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The Effects of Acute and Chronic Nicotine on GABA and Dopamine Neurons in the  
Midbrain Ventral Tegmental Area

Devin Taylor

A thesis submitted to the faculty of  
Brigham Young University  
in partial fulfillment of the requirements for the degree of  
Master of Science

Scott Steffensen, Ph.D., chair  
Dawson Hedges, M.D.  
Sterling Sudweeks, Ph.D.

Department of Psychology  
Brigham Young University

April 2011

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## ABSTRACT

### The Effects of Acute and Chronic Nicotine on GABA and Dopamine Neurons in the Midbrain Ventral Tegmental Area

Devin Taylor

Department of Psychology

Master of Science

Nicotine (NIC) abuse involves activation of midbrain dopamine (DA) neurons and NIC addiction involves neuroadaptive changes in the mesolimbic DA reward system. GABA neurons in the midbrain ventral tegmental area (VTA) express  $\alpha 4\beta 2$ -containing nicotinic acetylcholine receptors (nAChRs), whose activation increases GABAergic input to DA neurons. However, this initial effect is decreased after chronic NIC treatment (as in the case of smokers) by inducing nAChR desensitization. Thus, GABA neuron inhibition results in increased DA release in limbic structures such as the nucleus accumbens. To support this hypothesis, we evaluated the effects of acute and chronic NIC on GAD-67 positive neurons in the VTA of GAD GFP mice using *in vivo* and *in vitro* electrophysiological methods. In *in vivo* studies in naïve mice, stimulation of the peduncopontine tegmental nucleus (PPT) activated VTA GABA neurons orthodromically and antidromically. Orthodromic activation of VTA GABA neuron spikes by PPT stimulation was blocked by the nAChR mecamylamine (1 mg/kg). Acute systemic NIC (0.15-0.5 mg/kg IV) had mixed overall effects on VTA GABA neuron firing rate, but *in situ* microelectrophoretic application of NIC produced a brisk and consistent enhancement (200-500 %) of VTA GABA neuron firing rate that showed no acute tolerance or sensitization with repeated, periodic current application. Local NIC activation was blocked by systemic administration of mecamylamine. Compared to 12 day chronic saline injections, chronic NIC injections (2 mg/kg IP/day) significantly increased VTA GABA neuron firing rate. In *in vitro* studies, compared to 12 day chronic saline injections, chronic NIC injections decreased DA neuron firing rate. In addition, chronic NIC increased DA neuron, but decreased GABA neuron GABA-mediated sIPSCs. These findings demonstrate that there is reciprocal innervation between the PPT and VTA and that cholinergic input from the PPT is excitatory on VTA GABA neurons. Moreover, VTA GABA neurons are excited by acute NIC and sensitize to chronic NIC, suggesting that  $\alpha 4\beta 2$  nAChR subunits on these neurons may play an important role in the adaptations to chronic NIC. Thus, quantitative molecular studies are ongoing to determine specific alterations in nAChRs on VTA GABA neurons to chronic NIC.

*Keywords:* VTA, GABA, dopamine, nicotine.

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## The Effects of Acute and Chronic Nicotine on GABA and Dopamine Neurons in the Midbrain Ventral Tegmental Area

An enormous accretion of research efforts from numerous laboratories across the country and around the world has been devoted to the study of nicotine (NIC). NIC addiction specifically is one area of focus worth studying due to its biological nature, abuse potential and long-term relapsing effects. Tobacco use is the leading cause of preventable death in the United States (Volkow, 2006). It is associated with the top four leading causes of death in the country (Volkow, 2006). Cigarette smoking claims the lives of 440,000 US citizens each year – more than the combined deaths due to alcohol, cocaine, heroin, homicide, suicide, car accidents, fire, and AIDS (Volkow, 2006). It accounts for four million deaths annually worldwide (WHO, 1999). If current trends continue, smoking will be the cause of one-third of all adult deaths globally in 2020 and the mortality rate from tobacco will climb to ten million a year by 2030 (WHO, 1999). With each puff of a cigarette, a smoker absorbs over 4,000 chemicals, which will cause damage to nearly every part of the body, from cataracts to pneumonia, cancer, heart disease, and lung disease. Tobacco has also been shown to harm unborn children when used by pregnant mothers and is estimated to have caused 910 infant deaths each year from 1997 to 2001 (Volkow, 2006).

The economic cost of tobacco is not as easy to see. Those who generate tobacco products clearly see a financial benefit and consumers find some advantage or they would not be willing to pay for these products. There is no doubt that tobacco producers provide jobs for many workers. However, when weighing the economic benefits of tobacco production against the costs of its use, in terms of health care issues and mortality rates, one analysis



predicted that for every 1000 metric ton increase in tobacco production, there would be a net economic loss of 13.6 million dollars per year (WHO, 1999).

## **Background**

### **Nicotine Addiction**

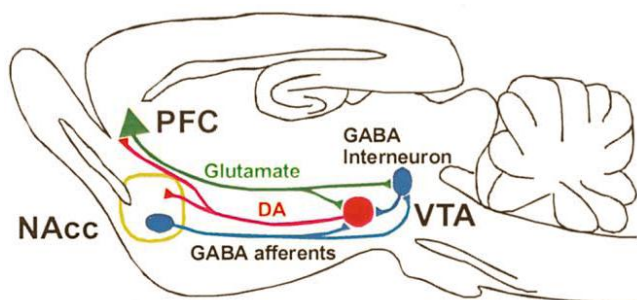
NIC is the major contributor to the maintenance of tobacco use (Benowitz, 1996). After inhalation, NIC is rapidly absorbed by the blood stream and within 10-19 seconds has passed through the brain (Benowitz, 1996). Human and animal studies show that NIC-induced stimulation of neurons in the mesolimbic DA system are central to the reinforcing effects of NIC use (Benowitz, 1996). The mesolimbic system projects from the VTA of the midbrain to the nucleus accumbens and is well-known to be involved in reinforcement of other drugs of abuse.

DA antagonists in the VTA block NIC's addictive effects and cause cessation of self-administration in animal models, indicating that NIC must bind to receptors that cause action potentials and neurotransmitter release in DA neurons. Further research has revealed that NIC binds to nicotinic acetylcholine receptors (nAChR), causing increased firing rates and neurotransmitter release of DA neurons.

The objective of this study is to understand the related process from a neurobiological perspective, supposing that if we understand what neural substrates underlie NIC's effects, we will be better equipped to design appropriate therapies and develop suitable medications for NIC addiction. This thesis contains a review the literature on: NIC addiction; the mesocorticolimbic dopamine reward system; and pharmacology of nicotinic effects.

## Dopamine-dependent Mechanisms in the Mesocorticolimbic System

The mesocorticolimbic dopamine (DA) system consists of projections from the ventral tegmental area (VTA) to structures associated with the limbic system, primarily the nucleus accumbens (NAcc). This system has been implicated in the rewarding effects of drugs of abuse (Blackburn, Phillips, Jakubovic, & Fibiger, 1986; G.F. Koob, 1992; Wise, 1996; Wise & Bozarth, 1987), (McKinzie, Rodd-Henricks, Dagon, Murphy, & McBride, 1999; Pierce & Kumaresan, 2006). The VTA is a relatively amorphous midbrain structure that is inhibited by at least three neuron types: the primary type or DA neurons that project to the NAcc, and the secondary type or  $\gamma$ -amino butyric acid (GABA) neurons that may



**Figure 1. A simplified schematic of the VTA and afferent projections.** Inhibitory GABAergic innervation of VTA DA neurons originates from NAcc, ventral pallidum (not shown), and local interneurons (Mansvelder, Keath, & McGehee, 2002).

participate in local circuitry (acting to inhibit DA neurons) or project to other brain regions, and a population of glutamatergic neurons (Yamaguchi, Sheen, & Morales, 2007). The NAcc

(part of the ventral striatum) is located in the ventral forebrain and can be divided into two structures: the core, and the shell; each have different morphology

and function. The shell portion of the accumbens appears to be more important than the core for drug reward. The medial VTA, running rostral to caudal seems hold the greatest concentration of DA neurons that project to the shell region of the NAcc (Ikemoto, 2007).

Many drugs of abuse act in both the VTA and the NAcc. However, most rats and mice will self-administer (SA) cocaine (David, Segu, Buhot, Ichaye, & Cazala, 2004; Rodd,

et al., 2005), ethanol (Gatto, McBride, Murphy, Lumeng, & Li, 1994; Rodd, et al., 2004), NIC (Laviolette & van der Kooy, 2003), cannabinoids (Zangen, Solinas, Ikemoto, Goldberg, & Wise, 2006) and opiates (Bozarth & Wise, 1981; David & Cazala, 1994; Devine & Wise, 1994; Welzl, Kuhn, & Huston, 1989) into the VTA. Taken together, these data suggest that DA neurons in the VTA that project to the shell of NAcc, and the GABA neurons that may inhibit these DA neurons locally in the VTA, play an important role in mediating addiction to various drugs of abuse.

### **Dopamine-independent Mechanisms in the Mesocorticolimbic System**

Early versions of the DA hypothesis for reward suggested that DA might be crucial for all drug reward, but phencyclidine, morphine, and NIC have both DA-dependent and DA-independent rewarding effects. It is also questionable whether the rewarding effects of benzodiazepines, barbiturates, or caffeine are DA-dependent. The emerging view is that DA is crucial for the rewarding effects of the psychomotor stimulants and is important, but perhaps not crucial, for the rewarding effects of the opiates, NIC, cannabis and E. So, the notion that DA-dependent mechanisms are the final common pathway in the processes mediating drug or natural reward is perhaps too restrictive. In support of DA-independent mechanisms for reward, a considerable number of electrophysiological studies in freely-moving animals have shown that only a low percentage of NAcc neurons exhibit discharge correlations either during heroin, cocaine or ethanol SA, or focused attention (Carelli & Deadwyler, 1994; Chang, Zhang, Janak, & Woodward, 1997; Peoples & West, 1996). A lack of DA involvement in drug reinforcement has also been demonstrated for oral ethanol SA (Rassnick, Stinus, & Koob, 1993), and ethanol conditioned place preference (CPP) (Cunningham & Noble, 1992; Risinger, Dickinson, & Cunningham, 1992) as well as for

cocaine SA (Goeders & Smith, 1983) and cocaine CPP (Mackey & Van der Kooy, 1985; Spyraiki, Fibiger, & Phillips, 1982). The role of DA in cocaine SA has been called into question by studies demonstrating that DA-transporter knockout mice continue to SA cocaine (Rocha, et al., 1998). A lack of DA involvement in intracranial self-administration (ICSS) has also been reported (Kilpatrick, Rooney, Michael, & Wightman, 2000). Furthermore, the accumulating evidence strongly suggests that GABA neurons in both the VTA and the NAcc appear to play critical roles in opioid reward (for review see (Xi & Stein, 2002)). More recently, it has been reported that GABA<sub>A</sub> receptors in the mammalian VTA serve as a potential addiction switching mechanism by gating reward transmission through one of two neural motivational systems: Either a DA-independent (opiate-naive) or a DA-dependent (opiate-dependent or opiate-withdrawn) system (Laviolette, Gallegos, Henriksen, & van der Kooy, 2004). After opiate exposure and subsequent withdrawal, the functional conductance properties of the rat VTA GABA<sub>A</sub> receptor switch from an inhibitory to an excitatory signaling mode. Other behavioral studies have shown that chemical destruction of DA terminals in the NAcc with 6-OHDA had no effect on morphine or heroin SA (Dworkin, Guerin, Co, Goeders, & Smith, 1988; Ettenberg, Pettit, Bloom, & Koob, 1982; Pettit, Ettenberg, Bloom, & Koob, 1984). Furthermore, pretreatment with relatively high doses of haloperidol, a DA receptor antagonist, failed to block the reinstatement of heroin-seeking behavior upon presentation of stimuli that predicted heroin administration indicating that the “renewed” motivation to seek heroin reinforcement produced by reintroduction of heroin-predictive cues is not dependent upon DAergic substrates. This finding challenges the notion, at least for opioids, that DA circuits are critical for drug-seeking behavior (DiChiara & North, 1992; Fontana, Post, & Pert, 1993; Robinson & Berridge, 1993). Indeed, it has

been suggested that DA neurons do not appear to be reward neurons per se, but may be critical for initiating drug use, and, more importantly, for reinstating drug use during protracted abstinence (G. F. Koob & Le Moal, 1997). Accordingly, DA neurotransmission may be only important in mediating the motivational effects of drugs in dependent animals and that the pedunculopontine tegmental nucleus mediates the rewarding properties of drugs when animals are non-dependent (Bechara & van der Kooy, 1992; Nader & van der Kooy, 1994). These studies provide evidence for the existence of DA-independent pathways that also play a role in mediating the reinforcing or rewarding properties of drugs.

