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# Equitable list colorings of planar graphs without short cycles\*

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#### 1. Introduction

#### ABSTRACT

A graph *G* is equitably *k*-choosable if, for any *k*-uniform list assignment *L*, *G* is *L*-colorable and each color appears on at most  $\lceil \frac{|V(G)|}{k} \rceil$  vertices. A graph *G* is equitably *k*-colorable if *G* has a proper *k*-vertex coloring such that the sizes of any two color classes differ by at most 1. In this paper, we prove that every planar graph *G* is equitably *k*-choosable and equitably *k*-colorable if one of the following conditions holds: (1) *G* is triangle-free and  $k \ge \max{\Delta(G), 8}$ ; (2) *G* has no 4- and 5-cycles and  $k \ge \max{\Delta(G), 7}$ .

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All graphs considered in this paper are finite, undirected and simple. A plane graph is a particular drawing of a planar graph in the Euclidean plane. For a plane graph *G*, we denote its vertex set, edge set, face set, order, maximum degree and minimum degree by V(G), E(G), F(G), |V(G)|,  $\Delta(G)$  and  $\delta(G)$  respectively  $(V, E, F, |V|, \Delta \text{ and } \delta$  for short). For  $v \in V(G)$ , let  $d_G(v)$  (d(v) for short) denote the degree of v in *G*. For  $f \in F(G)$ , let  $d_G(f)$  (d(f) for short) denote the number of edges on the boundary of *f*, where each cut edge is counted twice. A vertex v (face *f*) is called a *k*-vertex (*k*-face) if d(v) = k (d(f) = k). A vertex v (face *f*) is called a  $k^+$ -vertex ( $k^+$ -face) if  $d(v) \ge k$  ( $d(f) \ge k$ ). For  $f \in F(G)$ , we use b(f) and V(f) to denote the boundary walk of *f* and the vertices on the boundary walk respectively. A face *f* of *G* is called a simple face if b(f) forms a cycle. Obviously, each *k*-face ( $k \le 5$ ) is a simple face when  $\delta \ge 2$ . A simple *k*-face *f* of *G* is called a ( $d_1, d_2, \ldots, d_k$ )-face if the vertices of *f* are, respectively, of degree  $d_1, d_2, \ldots, d_k$ . Let P(v) and Q(v) denote the set of 4-faces and 5-faces incident to the vertex v, respectively. Let  $n_k(f)$  denote the number of 2-vertices adjacent to the vertex v. A graph *G* is called *d*-degenerate if every induced subgraph *H* of *G* has a vertex of degree at most *d*. A graph *G* is equitably *k*-colorable if, for any *k*-uniform list assignment L, *G* is *L*-colorable and each color appears on at most  $\lceil \frac{|V(G)|}{k} \rceil$  vertices. A graph *G* is equitably *k*-colorable if *G* has a proper *k*-vertex coloring such that the sizes of any two color classes differ by at most 1. The smallest integer *k* for which *G* is equitably *k*-colorable is called the equitable chromatic number of *G*, denoted by  $\chi_e(G)$ .

Equitable colorings naturally arise in some scheduling, partitioning and load balancing problems. In contrast with ordinary coloring, a graph may have an equitable *k*-coloring but have no equitable (k+1)-coloring. For example, the complete bipartite graph  $K_{2n+1,2n+1}$  for  $n \ge 1$  has an equitable 2-coloring but has no equitable (2n + 1)-coloring.

In 1970, Hajnál and Szemerédi [1] proved that every graph has an equitable *k*-coloring whenever  $k \ge \Delta + 1$ . This bound is sharp for some special graph classes. In 1973, Meyer [2] introduced the notion of equitable coloring and made the following conjecture:

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**Conjecture 1.** The equitable chromatic number of a connected graph, which is neither a complete graph nor odd cycle, is at most  $\Delta$ .

In 1994, Chen, Lih and Wu [3] put forth the following conjecture:

**Conjecture 2.** A connected graph is equitably  $\Delta$ -colorable if it is different from  $K_m$ ,  $C_{2m+1}$  and  $K_{2m+1,2m+1}$  for  $m \geq 1$ .

This conjecture has been confirmed for graphs with  $\Delta \leq 3$  or  $\Delta \geq \frac{|V|}{2}$  [3], trees [4], bipartite graphs [5], outerplanar graphs [6], planar graphs with  $\Delta \geq 13$  [7], line graphs [8] and *d*-degenerate graphs with  $\Delta \geq 14d + 1$  [9].

In 2003, Kostochka, Pelsmajer and West [10] introduced the list analogue of equitable coloring. A list assignment *L* for a graph *G* assigns to each vertex  $v \in V(G)$  a set L(v) of acceptable colors. An *L*-coloring of *G* is a proper vertex coloring such that for every  $v \in V(G)$  the color on *v* belongs to L(v). A list assignment *L* for *G* is *k*-uniform if |L(v)| = k for all  $v \in V(G)$ .

Given a *k*-uniform list assignment *L* for a graph *G*, we say that *G* is equitably *L*-colorable if *G* has an *L*-coloring such that each color appears on at most  $\lceil \frac{|V(G)|}{k} \rceil$  vertices. A graph *G* is equitably list *k*-colorable or equitably *k*-choosable if *G* is equitably *L*-colorable whenever *L* is a *k*-uniform list assignment for *G*. In [10], Kostochka, Pelsmajer and West also conjectured the analogue of the Hajnál and Szemerédi Theorem [1]:

**Conjecture 3.** Every graph is equitably k-choosable whenever  $k \ge \Delta + 1$ .

It has been proved that Conjecture 3 holds for graphs with  $\Delta \leq 3$  independently in [11,12].

