# Congruences for Fourier Coefficients of Modular Functions of Levels 2 and 4 

Eric Brandon Moss<br>Brigham Young University

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Congruences for Fourier Coefficients of Modular Functions of Levels 2 and 4

Eric Brandon Moss

A thesis submitted to the faculty of Brigham Young University<br>in partial fulfillment of the requirements for the degree of<br>Master of Science

Paul Jenkins, Chair<br>Michael Griffin<br>Darrin Doud

Department of Mathematics
Brigham Young University

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ABSTRACT<br>Congruences for Fourier Coefficients of Modular Functions of Levels 2 and 4<br>Eric Brandon Moss<br>Department of Mathematics, BYU<br>Master of Science

We give congruences modulo powers of 2 for the Fourier coefficients of certain level 2 modular functions with poles only at 0 , answering a question posed by Andersen and Jenkins. The congruences involve a modulus that depends on the binary expansion of the modular form's order of vanishing at $\infty$. We also demonstrate congruences for Fourier coefficients of some level 4 modular functions.

Keywords: Weakly holomorphic modular forms, congruences, Fourier coefficients

## Contents

Contents ..... iii
1 Introduction and statement of results ..... 1
2 Lemmas for Theorem 1.1 ..... 4
3 Proof of Theorem 1.1 ..... 7
4 Constructing the level 4 Hauptmoduln ..... 14
5 Congruences in level 4 ..... 17
5.1 Proof of Theorem 1.2 ..... 18
5.2 Conjectures for forms in level 4 ..... 22
Bibliography ..... 25

## Chapter 1. Introduction and statement of

## RESULTS

A modular form $f(z)$ of level $N$ and weight $k$ is a function which is holomorphic on the complex upper half plane, satisfies the equation

$$
f\left(\frac{a z+b}{c z+d}\right)=(c z+d)^{k} f(z) \text { for all }\left(\begin{array}{ll}
a & b \\
c & d
\end{array}\right) \in \Gamma_{0}(N)
$$

and is holomorphic at the cusps of $\Gamma_{0}(N)$. Letting $q=e^{2 \pi i z}$, these functions have Fourier series representations of the form $f(z)=\sum_{n=n_{0}}^{\infty} a(n) q^{n}$. A weakly holomorphic modular form is a modular form that is allowed to be meromorphic at the cusps. We define $M_{k}^{!}(N)$ to be the space of all weight $k$ level $N$ weakly holomorphic modular forms and $M_{k}^{\sharp}(N)$ to be the subspace of forms which are holomorphic away from the cusp at $\infty$.

The Fourier coefficients of many modular forms have interesting arithmetic properties. For instance, let $\Delta(z)$ be the unique normalized cusp form of weight 12 for the group $\mathrm{SL}_{2}(\mathbb{Z})$. We write

$$
\Delta(z)=q \prod_{n=1}^{\infty}\left(1-q^{n}\right)^{24}=\sum_{n=1}^{\infty} \tau(n) q^{n} .
$$

Ramanujan [17] proved the congruences for $\tau(n)$ given by

$$
\tau(p n) \equiv 0(\bmod p) \text { where } p \in\{2,3,5,7\} .
$$

Such congruences also exist for weakly holomorphic modular forms. Lehner, in [12, 13], proved that the classical $j$-function $j(z)=q^{-1}+744+\sum_{n=1}^{\infty} c(n) q^{n}$ has the beautiful congruence

$$
\begin{equation*}
c\left(2^{a} 3^{b} 5^{c} 7^{d}\right) \equiv 0\left(\bmod 2^{3 a+8} 3^{2 b+3} 5^{c+1} 7^{d}\right) \text { for } a, b, c, d \geq 1 \tag{1.1}
\end{equation*}
$$

Such congruences have been extended from a single form to every element of a canonical basis for a space of forms. Kolberg [10, 11], Aas [1], and Allatt and Slater [2] strengthened

Lehner's congruence for the $j$-function, and Griffin, in [6], extended Kolberg's and Aas's results to all elements of a canonical basis for $M_{0}^{\sharp}(1)$. Congruences and other results have been proven for the spaces $M_{k}^{\sharp}(N)$ for many $N>1$. For instance, Andersen, Jenkins, and Thornton $[3,8,9]$ proved congruences for every element of a canonical basis for $M_{0}^{\sharp}(N)$ for many $N$, including the the genus 0 primes $N=2,3,5$, and 7 , and some prime powers, including $N=4$.

Another way to generalize these results is to work with forms in $M_{k}^{b}(N)$, which is similar to $M_{k}^{\sharp}(N)$ with elements that are holomorphic away from the cusp at 0 . Taking

$$
\eta(z)=q^{\frac{1}{24}} \prod_{n=1}^{\infty}\left(1-q^{n}\right)
$$

to be the Dedekind eta function, a Hauptmodul for $\Gamma_{0}(p)$ where $p=2,3,5,7$, or 13 is

$$
\phi^{(p)}(z)=\left(\frac{\eta(p z)}{\eta(z)}\right)^{\frac{24}{p-1}}=q+O\left(q^{2}\right) .
$$

These functions vanish at $\infty$ and have a pole at 0 . Also, the functions $\left(\phi^{(p)}\right)^{m}(z)$ for $m \geq 0$ form a basis for $M_{0}^{b}(p)$. Andersen and Jenkins in [3] used powers of $\phi^{(p)}(z)$ to prove congruences involving

$$
\psi^{(p)}(z)=\frac{1}{\phi^{(p)}(z)}=q^{-1}+\cdots \in M_{0}^{\sharp}(p),
$$

and made the following remark: "Additionally, it appears that powers of the function $\phi^{(p)}(z)$ have Fourier coefficients with slightly weaker divisibility properties... It would be interesting to more fully understand these congruences." In response, the author, Jenkins, and Keck proved congruences for the forms $\phi^{m}(z)$ where $\phi=\phi^{(2)}$.

Theorem 1.1. [7, Theorem 1] Write $\phi^{m}(z)=\sum_{n=m}^{\infty} a(m, n) q^{n}$. Let $n=2^{\alpha} n^{\prime}$ where $2 \nmid n^{\prime}$. Consider the first $\alpha$ digits of the binary expansion of $m, a_{\alpha} \ldots a_{2} a_{1}$, padding the left with
zeroes if necessary. Let $i^{\prime}$ be the index of the rightmost 1, if it exists. Let

$$
\gamma(m, \alpha)= \begin{cases}\#\left\{i \mid a_{i}=0, i>i^{\prime}\right\}+1 & \text { if } i^{\prime} \text { exists } \\ 0 & \text { otherwise }\end{cases}
$$

Then

$$
a\left(m, 2^{\alpha} n^{\prime}\right) \equiv 0\left(\bmod 2^{3 \gamma(m, \alpha)}\right) .
$$

We note that this congruence is not sharp. For $m=1$, Allatt and Slater in [2] proved a stronger result that provides an exact congruence for many $n$. The function $\gamma(m, \alpha)$ depends on $\alpha$ and the structure of the binary expansion of $m$, in contrast to (1.1) and most of the results previously mentioned, where the power of a prime in a congruence's modulus is an affine function of $\alpha$.