### **Nicotine and the Reward System**

Nicotine's addictive power is largely attributable to actions on DA cell bodies in the VTA and their projections terminating in the nucleus accumbens (NAcc). Physiologically relevant NIC concentrations have been shown to activate both pre- and postsynaptic nAChR (MacDermott, Role, & Siegelbaum, 1999; McGehee, Heath, Gelber, Devay, & Role, 1995; McGehee & Role, 1995; Wonnacott, 1997). A two state model was offered in explanation of this phenomenon in 1957, in which NIC could convert active nAChR to a desensitized conformation (Katz & Thesleff, 1957). This two-state model of nAChR desensitization has been supported by many experimental studies of peripheral and central nAChRs (Del Castillo & Webb, 1977; Feltz & Trautmann, 1982; Heidmann, Bernhardt, Neumann, & Changeux, 1983; Ochoa, Chattopadhyay, & McNamee, 1989; Ochoa, Li, & McNamee, 1990; Rang & Ritter, 1970; Sakmann, Patlak, & Neher, 1980; Walker, Takeyasu, & McNamee, 1982; Weber, David-Pfeuty, & Changeux, 1975). It has been shown that chronic administration of NIC results in receptor up-regulation--like a functional antagonist (Marks, Burch, & Collins, 1983; Schwartz & Kellar, 1985; Wonnacott, 1990). These new receptors are fully functional

(Marks, et al., 1983; Nguyen, Rasmussen, & Perry, 2004; Schwartz & Kellar, 1983). It is interesting to note that this same effect can be seen with the administration of acetylcholine esterase inhibitors (Mansvelder, et al., 2002).

Due to the available number of nAChR subunits, their individual construction can be quite diverse. Activation and desensitization of these diverse nAChRs may be crucial factors underlying the effects of NIC on the VTA (Mansvelder, et al., 2002; Mansvelder & McGehee, 2000) and the NAcc (de Rover, Lodder, Kits, Schoffelmeer, & Brussaard, 2002). There is a

**Figure 2. A schematic of the role of nAChRs in the control of VTA DA neuron excitability.**

Under control conditions (upper panel) non- $\alpha 7$  nAChRs can excite DA and GABA neurons directly, while  $\alpha 7$  receptors can enhance release from glutamatergic terminals.

Endogenous ACh release from brainstem cholinergic neurons contributes to the GABAergic input to VTA DA neurons. In the presence of nicotine concentrations similar to those found in a smoker's blood (lower panel), the non- $\alpha 7$  nAChRs desensitize rapidly, effectively inhibiting GABAergic inputs to the DA neurons. The  $\alpha 7$  nAChRs will not desensitize as much, which means that glutamatergic inputs will be enhanced as the GABAergic inputs are depressed, thus leading to a net increase in excitation of the DA neurons (H. D. Mansvelder and D. S. McGehee, 2002).

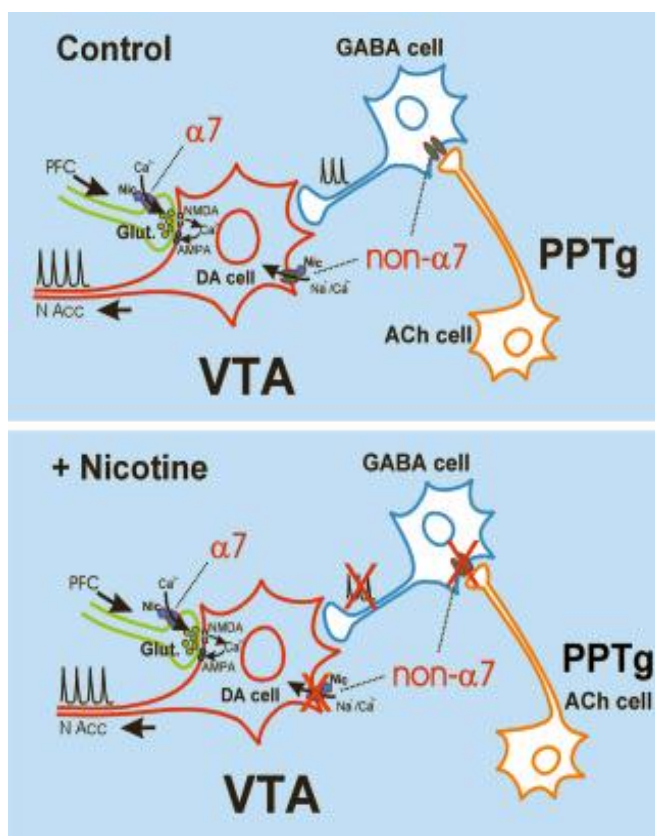
differential distribution of nAChR subtypes on

midbrain neurons and neuronal terminals, i.e.

GABA neurons, glutamate (GLU) terminals, and

DA cell bodies (Mansvelder, et al., 2002;

Mansvelder & McGehee, 2000; Pidoplichko,



DeBiasi, Williams, & Dani, 1997). The DA neurons express a variety of mRNAs ranging from  $\alpha 2$ -7 to  $\beta 2$ -4 (Champtiaux, et al., 2002; Charpentier, Barneoud, Moser, Besnard, & Sgard, 1998; Klink, de Kerchove d'Exaerde, Zoli, & Changeux, 2001). A majority of DA neurons express nAChR that can be blocked by mecamylamine at concentrations that block non- $\alpha 7$  containing nAChR, less than half the DA neurons express  $\alpha 7$  (Klink, et al., 2001; Pidoplichko, et al., 1997; Wooltorton, Pidoplichko, Broide, & Dani, 2003). GABA neurons in the VTA do not express  $\alpha 7$  at all (Klink, et al., 2001). The majority of the GABA neurons in the VTA show  $\alpha 4$  and  $\beta 2$  subunits, which can be blocked by the noncompetitive antagonist mecamylamine or by the competitive antagonist dihydro- $\beta$ -erythroidine hydrobromide (DH $\beta$ E) (Mansvelder, et al., 2002). The VTA receives glutamatergic input from the prefrontal cortex, probably providing the major excitatory control of the VTA and ultimately DA release in the NAcc (S. W. Johnson, Seutin, & North, 1992; Kalivas, Churchill, & Klitenick, 1993; Sesack & Pickel, 1992; Suaud-Chagny, Chergui, Chouvet, & Gonon, 1992; Taber & Fibiger, 1995). NACHR on glutamatergic terminals are located presynaptically in the VTA (Mansvelder & McGehee, 2000). They are sensitive to methyllycaconitine, a selective  $\alpha 7$  AChR competitive antagonist. (Alkondon & Albuquerque, 1993; Seguela, Wadiche, Dineley-Miller, Dani, & Patrick, 1993).

Blood NIC concentration of smokers ranges between 250 and 500 nM for about 10 min during and after cigarette smoking (Henningfield, Stapleton, Benowitz, Grayson, & London, 1993). This is enough to cause desensitization of all nAChRs. However  $\alpha 7$  homomeric receptors recover with a time constant of 2 minutes and  $\alpha 4\beta 2$  receptors recover with a time constant of 90 minutes (in slice) (Fenster, Rains, Noerager, Quick, & Lester, 1997). About 10-20 s after absorption NIC is present in the brain (Benowitz, 1988; Oldendorf,

1974). Penetration of NIC across the blood-brain barrier occurs by both passive diffusion and active transport by the choroids plexus (Spector & Goldberg, 1982). Rapid NIC uptake into tissues and intensive metabolism lead to its quick disappearance from the plasma, leaving brain concentrations much higher than plasma levels would indicate. It has been shown in rats that NIC exists in a brain/plasma ration of 3/1 (Benowitz, 1990; Rowell & Li, 1997; Sastry, Chance, Singh, Horn, & Janson, 1995).

The general mechanism of NIC addiction as proposed by Mansvelder et al. states that all nAChRs become desensitized by NIC. However, the receptors expressing  $\alpha 7$  take a higher dose in order for desensitization to occur, and recover in minutes, as opposed to hours for all other nAChRs.  $\alpha 7$  subunits are expressed on DA neurons and GLU terminals in the VTA. So the major excitatory input to VTA dopamine cell bodies recovers quickly. This effectively leaves the dopamine neurons hyper excited and the GABA neurons inhibited for longer amounts of time.

Finally it has been shown that the majority of endogenous cholinergic inputs into the VTA appear to contact GABA rather than DA neurons (Fiorillo & Williams, 2000; Garzon, Vaughan, Uhl, Kuhar, & Pickel, 1999).

### **GABA Neurons in the Mesocorticolimbic System**

We believe that GABA neurons synapse on DA neurons in the VTA, thereby providing an inhibitory input to regulate DA release. This is in agreement with the previous finding of GABAergic control over DA neurons in the substantia nigra (Tepper, Paladini, & Celada, 1998). Inhibition of these inhibitory GABA neurons would result in hyperexcitability of DA neurons and an increased amount of DA release. This is right in line with the DA theory mentioned above. Previously it has been shown that Ethanol decreases



the firing rate of these GABA neurons with acute administration for up to 2 weeks (Gallegos, Criado, Lee, Henriksen, & Steffensen, 1999). In line with our theory and the DA theory, the decreased firing rate of the GABA neurons would result in more DA to be released and a euphoric state would be followed. After 2 weeks of daily ethanol administration, however, the firing rate no longer decreases (Gallegos, et al., 1999). This indicates that some sort of tolerance is occurring with these neurons, resulting in hyperactivity of the GABA neurons and thus decrease in DA release that leads to a withdrawal state once alcohol is out of the system.

