Land Use Policy 100 (2021) 104933

Contents lists available at ScienceDirect

Land Use Policy

journal homepage: www.elsevier.com/locate/landusepol

Agriculture 4.0: Making it work for people, production, and the planet

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ARTICLE INFO

Keywords:
Agri-tech
Co-innovation
Multi-actor
Social sustainability
Sustainable intensification
Technology

ABSTRACT

Three tenets of sustainable intensification should guide the fourth agricultural revolution: people, production, and the planet. Thus far, narratives of agriculture 4.0 have been predominately framed in terms of benefits to productivity and the environment with little attention placed on social sustainability. This is despite the fact that agriculture 4.0 has significant social implications, both potentially positive and negative. Our viewpoint highlights the need to incorporate social sustainability (or simply 'people') into technological trajectories and we outline a framework of multi-actor co-innovation to guide responsible socio-technical transitions. Through the greater inclusion of people in agricultural innovation systems guided by responsible innovation principles, we can increase the likelihood of this technology revolution achieving social sustainability alongside benefiting production and the environment.

1. Introduction

Emergent technologies, such as Artificial Intelligence, robotics, big data, the Internet of Things, gene editing, and drones, are being presented as solutions to challenges associated with food production (Benke and Tomkins, 2017; de Clercq et al., 2018; NFU, 2019; DW, 2019). The associated digitalisation of all farming systems is often presented as being 'inevitable' (The Telegraph, 2018) and is predominantly justified by the need to feed a growing human population (Hickey et al., 2019). Smart technologies may increase yields and reduce inputs (*production*) (*ibid*), whilst in many cases, reducing labour requirements. Furthermore, they may improve environmental health by enabling the production of more food on existing land, thus sparing further land conversion (Phalan et al., 2011; Balmford et al., 2018), also increasing eco-efficiency (*planet*) (Schieffer and Dillon, 2015).

A lack of attention has been given to the social impacts of new technologies in debates around the fourth agricultural revolution. Social aspects are notably absent from major reports (e.g. de Clercq et al., 2018; NFU, 2019), something which has been acknowledged in a number of recent papers (e.g. Bronson, 2018; Eastwood et al., 2017). This is problematic since the benefits of a technology revolution will not be uniformly shared (Rose and Chilvers, 2018).

We argue here that the marginalisation of social sustainability (but see Wynne-Jones et al. (2019) on the importance of social sustainability in the context of collaboration) is a significant shortcoming and suggest that the fourth agricultural revolution ('agriculture 4.0') should be guided by the concept of sustainable intensification (SI), holistically defined, in order that benefits are provided to people, production, and the planet. Though the definition is contested (Garnett and Godfray, 2012), the concept of SI identifies three hallmarks of sustainable food production: people (social), production (of food), and the planet (environment) (Garnett et al., 2013; Gunton et al., 2016; Royal Society, 2009). SI and technology are closely linked, the latter being seen as a key way of achieving the former (Dicks et al., 2019). Existing debates about agriculture 4.0 are rarely framed in the context of SI as many papers, policy documents, and speeches fail to address all three components. Indeed, work on SI itself has widely failed to give sufficient emphasis to social sustainability (Lobley et al., 2018).

Of course, social sustainability includes people at all points in the food system, including consumers, but here our focus is more on those involved in agricultural production. If we neglect an investigation of the social context of agriculture, then three major challenges present themselves, which we outline in more detail below. After highlighting the value of social sustainability when considering the agri-tech revolution, we consider how new innovations could be subjected to a 'SI stress test' to ensure that all aspects of sustainability (people, production, and the planet) are considered during design and implementation.

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https://doi.org/10.1016/j.landusepol.2020.104933
Received 18 October 2019; Received in revised form 12 May 2020; Accepted 16 July 2020

2. Three consequences of neglecting social sustainability

2.1. Reinforcing dominant narratives of food insecurity

Justifications for agri-tech are predominantly built on the idea that we need to produce more food to feed a rapidly growing population (Hickey et al., 2019). Furthermore, innovation pathways are increasingly being used by governments to address large-scale issues such as climate change and poverty (Schot and Steinmueller, 2018). Whether a lack of food production is the *main* problem can be questioned as food insecurity is caused by a lack of access to food for certain people (Sen, 1999; Nally, 2016). Unequal distribution of food caused by gender and economic inequality (amongst other forms) is the major cause of food insecurity in both developing countries and within unequal developed societies. Promoting technology as the solution can seem easier to powerful actors who wish to divert attention away from social inequality (Nally, 2016). Hence, we can easily be seduced by a techno-centric solution to a 'simple' problem. As a result, resources may be wasted if technologies are developed that do not provide positive social outcomes and thus fail to achieve SI which must provide benefits to all people.