**Conjecture 4.** If *G* is a connected graph with  $\Delta \geq 3$ , then *G* is equitably  $\Delta$ -choosable unless *G* is a complete graph or is  $K_{2m+1,2m+1}$ .

Kostochka, Pelsmajer and West [10] proved that a graph *G* is equitably *k*-choosable if either  $G \neq K_{k+1}$ ,  $K_{k,k}$  (with *k* odd in the later case) and  $k \geq \max\{\Delta, \frac{|V|}{2}\}$ , or *G* is a forest and  $k \geq 1 + \frac{\Delta}{2}$ , or *G* is a connected interval graph and  $k \geq \Delta$ , or *G* is a 2-degenerate graph and  $k \geq \max\{\Delta, 5\}$ . Pelsmajer [11] proved that every graph is equitably *k*-chooable for any  $k \geq \frac{\Delta(\Delta-1)}{2} + 2$ .

In this paper we prove that every triangle-free plane graph is equitably *k*-choosable and equitably *k*-colorable whenever  $k \ge \max\{\Delta, 8\}$ , and every plane graph without 4- and 5-cycles is equitably *k*-choosable and equitably *k*-colorable whenever  $k \ge \max\{\Delta, 7\}$ .

#### 2. Triangle-free planar graphs

**Lemma 1** ([10]). Let *G* be a graph with a *k*-uniform list assignment *L*. Let  $S = \{v_1, v_2, ..., v_k\}$ , where  $\{v_1, v_2, ..., v_k\}$  are distinct vertices in *G*. If *G* – *S* has an equitable *L*-coloring and  $|N_G(v_i) - S| \le k - i$  for  $1 \le i \le k$ , then *G* has an equitable *L*-coloring.

**Lemma 2.** Let  $S = \{v_1, v_2, \dots, v_k\}$ , where  $\{v_1, v_2, \dots, v_k\}$  are distinct vertices in graph *G*. If *G* – *S* has an equitable *k*-coloring and  $|N_G(v_i) - S| \le k - i$  for  $1 \le i \le k$ , then *G* has an equitable *k*-coloring.

**Proof.** Let  $G_i = G - \{v_{i+1}; v_{i+2}, \dots, v_k\}$ , so that  $G - S = G_0$  and  $G = G_k$ . Let  $f_0$  be an equitable *k*-coloring of  $G_0$ . For  $1 \le i \le k$ , extend  $f_{i-1}$  to a *k*-coloring  $f_i$  of  $G_i$  by giving  $v_i$  a color different from the colors that  $f_i$  has used on neighbors of  $v_i$  and on the vertices  $v_1, v_2, \dots, v_i$ . Condition  $|N_G(v_i) - S| \le k - i$  for  $1 \le i \le k$  guarantees that this is possible. By construction, the colors used on *S* are distinct, and hence  $f_k$  is an equitable *k*-coloring of *G*.  $\Box$ 

Lemma 3. Every triangle-free plane graph is 3-degenerate.

**Lemma 4** ([12]). Every graph with  $\Delta \leq 3$  is equitably *k*-choosable whenever  $k \geq \Delta + 1$ .

**Lemma 5** ([1]). Every graph has an equitable *k*-coloring whenever  $k \ge \Delta + 1$ .

Lemma 6. Every connected triangle-free plane graph G with order at least 5 has one of the following configurations





 $4 \le d(x_{k-4}), d(x_{k-5}), d(x_{k-6}) \le 6$ 

**Remark.** In the above, each configuration represents subgraphs for which: (1) the degree of a solid vertex is exactly shown, (2) except for special pointed, the degree of a hollow vertex may be any integer from  $[d, \Delta]$ , where *d* is the number of edges incident to the hollow vertex, (3) hollow vertices may be not distinct while solid vertices are distinct.

**Proof.** Suppose *G* is a counterexample, then *G* is a connected triangle-free plane graph with order at least 5 and without configurations  $H_1 \sim H_{21}$ . We rewrite the Euler's formula |V(G)| - |E(G)| + |F(G)| = 2 into the following equivalent form:

$$\sum_{e \in V(G)} (2d(v) - 6) + \sum_{f \in F(G)} (d(f) - 6) = -12.$$

We define a weight function w by w(v) = 2d(v) - 6 for  $v \in V(G)$  and w(f) = d(f) - 6 for  $f \in F(G)$ . Thus  $\sum_{x \in V \cup F} w(x) = -12$ . We will design appropriate discharging rules and redistribute weights accordingly. Once discharging is finished, a new weight function w' is produced while the total sum of weights is kept fixed. For  $x, y \in V(G) \cup F(G)$ , we use  $\tau(x \to y)$  to denote the sum of weights discharged from x to y according to our rules.

By Lemma 3, we have  $\delta(G) \leq 3$ . We consider the following three cases:

**Case 1:**  $\delta(G) = 3$ 

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Our discharging rule is defined as follows:

(R) If  $d(v) \ge 4$ , then  $\tau(v \to f) = \frac{w(v)}{d(v)}$  for each  $f \in Q(v) \cup P(v)$ .