Another interesting phenomenon we have found in the lab is that high-frequency stimulation of the internal capsule (IC) causes multiple spike discharge (ICPSDs) of GABA neurons in the VTA (S. C. Steffensen, Svingos, Pickel, & Henriksen, 1998). These discharges are blocked by gap junction (GJ) antagonists, suggesting that VTA GABA neurons are part of a network connected electrically by GJs (S.C. Steffensen, et al., 2003). In addition, we have shown in the lab that acute ethanol also suppresses VTA GABA neuron ICPSDs, with an IC50 at a dose of 1.1 g/kg of ethanol (Stobbs, et al., 2004), a moderately intoxicating dose. We have recently studied VTA GABA neuron firing rate and ICPSDs during chronic ethanol consumption as well. We have found that neither firing rate nor ICPSDs adapt to chronic ethanol consumption. This was somewhat surprising to us since VTA GABA neuron firing rate adapts to chronic ethanol injections. The disparity may lie in the fact that rats do not become dependent on ethanol in the consumption paradigm while they are dependent on ethanol on the forced injection paradigm. Thus, it may take dependence to see physiological adaptation. Nonetheless, in connection with this study we

did find that DA D2 receptor expression adapts in connection with chronic ethanol consumption.

DA, as we have seen thus far, plays an important role in the mesocorticolimbic system. Acute and local administration of DA activates GABA neurons, increasing their firing rate 100-200% (Stobbs, et al., 2004). This activation has been shown recently to be occurring through the D2 receptor, given that antagonists block this activation. As acute ethanol decreases the firing rate of VTA GABA neurons, but chronic ethanol increases their firing rate, it would seem logical to look at expression levels of this protein to see if it is also affected with chronic ethanol.

### **Rationale and Hypotheses**

Current dogma explains that NIC indirectly causes increased firing of GABA neurons. Once DA is released from DA neurons in the VTA, DA binds to specific receptors (D2) on GABA neurons, inducing action potentials and increased neuronal firing. However, recent studies have suggested NIC causes increased firing rates in GABA neurons directly by acting on specific NIC channels rather than the model of indirect NIC activity (Mansvelder & McGehee, 2000). The rationale for this study is predicated on the belief that advancement in the understanding of the brain mechanisms underlying the recreational use and abuse potential of drugs will pave the way for more effective treatment strategies that would save lives and resources throughout the world. Each year more people are enslaved by addiction. It can range from Alcohol and illicit drugs from the street to milder stimulants such as coffee or non-prescription drugs. Regardless of the drug, the brain's chemistry is influenced by these chemicals. Despite current knowledge of the deleterious effects of tobacco use, only six percent of the 35 million people who try to quit each year remain successful for more

than a month (Volkow, 2006). Previous studies reveal that NIC is to blame for the highly addictive properties of tobacco products. NIC acts within the brain to increase DA levels in the reward circuits. Scientists have applied this knowledge in the development of gum, patches, and inhalers infused with NIC which has been fairly successful in alleviation of some aspects of withdrawal. However, cravings may still persist. Further research is needed to determine NIC's actions on neurons in the brain's pleasure pathway.

By understanding exactly how NIC alters brain activity, we can determine more effective smoking cessation methods. Improved treatment and quitting aids for smokers would increase the number of successful quitters each year and dramatically improve the quality of life for not only the millions of people who would be free of their tobacco addiction, but the lives of their children, friends, and loved ones who also may suffer from the second-hand effects of cigarette smoking.

Given the fact that VTA GABA neurons express  $\alpha 4\beta 2$  nAChRs I hypothesize that VTA GABA neurons will be excited by activation of cholinergic inputs *in vivo*. I hypothesize that acute nicotinic activation of VTA GABA neurons will result in enhanced inhibition of VTA DA neurons, but that  $\alpha 4\beta 2$  nAChRs will up-regulate or change subunit composition to chronic NIC exposure. These experiments will go far to elucidating the role of the VTA in mediating neuroadaptations to NIC.

## **Methods**

### **Animal Subjects**

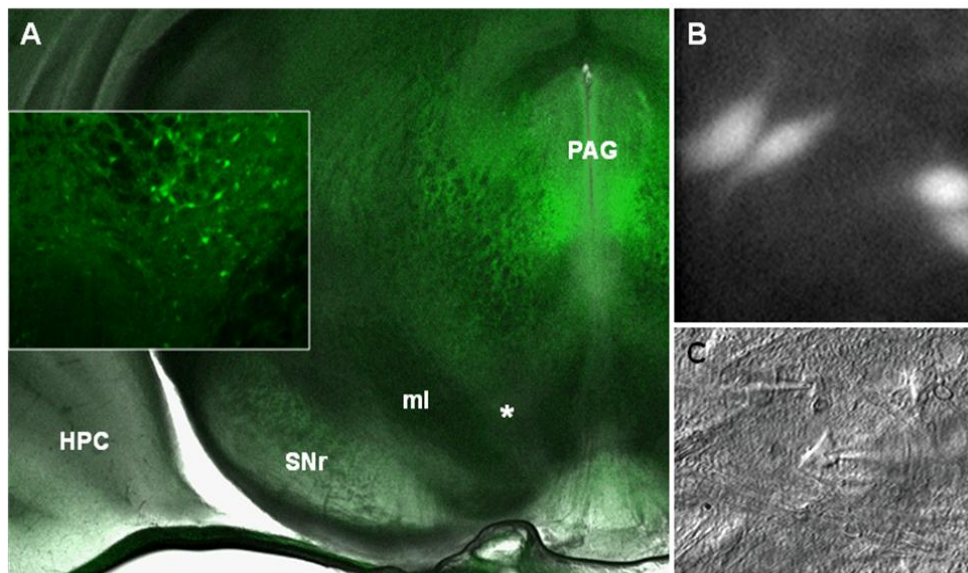
Male Wistar rats were housed two to a cage from the time of weaning (P25), with *ad libitum* access to food and water. The room temperature was controlled (22-25 °C) and maintained on a reverse 12 hr light/dark cycle with lights ON from 8 PM to 8 AM. Animal

care, maintenance and experimental procedures were in accordance with the Brigham Young University Animal Research Committee and meet or exceeded National Institutes of Health guidelines for the care and use of laboratory animals.

Male C57BL/6J (black) and CD-1 (white albino) mice were bred and cared for in accordance with the National Institutes of Health Guide for the Care and Use of Laboratory Animals. For each methodology employed, animals were treated in strict accordance with the guidelines of the Animal Research Committee (IACUC) of the Brigham Young University which incorporate and exceed current NIH guidelines. The Committee has reviewed and approved the procedures detailed herein. All electrophysiological, behavioral and molecular methods listed below are currently running in the PI's and Co-Investigators' (Edwards) laboratories at BYU. Three different mouse strains (PND1–60) were used in this study. A glutamate decarboxylase-67 (GAD67)-green fluorescent protein (GFP) knock-in mouse (Tamamaki, et al., 2003) created on a CD-1 inbred strain (Tamamaki, et al., 2003), a GABA(A)R  $\alpha$  subunit mouse created on a C57BL/6J inbred strain (Mihalek, et al., 1999), and an GABA(A)R  $\alpha$ 1(H101R) knock-in mouse created on a C57BL inbred strain (Rudolph, et al., 1999). We currently have a fully established colony of GAD GFP mice that we have use for our ongoing grant-related studies on VTA GABA neurons. The heterozygous GAD67-GFP knock-in mice afforded us the ability to positively identify and record from GAD65, 67-positive GABA neurons in the VTA via fluorescence microscopy, as characterizing VTA neurons by electrophysiology alone is problematical. Once weaned at, all mice were housed in maximum groups of four and given *ad libitum* access to solid food and water and placed on a reverse light/dark cycle with lights ON from 8 PM to 8 AM.

### Characterization of Neuron Types in vitro

Neurons in the VTA of GAD67-GFP mice that exhibit a modest non-cation specific inward rectifying current ( $I_h$ ) in combination with low input resistance are assumed to be DA neurons (Allison, et al., 2006; S.W. Johnson & North, 1992; Margolis, Lock, Hjelmstad, & Fields, 2006). In GAD67 GFP knock-in mice, GABA neurons will be identified with the aid of fluorescence microscopy. Only neurons located in the VTA that exhibit robust GFP fluorescence will be considered GABAergic (Tamamaki, et al., 2003). **Figure 3** illustrates how GAD GFP mice facilitate the visualization of VTA GABA neurons to facilitate their electrophysiological study.



**Figure 3. Visualization and Patching of GABA neurons in the VTA of GAD GFP mice.** (A) Superimposed transmitted and FITC GFP 4X magnification confocal images of a coronal brain slice from a mature GAD GFP mouse showing the distribution of GAD labeling. Inset shows a cluster of VTA GABA neurons taken at 20X magnification from the area indicated by the asterisk. No enhancement with antibodies is needed to image GABA neurons in GAD GFP mice. (B) A cluster of GABA neurons seen at 40X magnification before patching. (C) IRDIC imaging enables patching of neurons after identification with fluorescent optics.

### **Single-unit Recordings in Anesthetized Rats**

Extracellular potentials in Isoflurane (1%) anesthetized adult 250-400 g male Wistar rats (Charles River Laboratory, Hollister, CA) were recorded by a single 3.0 M NaCl filled micropipette (1-3 M $\Omega$ ; 1-2  $\mu$ m inside diameter), cemented 10-20  $\mu$ m distal to a 4-barrel micropipette (20-60 M $\Omega$  resistance), and amplified and filtered with a MultiClamp 700A programmable amplifier (Axon Instruments, Union City, CA). Microelectrode assemblies were oriented into the VTA [from bregma: 5.6-6.5 posterior (P), 0.5-1.0 lateral (L), 7.0-8.5 ventral (V)] with a piezoelectric inchworm microdrive (Burleigh, Fishers, NY). Single-unit activity were filtered at 0.3-10 kHz (-3dB) and displayed on Tektronix 2200 digital oscilloscopes. Square-wave constant current pulses (50-1000  $\mu$ A; 0.15 msec duration; average frequency, 0.1Hz) were generated by an IsoFlex constant current isolation unit controlled by a MASTER-8 Pulse Generator (AMPI, Israel), or by computer. The faciculus retroflexus (FR; from bregma: -4.0 AP, 0.5 ML, 4.0-4.2 V) was stimulated with insulated, bipolar stainless steel electrodes. Extracellularly recorded action potentials (min 5:1 signal-to-noise ratio) were discriminated with WPI-121 (Sarasota, FL) spike analyzers and converted to computer-level pulses.