A natural next step is to investigate congruences for forms in composite levels where we require a pole at 0 or at another cusp. We will demonstrate congruences for some level 4 modular functions. The congruence subgroup $\Gamma_{0}(4)$ has 3 cusps, which we take to be $\infty, 0$, and $\frac{1}{2}$, so we consider several forms which have different orders of vanishing at these cusps. We write $\phi_{c, c^{\prime}}^{(4)}$ to be the normalized form in $M_{0}^{!}(4)$ which has a simple pole at the cusp $c$ and a simple zero at the cusp $c^{\prime}$. We also introduce the notation

$$
\left(\phi_{c, c^{\prime}}^{(4)}\right)^{m}(z)=\sum_{n=n_{0}} a_{c, c^{\prime}}^{(4)}(m, n) q^{n} .
$$

The additional results of this thesis not contained in [7] are as follows.

Theorem 1.2. Let $\left(c, c^{\prime}\right)=(0, \infty),(0,1 / 2),(1 / 2, \infty)$, or $(1 / 2,0)$. Let $n=2^{\alpha} n^{\prime}$ where $2 \nmid n^{\prime}$. Let $\alpha^{\prime}=\left\lfloor\log _{2}(m)\right\rfloor+1$, which is the number of digits in the binary expansion of $m$. Then, if $\alpha \geq \alpha^{\prime}+1$,

$$
a_{c, c^{\prime}}^{(4)}\left(m, 2^{\alpha} n^{\prime}\right) \equiv 0\left(\bmod 2^{3\left(\alpha-\alpha^{\prime}\right)}\right) .
$$

This congruence is not sharp. In particular, we have the following conjectures.

Conjecture 1.3. Let $\alpha, n^{\prime}$, and $\gamma(m, \alpha)$ be as in Theorem 1.1. If $\left(c, c^{\prime}\right)=(0, \infty)$ or $(1 / 2, \infty)$, then

$$
a_{c, c^{\prime}}^{(4)}\left(m, 2^{\alpha} n^{\prime}\right) \equiv 0\left(\bmod 2^{3 \gamma(\alpha, m)}\right) .
$$

Conjecture 1.4. If $\left(c, c^{\prime}\right)=(0,1 / 2)$ or $(1 / 2,0)$, then

$$
a_{c, c^{\prime}}^{(4)}\left(m, 2^{\alpha} n^{\prime}\right) \equiv\left\{\begin{array}{lll}
0 & \left(\bmod 2^{3(\alpha-1)+3}\right) & \text { if } m \text { is even and } \alpha \geq 2, \\
0 & \left(\bmod 2^{3 \alpha+3}\right) & \text { if } m \text { is odd, or } m \text { is even and } \alpha=0,1 .
\end{array}\right.
$$

Chapters 2 and 3 are joint work with Jenkins and Keck, and are essentially the contents of [7]. Chapter 2 contains results needed for proving Theorem 1.1, and this theorem is proved in Chapter 3. We construct the functions $\phi_{c, c^{\prime}}^{(4)}$ in Chapter 4. Results for $\left(c, c^{\prime}\right)=(\infty, 0)$ and $(\infty, 1 / 2)$ follow from [8] which is explained in Chapter 4. In Chapter 5, we prove Theorem 1.2, and we discuss Conjectures 1.3 and 1.4.

## Chapter 2. Lemmas for Theorem 1.1

The operator $U_{p}$ on a function $f(z)$ is given by

$$
U_{p} f(z)=\frac{1}{p} \sum_{j=0}^{p-1} f\left(\frac{z+j}{p}\right)
$$

Let $M_{k}^{!}(N)$ be the space of weakly holomorphic modular forms of weight $k$ and level $N$. We have $U_{p}: M_{k}^{!}(N) \rightarrow M_{k}^{!}(N)$ if $p$ divides $N$, and if $p^{2} \mid N$, then $U_{p}: M_{k}^{!}(N) \rightarrow M_{k}^{!}(N / p)$. If $f(z)$ has the Fourier expansion $\sum_{n=n_{0}}^{\infty} a(n) q^{n}$, then the effect of $U_{p}$ on $f(z)$ is given by $U_{p} f(z)=\sum_{n=n_{0}}^{\infty} a(p n) q^{n}$.

The following result describes how $U_{p}$ applied to a modular function behaves under the Fricke involution. This will help us in Lemma 2.4 to write $U_{2} \phi^{m}$ as a polynomial in $\phi$.

Lemma 2.1. [4, Theorem 4.6] Let $p$ be prime and let $f(z)$ be a level $p$ modular function.

Then

$$
p\left(U_{p} f\right)\left(\frac{-1}{p z}\right)=p\left(U_{p} f\right)(p z)+f\left(\frac{-1}{p^{2} z}\right)-f(z) .
$$

The Fricke involution $\left(\begin{array}{cc}0 & -1 \\ 2 & 0\end{array}\right)$ swaps the cusps of $\Gamma_{0}(2)$, which are 0 and $\infty$. We will use this fact in the proof of Lemma 2.4, and the following relations between $\phi$ and $\psi=\frac{1}{\phi}$ will help us compute this involution.

Lemma 2.2. [3, Lemma 3] The functions $\phi$ and $\psi$ satisfy the relations

$$
\begin{aligned}
\phi\left(\frac{-1}{2 z}\right) & =2^{-12} \psi(z) \\
\psi\left(\frac{-1}{2 z}\right) & =2^{12} \phi(z)
\end{aligned}
$$

The following lemma is a special case of a result by Lehner [13]. It provides a polynomial used in the proof of Theorem 3.1 whose roots are the modular forms that appear in $U_{2} \phi$.

Lemma 2.3. [13, Theorem 2] There exist integers $b_{j}$ such that

$$
U_{2} \phi(z)=2\left(b_{1} \phi(z)+b_{2} \phi^{2}(z)\right) .
$$

Furthermore, let $h(z)=2^{12} \phi(z / 2), g_{1}(z)=2^{14}\left(b_{1} \phi(z)+b_{2} \phi^{2}(z)\right)$, and $g_{2}(z)=-2^{14} b_{2} \phi(z)$. Then

$$
h^{2}(z)-g_{1}(z) h(z)+g_{2}(z)=0 .
$$

In the following lemma, we extend the result from the first part of Lemma 2.3 by writing $U_{2} \phi^{m}$ as an integer polynomial in $\phi$. In particular, we give the least and greatest powers of the polynomial's nonzero terms.

Lemma 2.4. For all $m \geq 1, U_{2} \phi^{m} \in \mathbb{Z}[\phi]$. In particular,

$$
U_{2} \phi^{m}=\sum_{j=\lceil m / 2\rceil}^{2 m} d(m, j) \phi^{j}
$$

where $d(m, j) \in \mathbb{Z}$, and $d(m,\lceil m / 2\rceil)$ and $d(m, 2 m)$ are not 0 .