We give the following obvious properties:

(P1) If d(v) = 4, then  $\tau(v \to f) = \frac{w(v)}{d(v)} = \frac{2}{4} = \frac{1}{2}$  for each  $f \in Q(v) \cup P(v)$ .

(P2) If d(v) = 5, then  $\tau(v \to f) = \frac{w(v)}{d(v)} = \frac{4}{5}$  for each  $f \in Q(v) \cup P(v)$ . (P3) If  $d(v) \ge 6$ , then  $\tau(v \to f) = \frac{w(v)}{d(v)} = \frac{2d(v)-6}{d(v)} \ge 1$  for each  $f \in Q(v) \cup P(v)$ .

Let  $v \in V$ . If d(v) = 3, then w'(v) = w(v) = 0. If  $d(v) \ge 4$ , then  $w'(v) \ge 0$  by (R).

Let  $f \in F$ . If  $d(f) \ge 6$ , then  $w'(f) = w(f) = d(f) - 6 \ge 0$ .

If d(f) = 5, then  $n_3(f) \le 3$  since G has no  $H_1$ . Hence, f is a  $(3^+, 3^+, 3^+, 4^+, 4^+)$ -face. Thus,  $w'(f) \ge 5 - 6 + \frac{1}{2} \times 2 = 0$  by  $(P1) \sim (P3).$ 

If d(f) = 4, then  $n_3(f) \leq 2$  since G has no  $H_2$  and  $H_3$ . If, furthermore,  $n_3(f) = 2$ , then  $n_4(f) = 0$ . Therefore, f is a  $(3, 3, 5^+, 5^+)$ -face if  $n_3(f) = 2$ .

Let  $n_3(f) = 2$ , then f is a  $(3, 3, 5^+, 5^+)$ -face. Since G has no  $H_4$  and  $H_5$ , there is at most one  $(3, 3, 5, 5^+)$ -face  $f_1$ . By (P2) and (P3),  $w'(f_1) \ge 4 - 6 + \frac{4}{5} \times 2 = -\frac{2}{5}$ . If *f* is a (3, 3, 6<sup>+</sup>, 6<sup>+</sup>)-face, then  $w'(f) \ge 4 - 6 + 1 \times 2 = 0$  by (P3).

Let  $n_3(f) = 1$  and  $n_4(f) \ge 2$ , then f is a  $(3, 4, 4, 4^+)$ -face. Since G has no  $H_6$  and  $H_7$ , there is at most one (3, 4, 4, 4)-face  $f_2$ or at most one (3, 4, 4, 5)-face  $f_3$  ( $f_2$ ,  $f_3$  do not exist at the same time). By (P1) and (P2),  $w'(f_2) \ge 4 - 6 + \frac{1}{2} \times 3 = -\frac{1}{2}$  and  $w'(f_3) \ge 4 - 6 + \frac{1}{2} \times 2 + \frac{4}{5} = -\frac{1}{5}$ . If *f* is a (3, 4, 4, 6<sup>+</sup>)-face, then  $w'(f) \ge 4 - 6 + \frac{1}{2} \times 2 + 1 = 0$  by (P1) and (P3). Let  $n_3(f) = 1$ and  $n_4(f) \le 1$ , then f is a  $(3, 4^+, 5^+, 5^+)$ -face. Thus,  $w'(f) \ge 4 - 6 + \frac{1}{2} + \frac{4}{5} \times 2 > 0$  by (P1) ~ (P3).

Let  $n_3(f) = 0$ , then f is a  $(4^+, 4^+, 4^+, 4^+)$ -face. Thus,  $w'(f) \ge 4 - 6 + \frac{1}{2} \times 4 = 0$  by (P1) ~ (P3).

Thus, it follows from the above argument that  $-12 = \sum_{x \in V \cup F} w(x) = \sum_{x \in V \cup F} w'(x) \ge -\frac{2}{5} - \frac{1}{2} = -\frac{9}{10}$ , which is a contradiction.

**Case 2:**  $\delta(G) = 2$ 

Subcase 2.1: There is one 2-vertex in G

The total weights of 2-vertex, 4-faces incident to a 2-vertex and 5-faces incident to a 2-vertex are not less than  $(-2) + (-2) \times 2 = -6$ . The discharging rule is the same as in Case 1 (2-vertex, 4-faces incident to a 2-vertex and 5-faces incident to a 2-vertex are not considered), then  $-12 = \sum_{x \in V \cup F} w(x) = \sum_{x \in V \cup F} w'(x) \ge -\frac{69}{10}$ , which is a contradiction.

Subcase 2.2: There are two 2-vertices in G

If the two 2-vertices are incident to one common face, then the total weights of 2-vertices, 4-faces incident to a 2vertex and 5-faces incident to a 2-vertex are not less than  $(-2) \times 2 + (-2) \times 3 = -10$ . The discharging rule is the same as in Case 1 (2-vertices, 4-faces incident to a 2-vertex and 5-faces incident to a 2-vertex are not considered), then  $-12 = \sum_{x \in V \cup F} w(x) = \sum_{x \in V \cup F} w'(x) \ge -\frac{109}{10}$ , which is a contradiction.