### **Characterization of VTA GABA Neurons in vivo**

All neurons classified as VTA GABA neurons *in vivo* were located in the VTA, met the criteria established in previous studies for spike waveform characteristics and response to IC stimulation (Allison, et al., 2006; S. C. Steffensen, et al., 1998; Stobbs, et al., 2004), and often were activated and spike-coupled by microelectrophoretic dopamine (Stobbs, et al., 2004). Presumed VTA GABA neurons were characterized by short-duration (<200  $\mu$ sec; measured at half-peak amplitude of the spike), initially negative-going, non-bursting spikes,

and were identified by the following IC stimulation criteria (S. C. Steffensen, et al., 1998): Short latency (i.e., 2-5 msec) antidromic or orthodromic activation via single stimulation of the IC; and multiple spiking following high-frequency (10 pulses, 200 Hz) stimulation of the IC (ICPSDs; (Allison, et al., 2006; Lassen, et al., 2007; S. C. Steffensen, et al., 1998; Stobbs, et al., 2004)). In all studies, stimulation was performed at a level that produced 50% maximum VTA GABA neuron ICPSDs. This was accomplished by determining the current needed to produce the maximum number of ICPSDs at 200 Hz and 10 pulses, and then adjusting the stimulus intensity until 50% ICPSDs was achieved.

### **Single-unit Recordings in vivo**

Single-unit potentials, discriminated spikes, and stimulation events *in vivo* were captured by National Instrument's NB-MIO-16 digital I/O and counter/timer data acquisition boards (Austin, TX) and processed by customized National Instruments LabVIEW software in Macintosh-type computers. Potentials were digitized at 20 kHz and 12-bit voltage resolution. For single-unit activity, all spikes were captured by computer and time stamped. Spontaneous firing rates were determined on- and off-line by calculating the number of events over a 5 min epoch, typically 5 min before and at specific intervals after drug injection. Peri-stimulus and interval-spike histograms were generated off-line using IGOR Pro (WaveMetrics, Lake Oswego, OR) analysis of the time-stamped data. The duration (msec) and extent (#events/bin) of post-stimulus permutation of ICPSDs is determined by rectangular integration at specific time points on the peri-stimulus spike histogram using IGOR Pro analysis software. The minimum bin width for peri-stimulus spike histograms is 1.0 msec and the number of bins was 1000. These parameters allow for detection of all phases of pre- and post-stimulus spike activity.

### **Drug Preparation and Administration in vivo**

Mecamylamine hydrochloride, bupropion, MG624, nicotine and DH $\beta$ E were dissolved in 0.9% saline and administered intravenously through an indwelling jugular catheter in rats. In mice the same solution was given in an intraperitoneal (IP) injection. For systemic drug studies on VTA GABA neuron responses, drugs were administered intravenously through a jugular catheter when possible.

### **Chronic Injections**

In chronic studies, mice were treated with an intraperitoneal (IP) injection of saline (SAL) or nicotine (NIC; 2 mg/kg) administered once-daily (1200 hours) for 12 days and studied 24 hours after the last dose of SAL or NIC. In behavioral studies, daily injections of NIC 0.5 mg/kg, IP, for 5 days produced sensitization in locomotor activity (Biala & Weglinska, 2004), while mice given NIC 2.0 mg/kg, IP, three times each day for 12 days were significantly less sensitive to NIC challenge than their SAL injected counterparts (Pauly, Grun, & Collins, 1992). Thus, we chose a 2 mg/kg once daily regimen that fit within these parameters. Similar studies employing chronic injections of NIC have used a comparable regimen (Miura, Ishii, Aosaki, & Sumikawa, 2006).

### **Preparation of Brain Slices**

Wistar rats (P21 – 45) and GAD67-GFP mice were anesthetized with Ketamine (60 mg/kg) and decapitated. The brains were quickly dissected and sectioned in ice-cold artificial cerebrospinal fluid (ACSF), bubbled with 95% O<sub>2</sub> / 5% CO<sub>2</sub>. This cutting solution consisted of (in mM): 220 Sucrose, 3 KCl, 1.25 NaH<sub>2</sub>PO<sub>4</sub>, 25 NaH<sub>2</sub>CO<sub>3</sub>, 12 MgSO<sub>4</sub>, 10 Glucose, 0.2 CaCl<sub>2</sub>, and 0.4 Ketamine. VTA targeted horizontal slices (~200  $\mu$ m thick) were immediately placed into an incubation chamber containing normal ACSF at 34-35°, bubbled



with 95% O<sub>2</sub> / 5% CO<sub>2</sub> at 36° consisting of (in mM): 124 NaCl, 3 KCl, 1.25 NaH<sub>2</sub>PO<sub>4</sub>, 26 NaHCO<sub>3</sub>, 12 glucose, 1.5 MgSO<sub>4</sub>, 2 CaCl<sub>2</sub>, pH 7.3, and allowed to incubate for at least 45 minutes prior to being transferred to a recording chamber. Once transferred to a recording chamber with continuous normal ACSF flow (2.0 ml/min) maintained at 34-35° throughout the experiment, the slices were then allowed to settle for an additional 15 to 30 minutes before recordings begins. These incubation and settling periods allowed cells to recover and stabilize while ketamine is washed out of the tissue. Cells were visualized with either a Nikon Eclipse FN1 or E600FN microscope in the transmitted de Sénarmont Differential Interference Contrast (DIC) / infrared (IR) configuration.

### **Whole-cell Recordings in vitro**

Electrodes pulled from borosilicate glass capillary tubes were filled with one of two types of pipette solutions. For IPSCs, the pipette solution consisted of (in mM): 128 KCl, 20 NaCl, 0.3 CaCl<sub>2</sub>, 1.2 MgCl<sub>2</sub>, 10 HEPES, 1 EGTA, 2 Mg-ATP, 0.25 Na-GTP and 4.5 QX314 (pH 7.3). For voltage waveform and current-evoked spiking experiments the pipette solution consisted of (in mM): 115 K-Gluconate, 9 NaCl, 25 KCl, 10 HEPES, 0.2 EGTA, 1.2 MgCl<sub>2</sub>, 3 Na-ATP, 1 Na-GTP, and had resistances of 2-4 MΩ. Series resistance (R<sub>a</sub>) typically 10 to 20 MΩ, and input resistance (R<sub>m</sub>) typically 300 to 400 MΩ, were continuously monitored with a 10 mV voltage step delivered at 0.1 Hz throughout each experiment and only experiments that maintain stable R<sub>a</sub> and R<sub>m</sub> (less than 15% change) were included in this study. IPSCs were filtered at 2 kHz while voltage waveform-generated currents and current-drive spikes were filtered at 6 kHz using an Axon Instruments Multiclamp 700A or 700B amplifier and digitized at 5-20 kHz, respectively, using an Axon 1440A digitizer, and collected and analyzed using pClamp10 and Igor Pro (Wavemetrics: Oswego, OR) software

packages. Evoked and spontaneous IPSCs were recorded in the presence of 100  $\mu$ M D-L 2-amino-5-phosphonopentanoic acid (APV), 30  $\mu$ M 6-cyano-2,3-dihydroxy-7-nitro-quinoline (CNQX), and 100 nM eticlopride to block NMDA, AMPA, and DA D2-mediated synaptic currents (Bonci & Williams, 1997), respectively. Miniature IPSCs (mIPSCs) were isolated from all other spontaneous IPSCs by addition of 0.5  $\mu$ M TTX. To evoke IPSCs, cells were stimulated at 0.1 Hz with a stainless steel-platinum/iridium concentric bipolar stimulating electrode placed  $\sim$ 100  $\mu$ m rostral to the recording electrode. Evoked IPSCs are inward at the holding potential of -70 mV and were completely blocked by picrotoxin (100  $\mu$ M). Evoked IPSC amplitudes were calculated by taking the difference between the 1.0 msec window around the peak and the 5.0 msec baseline window immediately preceding the stimulation artifact. Spontaneous IPSC activity amplitude and frequency was calculated the same for both sIPSCs and mIPSCs; the average amplitude or frequency during a 2 min period 8-10 min following drug was normalized to the average amplitude or frequency from a 2 min window prior to drug.

### **Single-cell Quantitative RT-PCR**

Following electrophysiological characterization, putative VTA GABA neurons and putative DA neurons in mature rats were aspirated under visual observation by application of suction attached to the recording pipette, and were immediately added to a reverse transcription (RT) reaction mixture. The iScript cDNA synthesis kit (Biorad) was used for a total volume of 10  $\mu$ l per reaction. Reactions are run at 25°C for 10 min, 42°C for 60 min, and 95°C for 5 min in a PTC-200 thermal cycler (MJ Research Inc., Watertown MA). Reactions will then be stored at -20°C until running the PCR. A preamplification round of multiplex PCR was performed by adding iTAQ Supermix with ROX (Biorad) and a cocktail

of primers to the completed RT reaction, for a final volume of 50  $\mu$ L. The reactions were held at 94°C for 30 seconds then cycled 20 times. Each cycle consists of: 92°C for 15 seconds, 60°C for 20 seconds, and 72°C for 30 seconds. One  $\mu$ l samples of the initial multiplex PCR was then used as substrate for each reaction in the subsequent real-time quantitative PCR. Real-Time quantitative PCR using gene specific primers with FAM-TAMRA TaqMan® probes (Applied Biosystems; TH plus primer: CTTCCAGTACAAGCACGGTGAA, TH minus primer: AGCGTGACATATA-CCTCCTTCCA, and TH probe: CCCCATGTGGAATACACAGCGGAAGAG; D2 plus primer: CGCAGAAAGCTCTCCCAGCAGA, D2 minus primer: GACTGGTGGGATGTTGCAATCACA and D2 probe: CCATTGTTCTCGGTGTGTTCA; 18s plus primer: GTGCATGGCCGTTCTTAGTTG, minus 18s primer: GCCACTTGTCCTCTAAGAAGTTG, and 18s probe: TGGAGCGATTTGTCTGGTTAATTCCGATAAC) were performed using the iTaq Supermix with ROX (Bio-Rad) with an iCycler IQ (Bio-Rad) real-time PCR System. Samples were amplified in triplicate, together with a negative control for each subunit (an ACSF-only aspiration taken from the brain slice recording chamber when the cells were aspirated). The amplification protocol is 50°C for 2 minutes, 95°C for 5 minutes, then 50 cycles of 95°C for 15 seconds, 60°C for 20 seconds, and 72°C for 30 seconds. Cycle threshold ( $C_t$ ) values were calculated automatically by the iCycler IQ software, with threshold values set between 5 and 20. Relative fold expression were calculated using the  $2^{-\Delta\Delta C_T}$  method as described in (Livak & Schmittgen, 2001).

