and sulfur concentration of Vendor 1 and wrought product places the material in the primary ferrite solidification mode, whereas the ratios of Vendor 2 place the material in the primary austenite solidification mode. A prior study on AM 17-4PH by [34], explored the role of the atmosphere during gas atomization process on subsequent phase formation. They showed that gas atomization in a nitrogen atmosphere promoted austenite formation.

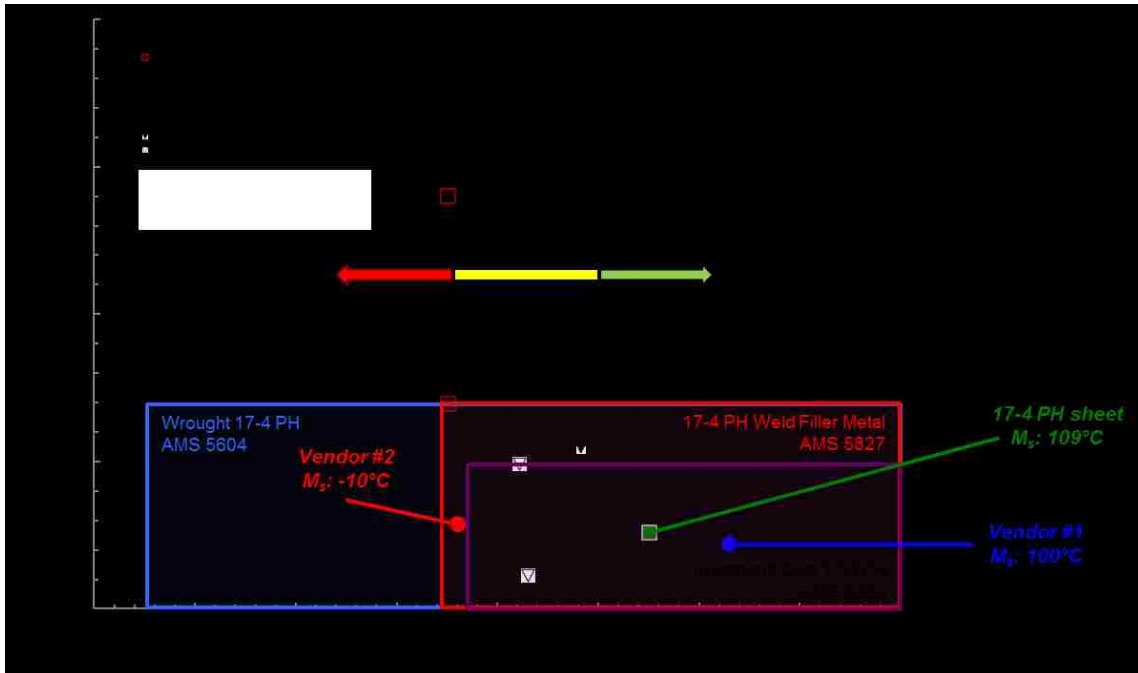


Figure 3: Suutala diagram showing Cr/Ni vs phosphorus and sulfur concentrations for Vendor 1, Vendor 2 and wrought product

## Tensile Method

A high-throughput test protocol was developed to evaluate the tensile properties of the AM structures. In lieu of hydraulic- or screw-clamped grips, open face “drop-in”



grips were custom manufactured to facilitate rapid sample loading and unloading. Figure 4 shows a cutaway of the grips with a tensile sample loaded.

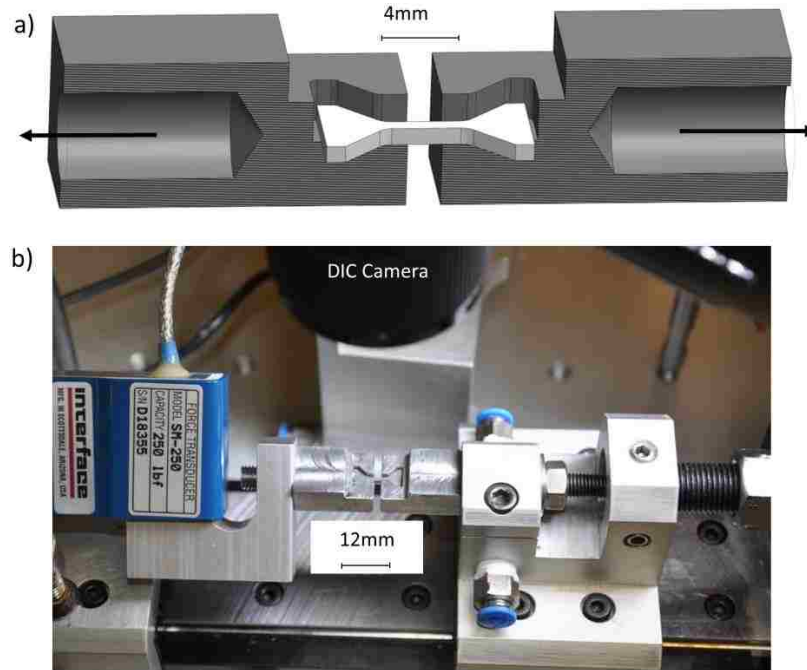


Figure 4: a) Model of additive manufactured tensile specimen in grips (cut-away). b) Mechanical test set-up.

Mechanical tests were performed using an MTS servo-hydraulic load frame and an Interface 445N load cell. For all tests, the actuator displacement rate was 0.05 mm/s, resulting in a gauge section strain rate of  $\sim 4 \times 10^{-4} \text{ s}^{-1}$ . Strain measurement was performed by real-time non-contact Digital Image Correlation (DIC) using the commercial software VIC-Gauge™, by Correlated Solutions, Inc. Unlike conventional DIC analysis where strain values are computed by post-processing of raw images, the VIC-Gauge™ approach produces real-time strain measurements at camera refresh rates. In the current study, these strain measurements were acquired at 30 Hz or faster. The native surface roughness of the test samples provided sufficient speckle contrast for DIC analysis. The DIC setup consisted of a high-quality camera lens as well as a high bit-depth camera (4.1

mega-pixel, 10-bit) which has been shown to reduce image noise and increase speckle contrast [36]. The strain values were transferred from the VIC-Gauge™ software into the MTS data acquisition software using A/D and D/A converters. An MTS automation procedure was written to streamline sample engagement, preloading, testing, and sample recovery. A Matlab code was developed to process all of the raw data and calculate stress/strain response curves as well as 0.2% offset yield strength, ultimate tensile strength, uniform elongation, and strain to failure. Minitab 17 was used to generate statistical distribution graphs and JMP statistical analysis software was used to estimate distribution parameters using a maximum log-likelihood methodology.

Previous research has shown that the accuracy of DIC can be affected by factors such as subset size, subset shape, interpolation scheme, and sub-pixel intensity [36-38]. Perhaps the most important factor affecting DIC accuracy is the subset size [36]. Previous research has shown that a subset size must be large enough to contain a distinct speckle pattern separate from other subsets, yet small enough to be accurately approximated by first or second-order subset shape functions and must not capture phenomena like necking in the gauge section [36]. The use of 2D vs 3D DIC has also been shown to have an effect on the accuracy of strain measurements in samples that experience large amounts of out-of-plane deformation [39]. The material tested in this study experiences low reduction in area [40] and thus small levels of out-of-plane deformation.

To examine the effect of subset size on DIC, a wrought tensile sample was loaded to ~60% of its yield strength (900N) then unloaded to ~2N, repeatedly using different subset sizes. Using the unloading portion of this test, a linear regression was performed

on the stress-strain curve to extract a modulus. Results of this test can be seen in Figure 5a. Using a very small subset size compared to speckle size created large noise in strain and even caused a loss of tracking. Using a very large subset size reduced strain noise and eliminated loss of tracking. For these experiments, a subset size of  $0.52 \times 0.52$  mm ( $181 \times 181$  pixels,  $\frac{1}{2}$  of the gauge width) was chosen, resulting in a measured strain noise floor of  $7.22 \times 10^{-4}$  (1 SD).

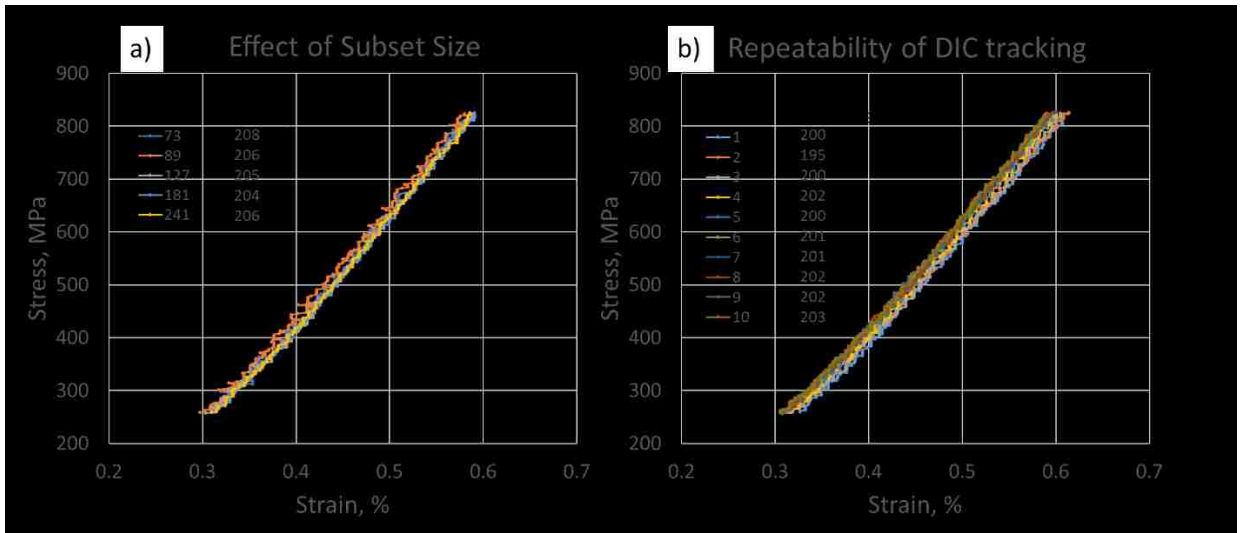


Figure 5: Experimental results of a) varying subset size and b) repeatability of DIC tracking using a subset of 181 pixels.

With any new testing methodology, it is important to ensure accurate and repeatable data is being obtained. To examine the repeatability of this setup a wrought sample was placed in the grips and loaded to  $\sim 60\%$  of yield strength (900N) and unloaded to  $\sim 2$ N all while DIC was tracking the strain. The loading was repeated 10 times at the same displacement rate as that of the tensile tests; 0.05mm/sec. A linear regression was performed on the stress-strain curve to extract a modulus. Results of this experiment are shown in Figure 5b. The average modulus was 249.5 MPa  $\pm$  2 MPa (1SD).

## **Chapter 3 – Variability in AM Material**

In this chapter, the stochastic tensile response is evaluated for products produced by two commercial AM vendors. The focus of this chapter is to examine the variability within-vendor and between-vendor and how AM material compares to wrought product. The value of large datasets is also demonstrated in this chapter.

### **High-Throughput Tensile Observations**

Figure 6 compares the tensile stress-strain behavior of AM 17-4PH from two different vendors to that of conventional wrought sheet. In all three cases, the tensile sample size/geometry was nominally identical and a similar statistical population of size  $N=97-104$  was sampled. The stress values in Figure 6 were calculated by dividing the applied force by an average cross-sectional area, as measured using contact-based micrometers. The bias caused by these contact-based measurements on the rough AM surfaces is further analyzed later in this chapter. Both of the AM vendors produced 17-4PH material that exhibited lower strength and ductility than wrought sheet in the same specimen form factor. However, since AM involves melting and re-solidification, a more fair assessment may be to compare against the properties of castings. While the tensile behavior of casting-equivalent 17-4 alloys was not measured in the current study, there are minimum allowable properties established in standards such as AMS 5344. The minimum allowable values are meant to set lower bound expectations for reliable engineering design, and are not typical properties. Dashed lines in Figure 6 indicate these AMS 5344 minimum allowable properties for both cast and wrought material. Vendor 1 produced samples with higher ultimate strength and on average, larger strain to failure than that of

Vendor 2. Roughly half of the samples produced by Vendor 1 satisfied the minimum allowable values for the ultimate strength and elongation for castings. None of the tensile bars produced by Vendor 2 satisfied the minimum property requirements for cast or wrought product.

Previous research by Rafi, et al. measured the tensile behavior of 17-4PH H900 produced by the laser powder bed fusion process (described as selective laser melting in that article) using a commercial EOS M270 machine, notably distinct from the two commercial systems used in the present study [41]. They reported a yield strength, ultimate tensile strength, and elongation for the H900 condition as 1126 MPa, 1457 MPa, and 12% based on 3 replicate tests. That reported yield strength is quite comparable to the characteristic value for the yield strength measured in the current study for material produced by Vendor 1 (1117 MPa), although the characteristic values for ultimate tensile strength and elongation of Vendor 1 material were somewhat lower (1239 MPa and 7%, respectively). Yadollahi, et al. also measured the tensile behavior of 17-4PH H900 produced by the laser powder bed process, using a ProX™ 100 printer similar to the printer used by Vendor 2 [42]. The yield strength, ultimate strength and elongation values reported for the H900 condition were reported as 700 MPa, 950 MPa, and 3% respectively. This previously reported elongation value was comparable to those measured for the Vendor 2 material; however, the strength values for Vendor 2 material were considerably higher than the previous Yadollahi study.