If two 2-vertices are not incident to one common face, then the discharging rule is the same as in Case 1 (2-vertices and 5faces incident to a 2-vertex are not considered). If d(f) = 4 and  $n_2(f) = 1$ , then f is a  $(2, 4^+, 4^+, 3^+)$ -faces since G has no  $H_{13}$ . Thus,  $w'(f) \ge -2 + \frac{1}{2} \times 2 = -1$  by (P1) ~ (P3). Therefore, the new total weights of 2-vertices, 4-faces incident to a 2-vertex and 5-faces incident to a 2-vertex are not less than  $(-2) \times 2 + (-1) \times 4 = -8$ . Hence,  $-12 = \sum_{x \in V \cup F} w(x) = \sum_{x \in V \cup F} w'(x) \ge -\frac{89}{10}$ . which is a contradiction.

Subcase 2.3: There are at least three 2-vertices in G

Since G has no  $H_8$ , there are no two adjacent 2-vertices. Since G has no  $H_9$ , there is at most one 2-vertex which is adjacent to a 3-vertex.

If there is one 2-vertex  $v_1$  which is adjacent to a 3-vertex, since G has no  $H_9$ , there is no 2-vertex which is adjacent to a 4-vertex other than  $v_1$ . Thus,  $w(v_1) = -2$ .

If there is one 2-vertex which is adjacent to a 4-vertex, since G has no  $H_{10}$ , there is at most one 2-vertex  $v_2$  which is adjacent to 4-vertices. Thus,  $w(v_2) = -2$ .

We will consider 2-vertices which are adjacent to two 5<sup>+</sup>-vertices only while the weight of 2-vertex which is adjacent to a 3-vertex or 4-vertex kept fixed in the following.

Our discharging rules are as follows:

(R'1) Every 5<sup>+</sup>-vertex sends 1 to each adjacent 2-vertex.

(R'2) If 
$$d(v) = 4$$
, then  $\tau(v \to f) = \frac{1}{2}$  for each  $f \in Q(v) \cup P(v)$ .

(R'3) If  $d(v) \ge 5$ , then  $\tau(v \to f) = \frac{w(v) - n_2(v)}{d(v)}$  for each  $f \in Q(v) \cup P(v)$ . Let v be a 5<sup>+</sup>-vertex, since G has no  $H_{11}$ , we have  $n_2(v) \le 1$ . We give the following obvious properties:

(P'1) If d(v) = 5, then  $\tau(v \to f) \ge \frac{4-1}{5} = \frac{3}{5}$  for each  $f \in Q(v) \cup P(v)$  by (R'3) when  $n_2(v) = 1$ , otherwise,  $\tau(v \to f) \ge \frac{4}{5}$  by (R'3).

 $\begin{array}{l} (P'2) \text{ If } d(v) = 6, \text{ then } \tau(v \to f) \geq \frac{6-1}{6} = \frac{5}{6} \text{ for each } f \in Q(v) \cup P(v) \text{ by } (R'3). \\ (P'3) \text{ If } d(v) \geq 7, \text{ then } \tau(v \to f) \geq \frac{2d(v)-6-1}{d(v)} \geq 1 \text{ for each } f \in Q(v) \cup P(v) \text{ by } (R'3). \\ \text{Let } v \in V. \text{ If } d(v) = 2, \text{ then } w'(v) = -2 + 1 \times 2 = 0 \text{ for each } 2\text{-vertex which is adjacent to two } 5^+\text{-vertices by } (R'1). \end{array}$ 

If d(v) = 3, then w'(v) = w(v) = 0.

If d(v) = 4, then  $w'(v) \ge 2 - \frac{1}{2} \times 4 = 0$  by (R'2).

If  $d(v) \ge 5$ , then  $w'(v) \ge 0$  by  $(P'1) \sim (P'3)$  and (R'3).

Let  $f \in F$ . If  $d(f) \ge 6$ , then  $w'(f) = w(f) = d(f) - 6 \ge 0$ .

If d(f) = 5, then  $n_2(f) + n_3(f) \le 3$  since G has no  $H_1$ . Hence, f is a  $(2^+, 2^+, 4^+, 4^+)$ -face. Thus,  $w'(f) \ge 5 - 6 + \frac{1}{2} \times 2 = 0$ by (R'2) and  $(P'1) \sim (P'3)$ .

If d(f) = 4, then f is a  $(2^+, 3^+, 2^+, 3^+)$ -face since there are no two adjacent 2-vertices.

Let  $n_2(f) \ge 1$ , then f is a  $(2, 3^+, 2^+, 3^+)$ -face. Since G has no  $H_{12}$ , f is  $(2, 3^+, 4^+, 3^+)$ -face. Furthermore, since G has no  $H_{13}$ , f is a  $(2, 4^+, 4^+, 4^+)$ -face. Since G has no  $H_{14}$ ,  $(2, 4^+, 4^+, 4^+)$ -faces are none but  $(2, 4, 4^+, 7^+)$ -faces  $f_4$ ,  $(2, 5, 4^+, 7^+)$ -faces  $f_5$  and  $(2, 6^+, 4^+, 6^+)$ -faces  $f_6$ . By (R'2) and (P'1) ~ (P'3),  $w'(f_4) \ge 4 - 6 + \frac{1}{2} \times 2 + 1 = 0$ ,  $w'(f_5) \ge 4 - 6 + \frac{1}{2} + \frac{3}{5} + 1 > 0$  and  $w'(f_6) \ge 4 - 6 + \frac{1}{2} + \frac{5}{5} \times 2 > 0$ .

Let  $n_2(f) = 0$ . Since *G* has no  $H_2$  and  $H_3$ ,  $n_3(f) \le 2$ .