## Statistical Analyses

The results for control and drug treatment groups were derived from calculations performed on VTA GABA neuron spontaneous firing rate and ICPSDs. Statistical analysis of data was performed with Microsoft Excel Statistical Analysis Tools or with SPSS. An analysis of variance (ANOVA) was invoked for comparison across collapsed groups of data (One Way and Two Way ANOVAs). Comparison among individual means was made by Newman-Keuls post-hoc tests following ANOVA. Further analyses of saline vs. nicotine dose-response data or other repeated measures were made by Duncan's new multiple-range test to determine the source of detected significance in the ANOVAs. The criterion of significance was set at  $p < 0.05$ . For qualitative analysis of the relationship between neural activity and behavior, two types of graphical representation were constructed. Perievent histograms that average the pattern of neuronal activity around discrete response events were plotted. In general, a modulation of VTA GABA neuron firing rate or afferent input that is temporally associated with a specific behavioral event suggests involvement of the neuron in coding that behavior. The stronger and sharper the modulation seen related to a given event, the more likely that that event approximates the "preferred stimulus" of that neuron. The Kolmogorov-Smirnov one-sample test was used to detect significant deviations (from an even distribution) in the cumulative frequency distribution of unit activity for designated epoch intervals before or around the event. For more complicated statistical analyses and experimental design, we had available the expert services of Dr. Dennis Eggett (BYU Statistician). The numbers of animals used was based, in part, on experience doing similar studies and on statistical power analysis. The number of rats used in these experiments was the minimum necessary to yield scientifically valid data. It has been our experience that 6-9

rats are needed to achieve significance for most of the experimental measures outlined in this application. Our measures involve determinations of electrophysiological responses in VTA GABA neurons and include firing rate; evoked discharges (electrical coupling), dopamine modulation of firing rate/coupling and drug effects on these variables. We performed a Power calculation for the estimation of the number of subjects needed to achieve significance for a few of the experiments outlined in the application, in particular, those that we have had many years of experience with and likely represent our most robust measures. For example, we asked how many animals would be needed to detect a significant difference between control (i.e., saline) and nicotine on VTA GABA neuron discharges based on archived data from a similar experiment wherein we studied the effects of one dose of ethanol on ICPSDs (Stobbs, et al., 2004). The parameters of the Power Analysis were: Mean (control) = 67 discharges; Mean (ethanol) = 35 discharges; SD of difference (effect size) = 21; Alpha = 0.05; Tails (t test) = 2. For the given effect size (SD difference = 21) the power is 0.843 and the sample size is 6. This means that 84% of studies would be expected to yield a significant effect if 6 pairs of animals were studied (i.e., control vs. drug). Power values indicate the probability of obtaining the stated effect size given the sample size indicated. In general, power probabilities > 0.50 are considered reliable, because comparison of the experimental treatments using the sample sizes presented in the table are reasonably robust and produce a statistically significant outcome. Therefore, based on previous experience doing similar experiments and power calculations of some representative experiments including the example above, we used 6 rats to achieve significance, even for robust measures. For other paradigms we know that not all rats will successfully respond to criterion (approximately 25%). Moreover, there are historical issues of unexplained morbidity (approximately 10%)

and mortality (2%), as well as technical problems with electrophysiological (e.g., problems with cranial electrode implants) and behavioral (e.g., patent intravenous catheters) recordings. Therefore, in some areas of this study, more than 6 rats were used in order to obtain reliable data.

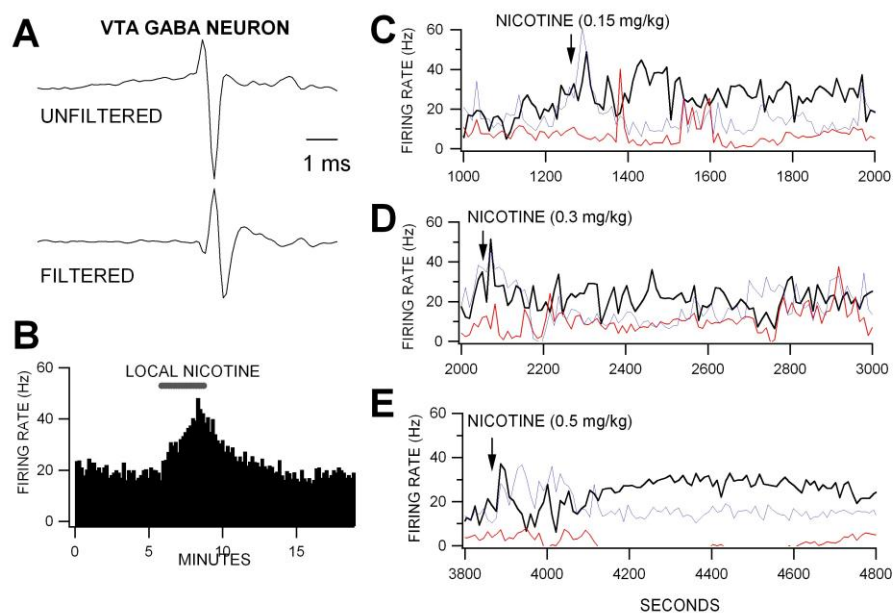
## Results

In the original experimental plan we proposed to test the effects of NIC after  $\alpha 7$  nAChR block with MG624 to determine if NIC effects on  $\alpha 7$  nAChRs might counteract the effects of NIC on non  $\alpha 7$  AChRs. We then proposed to test the effects of the  $\alpha 4\beta 2$  nAChR agonist bupropion. It is a drug that interacts with at norepinephrine, dopamine, and acetylcholine. Thus, we planned experiments involving stimulation of cholinergic inputs to the VTA in order to rule out the effects of the other neurotransmitters on the action that BP has on VTA GABA neurons. Next, we planned to study the effects of NIC on membrane properties, as well as spontaneous and evoked IPSCs in VTA DA neurons then, determine the subtype expression of VTA GABA neurons using quantitative single-cell RTPCR. Finally, we proposed testing the neuroadaptations in VTA GABA and DA neurons, *in vitro*, with chronic NIC administration. While all experiments proposed were pursued, given our experience with *in vivo* electrophysiological studies we knew it was highly likely that some experiments would suggest that it would be more parsimonious to pursue other studies in order to maximize our gain. The detailed plan is shown in the appendix.

### **Mixed Effects of Systemic and Local Nicotine and $\alpha 7$ Nicotinic Receptor Agonists on VTA GABA Neuron Firing Rate**

Nicotine appears to only slightly affect firing rate when administered systemically (**Fig. 4**). Thus, systemic NIC does not have consistently robust effects on VTA GABA

neuron firing rate. Although not shown here, systemic administration of the  $\alpha 7$  nAChR agonist also had mixed effects on the firing rate of VTA GABA neurons. However, the predominant effect appears to be inhibition. Thus, although NIC appears to have mixed effects on VTA GABA neuron activity the predominant effect is activation of VTA GABA neuron firing rate.

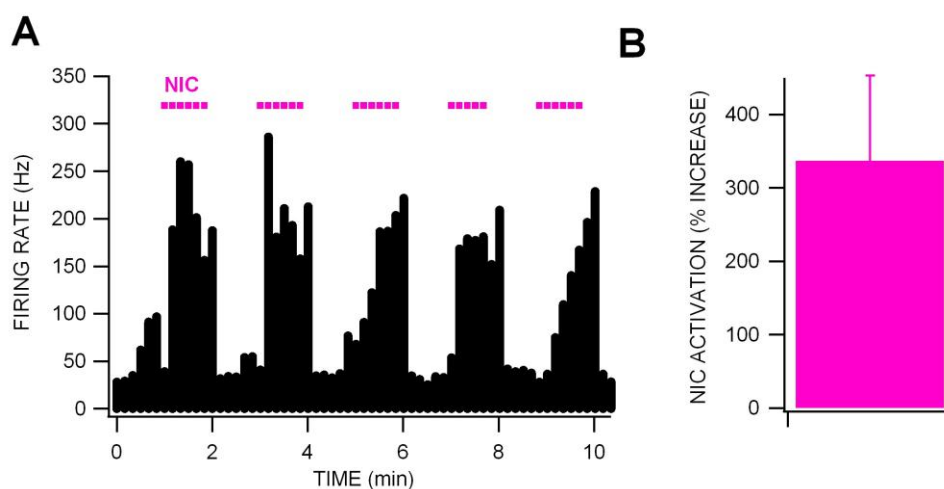


**Figure 4. Mixed effects of systemic nicotine on VTA GABA neuron firing rate in vivo.** (A) These are representative extracellular recordings of VTA GABA neurons showing their waveform under unfiltered and filtered conditions. (B) This ratemeter record shows the effects of local iontophoretic application of NIC on the firing rate of a representative VTA GABA neuron. Note that NIC briskly enhances the firing rate of this neuron. (C-E) These ratemeter records were taken from freely-behaving animals. Three neurons were recorded simultaneously and their response to different dose levels of NIC is shown. Note that across dose levels the effects of NIC are mixed.

#### Acute Nicotine Effects on VTA GABA Neuron Activity in Naïve Mice

Since systemic administration of nicotine had mixed effects on VTA GABA neuron firing rate, we tested the effects of locally applied NIC using iontophoresis. Repeated administration of NIC had a marked excitatory effect on the firing rate of VTA GABA neurons (**Fig. 5**). Nicotine activations could be repeated with little or no diminution of the

response. By using rectangular integration from the baseline firing rate and averaging 3 cycles of NIC application we found that iontophoretic application of NIC significantly increased the firing rate of VTA GABA neurons (353.3%;  $P=0.001$ ,  $t_{(2,21)}=2.8$ ;  $n=22$ ).



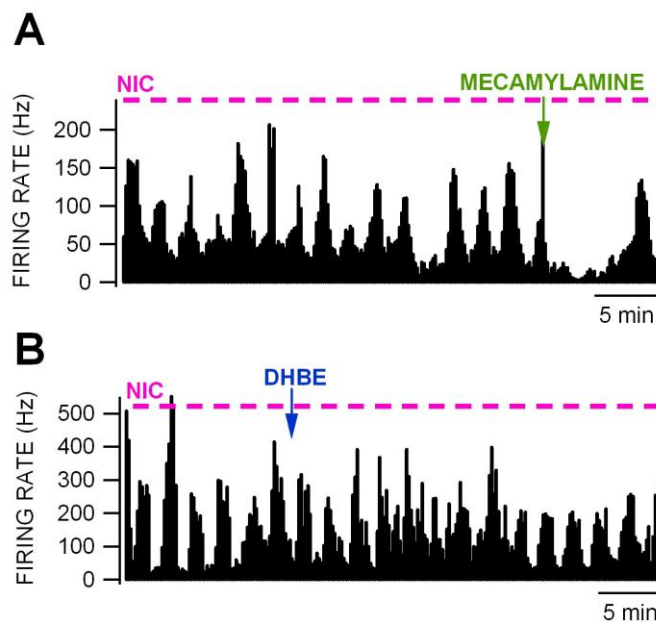
**Figure 5. Nicotine markedly activates VTA GABA neuron firing rate.** (A) This ratemeter record shows the firing rate of a representative VTA GABA neuron. Its baseline firing rate was approximately 30 Hz. We tested the effects of local application of NIC on the activity of this neuron. In situ microelectrophoretic application of NIC (+50 nA) markedly activated this neuron. (B) This graph summarizes the effects of local NIC on VTA GABA neuron firing rate.