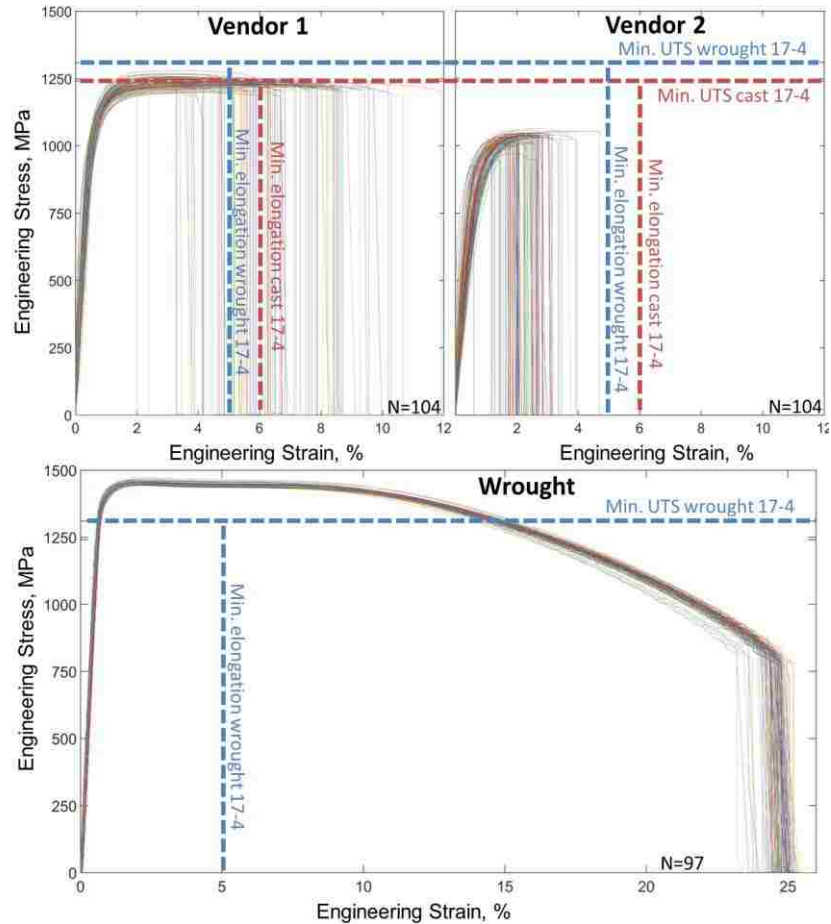


Figure 6. A comparison of the stress-strain response of tensile tests from commercially available wrought sheet (97 nominally identical tests) and two different commercial additive manufacturing vendors (104 nominally identical tests each). Indicated minimum properties are for castings and sheet in H900 condition.

Empirical cumulative probability distributions for the yield strength, ultimate tensile strength, and elongation were determined using a 3-parameter Weibull distribution, as shown in Figure 7. The axes are not linear, but are instead constructed such that a 2-parameter Weibull distribution [43] would appear as a straight line. The remnant curvature of the empirical datasets is caused by deviation from the 2-parameter form of the Weibull distribution, as would be expected for a 3-parameter Weibull distribution with a non-zero threshold parameter [44]. The American Society for Testing and Materials, standard C1683, defines how the Weibull distribution can be used to

describe the stochastic tensile strength of brittle materials [45]. The Weibull distribution has also been used to describe statistical variation in properties of structural metals including the ultimate tensile strength as described by [46, 47]; fracture toughness as described by [48], and [49]; and elongation as described by [50]. While a 2-parameter variation of the Weibull distribution is most commonly used, the original distribution is a 3-parameter distribution. The accurate determination of the 3<sup>rd</sup> parameter, a threshold value below which there is zero probability of occurrence, typically requires large datasets as described by [44]; in the absence of sufficient data, this 3<sup>rd</sup> parameter is typically set to zero. For the current dataset, the Weibull distribution yielded a superior goodness-of-fit compared to a Gaussian distribution based on an Anderson-Darling metric. The 3-parameter Weibull cumulative distribution function can be described in Equation 1 as follows:

$$P = 1 - e^{\left[ -\left( \frac{\alpha - \gamma}{\eta - \gamma} \right)^\beta \right]} \quad (1)$$

where the cumulative probability of failure  $P$  is a function of the variable  $\alpha$  and three parameters;  $\beta$ ,  $\eta$  and  $\gamma$ . The Weibull modulus  $\beta$  is the shape parameter that generally describes the breadth,  $\eta$  is the scale parameter or characteristic value, and  $\gamma$  is the location parameter or threshold below which the probability is zero. This third threshold parameter has both physical meaning and engineering value as it suggests that the tail of material property distributions do not asymptote to zero, but that there is some positive lower bound for the material properties. The parameter estimates for each of the datasets are shown in Table 3. From the graphs and this accompanying table, several general trends are clear. Most importantly, while the wrought material demonstrated better characteristic performance in yield strength, ultimate strength, and elongation, it also

benefited from substantially less scatter. Moreover, both AM vendors produced material with significantly lower *threshold* values (yield strength, ultimate strength, and elongation) compared to the wrought material.

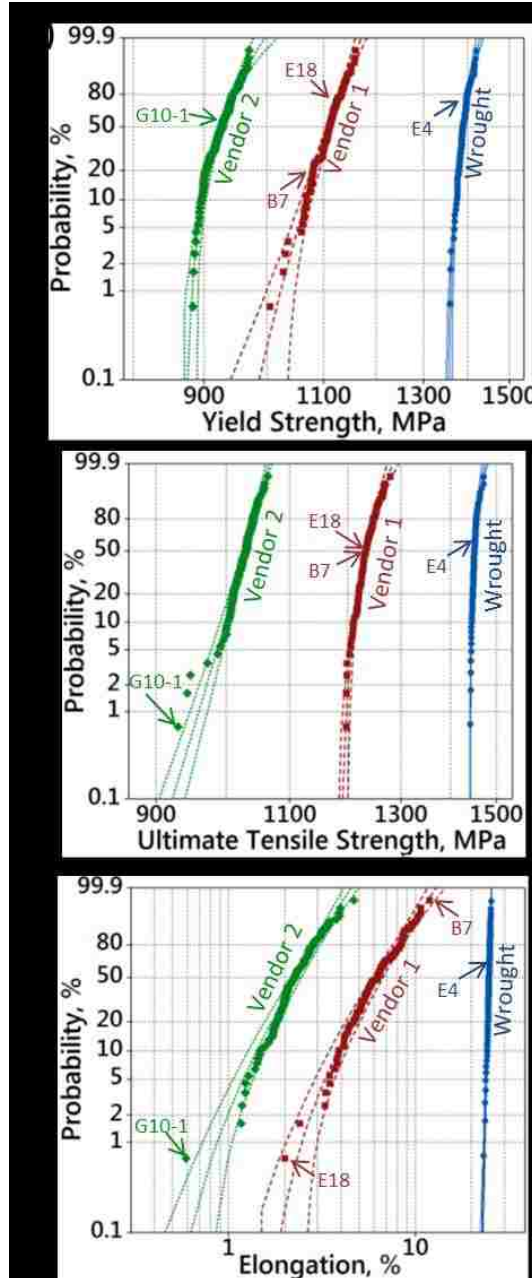


Figure 7. Cumulative probability distributions for the (a) yield strength, (b) ultimate strength, and (c) strain at failure (elongation). The maximum likelihood estimate for a 3-parameter Weibull distribution and corresponding 95% confidence bounds are shown for each dataset.



Table 3. Weibull Distribution Parameters for tested 17-4PH H900

Property	Source	Number of Tests	Shape Parameter (Weibull Modulus)	Scale Parameter (Characteristic Strength)	Location Parameter (Threshold Value)
		$N$	$\beta$	$\eta-\gamma$	$\gamma$
Yield Strength, MPa	Vendor 1	104	4.4	1117	1005
	Vendor 2	104	1.9	932	884
	Wrought	97	2.5	1393	1359
Ultimate Tensile Strength, MPa	Vendor 1	104	2.2	1239	1198
	Vendor 2	104	63*	1034*	0*
	Wrought	97	1.7	1454	1443
Elongation, %	Vendor 1	104	2.4	7.0	2.0
	Vendor 2	104	2.8	2.6	0.6
	Wrought	97	78*	24.9*	0*

\*These values were obtained from a 2-parameter fit because the location parameter could not be uniquely determined, as discussed in Section 4.

### Fractographic Observations

Large statistical datasets offer the ability to identify atypical failure modes. Through the use of fractography, it is often possible to diagnose sources of poor fracture resistance. Figure 8 compares the fracture surface of the lowest ductility test (2% elongation) from Vendor 1 to the highest ductility test (12% elongation) from the same vendor. The 2% elongation in Figure 8a and b shows extensive lack-of-fusion voids and unmelted/partially melted particles present within some of the larger voids in the center of the fracture surface. The largest voids were >200  $\mu\text{m}$  in width and the combined voids occupied ~20% of the reduced cross-section. The outer edges of the fracture surface contain a pronounced shear lip. A large interlayer cliff indicated a link-up of mode-I

crack planes from one print layer to another. By comparison, the fracture surface in Figure 8c and d from the highest elongation test shows only very small voids, with the largest void  $\sim 25 \mu\text{m}$  and occupying  $<5\%$  of the reduced cross-section.

In addition to comparing two different specimens from the same population, fractography also is valuable in comparing the failure modes between the three sources of material. Macro fractographs shown in Figure 9 compare the fracture surfaces of the Vendor 1, Vendor 2, and wrought sheet material. The fractographs in Figure 9 are presented at the same magnification. While all three samples started out with similar cross-sectional areas, the final size of the fracture surface for the wrought material (Figure 9c) is much smaller than the wrought samples due to the extensive necking ductility. Vendor 1 and 2 samples exhibited, on average, 14% and 10% reduction in area, respectively, while the wrought samples exhibited, on average, 70% reduction in area. The wrought fracture surface had a characteristic flat ‘fibrous’ central zone with large ( $5 \mu\text{m}$ ) equiaxed dimples and numerous secondary cracks running perpendicular to the fracture surface. A symmetric shear lip was present around the entire perimeter. Example fracture surfaces from prior studies, such as those provided in the fractographic handbook from the IIT Research Institute, [51] confirm that these features are commonly observed in 17-4PH and other similar precipitation hardened stainless steels. In contrast, the Vendor 1 tensile samples (Figure 9a and Figure 8a) exhibited large  $>100 \mu\text{m}$  zones of lack-of-fusion voids in the central ‘flat fracture’ zone, and large peripheral shear lips. The Vendor 2 sample (Figure 9b) also had voids, although they were considerably smaller  $<100 \mu\text{m}$  and appeared to occupy a smaller fraction ( $<5\%$ ) of the reduced cross section. The Vendor 2 sample had a shear lip that was notably smaller than the Vendor 1

shear lip, indicating a higher strength/ lower ductility response. However, the stress-strain curves in Figure 6 show Vendor 2 to have a *lower* strength than Vendor 1, as is further discussed in Section 4. Unlike Vendor 1 where the exterior surfaces were reasonably smooth, there was extensive penetrating voids from the rough exterior surfaces in the Vendor 2 image. These notch-like penetrating voids appeared to provide the stress concentrating feature that drove premature failure.