2.2. Losers of the fourth agricultural revolution

If the fourth agricultural revolution proceeds as predicted by some, then the nature of farming systems will inevitably change beyond recognition[1] (Fielke et al., 2019). Several areas of potential controversy have been identified, including:

- *Changing nature of farm work* - the fourth agricultural revolution may improve some aspects of farming life, for example through reducing manual labour, but for some it will also change life on the farm in undesirable ways (Rose et al., 2018). Research has demonstrated the importance of physical work, traditional farm practices and embodied experiences to farmers' engagement with, and understanding of, their land and environment (Carolan, 2008). Increased technology use could result in the marginalisation of experiential knowledge and a disconnect between the farmer and the landscape. This may lead to loss of enjoyment and work-satisfaction and exacerbate existing high levels of mental health problems prevalent in the sector (Lobley et al., 2018). Changes to work practices may also challenge some of the core tenets of farming cultures and identities, which we know to be central to farmers' sense of self and wellbeing (Burton et al., 2008). These consequences of changing farm workflows could lead to many farmers (particularly small farmers) leaving the industry. However, few decision-makers are envisioning what a world looks like with fewer farmers and bigger farms both from farmers' and rural communities' perspectives and the views of the general public surrounding aesthetics and cultural traditions.
- *Data ownership, lack of trust, and power imbalances* - A significant amount of data will be collected by new technologies, but ownership of this data and how it will be used and stored remains a concern (Regan, 2019; Wiseman et al., 2019). Data produced by commercial machinery could be used to target farmers with products and to consolidate precious decision-making information in the hands of already powerful companies (Bronson, 2019; Lioutas et al., 2019; Regan, 2019). A lack of trust may ensue (Jakku et al., 2019). There is also the risk that developing countries involved in agriculture 4.0 may not receive the benefits experienced by the foreign investors who run farming enterprises or by the wealthier countries which import the food (D'Odorico and Rulli, 2013).
- *Employment* - Nally (2016) questions the need for labour-saving technologies in parts of the world suffering from high unemployment. An agri-tech revolution will undoubtedly create jobs, but these will not suit many existing farm workers who are already marginalised and under-appreciated by society (Rotz et al., 2019). It is not only workers such as seasonal pickers who might be fearful of their role in a digitalised work environment; Eastwood et al. (2019) consider how farm advisors might continue to provide value in an era of smart farming where machines increasingly make autonomous evidence-based decisions without human involvement.

The public may become dissatisfied with the way in which food is produced as other potential social implications, including concerns over perceived animal welfare impacts from the introduction of robotic milking techniques (Bear and Holloway, 2019), may result in public scrutiny. Both farmers and the public have also expressed scepticism towards UAVs due to concerns about drones capturing images of their work and private lives (DW, 2019), a process that Zuboff (2019) has termed 'surveillance capitalism' – the quest for powerful companies to monitor, predict, and control people. There may also be public concern surrounding the safety of autonomous farming vehicles.

2.3. Resistance of new technologies

Cases of limited acceptance of agricultural technologies are not uncommon, resulting in a lack of decision support system uptake (Rose et al., 2016), resistance to genetic modification technologies (Macnaghten, 2016), and societal resistance to insecticides (e.g. neonicotinoids) and other chemicals (e.g. glyphosate) (Dicks, 2013). If there is a lack of trust in new technologies, widespread concern about private enterprises benefitting, worries about impacts on employment and the nature of farming and rural communities, and public suspicion of the way in which food is being produced, then resistance is more likely. It seems apparent that if the fourth agricultural revolution works for people, it becomes more feasible that the whole of society may embrace future agri-tech trajectories, which simultaneously allows us to maximise the promised production and environmental benefits (Jakku et al., 2019).