Proof. Using Lemmas 2.1 and 2.2, we have that

$$
\begin{aligned}
U_{2} \phi^{m}(-1 / 2 z) & =U_{2} \phi^{m}(2 z)+2^{-1} \phi^{m}(-1 / 4 z)-2^{-1} \phi^{m}(z) \\
& =U_{2} \phi^{m}(2 z)+2^{-1-12 m} \psi^{m}(2 z)-2^{-1} \phi^{m}(z) \\
& =2^{-1-12 m} q^{-2 m}+O\left(q^{-2 m+2}\right) \\
2^{1+12 m} U_{2} \phi^{m}(-1 / 2 z) & =q^{-2 m}+O\left(q^{-2 m+2}\right) .
\end{aligned}
$$

Because $\phi^{m}$ is holomorphic at $\infty, U_{2} \phi^{m}$ is holomorphic at $\infty$. So $U_{2} \phi^{m}(-1 / 2 z)$ is holomorphic at 0 and, since it starts with $q^{-2 m}$, must be a polynomial of degree $2 m$ in $\psi$. Let $b(m, j) \in \mathbb{Z}$ such that

$$
2^{1+12 m} U_{2} \phi^{m}(-1 / 2 z)=\sum_{j=0}^{2 m} b(m, j) \psi^{j}(z)
$$

and we note that $b(m, 2 m)$ is not 0 . Now replace $z$ with $-1 / 2 z$ and use Lemma 2.2 to get

$$
2^{1+12 m} U_{2} \phi^{m}(z)=\sum_{j=0}^{2 m} b(m, j) 2^{12 j} \phi^{j}(z)
$$

which gives

$$
U_{2} \phi^{m}(z)=\sum_{j=0}^{2 m} b(m, j) 2^{12(j-m)-1} \phi^{j}(z) .
$$

If $m$ is even, the leading term of the above sum is $q^{m / 2}$, and if $m$ is odd, the leading term is $q^{(m+1) / 2}$, so the sum starts with $j=\lceil m / 2\rceil$ as desired. Notice that $b(m, j) 2^{12(j-m)-1}$ is an integer because the coefficients of $\phi^{m}$ are integers.

We may repeatedly use Lemma 2.4 to write $U_{2}^{\alpha} \phi^{m}$ as a polynomial in $\phi$. Let

$$
\begin{equation*}
f(\ell)=\lceil\ell / 2\rceil, f^{0}(\ell)=\ell, \text { and } f^{k}(\ell)=f\left(f^{k-1}(\ell)\right) . \tag{2.1}
\end{equation*}
$$

Using Lemma 2.4, the smallest power of $q$ appearing in $U_{2}^{\alpha} \phi^{m}$ is $f^{\alpha}(m)$. Lemma 2.5 provides a connection between $\gamma(m, \alpha)$ and the integers $f^{\alpha}(m)$.

Lemma 2.5. The function $\gamma(m, \alpha)$ as defined in Theorem 1.1 is equal to the number of odd integers in the list

$$
m, f(m), f^{2}(m), \ldots, f^{\alpha-1}(m)
$$

Proof. Write the binary expansion of $m$ as $a_{r} \ldots a_{2} a_{1}$, and consider its first $\alpha$ digits, $a_{\alpha} \ldots a_{2} a_{1}$, where $a_{i}=0$ for $i>r$ if $\alpha>r$. If all $a_{i}=0$, then all of the integers in the list are even. Otherwise, suppose that $a_{i}=0$ for $1 \leq i<i^{\prime}$ and $a_{i^{\prime}}=1$. Apply $f$ repeatedly to $m$, which deletes the beginning 0s from the expansion, until $a_{i^{\prime}}$ is the rightmost remaining digit; that is, $f^{i^{\prime}-1}(m)=a_{\alpha} \ldots a_{i^{\prime}-1} a_{i^{\prime}}$. In particular, this integer is odd. Having reduced to the odd case, we now treat only the case where $m$ is odd.

If $m$ in the list is odd, then $a_{1}=1$, which corresponds to the +1 in the definition of $\gamma(m, \alpha)$. Also, $f(m)=\lceil m / 2\rceil=(m+1) / 2$. Applied to the binary expansion of $m$, this deletes $a_{1}$ and propagates a 1 leftward through the binary expansion, flipping 1 s to 0 s , and then terminating upon encountering the first 0 (if it exists), which changes to a 1 . As in the even case, we apply $f$ repeatedly to delete the new leading 0 s, producing one more odd output in the list once all the 0 s have been deleted. Thus, each 0 to the left of $a_{i^{\prime}}$ corresponds to one odd number in the list.

## Chapter 3. Proof of Theorem 1.1

Theorem 1.1 will follow from Theorem 3.1. Let $v_{p}(n)$ be the $p$-adic valuation of $n$.

Theorem 3.1. Let $f(\ell)$ be as in (2.1). Let $\gamma(m, \alpha)$ be as in Theorem 1.1, and let $\alpha \geq 1$. Define

$$
c(m, j, \alpha)= \begin{cases}-1 & \text { if } f^{\alpha-1}(m) \text { is even and is not } 2 j \\ 0 & \text { otherwise } .\end{cases}
$$

$$
\begin{align*}
\text { Write } U_{2}^{\alpha} \phi^{m}= & \sum_{j=f^{\alpha}(m)}^{2^{\alpha} m} d(m, j, \alpha) \phi^{j} \text {. Then } \\
& v_{2}(d(m, j, \alpha)) \geq 8\left(j-f^{\alpha}(m)\right)+3 \gamma(m, \alpha)+c(m, j, \alpha) . \tag{3.1}
\end{align*}
$$

Theorem 3.1 is an improvement on the following result by Lehner [13].

Theorem 3.2. [13, Equation 3.4] Write $U_{2}^{\alpha} \phi^{m}$ as $\sum d(m, j, \alpha) \phi^{j} \in \mathbb{Z}[\phi]$. Then

$$
v_{2}(d(m, j, \alpha)) \geq 8(j-1)+3(\alpha-m+1)+(1-m) .
$$

In particular, Lehner's bound sometimes only gives the trivial result that the 2-adic valuation of $d(m, j, \alpha)$ is greater than some negative integer.

We prove Theorem 3.1 by induction on $\alpha$. The base case is similar to Lemma 6 from [3], which gives a subring of $\mathbb{Z}[\phi]$ which is closed under the $U_{2}$ operator. The polynomials in this subring are useful because their coefficients are highly divisible by 2. Here, we employ a similar technique to prove divisibility properties of the polynomial coefficients in Lemma 2.4. This method goes back to Watson [18, Section 3]. Another approach to proving the base case can be found in [5, Lemma 4.1.1]. We then induct to extend the divisibility results to the polynomials that arise from repeated application of $U_{2}$.

Proof of Theorem 3.1. For the base case, we let $\alpha=1$, and seek to prove the statement

$$
U_{2} \phi^{m}=\sum_{j=\lceil m / 2\rceil}^{2 m} d(m, j, 1) \phi^{j}
$$

with

$$
\begin{equation*}
v_{2}(d(m, j, 1)) \geq 8(j-\lceil m / 2\rceil)+c(m, j) \tag{3.2}
\end{equation*}
$$

where

$$
c(m, j)= \begin{cases}3 & m \text { is odd } \\ 0 & m=2 j \\ -1 & \text { otherwise }\end{cases}
$$

The term $c(m, j)$ combines $c(m, j, \alpha)$ and $3 \gamma(m, \alpha)$ for notational convenience. We prove (3.2) by induction on $m$.

We follow the proof techniques used in Lemmas 5 and 6 of [3]. From the definition of $U_{2}$, we have

$$
U_{2} \phi^{m}(z)=2^{-1}\left(\phi^{m}\left(\frac{z}{2}\right)+\phi^{m}\left(\frac{z+1}{2}\right)\right)=2^{-1-12 m}\left(h_{0}^{m}(z)+h_{1}^{m}(z)\right)
$$

where $h_{\ell}(z)=2^{12} \phi\left(\frac{z+\ell}{2}\right)$. To understand this form, we construct a polynomial whose roots are $h_{0}(z)$ and $h_{1}(z)$. Let $g_{1}(z)=2^{16} \cdot 3 \phi(z)+2^{24} \phi^{2}(z)$ and $g_{2}(z)=-2^{24} \phi(z)$. Then by Lemma 2.3, the polynomial $F(x)=x^{2}-g_{1}(z) x+g_{2}(z)$ has $h_{0}(z)$ as a root. It also has $h_{1}(z)$ as a root because under $z \mapsto z+1, h_{0}(z) \mapsto h_{1}(z)$ and the $g_{\ell}$ are fixed.

