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Note Automorphism groups of finite posets

Jonathan Ariel Barmak, Elias Gabriel Minian*

Departamento de Matemática, FCEyN, Universidad de Buenos Aires, Buenos Aires, Argentina

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ABSTRACT

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1. Introduction

It is well known that any finite group *G* can be realized as the automorphism group of a finite poset. In 1946 Birkhoff [1] proved that if the order of *G* is *n*, *G* can be realized as the automorphisms of a poset with n(n + 1) points. In 1972 Thornton [2] improved slightly Birkhoff's result: He obtained a poset of n(2r + 1) points, when the group is generated by *r* elements. Following Birkhoff's and Thornton's ideas, we exhibit here a simple proof of the following fact which improves their results.

Theorem. Given a group G of finite order n with r generators, there exists a poset X with n(r + 2) points such that $Aut(X) \simeq G$.

The proof of the theorem uses basic topology. Recall that there exists a one-to-one correspondence between finite posets and finite T_0 -topological spaces. Given a finite poset X, the subsets $U_x = \{y \in X \mid y \le x\}$ constitute a basis for a topology on the set X. Conversely, given a T_0 -topology on the set X, one can define a partial order given by $x \le y$ if x is contained in every open set which contains y. It is easy to see that these applications are mutually inverse. Therefore we regard finite posets and finite T_0 -spaces as the same objects. Order preserving functions correspond to continuous maps and lower sets to open sets. A finite poset is connected if and only if it is connected as a topological space. For further details see [3].

2. The proof

Let $\{h_1, h_2, \ldots, h_r\}$ be a set of *r* generators of *G*. We define the poset $X = G \times \{-1, 0, \ldots, r\}$ with the following order:

- $(g, i) \le (g, j)$ if $-1 \le i \le j \le r$,
- $(gh_i, -1) \le (g, j)$ if $1 \le i \le j \le r$.

Define $\phi : G \to \operatorname{Aut}(X)$ by $\phi(g)(h, i) = (gh, i)$. It is easy to see that $\phi(g) : X \to X$ is order preserving and that it is an automorphism with inverse $\phi(g^{-1})$. Therefore ϕ is a well defined homomorphism. Clearly ϕ is a monomorphism since $\phi(g) = 1$ implies $(g, -1) = \phi(g)(e, -1) = (e, -1)$.

* Corresponding author.

E-mail addresses: jbarmak@dm.uba.ar (J.A. Barmak), gminian@dm.uba.ar (E.G. Minian).





For any finite group *G*, we construct a finite poset (or equivalently, a finite T_0 -space) *X*, whose group of automorphisms is isomorphic to *G*. If the order of the group is *n* and it has *r* generators, *X* has n(r+2) points. This construction improves previous results by *G*. Birkhoff and M.C. Thornton. The relationship between automorphisms and homotopy types is also analyzed.

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It remains to show that ϕ is an epimorphism. Let $f : X \to X$ be an automorphism. Since (e, -1) is minimal in X, so is f(e, -1) and therefore f(e, -1) = (g, -1) for some $g \in G$. We will prove that $f = \phi(g)$.

Let $Y = \{x \in X \mid f(x) = \phi(g)(x)\}$. Y is non-empty since $(e, -1) \in Y$. We prove first that Y is an open subspace of X. Suppose $x = (h, i) \in Y$. Then the restrictions

 $f|_{U_x}, \phi(g)|_{U_x} : U_x \to U_{f(x)}$

are isomorphisms. On the other hand, there exists a unique automorphism $U_x \to U_x$ since the unique chain of i+2 elements must be fixed by any such automorphism. Thus, $f |_{U_x}^{-1} \phi(g)|_{U_x} = 1_{U_x}$, and then $f |_{U_x} = \phi(g)|_{U_x}$, which proves that $U_x \subseteq Y$. Similarly we see that $Y \subseteq X$ is closed. Assume $x = (h, i) \notin Y$. Since $f \in Aut(X)$, it preserves the height ht(y) of any point y. In particular ht(f(x)) = ht(x) = i + 1 and therefore $f(x) = (k, i) = \phi(kh^{-1})(x)$ for some $k \in G$. Moreover $k \neq gh$ since $x \notin Y$. As above, $f |_{U_x} = \phi(kh^{-1})|_{U_x}$, and since $kh^{-1} \neq g$ we conclude that $U_x \cap Y = \emptyset$.