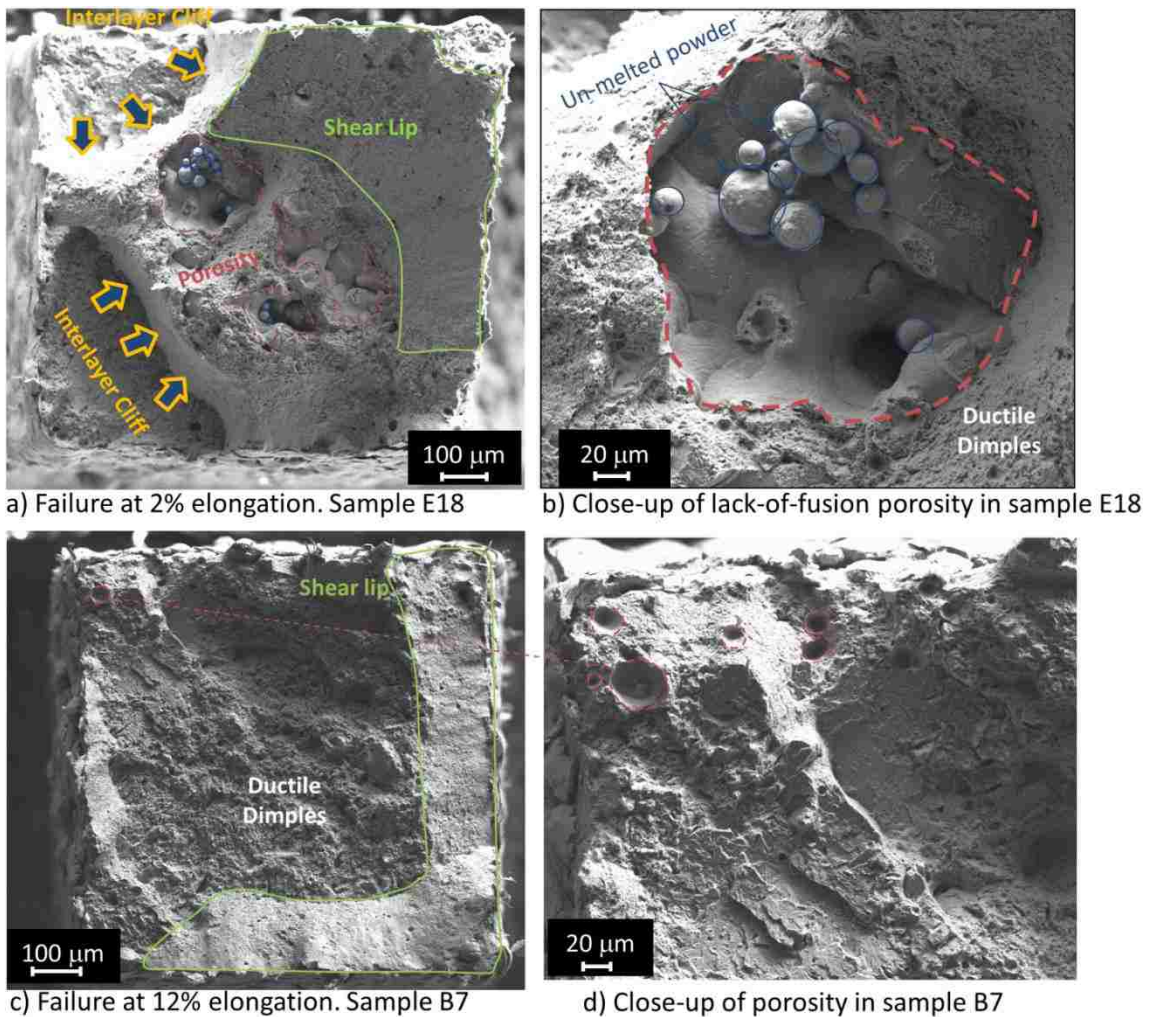
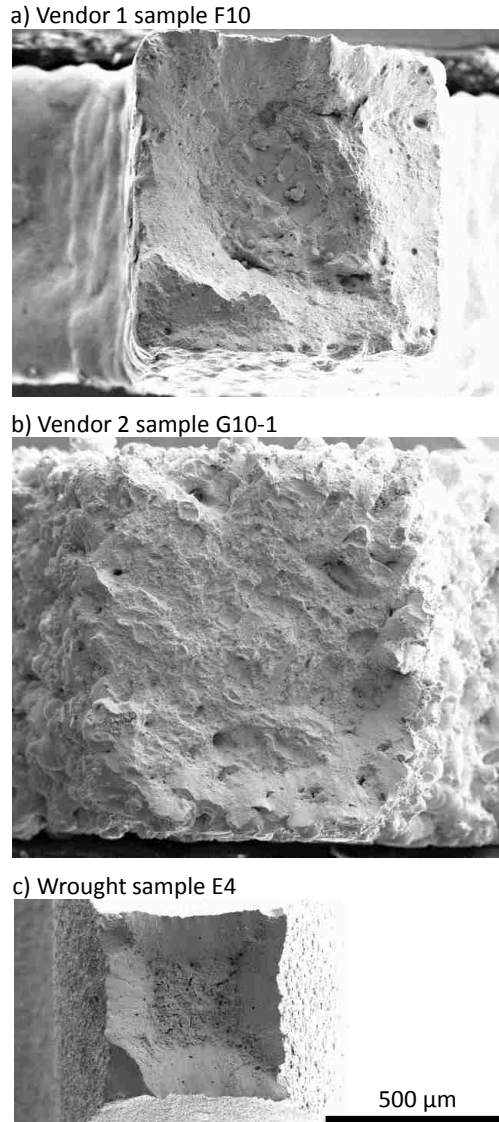


Figure 8. Secondary electron SEM macrograph comparison of the fracture surface of two nominally identical Vendor 1 samples that resulted in (a and b) the lowest ductility failure at 2% strain and (c and d) the highest ductility failure at 12% strain.



*Figure 9. Fractography comparing Vendor 1, Vendor 2, and Wrought material at the same magnification.*

This study on the stochastic tensile performance of additively manufactured 17-4PH raises a number of interesting questions. The next sections focus on addressing topics which are central to the theme of the high-throughput modality. Firstly, while a detailed microstructural analysis of the causes of property difference is beyond the scope of this work, emphasis is placed on the first-order effect of properly accounting for the load-bearing cross-sectional area in tensile measurements. This awareness of the effect

of surface roughness on measured properties leads to a discussion of the expected size effects (see Chapter 7) and the realization that with AM processes it is difficult to deconvolute the intrinsic material properties from the extrinsic features caused by the manufacturing process. Finally, a brief analysis and commentary is made regarding the relative immaturity of the AM process and the need to adopt efficient stochastic methods to avoid non-conservative interpretations that could arise from limited datasets. Chapter 7 discusses potential follow-up studies that could be pursued as a result of this initial effort to unravel the stochastic reliability issues in more detail.

### **Effect of True Cross-Sectional Area on Strength**

The engineering stress values presented in the Results section employ the standard engineering practice of measuring tensile bar dimensions with contact-based instruments such as calipers or micrometers. Specifically, for each tensile test the engineering stress  $\sigma_{eng}$  was calculated as a function of the applied force  $F$ , divided by the product of average width  $\bar{w}$  and thickness  $\bar{t}$  as measured by contact gauges:

$$\sigma_{eng} = \frac{F}{\bar{w}*\bar{t}} \quad (2)$$

While these measurement techniques work well for most engineering materials, in the current additively manufactured materials the excessive roughness relative to the sample dimensions causes a substantial discrepancy between this *apparent* stress area and the net area that carries mechanical load.

To approximately correct for the reduced cross-section due to roughness, the width and thickness were both reduced by the average peak roughness  $\overline{R_p}$ :

$$\sigma_{corrected} = \frac{F}{(\bar{w}-2\bar{R}_p)(\bar{t}-2\bar{R}_p)} \quad (3)$$

An illustration of the difference between the apparent stress area and the net/corrected stress area is shown in Figure 10.

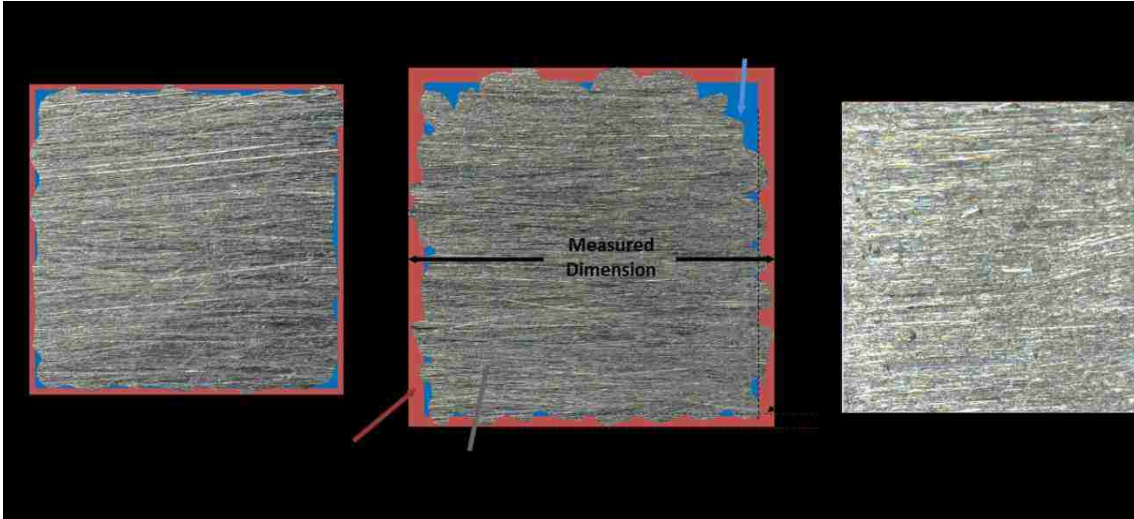


Figure 10. Cross sections of tensile samples from a) Vendor 1, b) Vendor 2, and c) Wrought material. The red area shows the maximum cross sectional area and the blue area shows a corrected cross sectional area using the  $R_p$  roughness values.

Figure 11 compares the tensile stress-strain behavior of the three sources of material, this time using the corrected stress area. With this corrected stress area, both AM material sources satisfy the minimum UTS requirements for cast product. However, even with the correction for net area, the strength properties were still lower than that of wrought sheet. The observation of depressed yield strength of Vendor 2 material relative to Vendor 1 and wrought sheet is expected to be at least partially attributed to the presence of retained austenite, as described in Chapter 2. A secondary factor is the likely possibility that the notch-like stress concentrations associated with the surface roughness triggers premature plasticity. While this first-order analysis accounted for the reduced cross-sectional area associated with surface roughness, a more detailed notch-effect study

is necessary to understand the role of these stress-concentrating features on promoting early plasticity and premature failure.

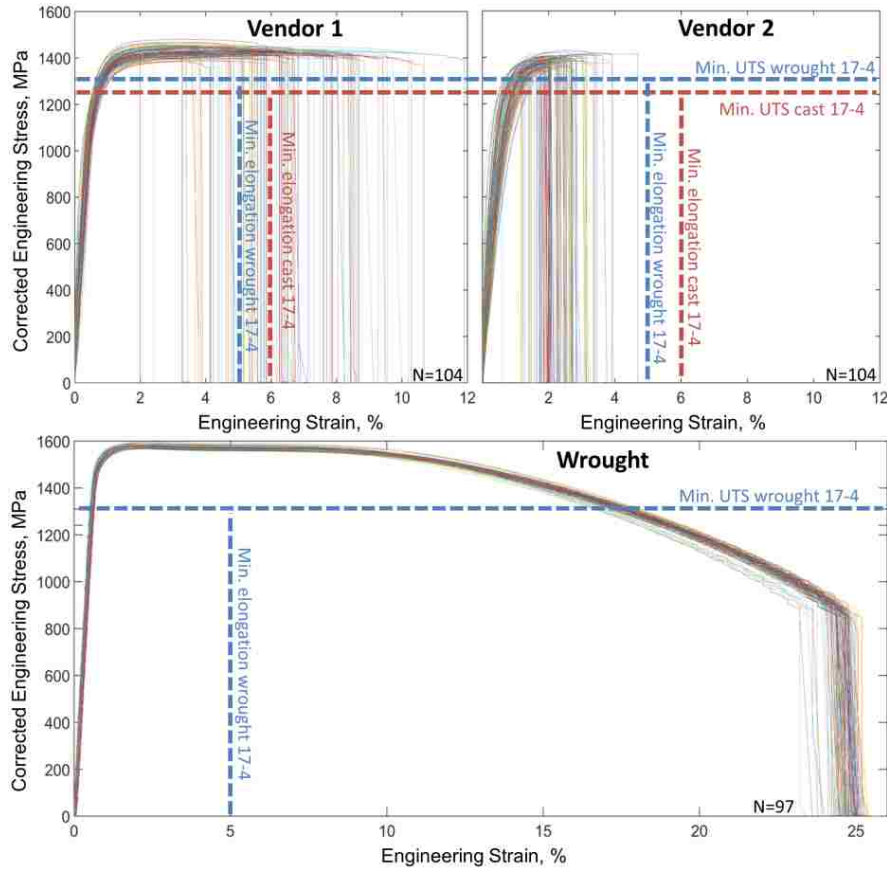


Figure 11. A comparison of the stress-strain response of tensile tests from commercially available wrought sheet (97 nominally identical tests) and two different commercial additive manufacturing vendors (104 nominally identical tests each using the corrected stress area). Indicated minimum properties are for castings and sheet in H900 condition.

### Importance of Large Data Sets for a Maturing AM Process

The AM material showed marked reduction in uniaxial tensile properties compared to the wrought sheet. However, not only were average properties inferior, but the AM material exhibited substantially more variability for yield strength, ultimate strength, and elongation to failure compared to the wrought sheet. The substantial defect population and associated effect in increasing variability (e.g. 80% variability in elongation among 104 tests) in performance highlights an opportunity for further process

and feedstock improvement. Moreover, it is currently ill-advised to use specification minimum values of non-AM material as the basis for component design that will be fabricated using AM. Instead it is necessary to perform a detailed stochastic property evaluation for the particular vendor and process parameters to establish representative property minimums.