Recall Newton's identities for the sum of powers of roots of a polynomial. For a polynomial $\prod_{i=1}^{n}\left(x-x_{i}\right)$, let $S_{\ell}=x_{1}^{\ell}+\cdots+x_{n}^{\ell}$ and let $g_{\ell}$ be the $\ell$ th symmetric polynomial in the $x_{1}, \ldots, x_{n}$. Then

$$
S_{\ell}=g_{1} S_{\ell-1}-g_{2} S_{\ell-2}+\cdots+(-1)^{\ell+1} \ell g_{\ell}
$$

We apply this to the polynomial $F(x)$, which has only two roots, to find that

$$
h_{0}^{m}(z)+h_{1}^{m}(z)=S_{m}=g_{1} S_{m-1}-g_{2} S_{m-2} .
$$

Furthermore,

$$
\begin{equation*}
U_{2} \phi^{m}=2^{-1-12 m} S_{m} \tag{3.3}
\end{equation*}
$$

Lastly, let $R$ be the set of polynomials of the form $d(1) \phi+\sum_{n=2}^{N} d(n) \phi^{n}$ where for $n \geq 2$, $v_{2}(d(n)) \geq 8(n-1)$. Now we rephrase the theorem statement in terms of $S_{m}$ and elements of $R$. When $m$ is odd, we wish to show that for some $r \in R, U_{2} \phi^{m}=2^{-8([m / 2\rceil-1)+3} r$. Performing
straightforward manipulations using (3.3), this is equivalent to $S_{m}=2^{8(m+1)} r$ for some $r \in R$. Similarly, when $m$ is even and is not $2 j$, we wish to show that $U_{2} \phi^{m}=2^{-8([m / 2\rceil-1)-1} r$ for some $r \in R$. This again reduces to showing that $S_{m}=2^{8(m+1)} r$ for some $r \in R$. If $m=2 j$, then (3.2) gives $8(j-\lceil 2 j / 2\rceil)+0=0$, which means the polynomial has integer coefficients, which is true by Lemma 2.4.

When $m=1$ or 2 , we have that $S_{m}=2^{8(m+1)} r$ for some $r \in R$, as

$$
\begin{gathered}
S_{1}=g_{1}=2^{8(2)}\left(3 \phi+2^{8} \phi^{2}\right) \\
S_{2}=g_{1} S_{1}-2 g_{2}=2^{8(3)}\left(2 \phi+2^{8} 3^{2} \phi^{2}+2^{17} \phi^{3}+2^{24} \phi^{4}\right) .
\end{gathered}
$$

Now assume the equality is true for positive integers less than $m$ with $m$ at least 3 . Then for some $r_{1}, r_{2} \in R$,

$$
\begin{aligned}
S_{m} & =g_{1} S_{m-1}-g_{2} S_{m-2} \\
& =\left(2^{16}\left(3 \phi+2^{8} \phi^{2}\right)\right)\left(2^{8 m} r_{1}\right)+\left(2^{24} \phi\right)\left(2^{8(m-1)} r_{2}\right) \\
& =2^{8(m+1)}\left[\left(3 \cdot 2^{8} \phi+2^{16} \phi^{2}\right) r_{1}+2^{8} \phi r_{2}\right],
\end{aligned}
$$

completing the proof where $\alpha=1$.
Assume the theorem is true for $U_{2}^{\alpha} \phi^{m}=\sum_{j=s}^{2^{\alpha} m} d(j) \phi^{j}$, meaning

$$
\begin{equation*}
v_{2}(d(j)) \geq 8\left(j-f^{\alpha}(m)\right)+3 \gamma(m, \alpha)+c(m, j, \alpha) . \tag{3.4}
\end{equation*}
$$

Note that $s=f^{\alpha}(m)$. Letting $s^{\prime}=f(s)$ and $U_{2} \phi^{j}=\sum_{i=\lceil j / 2\rceil}^{2 j} b(j, i) \phi^{i}$, we define $d^{\prime}(j)$ as the
integers satisfying the following equation:

$$
\begin{align*}
U_{2}^{\alpha+1} \phi^{m} & =U_{2}\left(\sum_{j=s}^{2^{\alpha} m} d(j) \phi^{j}\right) \\
& =\sum_{j=s}^{2^{\alpha} m} d(j) U_{2} \phi^{j} \\
& =\sum_{j=s}^{2^{\alpha} m} \sum_{i=\lceil j / 2\rceil}^{2 j} d(j) b(j, i) \phi^{i} \\
& =\sum_{j=s^{\prime}}^{2^{\alpha+1} m} d^{\prime}(j) \phi^{j} \tag{3.5}
\end{align*}
$$

We wish to prove that

$$
\begin{equation*}
v_{2}\left(d^{\prime}(j)\right) \geq 8\left(j-f^{\alpha+1}(m)\right)+3 \gamma(m, \alpha+1)+c(m, j, \alpha+1) \tag{3.6}
\end{equation*}
$$

We will prove inequalities that imply (3.6). Observe that

$$
c(m, j, \alpha+1)= \begin{cases}-1 & \text { if } s \text { is even and not } 2 j \\ 0 & \text { if } s \text { is odd or } s=2 j\end{cases}
$$

and

$$
\gamma(m, \alpha+1)= \begin{cases}\gamma(m, \alpha) & \text { if } s \text { is even } \\ \gamma(m, \alpha)+1 & \text { if } s \text { is odd }\end{cases}
$$

Also, $c(m, s, \alpha)=0$ because if $f^{\alpha-1}(m)$ is even, then $s=f^{\alpha-1}(m) / 2$ so $f^{\alpha-1}(m)=2 s$. Therefore, $v_{2}(d(s)) \geq 3 \gamma(m, \alpha)$ by (3.4).

If $s$ is even, we will show that

$$
\begin{equation*}
v_{2}\left(d^{\prime}(j)\right) \geq \max \left\{8\left(j-s^{\prime}\right)-1+v_{2}(d(s)), v_{2}(d(s))\right\} \tag{3.7}
\end{equation*}
$$

because then if $j=s^{\prime}$, we have

$$
\begin{aligned}
v_{2}\left(d^{\prime}\left(s^{\prime}\right)\right) & \geq v_{2}(d(s)) \\
& \geq 8\left(s^{\prime}-s^{\prime}\right)+3 \gamma(m, \alpha)+c\left(m, s^{\prime}, \alpha+1\right)
\end{aligned}
$$

and for all $j$,

$$
\begin{aligned}
v_{2}\left(d^{\prime}(j)\right) & \geq 8\left(j-s^{\prime}\right)+3 \gamma(m, \alpha)+c(m, j, \alpha+1) \\
& =8\left(j-f^{\alpha+1}(m)\right)+3 \gamma(m, \alpha+1)+c(m, j, \alpha+1)
\end{aligned}
$$

so that (3.7) implies (3.6). If $s$ is odd we will show that

$$
\begin{equation*}
v_{2}\left(d^{\prime}(j)\right) \geq 8\left(j-s^{\prime}\right)+3+v_{2}(d(s)) \tag{3.8}
\end{equation*}
$$

because then

$$
\begin{aligned}
v_{2}\left(d^{\prime}(j)\right) & \geq 8\left(j-s^{\prime}\right)+3 \gamma(m, \alpha)+3 \\
& =8\left(j-s^{\prime}\right)+3(\gamma(m, \alpha)+1) \\
& =8\left(j-f^{\alpha+1}(m)\right)+3 \gamma(m, \alpha+1)+c(m, j, \alpha+1),
\end{aligned}
$$

which is (3.6).
For the sake of brevity, we treat here only the case where $s$ is odd. The case where $s$ is even has a similar proof. This case breaks into subcases. We will only show the proof where $j \leq 2 s$, but the other cases are $2 s<j \leq 2^{\alpha-1} m$ and $2^{\alpha-1} m<j \leq 2^{\alpha+1} m$, using the same subcases for when $s$ is even. These subcases are natural to consider because in the first range of $j$-values, the $d(s)$ term is included for computing $d^{\prime}(j)$, in the second range, there are no $d(s)$ or $d\left(2^{\alpha} m\right)$ terms, and in the third range, there is a $d\left(2^{\alpha} m\right)$ term.