### Effects of Select nAChR Antagonists on Nicotine Activation of VTA GABA neurons in Naïve Mice

Although systemic NIC had mixed effects on VTA GABA neuron activity, when locally administered NIC clearly activates these neurons. Thus, we performed experiments to determine which nAChRs might be mediating the activation and whether NIC was acting directly on VTA GABA neurons or indirectly disinhibiting them via inhibition of some inhibitory afferent. If an increase in firing rate of VTA GABA neurons was produced by local administration of NIC, then it could be blocked by systemic administration of a NIC antagonist. Our next experiment showed this to be true. We further attempted to show the



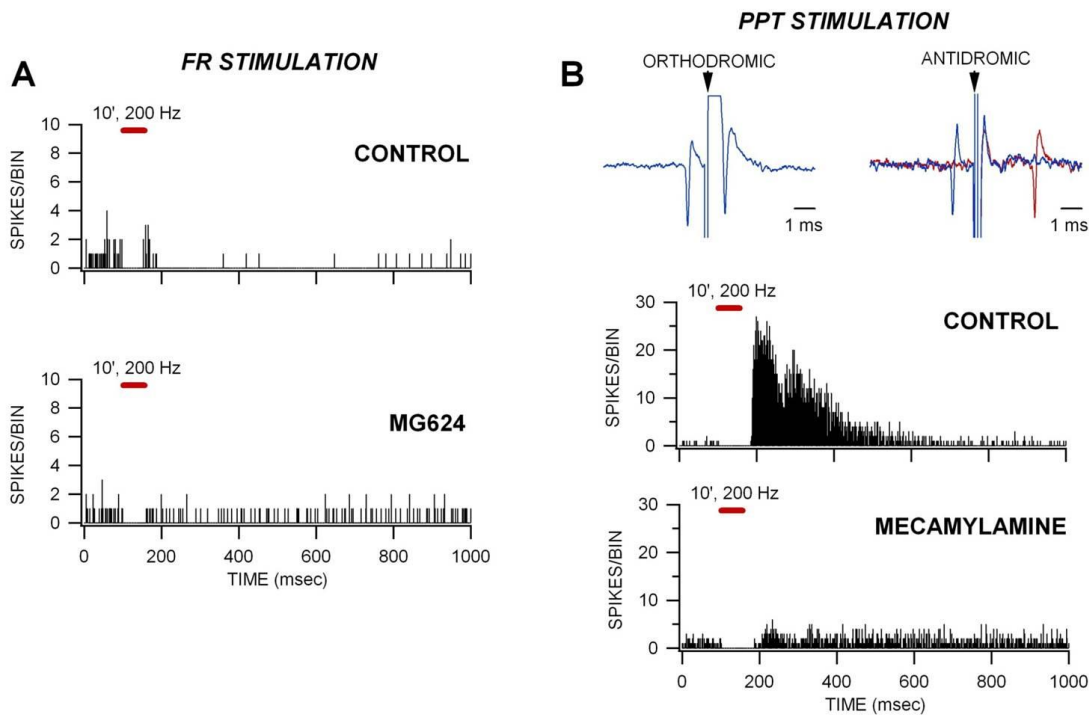
effect that the  $\alpha 4\beta 2$  nAChR antagonist dihydro- $\beta$ -erythroidine (DH $\beta$ E) had on NIC activation of VTA GABA neuron firing rate (**Fig. 6**).



**Figure 6. Effects of nicotinic acetylcholine antagonists.** (A) This ratemeter record shows that NIC activation of VTA GABA neuron firing rate was blocked by systemic administration of the non-selective nAChR antagonist mecamylamine (1 mg/kg). (B) The  $\alpha 4\beta 2$  nAChR antagonist DH $\beta$ E (1 mg/kg) was without effect on NIC activation of VTA GABA neurons.

### Cholinergic Inputs to VTA GABA neurons: Effects of $\alpha 7$ Nicotinic Antagonists

When an explanation was not found through  $\alpha 4\beta 2$  nAChRs we considered the action of  $\alpha 7$  nAChRs. In view of the fact that  $\alpha 7$  AChRs are presynaptically located on glutamatergic (GLU) terminals innervating VTA GABA neurons, the  $\alpha 7$  nAChR antagonist MG624 was tested for its effect on GLUergic inputs to VTA GABA neurons *via* the fasciculus retroflexus (FR; **Fig. 7**).

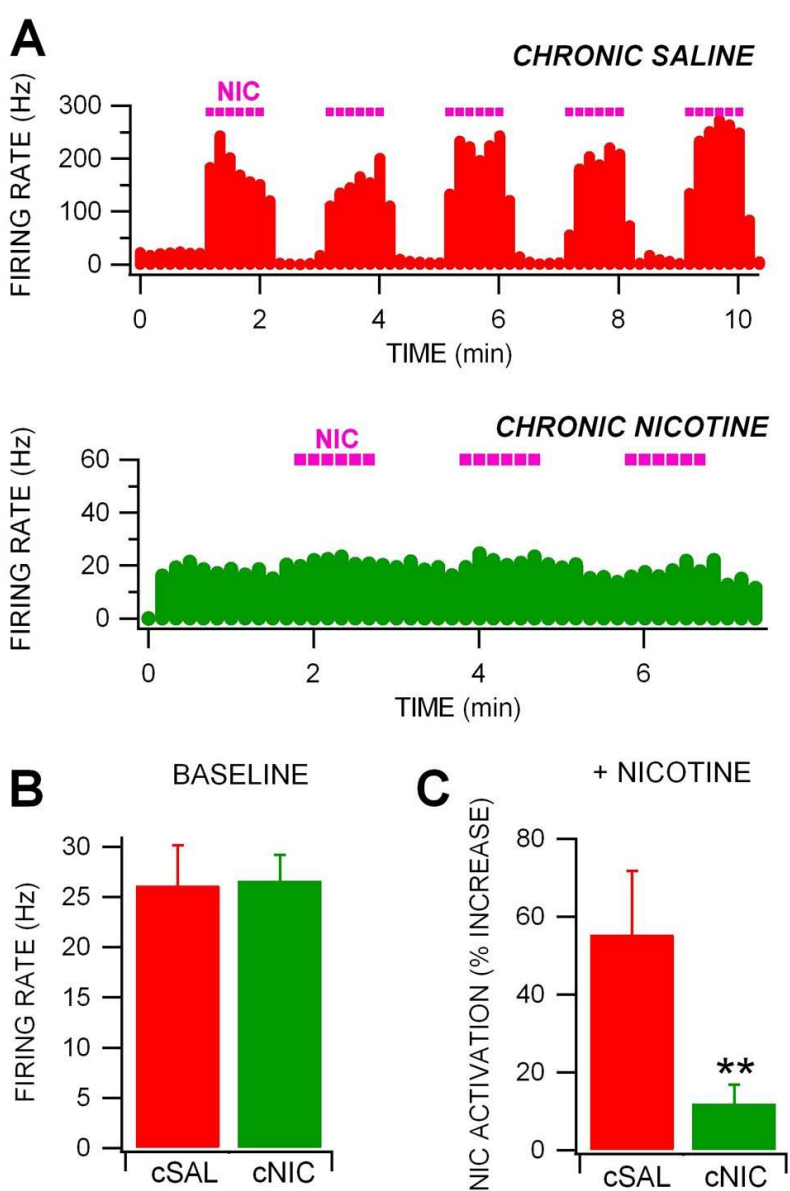


**Figure 7. Stimulation of cholinergic inputs to VTA.** (A) The peri-stimulus spike histogram (PSH; top) shows that high frequency (10 pulses, 200 Hz) stimulation of the fasciculus retroflexus (FR) activates cholinergic inputs to the VTA from the habenula that inhibit the firing rate of VTA GABA neurons, which is blocked by the  $\alpha 7$  antagonist MG624 (3 mg/kg). (B) Single stimulation of the PPT activates VTA GABA neurons both orthodromically (left) and antidromically (right). The PSH (top) shows multiple spike discharges produced by high frequency PPT stimulation. The PSH bottom shows that mecamylamine (1 mg/kg) blocks PPT activation of VTA GABA neurons.

### Chronic Nicotine Effects GABA Neuron Firing Rate and Response to Acute Nicotine

Since NIC exhibited robust excitatory effects on VTA GABA neurons when administered locally and acutely, with little or no rapid desensitization with repeated application, we tested the effects of chronic NIC administration on NIC activation of VTA GABA neurons to evaluate any potential neuroadaptive effects of nAChRs in VTA GABA neurons or in their afferents. This required both *in vivo* and *in vitro* electrophysiological and molecular studies. The effect of local *in vivo* NIC in mice who have been chronically administered NIC or saline was tested (**Fig. 8**). In chronic studies, mice were treated with an

intraperitoneal (IP) injections of saline (SAL) or nicotine (NIC; 2 mg/kg) administered once-daily for 12 days and studied 24 hours after the last dose of SAL or NIC. Surprisingly, there was no significant difference in baseline firing rate of VTA GABA neurons ( $P=0.92$ ,  $t_{(2,23)}=0.11$ ;  $n=19,14$ ; Fig. 8B). While NIC activated VTA GABA neurons in cSAL-treated mice it did not activate them in cNIC-treated mice (Fig. 8C). There was a significant difference between the two treatments ( $P=0.02$ ,  $t_{(2,14)}=2.53$ ;  $n=19,14$ ; Fig. 8C).



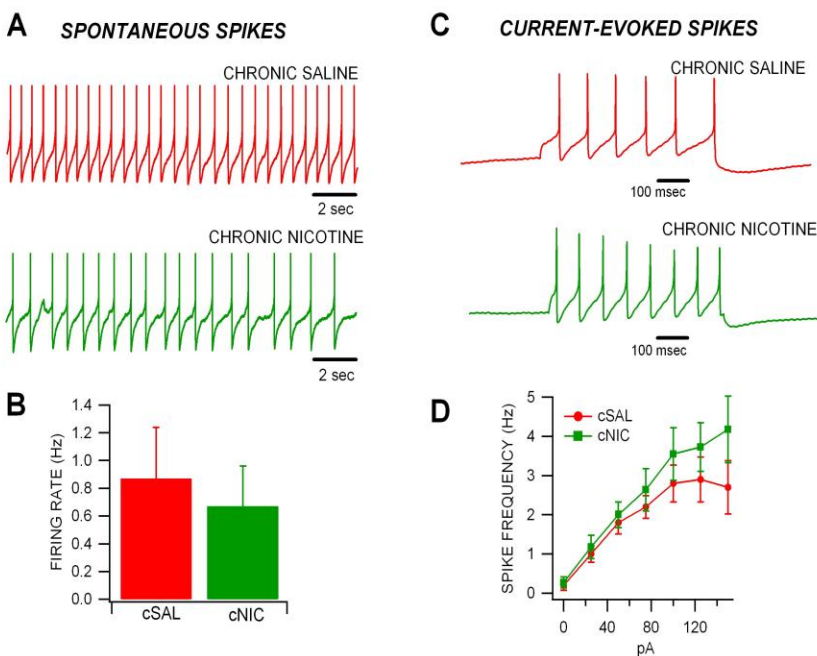
**Figure 8. Locally administered nicotine effect on chronically treated mice.** (A) The ratemeter (top) shows NIC activation of VTA GABA neuron firing rate in a saline-treated mouse (cSAL). The ratemeter (bottom) shows lack of NIC activation of a VTA GABA neuron recorded in a chronic NIC-treated mouse (cNIC). (B) There was no significant difference in the baseline firing rate of VTA GABA neurons in cNIC vs cSAL-treated mice. (C) There was a significant reduction in NIC activation of VTA GABA neuron firing rate in NIC-treated mice.