Let  $n_2(f) = 0$  and  $n_3(f) = 2$ , then f is a  $(3, 3, 4^+, 4^+)$ -face. Since G has no  $H_{15}$  and  $H_{16}$ , f is a  $(3, 3, 7^+, 7^+)$ -face. Thus,  $w'(f) \ge 4 - 6 + 1 \times 2 = 0$  by (P'3).

Let  $n_2(f) = 0$  and  $n_3(f) = 1$ , then f is a  $(3, 4^+, 4^+, 4^+)$ -face. Since G has no  $H_{17} \sim H_{19}$ , there is at most one 4-face, denoted by  $f_7$ , which is incident to one 3-vertex, one 4-vertex, one vertex of degree at most 5 and one vertex of degree at most 6. By (R'2) and  $(P'1) \sim (P'2)$ ,  $w'(f_7) \ge 4 - 6 + \frac{1}{2} \times 3 = -\frac{1}{2}$ .

If *G* has  $f_7$ , then the other  $(3, 4^+, 4^+, 4^+)$ -faces are  $(3, 4^+, 4^+, 7^+)$ -faces  $f_8$ . By (R'2) and  $(P'1) \sim (P'3)$ ,  $w'(f_8) \ge 4 - 6 + \frac{1}{2} \times 2 + 1 = 0$ .

If *G* has no  $f_7$ , then  $(3, 4^+, 4^+, 4^+)$ -faces are none but  $(3, 4, 6^+, 6^+)$ -faces,  $(3, 4, 4^+, 7^+)$ -faces and  $(3, 5^+, 5^+, 5^+)$ -faces. If *f* is a  $(3, 4, 6^+, 6^+)$ -face, then  $w'(f) \ge 4 - 6 + \frac{1}{2} + \frac{5}{6} \times 2 > 0$  by (R'2) and (P'2) ~ (P'3).

If *f* is a  $(3, 4, 4^+, 7^+)$ -face, then  $w'(f) \ge 4 - 6 + \frac{1}{2} \times 2 + 1 = 0$  by (R'2) and  $(P'1) \sim (P'3)$ .

Let *f* be a (3, 5, 5, 5)-face, since *G* has no  $H_{20}$ , there is at least one 5-vertex *v* on *V*(*f*) such that  $n_2(v) = 0$ . Hence,  $w'(f) \ge 4 - 6 + \frac{4}{5} + \frac{3}{5} \times 2 = 0$  by (P'1).

Let *f* be a  $(3, 5^+, 5^+, 6^+)$ -face, then  $w'(f) \ge 4 - 6 + \frac{3}{5} \times 2 + \frac{5}{6} > 0$  by  $(P'1) \sim (P'3)$ .

Let  $n_2(f) = 0$  and  $n_3(f) = 0$ , then f is a  $(4^+, 4^+, 4^+)$ -face. Thus,  $w'(f) \ge 4 - 6 + \frac{1}{2} \times 4 = 0$  by (R'2) and  $(P'1) \sim (P'3)$ . Thus, it follows from the above argument that  $-12 = \sum_{x \in V \cup F} w(x) = \sum_{x \in V \cup F} w'(x) \ge -2 - \frac{1}{2} = -\frac{5}{2}$ , which is a contradiction.

**Case 3:**  $\delta(G) = 1$ 

Since *G* has no  $H_{21}$ , there are at most two 1-vertices. Furthermore, there is no 2-vertex while there are two 1-vertices. Since *G* has no  $C_3$ , every *k*-face ( $k \le 5$ ) is a simple face.

**Subcase 3.1:** There are two 1-vertices in *G* 

The total weights of 1-vertices is  $(-4) \times 2 = -8$ . The discharging rule is the same as in Case 1 (1-vertices are not considered), then  $-12 = \sum_{x \in V \cup F} w(x) = \sum_{x \in V \cup F} w'(x) \ge -\frac{89}{10}$ , which is a contradiction.

**Subcase 3.2:** There is one 1-vertex and at most one 2-vertex in *G* 

The total weights of 1-vertex, 2-vertex and 4-face incident to a 2-vertex or 5-face incident to a 2-vertex is not less than  $-4 + (-2) + (-2) \times 2 = -10$ . The discharging rule is the same as in Case 1 (1-vertex, 2-vertex and 4-face incident to a 2-vertex or 5-face incident to a 2-vertex are not considered), then  $-12 = \sum_{x \in V \cup F} w(x) = \sum_{x \in V \cup F} w'(x) \ge -\frac{109}{10}$ , which is a contradiction.

Subcase 3.3: There is one 1-vertex and at least two 2-vertices in G

Since *G* has no  $H_8$ , there is no 2-vertex which is adjacent a 1-vertex. The total weight of 1-vertex is -4. The discharging rules are the same as in Subcase 2.3 (1-vertex is not considered). If there are exactly two 2-vertices, then the 1-vertex  $v_0$  can be considered as a 2-vertex while the weight of  $v_0$  will kept fixed. Therefore, this case can be also considered as Subcase 2.3. Hence, we have  $-12 = \sum_{x \in V \cup F} w'(x) = \sum_{x \in V \cup F} w'(x) \ge -4 - \frac{5}{2} = -\frac{13}{2}$ , which is a contradiction.  $\Box$ 

**Theorem 7.** If *G* is a triangle-free plane graph and  $k \ge \max\{\Delta(G), 8\}$ , then *G* is equitably *k*-choosable.