Let $j \leq 2 s$. Using (3.5), we know that $d^{\prime}(j)=\sum_{i=s}^{2 j} d(i) b(i, j)$ by collecting the coefficients
of $\phi^{j}$. Let $\delta(i)$ be given by

$$
\delta(i)=v_{2}(d(i))+v_{2}(b(i, j))
$$

Let $D=\{\delta(i) \mid s \leq i \leq 2 j\}$. Therefore we have

$$
\begin{aligned}
v_{2}\left(d^{\prime}(j)\right) & \geq \min \left\{v_{2}(d(i))+v_{2}(b(i, j)) \mid s \leq i \leq 2 j\right\} \\
& =\min D
\end{aligned}
$$

We claim that $\delta(i)$ achieves its minimum with $\delta(s)$, which proves (3.8). For that element of $D$, we know by inequality (3.2) that

$$
\delta(s) \geq v_{2}(d(s))+8\left(j-s^{\prime}\right)+3
$$

Now suppose $i>s$. Then every element of $D$ satisfies the following inequality:

$$
\begin{aligned}
\delta(i) & =v_{2}(d(i))+8(j-\lceil i / 2\rceil)+c(i, j) \\
& \geq 8(i-s)-1+v_{2}(d(s))+8(j-\lceil i / 2\rceil)+c(i, j) \\
& \geq 8(s+1-s+j-\lceil(s+1) / 2\rceil)-2+v_{2}(d(s)) \\
& =8\left(j-s^{\prime}\right)+6+v_{2}(d(s)),
\end{aligned}
$$

but this is clearly greater than $\delta(s)$. Therefore, if $j \leq 2 s$ and $s$ is odd, then $v_{2}\left(d^{\prime}(j)\right) \geq$ $8\left(j-s^{\prime}\right)+3+v_{2}(d(s))$. The other cases are similar.

Now Theorem 1.1 follows easily from Theorem 3.1.
Theorem 1.1. [7, Theorem 1] Write $\phi^{m}(z)=\sum_{n=m}^{\infty} a(m, n) q^{n}$. Let $n=2^{\alpha} n^{\prime}$ where $2 \nmid n^{\prime}$. Consider the first $\alpha$ digits of the binary expansion of $m, a_{\alpha} \ldots a_{2} a_{1}$, padding the left with
zeroes if necessary. Let $i^{\prime}$ be the index of the rightmost 1, if it exists. Let

$$
\gamma(m, \alpha)= \begin{cases}\#\left\{i \mid a_{i}=0, i>i^{\prime}\right\}+1 & \text { if } i^{\prime} \text { exists } \\ 0 & \text { otherwise }\end{cases}
$$

Then

$$
a\left(m, 2^{\alpha} n^{\prime}\right) \equiv 0\left(\bmod 2^{3 \gamma(m, \alpha)}\right) .
$$

Proof. Letting $j=f^{\alpha}(m)$ in (3.1), the right hand side reduces to

$$
3 \gamma(m, \alpha)+c\left(m, f^{\alpha}(m), \alpha\right) .
$$

Notice that $c\left(m, f^{\alpha}(m), \alpha\right)=0$, because if $f^{\alpha-1}(m)$ is even, then $f^{\alpha}(m)=f^{\alpha-1}(m) / 2$ so $f^{\alpha-1}(m)=2 f^{\alpha}(m)$. The right hand side of (3.1) is minimized when $j=f^{\alpha}(m)$, so we conclude that $v_{2}\left(a\left(m, 2^{\alpha} n^{\prime}\right)\right) \geq 3 \gamma(m, \alpha)$.

## Chapter 4. Constructing the level 4 Hauptmoduln

The forms $\phi_{c, c^{\prime}}^{(4)}$ can be constructed using the theory of $\eta$-quotients. We need the following theorem to compute $\eta$-quotients of the desired weight, level, and character.

Theorem 4.1. $[15,16]$ Let $N$ be a positive integer, and suppose that $f(z)=\prod_{\delta \mid N} \eta(\delta z)^{r_{\delta}}$ is an $\eta$-quotient which satisfies the following congruences:

$$
\sum_{\delta \mid N} \delta r_{\delta} \equiv 0(\bmod 24) \quad \text { and } \quad \sum_{\delta \mid N} \frac{N}{\delta} r_{\delta} \equiv 0(\bmod 24)
$$

Then $f(z)$ is weakly modular of weight $k=\frac{1}{2} \sum_{\delta \mid N} r_{\delta}$ for the group $\Gamma_{0}(N)$ with character

$$
\chi(d)=\left(\frac{(-1)^{k} s}{d}\right) \quad \text { where } \quad s=\prod_{\delta \mid N} \delta^{r_{\delta}}
$$

We will use the following theorem to compute vanishing of $\eta$-quotients.

Theorem 4.2. [14] Let $c, d$, and $N$ be positive integers with $d \mid N$ and $\operatorname{gcd}(c, d)=1$. If $f(z)$ is an $\eta$-quotient of level $N$, then the order of vanishing of $f(z)$ at the cusp $c / d$ is given by

$$
\frac{N}{24} \sum_{\delta \mid N} \frac{\operatorname{gcd}(d, \delta)^{2} r_{\delta}}{\operatorname{gcd}(d, N / d) d \delta}
$$

To construct the forms $\phi_{c, c^{\prime}}^{(4)}$, we follow Theorem 4.1 to see that the following will guarantee a form in $M_{0}^{!}(4)$ :

$$
\begin{align*}
r_{1}+2 r_{2}+4 r_{4} & \equiv 0(\bmod 24)  \tag{4.1}\\
4 r_{1}+2 r_{2}+r_{4} & \equiv 0(\bmod 24)  \tag{4.2}\\
2^{r_{2}} 4^{r_{4}} & =\text { square of a rational number }  \tag{4.3}\\
r_{1}+r_{2}+r_{4} & =0=k \tag{4.4}
\end{align*}
$$

If we want $\phi_{0, \infty}^{(4)}$, for example, we impose the additional condition that the form have a simple pole at 0 and a simple zero at $\infty$. We accomplish this by using Theorem 4.2. To this end, we compute

$$
\left(\begin{array}{ll}
1 & 0 \\
4 & 1
\end{array}\right) \infty=\frac{1}{4}, \quad \text { and } \quad\left(\begin{array}{ll}
1 & 1 \\
0 & 1
\end{array}\right) 0=\frac{1}{1} .
$$