3. Responsible sustainable intensification

Here, we propose a framework to govern agri-innovation which uses responsible innovation principles (Eastwood et al., 2017; van der Burg et al., 2019) and recognises that innovation occurs within systems comprised of multiple actors (Klerkx and Leeuwis, 2009; Klerkx et al., 2010). Involving these multiple actors is not a pre-requisite to success; as well as being time consuming, this may create uncertainty if roles and objectives are not clear from the outset (Botha et al., 2017). If managed carefully, however, this can enhance the inclusiveness of the innovation process (see Fielke et al., 2018). Innovation is responsible if (1) diverse stakeholders, including consumers, are included in projects to *anticipate* possible impacts of new technology (both positive and negative), (2) the innovation system can *respond* to problems created by technology, (3) it manages to *include* all actors in order to achieve legitimacy, and (4) innovators listen to all stakeholders and respond by being *reflexive* and are willing to change technology trajectories (Stilgoe et al., 2013). Our inclusive five-step framework of co-innovation (see Botha et al., 2014; Rijswijk et al., 2018) can guide the fourth agricultural revolution so that it works for people, production, and the planet. It does so by placing people and social sustainability at the forefront of agri-tech futures.

3.1. Have open conversations about the future of agriculture (inclusion)

A range of techniques are required to reach out across agricultural

[1] Such changes are not necessarily negative (see Rose and Chilvers, 2018), but based on the relatively small amount of research addressing the social and ethical implications of the fourth agricultural revolution there are likely to be a significant number of losers who are receiving little to no consideration.

innovation systems to collect the views of every stakeholder. We recognise the challenge of identifying the myriad of different stakeholders affected by agricultural technologies from primary producers, farm workers, and advisers through the supply chain to manufacturers, retailers, consumers, and rural communities. Yet, it should be possible to conduct stakeholder-mapping starting with the farmer's 'ring of confidence' (AIC, 2013) before expanding outwards to consider who will be affected by this innovation (see Reed et al., 2009 for a stakeholder mapping method). Whilst it will rarely be possible to include everyone, a co-innovation process should always attempt to include stakeholders beyond the usual suspects that tend to drive innovation processes. Doing so will create a set of priorities which has not just been driven by policy-makers and the research/innovation community. Initial questions should be broad, asking participants to share their visions for the future and to identify challenges for food production. Typically, when governments or innovators have consulted publics, they have used closed questions through public forums, online consultations, or community meetings (Rose and Chilvers, 2018). For example, online consultations and public forum exercises on agriculture in the UK regularly engage the usual suspects – the same innovative farmers, middle-class members of the public, well-resourced trade unions and NGOs – on predetermined leading questions (e.g. what are the barriers to technology use?) rather than bigger questions about what the problem itself entails, which may not lead to a technology-based answer. These techniques therefore rarely include the crucial views of marginalised individuals, such as less technology-focused or geographically isolated farmers who might possess differing opinions.

Engagement of publics in agri-food issues can be much bolder. Much can be learned from scholarly attempts to 're-make' participation (Chilvers and Kearnes, 2016). Many of the more deliberative engagement techniques identified by Chilvers and Kearnes (2016) work on the premise that a range of stakeholders beyond the usual suspects need to be involved at an early stage, sharing decision-making power. Deliberative workshops might be one method to engage particular communities, for example through anonymous voting[2] to decide upon a mutually agreed future. Attention must be placed on ensuring that engagement methods occur at a time suited to the audience, which might be at a specific time in the farming calendar (or in the day) and there must be some incentive for attendance. More innovative engagement techniques include citizen juries (see e.g. Fish et al., 2014), in which a representative range of individuals are brought together to achieve consensus. Interactions seen within the online farming press and social media can be extremely insightful as users often exhibit strong opinions when conversing online due to the online disinhibition effect (Suler, 2004). We should note, however, that many marginalised (older/rural) farmers may not have access to the internet or ICT skills and so will be unable to contribute to online debate (Farrington et al., 2015).

3.2. Decide whether issues are techno-centric or not

If engagement exercises are carried out effectively, a list of key questions, challenges, and ideas for the future of agriculture will be gathered, though we note that these may be conflicting (Fielke et al., 2020; Klerkx and Rose, 2020; Klerkx et al., 2019). The first task is to decide which challenges demand a techno-centric solution (this could be scoped out in multi-disciplinary workshops involving the natural and social sciences, and the arts and humanities). Shortlisting of challenge types could be achieved relatively easily through collaborative workshops attended by trans-disciplinary groups of policymakers, academics, and innovators with expertise in food production, the environment, and society. For those challenges that need a technology-based solution, incentives are then required to stimulate innovation and a suite of key technologies could be developed.