**Proof.** We use induction on |V(G)|. If  $|V(G)| \le k$ , then we color all vertices using different colors from their lists. Suppose now that  $|V(G)| > k \ge 8$ . If every component of *G* has at most 4 vertices, then  $\Delta(G) \le 3$ . By Lemma 4, *G* is equitably *k*-choosable. Otherwise, by Lemma 6, *G* has one of the structures  $H_1 \sim H_{21}$ . The vertices are labeled as they are in Lemma 6. If there are vertices labeled repeatedly, then we take the larger. ( $x_i$  is larger than  $x_{i-1}$ ). We will find *S* in Lemma 1.

If *G* has  $H_8$  or  $H_{21}$ , then let  $S' = \{x_k, x_{k-1}, x_{k-2}, x_1\}$ . If *G* has  $H_1$  or  $H_9$ , then let  $S' = \{x_k, x_{k-1}, x_{k-2}, x_{k-3}, x_1\}$ . If *G* has  $H_{10}$  or  $H_{20}$ , then let  $S' = \{x_k, x_{k-1}, \dots, x_{k-4}, x_1\}$ . If *G* has one of  $H_{17} \sim H_{19}$ , then let  $S' = \{x_k, x_{k-1}, \dots, x_{k-6}, x_1\}$ . If *G* has one of  $H_2$ ,  $H_3$  and  $H_{11} \sim H_{13}$ , then let  $S' = \{x_k, x_{k-1}, x_{k-2}, x_2, x_1\}$ . If *G* has one of  $H_4$ ,  $H_5$  and  $H_{14} \sim H_{16}$ , then let  $S' = \{x_k, x_{k-1}, \dots, x_{k-4}, x_2, x_1\}$ . If *G* has one of  $H_4$ ,  $H_5$  and  $H_{14} \sim H_{16}$ , then let  $S' = \{x_k, x_{k-1}, \dots, x_{k-4}, x_2, x_1\}$ . If *G* has  $H_6$  or  $H_7$ , then let  $S' = \{x_k, x_{k-1}, \dots, x_{k-5}, x_2, x_1\}$  and i = 5. We fill the remaining unspecified positions in *S* from highest to lowest indices by choosing at each step a vertex with minimum degree in the graph obtained from *G* by delating the vertices thus far chosen for *S*. Such a vertex always exists because *G* is 3-degenerate by Lemma 3. Since G - S is also a triangle-free plane graph and  $k \ge \Delta(G) \ge \Delta(G - S)$ , by the induction hypothesis, G - S is equitably *k*-choosable. Hence, by Lemma 1, *G* is equitably *k*-choosable. The proof is complete.  $\Box$ 

**Theorem 8.** If *G* is a triangle-free plane graph and  $k \ge \max\{\Delta(G), 8\}$ , then *G* is equitably *k*-colorable.

**Proof.** If every component of *G* has at most 4 vertices, then  $\Delta(G) \leq 3$ . By Lemma 5, *G* is equitably *k*-colorable. In other cases, we can obtain the desired results applying Lemma 2.

Conjectures 1–4 hold for every triangle-free planar graph *G* with  $\Delta(G) \ge 8$ .  $\Box$ 

#### 3. Planar graphs without 4-cycles and 5-cycles

**Lemma 9** ([13]). Every plane graph without 5-cycles is 3-degenerate.

**Lemma 10.** Let G be a connected plane graph with order at least 5. If G has neither 4-cycles nor 5-cycles, then G has one of the following configurations



**Remark.** In the above, each configuration represents subgraphs for which: (1) the degree of a solid vertex is exactly shown, (2) except for special pointed, the degree of a hollow vertex may be any integer from  $[d, \Delta]$ , where d is the number of edges incident to the hollow vertex, (3) hollow vertices may be not distinct while solid vertices are distinct.

**Proof.** Suppose *G* is a counterexample, then *G* is a connected plane graph with order at least 5 and without configurations  $H_8 \sim H_{11}, H_{21} \sim H_{27}$ , 4-cycles and 5-cycles. We use the same Euler's formula and define the same weight function as in the proof of Lemma 6. Similarly, we shall derive a contradiction. Since G has no  $C_4$ , we have  $m_3(v) \leq \lfloor \frac{d(v)}{2} \rfloor$ . By Lemma 10, we have  $\delta(G) \leq 3$ . We consider the following three cases:

**Case 1:**  $\delta(G) = 3$  Our discharging rules are as follows: (R1) Every 4-vertex sends 1 to each of its incident 3-faces. (R2) Every 5<sup>+</sup>-vertex sends 2 to each of its incident 3-faces. Let  $v \in V$ . If d(v) = 3, then w'(v) = w(v) = 0. If d(v) = 4, then  $m_3(v) < 2$ . Thus,  $w'(v) > 2 \times 4 - 6 - 1 \times 2 = 0$  by (R1). If  $d(v) \ge 5$ , then  $m_3(v) \le \lfloor \frac{d(v)}{2} \rfloor$ . Thus,  $w'(v) \ge 2d(v) - 6 - 2 \times \lfloor \frac{d(v)}{2} \rfloor \ge 0$  by (R2). Let  $f \in F$ . If  $d(f) \ge 6$ , then  $w^{\tilde{f}}(f) = w(f) = d(f) - 6 \ge 0$ . If d(f) = 3, then  $n_3(f) \le 2$  since *G* has no  $H_{22}$ . If, furthermore,  $n_3(f) = 2$ , then  $n_4(f) = 0$ . Let  $n_3(f) = 2$ , then f is a  $(3, 3, 5^+)$ - face. Since G contain no  $H_{23}$ , there is at most one  $(3, 3, 5^+)$ - face  $f_1$ . By (R2),  $w'(f_1) = 3 - 6 + 2 = -1.$ Let  $n_3(f) = 1$  and  $n_4(f) = 2$ , then f is a (3, 4, 4)-face. Since G has no  $H_{24}$ , there is at most one (3, 4, 4)-face  $f_2$ . By (R1),  $w'(f_2) = 3 - 6 + 1 \times 2 = -1.$ Since *G* has no  $H_{25}$ ,  $f_1$ ,  $f_2$  do not exist at the same time. Let  $n_3(f) = 1$  and  $n_4(f) \le 1$ , then f is a  $(3, 4^+, 5^+)$ -face. By (R1) and (R2),  $w'(f) \ge 3 - 6 + 1 + 2 = 0$ . Let  $n_3(f) = 0$ , then f is a  $(4^+, 4^+, 4^+)$ -face. By (R1) and (R2),  $w'(f) \ge 3 - 6 + 1 \times 3 = 0$ . Thus, it follows from the above argument that  $-12 = \sum_{x \in V \cup F} w(x) = \sum_{x \in V \cup F} w'(x) \ge -1$ , which is a contradiction. **Case 2:**  $\delta(G) = 2$ Subcase 2.1: There are at most two 2-vertices in G The total weights of 2-vertices and 3-faces incident to 2-vertices is not less than  $(-2) \times 2 + (-3) \times 2 = -10$ . The discharging rules are the same as in Case 1 (2-vertices and 3-faces incident to 2-vertices are not considered), then  $-12 = \sum_{x \in V \cup F} w(x) = \sum_{x \in V \cup F} w'(x) \ge -11$ , which is a contradiction. **Subcase 2.2:** There are at least three 2-vertices in G Since G has no  $H_{26}$ , there is no  $(3, 3, 2^+)$ -face. Since G has no  $H_8$ , there are no two adjacent 2-vertices. Since G has no  $H_9$ , there is at most one 2-vertex which is adjacent to a 3-vertex. If there is one 2-vertex  $v_1$  which is adjacent to a 3-vertex, since G has no  $H_9$ , there is no 2-vertex which is adjacent to a 4-vertex other than  $v_1$ . Thus,  $w(v_1) = -2$ . If there is one 2-vertex  $v_2$  which is adjacent to 4-vertices, since G has no  $H_{10}$ , there is at most one 2-vertex adjacent to 4-vertices. Thus,  $w(v_2) = -2$ . We will consider 2- vertices which are adjacent to two 5<sup>+</sup>-vertices while the weight of 2-vertex which is adjacent to a 3-vertex or 4-vertex kept fixed in the following.

Our discharging rules are as follows:

(R'1) Every 5<sup>+</sup>-vertex sends 1 to each of its adjacent 2-vertices.

(R'2) Every 4-vertex transfers 1 to each of its incident 3-faces.

(R'3) Every 5<sup>+</sup>-vertex transfers  $\frac{w(v)-n_2(v)}{m_3(v)}$  to each of its incident 3-faces  $(m_3(v) \neq 0)$ . Let v be a 5<sup>+</sup>-vertex, since G has no  $H_{11}$ , we have  $n_2(v) \leq 1$ . We give the following obvious properties:

(P1) Let *v* be a 5-vertex and *f* be a 3-face incident to *v*, then  $\tau(v \to f) \ge \frac{4-1}{2} = \frac{3}{2}$  by (R'3).

(P2) Let *v* be a 6-vertex and *f* be a 3-face incident to *v*, then  $\tau(v \to f) \ge \frac{6-1}{3} = \frac{5}{3}$  by (R'3).

(P3) Let v be a 7<sup>+</sup>-vertex and f be a 3-face incident to v, then  $\tau(v \to f) \ge \frac{2d(v)-6-1}{\lfloor \frac{d(v)}{2} \rfloor} \ge \frac{9}{4}$  by (R'3).

Let  $v \in V$ . If d(v) = 2, then  $w'(v) = -2 + 1 \times 2 = 0$  for each 2-vertex which is adjacent to two 5<sup>+</sup>-vertices by (R'1).

If d(v) = 3, then w'(v) = w(v) = 0.

If d(v) = 4, then  $w'(v) \ge 2 \times 4 - 6 - 1 \times 2 = 0$  by (R'2).

If d(v) > 5. If v is not incident to 3-faces, then w'(v) > 2d(v) - 6 - 1 > 0 by (R'1). Otherwise, w'(v) = 0 by (P1) ~ (P3) and (R'3).

Let  $f \in F$ . If d(f) > 6, then w'(f) = w(f) = d(f) - 6 > 0.

If d(f) = 3, then f is a  $(2^+, 3^+, 3^+)$ -face since there are no two adjacent 2-vertices.