## Chronic Nicotine Effects on VTA DA Neuron Activity

Our *in vivo* studies demonstrated that VTA GABA neurons desensitized with chronic NIC. Since the dogma is that VTA GABA neurons inhibit DA neurons we evaluated the effects of chronic NIC on DA neuron activity. We performed these studies *in vitro* in GAD GFP mice where GABA and DA neurons can be visualized and more easily characterized. Also, DA neurons are typically not spontaneously active under Isoflurane anesthesia, which is what we use to record GABA neurons. Most other anesthetics suppress the firing rate of VTA GABA neurons. We evaluated the effects of chronic NIC on spontaneous and current-evoked spikes in DA neurons of cSAL versus cNIC mice (**Fig. 9**). Unexpectedly, DA neurons in cSAL and cNIC mice showed no significant differences between the groups ( $P=0.65$ ,  $t_{(2,16)}=0.46$ ;  $n=11,9$ ; Fig. 9B).

### Figure 9. Lack of effects of chronic nicotine on dopamine neuron activity.

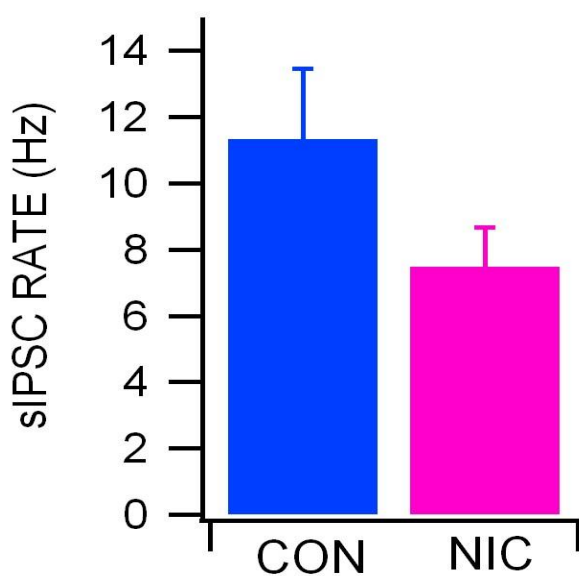
(A) These are representative recordings of dopamine neuron spikes in SAL and NIC-treated mice. Note the mild decrease in spike frequency in the NIC-treated mouse. (B) Although dopamine neuron firing rates tended to be lower in NIC-treated (cNIC) mice, they were not significantly different on average from SAL-treated (cSAL) mice.



(C) Depolarizing current steps activate dopamine neuron spike activity (shown here at 150 pA). Note the slow frequency and spike accommodation characteristic of current-evoked spiking in dopamine neurons. (D) This graph summarizes the effects of current ejection on the firing frequency of dopamine neurons. There was no significant difference in dopamine neuron spiking frequency between cNIC and cSAL mice.

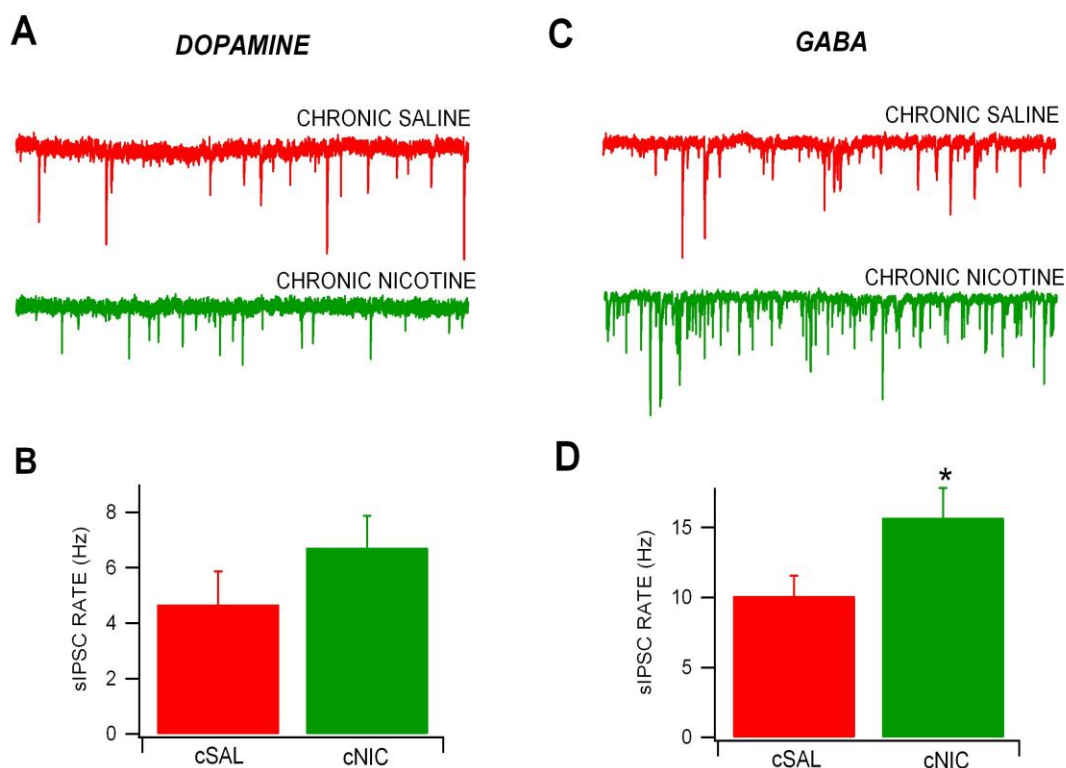
## Chronic Nicotine Effects on GABAergic synaptic inhibition to Dopamine and GABA Neurons in the VTA

In order to determine the mechanism of action of NIC on VTA GABA neurons and their desensitization with chronic NIC, we studied spontaneous inhibitory postsynaptic currents (sIPSCs) in DA and GABA neurons in both cSAL and cNIC mice. However, we needed to first determine the effects of acute NIC on GABA neuron sIPSCs. **Figure 10** summarizes the effects of NIC on GABA neuron sIPSC frequency, a measure of the GABA input to VTA GABA neurons. Nicotine reduced sIPSCs, but not significantly ( $P=0.22$ ,  $t_{(2,31)}=1.25$ ;  $n=20,13$ ; Fig. 9B).



**Figure 10. Nicotine effects on GABA neuron sIPSC frequency.** Superfusion of 1  $\mu$ M NIC reduced sIPSCs recorded in GABA neurons visualized in GAD GFP mice

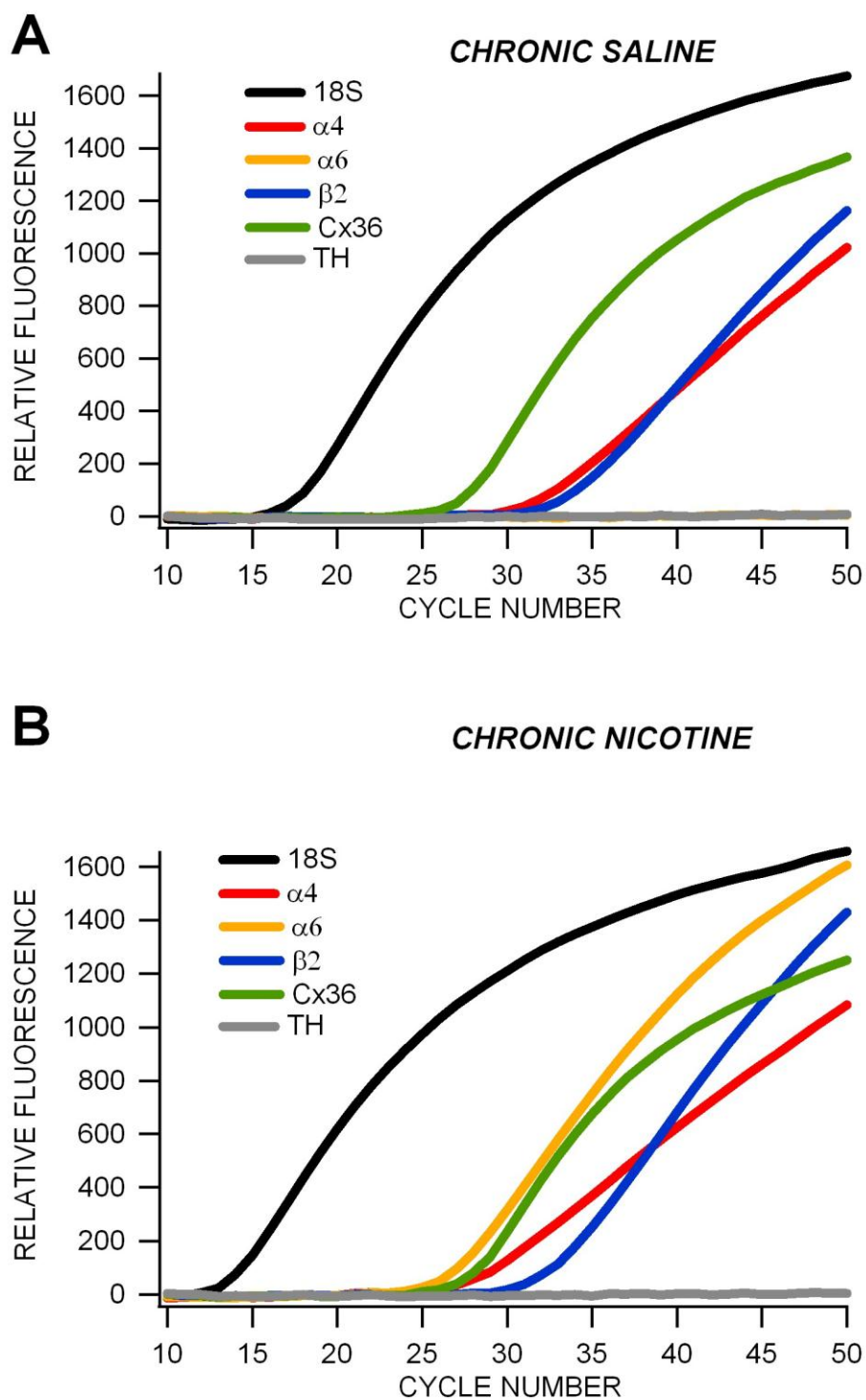
Since acute NIC inhibited sIPSCs, we wanted to evaluate the chronic effects of NIC on sIPSCs to further evaluate the mechanism of action of NIC desensitization. **Figure 11** summarizes the effects of chronic NIC on both GABA and DA neuron sIPSC frequency. While there was no difference in acute NIC effects on DA neurons in cSAL vs cNIC mice ( $P>0.05$ ), NIC significantly increased sIPSC frequency in GABA neurons in cNIC mice compared to cSAL mice ( $P=0.03$ ,  $t_{(2,231)}=2.25$ ;  $n=17,13$ ; Fig. 11D).



**Figure 11. Chronic nicotine enhances inhibition to VTA GABA neurons.** (A) These are representative recordings of GABA receptor-mediated spontaneous IPSCs (sIPSCs) obtained in dopamine neurons in mice chronically treated with SAL or NIC. (B) There was no significant difference in dopamine neuron sIPSC frequency between these group of mice. (C) These are representative recordings of GABA receptor-mediated spontaneous IPSCs (sIPSCs) obtained in GABA neurons in mice chronically treated with SAL or NIC. (D) Compared to SAL-treated mice, chronic NIC treatment enhanced baseline sIPSC frequency in VTA GABA neurons.