The key differentiating feature of the current study was the ability to collect a relatively large statistical sample with a comparable level of effort as a few conventional tests. Small populations may be reasonable for mature, comparatively more homogenous materials that result from established thermomechanical processing routes, e.g., wrought sheet, in which defect-dominated behavior is not expected. However, small test populations may be inappropriate for materials produced by less mature processes such as laser powder bed fusion that result in less controlled defect populations. When working with small data sets, a statistical analysis would typically employ the Normal (Gaussian) distribution. For comparison, in Figure 12 the first 5 tests are plotted using a Gaussian distribution (fits shown in dashed lines) against the population of 104 tests using the Weibull 3-parameter distribution (fits shown in solid lines). Only the elongation distribution is shown because it has the most obvious shift in shape, scale and threshold for the AM parts, however, this clear shift can also be seen in the yield strength and ultimate strength. No obvious shift was observed in the probability distribution for the wrought material when analyzing 5 tests versus the population. This shows that 5 tests are truly insufficient to calibrate a Weibull 3-parameter model for AM materials.

As noted in Table 3, there are two datasets that have a zero value threshold and used a 2-parameter Weibull distribution. For these two datasets, there is insufficient data



to uniquely determine all three parameters. The threshold and scale parameter are strongly correlated for these datasets, and therefore it is not possible to determine them independently. For this reason, statistical goodness-of-fit metrics do not clearly show a benefit to the use of the 3-parameter Weibull over the 2-parameter Weibull. While the 2-parameter distribution is statistically defensible, the notion of a zero-valued threshold is physically unrealistic. Instead, these two datasets appear to require additional tests to uniquely determine all three parameters (or to select a better alternative distribution model altogether).

The probability distributions in Figure 7 suggest that the Weibull distribution reasonably captures the observed property distributions. However, in some cases the lower tail of experimental data seems to trail off from the expected distribution. These outlying data points in elongation and ultimate tensile strength could be caused by a bimodal distribution of properties, however even more tests would be necessary to differentiate the overlapping distributions.

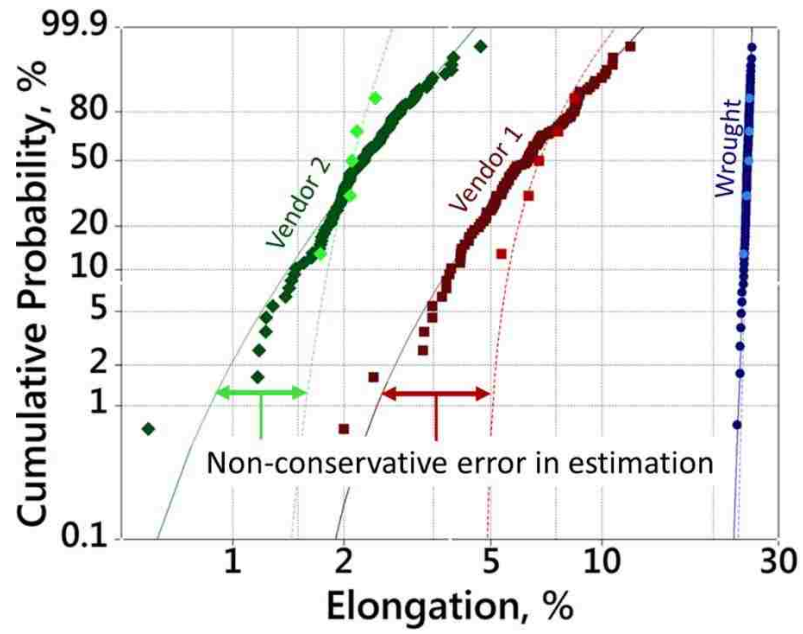


Figure 12. A comparison of the statistical inference from only 5 tests compared to a larger dataset from an additional 99 tests on the same material condition. Dashed lines show fits from only 5 data points that result in non-conservative estimates of the lower tail of the distribution.

## **Chapter 4 – 1000 Tensile Tests**

In this chapter, Vendor 1 (from chapter 3) was selected to produce 9 tensile arrays of 120 samples as described in chapter 2 (Figure 1b). Vendor 1 was chosen for one major reason: The tensile samples produced by Vendor 1 were far superior to those produced by vendor 2 both in terms of visual appearance and mechanical performance.

This chapter dives deeper into the importance of large data sets that can only be obtained by high throughput tensile testing. Within-build and between-build variability is discussed as well as rare defects that are only present in ~2% of the population.

### **High-Throughput Tensile Observations**

While a total of 1080 tensile bars had been originally produced, 15 samples were removed from the population prior to testing for metallographic analysis. No samples or tests were censored as ‘outliers’; all available test data was included in this analysis. The dataset for 1065 nominally identical tensile tests, including sequential images for digital image correlation strain analysis, was quite large: totaling approximately 1 TB.

Visualizing salient features of that dataset requires reducing the dimensionality of the data. Even presenting the whole dataset as individual stress-strain curves is problematic since there is considerable overlap among the curves. Instead, a representative subset of the stress-strain curves is shown in Figure 13. While tests from only four of the nine builds are displayed in this graph, these four encompass the breadth of responses from all nine builds. In the figure, truncated vertical lines were used to emphasize the total strain at failure for each test. A partial unload at 2% strain provided a more accurate

determination of the elastic modulus. Across this large dataset of nominally identical tensile tests, there was a substantial variation in the mechanical behavior.

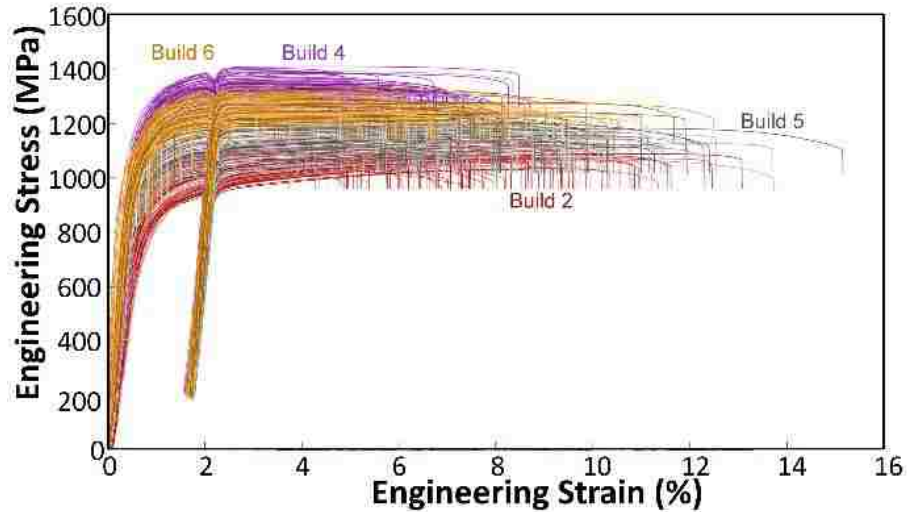


Figure 13: Engineering stress-strain curves from 473 tests associated with four separate builds. These four builds envelop the range of responses observed across all nine builds

The coloration in Figure 13 clearly suggests that there was systematic variation from build-to-build. In prior studies of laser powder bed fusion, variation in tensile properties from build-to-build had been previously inferred [52], yet the trends based on those much smaller datasets were statistically insignificant. To explore these statistical trends for the entire set of nine builds, the raw dataset was further reduced. A Matlab algorithm extracted relevant tensile properties such as yield strength, ultimate strength, and strain-at failure for each of the tests, grouped by build. The resulting empirical cumulative probability distributions for material property values are shown in Figure 14. A maximum likelihood method was used to estimate the three parameters for each of the nine build datasets using Minitab statistical software [53]. The curves associated with the fit, along with the associated 95%-confidence intervals are include for the highest and lowest distributions as well as Build 6 in Figure 14. Quantitative parameter estimates

along with goodness-of-fit metrics and the statistical p-values are provided in Appendix A.

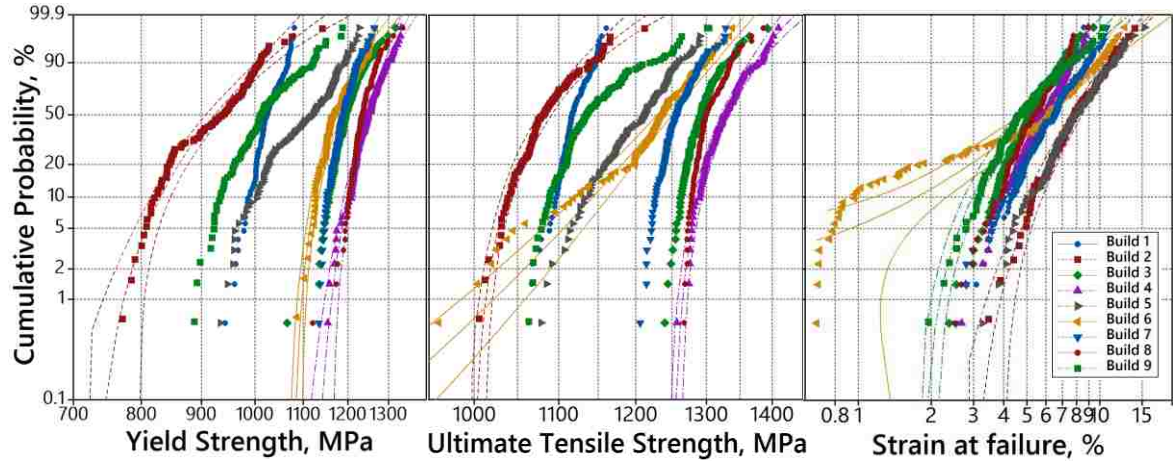


Figure 14: Cumulative probability distributions for material properties, with color groupings for each of the nine builds.

### Build 6 Anomaly

The most obvious and concerning trend in the dataset is associated with Build 6 (orange left-pointed triangles and curves in Figure 14). While the yield strength values for Build 6 display a uniform distribution shape comparable to the other datasets, the ultimate tensile strength and strain at failure data deviate substantially from the monomodal 3-parameter Weibull distribution. This deviation is especially apparent and problematic in the ductility (strain at failure) values, where Build 6 contained 25 instances of failure strains below 1.95% whereas the remaining 1040 instances from Build 6 and the other eight builds all exhibited values above 1.95%. Most concerning, several of the Build 6 specimens exhibited failure strains as low as 0.67%, more than a factor of two below the threshold values from the other builds. The loss of ultimate

strength was caused by the low ductility: the failures occurred before the Considère criterion [54, 55] had been met, while the work hardening rate was still positive

Inspection of several fracture surfaces from this low-ductility subpopulation showed an extensive network of interconnected lack-of-fusion porosity, which is a likely culprit for the premature failures. Lack-of-fusion porosity is a common defect noted in powder-based additive processes (e.g. [56, 57]) thought to be caused by several factors such as insufficient laser power density [17, 58-61], excessively fast scan speeds [62], or unduly coarse hatch spacing in the scan path [62, 63]. Lack-of-fusion porosity is distinct from gas porosity, which is caused by the gas atomization process for production of powder and tends to result in finer ( $\lesssim 2 \mu\text{m}$ ) spheroidal voids [17]. In the present case, the network of lack-of-fusion porosity percolated from the interior to perforate the exterior surface, providing a pathway for the external environment to access these large internal pores. While *isolated* lack-of-fusion porosity is commonly found in most powder-based metal additive processes and is found even in nominal tensile bars in the present study, the extensive interconnected network appears to be rare. While the processing origins of the interconnected porosity are not fully understood, they may be associated with a ‘short feed’ condition where there is insufficient powder packing density in the distributed powder bed layer [17, 64-67]. A recent synchrotron computed tomography study noted up to 2 mm of interconnected porosity associated with electron beam melting [68].

In the present case, the internal cavity was oxidized, as shown in the Energy Dispersive Spectroscopy map, Figure 15c, presumably during the post-build heat treatment in air. The Cr-rich oxides formed a nodule and needle-like/whisker

morphology. Several others have elucidated the environmental circumstances under which Fe-Cr alloys form such whisker-like oxides during thermal exposure [69-71]. However, it is unclear if the presence of this brittle oxide contributes to the low resistance to fracture, or if the premature failures are due solely to the morphology of the lack-of-fusion cavities. Most, but not all, of the subpopulation of 25 specimens with failure strain <1.95% from build 6 showed clear signs of the tunneling interconnected porosity network, even based on optical imaging of the fracture surfaces. For those few exceptions, either the interconnectivity was not obvious (e.g. interconnections occurring out-of-plane of the fracture surface), or perhaps those specimens were members of the nominal population and the ductility distributions for the two populations have some overlap.