Let  $n_2(f) \ge 1$ . Since there is at most one 2-vertex which is adjacent to a 3-vertex or 4-vertex, there is at most one  $(2, 3, 3^+)$ -face  $f_3$  or  $(2, 4, 4^+)$ -face  $f_4(f_3, f_4$  do not exist at the same time). By (R'2) and  $(P1) \sim (P3)$ ,  $w'(f_3) \geq 3 - 6 = -3$ ,  $w'(f_4) \ge 3 - 6 + 1 \times 2 = -1$ . If *f* is a  $(2, 5^+, 5^+)$ -face, then  $w'(f) \ge 3 - 6 + \frac{3}{2} \times 2 = 0$  by (P1) ~ (P3). Let  $n_2(f) = 0$  and  $n_3(f) \ge 1$ . Since *G* has no  $(3, 3, 2^+)$ -face, *f* is a  $(3, 4^+, 4^+)$ -face.

Since G has no  $H_{24}$ , there is at most one (3, 4, 4)-face  $f_2$  or (3, 4, 5)-face  $f_5$  or (3, 4, 6)-face  $f_6$  (at most one of  $f_2$ ,  $f_5$  and  $f_6$ exists). By (R'2) and (P1) and (P3),  $w'(f_2) = 3 - 6 + 1 \times 2 = -1$ ,  $w'(f_5) \ge 3 - 6 + 1 + \frac{3}{2} = -\frac{1}{2}$ ,  $w'(f_6) \ge 3 - 6 + 1 + \frac{5}{3} = -\frac{1}{3}$ . Let *f* be a  $(3, 4, 7^+)$ -face, then  $w'(f) \ge 3 - 6 + 1 + \frac{9}{4} > 0$  by (R'2) and  $(P1) \sim (P3)$ .

Let *f* be a  $(3, 5^+, 5^+)$ -face, then  $w'(f) \ge 3 - 6 + \frac{3}{2} \times 2 = 0$  by (P1) ~ (P3).

Let  $n_2(f) = n_3(f) = 0$ , then f is a  $(4^+, 4^+, 4^+)$ -face. Thus  $w'(f) \ge 3 - 6 + 1 \times 3 = 0$  by (R'2) and  $(P1) \sim (P3)$ .

Then, it follows from the above argument that  $-12 = \sum_{x \in V \cup F} w(x) = \sum_{x \in V \cup F} w'(x) \ge -2 - 3 - 1 = -6$ , which is a contradiction.

**Case 3:**  $\delta(G) = 1$ 

Since G has no  $H_{26}$ , there is no (3, 3, 2<sup>+</sup>)-face. Since G has no  $H_{21}$ , there are at most two 1-vertices.

Subcase 3.1: There are two 1-vertices in G

Since G has no  $H_{21}$ , there is no 2-vertex.

Since G has neither 4-cycles nor 5-cycles, there is no 4-face and at most two 5-faces. The total weights of 1-vertices and 5-faces are  $(-4) \times 2 + (-1) \times 2 = -10$ . The discharging rules are the same as in Case 1 (1-vertices and 5-faces are not considered), then  $-12 = \sum_{x \in V \cup F} w(x) = \sum_{x \in V \cup F} w'(x) \ge -11$ , which is a contradiction. **Subcase 3.2:** There is one 1-vertex and at most one 2-vertex in *G* 

Since G has neither 4-cycles nor 5-cycles, there is no 4-face and at most one 5-face. The total weights of 1-vertex, 2-vertex, 5-face and 3-face which is incident to a 2-vertex are not less than -4 + (-2) + (-1) + (-3) = -10. The discharging rules are the same as in Case 1 (1-vertices, 2-vertices, 5-face and 3-face which is incident to a 2-vertex are not considered), then  $-12 = \sum_{x \in V \cup F} w(x) = \sum_{x \in V \cup F} w'(x) \ge -11$ , which is a contradiction.

Subcase 3.3: There is one 1-vertex and two 2-vertices in G

Since G has neither 4-cycles nor 5-cycles, there is no 4- face and at most one 5-face. Since G has no  $H_{27}$ , there is no 3-face which is incident to 2-vertices. The total weights of 1-vertex, 2-vertices and 5-face are  $-4 + (-2) \times 2 + (-1) = -9$ . The discharging rules are the same as in Case 1(1-vertex, 2-vertices and 5-face are not considered), then  $-12 = \sum_{x \in V \cup F} w(x) = 1$  $\sum_{x \in V \cup F} w'(x) \ge -10$ , which is a contradiction.

Subcase 3.4: There is one 1-vertex and at least three 2-vertices in G

Since G has neither 4-cycles nor 5-cycles, there is no 4-face and at most one 5-face. Since G has no H<sub>8</sub>, then there is no 2-vertex which is adjacent to a 1-vertex. The total weights of 1-vertex and 5-face are not less than -4 + (-1) = -5. The discharging rules are the same as in Subcase 2.2 (1-vertex and 5-face are not considered), then  $-12 = \sum_{x \in V \cup F} w(x) = 1$  $\sum_{x \in V \cup F} w'(x) \ge -11$ , which is a contradiction.  $\Box$ 

**Theorem 11.** Every plane graph G without 4-cycles and 5-cycles is equitably k-choosable whenever  $k > \max{\Delta(G), 7}$ .

**Proof.** The proof is similar to the proof of Theorem 7.  $\Box$ 

**Theorem 12.** Every plane graph *G* without 4-cycles and 5-cycles is equitably *k*-colorable whenever  $k \ge \max\{\Delta(G), 7\}$ .

**Proof.** The proof is similar to the proof of Theorem 8.

Conjectures 1–4 hold for every planar graph *G* with  $\Delta(G) > 7$  and without 4-cycles and 5-cycles.

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