We prove now that X is connected. It suffices to show that any two minimal elements of X are in the same connected component. Given $h, k \in G$, we have $h = kh_{i_1}h_{i_2} \dots h_{i_m}$ for some $1 \leq i_1, i_2, \dots, i_m \leq r$. On the other hand, $(kh_{i_1}h_{i_2} \dots h_{i_s}, -1)$ and $(kh_{i_1}h_{i_2} \dots h_{i_{s+1}}, -1)$ are connected via $(kh_{i_1}h_{i_2} \dots h_{i_s}, -1) < (kh_{i_1}h_{i_2} \dots h_{i_s}, r) > (kh_{i_1}h_{i_2} \dots h_{i_{s+1}}, -1)$. This implies that (k, -1) and (h, -1) are in the same connected component.

Finally, since X is connected and Y is closed, open and non-empty, Y = X, i.e. $f = \phi(g)$. Therefore ϕ is an epimorphism, and then $G \simeq Aut(X)$. \Box

3. Homotopy types

If the generators $h_1, h_2, ..., h_r$ are non-trivial, the open sets $U_{(g,r)}$ look as in Fig. 1. In that case it is not hard to prove that the finite space X constructed above is weak homotopy equivalent to a wedge of n(r - 1) + 1 circles, or in other words, that the order complex of X is homotopy equivalent to a wedge of n(r - 1) + 1 circles. The space X deformation retracts to the subspace $Y = G \times \{-1, r\}$ of its minimal and maximal points. A retraction is given by the map $f : X \to Y$, defined as f(g, i) = (g, r) if $i \ge 0$ and f(g, -1) = (g, -1). Now, the order complex $\mathcal{K}(Y)$ of Y is a connected simplicial complex of dimension 1, so its homotopy type is completely determined by its Euler characteristic. This complex has 2n vertices and n(r + 1) edges, which means that it has the homotopy type of a wedge of $1 - \chi(\mathcal{K}(Y)) = n(r - 1) + 1$ circles.

On the other hand, note that in general, the automorphism group of a finite space does not say much about its homotopy type as we state in the following

Remark. Given a finite group *G* and a finite space *X*, there exists a finite space *Y* which is homotopy equivalent to *X* and such that $Aut(Y) \simeq G$.

We make this construction in two steps. First, we find a finite T_0 -space \tilde{X} homotopy equivalent to X and such that $\operatorname{Aut}(\tilde{X}) = 0$. To do this, assume that X is T_0 and consider a linear extension x_1, x_2, \ldots, x_n of the poset X. Now, for each $1 \leq k \leq n$ attach a chain of length kn to X with minimum x_{n-k+1} . The resulting space \tilde{X} deformation retracts to X and every automorphism $f : \tilde{X} \to \tilde{X}$ must fix the unique chain C_1 of length n^2 (with minimum x_1). Therefore f restricts to a homeomorphism $\tilde{X} \setminus C_1 \to \tilde{X} \setminus C_1$ which must fix the unique chain C_2 of length n(n-1) of $\tilde{X} \setminus C_1$ (with minimum x_2). Applying this reasoning repeatedly, we conclude that f fixes every point of \tilde{X} . On the other hand, we know that there exists a finite T_0 -space Z such that $\operatorname{Aut}(Z) \simeq G$.

Now the space Y is constructed as follows. Take one copy of \tilde{X} and of Z, and put every element of Z under $x_1 \in \tilde{X}$. Clearly Y deformation retracts to \tilde{X} . Moreover, if $f : Y \to Y$ is an automorphism, $f(x_1) \notin Z$ since $f(x_1)$ cannot be comparable with x_1 and distinct from it. Since there is only one chain of n^2 elements in \tilde{X} , it must be fixed by f. In particular $f(x_1) = x_1$, and then $f|_Z : Z \to Z$. Thus f restricts to automorphisms of \tilde{X} and of Z and therefore Aut $(Y) \simeq Aut(Z) \simeq G$.

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