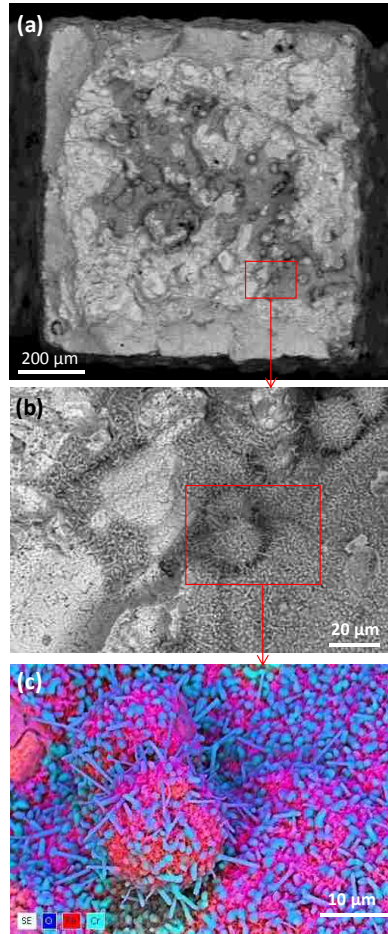


Figure 15: Fracture surface associated with a low-ductility sample from Build 6 (0.68% failure strain). (a) backscattered electron overview showing a dark interior region that is interconnected and tunnels to the right edge of the sample, (b) close-up showing needle-like morphologic features associated with the dark region, and (c) an energy dispersive spectroscopy map of the 'dark' region, showing nodules and needle-like features containing chrome oxides.

## Importance of Large Datasets

The results reinforce the importance of statistical evaluation of additively manufactured components. Most material property investigations focus on parametric changes in process conditions or structural state for the inference of global behavior based on limited data at each condition. Large, homogeneous datasets such as those offered in the current study are quite rare and as such present an important opportunity for statistical dissection. With regard to the anomalous behavior of 25 specimens out of 1040 (2.40% occurrence rate), this rare event highlights the danger of reliance on thin



datasets for statistical inference. A vast majority of prior studies on the mechanical properties of additively manufactured metal relied on 10 or less repeat observations for any given condition (e.g. [52, 56, 62, 72]). Based on the current dataset, any 10 randomly sampled observations from the population of 1040 samples would miss this anomalous event 78% of the time. Even in a case where one of the observations was from this minor population, its rarity could be dangerously ignored or discounted as a spurious test artifact. For example, while the existence of bimodality in Build 6 is evident, Build 1 also contained a single suspicious observation. Out of 120 observations, one failure strain value appeared anomalously low: 1.95% strain, with the next lowest values at 3.08%, 3.31%, 3.41%, 3.45% strain. This single observation had a profound effect on the 3-parameter Weibull fit: by censoring this single observation, the estimated threshold parameter for Build 1 elongation raises from 0.15% to 1.96%. This latter censored value is consistent with the range of thresholds reported for the other seven builds. However, fractographic analysis of this single outlier was not able to clearly distinguish the failure mode compared to the next lowest failures; therefore, there is no independent corroboration to defend the censoring of the lowest observation. The profound effect of a single ‘outlier’ on threshold determination highlights the need for large, robust, monomodal datasets – a noteworthy weakness of the 3-parameter form of the Weibull distribution.

### **Within-Build and Between-Build Variability**

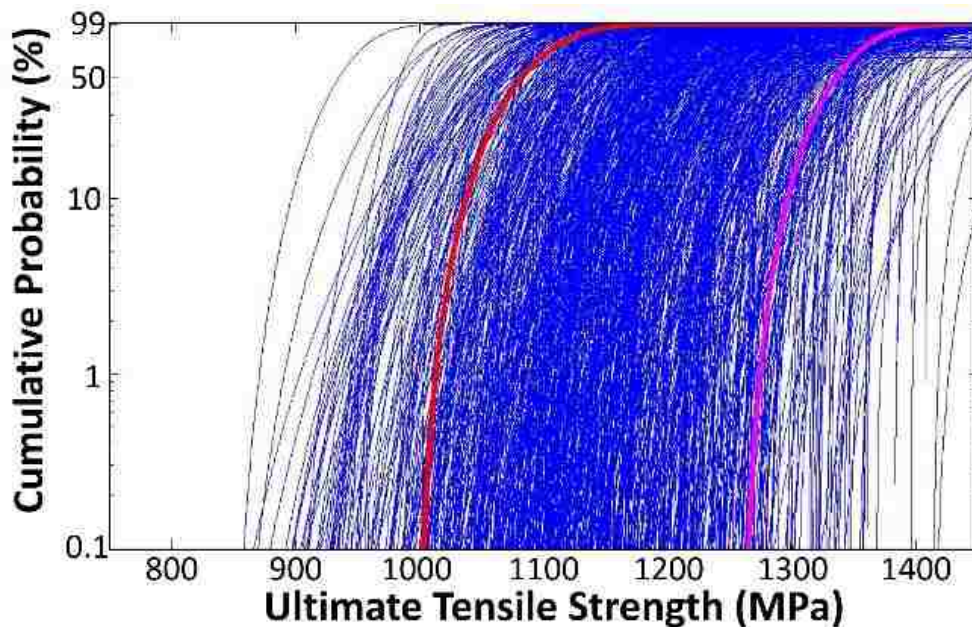
Setting aside rare events, the large datasets also provide insight into build-to-build variation in properties, which in some cases can be subtle. With regard to the yield

strength, Build 2 clearly possessed the lowest population of strength values, although there was overlap in the Build strength values with seven of the eight other builds. The *characteristic* value of the Weibull distribution,  $\eta$ , is the value at which 63.2% of the observations are expected to fall below. The characteristic yield strength for Build 2 was 949 MPa, with the next lowest builds (Builds 1 and 9) at 1037 and 1039 MPa, respectively. The highest characteristic yield strength value was 1264 MPa for Build 4, indicating that the characteristic values could shift by as much as 33% from build-to-build in this small population of nine builds. This build-to-build shift in material property values is also apparent for ultimate strength and failure strain observations shown in Figure 14. Yet the large overlap in the distributions implies that these build-to-build variations in material properties could be easily undiscovered with smaller populations. Another way to parse this build-to-build variation is to treat the estimated Weibull parameters as random variables and perform Gaussian analysis. For example, the Weibull characteristic value of ultimate tensile strength for the nine builds ranged from 1096 to 1339 MPa with a Gaussian mean of 1230 MPa and a standard deviation of 86 MPa. These parameters suggest that if 1000 build arrays were manufactured, one of them would be expected to have a *characteristic* ultimate tensile strength of only 964 MPa, notably *lower than the threshold* value determined for most of the individual builds. Similar Gaussian analysis can be performed on the distribution of threshold values themselves to estimate a 1-in-1000 lowest threshold ultimate tensile strength of 724 MPa based on eight observations of the threshold (censoring the threshold for Build 6, which was spurious due to bimodality). This value of 724 MPa appears to be a conservative lower bound ultimate tensile strength that takes into account within-build

and between-build variation in properties. This value can be compared to the existing Aerospace Material Specification (AMS) from the Society of Automotive Engineers. In AMS 5344 for casting grades of the current alloy the *minimum allowable* ultimate tensile strength for the cast alloy is 1241 MPa, almost a factor of 2 above the conservative threshold value determined for this AM variant of the alloy.

Another approach to examine the effect of between-build uncertainty is to treat that uncertainty as epistemic (lack of knowledge) uncertainty which is reflected in the distribution of the Weibull parameters. If we consider the eight builds that appear to have monomodal Weibull distributions, we have eight estimates for each of the three parameters governing the Weibull distribution. For ultimate tensile strength, these values are highly correlated. For example, the shape and scale parameter have a correlation coefficient of 0.82. Assuming the three Weibull parameters have a multi-variate Gaussian distribution with the covariance estimated from the eight data points, we can generate many realizations of possible Weibull parameter values which honor the relationships of the parameters governing the Weibull plots in Figure 14. Figure 16 shows 1000 realizations of Weibull distributions generated from 1000 samples of the (shape, scale, threshold) parameters of a multi-variate Gaussian distribution [73] whose mean and covariance structure was estimated from the collection of data from the eight builds (excluding anomalous Build 6). In Figure 16, we have highlighted the bounding cases of Build 2 in red and Build 4 in magenta, similar to Figure 14. While many of the one thousand realizations fall within these bounds, a significant number fall outside. This figure demonstrates how we can use this approach to generate an ensemble of Weibull curves and infer conservative bounds. For example, in Figure 16, the ensemble

realization furthest to the left has a threshold value of 858 MPa. This value is a lower bound that is less conservative but possibly more realistic than the value of 724 MPa determined in the preceding paragraph. Ensemble analysis generated by sampling potential Weibull parameter combinations consistent across the build data is a powerful tool to address statistical questions, such as understanding the probabilities of percentiles being above or below specified values. As mentioned previously, the ‘build-to-build’ variation described herein may also include machine-to-machine variation, since four nominally identical machines were used to manufacture the nine builds; separating these sources would be valuable future work.



*Figure 16: Ensemble of cumulative probability distributions for ultimate tensile strength, generated by sampling Weibull parameters from a multi-variate Gaussian distribution. Red and Magenta lines show bounding cases of Build 2 and Build 4, respectively.*

## Chapter 5 – Hot Isostatic Pressing

Hot isostatic pressing (HIP) is a widely accepted process for improving material performance in powder metallurgy and cast product by collapsing internal porosity [74]. HIP processing has been used for interfacial bonding, densifying presintered components, and consolidating powders [74]. It involves application of elevated temperature and very high pressure using a (usually inert) gas. HIP processing has been shown to collapse and bond internal porosity and defects [74, 75]. Because AM materials can also have such a large quantity of porosity and defects it makes sense that HIP processing can be beneficial to AM, however most producers have not accepted this as a standard method of processing AM components. To examine the effect of HIP processing on AM, a subset of the tensile array in Figure 1b produced by Vendor 1 were sent to an external vendor for HIP processing in accordance with AMS 2759/3 Rev. F. Typical HIP temperatures for steels range from 950 – 1160 °C and typical pressures are ~100MPa [74]. The profile that was used for this HIP treatment (1120°C, 100MPa for 6 hr) can be seen in Figure 17.

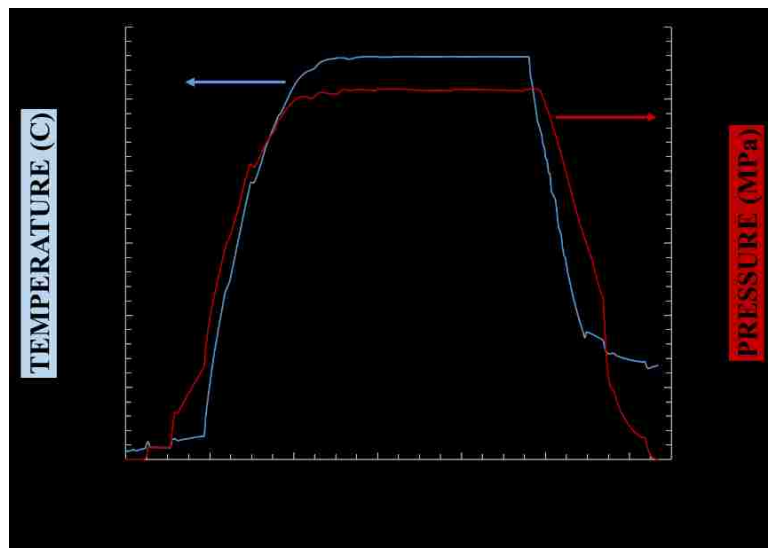


Figure 17: Hot isostatic pressing temperature and pressure profile for AM 17-4PH.

## Tensile Results

Figure 18 compares two samples that have been cross-sectioned and polished to highlight porosity. The untreated sample shows lines of porosity that are parallel to the build direction indicating a problem with powder feed, scanning speed, and/or hatch spacing [76]. The HIP processed sample shows virtually no residual porosity. Because HIP processing collapsed most of the internal porosity, it would be expected to observe a decrease the cross sectional area. Figure 20a shows the cumulative probability distribution of the cross-sectional area of the HIP processed samples. The shape parameter of the HIP processed samples increased, meaning a larger scatter in the cross sectional area.

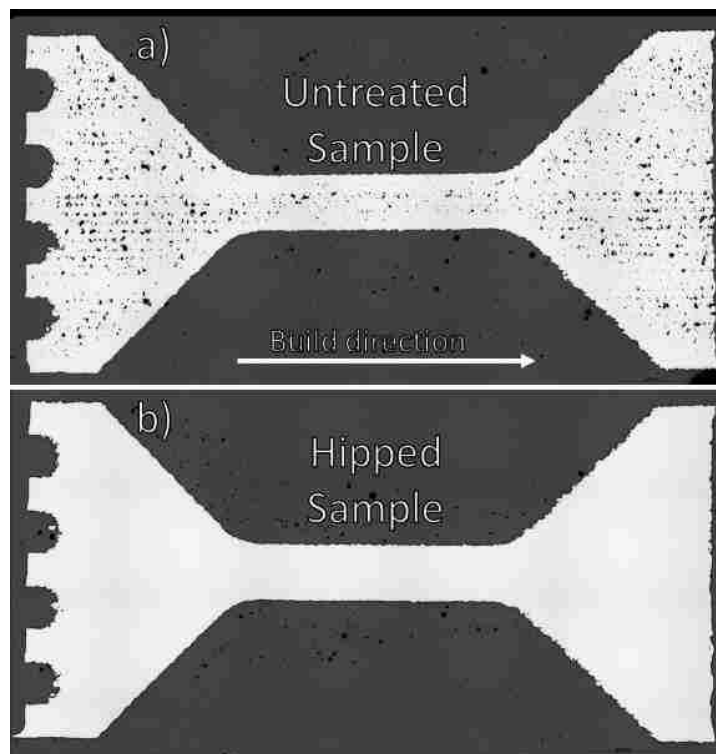


Figure 18: Cross sections of AM 17-4 material that has been a) untreated and b) HIP processed.