Therefore, the vanishing of a level $4 \eta$-quotient at the cusp $c / d$ with $\operatorname{gcd}(c, d)=1$ and $d \mid 4$ is equal to

$$
\frac{1}{6 \operatorname{gcd}(d, 4 / d) d}\left(r_{1}+\frac{\operatorname{gcd}(d, 2)^{2} r_{2}}{2}+\frac{\operatorname{gcd}(d, 4)^{2} r_{4}}{4}\right)
$$

So, the $\eta$-quotient for $\phi_{0, \infty}^{(4)}$ must satisfy

$$
-1=\frac{1}{6}\left(r_{1}+\frac{r_{2}}{2}+\frac{r_{4}}{4}\right),
$$

and

$$
1=\frac{1}{24}\left(r_{1}+2 r_{2}+4 r_{4}\right) .
$$

These are equivalent to, respectively,

$$
\begin{gathered}
4 r_{1}+2 r_{2}+r_{4}=-24 \\
r_{1}+2 r_{2}+4 r_{4}=24
\end{gathered}
$$

which are strengthenings of (4.2) and (4.1) respectively. We now have the linear system formed from these two equations and (4.4),

$$
\left(\begin{array}{lll}
4 & 2 & 1 \\
1 & 2 & 4 \\
1 & 1 & 1
\end{array}\right)\left(\begin{array}{l}
r_{1} \\
r_{2} \\
r_{4}
\end{array}\right)=\left(\begin{array}{c}
-24 \\
24 \\
0
\end{array}\right)
$$

which has the unique solution $\left(r_{1}, r_{2}, r_{4}\right)=(-8,0,8)$. A quick verification shows that this solution satisfies (4.3), and the order of vanishing of the corresponding form at $1 / 2$ is 0 . Therefore,

$$
\phi_{0, \infty}^{(4)}(z)=\frac{\eta(4 z)^{8}}{\eta(z)^{8}}=q+8 q^{2}+44 q^{3}+192 q^{4}+O\left(q^{5}\right)
$$

A similar computation provides the shapes of the remaining $\eta$-quotients which are found in Table 4.1. From the table, it is easy to see several symmetries, and we will prove these in Chapter 5.

The forms $\phi_{\infty, 0}^{(4)}$ and $\phi_{\infty, 1 / 2}^{(4)}$ are subsumed in the work of Jenkins and Thornton in [8]. In [8], the form $f_{0, m}^{(4)}(z)$ is the element of $M_{0}^{\sharp}(4)$ that starts with $q^{-m}$ and has the largest possible gap in the Fourier expansion thereafter. This is written as

$$
f_{0, m}^{(4)}(z)=q^{-m}+\sum_{n=1}^{\infty} a_{0}^{(4)}(m, n) q^{n}
$$

| Modular function | $\eta$-quotient | $q$-expansion |
| :---: | :---: | :---: |
| $\phi_{\infty, 0}^{(4)}(z)$ | $\frac{\eta(z)^{8}}{\eta(4 z)^{8}}$ | $q^{-1}-8+20 q-62 q^{3}+216 q^{5}+O\left(q^{7}\right)$ |
| $\phi_{\infty, 1 / 2}^{(4)}(z)$ | $\frac{\eta(2 z)^{24}}{\eta(z)^{8} \eta(4 z)^{16}}$ | $q^{-1}+8+20 q-62 q^{3}+216 q^{5}+O\left(q^{7}\right)$ |
| $\phi_{1 / 2,0}^{(4)}(z)$ | $\frac{\eta(z)^{16} \eta(4 z)^{8}}{\eta(2 z)^{24}}$ | $1-16 q+128 q^{2}-704 q^{3}+O\left(q^{4}\right)$ |
| $\phi_{0,1 / 2}^{(4)}(z)$ | $\frac{\eta(2 z)^{24}}{\eta(z)^{16} \eta(4 z)^{8}}$ | $1+16 q+128 q^{2}+704 q^{3}+O\left(q^{4}\right)$ |
| $\phi_{0, \infty}^{(4)}(z)$ | $\frac{\eta(4 z)^{8}}{\eta(z)^{8}}$ | $q+8 q^{2}+44 q^{3}+192 q^{4}+O\left(q^{5}\right)$ |
| $\phi_{1 / 2, \infty}^{(4)}(z)$ | $\frac{\eta(z)^{8} \eta(4 z)^{16}}{\eta(2 z)^{24}}$ | $q-8 q^{2}+44 q^{3}-192 q^{4}+O\left(q^{5}\right)$ |

Table 4.1: The $\eta$-quotients and $q$-expansions of the modular functions for whose powers we will prove congruences.

These forms make up a canonical basis for the space $M_{0}^{\sharp}(4)$, and satisfy the congruence

$$
a_{0}^{(4)}\left(2^{\alpha} m^{\prime}, 2^{\beta} n^{\prime}\right) \equiv \begin{cases}0\left(\bmod 2^{4(\alpha-\beta)+8}\right) & \text { if } \alpha>\beta \\ 0\left(\bmod 2^{3(\beta-\alpha)+8}\right) & \text { if } \beta>\alpha\end{cases}
$$

where $m^{\prime}$ and $n^{\prime}$ are odd $\left[8\right.$, Theorem 2]. The $f_{0, m}^{(4)}(z)$ basis is more convenient than the bases $\left(\phi_{\infty, 0}^{(4)}\right)^{m}$ and $\left(\phi_{\infty, 1 / 2}^{(4)}\right)^{m}$ because a given form is expressible in terms of the $f_{0, m}^{(4)}$ basis by simply reading off the coefficients of the nonpositive powers of $q$. For this reason, we will not examine congruences for $\left(\phi_{\infty, 0}^{(4)}\right)^{m}$ and $\left(\phi_{\infty, 1 / 2}^{(4)}\right)^{m}$.

## Chapter 5. Congruences in level 4

### 5.1 Proof of Theorem 1.2

The main idea for proving Theorem 1.2 is to use the $U_{2}$ operator to bring level 4 forms down to the space $M_{0}^{\mathrm{b}}(2)$ and to apply Theorem 1.1. Recall that $\phi=\phi^{(2)} \in M_{0}^{\mathrm{b}}(2)$. The following two lemmas show that $U_{2}$ applied to $\left(\phi_{c, c^{\prime}}^{(4)}\right)^{m}$ can be expressed as an integer polynomial in the level 2 form $\phi$.

Lemma 5.1. For some integers $d(m, n)$, we have that

$$
U_{2}\left(\phi_{1 / 2,0}^{(4)}\right)^{m}=U_{2}\left(\phi_{0,1 / 2}^{(4)}\right)^{m}=\sum_{n=0}^{m} d(m, n) \phi^{n}
$$

Proof. Let $f=\phi_{1 / 2,0}^{(4)}$. Firstly, because $2^{2} \mid 4$, we have that $U_{2} f \in M_{0}^{!}(2)$. Because the action of the $U_{p}$ operator on a $q$-expansion is $U_{p} \sum a(n) q^{n}=\sum a(p n) q^{n}$, we can see from the $q$-expansion of $f$ (Table 4.1) that $U_{2} f^{m}$ is holomorphic at $\infty$.