### **In Vitro Chronic NIC Effects on the Expression of nAChR Subunits in VTA GABA neurons in GAD GFP Mice**

Since VTA GABA neurons appeared to desensitize to chronic NIC, we evaluated the expression of select nAChRs in these neurons in mice treated with chronic NIC. Polymerase chain reaction (PCR) results (**Fig. 12**) compare a representative GABA neuron in a mouse chronically treated with SAL (cSAL) versus a mouse chronically treated with NIC (cNIC). Mice were treated chronically using the previous protocol.



**Figure 12. Chronic nicotine effects on nAChR expression.** (A) Chronic saline-treated mice expressed Cx36, but not TH, transcripts as shown previously. They expressed  $\alpha 4$ ,  $\alpha 6$  and  $\beta 2$  nAChR subunits. (B) Chronic NIC-treated mice expressed the  $\alpha 6$  subunit.

## Discussion

As previously stated, systemic administration of nicotine had mixed effects on VTA GABA neuron firing rate (**Fig. 4**). Because systemic administration of a drug causes it to be circulated throughout the entire brain, NIC could be influencing neurons directly or indirectly through activation of nAChRs on afferents to the VTA. We know that there are afferents to VTA GABA neurons from the NAcc, ventral pallidum, medial prefrontal cortex and from the PPT. Thus, NICs systemic effects need to be evaluated in light of its possible net effects on GABA neurons and its afferents.

Nicotine, iontophoretically applied, instead of systemically, had a robust excitatory effect on VTA GABA neuron firing rate. Nicotine activations, achieved with cyclic local application of NIC (**Fig. 5**), were found consistently and with profound excitation. We were able to show that this response did not seem to diminish with repeated NIC exposure. We were surprised that there was no acute desensitization with repeated application of NIC. A high rate, approximately 75%, of the neurons we studied, were activated by NIC in this manner. In an article by Mansvelder *et al.* (Mansvelder, et al., 2002) it was reported that they have found an increase in GABA neuron firing rate, *in vitro*, which is supportive of our effects *in vivo*. There is some concern, however, that the neurons they studied were not GABA neurons, as they relied on electrophysiological criteria (i.e., I<sub>h</sub> current—DA neurons being I<sub>h</sub><sup>+</sup> and GABA neurons being I<sub>h</sub><sup>-</sup>), which have been shown more recently to not be valid (Margolis, et al., 2006). Mansvelder *et al.* (Mansvelder, De Rover, McGehee, & Brussaard, 2003) also report that non- $\alpha 7$  nAChR will desensitize within minutes after exposure to NIC, *in vitro*. This desensitization is said to occur on heteromeric  $\alpha 4\beta 2$  nAChRs, located on GABAergic neurons, more quickly than the more complex homomeric  $\alpha 7$



receptors on DA neurons (Barik & Wonnacott, 2009).  $\alpha 7$  homomeric receptors are said to recover, after exposure to NIC, with a time constant of 2 minutes and  $\alpha 4\beta 2$  receptors recover with a time constant of 90 minutes (in slice) (Fenster, et al., 1997) As previously stated, we did not see this effect *in vivo*; even after extended periods of exposure to NIC, VTA GABA neurons showed little or no desensitization to the drug.

In order to show a mechanism of action for the excitation of VTA GABA neurons by NIC, we tested the effect of systemic nAChR antagonists on these neurons during cyclic application of NIC. Mecamylamine, an antagonist to all nAChR except the  $\alpha 7$ , consistently blocked the activation of NIC on VTA GABA neuron firing rate, confusingly the  $\alpha 4\beta 2$  antagonist DH $\beta$ E did not (**Fig. 6**). We also found it difficult to administer a DH $\beta$ E dose in mice that the literature suggested was large enough to have an effect, without being terminal to the animal. It was later found that systemic administration of DH $\beta$ E could not block NIC activations because the drug cannot cross the blood brain barrier, answering the question as to why NIC activations were not blocked and making the systemic dose problem in mice moot. Further investigation into another  $\alpha 4\beta 2$  nAChR antagonist that can be used systemically is ongoing. Others have shown the blockade of NIC firing rate by DH $\beta$ E *in vitro* (Mansvelder, et al., 2002). Thus, we are confident that we will be able to show this effect *in vivo* as well. We are currently considering the use of a two barrel iontophoretic technique with NIC and DH $\beta$ E in order to test this hypothesis.

The PPT is sending substantial cholinergic input to the VTA (Good & Lupica, 2009). The Mansvelder *et al.* (2002) results suggest that  $\alpha 4\beta 2$  nAChRs on GABA neurons are postsynaptic to this input. We showed with stimulation studies that GABA neurons receive direct input (orthodromic and short latency) and also project to the PPT (**Fig. 7B**). In

addition, there appears to be an indirect cholinergic input from the fasciculus retroflexus which inhibits VTA GABA neurons via  $\alpha 7$  receptors, perhaps via a feedback loop onto VTA GABA neurons from DA neurons (**Fig. 7A**).

Although we did not see NIC desensitization of VTA GABA neurons with acute administration in naive animals *in vivo* (**Fig. 5**), we did find that NIC desensitizes after chronic NIC treatment (**Fig. 8**). There was no significant difference in the baseline firing rate of VTA GABA neurons in both groups. Nicotine activations were still profound in the cSAL group, yet not in the cNIC. This differs from Mansvelder, as they showed acute desensitization with NIC on ACh currents (Mansvelder, et al., 2002). Mansvelder *et al.* (2002) has shown direct effects of ACh on VTA GABA neurons (i.e., ACh current) mediated via non- $\alpha 7$  nAChRs. We did observe a direct NIC effect in some VTA GABA neurons, but it was equivocal.

Chronic NIC treatment has also been reported by some groups to lead to the increased release of DA (Benwell & Balfour, 1992; Gaddnas, Pietila, & Ahtee, 2000), yet others report that DA release is unchanged by chronic NIC (Damsma, Day, & Fibiger, 1989). We also showed no significant difference in the firing frequency of DA neurons in cSAL and cNIC mice (**Fig. 9**). However, we did find that NIC enhances sIPSCs to GABA neurons (**Fig. 11**). This data is consistent with the data of Mansvelder *et al.* (2002) in the effects on DA neurons acutely.

Finally, we examined the expression of nAChR subunits in VTA GABA neurons to which the local NIC had been applied. PCR results (**Fig. 12**) hold a more detailed insight into the mechanism of action taken by NIC after chronic treatment. The cNIC group appears to be expressing  $\alpha 6$  subunits, not present in the cSAL group. We are currently furthering our

chronic NIC study by looking for  $\alpha 6$  antagonists that can be tested in chronically treated animals. So far we have been not able to find an  $\alpha 6$  antagonist for systemic use, but are again considering a two barrel ionophoretic approach, as with the DH $\beta$ E planned experiment.

## **Appendix**

### **Original Experimental plan**

The studies proposed in this thesis are organized into what might represent a journal article for publication. This is consistent with the expectancies for a Master's thesis. The studies proposed below are somewhat ambitious and cover a broad range of experiments. While all experiments proposed will be pursued, given our experience with in vivo electrophysiological studies it is highly likely that some experiments will suggest that it would be more parsimonious to pursue other studies in order to maximize our gain. For example, we have already performed some experiments with the  $\alpha 7$  nAChR agonist JN403 and have seen little or no evidence that might suggest we pursue studies related to  $\alpha 7$  nAChRs on VTA GABA neurons.

### **Experiment 1: Acute in vivo studies: effects of acetylcholine and nicotine on VTA GABA neuron firing rate**

We have already performed a handful of experiments on the effects of systemic nicotine on VTA GABA neurons. The effects of systemic nicotine have been inconsistent and equivocal. This may obtain due to complex nature of nAChR hodology in the VTA. Notwithstanding the lack of effects of systemic NIC, we will test the effects of NIC after  $\alpha 7$  nAChR block with MG624,  $\alpha 7$  nAChRs are located on GLU terminals to DA neurons. We have shown previously that DA excites VTA DA neurons through an unknown mechanism. These studies will enable us to determine if NIC effects on  $\alpha 7$  nAChRs might counteract the

effects of NIC on non  $\alpha 7$  AChRs, given the potential for DA neurons to modulate GABA neuron activity. We will perform 2-3 experiments to probe this effect. If NIC has consistent effects on VTA GABA neuron firing rate in the presence of  $\alpha 7$  nAChR block we will pursue dose-response studies with systemic NIC. Also, in association with these studies we will test the effects of local administration of NIC via in situ microelectrophoresis. Ten rats will be needed for Experiment 1.

### **Experiment 2: Acute in vivo studies: effects of bupropion on VTA GABA neuron firing rate**

We have already performed a handful of experiments on the effects of the  $\alpha 4\beta 2$  nAChR agonist bupropion. We have found consistent, but complex, effects of this non-specific drug, which is also an inhibitor of the DA transporter (DAT). We have seen an initial transient inhibition followed by a prolonged enhancement of VTA GABA neuron firing rate. We now need to test the effects of  $\alpha 4\beta 2$  antagonists to see what is the primary effect of  $\alpha 4\beta 2$  nAChR activation, as VTA GABA neurons express these receptors that are likely postsynaptic to the cholinergic input from the PPT. We need to rule out the DAT effects of bupropion. Accordingly, we will also stimulate the PPT in hopes of observing modulation of VTA GABA neuron activity. We expect PPT stimulation will excite VTA GABA neurons. In addition, there is a less well-known cholinergic input from the habenula via the fasciculus retroflexus. We have performed some experiments in this regard. Interestingly, stimulation of the fasciculus retroflexus inhibits VTA GABA neuron activity with possible antagonism by  $\alpha 7$  nAChR antagonist. Thus, the cholinergic input, which is typically excitatory, at least with direct input to nAChRs, appears to be operating indirectly to inhibit VTA GABA neurons. We will use pharmacological antagonists to try to decipher

these disparate cholinergic inputs to VTA GABA neurons. Twenty rats will be needed for Experiment 2.

### **Experiment 3: Acute in vitro studies: effects of nicotine on GABA inhibition of VTA DA Neurons**

There appear to be no studies in the literature on the effects of NIC or nAChR agonists on inhibitory GABA input to VTA DA neurons. Thus, we will study the effects of NIC on membrane properties, as well as spontaneous and evoked IPSCs in VTA DA neurons. These studies will be accomplished in the presence of GLU blockers which will enable us to determine if NIC is acting directly on VTA GABA neurons and whether the effect is pre- or post-synaptic. Moreover, in anticipation of chronic NIC studies (Exp. 4) we will determine the subtype expression of VTA GABA neurons using quantitative single-cell RTPCR. Dr. Sterling Sudweeks will assist with these molecular studies given his expertise with nAChRs. Twelve rats will be needed for these studies.

### **Experiment 4: Chronic in vitro studies: effects of chronic nicotine**

Nicotinic receptor desensitization is a well-known phenomena, as described in the Introduction. VTA GABA neurons express  $\alpha 4\beta 2$  nAChRs. We will evaluate the effects of chronic NIC administration on neuroadaptations in VTA GABA and DA neurons. This will be accomplished by quantitative RTPCR studies of VTA GABA neurons and physiological studies of DA neurons as per the acute experiments outlined in Experiment 3.

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