While previous Chapters focused on testing 100's or 1000's of tensile samples to obtain statistical insight into variability, this chapter focuses on highlighting mean trends in material performance due to HIP processing, and therefore, does not require such a large amount of tests. Figure 19 shows the results of 33 HIP processed and 34 untreated AM 17-4PH H900 tensile tests.

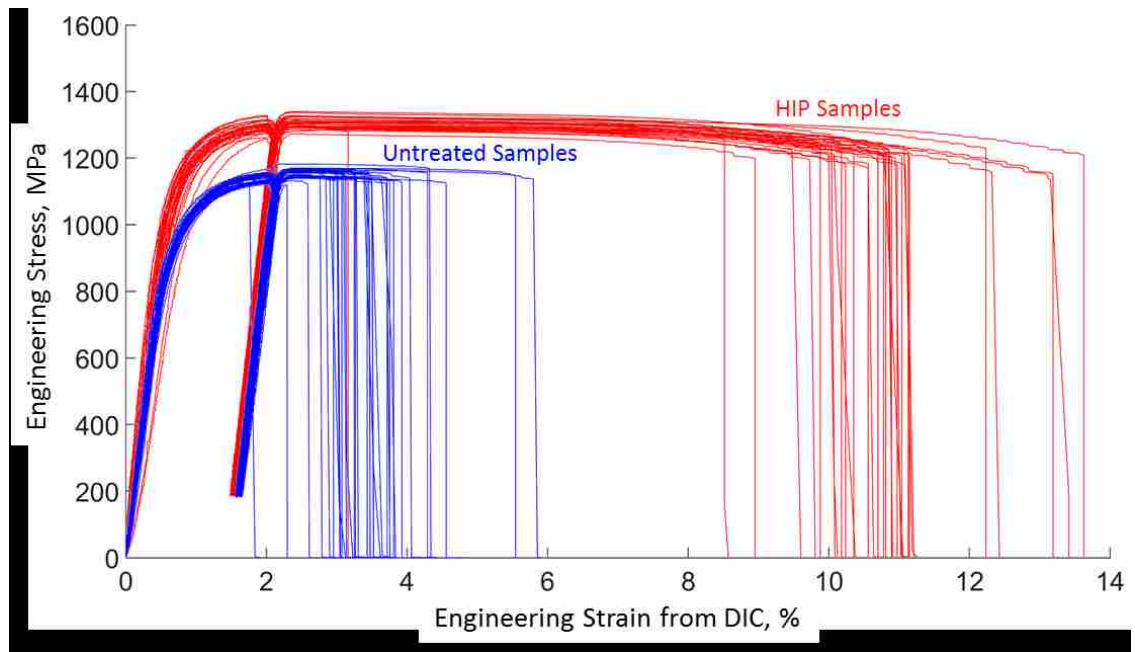


Figure 19: Engineering stress strain curves of 33 HIP processed (red) and 34 untreated (blue) AM tensile bars.

It is easy to see the increase in strength and ductility due to HIP processing in the stress strain curves in Figure 19, however the modulus appears to be consistent between the two. Dewey [77] and Choren, et. al. [78] explain that Young's modulus is not independent of porosity and a decrease in modulus due to the presence of porosity would be expected [78]. To highlight the subtle difference in modulus between the untreated and HIP processed AM material, a cumulative probability distribution was created and is shown in Figure 20b. The characteristic modulus of untreated and HIP processed AM

material is 182 and 194, respectively. The modulus threshold values (below which the probability of occurrence is zero) for the untreated and HIP processed samples are 154 and 178 GPa, respectively; an increase of ~16%.

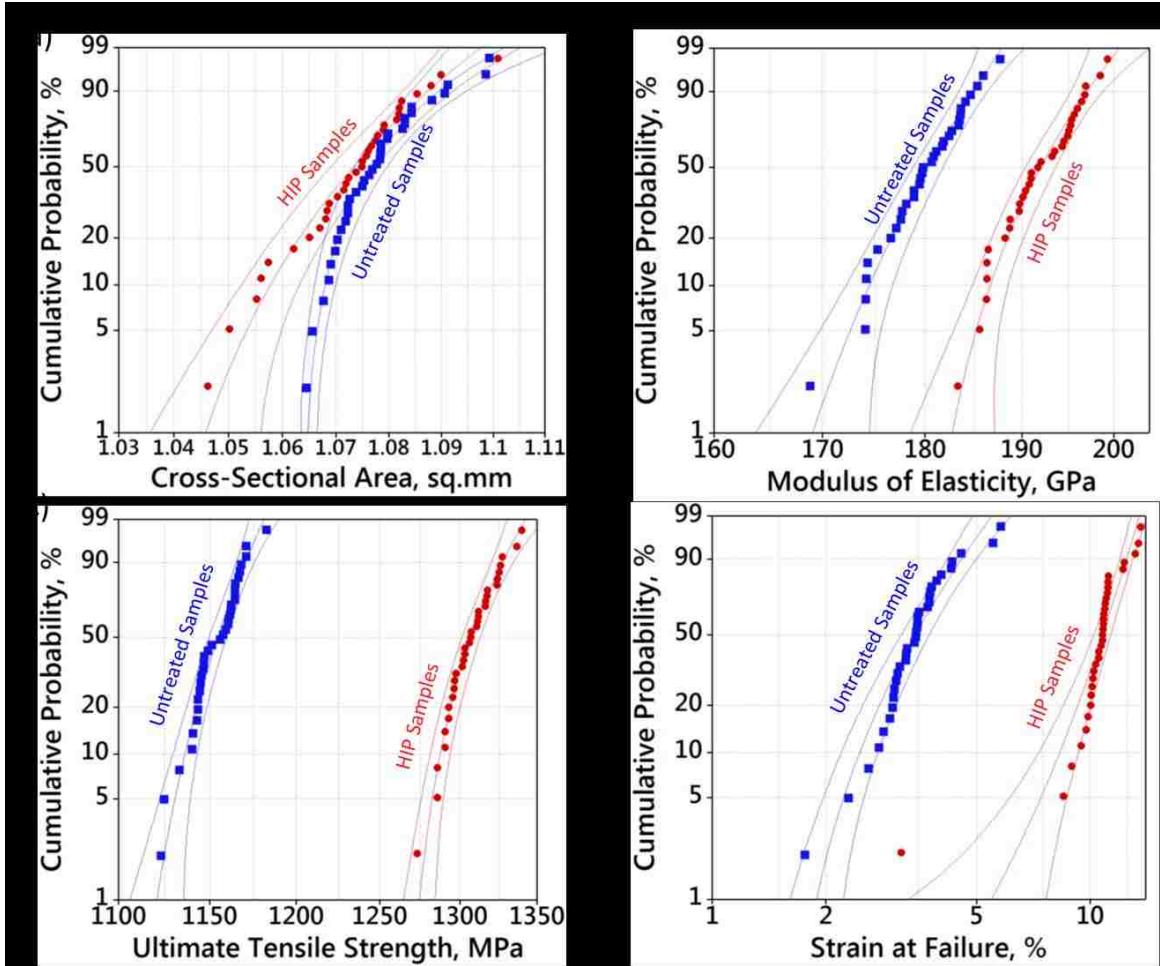


Figure 20: Cumulative probability distributions for a) Cross-sectional area and b) Modulus of Elasticity for AM 17-4PH H900 material that has been both HIP processed and untreated.

Dewey also states that the relationship of Young’s modulus between a fully dense and porous material is not simply a rule of mixtures. The basic form of Dewey’s equation for Young’s modulus of a porous material is shown in equation 4:

$$E_p = E_0 * (1 - a * P) \quad (4)$$



where  $E_p$  is Young's modulus of the porous body,  $E_0$  is the modulus of a non-porous body of the same material,  $P$  is the volume porosity, and "a" is a constant dependent on Poisson's ratio of the matrix and is shown in equation 5.

$$a = \frac{3(9+5\nu_0)(1-\nu_0)}{2(7-5\nu_0)} \quad (5)$$

where  $\nu_0$  is the Poisson's ratio of the matrix material. Using  $\nu_0$  of 0.3, equation 5 yields an 'a' value of 2.00.

Using ImageJ software, the volume fraction of porosity of the gauge sections in Figure 18 were estimated. The area fraction of porosity of the untreated sample was 3.31% and the HIP processed sample was 0.06%. Using these volume porosities, a published modulus of 197 GPa [79] for fully dense, wrought 17-4PH, and the constant 'a' of 2.0, equation 4 estimates the value of modulus for the untreated AM material to be ~184 GPa and the HIP processed material to be ~197 GPa. The modulus values estimated by Dewey's equation are very closely aligned to the characteristic modulus values obtained from the Weibull 3-parameter distribution.

### **Variability Associated with HIP**

The overall variability in strength and ductility improved with HIP processing. Because of an apparent outlier in strain to failure (Figure 20d), the Weibull modulus value (which describes the spread in the data) of strain at failure increased by nearly 800% for the HIP processed samples. If this sample is excluded from the distribution, the Weibull modulus decreases by about 5% for the HIP processed samples. The Weibull modulus of ultimate tensile strength (Figure 20c) decreased ~40% for the HIP processed samples.

Scanning electron microscopy was performed on fracture surfaces from two of the HIP processed specimens (one that had ‘average’ elongation at failure and one that had low elongation at failure, about 3%) as shown in Figure 21. In both samples, the fracture surface shows a shear lip with a flat ‘fibrous’ central zone and intergranular cleavage which is commonly observed in alloys like 17-4PH [51]. Porosity and lack of fusion zones that were observed in samples from chapter 3 are no longer present. Energy dispersive X-ray spectroscopy (EDS) revealed the presence of large silicon inclusions throughout both samples and appear green in Figure 21a. These silicon inclusions are typical in wrought 17-4. Figure 21b shows the fracture surface of the low elongation sample and reveals a large defect in the middle (~150  $\mu\text{m}$  by ~300  $\mu\text{m}$ ) that contains high amounts of aluminum. The vendor who produced these samples also processes aluminum on the same machine. This aluminum could be explained by residual aluminum powder in the feeder that made its way to the build tray.

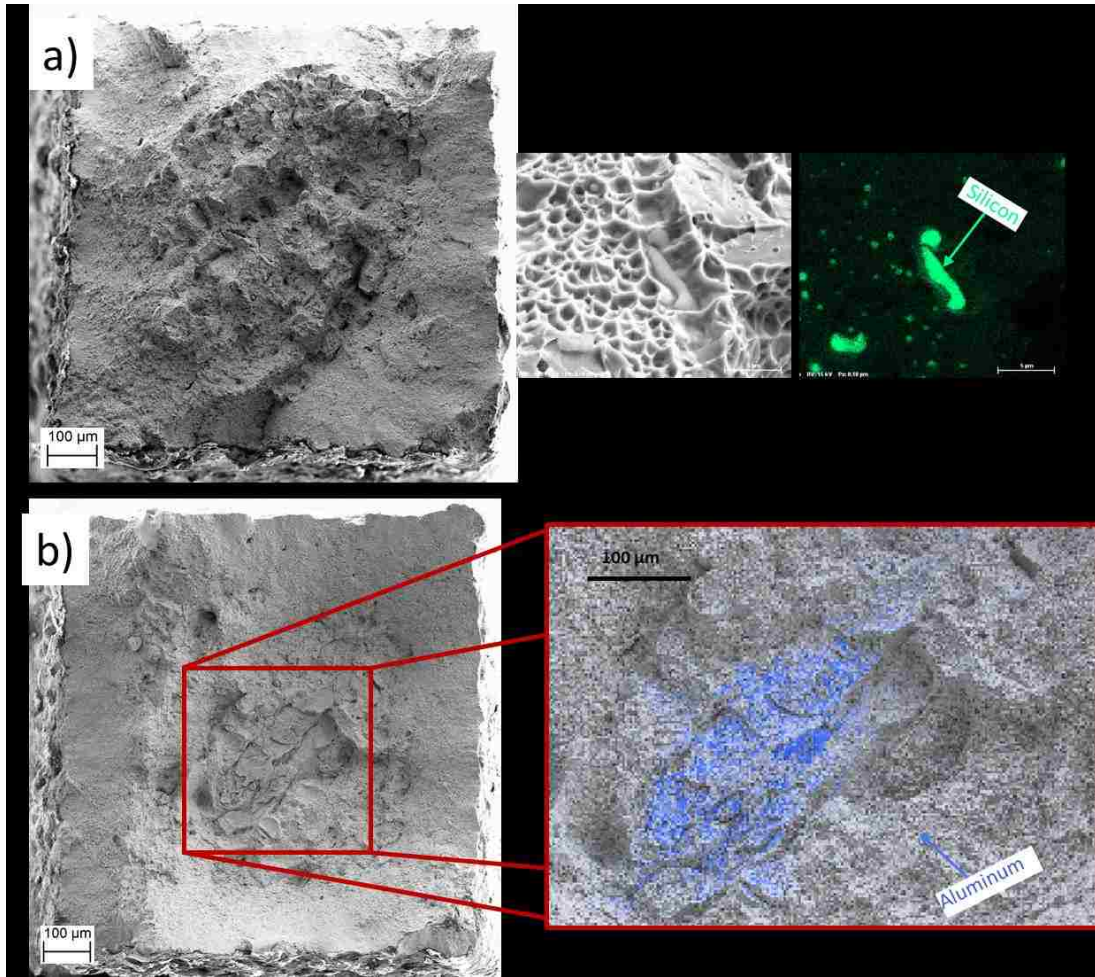


Figure 21: Fracture surface associated with a) an 'average' elongation HIP processed AM sample and b) a low-elongation (~3%) HIP processed AM sample.