Now, we will determine the order of vanishing of $U_{2} f^{m}$ at 0 . By the definition of $U_{2}$, we have that

$$
2\left(U_{2} f^{m}\right)=f^{m}\left|\left(\begin{array}{ll}
1 & 0 \\
0 & 2
\end{array}\right)+f^{m}\right|\left(\begin{array}{ll}
1 & 1 \\
0 & 2
\end{array}\right) .
$$

Applying the Fricke involution $W_{2}$, we have

$$
\left(2 U_{2} f^{m}\right)\left|\left(\begin{array}{cc}
0 & -1  \tag{5.1}\\
2 & 0
\end{array}\right)=f^{m}\right|\left(\begin{array}{cc}
0 & -1 \\
4 & 0
\end{array}\right)+f^{m}\left|\left(\begin{array}{ll}
1 & -1 \\
2 & -1
\end{array}\right)\right|\left(\begin{array}{ll}
2 & 1 \\
0 & 2
\end{array}\right) .
$$

The form $f^{m}$ has a pole of order $m$ at $1 / 2$ and a zero of order $m$ at 0 . The first term of (5.1) is an expansion of $f^{m}$ at 0 , given by the Fricke involution $W_{4}$. Therefore, this term contributes no negative powers of $q$. The second term is the expansion of $f^{m}$ at $1 / 2$ with the substitution $z \mapsto(2 z+1) / 2$ which sends $q \mapsto-q$. Therefore, this term contributes a pole of order $m$.

Therefore, $U_{2} f^{m}$ is a form in the space $M_{0}^{b}(2)$ with a pole of order $m$ at 0 . We conclude that it is a polynomial in $\phi$ of degree $m$. Because $U_{2} f^{m}$ has integer coefficients, the polynomial has integer coefficients.

For the form $\phi_{0,1 / 2}^{(4)}$, we reduce to the previous case. The matrix $\left(\begin{array}{ll}2 & 1 \\ 0 & 2\end{array}\right)$ swaps the cusps 0 and $1 / 2$ and sends $q$ to $-q$. Therefore, the coefficients of $\phi_{0,1 / 2}^{(4)}$ and $\phi_{1 / 2,0}^{(4)}$ are the same up to sign. In particular, the even-indexed coefficients are equal and the odd-indexed coefficients are equal but opposite in sign. The same reasoning applies to the $m$ th powers of these forms. Because $U_{2}$ only picks off even-indexed coefficients, the coefficients it gathers are of the same sign. We conclude that

$$
U_{2}\left(\phi_{0,1 / 2}^{(4)}\right)^{m}=U_{2}\left(\phi_{1 / 2,0}^{(4)}\right)^{m}
$$

The following lemma is similar to the previous one, except that the resulting polynomial in $\phi$ has its smallest power equal to $\lceil m / 2\rceil$.

Lemma 5.2. For some integers $d(m, n)$, we have that

$$
U_{2}\left(\phi_{0, \infty}^{(4)}\right)^{m}=(-1)^{m} U_{2}\left(\phi_{0, \infty}^{(4)}\right)^{m}=\sum_{n=\lceil m / 2\rceil}^{m} d(m, n) \phi^{n} .
$$

Proof. Let $f=\phi_{0, \infty}^{(4)}$. Again, $U_{2} f^{m}$ is a level 2 form, and it is holomorphic at $\infty$ by examining its $q$-expansion. By a similar argument, equation (5.1) shows that the pole at 0 is of order $m$. If $m$ is even, the least power of $q$ in $U_{2} f^{m}$ is $m / 2$, and if $m$ is odd, the least power is $(m+1) / 2$. Thus the least power of $\phi$ in $U_{2} f^{m}$ is $\lceil m / 2\rceil$.

By a similar argument to that presented in Lemma 5.1, the coefficients of $\phi_{0, \infty}^{(4)}$ and $\phi_{1 / 2, \infty}^{(4)}$ are equal up to sign. Because we are normalizing the forms to have leading coefficient 1 and these forms begin with an odd power of $q$, the odd-indexed coefficients are equal and the even-indexed coefficients are equal but opposite in sign. The same will be true of any odd power of the two forms for the same reason. In this case, $U_{2}$ of both forms is equal but opposite in sign. The pattern reverses when we take an even power of the functions because
we no longer have to apply a normalizing -1 to every coefficient. In this case, the image of $U_{2}$ on both forms is equal, concluding the proof.

We now prove Theorem 1.2. We use Lemmas 5.1 and 5.2 to bring $\phi_{c, c^{\prime}}^{(4)}$ down to level 2 , and then we apply Theorem 1.1.

Theorem 1.2. Let $\left(c, c^{\prime}\right)=(0, \infty),(0,1 / 2),(1 / 2, \infty)$, or $(1 / 2,0)$. Let $n=2^{\alpha} n^{\prime}$ where $2 \nmid n^{\prime}$. Let $\alpha^{\prime}=\left\lfloor\log _{2}(m)\right\rfloor+1$, which is the number of digits in the binary expansion of $m$. Then, if $\alpha \geq \alpha^{\prime}+1$,

$$
a_{c, c^{\prime}}^{(4)}\left(m, 2^{\alpha} n^{\prime}\right) \equiv 0\left(\bmod 2^{3\left(\alpha-\alpha^{\prime}\right)}\right) .
$$

Proof. Let $\phi^{m}(z)=\sum_{n=m}^{\infty} a(m, n) q^{n}$. Using Lemmas 5.1 and 5.2, we have that

$$
\begin{aligned}
U_{2}\left(\phi_{c, c^{\prime}}^{(4)}\right)^{m}(z) & =\sum_{n=0}^{\infty} a_{c, c^{\prime}}^{(4)}(m, 2 n) q^{n} \\
& =\sum_{n=0}^{m} d(m, n) \phi^{n}(z) \\
& =\sum_{n=0}^{m} d(m, n) \sum_{j=n}^{\infty} a(n, j) q^{j} \\
& =1+\sum_{n=1}^{m} q^{n} \sum_{j=1}^{m} d(m, j) a(j, n) .
\end{aligned}
$$

By comparing coefficients, for $n \geq 1$, we have the equation

$$
\begin{equation*}
a_{c, c^{\prime}}^{(4)}(m, 2 n)=\sum_{j=1}^{m} d(m, j) a(j, n) . \tag{5.2}
\end{equation*}
$$

Letting $n=2^{\beta} n^{\prime}$, we compute the inequality

$$
\begin{align*}
v_{2}\left(a_{c, c^{\prime}}^{(4)}(m, 2 n)\right) & =v_{2}\left(a_{c, c^{\prime}}^{(4)}\left(m, 2 \cdot 2^{\beta} n^{\prime}\right)\right) \\
& \geq \min _{j=1}\left\{v_{2}\left(d(m, j) a\left(j, 2^{\beta} n^{\prime}\right)\right)\right\} \\
& \geq \min _{j=1}\left\{v_{2}\left(a\left(j, 2^{\beta} n^{\prime}\right)\right)\right\} \\
& \geq \min _{j=1}\{3 \gamma(j, \beta)\}, \tag{5.3}
\end{align*}
$$

by (5.2) and Theorem 1.1. Therefore, we see that

$$
\begin{equation*}
v_{2}\left(a_{c, c^{\prime}}^{(4)}(m, 2 n)\right)=v_{2}\left(a_{c, c^{\prime}}^{(4)}\left(m, 2 \cdot 2^{\beta} n^{\prime}\right)\right) \geq \min _{j=1}^{m}\{3 \gamma(j, \beta)\} . \tag{5.4}
\end{equation*}
$$

The value of (5.4) may be 0 . To illustrate an example, recall the definition of $\gamma(j, \beta)$ : Consider the first $\beta$ digits of the binary expansion of $j$, padding the left with zeroes if necessary, written $a_{\beta} \cdots a_{2} a_{1}$. Let $i^{\prime}$ be the least index $i$ such that $a_{i}=1$, if it exists. Then

$$
\gamma(j, \beta)= \begin{cases}\#\left\{i \mid a_{i}=0 \text { and } \beta \geq i>i^{\prime}\right\}+1 & \text { if } i^{\prime} \text { exists } \\ 0 & \text { otherwise }\end{cases}
$$

Therefore, if $\beta$ is small, $\gamma(j, \beta)$ is 0 until $\beta$ reaches the position of the rightmost 1 in the binary expansion of $j$. For example, $\gamma(16, \beta)=0$ for $1 \leq \beta \leq 4$ because $16_{10}=10000_{2}$. But, if we take $\beta$ large enough, the function $\gamma(j, \beta)$ counts the leftmost 1 and the leading 0 s of the binary expansion of $j$.