3.3. Anticipate production, environmental, and social implications of new innovations (anticipation and inclusion)

At this stage, a list of key technologies for solving particular challenges should be in development. For example, if technology to further improve the precision application of chemicals was identified as a priority the first step would be to convene the same network of policymakers, diverse academics, and innovators and ask those with technological expertise to explain how the underpinning technology works without using jargon. The claims of technologists can then be interrogated to assess how the product might contribute to all aspects of SI – people, production, and the planet. The research community is often able to anticipate environmental and production impacts as these can be tested rigorously and scientifically. However, social impacts, which are often complex and difficult to generalise, must also receive significant consideration. This will require the same participatory techniques as stage one: citizen juries, public forums, and other consultation methods in which the purpose of innovations are explained to diverse publics (including farmers, advisers, rural communities) before allowing participants to articulate their views on how these innovations might change the nature of farming, rural communities, and the nature of food production. These impacts may be positive or negative, and trade-offs are likely to be required in every case, but, crucially, technologies should only be prioritised if they are able to demonstrate probable benefits to the SI agenda. Step three might take time but may, in fact, reduce adoption time in the long run if more relevant technologies are developed.

3.4. Listen and change (reflexivity)

Stakeholder engagement exercises serve little purpose if policymakers and innovators fail to change course after hearing societal views. A period of reflection is vital in which the potential for technologies to achieve all aspects of SI are further interrogated (Fielke et al., 2017; Rijswijk et al., 2015). Those innovations which fail to satisfy the stress test, perhaps because they are likely to harm social sustainability, should receive less policy and private support (or may be regulated against). This may require legislative change for privately supported technology and/or alterations in guidelines for publicly funded innovation projects.

3.5. Maintain a responsive system (responsiveness, reflexivity)

Stages 1–4 have helped to identify a list of technologies which are relevant to real-world problems faced by farmers and wider society and which are most likely to achieve SI, including providing social benefits. The final stage is implementation to ensure benefits are realised. A supportive institutional framework, led by government,[3] and ensuring that there are joined-up advisory stems for farmers to draw on is a prerequisite to hold the network together, preventing the fragmentation which currently plagues innovation approaches (Klerkxet al., 2012). A long-term commitment is needed from policymakers and other senior actors in driving innovation systems. Ultimately, those who introduce innovations to (or ideally with) farmers need to ensure that responsive systems are implemented to correct errors and to prevent repetition of any potential controversies (e.g. safety issues/animal welfare). The

[2] For example as used with farmers in: Fish et al. (2012) A license to produce? Farmer interpretations of the new food security agenda, *Journal of Rural Studies*, 29, 40 – 49.

[3] We acknowledge that this might be idealistic, particularly if government pursue short-term win-wins and attempt to win the race towards ever-more sophisticated technological innovation. If we are to ensure that stages 1 – 5 are undertaken, there must be clear leadership from government.

government's role does not stop once innovations are adopted; a continued period of reflection is required, which will require updates to legislation, guidelines, and possible support for various technologies in the form of skills training, improved infrastructure, or perhaps funding (although we recognise the role of the market). Legislation and regulation can support or restrict the demand for certain technologies, but usually lags behind development. This process may be repeated at regular intervals as new food challenges and technologies appear.

4. Conclusion

The potential benefits for productivity and the environment of the fourth agricultural revolution will be tempered if social benefits are not evenly shared. The concept of SI and its three components is vital; it is essential that decision-makers support people to thrive in different agricultural systems and that social issues relating to new technologies are resolved. Without attention to such issues, new technology may create more social problems than it solves (Schot and Steinmueller, 2018), raising the question of whether this transition to agriculture 4.0 is truly justified. We hope that this viewpoint fosters more interest in the social and ethical implications of the fourth agricultural revolution and consequently results in more research activity to understand how society can be better included in technology trajectories. The framework above, which encourages a multi-actor approach to agri-innovation, is one step towards determining a responsible course for the fourth agricultural revolution to ensure that benefits are provided for people, production, and the planet.

Author contribution statement

DCR led the drafting and revision of this manuscript at all stages. C-AC made significant contributions to drafting and revision, whilst RW, ML, and MW contributed to the drafting and revision of this manuscript.

Declaration of Competing Interest

There is no conflict of interest.

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