## Chapter 6 – Hardness Mapping

Hardness testing is a quick and easy means of investigating the mechanical properties of small volumes of material and has been useful for quality control and materials evaluation. Hardness testing involves applying a fixed load on an indenter tip and measuring the dimensions of the indentation. There are a variety of hardness testing techniques, such as Rockwell, Brinell, Knoop, and Vickers. Brinell and Rockwell hardness tests utilize spheroconical indenter tips and thus the indentation geometry does not remain self-similar with indentation depth. Brinell and Rockwell tests are best suited for macro-indentations [80]. Knoop and Vickers hardness tests use conical or pyramidal indenter tips which create a constant indentation no matter the depth of penetration and therefore produce essentially the same hardness number at all test forces [26]. This makes them very beneficial for micro-indentations and hardness mapping [80]. The Vickers hardness number,  $H_{vn}$ , is defined in equation 6 as:

$$H_{vn} = \frac{P}{A_s} \quad (6)$$

where  $P$  is the applied load in  $kgf$ , and  $A$  is the pyramidal contact area in  $mm^2$ . For the Vickers pyramidal contact area,  $A_s$  can be calculated using equation 7:

$$A_s = \frac{d_v^2}{2 * \sin \frac{\alpha}{2}} = \frac{d_v^2}{1.8544} \quad (7)$$

Where  $\alpha$  is the face angle of the diamond indenter ( $136^\circ$ ) and  $d_v$  is the mean Vickers indentation diagonal length in  $mm$ . Because micro-indentation Vickers hardness was used, which typically reports test forces in grams-force ( $gf$ ) and lengths reported in micrometers ( $\mu m$ ), the hardness number can be calculated using equation 8:

$$H_{vn} = 1000 * 1.8544 * \frac{F(gf)}{d_v^2(\mu m)} = 1854.4 * \frac{F(gf)}{d_v^2(\mu m)} \quad (8)$$

Vickers hardness measurements were made in accordance to ASTM E92 [26] using a Struers DuraScan 70 hardness mapping machine on AM 17-4PH in the H900 condition, AM 17-4PH in the H900 condition that had been HIP processed, and on wrought 17-4PH H900 sheet. All hardness measurements were made on tensile samples that were sectioned and mechanically polished along the build direction (Figure 22). The load used for all indents was 500 grams with a dwell time of 10 seconds which produced a nominal diagonal length of impression in the vicinity of 45  $\mu\text{m}$ . Indentations were spaced 200 $\mu\text{m}$  apart and approximately 600 indentations were made on each sample. After all indentations were made, the length of the two diagonals of the diamond shaped Vickers indentation were measured using image recognition software attached to the Struers DuraScan.

### **Hardness Indentations**

Each indentation was examined for validity against ASTM E92 [26] (proximity to an edge, visibility of all 4 points, etc) but because of the porosity present in both the HIP processed and untreated AM material, many indentation measurements were discarded due to a pore obstructing the diagonal point-to-point measurement. Figure 22 shows hardness maps overlaid on montage cross-sectional images for untreated, HIP processed, and wrought material. Hardness indents which were invalid were excluded and interpolation between valid indents was used to create a complete color map.

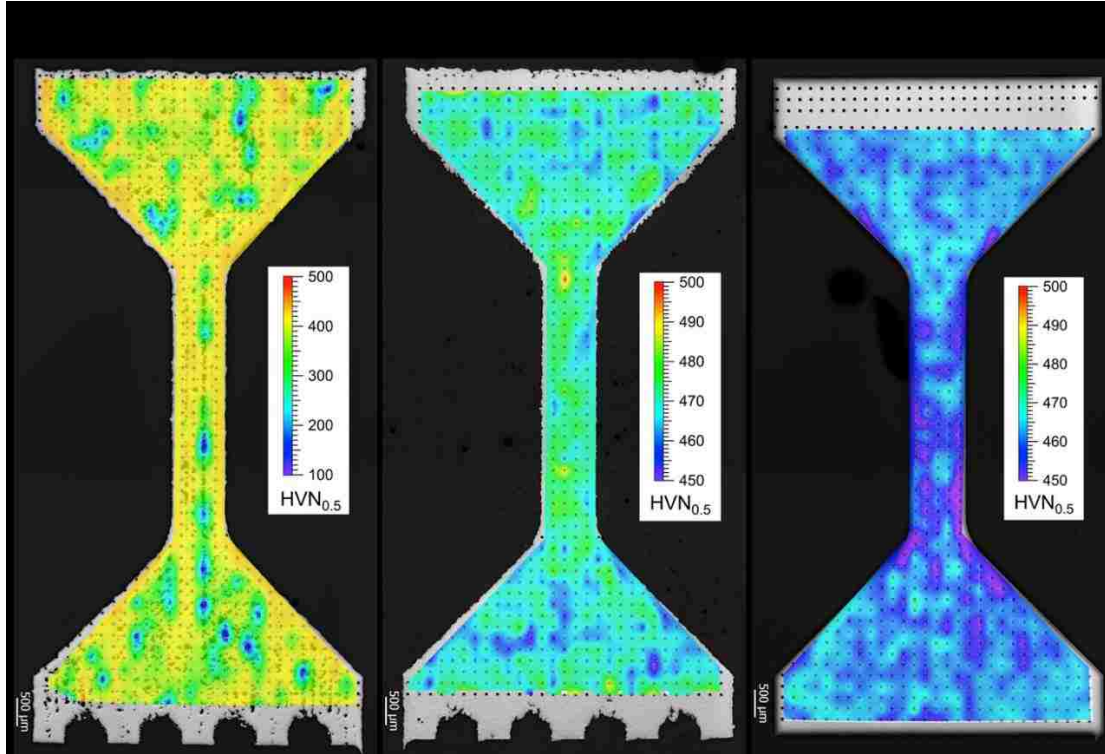
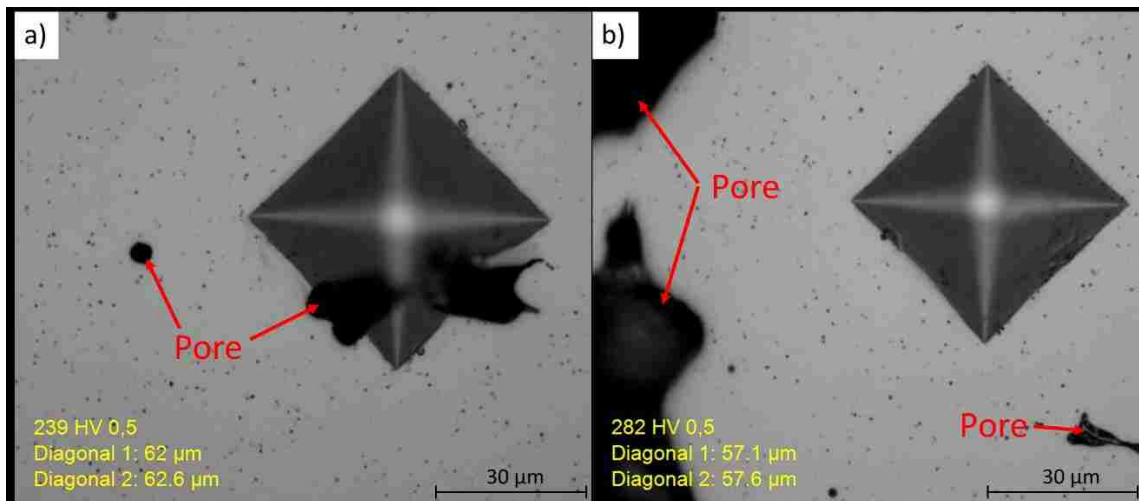


Figure 22: Vickers hardness mapping on a) untreated AM 17-4PH H900, b) HIP processed AM 17-4PH H900 and, c) wrought 17-4PH H900.

The average hardness of untreated AM, HIP processed AM, and wrought material was 381 HVN (+/- 73.32, 1SD), 470 HVN (+/- 7.31, 1SD) and 461 HVN (+/- 5.27, 1SD) respectively. These hardness maps show no correlation between build height and hardness value, a correlation that has been seen by previous research in other AM metals using laser powder bed AM [81]. The lack of correlation between build height and hardness could be attributed to the fact that the AM tensile bars experienced a post build heat treatment (solution treated (1037°C/1hr) and aged to H900 (482°C/1hr)) which causes dynamic recrystallization of the microstructure.

The increased scatter in the hardness values of the untreated AM material is due to the fact that some indentations are sampling porosity and voids and therefore are not a true representation of actual material properties, but rather a measure of mechanical

performance. Figure 23a shows an example of an indentation that is sampling a pore that is visible on the surface. Figure 23b shows how an indent can appear valid per ASTM E92 but may be sampling material too close to a large pore or perhaps even sample a sub-surface pore or other material defect, which leads to significantly lower than average hardness values. The images of hardness indents that sample porosity can be detected visually and excluded from the dataset, however, the abnormally low hardness values that can be attributed to sub-surface porosity or other material defects appear valid and obscure the true material hardness and add to the variability. This should be taken into account if hardness values alone are to be used to qualify AM components.



*Figure 23: Vickers hardness indents on additively manufactured 17-4PH H900 sampling a) a pore which is visible on the surface and b) material close to a large pore or other defect like a sub-surface pore.*

### **Correlation Between Hardness and Strength**

Although these hardness values are empirical in nature, they can be approximated to ultimate tensile strength and yield strength to more directly compare to the results of high-throughput tensile testing [82, 83]. First, to make a comparison to the ultimate

tensile strength, the Vickers hardness values ( $H_{vn}$ ) are converted to Rockwell C hardness values (HRC) using equation 9 [82] and rounded to the nearest integer:

$$HRC = 31.49 + (7.967 * 10^{-2})H_{vn} - (3.554 * 10^{-5})(H_{vn})^2 - (6.728 * 10^3)(H_{vn})^{-1} \quad (9)$$

Using the tables provided in ASTM A370, the Rockwell C hardness values can be converted to an approximation of the ultimate tensile strength (UTS). The comparisons between UTS obtained from tensile tests and UTS approximated from hardness indentations are shown in Figure 24a. The UTS values obtained from hardness testing show a ‘binning’ effect which stems from the tables which convert HRC to UTS. For the wrought material, the UTS approximations from hardness values align closely with the values obtained from tensile testing for the upper percentile data and have very similar Weibull shape parameters (1.763 for UTS obtained from tensile testing and 2.311 for UTS obtained from hardness values). The HIP processed AM material also shows a similar Weibull shape parameter for UTS from tensile testing (3.285) and UTS from hardness values (2.809), however the Weibull characteristic value of UTS from hardness is 200% higher than the UTS values obtained from tensile testing.

Tabor has shown that the yield strength of hardened or severely cold worked material can be related to hardness testing by equation 10 [84]:

$$H_{vn} = c * \sigma_y \quad (10)$$

where  $H_{vn}$  is the Vickers hardness number in  $\text{kgf}/\text{mm}^2$ ,  $\sigma_y$  is the yield strength in  $\text{kgf}/\text{mm}^2$  and  $c$  is a constant and has a value depending on the nature of the metal being tested.

Tabor originally showed that ‘ $c$ ’ should equal 2.9 for steel, however other researchers have found that ‘ $c$ ’ can range from 2.9-5 depending on the composition of the steel and



the amount of cold working or precipitation hardening [85, 86]. Using equation 10 and a value of ‘c’ of 2.9, the hardness values were converted to approximate yield strengths and compared to the 0.5% offset yield strengths obtained by tensile testing (Figure 24b). The wrought material shows very good agreement with yield strength values obtained from tensile testing compared to hardness conversions. This agreement demonstrates that the constant ‘c’ of 2.9 is a valid scaling factor for this material using Tabor’s relationship.