Now, let $j$ vary between 1 and $m$. The integer $\alpha^{\prime}=\left\lfloor\log _{2}(m)\right\rfloor+1$ is the leftmost position of a 1 in any of the binary expansions of the $j$. If $\beta \geq \alpha^{\prime}$, then each of $\gamma(j, \beta)$ will be at least 1 , and incrementing $\beta$ will increment every one of the $\gamma(j, \beta)$. We conclude that if $\beta \geq \alpha^{\prime}$, then

$$
v_{2}\left(a_{c, c^{\prime}}^{(4)}(m, 2 n)\right)=v_{2}\left(a_{c, c^{\prime}}^{(4)}\left(m, 2 \cdot 2^{\beta} n^{\prime}\right)\right) \geq 3\left(\beta-\alpha^{\prime}+1\right)
$$

For a meaningful result, we also need the assumption that $\beta \geq 1$ because $\alpha^{\prime} \geq 1$.

We translate the result to be in terms of the notation used in the theorem statement.
Let $\ell=2^{\alpha} \ell^{\prime}=2 \cdot 2^{\beta} n^{\prime}$, so that

$$
a_{c, c^{\prime}}^{(4)}(m, \ell)=a_{c, c^{\prime}}^{(4)}\left(m, 2 \cdot 2^{\beta} n^{\prime}\right) .
$$

In particular, this implies that $\alpha=\beta+1$. Further, we have that

$$
\begin{aligned}
\beta \geq \alpha^{\prime} & \Longleftrightarrow \alpha \geq \alpha^{\prime}+1 \\
\beta \geq 1 & \Longleftrightarrow \alpha \geq 2
\end{aligned}
$$

We conclude with the result

$$
\alpha \geq 2 \text { and } \alpha \geq \alpha^{\prime}+1 \Rightarrow v_{2}\left(a_{c, c^{\prime}}^{(4)}\left(m, 2^{\alpha} \ell^{\prime}\right)\right) \geq 3\left(\alpha-\alpha^{\prime}\right) .
$$

The theorem is proved once we observe that $\ell$ here is $n$ in the theorem statement.

### 5.2 Conjectures for forms in level 4

Conjecture 1.3 should be true for the same reason that Theorem 1.1 is true. Recall that $U_{2} \phi^{m}=d_{\lceil m / 2\rceil} \phi^{\lceil m / 2\rceil}+\cdots+d_{2 m} \phi^{2 m}$ for some integers $d_{i}$. The two key ideas in the proof of Theorem 1.1 are:
(1) The valuation $v_{2}\left(d_{\lceil m / 2\rceil}\right)$ is at least 3 when $m$ is odd, and is at least 0 otherwise.
(2) If $2^{a}| | d_{\lceil m / 2\rceil}$, then $2^{a} \mid d_{i}$ for $i>\lceil m / 2\rceil$.

These are proved in the base case of Theorem 3.1, and here we summarize the process. It is easy to prove that the Fourier expansion of $\phi^{m}$ begins with

$$
\phi^{m}(z)=q^{m}+24 m q^{m+1}+\cdots .
$$

If $m$ is odd, the leading term of $U_{2} \phi^{m}$ is $24 m q^{(m+1) / 2}$. So $d_{\lceil m / 2\rceil}=d_{(m+1) / 2}=24 m$ and the 2 -adic valuation of this coefficient is $v_{2}(24 m)=3$. If $m$ is even, then the leading term of $U_{2} \phi^{m}$ is $q^{m / 2}$. The second condition above guarantees that the 2-adic valuations of the remaining coefficients is at least 3 . Proving this is more difficult, and for this we employed Watson's method [18] which used the modular equation for $\phi$.

This same pattern occurs for $\phi_{0, \infty}^{(4)}$ and $\phi_{1 / 2, \infty}^{(4)}$. From their Fourier expansions, it is again easy to see that

$$
\begin{gathered}
\left(\phi_{0, \infty}^{(4)}\right)^{m}(z)=q^{m}+8 m q^{m+1}+\cdots \\
\left(\phi_{1 / 2, \infty}^{(4)}\right)^{m}(z)=q^{m}-8 m q^{m+1}+\cdots
\end{gathered}
$$

We take, for example, $\left(\phi_{0, \infty}^{(4)}\right)^{m}$. From Lemma 5.2, we have that

$$
U_{2}\left(\phi_{0, \infty}^{(4)}\right)^{m}=c_{\lceil m / 2\rceil} \phi^{\lceil m / 2\rceil}+\cdots+c_{m} \phi^{m}
$$

for some $c_{i} \in \mathbb{Z}$. The first terms in these Fourier expansions are

$$
\begin{cases}8 m q^{(m+1) / 2} & \text { if } m \text { is odd } \\ q^{m / 2} & \text { if } m \text { is even }\end{cases}
$$

The first case contributes $2^{3}$ to $c_{\lceil m / 2\rceil}$, and the second case gives no information. This is the same pattern we saw for $\phi$. The obstacle is obtaining condition 2 for the polynomials presented in Lemma 5.2. To use Watson's method again, we need a modular equation for $\phi_{0, \infty}^{(4)}$ and $\phi_{1 / 2, \infty}^{(4)}$. Lehner computes these for $\phi^{(p)}$ using Lemma 2.1, and such a result for level 4 forms has thus far eluded the author.

Conjecture 1.4 essentially states that coefficients for $\left(\phi_{1 / 2,0}^{(4)}\right)^{m}$ and $\left(\phi_{0,1 / 2}^{(4)}\right)^{m}$ follow a congruence similar to (1.1) and similar to congruences for the canonical bases for $M_{0}^{\sharp}(N)$. A
slight strengthening of Conjecture 1.4 is that, for the same $\phi_{c, c^{\prime}}^{(4)}$, we have for $n>1$,

$$
v_{2}\left(a_{c, c^{\prime}}^{(4)}\left(m, 2^{\alpha} n^{\prime}\right)\right) \begin{cases}=3 \alpha+v_{2}\left(a_{c, c^{\prime}}^{(4)}\left(m, n^{\prime}\right)\right) & \text { if } m \text { is odd, or } m \text { is even and } \alpha=0, \\ =3(\alpha-1)+v_{2}\left(a_{c, c^{\prime}}^{(4)}\left(m, 2 n^{\prime}\right)\right) & \text { if } m \text { is even and } \alpha \geq 2 \\ \geq 3 & \text { if } \alpha=0\end{cases}
$$

This was formulated by observing odd-indexed coefficients and following their 2-adic valuation as the index is multiplied by 2 repeatedly. Certainly, we have Lemma 5.1, which allows us to potentially apply Theorem 1.1 after applying $U_{2}$, but we still lack the same result from the second bullet point above. Further, Conjecture 1.4 does not depend on the binary expansion of $m$ in any major way, so using Theorem 1.1 would not provide the desired result either way.

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