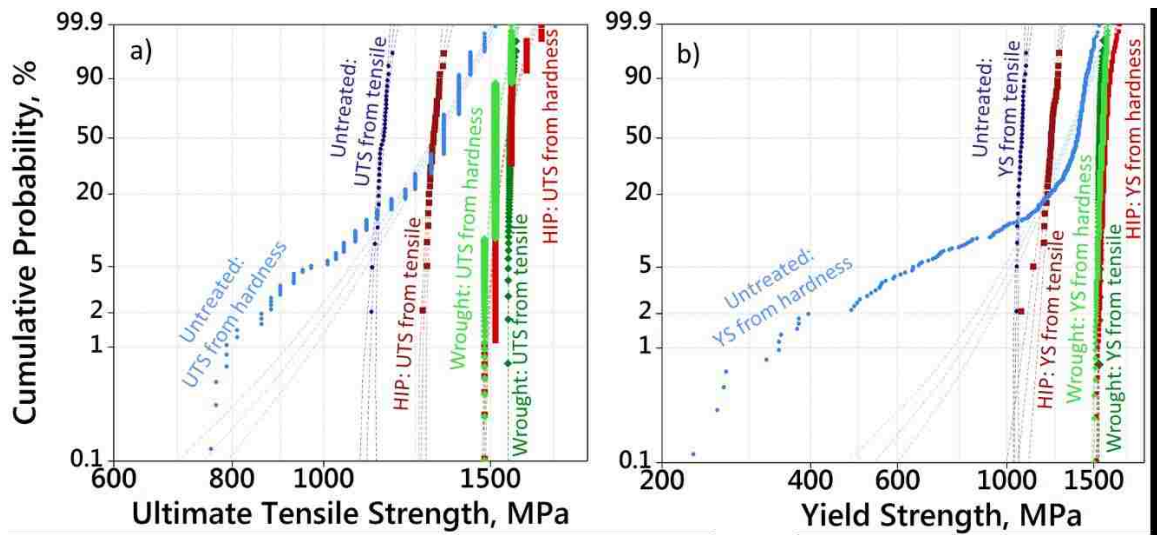


Figure 24: Cumulative probability distribution of a) ultimate tensile strength and b) yield strength using data obtained from tensile tests (dark colors) and hardness tests (light colors).

Hardness testing has been shown to be a viable method to correlate yield strength and tensile strength of steels [24, 87] and was demonstrated with the wrought material in this study. However, hardness tests performed on the AM material would correlate to a non-conservative estimation of strength. This is also true for HIP processed AM material. This discrepancy is likely a result of tensile testing sampling bulk material which, even in the HIP processed samples, has porosity. The hardness tests sampled material from one tensile bar, on one plane. This study demonstrates that hardness

testing is not sufficient for approximating strength values for this AM material because of the high amount of porosity.

## Chapter 7 – Conclusion

Additive manufacturing offers the opportunity to produce geometrically complex arrays of material property coupons that are amenable to rapid, cost-effective high-throughput testing. With only modest efforts to streamline sample handling and measurement, this study has demonstrated an efficient tensile method capable of many 100's of tensile tests per day. Assuming a cost of \$150/conventional sample and \$30/hour for high-throughput testing, performing 100 conventional tests would cost ~\$15,000 whereas performing 100 high-throughput tests would only cost \$105 (assuming 1 test per 2 minutes). **This equates to a 99% cost savings for tensile testing.**

The high-throughput method was used to evaluate the full stochastic stress-strain response of a laser powder bed AM 17-4PH alloy produced by two commercial vendors, and compare the performance to commercially-available wrought sheet and HIP processed AM material. With this method it is possible to not only characterize trends in *average* behavior, but also evaluate the stochastic reliability of the material. This approach provides a viable pathway to efficiently determine minimum allowable property values for AM-produced materials, which can be below the established minimum values for other processing routes such as casting. The approach highlights how differences in surface roughness, porosity, and microstructure give rise to changes in property distributions. This new technique paves the way for future extensive, but cost-efficient, parametric studies on the metallurgical and manufacturing origins of stochastic reliability.

## Large Datasets Reveal Anomalous Behavior

A vast majority of prior studies on the mechanical properties of additively manufactured metals have relied on a small number of tensile tests (often <10) for any given condition. In contrast, the data provided in Chapter 4 employed the high-throughput method to test 1065 tensile bars produced under nominally identical conditions. The mechanical properties exhibited substantial variability within a single build and more variability between builds. This variability could obscure interpretation of subtle changes in parametric studies with small sample sizes. Also, this variability may warrant a probabilistic or worst-case threshold approach to engineering design with AM materials. The large dataset revealed that a small subpopulation (2%) suffered from extremely low ductility (<1.95% elongation) associated with extensive interconnected tunneling lack-of-fusion porosity. Adoption of efficient high-throughput test methods may drive adjustments to manufacturing processes to avoid rare defects and mitigate sporadic unexpected failures in service.

While interconnected porosity is in some cases beneficial, (*e.g.* when serving as mineralization scaffolds for bone implants [88]), with regard to monolithic mechanical properties, extensive porosity is clearly detrimental. The oxidation of large, interconnected lack-of-fusion porosity created a brittle interior coating, that may have further reduced resistance to fracture. Moreover, the connectivity of this internal pore to the free surface represents a condition that cannot be readily remedied by hot isostatic pressing. HIP processing was shown to reduce internal porosity and improve mechanical performance in this and other studies on AM materials (*e.g.* [89, 90]), however, the surface-connected porosity associated with these worst-case defects would not allow for the needed pressure differential to collapse the voids. The occurrence of these rare,

catastrophic defects reinforces the acknowledged need to develop robust process optimization, control and/or in-process diagnostics that can detect off-nominal process conditions and unacceptable microstructural results [91].

### **Size-Dependent Mechanical Behavior**

This thesis only explored tensile bars of a single size (4mm x 1mm x 1mm) commensurate with typical usage for AM component fabrication. However, both the average mechanical properties and the property distributions are expected to be size dependent – an effect that was not explored experimentally in this study. An implicit assumption embedded in standardized tensile testing is the notion of scale separation: the stress values and corresponding material strength values are considered to be *material properties* independent of the sample size, and therefore scalable. For this assumption to hold true, it is necessary that the tensile test interrogates a *representative volume* of material. However, in this study, the tensile behavior is a convolution of intrinsic material properties and the superposed contributions from flaws (e.g. roughness, voids) that are of a somewhat comparable scale. One effect has already been described: the effective net section stress (corrected stress) differs substantially from the apparent stress. Moreover, the roughness and internal voids create notch-like stress concentrations that impart stress gradients over a similar dimension.

Sharon and Zhao, et al. have shown how ductility as measured by elongation is known to be size dependent, and hence not an intrinsic material property [92, 93]. First, elongation depends on the initial gauge length due to the localization of necking. Second, the onset of necking can also depend on the thickness and the size of geometric perturbations. Furthermore, Tsuchiya showed that if the elongation is determined by the

eventual failure at a weakest microstructural link such as a void or notch, then the elongation is also affected by the spatial distribution of these flaws, resulting in the Weibull size effect [94]. The current study utilized a non-ASTM tensile geometry to comply with the limitations of the AM processes, e.g. to avoid large overhangs off the build plane and to allow a large number of samples in a relatively small build volume. The gauge length:width:thickness aspect ratio of the high-throughput specimen is 4:1:1- a relatively stout aspect ratio that prevents premature necking instability and encourages extensive elongation to failure. By comparison, a standard ASTM E8 compliant tensile bar made from 1-mm thick wrought sheet stock would have an aspect ratio of 50:12.5:1. The relative thinness of that geometry promotes premature necking and results in lower reported elongation to failure. Specifically, ASTM-compliant wrought sheet from this alloy/condition would be expected to have a tensile elongation of ~10% whereas the stout subsized wrought specimens in Chapter 3 exhibited a tensile elongation of ~24%. Sharon, et al. described the issues regarding the size-dependent ductility and how guidelines for the ratios of length:width:thickness can minimize local necking [92].

### **Future Considerations**

This study on the stochastic tensile performance of 17-4PH opens the door to a wide range of potential questions that warrant further investigation. A few of the open questions that could be addressed in further studies are described here with respect to the AM process and with respect to a high-throughput qualification methodology.

**With regard to the powder-bed fusion process, there is a need for a basic understanding of how the process variables affect the heterogeneous microstructure/defects and ultimately control the stochastic reliability.** Within the

scope of this study, the issues of lack-of-fusion porosity, surface roughness, and unexpected phase formation due to impurity uptake provide exemplars of the stochastic process-structure-property connections that might be developed with more in-depth studies. The first comparison in Chapter 3 between the nominal products produced by two independent vendors raises questions with regard to the optimal process settings for a given combination of material and AM platform. Perhaps there is also an optimization tradeoff between dimensional tolerances and material properties (e.g. lower heat input mitigates thermal distortions but causes more internal porosity). There are also questions regarding the effect of build orientation and the repeatability of the process within a build (location-to-location). Many of these questions have been addressed in recent literature but without the efficiency benefit of high-throughput methodologies nor the statistical insight gained from large datasets. For example, in this study the individual print layers were perpendicular to the tensile axis. While numerous prior studies have explored the difference in average properties as a function of build orientation using a small quantity of tensile samples (e.g. [95], [15], [96], [97], and [35]), less is known regarding the shift in property *variability* as a function of build orientation.

With regard to the high-throughput testing methodology, there are several avenues for further development. Firstly, the current process could be further streamlined from its current state of ~2 minutes per test. Automated robotic sample loading offers the clearest path to mitigating costly technician time. There are also important opportunities to more carefully deconvolve the contributions of intrinsic material properties from extrinsic features such as surface roughness. Secondly, it would be beneficial to extend the current tensile method to other modalities such as fracture toughness, bending, fatigue

resistance, notch sensitivity, etc. It is possible to develop high-throughput methods that incorporate temperature and strain-rate dependent property measurements. Automated data analysis techniques and data mining methodologies could be developed to correlate the high-throughput mechanical property measurements with datasets from other forms of characterization such as density measurements, computed tomography scans of porosity content, x-ray analysis of phase and residual stress, etc. Finally, reduced order homogenization methods must be further developed to translate the stochastic outcomes measured in these repeated mechanical tests into stochastic predictions for component reliability.

The benefits of high-throughput tensile testing for identifying rare anomalous behavior is clearly demonstrated in the current work. However, the tensile test is destructive, and therefore only a source of inferential correlation to component reliability. Moving forward, it will be beneficial to develop a suite of destructive and non-destructive high-throughput modalities and correlate those results with in-process monitoring to develop a holistic approach to component qualification. Metallography, computed tomography, dimensional metrology, and chemical composition mapping are just a few examples of slow, laborious inspection modes that could benefit from high-throughput developments. These rich sources of data can be used to calibrate or validate multiscale process-structure-property models [98] for reliability, and ultimately drive design optimization [99].



## Appendix A: Statistical Parameters

Best fit parameters describing the distribution of yield strength, ultimate tensile strength, and elongation values for each of the nine separate builds from Chapter 4 are included in Table A1.

Table A1. Parameter estimates for yield strength, ultimate tensile strength, and elongation

0.5% yield strength:

Group	Shape	Scale	Thresh	N	AD	P
	$\beta$	$(\eta - \gamma)$	$\gamma$			
1	4.743	130.4	906.9	120	0.716	0.034
2	3.037	226.1	723.3	109	1.534	<0.005
3	4.371	169.9	1044	120	1.491	<0.005
4	3.998	147.1	1117	120	0.321	0.473
5	11.32	716.9	418.5	120	1.267	<0.005*
6	2.729	113.8	1076	105	0.577	0.109
7	2.726	77.21	1123	120	0.206	>0.500
8	5.033	152.0	1096	120	1.640	<0.005
9	2.448	169.6	869.9	116	0.322	>0.500

\*low threshold value

Ultimate Tensile Strength:

Group	Shape	Scale	Thresh	N	AD	P
	$\beta$	$(\eta - \gamma)$	$\gamma$			
1	5.151	94.32	1032	120	0.878	0.011
2	2.467	99.26	997.6	109	0.442	0.267
3	2.266	64.25	1237	120	0.458	0.265
4	2.625	89.24	1250	120	0.276	>0.500
5	6.462	282.2	940.3	120	0.344	0.400
6	10383	533205	-531947	120	1.072	<0.005
7	2.427	65.45	1202	120	0.227	>0.500
8	1.747	44.19	1266	120	0.369	0.448
9	1.794	95.57	1060	116	0.426	0.338

Elongation:

Group	Shape	Scale	Thresh	N	AD	P
	$\beta$	$(\eta - \gamma)$	$\gamma$			
1	5.294	6.256	0.1520	120	0.302	>0.500*
2	2.606	5.885	2.881	109	0.194	>0.500
3	2.611	3.549	2.062	120	0.233	>0.500
4	3.105	4.460	1.871	120	0.283	>0.500
5	2.499	6.147	2.687	120	0.180	>0.500
6	2.449	8.103	-1.486	120	2.368	<0.005**
7	2.938	5.429	1.628	120	0.198	>0.500
8	3.083	3.969	1.851	120	0.235	>0.500
9	1.922	3.620	1.846	116	0.503	0.216

\*low threshold value because 1 point was low

\*\*low threshold due to bimodal distribution

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